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# Explicit array-based compact data structures for triangulations: practical solutions with theoretical guarantees

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## Explicit array-based compact data structures for triangulations: practical solutions with theoretical guarantees

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**Abstract:** We consider the problem of designing space efficient solutions for representing triangle meshes. Our main result is a new explicit data structure for compactly representing planar triangulations: if one is allowed to permute input vertices, then a triangulation with  $n$  vertices requires at most  $4n$  references ( $5n$  references if vertex permutations are not allowed). Our solution combines existing techniques from mesh encoding with a novel use of maximal Schnyder woods. Our approach extends to higher genus triangulations and could be applied to other families of meshes (such as quadrangular or polygonal meshes). As far as we know, our solution provides the most parsimonious data structures for triangulations, allowing constant time navigation. Our data structures require linear construction time, and are fast decodable from a standard compressed format without using additional memory allocation. All bounds, concerning storage requirements and navigation performances, hold in the worst case. We have implemented and tested our results, and experiments confirm the practical interest of compact data structures.

**Key-words:** triangulations, compact representations, mesh data structures, codage de graphes, Schnyder woods

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## Structures de données explicites compactes basées sur des tableaux pour les triangulations

**Résumé :** Nous nous intéressons là la conception de représentations efficaces pour les maillages triangulaires. Le résultat principal de ce travail est une nouvelle structure de données pour la représentation compacte des triangulations planaires: si on autorise la permutation des sommets du maillages, alors une triangulations à  $n$  sommets peut se représenter avec au plus  $4n$  références ( $5n$  références sont nécessaires, si on ne fait pas de permutation). Notre solution combine des techniques existantes de codage de graphes avec une nouvelle utilisation des Schnyder woods minimaux. Cette approche s'étend aussi au cas de triangulations de genre supérieur et pourrait s'appliquer à d'autres familles de maillages (tels que les maillages quadrangulaires ou polygonaux). A notre connaissance, ce résultat fournit la structure de données la plus compacte pour les triangulations, permettant la navigation dans le maillage en temps constant dans le pire des cas. Le temps de construction de nos représentations est linéaire, et toutes les bornes sont valables dans le pire des cas. Nous avons implémenté et testé nos résultats, et nos expériences confirment l'intérêt pratique des structures de données compactes.

**Mots-clés :** triangulations, représentations compactes, structures de données pour les maillages, Schnyder woods

## 1 Introduction

The large diffusion of geometric meshes (in application domains such as geometry modelling, computer graphics), and especially their increasing complexity has motivated a huge number of recent works in the domain of graph encoding and mesh compression. In particular, the *connectivity* information of a mesh (describing the incidence relations) represents the most expensive part (compared to the geometry information): for this reason most works try to reduce the first kind of information, involving the combinatorial structure of the underlying graph. Many works addressed the problem from the *compression* [25, 42, 39, 38] point of view: compression schemes aim to reduce the number of bits as much as possible, possibly close to theoretical minimum bound according to information theory.

For applications requiring the manipulation of input data, a number of explicit (pointer-based) data structures [8, 3, 4, 24] have been developed for many classes of surface and volume meshes. Most geometric algorithms require data structures which are easy to implement, allowing fast navigation between mesh elements (edges, faces and vertices), as well as efficient update primitives. Not surprisingly common mesh representations are redundant and store a not negligible amount of information in order to achieve the prescribed requirements. Standard implementations consume between  $13n$  and  $19n$  references for storing in main memory a triangulation of  $n$  vertices, while compact representations use often less than  $6n$  references (see Table 1). Observe that if one requires to encode a planar triangulation without navigation support, then it is possible to obtain in linear time a compressed format [38] using asymptotically at most 3.2451 bits per vertex. In this work we address the problem above (reducing memory requirements) from the point of view of *compact data structures*: the goal is to reduce the redundancy of common explicit representations, while still supporting efficient navigation, and allow good compression rate.

### 1.1 Existing Mesh Data Structures

Classical data structures in most programming environments do admit *explicit pointer-based* implementations. Each pointer stores at most one reference: pointers allow navigating in the data structure through address indirection, but storing/manipulating service bits within references is not always allowed (this occurs, for instance, for programming languages not allowing pointer arithmetic such as Java, C# or Javascript). Many popular geometric data structures (such as *Quad-edge*, *Winged-edge*, *Half-edge*) fit in this framework. In edge-based representations basic elements are edges (or half-edges): navigation is performed by storing, for each edge, a number of references to incident mesh elements. For example, in the *Half-edge DS* each half-edge stores a reference to the next and opposite half-edge, together with a reference to an incident vertex: which gives 3 references for each of the  $6n$  half-edges, for a triangulation having  $n$  vertices. If one has to store data associated to triangles (e.g. face normals, face colors, ...) then some additional references are necessary in order to represent the map between edges and triangles.

**Compact practical solutions with theoretical guarantees.** Several works [10, 41, 31, 2, 12, 29, 26, 27] try to reduce the number of references stored by common mesh representations: this leads to more compact solutions, whose performances (in terms of running time) are still really of practical interest. In this case array-based implementations are sometimes preferred to pointer-based representations, depending on the flexibility of the programming environment. Many data structures (*triangle-based*, *array-based compact half-edge*, *SOT/SQUAD data structures*) make use of the following further assumption: each memory word can store a  $\lg n$  bits integer reference,<sup>1</sup> and  $C$  bits are reserved as *service bits* ( $C$  is a small constant, commonly between

<sup>1</sup>For a mesh with  $n$  elements,  $\lg n := \lceil \log_2 n \rceil$  bits are necessary to distinguish all the elements. The length  $w$

1 and 4). Moreover, basic arithmetic operations are allowed on references: such as addition, multiplication, floored division, and bit shifts/masks. An interesting general approach is based on the reordering of mesh elements: for example, storing consecutively the half-edges of a face allows us to save 3 references per face (as in *Directed Edge* [10] or in [2], which requires  $13n$  references instead of the  $19n$  stored by *Half-edge*). Or still, storing edges/faces according to the vertex ordering allows us to implicitly represent the map from edges/faces to vertices. This is one of the ingredients used by the *SOT* data structure [29], which represents triangulations with  $6n$  references. The combination of face pairing and vertex re-ordering also leads to dynamic data structures [13] supporting standard local updates in amortized constant time, and whose space requirements range between  $6n$  and  $4.8n$  references.

**More concise practical solutions** Adopting some interesting heuristics one may obtain even more compact solutions [26, 27, 28], requiring better space requirements in practice, but with no theoretical guarantees in the worst case. For instance, the face pairing approach of the *SQUAD* data structure improves the SOT bounds to about  $(4 + c)$  references per vertex: as shown by experiments  $c$  is usually a small value (between 0.09 and 0.3 for tested meshes). Another heuristic combines the face and vertex re-ordering with computation of a nearly Hamiltonian ring spanning almost all vertices: as reported in [27], the *LR* data structure is able to represent a triangulation using between 2.04 and 3.16 references per vertex (results hold for the tested meshes).

Even smaller memory requirements can be achieved making use of difference encoding of references: the bit-efficient version *LR* [27] consumes between 37 and 90 bits per vertex, while the *Zipper* [28] is able to achieve in average only 12 bits per vertex (all results hold for the tested meshes). Observe that these better compression rates are obtained at the cost of more expensive navigation: as discussed in [27] the standard version of *LR* has runtime performances comparable to the ones of the Corner Table (when 3D meshes fit in main memory), while the bit-efficient version of *LR* is five times slower.

One common issue of compact representation is that the whole mesh must be kept in main memory during the pre-processing construction phase. This issue is addressed by *Groupier* [35]: the combination of compact mesh data structures with techniques from streaming meshes for out-of-core computations allows constructing the compact representation on the fly from a compressed format and in a streamable way.

Finally, we observe that the parsimonious use of references may affect the navigation time, for the retrieval of some mesh elements: for example, the access to vertices may require more than  $O(1)$  time in the worst case [29, 26, 13, 31]. Table 1 reports some trade-offs between space requirements and navigation performances.

**Theoretically optimal solutions.** For completeness, we mention that *succinct representations* [18, 7, 37, 16, 15, 43] are successful in representing meshes with the minimum number of bits, while supporting local navigation in worst case  $O(1)$  time. They run under the *word-Ram model*, where basic arithmetic and bitwise operations on words of size  $O(\lg n)$  are performed in  $O(1)$  time. One main idea (underlying almost all solutions) is to reduce the size, and not only the number, of references: one may use graph separators or hierarchical graph decomposition techniques in order to store in a memory word an arbitrary (small) number of tiny references. Typically, one may store up to  $O(\frac{\lg n}{\lg \lg n})$  sub-words of length  $O(\lg \lg n)$  each. Unfortunately, the number of auxiliary bits needed by the encoding becomes asymptotically negligible only for very huge graphs, which makes succinct representations of mainly theoretical interest.

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of each memory word is assumed to be  $\Omega(\lg n)$

Data structure	size (references)	navigation	vertex access	vertex adjacency	dynamic updates
Edge-based data structures [24, 3, 4]	$18n + n$	$O(1)$	$O(1)$	$O(d)$	yes
triangle based [8]/Corner Table	$12n + n$	$O(1)$	$O(1)$	$O(d)$	yes
Directed edge [10]/Compact half-edge [2]	$12n + n$	$O(1)$	$O(1)$	$O(d)$	yes
2D catalogs [12]	$7.67n$	$O(1)$	$O(1)$	$O(d)$	yes
Star vertices [31]	$7n$	$O(d)$	$O(1)$	$O(d)$	no
TRIPOD [41] or Thm 2	$6n$	$O(1)$	$O(d)$	$O(d)$	no
SOT [29]	$6n$	$O(1)$	$O(d)$	$O(d)$	no
ESQ [13]	$4.8n$	$O(1)$	$O(d)$	$O(d)$	yes
(no vertex reordering) Thm 4	$5n$	$O(1)$	$O(d)$	$O(d)$	no
(with vertex reordering) Thm 5	$4n$	$O(1)$	$O(d)$	$O(d)$	no
(with vertex reordering) Thm 6	$5n$	$O(1)$	$O(1)$	$O(1)$	no

Table 1: Comparison between existing data structures for triangle meshes. All storage and runtime bounds hold in the worst case. The degree of the accessed vertex is denoted  $d$ .

For a more detailed discussion on triangle mesh data structures we refer to [14], while a comprehensive explanation of recent advances in 3D mesh compression can be found in [1, 36].

## 1.2 Preliminaries

**Combinatorial aspects of triangulations.** In this work we exploit a deep and strong combinatorial characterization of planar triangulations. A planar triangulation is a simple planar map where every face has degree 3.<sup>2</sup> Triangulations are *rooted* if there is one distinguished *root face*, denoted by  $(V_0, V_1, V_2)$ , with a distinguished incident *root edge*  $\{V_0, V_1\}$ . *Inner edges* (and *inner vertices*) are those not belonging to the root face  $(V_0, V_1, V_2)$ .

As pointed out by Schnyder [40], the inner edges of a planar triangulation can be partitioned into three sets  $\mathcal{T}_{\text{red}}, \mathcal{T}_{\text{blue}}, \mathcal{T}_{\text{black}}$ , which are plane trees spanning all inner vertices, and rooted at  $V_0, V_1$  and  $V_2$  respectively. This spanning condition can be derived from a local condition: the inner edges can be oriented in such a way that every inner vertex is incident to exactly 3 outgoing edges, and the orientation/coloration of edges must satisfy a special local rule (see Figure 1).

**Definition 1** ([40]). *Let  $\mathcal{G}$  be a planar triangulation with root face  $(V_0, V_1, V_2)$ . A **Schnyder wood** of  $\mathcal{G}$  is an orientation and labeling, with label in  $\{\text{red}, \text{blue}, \text{black}\}$  of the inner edges such that the edges incident to the vertices  $V_0, V_1, V_2$  are all incoming and are respectively of color **red**, **blue**, and black. Moreover, each inner vertex  $v$  has exactly three outgoing incident edges, one for each color, and the edges incident to  $v$  in counter clockwise (ccw) order are:*

- one outgoing edge colored **red**,*
- zero or more incoming edges colored black,*
- one outgoing edge colored **blue**,*
- zero or more incoming edges colored **red**,*
- one outgoing edge colored black, and*
- zero or more incoming edges colored **blue***

*(this is referred to as **local Schnyder condition**).*



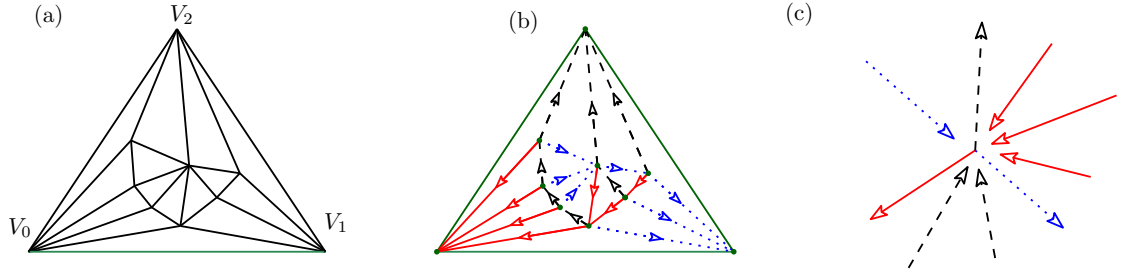


Figure 1: A planar triangulation with 9 vertices (a), endowed with a Schnyder wood (b). Picture (c) local Schnyder condition around inner vertices.

In a triangulation, the edges are originally not oriented, and they get an orientation during the Schnyder wood construction. If an edge  $e$  between vertices  $u$  and  $v$  is oriented from  $u$  to  $v$ , it will be denoted  $(u, v)$  and  $(v, u)$  if the edge is oriented in the other direction. If the orientation is unknown the edge will be denoted by  $\{u, v\}$ . In a Schnyder wood, the inner edges get an orientation, thus we have either  $\{u, v\} = (u, v)$  or  $\{u, v\} = (v, u)$ .

A Schnyder wood of a planar triangulation [40] can be computed in linear time: for the sake of completeness we provide in Appendix a short illustration of the algorithm based on vertex conquests detailed in [9, 33].

#### Navigational operators.

Here are the operators supported by our representations. Let  $e = (u, v)$  be an edge oriented toward  $v$ , which is incident to  $(u, v, w)$  (its left triangle) and to  $(v, u, z)$  (its right triangle), as depicted in Figure 2.

- **LeftBack**( $e$ ), returns the edge  $\{u, w\}$  (i.e.  $(u, w)$  or  $(w, u)$ ).
- **LeftFront**( $e$ ), returns the edge  $\{v, w\}$ .
- **RightBack**( $e$ ), returns the edge  $\{u, z\}$ .
- **RightFront**( $e$ ), returns the edge  $\{v, z\}$ .

<sup>2</sup> When embedded in the plane, all faces are triangles and the convex hull is also a triangle

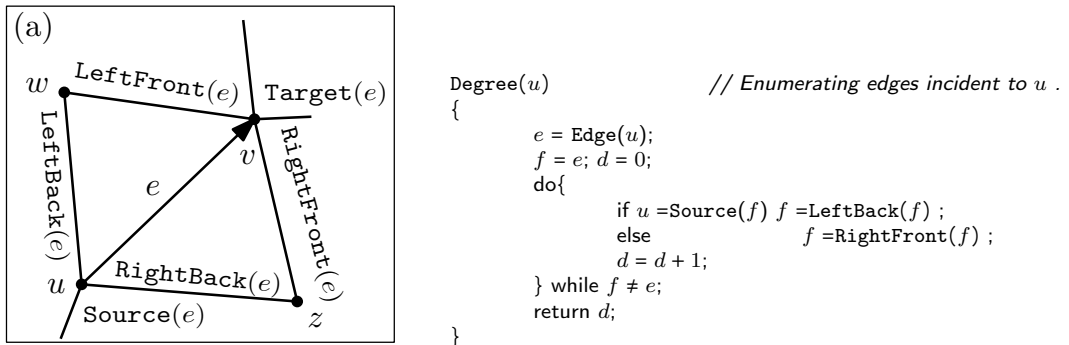


Figure 2: (a) Navigational operators supported by our representations.  
(b) Enumerating edges incident to a vertex using navigational operators.

- `Source( $e$ )`, returns  $u$ .
- `Target( $e$ )`, returns  $v$ .
- `Edge( $u$ )`, returns an edge incident to vertex  $u$ ;
- `Point( $u$ )`, returns the geometric coordinates of vertex  $u$ .
- `Edge( $f$ )`, returns an edge incident to face  $f$ ;
- `Faceleft( $e$ )`, returns the face at the left of oriented edge  $e$ ;
- `Faceright( $e$ )`, returns the face at the right of oriented edge  $e$ ;

The operators above are supported by most mesh representations [10, 3], and allow full navigation in the mesh as required in geometric processing algorithms. Their combination allows us to walk around the edges incident to a given face, or to iterate on the edges incident to a given vertex leading, for instance, to support the operator

- `Adjacent( $u, v$ )`, test whether two vertices  $u$  and  $v$  are adjacent.

This last operator cannot be supported in constant worst case time by common mesh representations: testing the adjacency between vertices is sometimes needed in graph algorithms, and the timing cost is proportional to the degree of the involved vertices.

**Overview of our Solution** In order to design new compact array-based data structures, we make use of many ingredients: some of them concerning the combinatorics of graphs, and some of them pertaining the design of compact (explicit) data structures. The main steps of our approach are the following:

- as done in [41], we exploit the existence of 3-orientations (edge orientations where every inner vertex has outgoing degree 3) for planar triangulations [40]. This allows storing only two references per edge (corresponding to its `LeftFront` and `RightFront` edges).
- as done in [10, 29, 26], we perform a reordering of cells (edges), to implicitly represent the map from vertices to edges, and the map from edges to vertices;

Combining these two ideas one can easily obtain an array-based representation using  $6n$  references: we store the three edges outgoing from a vertex consecutively, so that the retrieval of the missing information involving the `LeftBack` and `RightBack` edges can be efficiently performed exploiting the local Schnyder condition. Our first data structure provides  $O(1)$  time navigation between edges and  $O(d)$  time for the access to a vertex of degree  $d$ : for the sake of completeness, this simple solution will be detailed in Theorem 2.

Additionally, we design a coding scheme that can produce a compressed file of size  $4n$  bits (which is just above the theoretical lower bound of 3.24 bits per vertex [38]); our array-based representation can be restored from the compressed file without using extra memory. Our main contribution is to show how to get further improvements and generalizations, using the following ideas:

- we exploit the existence of *maximal* Schnyder woods, without cycles of directed edges oriented in cw direction. And also the fact that, given the partition  $(\mathcal{T}_{\text{red}}, \mathcal{T}_{\text{blue}}, \mathcal{T}_{\text{black}})$ , the two trees  $\mathcal{T}_{\text{red}}$  and  $\mathcal{T}_{\text{black}}$ , are sufficient to retrieve the triangulation. With these ideas we succeed to store only  $5n$  references (Theorem 4).

- we show how to reconstruct our compact representations (Theorems 4 and 2) from a standard compressed format which uses less than  $4n$  bits, without extra memory: both the encoding and decoding phases require linear time (Section 3).
- we further push the limits of the previous reordering approach: by arranging the input points according to a given permutation and using a special order on plane trees we are able to use only  $4n$  references (Theorem 5);
- Using one extra reference per vertex, we are able to efficiently recover the target of every edge, providing worst case  $O(1)$  time access to vertices instead of  $O(d)$  (Theorem 6).
- Our compact data structure described in Theorem 6 supports even the `Adjacent` operator in  $O(1)$  time on planar triangulations.
- For applications requiring to store data associated to triangles, Theorem 7 shows how to represent the map between edges and triangles using one additional reference per vertex (the retrieval of data associated to triangles is performed in  $O(1)$  time).

To our knowledge, these are the best (worst case and guaranteed) upper bounds obtained so far, which improve previous existing results. Finally we mention that our representations can be adapted to deal with more general triangle meshes, by using the reformulations of Schnyder woods proposed for toroidal [22, 20] and genus  $g$  [17] triangulations.

## 2 Compactly Representing Triangulations

We first design a simple data structure requiring  $6n$  references, which allows performing all navigational operators in worst case  $O(1)$  time, and `Target` operator in  $O(d)$  time (when retrieving a degree  $d$  vertex). This is a preliminary step in describing a more compact solution. Observe that our first scheme achieves the same space bounds as the *Tripod data structure* by Snoeyink and Speckmann [41]. Although both solutions are based on the properties of Schnyder woods, the use of references (between edges) is different: this is one of the features which allow us to make our scheme even more compact in the sequel.

### 2.1 The First Data Structure: Simple and Still Redundant

**Main ideas:** the coloring of edges allow an easy matching between vertices and edges of each color to organize edges in three arrays. For each edge we store the leftfront and rightfront edges; the leftback and the rightback edges are retrieved using Schnyder wood rules.

**Scheme Description.** We firstly compute a Schnyder wood  $(\mathcal{T}_{\text{red}}, \mathcal{T}_{\text{blue}}, \mathcal{T}_{\text{black}})$  of the input triangulation  $\mathcal{G}$  (in linear time, as shown in [40]). We define the tree  $\mathcal{T}_{\text{red}}$  by adding edges  $(V_1, V_0)$  and  $(V_2, V_0)$  to  $\mathcal{T}_{\text{red}}$ ; we add the edge  $(V_2, V_1)$  to the tree  $\mathcal{T}_{\text{blue}}$ , as depicted in Figure 3. Thus each edge gets a color and an orientation. Since local Schnyder condition ensures exactly one outgoing edge of each color (except  $V_0, V_1, V_2$ ) an edge can also be identified by its origin  $u$  and its color  $c$  and denoted  $u'_c$ . For each color  $c$  and each vertex  $u$ , we store two vertex numbers  $U_c^{\text{left}}[u]$  and  $U_c^{\text{right}}[u]$  and two booleans  $T_c^{\text{left}}[u]$  and  $T_c^{\text{right}}[u]$  describing the source and color of the two neighboring edges of edge  $u'_c$  as detailed below and one boolean  $S_c[u]$  indicating the existence of incoming edge at  $u$  of color  $c$ .

Vertices will be identified by integers  $0 \leq i < n$  and edges by their source and color. The three colors are cyclically ordered with operator *next* and *prev* such that  $\text{next}(\text{red}) = \text{blue}$ ,

$next(\text{blue}) = \text{black}$ , and  $next(\text{black}) = \text{red}$ . Our data structure consists of several arrays of size  $n$ :

- three arrays of booleans  $S_{\text{red}}$ ,  $S_{\text{blue}}$ , and  $S_{\text{black}}$ ;
- six arrays of booleans  $T_{\text{red}}^{\text{left}}$ ,  $T_{\text{red}}^{\text{right}}$ ,  $T_{\text{blue}}^{\text{left}}$ ,  $T_{\text{blue}}^{\text{right}}$ ,  $T_{\text{black}}^{\text{left}}$ ,  $T_{\text{black}}^{\text{right}}$
- six arrays of vertex indices  $U_{\text{red}}^{\text{left}}$ ,  $U_{\text{red}}^{\text{right}}$ ,  $U_{\text{blue}}^{\text{left}}$ ,  $U_{\text{blue}}^{\text{right}}$ ,  $U_{\text{black}}^{\text{left}}$ ,  $U_{\text{black}}^{\text{right}}$ , and
- an array  $P$  storing the geometric coordinates of the points.

These arrays store the following information (refer to Figure 3) for a vertex  $u$  and a color  $c$  (the three vertices of the outer face do not have all their outgoing edges and must obey to special rules) :

$$S_c[u] = \text{true} \text{ if vertex } u \text{ has incoming edge of color } c, \text{ false otherwise,}$$

$$U_c^{\text{left}}[u] = \text{Source}(\text{LeftFront}(u_c^{\wedge})), \quad \text{and} \quad U_c^{\text{right}}[u] = \text{Source}(\text{RightFront}(u_c^{\wedge})),$$

Using the coloring rules, these two neighboring edges have only two possible colors:  $c$  and  $next(c)$  (resp.  $prev(c)$ ) for a left neighbor (resp. right neighbor). Arrays  $T$  store that information:

$$\text{if } \text{Color}(\text{LeftFront}(u_c^{\wedge})) = c \quad \text{then } T_c^{\text{left}}[u] = \text{true}, \quad \text{else } T_c^{\text{left}}[u] = \text{false},$$

$$\text{if } \text{Color}(\text{RightFront}(u_c^{\wedge})) = c \quad \text{then } T_c^{\text{right}}[u] = \text{true}, \quad \text{else } T_c^{\text{right}}[u] = \text{false}.$$

**Theorem 2.** *Let  $\mathcal{G}$  be a triangulation with  $n$  vertices. The representation described above requires  $6n$  references, while allowing to support **Target** operator in  $O(d)$  time (when dealing with a degree  $d$  vertex) and all other operators in  $O(1)$  worst case time.*

*Proof.* Let us consider operations involving an edge  $e$  with source  $u$  and target  $v$ , whose incident left (resp. right) triangle is  $(u, v, w)$  (resp.  $(u, v, z)$ ).

**Operators Edge( $u$ ) and Point( $u$ ):** to get the index of an edge incident to a given vertex  $u$  we simply returns the edge  $u_{\text{red}}^{\wedge}$ . The geometric coordinates of vertex  $u$  are naturally stored in  $P[u]$ .

**Operator Source( $e$ ):** is a trivial operation since our edges are represented by their source.

**Operator LeftFront( $e$ ):** by definition of arrays  $U$  and  $T$ ,  $\text{LeftFront}(e)$  is the edge of source  $U_{\text{Color}(e)}^{\text{left}}[\text{Source}(e)]$  and color  $\text{Color}(e)$  if  $T_{\text{Color}(e)}^{\text{left}}[\text{Source}(e)] = \text{true}$  and color  $next(\text{Color}(e))$  otherwise.

**Operator LeftBack( $e$ ):** We have to distinguish three cases, depending on the color of edges  $(u, w)$  and  $(v, w)$  (as illustrated in Figure 3):

**Case 1:**  $S_{prev(\text{Color}(e))}[\text{Source}(e)]$  is false. This case is easy to handle, since  $(u, w)$  is the edge with source  $u$  and color  $next(\text{Color}(e))$  (in Figure 3(c), there is no incoming **blue** edge at  $u$ , thus  $\text{LeftBack}(e)$  is **red** and outgoing at  $u$ ).

**Case 2:**  $S_{prev(\text{Color}(e))}[\text{Source}(e)]$  is true and  $T_{\text{Color}(e)}^{\text{left}}[\text{Source}(e)]$  is true. Then  $(w, v)$  is of color  $\text{Color}(e)$  and  $(v, w)$  can be accessed as  $\text{LeftFront}(e)$  and  $\text{LeftBack}(e)$  is the edge with source  $\text{Source}(\text{LeftFront}(e))$  and color  $prev(\text{Color}(e))$  (there are incoming **blue** edge at  $u$ , thus  $\text{LeftBack}(e)$  is **blue**. Since  $\text{LeftFront}(e) = \{v, w\}$  is black, it is oriented from  $w$  to  $v$  and its source is also the searched source of  $\text{LeftBack}(e)$ ).

**Case 3:**  $S_{prev(\text{Color}(e))}[\text{Source}(e)]$  is true and  $T_{\text{Color}(e)}^{\text{left}}[\text{Source}(e)]$  is false, then  $(v, w)$  is of color  $next(\text{Color}(e))$  and  $\text{LeftBack}(e)$  is the edge  $\text{LeftFront}(\text{LeftFront}(e))$ . (as in Case 2

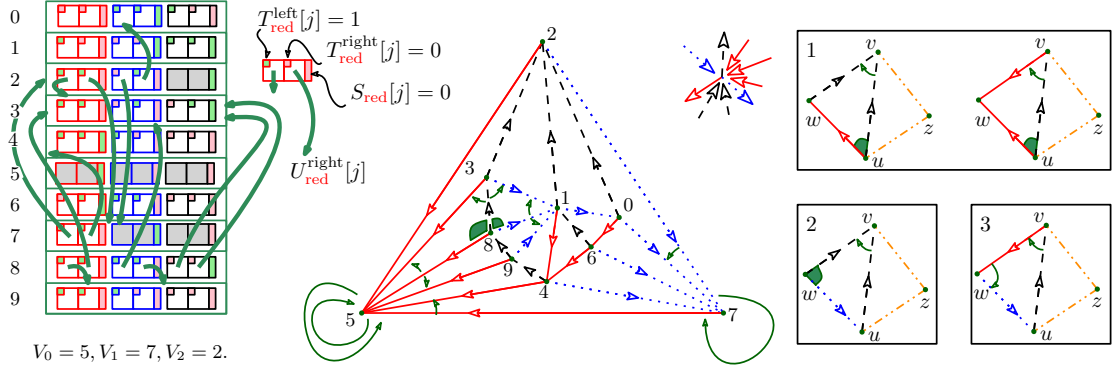


Figure 3: Our first solution. For each vertex we store 6 references, corresponding to the source of the front neighbors of the 3 outgoing edges. Table  $S$ ,  $U$ ,  $T$  are drawn as an array of size  $n$  where booleans are represented by colors (green=`true`) and some vertex indices by arrows. The case analysis of Theorem 2 is illustrated on the right (where edge  $(u, v)$  is black).

$\text{LeftBack}(e)$  is blue, but  $\text{LeftFront}(e) = vw$  is red and oriented from  $v$  to  $w$ .  $\text{LeftBack}(e)$  is then accessible as  $\text{LeftFront}(\text{LeftFront}(e))$ .

**Operator  $\text{Target}(e)$ :** unfortunately we have not stored enough information to return  $v$  in  $O(1)$  time: the idea is to iteratively turn around vertex  $v$  (as described in Figure 2), starting from edge  $(u, v)$  in cw direction (or ccw direction) until we get an edge  $e' = (v, x)$  having  $v$  as source. Then compute  $\text{Source}(e')$  in  $O(1)$  time as above, which results in the target of  $(u, v)$ . This procedure ends after at most  $d - 3$  steps (for a vertex  $v$  of degree  $d$ ), since each vertex has 3 outgoing edges.

**Operators  $\text{RightBack}(e)$  and  $\text{RightFront}(e)$ :** observe that the traversal of the right face  $(u, v, z)$  incident to  $(u, v)$  can be handled in a similar manner as above. Operators  $\text{RightBack}(e)$  and  $\text{RightFront}(e)$  can be deduced by symmetry from operators  $\text{LeftBack}(e)$  and  $\text{LeftFront}(e)$ , because of the symmetry of Schnyder woods and of our use of references.  $\square$

**References Encoding.** From a practical point of view, arrays  $S$ ,  $T$  and  $U$  can be stored in a single array. Just encode the service bits within the references stored in  $U$ , where first  $k$  bits of an integer represent the index of a vertex, and the booleans in the other bits of an integer. We can set  $k = \lceil \log n \rceil$ . We use only less than 2 service bits per integer since we have 9 service bits to associate with 6 vertex indices. Assuming 32 bits integers, we can encode triangulations having up to  $2^{30}$  (1 billion) vertices in 6 arrays of  $n$  integers.

## 2.2 More Compact Solutions, via Maximal Schnyder Woods

**Main idea:** modify previous scheme to store only one of the two (leftfront and rightfront) neighbors for the blue edges. Again use Schneider woods properties to retrieve missing informations.

In order to reduce the space requirements, we exploit the existence of a special kind of Schnyder wood, called *maximal*, not containing cw oriented triangles:

**Lemma 3** ([9]). *Let  $\mathcal{G}$  be a planar triangulation. Then it is possible to compute in linear time a Schnyder wood without cw oriented cycles of directed edges.*

**New Scheme.** We modify the representation described in previous section: the first step is to endow  $\mathcal{G}$  with its maximal Schnyder wood (no cw oriented triangles). Outgoing **red** and black edges will be still represented with two references each, while we will store only one reference for each outgoing **blue** edge (different cases are illustrated by Figure 4, top pictures). More precisely, let  $e = (u, v)$  be an edge having face  $(u, v, w)$  at its left and face  $(v, u, z)$  at its right, and let  $q$  be the vertex defining the ccw triangle  $(w, v, q)$ . Our data structure still consists of several arrays of size  $n$ :

- three arrays of booleans  $S_{\text{red}}$ ,  $S_{\text{blue}}$ , and  $S_{\text{black}}$ ,
- four arrays of booleans  $T_{\text{red}}^{\text{right}}$ ,  $T_{\text{blue}}$ ,  $T_{\text{black}}^{\text{left}}$ ,  $T_{\text{black}}^{\text{right}}$
- one array of colors  $T_{\text{red}}^{\text{toleft}}$  that can take three values:  $\{\text{red}, \text{blue}, \text{black}\}$ .
- five arrays of vertex indices  $U_{\text{red}}^{\text{toleft}}$ ,  $U_{\text{red}}^{\text{right}}$ ,  $U_{\text{blue}}$ ,  $U_{\text{black}}^{\text{left}}$ ,  $U_{\text{black}}^{\text{right}}$ , and
- an array  $P$  storing the geometric coordinates of the points.

With respect to the simple solution arrays  $T_{\text{blue}}^{\text{left}}$ ,  $T_{\text{blue}}^{\text{right}}$ ,  $U_{\text{blue}}^{\text{left}}$ ,  $U_{\text{blue}}^{\text{right}}$ ,  $U_{\text{red}}^{\text{left}}$ , and  $T_{\text{red}}^{\text{left}}$  are replaced by  $T_{\text{blue}}$ ,  $U_{\text{blue}}$ ,  $U_{\text{red}}^{\text{toleft}}$ , and  $T_{\text{red}}^{\text{toleft}}$  with slightly different content and meaning. This change is emphasize by the change of names. Roughly, only one neighbor of the **blue** edge is stored, and for the **red** edge, we may store the second left neighbor (the second edge turning cw around the target) instead of the first one. For a vertex  $u$  the following entries have the same meaning as in the previous solution:

$$\begin{aligned}
 S_c[u] &= \text{true} \text{ if vertex } u \text{ has incoming edges of color } c, \text{ false otherwise,} \\
 U_{\text{red}}^{\text{right}}[u] &= \text{Source}(\text{RightFront}(u'_{\text{red}})), \\
 U_{\text{black}}^{\text{left}}[u] &= \text{Source}(\text{LeftFront}(u'_{\text{black}})), \quad \text{and} \quad U_{\text{black}}^{\text{right}}[u] = \text{Source}(\text{RightFront}(u'_{\text{black}})), \\
 \text{if Color}(\text{RightFront}(u'_{\text{red}})) = \text{red} \quad &\text{then } T_{\text{red}}^{\text{right}}[u] = \text{true}, \quad \text{else } T_{\text{red}}^{\text{right}}[u] = \text{false}, \\
 \text{if Color}(\text{LeftFront}(u'_{\text{black}})) = \text{black} \quad &\text{then } T_{\text{black}}^{\text{left}}[u] = \text{true}, \quad \text{else } T_{\text{black}}^{\text{left}}[u] = \text{false}, \\
 \text{if Color}(\text{RightFront}(u'_{\text{black}})) = \text{black} \quad &\text{then } T_{\text{black}}^{\text{right}}[u] = \text{true}, \quad \text{else } T_{\text{black}}^{\text{right}}[u] = \text{false}.
 \end{aligned}$$

Some other entries are modified with respect to the simple version, if  $(u, v)$  is a **blue** edge, we store only one neighbor depending of the existence of **red** edges incoming at  $u$ :

if  $S_{\text{red}}[u]$  then

$$\begin{aligned}
 U_{\text{blue}}[u] &= \text{Source}(\text{LeftFront}(u'_{\text{blue}})) \\
 \text{if Color}(\text{LeftFront}(u'_{\text{blue}})) = \text{blue} \quad &\text{then } T_{\text{blue}}[u] = \text{true}, \quad \text{else } T_{\text{blue}}[u] = \text{false},
 \end{aligned}$$

else

$$\begin{aligned}
 U_{\text{blue}}[u] &= \text{Source}(\text{RightFront}(u'_{\text{blue}})), \\
 \text{if Color}(\text{RightFront}(u'_{\text{blue}})) = \text{blue} \quad &\text{then } T_{\text{blue}}[u] = \text{true}, \quad \text{else } T_{\text{blue}}[u] = \text{false},
 \end{aligned}$$

If  $\{u, v\}$  is a **red** edge, instead of always storing its first left neighbor, we store either the first left neighbor, either the second left neighbor which makes a total of three cases,  $T_{\text{red}}^{\text{left}}$  can now take three values (see Figure 4-top-right).

$$\begin{aligned}
 \text{if } \{w, v\} \text{ is } \text{red} \quad &\text{then } T_{\text{red}}^{\text{toleft}}[u] = \text{red} \text{ and } U_{\text{red}}^{\text{toleft}}[u] = w, \\
 \text{if } \{w, v\} \text{ is } \text{blue} \text{ and } \{v, q\} \text{ is } \text{red} \quad &\text{then } T_{\text{red}}^{\text{toleft}}[u] = \text{blue} \text{ and } U_{\text{red}}^{\text{toleft}}[u] = v, \\
 \text{if } \{w, v\} \text{ is } \text{blue} \text{ and } \{v, q\} \text{ is } \text{black} \quad &\text{then } T_{\text{red}}^{\text{toleft}}[u] = \text{black} \text{ and } U_{\text{red}}^{\text{toleft}}[u] = q,
 \end{aligned}$$

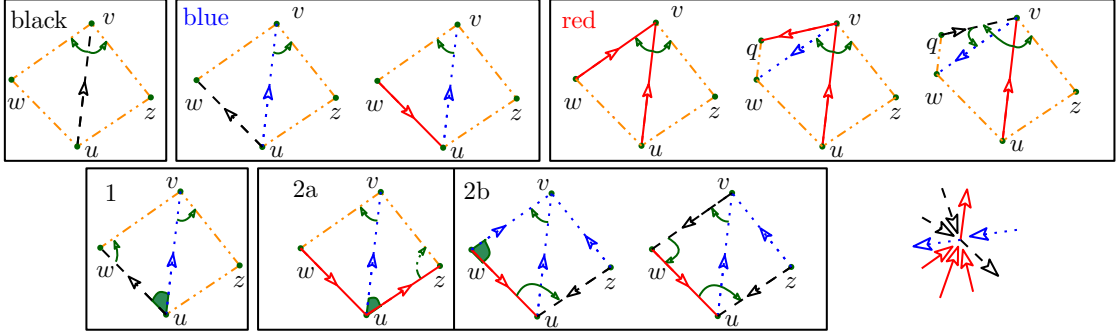


Figure 4: More compact scheme. Neighboring relations between edges are represented by tiny oriented (green) arcs corresponding to stored references, and by filled (green) corners which implicitly describe adjacency relations between outgoing edges incident to a same vertex: because of local Schnyder rule, we do not need to store references between neighboring outgoing edges.

**Theorem 4.** *Let  $\mathcal{G}$  be a triangulation with  $n$  vertices. There exists a representation requiring  $5n$  references, allowing efficient navigation, as in Theorem 2.*

*Proof.* We just need to explain how to retrieve the missing **RightFront** or **LeftFront** information. The other operations can be obtained as in the proof of Theorem 2.

We consider an edge  $e$  from  $u$  to  $v$  with incident triangles  $(v, u, z)$  on the right and  $(u, v, w)$  on the left. We first assume that  $e$  is an inner edge (the case of exterior edges is described below).

- If  $e$  is black, both **RightFront**( $e$ ) and **LeftFront**( $e$ ) are directly stored.
- If  $e$  is red, **RightFront**( $e$ ) is directly stored. **LeftFront**( $e$ ) is either directly stored if  $T_{\text{red}}^{\text{toleft}} \neq \text{black}$  or accessible using **RightFront**( $e'$ ), where  $e' = (q, v)$  is stored, otherwise (Figure 4-top-right).

- If  $e$  is blue, one of **RightFront**( $e$ ) or **LeftFront**( $e$ ) is directly stored and the other can be retrieved as follows (the case analysis below is illustrated by the bottom pictures in Figure 4):

**Case 1**  $\{u, w\}$  is black (if  $S_{\text{red}}[u] = \text{false}$ ) and thus towards  $w$ , then **RightFront**( $e$ ) is directly stored and **LeftFront**( $e$ ) is given by computing **RightFront**( $u'_{\text{black}}$ ).

**Case 2**  $\{u, w\}$  is red (if  $S_{\text{red}}[u] = \text{true}$ ) and thus towards  $u$ , then **LeftFront**( $e$ ) is directly stored.

**Case 2a**  $\{u, z\}$  is red (if  $S_{\text{black}}[u] = \text{false}$ ) and thus towards  $z$ , then **RightFront**( $e$ ) can be retrieved as **LeftFront**( $u'_{\text{red}}$ ).

**Case 2b**  $\{u, z\}$  is black (if  $S_{\text{black}}[u] = \text{true}$ ) and thus towards  $u$ : since clockwise oriented triangles are forbidden,  $\{z, v\}$  is necessarily towards  $v$  and thus blue. Edge  $\{v, w\}$  can be either black or blue, and is accessible as **LeftFront**( $e$ ). Vertex  $w$  can be accessed as **Source**(**LeftFront**(**LeftFront**( $e$ ))) if **LeftFront**( $e$ ) is black, and as **Source**(**LeftFront**( $e$ )) otherwise. Then, we are in the special case where  $(w, u) = w'_{\text{red}}$  store a reference to  $z$  the source of the second left edge, and  $\{z, v\}$  is now accessed as  $z'_{\text{blue}}$ .

Observe that it is possible to distinguish between cases in  $O(1)$  time just reading  $S$  and  $T$  arrays.

The case of an exterior edge  $(u, v)$  incident to the outer face is dealt as follows. If  $e = (V_1, V_0)$  or  $e = (V_2, V_0)$  then the navigation operators are performed as in Thm 2. If  $e = (V_2, V_1)$  then **RightFront** and **RightBack** are performed as described above for the case of inner edges: observe that the result of **RightFront**( $u'_{\text{blue}}$ ) is stored by construction in  $U_{\text{blue}}[u]$ . Finally, the two remaining cases are dealt in a special manner: the **LeftFront** and **LeftBack** operators are defined to return  $v'_{\text{red}}$  and  $u'_{\text{red}}$  respectively.  $\square$

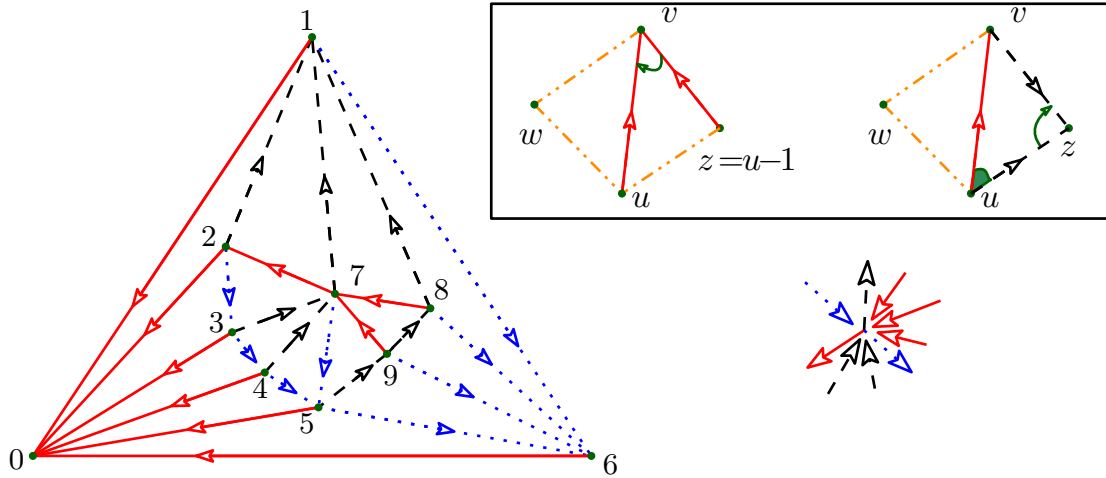


Figure 5: A planar triangulation (endowed with its maximal Schnyder wood) whose vertices are labeled according to a BFS traversal of tree  $\overline{\mathcal{T}}_{\text{red}}$  (right). On the right are shown all cases involved in the proof of Theorem 5: we now store only one reference for red edges, since most adjacency relations between edges in  $\overline{\mathcal{T}}_{\text{red}}$  are implicitly described by the BFS labels.

### 2.3 Further Reducing the Space Requirement

**Main idea:** order the vertices according to the red tree such that some leftfront or rightfront neighbors for the red edges can be found using arithmetic operations instead storing references. Schneider woods properties are still used to retrieve missing informations.

Allowing the exploitation of a permutation of the input vertices (reordering the vertices according to a given permutation), we are able to save one more reference per vertex.

In particular, we need an ordering such that the vertices having the same parent vertex  $u$  in  $\overline{\mathcal{T}}_{\text{red}}$ , will have consecutive numbers (when traversed turning cw around  $u$  from  $u'_{\text{black}}$ ): a simple breadth-first search traversal of  $\overline{\mathcal{T}}_{\text{red}}$  computes such an ordering (denoted *BFS*). Another possible choice could be the DFUDS ordering used in [5].

*Scheme description.* We first compute a maximal Schnyder wood of  $\mathcal{G}$ , and perform a BFS traversal of  $\overline{\mathcal{T}}_{\text{red}}$  starting from its root  $V_0$ : as  $\overline{\mathcal{T}}_{\text{red}}$  is a spanning tree of all vertices of  $\mathcal{G}$ , we obtain a vertex labeling such that, for every vertex  $v \in \mathcal{G}$ , the children of  $v$  in  $\overline{\mathcal{T}}_{\text{red}}$  have consecutive labels (as illustrated in Figure 5). We then reorder all vertices (their associated data) according to their BFS label, and we store entries in table  $T$  accordingly. This allows us to save one reference per vertex: we do not store a reference to **RightFront** for edges in  $\overline{\mathcal{T}}_{\text{red}}$ , which leads us to not store tables  $T_{\text{red}}^{\text{right}}$  and  $U_{\text{red}}^{\text{right}}$ , and retrieve the corresponding information using the ordering of vertices as explained below. All other tables are exactly as in the previous section. We can state the following result (the case analysis is partially illustrated by pictures in Figure 5):

**Theorem 5.** *Let  $\mathcal{G}$  be a triangulation with  $n$  vertices. If one is allowed to permute the input vertices (their associated geometric data) then  $\mathcal{G}$  can be represented using  $4n$  references, supporting navigation as in previous representations.*

*Proof.* Compared to the representation of Theorem 4 we lose the information concerning **RightFront** for red edges (which was previously explicitly stored in  $T_{\text{red}}^{\text{right}}$  and  $U_{\text{red}}^{\text{right}}$ ). An important remark is that, given a red edge  $e = (u, v)$ , we retrieve its right siblings in  $\overline{\mathcal{T}}_{\text{red}}$  just using its BFS label.



More precisely,  $\text{RightFront}(u'_{\text{red}})$  is either **red** or **black**. If it is **red**, then it is  $(u-1)'_{\text{red}}$ , it is possible to decide if this is the case by checking if  $u'_{\text{red}} = \text{LeftFront}((u-1)'_{\text{red}})$ . In the other case, edge  $\{u, z\}$  must also be **black** because clockwise oriented triangles are forbidden, and thus  $\text{RightFront}(u'_{\text{red}})$  can be obtained as  $\text{LeftFront}(u'_{\text{black}})$ .  $\square$

## 2.4 All Operations in $O(1)$ Worst Case Time

We can further exploit the redundancy in our representation in order to improve the computational cost of the navigation: both **Target** and **Adjacent** operators can be supported in worst case constant time. The solution relies on a very simple idea: we add a new table storing explicitly a reference to the target vertex of  $u'_{\text{blue}}$  or  $u'_{\text{black}}$ . We also use a service bit to store the parity of the depth of a given vertex in the **red** tree, and we store the target of  $u'_{\text{red}}$  in  $U_{\text{red}}^{\text{left}}$  when the information usually stored there is redundant and can be retrieved by other means. More precisely, we have the following theorem:

**Theorem 6.** *If one is allowed to permute input points, then there exists a compact representation requiring  $5n$  references which supports all navigation operators (including **Target** and **Adjacent**) in worst case  $O(1)$  time.*

*Proof.* The data structure of Theorem 5 is modified and extended as follows:

—  $U_{\text{red}}^{\text{left}}[u]$  stores  $\text{Target}(u'_{\text{red}})$ .

Notice that when  $\text{LeftFront}(u'_{\text{red}})$  is **red**,  $\text{Source}(\text{LeftFront}(u'_{\text{red}}))$  and  $\text{Target}(u'_{\text{red}})$  are the same and the content of  $U_{\text{red}}^{\text{left}}[u]$  is the same as before.

— A new array of bits  $Y$  is created with  $Y[u] = \text{true}$  if  $u$  has even depth in the **red** tree,  $Y[u] = \text{false}$  otherwise.

— A new array  $W$  storing vertex indices is created. If  $S_{\text{red}}[u] = \text{false}$  (that is  $u$  is a leaf of the **red** tree), then  $W[u] = \text{Target}(u'_{\text{Color}(\text{LeftFront}(u'_{\text{blue}}))})$ .

If  $S_{\text{red}}[u] = \text{true}$  ( $u$  is not a leaf), then  $W[u] = \text{Target}(u'_c)$ , where  $c = \text{black}$  if  $Y[u] = \text{true}$  (the depth of  $u$  in the **red** tree is even), and  $c = \text{blue}$  otherwise.

**Target operator.** We now explain how the **Target** operator can be implemented for each color, and how the **LeftFront** operator is modified for red edges (see Figure 6); the implementations of the other operators remain unchanged.

—  $\text{LeftFront}(u'_{\text{red}})$ :

If  $T_{\text{red}}^{\text{left}}[u] = \text{true}$  then  $\text{LeftFront}(u'_{\text{red}})$  is  $(u+1)'_{\text{red}}$  else  $\text{LeftFront}(u'_{\text{red}})$  is stored in  $U_{\text{red}}^{\text{left}}[u]$ .

—  $\text{Target}(u'_{\text{red}})$ : the target vertex  $v$  is directly stored in  $U_{\text{red}}^{\text{left}}[u]$ .

—  $\text{Target}(u'_{\text{blue}})$ .

If  $S_{\text{red}}[u] = \text{false}$  and  $T_{\text{blue}}^{\text{left}}[u] = \text{true}$ , the result is directly stored in  $W[u]$ .

If  $S_{\text{red}}[u] = \text{false}$  and  $T_{\text{blue}}^{\text{left}}[u] = \text{false}$ , the result is  $\text{Source}(\text{LeftFront}(u'_{\text{blue}}))$ .

If  $S_{\text{red}}[u] = \text{true}$  and  $Y[u] = \text{false}$ , the result is directly stored in  $W[u]$ .

If  $S_{\text{red}}[u] = \text{true}$  and  $Y[u] = \text{true}$  and  $T_{\text{blue}}^{\text{left}}[u] = \text{false}$ ,  
the result is  $\text{Source}(\text{LeftFront}(u'_{\text{blue}}))$ .

If  $S_{\text{red}}[u] = \text{true}$  and  $Y[u] = \text{true}$  and  $T_{\text{blue}}^{\text{left}}[u] = \text{true}$ ,  
the result is  $\text{Target}(\text{LeftFront}(u'_{\text{blue}}))$ .

Notice that at the last line, we need the **Target** of a **blue** edge whose source  $w$  has odd depth: the result is thus directly stored in  $W$  if  $w$  is not a leaf of the **red** tree or computable otherwise.

—  $\text{Target}(u'_{\text{black}})$  implementation is similar to the one of  $\text{Target}(u'_{\text{blue}})$ , up to one forbidden case since there is no cw triangle:

If  $S_{\text{red}}[u] = \text{false}$  and  $T_{\text{blue}}^{\text{left}}[u] = \text{false}$ , the result is directly stored in  $W[u]$ .

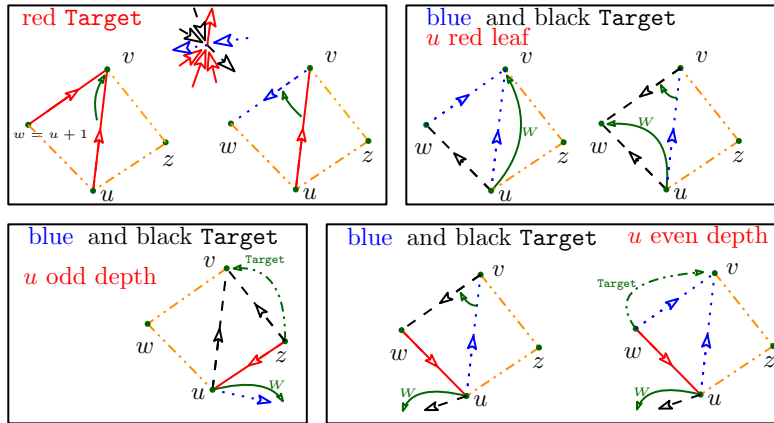


Figure 6: Implementation of the Target operator in  $O(1)$  time, with  $5n$  storage.

If  $S_{\text{red}}[u] = \text{false}$  and  $T_{\text{blue}}^{\text{left}}[u] = \text{true}$ , the result is  $\text{Source}(\text{LeftFront}(u'_{\text{blue}}))$ .  
 If  $S_{\text{red}}[u] = \text{true}$  and  $Y[u] = \text{true}$ , the result is directly stored in  $W[u]$ .  
 If  $S_{\text{red}}[u] = \text{true}$  and  $Y[u] = \text{false}$ , the result is  $\text{Target}(\text{RightFront}(u'_{\text{black}}))$ .

**Adjacent operator.** The constant time cost of Target directly leads to a very simple and  $O(1)$  time implementation of the Adjacent( $u, v$ ) operator. We first get the three target vertices of the 3 edges outgoing from  $u$ . These three neighbors of  $u$  are retrieved by computing  $\text{Target}(u'_{\text{black}})$ ,  $\text{Target}(u'_{\text{blue}})$  and  $\text{Target}(u'_{\text{red}})$ : we check whether one of them does coincide with vertex  $v$ . Similarly, we retrieve three neighbors of vertex  $v$  by computing  $\text{Target}(v'_{\text{black}})$ ,  $\text{Target}(v'_{\text{blue}})$  and  $\text{Target}(v'_{\text{red}})$ , and we check whether one of these vertices does coincide with vertex  $u$ .

Since in a triangulation endowed with a Schnyder wood each (inner) vertex has outdegree 3, we know that there exists an edge  $\{u, v\}$  if and only if one of the 6 tests described above returns a positive answer.

□

## 2.5 Representing faces

One limitation of the encoding schemes presented at the previous sections concerns the fact that our data structures are edge-based and do not allows us to explicitly represent the triangle faces: this could be a desirable requirement for some geometric processing applications.

In some situation, various kinds of data related to triangles need to be stored (such as face colors, face normals, ...): for this purpose common mesh data structures store, in addition to the incidence relations between edges and vertices, the map between faces and edges. For instance, common implementations of the half-edge data structure [32] store for each half-edge a reference to the incident face, and for each face they store a reference toward one incident half-edge: this requires  $(2 + 6)n = 8n$  references that must be added to the  $19n$  references of the basic implementation of the half-edge data structure.

In the case of our compact data structures we can represent the map between faces and edges in a more concise way, exploiting again the structural properties of Schnyder woods. In order to construct the mapping from edges to triangles, we first endow the triangulation with its maximal Schnyder wood. Then we match some edges with the triangle that lies at their left. Observe

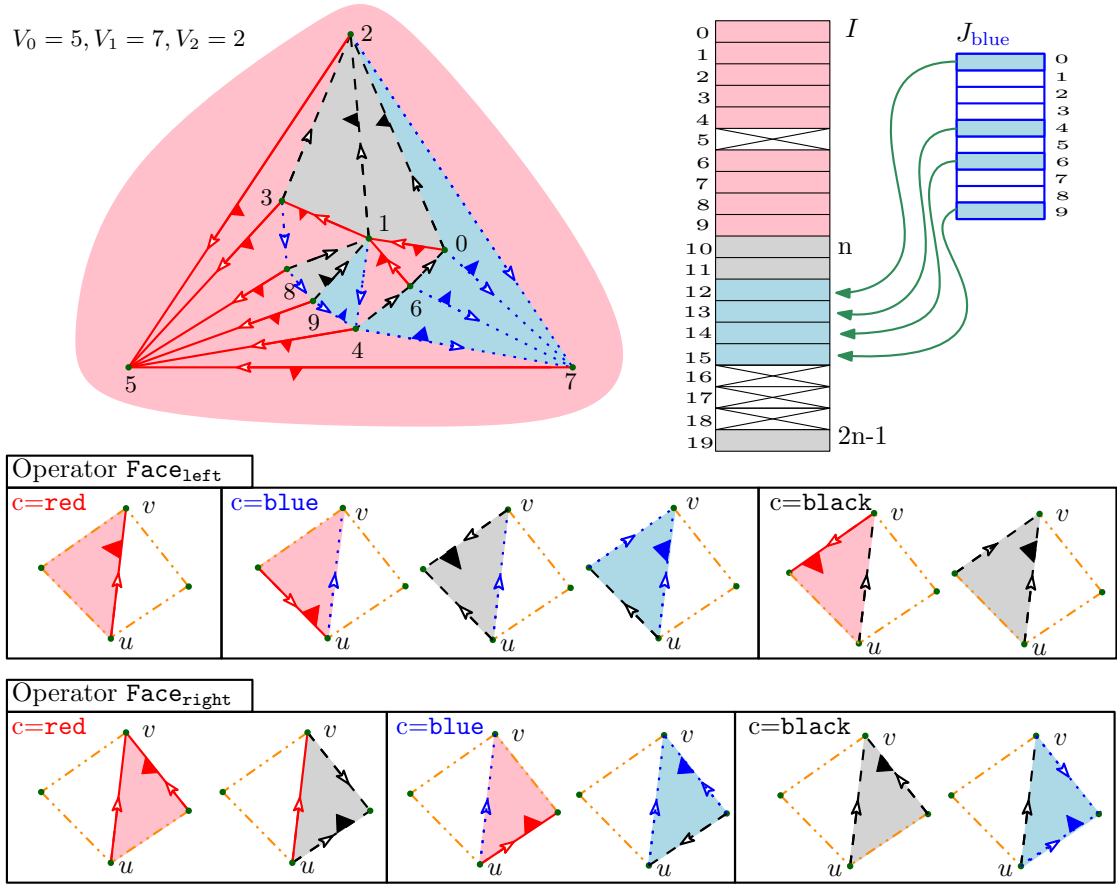


Figure 7: Mapping from edges to triangles (indicated by small triangles). Bottom pictures illustrate the case analysis of Theorem 7.

that, since there are no cw oriented cycles of directed edges, each triangle is at the left to at least one edge.

First, we match all  $(n - 1)$  red edges with the triangles at their left and call these triangles “red triangles” (see Fig. 7). Second, we match the black edges having a non-red triangle at their left with their left face: these are called “black triangles”. Finally, the remaining triangles are called “blue triangles” and must be at the left of one blue edge, and are matched with this blue edge.

The idea is to index the triangles using numbers from 0 to  $2n - 1$  in the following way: (i) The triangles matched with a red edge get the index of the source of the red edge (all red edges are matched with a triangle). Observe that each red triangle is matched to exactly one red edge: the local property of Schnyder woods ensures that in a triangle incident to two red edges both edges must be oriented toward the same vertex. (ii) The triangles matched with a black edge get the index of the source of the black edge plus  $n$  (some black edges remain unmatched) (iii) The triangles matched with a blue edge get the index of the source of an unmatched black edge that must be stored in some auxiliary table.

**Theorem 7.** *The data structures of Theorems 2, 4, and 5 can be extended to store information*

in triangles using one additional reference per vertex. Access to the information is done in  $O(1)$  time.

*Proof.* A triangle of color  $c$  is represented by its corresponding edge  $u'_c$  in the above matching and denoted  $u_c^\Delta$ . In addition to the input data associated to faces (e.g. face colors or normals), we make use of an additional array that represents the mapping from **blue** edges to **blue** triangles. More precisely, we have:

- one array of size  $n$  of face indices  $J_{\text{blue}}$  and
- one array of size  $2n$  of face informations  $I$  (four entries are unused).

The information stored in the array  $I$  can be retrieved with the following rules:

data associated to  $u_{\text{red}}^\Delta$  are stored in  $I[u]$   
 data associated to  $u_{\text{black}}^\Delta$  are stored in  $I[n + u]$   
 data associated to  $u_{\text{blue}}^\Delta$  are stored in  $I[n + J_{\text{blue}}[u]]$

We now explain how to retrieve the indices of the two faces incident to a given edge ( $\text{Face}_{\text{left}}$  and  $\text{Face}_{\text{right}}$  operators, see Figure 7).

**Face<sub>left</sub> operator** The  $\text{Face}_{\text{left}}$  operator can be implemented as follows for an edge  $u'_c$ : — If  $c = \text{red}$

- $\text{Face}_{\text{left}}(u'_{\text{red}})$  is  $u'_{\text{red}}^\Delta$
- If  $c = \text{black}$ 
  - If  $\text{LeftFront}(u)$  is **red** then  $\text{Face}_{\text{left}}(u'_{\text{black}})$  is given by  $\text{Source}(\text{LeftFront}(u))_{\text{red}}^\Delta$
  - else  $\text{Face}_{\text{left}}(u'_{\text{black}})$  is  $u'_{\text{black}}^\Delta$
- If  $c = \text{blue}$ 
  - If  $\text{LeftBack}(u)$  is **red** then  $\text{Face}_{\text{left}}(u'_{\text{blue}})$  is  $\text{Source}(\text{LeftBack}(u))_{\text{red}}^\Delta$
  - else If  $\text{LeftFront}(u)$  is **black** then  $\text{Face}_{\text{left}}(u'_{\text{blue}})$  is  $\text{Source}(\text{LeftFront}(u))_{\text{black}}^\Delta$
  - else  $\text{Face}_{\text{left}}(u'_{\text{blue}})$  is simply  $u'_{\text{blue}}^\Delta$

**Face<sub>right</sub> operator** The  $\text{Face}_{\text{right}}$  operator can be implemented as follows for an edge  $u'_c$ : — If  $c = \text{red}$

- If  $c = \text{red}$ 
  - If  $\text{RightFront}(u)$  is **red** then  $\text{Face}_{\text{right}}(u'_{\text{red}})$  is  $\text{Source}(\text{RightFront}(u))_{\text{red}}^\Delta$
  - else  $\text{Face}_{\text{right}}(u'_{\text{red}})$  is  $u'_{\text{black}}^\Delta$  (recall that cw oriented triangles are forbidden).
- If  $c = \text{black}$ 
  - If  $\text{RightFront}(u)$  is **black** then  $\text{Face}_{\text{right}}(u'_{\text{black}})$  is  $\text{Source}(\text{RightFront}(u))_{\text{black}}^\Delta$
  - else  $\text{Face}_{\text{right}}(u'_{\text{black}})$  is  $\text{Source}(\text{RightBack}(u))_{\text{blue}}^\Delta$
- If  $c = \text{blue}$ 
  - If  $\text{RightBack}(u)$  is **red** then  $\text{Face}_{\text{right}}(u'_{\text{blue}})$  is  $\text{Source}(\text{RightBack}(u))_{\text{red}}^\Delta$
  - else  $\text{Face}_{\text{right}}(u'_{\text{blue}})$  is  $\text{Source}(\text{RightFront}(u))_{\text{blue}}^\Delta$

Finally, observe that the two operators above can be performed in worst case  $O(1)$  time since their implementation does not involve the  $\text{Target}$  operator.

**Edge operator** The  $\text{Edge}$  operator for a face  $u_c^\Delta$  trivially returns  $u'_c$ .

To initialize  $J_{\text{blue}}$  we need an extra array of booleans  $K$  of size  $n$  (Array  $I$  can be used for this purpose since  $I$  is not yet initialized at that step). Array  $K$  is initialized at **true** at the beginning. Then in a first step: for each black edge  $u'_{\text{black}}$  if  $\text{Face}_{\text{left}}(u'_{\text{black}}) = u'_{\text{black}}^\Delta$  we set  $K[u] = \text{false}$ . In a second step: for each blue edge  $u'_{\text{blue}}$  we set  $J[u] = v$  such that  $K[v] = \text{true}$  and we set  $K[v] = \text{false}$ .  $\square$

Notice that if storing information in faces is not needed, it is still possible to design a procedure to iterate over all faces in constant time per face without using additional storage. A simple solution consists in performing a DFS traversal of the dual graph, avoiding to traverse the edges of the **red** tree: this is similar to the traversal performed to compute the binary encoding string that will be described in Section 3.1.

### 3 Decoding the Triangulation from a Compressed Format

A main issue common to many compact data structures [13, 12, 26, 29, 27], is that an explicit representation of the entire mesh must be kept in main memory during the whole construction phase: this is needed, for example, to process vertices and edges (or faces), which must be re-numbered according to a prescribed mesh traversal. This preliminary construction phase can greatly increase the overall memory requirements, especially for very huge meshes: in addition to the space storage of the compact data structure to construct (between  $4n$  and  $8n$  references for most compact representations), one has to use between  $13n$  and  $19n$  references for an explicit standard representation (such as *Corner Table* or *Half-edge*), and a few additional memory references for the implementation of the mesh processing (typically, a graph traversal). To address this issue, one could take advantage of the existence of various and efficient compressed formats for triangle meshes, which have been designed in order to store a triangulation on disk or to send it on the network.

In this section we show how to save our array-based compact representations in a compressed format so that we can reconstruct on the fly our structure in linear time, without any extra memory cost and in a streamable fashion. The first construction of our representation still need an explicit representation, in particular for the computation of the Schnyder wood. We make use of a compressed format for triangle meshes which is so far a standard tool in the domains of graph encoding and graph enumeration. This format allows coding/decoding a planar triangulation of size  $n$  with less than  $4n$  bits. The encoding scheme, originally designed for the planar case [30], relies on the combinatorial properties of Schnyder woods (or the related *canonical orderings* structure) and provides a bijection between the set of all Schnyder woods of a given planar triangulation and pair of non-crossing Dyck paths [6]. More recently this scheme has been generalized for dealing with genus  $g$  triangulations [17].

#### 3.1 Encoding Scheme

For the sake of completeness, we provide a concise overview of the encoding scheme for planar triangulations (a more detailed presentation can be found in [6]).

We start with a planar triangulation with  $n$  vertices, endowed with an arbitrary Schnyder wood, and we will produce a binary encoding of length  $4n-3$ , obtained as follows. First construct a (standard) balanced parenthesis word encoding the combinatorics of the **red** tree: just perform a depth-first traversal of  $\overline{\mathcal{T}}_{\text{red}}$  starting from its root  $V_0$  (in ccw order). Each time a new vertex is reached during this traversal a symbol  $($  is produced; while each time the traversal goes back to a parent, a symbol  $)$  is produced. This procedure gives a word of  $2n$  bits, called the **red** word in the sequel. Then we construct a black word, that stores in unary representation the number of incoming black edges (the incoming black degree of a vertex) for all vertices in  $\mathcal{G}$ . These numbers (one for each vertex) are separated by a '0' symbol: vertices are naturally ordered according to the depth-first traversal of the **red** tree (as illustrated by the left picture in Fig. 8). Observe that the combination of the **red** and black words, together with the local Schnyder condition, represent the **red** tree enriched with the information concerning the locations of starting and ending places of black edges (depicted as broken black arrows in the middle picture of Fig. 8).

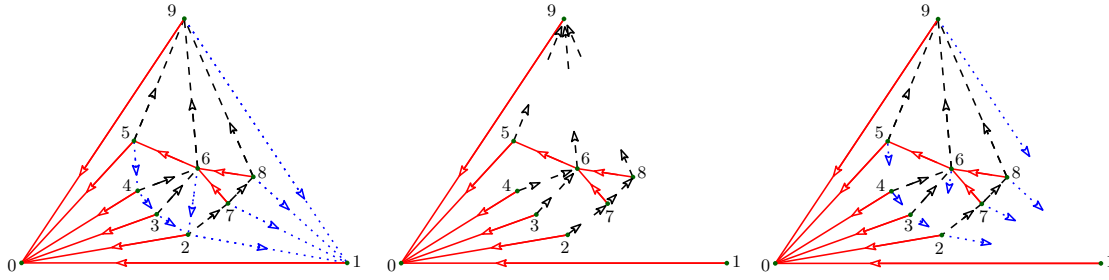


Figure 8: Encoding/decoding phase. A triangulation (endowed with a Schnyder wood orientation) is encoded by a pair of binary words, encoding respectively the **red** tree  $\overline{\mathcal{T}}_{\text{red}}$  and the tails of black edges (center). The information concerning blue edges is redundant and can fully retrieved by applying the local Schnyder condition (right).

The size of the final encoding can be easily evaluated, as follows. The number of 1 bits in the black word matches the number of black edges in the tree  $\mathcal{T}_{\text{black}}$ : recall that  $\mathcal{T}_{\text{black}}$  has  $n - 2$  vertices and thus  $n - 3$  edges, which leads to a total length of  $2n - 3$  symbols, as the black word contains a 0 bit for each vertex. The **red** word has two parentheses per vertex, that is a length of  $2n$ . The total length of the encoding, concatenation of the black and **red** words, is thus  $4n - 3$ .

The string below corresponds to the encoding of the triangulation in Figure 8 (this is the same triangulation of the example in Figure 3, where vertices are re-ordered according to a depth-first traversal of  $\overline{\mathcal{T}}_{\text{red}}$  in ccw order):

( ( ) ( ) ( ) ( ( ( ) ( ) ) ) ( ) ) 00000011010101110

For the sake of clarity, we can decorate this code by vertex indices:

( **0** ( **1** ) **1** ( **2** ) **2** ( **3** ) **3** ( **4** ) **4** ( **5** ( **6** ( **7** ) **7** ) ( **8** ) **8** ) **6** ) **5** ( **9** ) **9** ) **0** 0<sub>0</sub> 0<sub>1</sub> 0<sub>2</sub> 0<sub>3</sub> 0<sub>4</sub> 0<sub>5</sub> 110<sub>6</sub> 10<sub>7</sub> 10<sub>8</sub> 1110<sub>9</sub>.

In the example above the black word encodes the in-black-degrees of vertices, which are respectively 0, 0, 0, 0, 0, 0, 2, 1, 1, 3.

### 3.2 Decoding Phase

The decoding procedure is in two steps. At the first step, we use the `readNextRedSymbol()` to read the **red** word, and the operator `readBlackInDegree()` to retrieve the incoming black degree of vertices (stored in the black word). The linear scan of the **red** word allows the construction of the red tree by inserting the vertices (numbered according to a depth-traversal) into a **red** stack: in this way we fill all **red** columns of array  $T$  and  $U$ . In parallel, we can read the black word which allows us to fill the black columns of array  $T$  and  $U$ . No extra memory is required for an explicit storage of the **red** stack: as the **blue** columns are not involved during this first step, one **blue** column can be used to provide an array-based implementation of such a stack.

Finally, in a second step that will be detailed later, the array of vertices is scanned retrieving the information about **blue** edges.

**Constructing Red and Black Trees.** More precisely, the **red** tree is entirely defined by the **red** word. By the coloring rule, we know that a **red** edge from  $u$  to  $v$  is such that `LeftFront` is either a **red** edge incoming at  $v$  or a **blue** edge outgoing at  $v$ . Symmetrically, `RightFront` is either a **red** edge incoming at  $v$  or a black edge outgoing at  $v$ . At the first look, you can skip

the call to `ConstructBlackTree` in the algorithm below and get a program that creates the **red** columns of  $T$ . Observe that when the **red** tree is traversed in depth first order, the source of a black edge is always visited before its target.

We present the algorithm as recursive for the ease of comprehension, but notice that this function does not use any implicit memory stack, we have made all memory explicit in the **red** and black stacks. See Figures 9 and 10. Notice that a vertex is not simultaneously in the two stacks (except from the top) and thus one **blue** array has enough memory to implement the two stacks.

**Constructing the Blue Tree** When considering the **red** and black trees together, one obtains a planar map (an embedded graph whose faces are homeomorphic to a disk) where only blue edges are missing (as depicted in the rightmost picture of Figure 8). In the standard case where one is provided with a complete representation of such a map (as in [30, 6]) retrieving the location of blue edges is straightforward: the source vertex of a blue edge is known by applying the local Schnyder rule, and edge destinations can be recovered by performing a facial walk of each **red**/black face. In our case such a solution cannot be applied: after the first decoding step the **red** and black trees are recovered, but only a partial knowledge of the **red**/black map is available.

Our strategy is slightly different, and consists of iteratively discovering and visit in cw order the blue edges incident to a given vertex  $x$  (this procedure is performed independently for each vertex). The code of the procedure `constructBlueTree` computing blue edges is illustrated by Figure 11. The code enumerates the **blue** edges with target  $x$  clockwise around  $x$ . Let  $(u, x)$  be a blue edge, we are searching  $\{v, x\}$  the next edge cw around  $x$ . There are four cases depending of the color of  $\{u, v\}$  (**red** or black) and of of the color of  $\{x, v\}$  (**blue** or black). This can be done using Schnyder rules as described by Figure 11. The three vertices  $V_0 = 0$ ,  $V_1 = 1$ , and  $V_2 = n - 1$  are treated in a special manner.

**Decoding for  $5n$  Version** The above `ConstructBlueTree` decoding algorithm produces the  $6n$  version of the data structure described in Section 2.1. To produce the  $5n$  version of Section 2.2, the algorithm `ConstructRedTree` remains almost unchanged, except that  $U_{\text{red}}^{\text{left}}$  is replaced by  $U_{\text{red}}^{\text{toleft}}$  and  $T_{\text{red}}^{\text{left}}$  is replaced by  $T_{\text{red}}^{\text{toleft}}$  (taking the values **red** or **blue** instead of **true** or **false** respectively). Then, we have to modify the function `ConstructBlueTree` as described in `ConstructBlueTree5n` (Figure 12) to update the left **red** references in the relevant way, and to store only one of the two **blue** references.

### 3.3 Streamable Encoding Scheme

As described above, the first decoding phase (construction of **red** and black trees), requires a parallel reading of the two black and **red** words, or to perform a linear scan of the whole encoding in two passes: to first construct the **red** tree, and then to recover black edges (with a second linear scan). In practice, this can be a limitation in the case of streaming applications. In order to avoid this problem, and to make our data structure fully streamable, we can slightly modify our encoding scheme, by interleaving the symbols in the **red** and black words.

More precisely, the bits in the **red** and black words can be mixed in a single binary word as follows. We start with the encoding of the **red** tree, where ( and ) symbols are replaced by 0 and 1 bits respectively. Let us assume we are visiting and encoding a vertex  $v$ : just after the  $0_v$  bit corresponding to the first visit of  $v$ , we encode the black in-degree of  $v$  by writing a block consisting of  $d$  1 bits followed by a 0 bit. The mixed code corresponding to the example of Figure 8 is given below

0<sub>0</sub> 0<sub>0</sub> 0<sub>1</sub> 0<sub>1</sub> 1<sub>1</sub> 0<sub>2</sub> 0<sub>2</sub> 1<sub>2</sub> 0<sub>3</sub> 0<sub>3</sub> 1<sub>3</sub> 0<sub>4</sub> 0<sub>4</sub> 1<sub>4</sub> 0<sub>5</sub> 0<sub>5</sub> 0<sub>6</sub> 110<sub>6</sub> 0<sub>7</sub> 10<sub>7</sub> 1<sub>7</sub> 0<sub>8</sub> 10<sub>8</sub> 1<sub>8</sub> 1<sub>6</sub> 1<sub>5</sub> 0<sub>9</sub> 1110<sub>9</sub> 1<sub>9</sub> 1<sub>0</sub>.

```

// N is a global counter to index vertices, initialized at 0
// before the first call, 0 is pushed in the red stack, and first ( already read.
ConstructRedTree()
u = top( red stack );           // Construct tree rooted at u
d = readBlackInDegree();
ConstructBlackTree(u, d);
readNextRedSymbol();
if “)” ;                          // u has no red child
then
  Sred[u] = false;
else
  Sred[u] = true;
  N = N + 1; v = N ;             // first red child of u
  Uredleft[v] = u; Tredleft[v] = false;
  LastRedEdge=false;
  while LastRedEdge == false do
    push(v, red stack);
    ConstructRedTree() ;         // tree rooted at v
    v=pop(red stack); u=top(red stack);
    readNextRedSymbol();
    if “(” then
      N = N + 1; w = N ;         // next red child of u
      Uredleft[w] = v; Tredleft[w] = true;
      Uredright[v] = w; Tredright[v] = true;
      v = w;
    else
      LastRedEdge = true ;      // to exit the loop
    end
  end
  // v is the last red child of u
  Uredright[v] = u; Tredright[v] = false;
  if u == 0 then
    // V0 does not have outgoing black edge
    Uredright[v] = 1; Tredright[v] = true;
  end
end
end
if u > 1 then
  // V0 and V1 do not have outgoing black edges
  push(u, black stack);
end
end

```

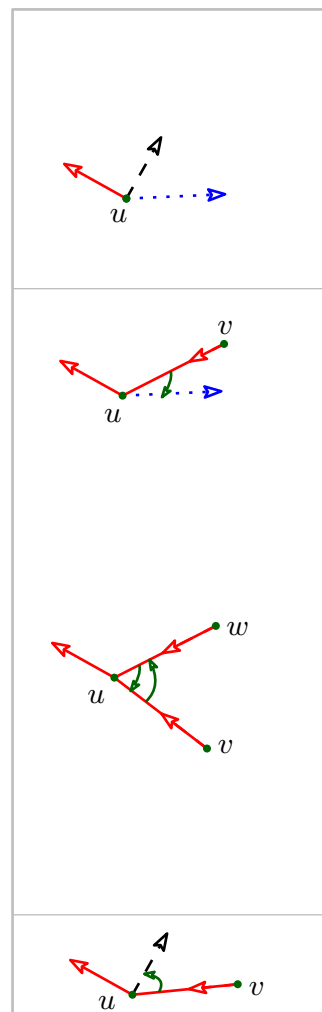


Figure 9: Decoding algorithm: recursive construction of the red and black trees in parallel.



```

ConstructBlackTree(u, d)
if d == 0 ; // u has no black child
then
  S_black[u] = false;
else
  S_black[u] = true;
  d = d - 1; pop(x, black stack) ; // first black child
  U_black^left[x] = u; T_black^left[x] = false;
  while d > 0 do
    y = x; d = d - 1; pop(x, black stack);
    U_black^left[x] = y; T_black^left[x] = true;
    U_black^right[y] = x; T_black^right[y] = true;
  end
  U_black^right[x] = u; T_black^right[x] = false ; // last black child
end
end

```

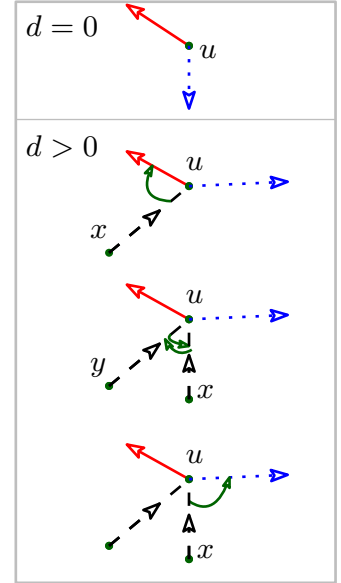


Figure 10: This procedure performs the construction of the children of a vertex  $u$  having  $d$  incoming black edges in  $\mathcal{T}_{\text{black}}$ .

where we provide colors and subscripts just to help intuition. It is easy to distinguish between **red** and black symbols, since during the decoding phase we perform a linear scan of this mixed word, by taking into account the Schnyder local rule. We start by reading the first three **red** symbols, mixed to two black 0 bits, which corresponds to the first edge  $(V_0, V_1)$  that has not incoming black edges. For any other vertex  $u$  (different from  $V_0$  and  $V_1$ ), when after visiting its outgoing **red** edge we turn around  $u$  in ccw order, we may encounter a (possibly empty) sequence of black incoming edges.

- The **red**  $0_u$  symbol must be followed by a block of black bits: a sequence (possibly empty) of black 1 bits, ended by a black  $0_u$  bit, encoding the (possibly empty) group of incoming black edges.
- So, the black 1 symbol is always followed by a black bit.
- The **red**  $1_u$  symbol must be followed **red** bit. This is either a **red**  $0_v$  symbol (if  $u$  has another sibling vertex  $v$  in  $\mathcal{T}_{\text{red}}$ ) or a **red**  $1_x$  symbol (if  $x$ , the parent of  $u$ , has no other children).
- The black  $0_u$  symbol is followed by a **red** bit: either a  $1_u$  bit if  $u$  has no children, or a  $0_v$  bit otherwise, where  $v$  is the first child of  $u$ .

Thus with a simple linear scan the `readNextRedSymbol` and `readBlackInDegree` operators can be supported on the mixed encoding described above, allowing the construction of the **red** and black trees simultaneously.

## 4 Experimental Results

**Settings and datasets.** We have written Java implementations of the processing algorithms and compact data structures presented in this work.<sup>3</sup> We performed tests on a wide collection

<sup>3</sup> A pure Java implementation of our algorithms and data structures, as well as input meshes in compressed format, are available at [www.lix.polytechnique.fr/~amturing/software.html](http://www.lix.polytechnique.fr/~amturing/software.html).

```

ConstructBlueTree()
for  $x = 2$  to  $N - 2$  do
  if  $U_{red}^{right}[x] == U_{black}^{left}[x]$  and  $T_{red}^{right}[x] \neq T_{black}^{left}[x]$  then
    //  $x$  has no blue child
     $S_{blue}[x] = false;$ 
  else
     $S_{blue}[x] = true;$ 
    if  $T_{red}^{right}[x];$  // RightFront is red or black?
    then
       $u = U_{red}^{right}[x];$ 
    else
       $z = U_{red}^{right}[x];$ 
       $u = U_{black}^{right}[z];$ 
    end
    //  $(u, x)$  is the first blue edge towards  $x$ 
     $U_{blue}^{right}[u] = x; T_{blue}^{right}[u] = false;$ 
    LastBlueEdge = false;
    while LastBlueEdge == false do
      //  $\{v, x\}$  will be the next edge cw around  $x$ ;
      if  $S_{red}[u];$  //  $(v, u)$  is red
      then
         $v = u + 1;$  // first red child of  $u$ 
        if  $U_{black}^{left}[x] = v$  and  $T_{black}^{left}[x] = false$  then
           $U_{blue}^{left}[u] = x; T_{blue}^{left}[u] = false;$  //  $(u, x)$  last blue edge
          LastBlueEdge = true;
        else
           $U_{blue}^{left}[u] = v; T_{blue}^{left}[u] = true;$ 
           $U_{blue}^{right}[v] = u; T_{blue}^{right}[v] = true;$ 
        end
      else
        //  $(u, v)$  is black
        if  $U_{black}^{left}[x] \neq u$  then
           $v = U_{black}^{right}[u];$ 
           $U_{blue}^{left}[u] = v; T_{blue}^{left}[u] = true;$ 
           $U_{blue}^{right}[v] = u; T_{blue}^{right}[v] = true;$ 
        else
           $U_{blue}^{left}[u] = x; T_{blue}^{left}[u] = false;$  //  $(u, x)$  last blue edge
          LastBlueEdge = true;
        end
      end
    end
     $u = v;$ 
  end
end
end

```

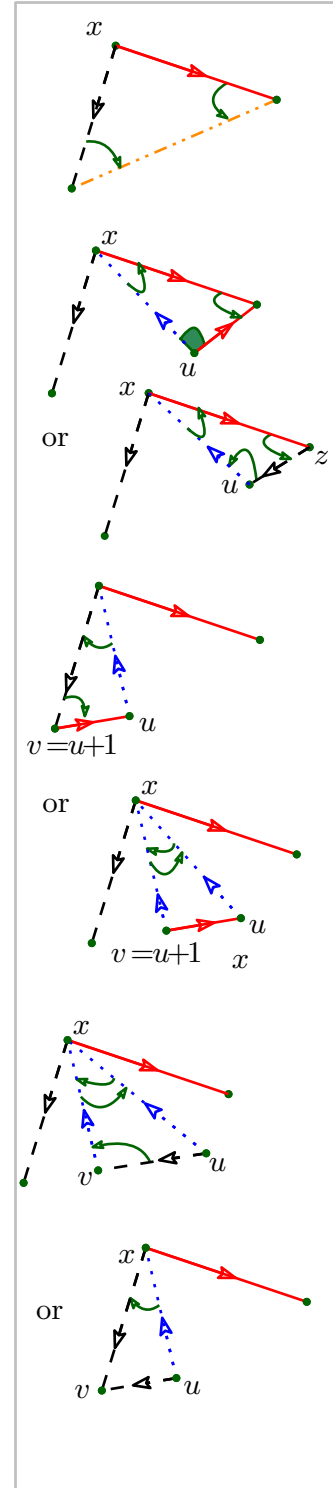


Figure 11: Decoding algorithm: third phase.

```

ConstructBlueTree5n()
for  $x = 2$  to  $N - 2$  do
  if  $U_{\text{red}}^{\text{right}}[x] == U_{\text{black}}^{\text{left}}[x]$  and  $T_{\text{red}}^{\text{right}}[x] \neq T_{\text{black}}^{\text{left}}[x]$  then
    //  $x$  has no blue child
     $S_{\text{blue}}[x] = \text{false}$ ;
  else
     $S_{\text{blue}}[x] = \text{true}$ ;
     $u = U_{\text{red}}^{\text{right}}[x]$ ; //  $(u, x)$  is the first blue edge towards  $x$ 
     $\text{ColorLastEdge} = \text{red}$ ; // RightFront must be red (no cw triangles)
     $z = x$ ;
    LastBlueEdge = false;
    while LastBlueEdge == false do
      //  $\{v, x\}$  will be the next edge cw around  $x$ ;
      if  $S_{\text{red}}[u] = \text{true}$  // check whether  $(v, u)$  is red
        then
           $v = u + 1$ ; //  $v$  is the first red child of  $u$ 
          if  $\text{ColorLastEdge} == \text{black}$  then
            |  $U_{\text{red}}^{\text{toleft}}[v] = z$ ;  $T_{\text{red}}^{\text{toleft}}[v] = \text{black}$ ; // Modified red ref
          end
           $\text{ColorLastEdge} = \text{red}$ ;
          if  $U_{\text{black}}^{\text{left}}[x] = v$  and  $T_{\text{black}}^{\text{left}}[x] = \text{false}$  then
            | LastBlueEdge = true; //  $(u, x)$  last blue edge
            |  $U_{\text{blue}}[u] = x$ ;  $T_{\text{blue}}[u] = \text{false}$ ; // blue ref is to the left
          else
            |  $U_{\text{blue}}[u] = v$ ;  $T_{\text{blue}}[u] = \text{true}$ ;
            | if  $S_{\text{red}}[v] = \text{false}$  then
            | |  $U_{\text{blue}}[v] = u$ ;  $T_{\text{blue}}[v] = \text{true}$ ;
            | end
          end
        else
           $\text{ColorLastEdge} = \text{black}$ ; //  $(u, v)$  is black
           $U_{\text{blue}}[u] = z$ ; // blue ref is to the right
          if  $z = x$  then
            |  $T_{\text{blue}}[u] = \text{false}$ ; //  $(u, x)$  is first blue child
          else
            |  $T_{\text{blue}}[u] = \text{true}$ ;
          end
          if  $U_{\text{black}}^{\text{left}}[x] \neq u$  then
            |  $v = U_{\text{black}}^{\text{right}}[u]$ ;
            | if  $S_{\text{red}}[v] = \text{false}$  then
            | |  $U_{\text{blue}}[v] = u$ ;  $T_{\text{blue}}[v] = \text{true}$ ;
            | end
          else
            | LastBlueEdge = true; //  $(u, x)$  last blue edge
          end
        end
      end
       $z = u$ ;
       $u = v$ ;
    end
  end
end
end

```

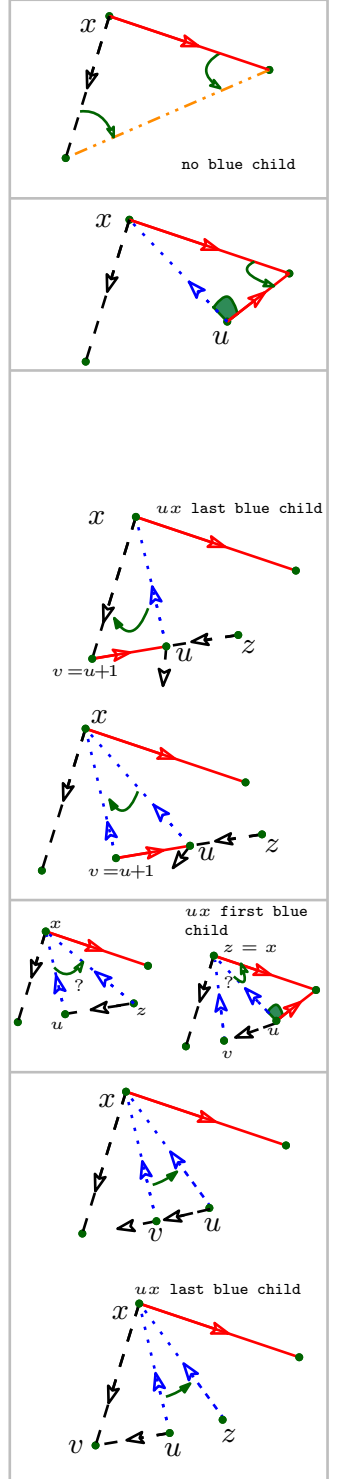


Figure 12: Decoding algorithm: third phase for the 5n version. Changes with respect to function ConstructBlueTree are in green.

Mesh type	vertices	faces	Construction from binary OFF				Decoding	
			building DS from OFF	comput. SW	building CDS6n	compression	reading compres.	decoding CDS6n
Egea	8268	16K	0.015	0.005	0.007	0.016	0.007	0.013
Bunny	26002	52K	0.046	0.010	0.012	0.038	0.009	0.022
Iphigenia	49922	99K	0.063	0.019	0.019	0.044	0.011	0.30
Camille's hand	195557	391K	0.154	0.036	0.080	0.080	0.014	0.055
Eros	476596	953K	0.30	0.06	0.14	0.12	0.030	0.091
Chinese Dragon	655980	1.3M	0.53	0.11	0.17	0.17	0.046	0.118
Pierre's hand	773465	1.5M	0.55	0.12	0.18	0.22	0.049	0.12
Isidore horse	1.1M	2.2M	0.69	0.18	0.28	0.26	0.050	0.14
Hand (poisson)	1.6M	3.3M	1.01	0.24	0.35	0.39	0.078	0.16
Random 2.5M	2.5M	5M	1.75	0.30	0.46	0.45	0.082	0.28
Random 5M	5M	10M	3.97	0.58	0.93	0.77	0.127	0.50
Random 7.5M	7.5M	15M	5.15	0.86	1.36	1.23	0.161	0.75
Random 10M	10M	20M	8.16	1.16	1.49	1.50	0.185	0.96
Random 12.5M	12.5M	25M	30.39	1.54	2.36	2.18	0.236	1.21
Random 15M	15M	30M	32.58	1.83	2.68	2.32	0.314	1.47

Table 2: (left) This table reports the runtime performances of all steps involved in the construction, compression and decoding phases of our compact data structure using  $6n$  references (CDS6n). (right) The red chart corresponds to the overall cost of the compression phase (sum of the first, second and fourth columns), while the green chart corresponds to the whole decoding phase (sum of the last two columns). All results are expressed in seconds and represent the average performances obtained with several runs of our tests. In our tests we allocate 6GB of RAM memory for running the construction and compression steps (we use `-Xms6G -Xmx6G` as argument for the Java Virtual Machine). We run the decoding from compressed format allocating 800MB of RAM for the JVM.

of meshes, whose sizes range from few thousands to millions of vertices, to evaluate both the construction and navigation time performances. Our tests involves various kinds of datasets, including standard 3D models<sup>4</sup> homeomorphic to a sphere as well as planar random triangulations generated with the uniform random sampler by Poulalhon and Schaeffer [38]. All our tests are run on a HP EliteBook, equipped with 8GB of RAM and an Intel Core i7 2.60GHz, running under linux (Ubuntu 16.04) and with Java 1.8 64-bit.

#### 4.1 Preprocessing: construction vs. decoding.

We first evaluate the runtime performances of our data structures concerning the construction phase. Table 2 shows the construction costs (expressed in seconds) of the data structure using  $6n$  references (referred to as *CDS6n*, Thm 2). The runtime costs reported in the first three columns correspond to the different steps in the construction phase of our data structure starting from a binary OFF file<sup>5</sup>, which is a standard format storing both the geometry (3D vertex locations) and the mesh connectivity (the mesh is stored with a shared vertex representation). The input OFF file is read with a linear scan in order to construct on the fly, from a shared vertex representation, an half-edge data structure (using Java references) storing the triangulation in main memory (first column, *building DS from OFF*).

<sup>4</sup>Most of them are made available in standard format by the AIM@SHAPE Shape Repository

<sup>5</sup>In order to obtain a fair comparison, all input data (the OFF files as well as the files storing the compressed format described in Section 3.1) are stored using a binary encoding: all integer references and vertex coordinates are stored on 32 bits each.

The mesh is then processed in order to endow the triangulation with a Schnyder wood orientation (second column *comput. SW*), and finally arrays  $T$ ,  $U$  and  $S$  are allocated to build the compact data structure (column *building CDS6n*). The overall cost of the whole pre-processing phase is given by the sum of the timing values in the first three columns. As illustrated by the performances reported in Table 2, the timing cost is dominated by the construction of the mesh representation in main memory: this is the unique bottleneck of our pre-processing phase. Observe that most compact data structures [29, 26, 27, 28, 13] have the same limitation.

The runtime performances of the compression algorithm are similar to the ones of the construction phase: the main difference is that it is not necessary to build our compact data structure in main memory, since the compressed file format can be obtained directly from the explicit (non compact) representation of the triangulation once it has been endowed with a Schnyder wood orientation. Thus the total cost for encoding a triangulation into a compressed format of size at most  $4n$  bits, is given by the sum of the first two columns plus the fourth column (*compression*) that measures the times for both encoding the triangle mesh and for outputting the result into a binary compressed file (the runtime costs of the construction/compression phase in Table 2 are obtained allocating 6GB of RAM for the Java Virtual Machine). The reported runtime costs confirm the asymptotic linear time behaviour of all steps of our algorithms (see the red chart in Fig. 2, reporting the overall cost of the compression phase).

One can observe that runtime performances get worse for large meshes ( $\geq 25M$  faces): this concerns only the construction of the mesh representation in main memory. As observed monitoring the memory usage during our benchmarks, the main reason of such poor performances relies on expensive execution of the garbage collector of the JVM: unfortunately it is not possible to disable the Java garbage collector, whose execution follows a non-deterministic behaviour.

**Decoding the compressed format** One main advantage of our encoding/decoding algorithm (Section 3), is that the mesh can be directly constructed from a compressed input file, without using additional memory for an intermediate representation and without computing the Schnyder wood, which leads in overall to a smaller construction cost. The last two columns of Table 2 report the runtime costs of the construction from compressed format: the scan of the input file and the decoding phase of Section 3.2. The compressed storage format encoding the connectivity described in Section 3.1 is much more compact compared to the binary OFF format: as each face stores 3 integers (each on 32 bits), the shared vertex representation requires for connectivity  $2 \cdot 3 \cdot 32 = 192$  bits per vertex, while our compressed format requires about 4 bits per vertex. As a consequence, the linear scan of the compressed input binary file is largely dominated by the decoding of vertex coordinates (geometric coordinates are not compressed, but encoded on 32 bits each using simple float precision).

We run our tests using the argument `-Xss100m` for the JVM to allow enough memory for the recursive decoding procedure (the number of recursive calls depends on the height of the **red** tree): nevertheless, as observed in Section 3.2, the decoding does only make use of two stacks and could be implemented in a not recursive way.

Our results confirm the linear time complexity of the whole decompressing phase: decoding a triangulation stored in compressed format is extremely fast and can be performed using little memory requirements even for large meshes (the runtime costs of the decoding step in Table 2 are obtained allocating 800MB of RAM for the JVM).

## 4.2 Mesh navigation.

In order to evaluate the runtime performances of our representations, we have implemented Java array-based versions of two standard (non-compact) representations: Table 3 and Table 13 report

Mesh type	H-E (19n)	W-E (19n)	CDS6n	CDS6n	CDS5n	CDS4n
			(slow)	Thm 2	Thm 2	Thm 4
Egea	25	30	52	41	81	75
Bunny	29	33	45	34	74	64
Iphigenia	27	31	50	38	77	57
Camille's hand	87	62	47	34	57	53
Eros	24	20	38	28	63	45
Chinese dragon	24	22	41	30	56	44
Pierre's hand	15	14	32	22	57	43
Isidore horse	20	19	37	27	56	57
Hand (poisson)	27	27	51	39	67	61
Random 100K vert.	34	29	55	46	76	71
Random 2.5M vert.	38	33	62	49	93	91
Random 5M vert.	39	33	63	51	93	91

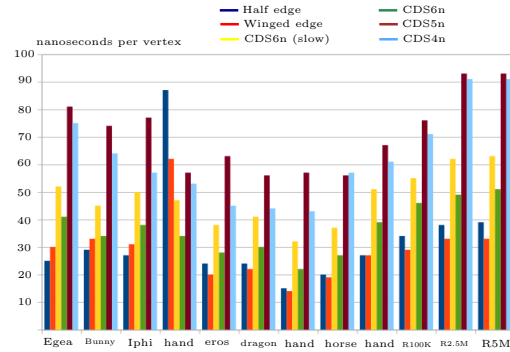


Table 3: Vertex degree computation: this table reports the average runtime performances of our compact data structures compared to array-based implementations of the *Half-edge* and *Winged-edge* data structures (all results are expressed in nanoseconds per vertex).

comparisons with the *Half-edge* and *Winged-edge* data structures.

As in previous works [10, 29, 26, 27, 28, 13] we consider two standard processing procedures: computing *vertex degrees* (involving edge navigation) and *vertex normals* (involving vertex access operators and geometric calculations). In all tests we allocate enough memory so that all representations of the tested 3d models fit in main memory; vertices are accessed sequentially according to their original order in the input mesh (except for the data structure using  $4n$  references of Thm 5, where vertices are re-ordered according to the BFS traversal of the red tree). All results reported in Table 3 and Fig 13 are expressed in nanoseconds per vertex, and represent the average runtime performances computed by repeating hundreds of our tests (we run a warming phase in order to avoid initialization costs).

We have two implementations of the compact data structure described in Thm 2. In the first implementation (referred to as *CDS6n slow*) an edge  $(u, v)$  of color  $c$  is represented with a 32 bits integer encoding the pair  $(u, c)$ , as detailed in Section 2: the less significant bits are service bits encoding the color, while the remaining part of the reference stores the number of the source vertex  $u$ . Both service bits and numbers are retrieved with a combination of bit shifts and masks. We also have another implementation (called *CDS6n*), which is slightly more efficient in practice, where tables  $U$  stores directly an edge index (a number between 0 and  $3n - 1$ ): as the three edges outgoing from a vertex  $u$  have consecutive numbers  $3u$ ,  $3u + 1$  and  $3u + 2$ , the retrieval of edge colors can be done by performing modulo operations, while the vertex source is computed by integer divisions (as presented in [11]). Analogously, the implementations of the data structures described by Thm 4 and Thm 5, using respectively 5 and 4 references per vertex, are called *CDS5n* and *CDS4n* and store edge numbers (refer to Table 3 and Figure 13). We would like to point out that the main goal of our implementations is to show the practical interest of our compact data structures, whose runtime performances are comparable to the ones of non-compact data structures. But there is room for improvement: a careful optimization of the elementary operations involving the reference encoding could lead to slightly better navigation costs.

As one could expect, non-compact mesh representations are faster in most cases (see the runtime performances listed in Table 3). Our data structures are even faster in some cases (*Camille's hand* model). The degraded performances of navigation of standard data structures are explained by the bad vertex ordering in the original model (this leads to bad locality for both vertex and edge storage in main memory). In our compact data structures, while keeping the

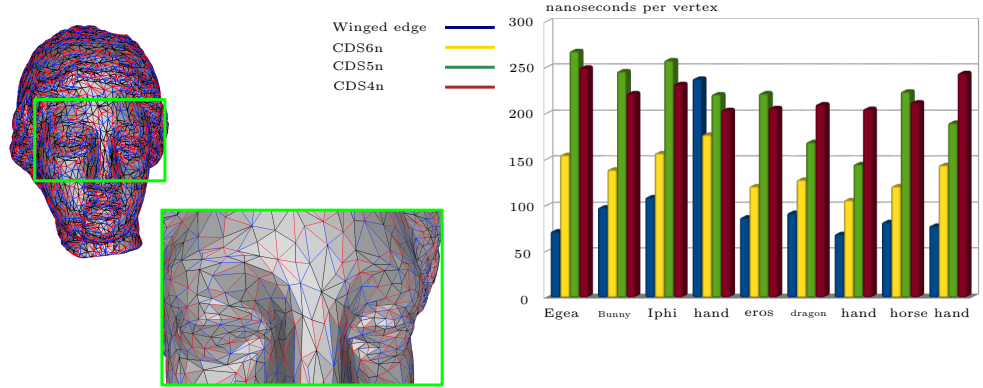


Figure 13: This chart reports the comparison of the average runtime performances, expressed in nanoseconds per vertex, concerning the vertex normal computation (all calculations are done with simple float precision). Experiments are obtained allocating 1GB of RAM (using `-Xms1G -Xmx1G` as argument for the JVM). The left picture shows the Egea mesh, endowed with a Schnyder wood edge coloration.

same original vertex ordering (for *CDS6n* and *CDS5n*), we can take advantage of the locality of edge ordering, since the 3 outgoing edges incident to a vertex are stored consecutively. In overall our data structures achieve good trade-offs between space usage and runtime performances. While being between 3.17 and up to 4.75 times more compact for connectivity than winged-edge or half-edge, our structures are slightly slower: when compared to *Winged-edge* they lose in average on the tested meshes a factor 1.33 for *CDS6n*, 2.65 for *CDS5n* and 2.31 for *CDS4n*, when performing vertex degree computations.

Despite the fact that *CDS4n* stores less information than *CDS5n*, for the computation of vertex degrees it achieves better performances in most cases (compare the performances reported in Table 3): this is mainly due to the better complexity of navigation between siblings in the *red tree* (requiring only one arithmetic operation), and the fact the retrieval of a vertex (`Source` operator) or of an edge (`Edge` operator) involves the computation of modulo 4 or multiplications by 4 (instead of modulo 5 computations for *CDS5n*).

Our representations are still competitive when considering the `target` operator, which requires  $O(d)$  time in the worst case for a vertex of degree  $d$  for our compact data structures. The results reported in Figure 13 provide a comparison of the runtime performances for the vertex normal computation, and show that our representations are slower than standard data structures by a factor between 1.5 and 2.5 in average (all geometric calculations involve simple float precision). The higher cost of the `target` operator for the *CDS6n*, *CDS5n* and *CDS4n* representations is compensated by the cost of geometric calculations, which dominate the runtime performances, and is the same for all representations (observe that the `target` operator requires  $O(1)$  time in the case of *Winged-edge*).

The results of Figure 13 put also in evidence an interesting phenomenon: when geometric calculations are involved, the preservation of vertex locality can play an important role. This explains the behaviour of the *CDS5n* data structure, which is sometimes faster than *CDS4n*. The main reason is that the original vertex ordering is preserved in *CDS5n*, thus leading to a faster access to geometric data, which are stored according to the vertex ordering in the input mesh.

### 4.3 Limitations

Our data structures suffer of the same limitations as most of existing compact representations.

**Static vs. dynamic sata structures** In our work we deal with static triangle meshes, where local updates are not supported. Our representations rely on the structural properties of Schnyder woods, which are known to be unstable under local modifications: even a single update, such an edge flip, can result in a global perturbation of the Schnyder wood, that needs to be recomputed from scratch.

**Preprocessing time** The main bottleneck of many compact data structures [41, 12, 13, 29, 26, 27, 28] concerns the memory resources required in the preprocessing phase. In our case the linear-time construction (from the input OFF file) is very fast in practice but requires to keep in main memory an explicit representation of the input mesh together with some additional informations needed for the computation of the Schnyder wood (see the Appendix for a more detailed discussion about the runtime and memory costs of the computation of a Schnyder wood). Thus the initial memory cost can go well beyond the size of our compact data structures, which could result to be rather expensive for processing very huge meshes.

It should be noted that this issue does not concern the decoding of our data structures (*CDS6n* and *CDS5n*) from the compressed format: as confirmed by our experiments, decoding the input compressed format described in Section 3 is extremely fast and does not require any additional memory (the memory usage remains thus limited even for very large meshes).

### 4.4 Storage bounds: theoretical guarantees vs. more concise heuristics

As mentioned in Section 1.1, some interesting heuristics leads to very compact and efficient representations [27, 26]. We recall that their storage performances hold for the tested meshes: according to the statistics reported in [27] (see Table 1), the performances are highly correlated to the regularity of the input mesh. For instance, *LR* achieves the best performance on the *welsh dragon* model (2.04 references per vertex) that has a large majority of degree 6 vertices (86.7%), while the worst performance of LR concerns the *buddha* model (3.16 references per vertex) whose connectivity is less regular (only 32.1% of vertices have degree 6). A similar behaviour holds for the *SQuad* data structure, that requires 4.05 references per vertex for the *welsh dragon* and consumes 4.3 references per vertex for the *buddha* model: for these two meshes *SQuad* achieves the best and worst storage performances (as *LR* does).

This would suggest that their storage performances could increase for meshes whose connectivity is very irregular (small proportion of degree 6 vertices).

This could occur in the case of random planar triangulations<sup>6</sup> of size  $n$ , where the distribution of vertex degrees follows an exponential decay: as evaluated in [34, 23], the probability of having a vertex of degree  $i$  in a large random planar triangulation, as  $n$  goes to infinity, is  $p_i = \frac{16(i-2)}{i} \binom{2i-2}{i-1} \left(\frac{3}{16}\right)^i$ . For instance, as confirmed in our experiments, the proportion of degree 6 vertices in a large random triangulation is approximately 11.6%.

<sup>6</sup>By random planar triangulation we mean here a simple triangulated planar map randomly chosen according to uniform distribution among all triangulations of size  $n$ .



## 5 Conclusion

We have designed and implemented a new family of compact data structures for planar triangulations that achieve good trade-offs between space requirements and runtime performances. Common navigational operators are supported by our representations in constant time, and the space bounds are all provided with theoretical guarantees in the worst case. Moreover, we presented a decoding procedure allowing retrieving a compact data structure from a standard compressed format in linear time, without using any additional storage.

### 5.1 Polygonal meshes and higher genus surfaces

Our approach is quite general and could be adapted to extend all the features above to other important classes of meshes (such as polygonal or quadrangular meshes) and to deal with higher genus surfaces or surfaces with boundaries.

Our algorithm can be extended to surfaces with holes and boundaries can be handled by topologically triangulating each boundary around a vertex that is marked as outside vertex. Each boundary edge in the initial surface becomes a triangle having an *outside vertex* in the new surface, thus the storage cost for  $CDS5n$  becomes  $5(n + m)$  where  $n$  and  $m$  are the number of vertices and holes of the original surface.

For dealing with the higher genus case, the key ingredients are two recent generalizations of Schnyder wood orientations for toroidal [22] and genus  $g$  triangulations [17]. More precisely, as described in [17] for a genus  $g$  (rooted) triangulation it is possible to define a coloration/orientation of inner edges such that: all the inner edges (not lying on the root face) can be oriented in one direction (having one color **red**, **blue**, or black), at the exception of a small set of *special* edges, which have possibly two orientations and two colors. An important feature is that there are at most  $2g$  special edges; and all inner vertices (not lying on the root face) have outgoing degree 3 as in the plane, at the exception of at most  $4g$  *multiple vertices*, which may have outgoing degree at most  $O(g)$  (multiple vertices are the extremities of special edges).

While it is quite easy to adapt the arguments of Thm 2 to obtain a storage of  $6n + O(g)$  references, the adaptation of Theorems 4 and 5 is not straightforward, since in the genus  $g$  case there is no characterization of *ccw* oriented triangles. As detailed in [11], it is possible to design a compact representation requiring at most  $5(n + 4g)$  references, combining the BFS argument used in Thm 5 with genus  $g$  Schnyder woods defined in [17].

Our work could be also extended to deal with more general meshes (not triangulated) such as quad and polygonal meshes, since some nice (maximal) edge orientations (with bounded outgoing degree) have also been defined for planar quadrangulations and 3-connected graphs [21, 19].

### 5.2 Open problems

There are still a few questions which remain open. It would be interesting to design a decoding procedure which allows reconstructing our most compact data structure ( $CDS4n$ , using  $4n$  references) from compressed format. The main issue relies on the vertex orderings which are different: in the  $CDS4n$  representation vertices are numbered according to a BFS traversal of the red tree, while the vertex numbers in the encoding correspond to a DFS traversal.

It would be interesting to see whether our  $4n$  bound could be improved, while still achieving the same navigation performances. While in the general triangular case we do not know how to reduce the number of references, a possible improvement could be obtained in the special (but important) case of irreducible triangulations (with no separating triangles), for which Schnyder woods and related orientations do exhibit additional properties.

Finally, the problem of providing rigorous upper bounds for the storage requirements of the heuristics mentioned in Section 4.4 could have practical and theoretical interest. A challenging task would be to provide worst case upper bounds, or even to express the storage complexity in terms of structural properties (e.g. vertex degree distribution).

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## A Appendix: Linear-time construction of a (maximal) Schnyder wood

For the sake of completeness we provide a concise description of the procedure that we use to obtain a Schnyder wood of a planar triangulation  $\mathcal{G}$ : we follow the approach based on vertex conquests described in [9, 33] (this has been generalized to higher genus surfaces in [17]).

The procedure is based on a *growing region* approach, where a region  $C$  (white faces in Fig. 14), delimited by a simple cycle  $B$  (orange edges in Fig. 14), is incrementally maintained under vertex conquests. Since  $B$  is simple, the faces of  $C$  and the ones of  $\mathcal{G} \setminus C$  (gray region in Fig. 14) both define two regions which are homeomorphic to a topological disk (they are simply connected). We say that a vertex  $v \setminus \{V_0, V_1\}$  on the cycle  $B$  is *free* if it has no incident chordal edges, that are edges whose two extremities belongs to  $B$  (dashed light gray segments).

At the beginning  $C$  coincides with the root (infinite) face,  $\mathcal{G} \setminus C$  contains all the inner faces of  $\mathcal{G}$ , and the cycle  $B$  consists of the outer vertices  $(V_0, V_1, V_2)$ : the only free vertex is  $V_2$ . The *vertex conquest* of a free vertex  $v \in B$  consists in removing  $v$  from  $\mathcal{G} \setminus C$ , together with all its incident edges and faces in  $\mathcal{G} \setminus C$ : since  $B$  is assumed to be simple and  $v$  has no incident chordal edges,  $B$  is still simple after the conquest of  $v$  and the set of removed (gray) faces defines a triangle fan around  $v$ . During the vertex conquest we perform the following simple rule which assigns colors and orientations to edges (illustrated by the top-left picture in Fig. 14). Let us denote by  $v_l$  and  $v_r$  the left and right neighbors of  $v$  on  $B$ : we assign color **blue** to the edge  $(v_r, v)$  and color **red** to  $(v_l, v)$ , both being oriented outgoing from vertex  $v$ . All remaining possibly existing edges incident to  $v$  in  $\mathcal{G} \setminus C$  are colored in black and oriented toward  $v$ . The algorithm described above terminates after  $n - 2$  steps, leading to a triangulation where all edges have been endowed with a color and an orientation, except the edge  $\{V_0, V_1\}$ . At the end we discard the colors and orientations of edges  $\{V_0, V_2\}$  and  $\{V_1, V_2\}$  in order to fulfil the requirements of Definition 1 (see Fig. 14(j)).

This procedure terminates without getting stuck since we can always find, at each step of the algorithm, a free vertex on  $B$ . Its existence relies on an inductive argument illustrated in Fig. 14 (see top-right picture): because of planarity and the fact the  $B$  is a simple cycle, the set of chordal edges in  $\mathcal{G} \setminus C$  defines an outerplanar graph (having  $B$  as boundary face). As a

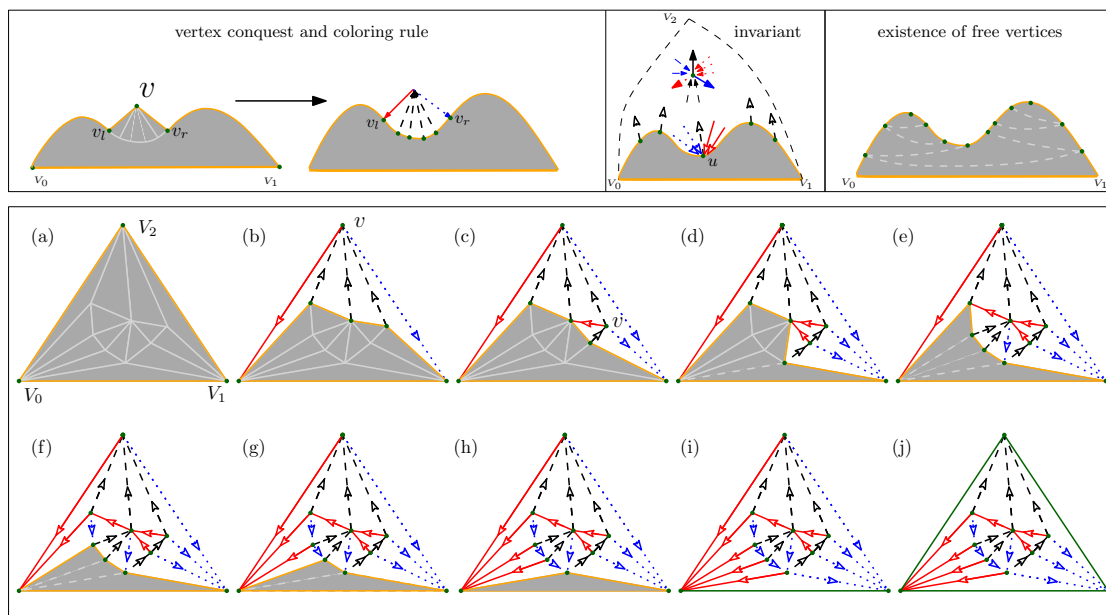


Figure 14: This figure illustrates the linear-time computation of a (maximal) Schnyder wood of a planar triangulation. The bottom pictures (a-j) illustrate the incremental vertex conquest of the triangulation. The algorithm consists in performing  $n - 2$  vertex conquests, while maintaining a simple boundary cycle  $B$  (orange edges) enclosing the region that remains to be visited (gray faces). At the beginning (a) the boundary cycle coincides with the outer cycle  $(V_0, V_1, V_2)$ . In order to ensure that  $B$  remains always simple (and the gray region simply connected), we avoid to remove vertices on  $B$  that are incident to chordal edges (dashed light gray segments). The Schnyder wood orientation is obtained by applying a very simple coloring rule (top-left picture). To get the maximal Schnyder wood it suffices to perform, at each step, the conquest of the free vertex closest to  $V_1$ .

consequence, there is at least one vertex  $v \in B$  without incident chordal edges (this vertex could be incident to a single triangle  $(v_l, v, v_r)$ ).

The correctness of the algorithm, which computes an orientation of all inner edges satisfying Definition 1, relies on a few invariants involving the coloration and orientation of edges in the conquered region  $C$  (top-center picture in Fig. 14). For instance, it is straightforward to check that at the end each inner vertex gets exactly three outgoing edges (one for each color): just observe that each vertex  $v \in B$ , just before its conquest, has already a black edge in  $C$  ( $v$  gets such an outgoing black edge the first time it appears on  $B$ ). Just after its conquest, each vertex  $v$  gets two outgoing edges whose colors are red and blue respectively. In the all remaining steps, not involving the conquest of vertex  $v$ , no other edges are oriented outgoing from  $v$ .

**Maximal Schnyder wood.** In general a rooted planar triangulation admits many Schnyder woods: just observe that at each steps there are many choices among the possible free vertices on  $B$ . The computation of the maximal Schnyder wood (without cycles of edges oriented in cw direction) can be performed as before, adopting the following simple rule. During the incremental vertex conquest described above just perform the conquest of the free vertex on  $B$  that is the closest to  $V_1$ . The correctness of this procedure is not totally trivial, and for a more detailed

presentation we refer to [9].

### Computational complexity and memory cost

We briefly provide an evaluation of the timing and memory cost of the procedure above. As far as we know, this work provides the first experimental evaluation of the runtime performances of the computation of Schnyder woods and canonical orderings for large triangulations.

At each step the algorithm performs the removal (and coloring) of a triangle fan consisting of  $d_v$  triangles in  $\mathcal{G} \setminus C$  around the conquered free vertex  $v$ : this takes at most  $O(d_v)$  time, spent to update the boundary cycle  $B$  and assigning colors and orientations. This leads, amortizing over the  $n - 2$  steps, to linear time complexity. This bound is also confirmed by our experiments: as shown in Table 2 (third column), the computation of a Schnyder wood is very fast, as we can process in average more than 10M of faces per second. Concerning the memory consumption: to perform the vertex conquest procedure we need a data structure for representing the planar triangulation, together with a doubly-linked list supporting constant time addition/removal of vertices on the simple cycle  $B$  (a few further informations are used to mark free vertices and chordal edges). In the worst case the cycle  $B$  could be of size  $n$ , thus adding  $3n$  more references to the memory cost of processing Schnyder woods (two references for implementing the doubly-linked list, and one reference to the stored vertex living on  $B$ ). Our experiments show that  $B$  remains of size  $O(\sqrt{n})$  during the vertex conquest, that makes its storage cost negligible in practice: the storage of an explicit representation of the triangulation, required for performing the computation of the Schnyder wood, is by far the dominant term of the memory requirements as well as of the runtime cost of our construction algorithm (see Table 2, second column).

The Inria logo is written in a red, cursive script font. The letters are connected and have a slight shadow effect, giving it a three-dimensional appearance as if it's floating above a white surface.

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