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INSTITUT NATIONAL DE RECHERCHE EN INFORMATIQUE ET EN AUTOMATIQUE

# *Optimal succinct representation of planar maps*

Luca Castelli Aleardi — Olivier Devillers — Gilles Schaeffer

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*de recherche*





## Optimal succinct representation of planar maps

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Thème SYM — Systèmes symboliques  
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**Abstract:** This paper addresses the problem of representing the connectivity information of geometric objects using as little memory as possible. As opposed to raw compression issues, the focus is here on designing data structures that preserve the possibility of answering incidence queries in constant time. We propose in particular the first optimal representations for 3-connected planar graphs and triangulations, which are the most standard classes of graphs underlying meshes with spherical topology. Optimal means that these representations asymptotically match the respective entropy of the two classes, namely 2 bits per edge for 3-c planar graphs, and 1.62 bits per triangle or equivalently 3.24 bits per vertex for triangulations.

**Key-words:** graph encoding, succinct data structures, triangulations, geometric data structures.

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## Représentation succincte optimale de cartes planaires

**Résumé :** Dans ce travail nous considérons le problème de représenter l'information de la connectivité d'objets géométriques utilisant le moins possible de mémoire. Al'opposé des questions de simple compression, notre attention est portée sur la conception de structures de données permettant de répondre à des requêtes d'incidence en temps constant. En particulier, nous proposons les premières représentations optimales pour les graphes planaires 3-connexes et les triangulations, qui sont les plus populaires classes de graphes sous-jacents aux maillages homéomorphes à une sphère. Optimales signifie que ces représentations atteignent l'entropie respective des deux classes, notamment 2 bits par arete pour les graphes 3-connexes, et 1.62 bits par triangle ou 3.24 bits par sommet pour les triangulations.

**Mots-clés :** Codage de graphes, structures de données succinctes, triangulations, structures de données géométriques.

# 1 Introduction

## 1.1 Connectivity vs geometry

A geometric object is often represented by a polygonal mesh which contains two kinds of information: the geometry and the connectivity. The connectivity is a graph which describes how vertices are linked by edges and faces, while the geometry consists in the vertices coordinates. In usual representations such as VRML format or pointers representations in main memory [5], the connectivity is the most expensive part: it costs hundreds of bits per vertex while the geometry costs only tens of bits per vertex. As a matter of fact, in such formats where the connectivity is represented by numbering the vertices and giving indexes, the cost of connectivity has order  $\Theta(n \lg n)$ . Since this is the most expensive part, we shall concentrate in this paper on reducing the connectivity cost, and leave the problem of reducing the geometry cost aside. We observe however that our structure is compatible with several of the standard approaches to geometry compression such as giving coordinates in a local framework.

## 1.2 Compression vs succinct structures

A geometric object can be represented either in a linear format for disk storage or network transmission, or stored in main memory for the exploration of the object. In the first case, reducing the size is called *compression*, and compressing the connectivity of various kind of meshes has been successfully attacked in recent years [17, 18, 12, 20, 19, 8, 13].

In this paper we deal with the second case of main memory representation, and our aim is to design a data structure having a small size and allowing to answer queries in constant time. Usual queries consist in going from a face to its neighbor or asking if two vertices are adjacent in the mesh. Such a structure is called *succinct* if the cost asymptotically matches the entropy of the class and *compact* if it matches it up to a constant factor. More precisely, given a class of objects with a size parameter  $n$  (e.g. the number of elements of some kind), we consider the number of objects of size  $n$  in the class. If this number has an exponential growth of order  $2^{\alpha n}$  when  $n$  goes to infinity, the *entropy* of the class is defined to be  $\alpha n$ . A representation is then *compact* if it uses  $O(n)$  bits and *succinct* it uses  $\alpha n + o(n)$  bits. Observe that a correct representation cannot use  $o(\alpha n)$  bits for it must be possible to distinguish all objects.

## 1.3 General framework

The general framework we use for designing a compact or succinct data structure for a given class of objects of size  $n$  is sketched here:

- First the object is split into tiny pieces of size  $O(\lg n)$ , *tiny* meaning small enough so that a catalog of all possible pieces can be constructed in  $o(n)$  time and space. Then a tiny piece is represented by its index in the catalog, and the sum of the sizes of all indexes is expected to match the entropy of the class.

- The incidence relations describing how the splitting into tiny pieces has been done is encoded in a graph  $\mathcal{G}$  of tiny pieces. Since there are  $O(\frac{n}{\lg n})$  tiny pieces with a linear number of incidences between them, a classical representation of this graph using pointers of logarithmic size costs  $O(n)$  and this approach already yields a compact data structure.
- *Small* pieces of  $O(\lg^2 n)$  size are constructed by joining  $\lg n$  tiny pieces, this allows to use pointers of size  $O(\lg n)$  only between small pieces while adjacencies between tiny pieces are described with local pointers of size  $O(\lg \lg n)$ . Since the number of small and tiny pieces are respectively  $O(\frac{n}{\lg^2 n})$  and  $O(\frac{n}{\lg n})$ , this multi-level approach yields sublinear costs of  $O(\frac{n \lg n}{\lg^2 n})$  and  $O(\frac{n \lg \lg n}{\lg n})$  for  $\mathcal{G}$ , making the structure succinct.

## 1.4 Related results

We briefly review in this section a few results about representations of graph connectivity for 3-connected planar graphs and triangulations. These results can be given in terms of  $n$  the number of vertices,  $m$  the number of faces or  $e$  the number of edges. In the special case of triangulations of a topological sphere,  $6n \simeq 2e = 3m$  and the entropy is  $1.62m = 3.24n$  bits [21]. For planar triangulations with a boundary, the entropy is  $2.175m$  bits. For general 3-connected planar graphs the entropy is  $2e$  bits [22].

On the practical side, classical main memory representations use pointers:  $n+6m$  pointers are needed for triangulations and  $n+6e$  for 3 connected graph [5], where a pointer means 32 bits with real pointers and  $\lg n$  using indexes. A cheaper solution with  $2e$  pointers [14] has been proposed with the price of a higher access cost to neighbors. None of these  $O(n \lg n)$  structures are compact.

The above framework has been introduced for balanced parenthesis words (Dyck words) by Jacobson [11] for the compact representation, and by Munro and Raman [15] for the succinct representation.

The size parameter of a parenthesis word is its number of characters and optimality means 1 bit per character. In this context the natural query is to ask for the matching parenthesis of the parenthesis at a given position. These results on parenthesis words allow for succinct representations of plane (aka ordered) trees using  $2n$  bits. Then a planar map can be decomposed into several trees which can be succinctly represented. However, this transformation from graphs to trees being non bijective, it yields representations for planar graphs that are not succinct but only compact. Along these lines, a representation of planar graphs using  $2e + 8n$  bits was given [15] and then improved to  $2e + 2n$  bits [7, 6].

In our previous work [1], we have shown how to extend the framework so that it can be applied directly to triangulations with a boundary and provided a succinct representation for this (larger) class of triangulations. This approach was also successful in dealing with local dynamic updates of triangulations of higher genus surfaces [2].

With a slightly different strategy, Blandford *et al.* [4] showed how to design a compact data structure supporting adjacency and degrees queries on vertices for special classes of graphs having small separators. However this approach needs efficient algorithms for finding separators and the exact cost of the representation is difficult to characterize. As in previous

works, our model of computation is a RAM machine, with  $O(1)$  time access on words of size  $\Theta(\lg n)$ .

**Contribution** The contribution of this paper is twofold. As far as results are concerned, we propose the first succinct data structures for representing planar triangulations without boundary (triangulations of a topological sphere) and 3-connected planar maps, as stated in Theorem 1 below.

**Theorem 1.** *There exist succinct representations*

- of planar triangulations (without boundaries) using asymptotically 1.62 bits per triangle
  - and of 3-connected planar graphs requiring asymptotically 2 bits per edge.
- Both representations support local standard navigation in  $O(1)$  time, using an extra storage of size  $O(\frac{n \lg \lg n}{\lg n})$ .

From the methodological point of view, we formalize the catalog-tiny-small framework. With respect to the seminal data structures proposed for words and trees [11, 15], and for triangulations in our paper [1], the present framework makes explicit the local planarity properties on which the approach is based. Furthermore it relaxes the property, central in those previous works, that tiny pieces should be taken in a class with the same entropy as the main class of objects represented. Finally, in order to develop our two new applications (triangulations and 3-connected planar maps), we design new splitting schemes.

Next section will formalize the catalog-tiny-small framework, while Section 3 describes its use for planar maps and Section 4 for triangulations.

## 2 The catalog-tiny-small framework

In this section we make gradually more precise the general framework sketched in Section 1. At a first level of details, the framework applies to any data structure which has linear entropy and can be decomposed into regions connected by a globally sparse graph: this includes parenthesis words, trees, and graphs on surfaces. The framework is then specialized to connectivity structures of meshes.

### 2.1 Additivity of the entropy and the catalog

In order for the framework to apply to a class of combinatorial objects, we first need that the entropy be linear. More precisely consider a class  $\mathcal{C} = (\mathcal{C}_n)$  of objects where  $n$  is intended as a size parameter (the number of some elementary *cells*) and assume that the set  $\mathcal{C}_n$  of objects of size  $n$  has a finite cardinality  $|\mathcal{C}_n|$ . The entropy  $\|\mathcal{C}_n\|$ , defined by

$$\|\mathcal{C}_n\| := \lg |\mathcal{C}_n|$$

with  $\lg(x) = \lceil \log_2(x+1) \rceil$ , measures the diversity of the class.



A class has linear entropy if there exists a constant  $\alpha$  such that

$$\|\mathcal{C}_n\| = \alpha n + o(n), \quad \text{when } n \text{ goes to infinity.}$$

In other terms, the cardinality of the class  $\mathcal{C}_n$  grows roughly like a simple exponential  $2^{\alpha n}$ , and  $\alpha n$  bits are needed to index all elements. The constant  $\alpha$  is sometimes called the entropy per size unit:  $\alpha = 2$  bits per node for binary trees, and, as indicated above,  $\alpha = 1.62$  bit per triangles for triangulations, and  $\alpha = 2$  bits per edge for 3-connected planar graphs. Observe however that some classes, like the classes of permutations of  $\{1, \dots, n\}$  or of general  $n$ -vertex graphs have non linear entropies, of order  $n \lg n$ .

We intend to decompose each object of  $\mathcal{C}$  into pieces taken from a catalog of smaller objects: as opposed to previous works, we do not require that this catalog contains elements of  $\mathcal{C}$ , but rather that it contains elements of a class  $\mathcal{D} = (\mathcal{D}_{m,k})$ , such that there exists a constant  $\beta$  and a positive function  $g(m) = O(\lg m)$  such that

$$\|\mathcal{D}_{m,k}\| \leq \alpha m + \beta k \lg m + g(m) \tag{1}$$

and  $|\mathcal{D}_{m,k}| = 0$  if  $k \geq Km$  (for some constant  $K$ ).

The objects of  $\mathcal{D}_{m,k}$  are intended to be used to describe tiny pieces of elements of  $\mathcal{C}$ , with  $m$  the number of elementary cells, and  $k$  a parameter, called the *number of sides*, describing the complexity of the boundary of the piece. In the above bound the constant  $\alpha$  is expected to be the same as for  $\mathcal{C}_n$ , and  $\alpha m$  is the *additive part* of the entropy, while  $\beta k \lg m$  is the extra amount of entropy due to the splitting into tiny pieces. By definition of the entropy,  $\|\mathcal{D}_{m,k}\|$  bits are sufficient to index an element of  $\mathcal{D}_{m,k}$  in a table representing all those elements. We assume more precisely that each element  $M$  of  $\mathcal{C}_n$  can be decomposed into

- a collection  $(M_1, \dots, M_p)$  of elements of  $\mathcal{D}$ , with  $M_j \in \mathcal{D}_{n_j, k_j}$  for some  $n_j, k_j$ .
- and an oriented graph  $\mathcal{G}$  with vertex set  $\{N_1, \dots, N_p\}$ , describing how the tiny pieces  $\{M_1, \dots, M_p\}$  are glued together to form  $M$ , in terms of adjacencies between sides of the  $M_j$ .

More precisely, a vertex  $N_j$  of  $\mathcal{G}$  contains the following information:

- $n_j, k_j$ , and the index of  $M_j$  in  $\mathcal{D}_{n_j, k_j}$ ,
- indexes to the neighbors of  $N_j$  in  $\mathcal{G}$  (for each side of  $M_j$ , the index of the corresponding neighbor and side).

In particular the number of edges in the graph  $\mathcal{G}$  is bounded by the total number of sides in the  $M_j$ .

We first need an hypothesis ensuring that the additive part of the entropy matches the entropy  $\alpha n$  of the class to which  $M$  belongs.

**Hypothesis 1.** *The decomposition is additive in the size parameter (elementary cells are not shared):*

$$n_1 + \dots + n_p = n + O\left(\frac{n}{\lg n}\right),$$

Observe that we do not require the class  $\mathcal{D}_m = \bigcup_k \mathcal{D}_{m,k}$  to have the same entropy as  $\mathcal{C}_m$ : in particular in our two main examples below we will have  $|\mathcal{D}_m| \sim \alpha' m$  with  $\alpha' > \alpha$ . As a consequence, in order for the representation to be compact we need a second hypothesis on the number of sides.

**Hypothesis 2.** *The decomposition involves a sub-linear number of sides:*

$$k_1 + \dots + k_p = O\left(\frac{n}{\lg n}\right).$$

This second hypothesis implies that the whole cost of storing indexes to all the  $M_i$  remains of order  $\alpha n$ .

Next hypothesis ensures that the elements of  $\mathcal{D}$ , needed in the decomposition, fit in a small catalog.

**Hypothesis 3.** *In the decomposition each  $M_j$  can be taken of size between  $\frac{c}{3} \lg n$  and  $c \lg n$ , where  $c < 1/\alpha'$ , with  $\alpha'$  the entropy per size unit of  $\mathcal{D}$ .*

Indeed assume that the indexes are pointing into a table  $A$  representing explicitly all elements of  $\mathcal{D}_m$  for  $m \leq c \lg n$  for some constant  $c$ . If the constant  $c$  is chosen small enough, the number of entries in the table is sub-linear: indeed  $|\mathcal{D}_m| = \alpha' m \leq c \alpha' \lg n$ , so with  $c < 1/\alpha'$ , the number of entries in the table is  $O(n^{c\alpha'})$ . The total storage cost of Table  $A$  then remains sub-linear as long as the information for each piece is polynomial in its size  $m = O(\log n)$ . In particular, explicit answers to local queries can be stored.

## 2.2 Compactness

Hypothesis 2 above ensures that the graph  $\mathcal{G}$  has  $O(n/\lg n)$  edges, and Hypothesis 3 that it has  $O(n/\lg n)$  vertices. This is already enough to obtain compactness.

**Lemma 1.** *Under Hypotheses 1, 2, and 3 the storage of the graph  $\mathcal{G}$  requires  $O(n)$  bits.*

*Proof.* Recall that each vertex  $N_j$  of  $\mathcal{G}$  contains:  $n_j$ ,  $k_j$  and the index of  $M_j$  in  $\mathcal{D}_{n_j, k_j}$ , as well as indexes to the neighbors of  $N_j$  in  $\mathcal{G}$ .

As  $n_j$  and  $k_j$  are smaller than  $c \lg n$  and  $K c \lg n$  respectively, we can store them in  $2 \lg \lg n + O(1)$  bits.

When summing over the  $O(n/\lg n)$  vertices of  $\mathcal{G}$  we get a  $O\left(\frac{n \lg \lg n}{\lg n}\right)$  bits cost.

Using Equation (1) the index of  $M_j$  requires  $\alpha n_j + \beta k_j \lg n_j + O(\lg n_j)$  bits. Summing over all  $M_j$  yields a global cost of  $\alpha \sum_j n_j + \beta (\sum_j k_j) \lg(\max_j n_j) + O\left(\sum_j \lg n_j\right) = \alpha n + O(n/\lg n) + O(n/\lg n) \lg \lg n$ .

Using Hypotheses 1 and 2, the cost of all indices to Table A thus reduces to  $\alpha n + O\left(\frac{n \lg \lg n}{\lg n}\right)$  bits.

Since there are  $O(n/\lg n)$  vertices in  $\mathcal{G}$ , the index of a neighbor uses  $\lg n + O(1)$  bits. Vertex  $N_j$  has  $O(k_j)$  neighbors, thus the total cost for storing indexes to the neighbors is  $(\lg n + O(1)) \cdot \sum_j k_j = O(n)$ . Summing all these components yields the claimed complexity.  $\square$

## 2.3 Succinctness

In the proof of Lemma 1 the linear part of the storage came from two kinds of contributions: the contribution of indexes in the catalog which is dominated by the entropy  $\alpha n$ , and the contribution of the neighboring relations in the graph  $\mathcal{G}$ . In this section, the cost of this second part is reduced to be sub-linear.

The graph  $\mathcal{G}$  is partitioned into *small* pieces gathering  $O(\lg n)$  tiny pieces. More precisely we assume that we are able to construct a graph  $\mathcal{G}'$  obtained by merging several vertices of  $\mathcal{G}$  in a vertex of  $\mathcal{G}'$  and linking two such vertices if there is an edge in  $\mathcal{G}$  between two of their elements. The vertex set of  $\mathcal{G}'$  is  $\{N'_1, N'_2, \dots, N'_{p'}\}$  and we denote  $|N'_i|$  the number of vertices of  $\mathcal{G}$  that have been merged to obtain  $N'_i$  and  $\deg'(N'_i)$  the degree of  $N'_i$  in  $\mathcal{G}'$ . A vertex  $N'_i$  of  $\mathcal{G}'$  then consists of the following information:

- $|N'_i|$  and  $\deg'(N'_i)$
- the information for all the vertices  $N_j \in N'_i$ , stored in a single memory zone.
- indexes to neighbors of  $N'_i$  in  $\mathcal{G}'$ .

The graph  $\mathcal{G}'$  has moreover to satisfy the following hypotheses.

**Hypothesis 4.** *The number of tiny pieces gathered in each small piece  $N'_i$  satisfies:  $\frac{1}{3} \lg n \leq |N'_i| \leq \lg n$ .*

The number of edges from a given vertex of  $\mathcal{G}'$  can be bounded by summing over its elements:  $\deg'(N'_i) \leq \sum_{N_j \in N'_i} \deg(N_j) = \sum_{N_j \in N'_i} k_j \leq |N'_i| K c \lg n = O(\lg^2 n)$  (where  $K$  is a constant describing the size of the boundary of tiny pieces, as introduced at Equation (1)). But we need a stronger hypothesis on the total number of edges of  $\mathcal{G}'$ .

**Hypothesis 5.** *The number of edges of  $\mathcal{G}'$  is linear in its number of vertices:*

$$\deg'(N'_1) + \dots + \deg'(N'_{p'}) = O\left(\frac{n}{\lg^2 n}\right).$$

**Lemma 2.** *Under Hypotheses 1, 2, 3, 4 and 5 the graph  $\mathcal{G}$  can be stored using  $\alpha n + O\left(\frac{n \lg \lg n}{\lg n}\right)$  bits.*

*Proof.* First we notice that in the above representation of  $\mathcal{G}$  (Lemma 1), a vertex  $N_j$  uses  $O(\lg^2 n)$  bits ( $O(\lg n)$  bits for the index of  $M_j$  in the relevant catalog and  $O(\lg n)$  for each of its, at most,  $Kc \lg n$  neighbors) which gives easily a bound of  $O(\lg^3 n)$  for the memory needed by all vertices of  $\mathcal{G}$  that merge in some  $N'_i$ .

Hence a local reference to memory addresses relative to some known  $N'_i$  requires  $\lg \lg n + O(1)$  bits.

Let us now return to the information stored in a vertex  $N_j \in N'_i$  of  $\mathcal{G}$ . To refer to a neighbor  $N_l$  of  $N_j$ , instead of using an address in the whole memory devoted to  $\mathcal{G}$ , we refer first to the vertex  $N'_k \ni N_l$  and then give the address of  $N_l$  in the memory zone devoted to elements of  $N'_k$ .

Referring to  $N'_k$  is done indirectly by giving its index in the array of the, at most,  $O(\lg^2 n)$  neighbors of  $N'_i$ . Thus a reference to a neighbor  $N_l$  of  $N_j$  costs  $O(\lg \lg n)$  bits. The analysis

of the size of  $\mathcal{G}$  is similar to the argument used in Lemma 1: here the cost of a reference to a neighbor does go from  $O(\lg n)$  down to  $O(\lg \lg n)$  which yields the claimed complexity.

The additional cost for  $\mathcal{G}'$  is sublinear since it has  $O(\frac{n}{\lg^2 n})$  vertices by Hypothesis 4 and edges by Hypothesis 5 and each costs  $O(\lg n)$  bits.  $\square$

## 2.4 Local planarity and mesh connectivity

The above framework easily applies to trees: a tree with  $n$  vertices can be recursively decomposed into tiny trees of logarithmic size, with sides consisting of edges connecting nodes of different tiny trees.

More interestingly Hypotheses 1, 2, 3, 4 and 5 are naturally satisfied when dealing with mesh connectivity (that is, for maps on surfaces). Following for instance [1], a triangulation  $M$  with  $n$  faces can be partitioned into tiny regions by decomposing an arbitrary dual spanning tree into tiny trees (that is, a spanning tree of the dual graph, connecting all faces across edges): upon reforming a (local) planar triangulation from each tiny tree, a decomposition  $(M_1, \dots, M_p)$  is obtained, such that:

- each tiny triangulation contains between  $\frac{1}{12} \lg n$  and  $\frac{1}{4} \lg n$  triangles (that is,  $c = 1/4$ );
- each triangle belongs to exactly one tiny triangulations;
- boundary edges are regrouped into *sides* (sequences of edges separating it from the same tiny triangulations).

The bound on the number of edges of the graph  $\mathcal{G}$  that describes adjacency relations between sides of tiny triangulations follows from Euler's relation.

This direct application of the framework to triangulations using an arbitrary spanning tree forces us, as explained in [1], to consider the catalog  $\mathcal{D}_{m,k}$  of all planar triangulations with  $m$  edges and one boundary cycle, which is divided into  $k$  sides. The entropy of this class of triangulation with a boundary is however  $\alpha = 2.17$  bits per face (plus a  $\beta k \lg m$  term to take into account the number of sides into which the boundary is divided). As a consequence, the additive part of the entropy leads to a representation which is compact, but succinct only for class of triangulations with a boundary (recall that the class of triangulations of the sphere has only entropy 1.62 bits per triangle).

In summary, one can expect the general framework to yield easily compact representations for mesh connectivity with bounded face degrees. However, as we shall see, more care is needed to choose the decomposition in order to produce a succinct representation.

## 2.5 Local adjacency queries in $O(1)$ time

It still remains to observe that the multi-level structure (described by graphs  $\mathcal{G}$  and  $\mathcal{G}'$ , together with the information associated with tiny pieces  $M_j$ ), does allow to perform efficiently some local adjacency queries (neighboring queries on elementary cells).

**Lemma 3.** *Let us consider an object  $M$  with a decomposition  $[(M_1, \dots, M_p); \mathcal{G}; \mathcal{G}']$  as defined above. If each of the tiny pieces  $M_j$  is a planar connected map having all faces of constant*

degree and a boundary of arbitrary linear size  $O(n_j)$ , then it is possible to answer in  $O(1)$  time whether two elements of  $M$  (vertices or faces) are adjacent or not.

*Proof.* The idea is that elementary cells (faces, vertices, edges) are shared by at most one or two adjacent tiny pieces (because of the definition of graph  $\mathcal{G}$ ), except for a small set of *multiple cells* (shared by an arbitrary number of tiny pieces). The planarity of graph  $\mathcal{G}$  ensures that the number of multiple cells is  $O(\frac{n}{g n})$ , hence adjacency queries involving multiple cells can be answered with an additional information, which requires in overall a negligible amount of extra storage. Intuitively, local queries involving only a tiny piece  $M_i$  are answered looking at the information stored in Table  $A$ .

Adjacency queries concerning elementary cells incident to the boundary of a tiny piece  $M_j$ , rely instead on the information in graphs  $\mathcal{G}$  and possibly  $\mathcal{G}'$ . Some care must be taken to deal with the fact that a given cell at the meeting point between a lot of tiny pieces can have a non constant number of representations: however the number of such special cells is negligible and they can be detected at the  $\mathcal{G}'$  level.  $\square$

### 3 Representing 3-connected planar graphs

In this section we describe a particular catalog of tiny quadrangulations and an algorithm to decompose any irreducible quadrangulations into tiny regions taken from this catalog. This catalog satisfies Equation 1 so that the framework yields a succinct representation. Since irreducible quadrangulations are just another representation of 3-connected planar graphs, the result holds for these maps as well.

#### 3.1 Preliminaries on 3-connected graphs, quadrangulations and trees

Plane trees are planar maps with only one face, the outer one. In other terms, plane trees only differ from the classical ordered trees in the fact that they are not rooted. A binary tree is a plane tree such that each vertex has 3 neighbors (and hence 2 sons if rooted). A vertex is a leaf if it has degree 1, otherwise it is an internal node. Edges incident to leaves are called *stems*, remaining edges are called *inner edges*.

A quadrangulation is a planar map having all its faces of degree 4. A dissection of the hexagon by quadrangular faces is a planar map whose outer face has degree 6 and inner faces have degree 4. A quadrangulation or dissection of the hexagon by quadrangular faces is said irreducible if it has no separating 4-cycle (we also call them *irreducible dissections*).

The following construction is more or less a folklore variation on the standard duality construction for planar maps: given a 3-connected planar map  $M$ , color its vertices in black and put a white vertex in the middle of each face and triangulate each face from this white vertex. The resulting new edges form a quadrangulation  $Q$  (each face is made of the two triangles incident to an edge of  $M$ ). It is not difficult to check that the 3-connectedness of  $M$  is equivalent to the irreducibility of  $Q$ . Finally let us observe that it is possible to associate a rooted dissection  $d$  of the hexagon to a rooted irreducible quadrangulation  $Q$  by deleting the root edge.

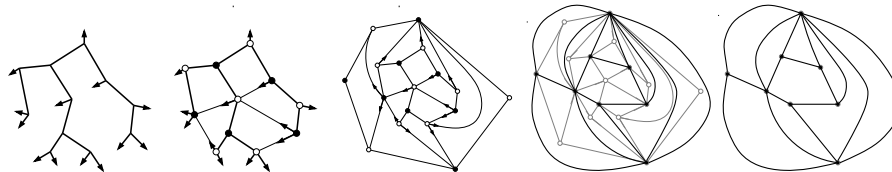


Figure 1: First Pictures describe the closure [8] defining the correspondence between plane rooted binary trees and irreducible dissections of the hexagon. The dissection and the corresponding 3-connected graph are also shown. Black circles (resp. white circle) represent vertices of the primal (dual) graph.

Intuitively, a quadrangulation  $Q$  can be viewed as an implicit representation of a planar map, induced with a bicolouration of its vertices: white (resp. black) vertices of  $Q$  stand for faces (resp. vertices) of the original map (see Figure 1).

More precisely, testing adjacency relations between vertices and faces in map  $M$  corresponds to answer neighboring queries on vertices incident to the same face in the quadrangulation  $Q$ . It will prove convenient to describe our construction in term of quadrangulations.

### 3.2 Decomposition of the quadrangulation

Our decomposition strategy will take advantage of the fact that irreducible dissections admit a special class of canonical spanning trees, introduced in [8]:

**Proposition 1.** *There exists a bijection between the class of binary trees on  $n$  internal nodes and the class of irreducible dissections with  $n$  internal vertices, which can be computed in  $O(n)$  time.*

Let us suppose to have an irreducible dissection  $Q$  of the hexagon with quadrangular faces. Following the traversal strategy explained in [8], it is possible to perform an opening algorithm on  $Q$  which returns a binary tree: the result is a vertex spanning tree of  $Q$ , whose complete closure [8, Lemma 2] is exactly the original dissection of the hexagon (corresponding to a 3-connected planar graph). More precisely it is a binary tree  $B$  on  $n$  nodes having  $n+2$  stems and  $(n-1)$  inner edges (the correspondence is illustrated in Figure 1).

Let us recall a previous result concerning tree decompositions, stated in the following Lemma[16]:

**Lemma 4.** *Given a binary tree  $B$  on  $n$  nodes and a positive integer parameter  $\delta$ , we can produce in linear time a partition of  $B$  into a family of sub-trees  $\mathcal{B}_j$ , whose sizes satisfy  $\delta \leq \|\mathcal{B}_j\| \leq 3\delta$ .*

Applying several times the algorithm above, we obtain a partition of  $B$  into small binary trees having between  $\frac{1}{3} \lg^2 n$  and  $\lg^2 n$  nodes, which are then decomposed into tiny binary

trees having between  $\frac{1}{12} \lg n$  and  $\frac{1}{4} \lg n$  nodes. Such a decomposition form a partition of the edges of  $\mathcal{B}$  (which are edges of the original quadrangulation). Let us observe that only nodes, those which are roots of tiny (resp. small) trees, are shared by different tiny (resp. small) trees: each of these nodes (having degree  $d$ ) is split into a degree  $d - 1$  root of one tree (*descendent tree*) and a leaf of another tree (*ancestor tree*).

The way we have partitioned  $\mathcal{B}$  guarantees that number of tiny (resp. small) trees is  $\Theta(\frac{n}{\lg n})$  (resp.  $\Theta(\frac{n}{\lg^2 n})$ ).

### 3.3 Correspondence between tiny quadrangulations and tiny trees

The aim of this section is to describe a canonical way of obtaining a decomposition  $\{M_1, \dots, M_p\}$  of  $\mathcal{Q}$ , starting from the decomposition of the vertex spanning tree  $\mathcal{B}$ .

Here we suppose we are given one tiny tree, denoted  $\mathcal{TB}_j$ , having  $n_j \leq \frac{1}{4} \lg n$  nodes and  $w_j$  false stems, obtained by decomposing  $\mathcal{B}$ : we are going to show how to produce a tiny quadrangular map of size  $\Theta(\lg n)$  from  $\mathcal{TB}_j$ .

A tiny tree  $\mathcal{TB}_j$  has  $n_j$  nodes and  $n_j + 2$  stems: we now distinguish two kinds of leaves. Firstly there may exist some leaves which were already leaves in the original tree  $\mathcal{B}$ . After the decomposition algorithm is performed, there are now some leaves which was inner nodes in  $\mathcal{B}$  before we split them: their incident edges are now called *false stems*. Recall that tiny trees are rooted (roots are shared by different tiny trees), and the number of false stems in a tiny tree is not fixed and it ranges in  $0 \dots n_j + 2$ , depending on the way  $\mathcal{B}$  has been partitioned.

#### Local closure

Now it suffices to apply a "local closure" algorithm, inspired to the one introduced in [8], whose main steps are listed below (recall that in the original algorithm of [8], there was no distinction between true and false stems).

Let us perform a ccw traversal of  $\mathcal{TB}_j$ , starting from its root and walking along its edges (inner edges, stems and false edges).

- When traversing a true stem, which is preceded by 3 internal nodes (and not stems), its local closure consists in linking its incident node, with the preceding third node (on the boundary of the outer face) to create a quadrangular face (a white face in Figure 2).

- We do not perform the merging of false stems (drawn with small circles in Figure 2).

- When traversing a (true) stem  $s$ , not preceded by 3 true nodes (original nodes existing in  $\mathcal{TB}_j$ ), its local closure consists in attaching a *dummy quadrangular face* (a grey face in Fig. 2) to the boundary of  $\mathcal{TQ}_j$ , in such a way that the dummy face is then incident to the stem  $s$  and does not enclose a false stem nor a *dummy edge* (i.e. an edge incident to a dummy face) previously added. Similarly, vertices incident to dummy faces, and not existing in the tiny tree, are called *dummy vertices*.

In this way we produce a planar map whose internal faces are all quadrangles, and having an outer face of arbitrary size: inner edges (in the tree) may now be incident twice to the outer face. The planar connected maps obtained in this way are also called *tiny*

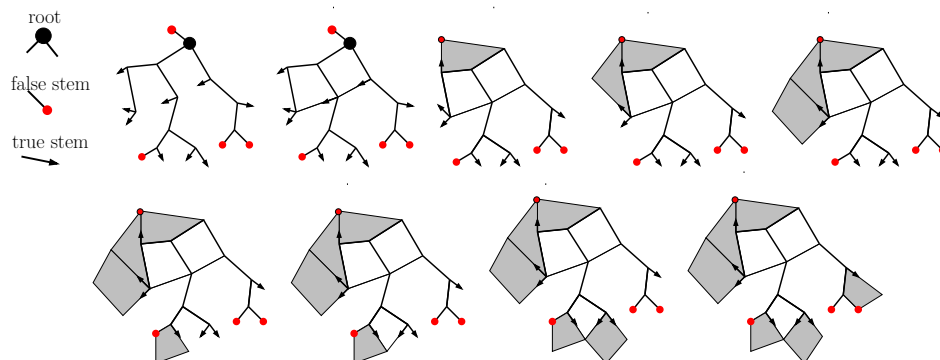


Figure 2: Our local closure. The result of the closure operation does depend on the distribution of false stems, and differs from the one defined in [8]. In our example, the binary tree has 11 inner vertices, 11 + 2 leaves (true and false stems, including the stem incident to the root), and can be optimally encoded by the binary word of length  $2 \cdot 11$ : 1101001011001001011000; while the corresponding false stems distribution is defined by the bit-vector of length 13 and weight 4: 0000100001101.

*quadrangulations* (the result of the procedure above is shown in Figure 2). It is easy to observe that all the faces of the initial quadrangulation have been assigned to exactly one tiny quadrangulation, as they are incident each to one true stem.

Once the initial spanning tree  $\mathcal{B}$  has been decomposed, we have to specify a rule for assigning (true) stems incident to nodes shared by tiny trees. We proceed as follows, assuming that  $v$  is shared by two tiny trees  $\mathcal{TB}_{j'}$  and  $\mathcal{TB}_{j''}$ , and denoting the possibly incident stem by  $s$  (see last Pictures in Fig. 1):

- if there exists in the descendant tree  $\mathcal{B}$  an inner edge  $e$  incident to  $v$  to the left of  $s$ , then we attach the stem  $s$  to the tiny sub-tree  $\mathcal{TB}_{j''}$  having  $v$  as root and containing  $e$ ;
- otherwise  $s$  is the leftmost sibling of node  $v$  in  $\mathcal{B}$ , and we do attach  $s$  to the ancestor tree  $\mathcal{TB}_{j'}$ .

**How does our framework apply**

**Lemma 5.** *Given a quadrangulation  $\mathcal{Q}$  with  $n$  vertices, our new splitting strategy produces a decomposition  $\{\mathcal{T}_{\mathcal{Q}_1}, \dots, \mathcal{T}_{\mathcal{Q}_p}\}$  into tiny colored quadrangulations satisfying Hypotheses 1, 2, 3, 4 and 5, and hence yields a succinct representation of  $\mathcal{Q}$  requiring asymptotically  $2n + o(n)$  bits.*

*Proof.* Let us observe that the additivity hypothesis holds, since (true) nodes in tiny quadrangulations (nodes of the tiny spanning tree) are not shared by tiny quadrangulations.



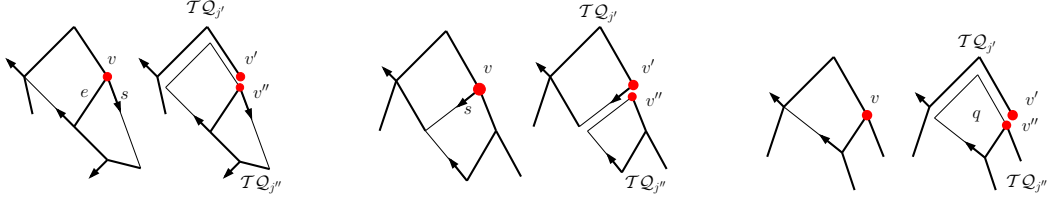


Figure 3: These Pictures illustrates the rule adopted for distributing stems and (dummy) faces between tiny quadrangulations sharing a multiple node  $v$ : there are different cases to consider, depending on the number and location of stems incident to  $v$  (in the last case there are not stems to distribute).

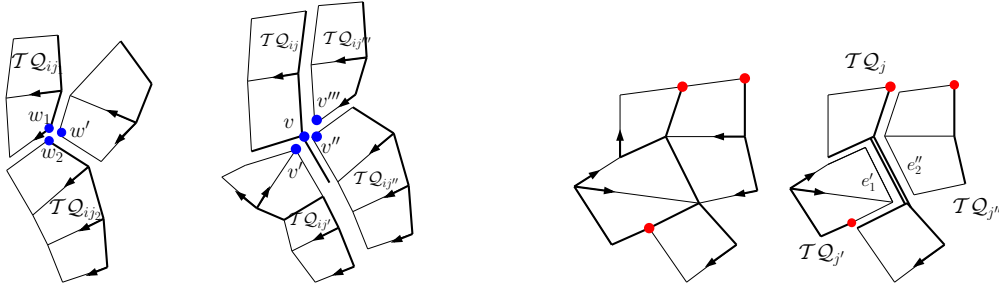


Figure 4: In this Figure are depicted properties of multiple vertices, multiple nodes and adjacency relations between tiny quads. The vertex  $w$  is a multiple node, belonging to two different tiny trees, and shared by three adjacent tiny quads: its canonical representative is not an unique vertex, but consists of a pair of nodes  $w_1$  and  $w_2$ . When considering a multiple vertex  $v$  (not multiple node), shared by several tiny quads, there exist different copies  $v'$ ,  $v''$ ,  $v'''$ , each lying in a different tiny quad, whose canonical representative is uniquely defined and coincides with vertex  $v$  in  $TQ_j$ . Let us observe that the copies  $v'$ ,  $v''$  and  $v'''$  of a multiple vertex, not coinciding with its canonical representative  $v$ , are dummy vertices (incident to a dummy face) lying in an adjacent tiny quad. Last Pictures illustrate the case of two tiny quadrangulations  $TQ_{j'}$  and  $TQ_{j''}$  which are not adjacent, even if they "share" a boundary edge (an edge incident twice to the outer face, lying in the tiny quadrangulation  $TQ_j$ ).

$\mathcal{Q}$  is decomposed into tiny quadrangulations  $TQ_j$  each containing between  $\frac{1}{12} \lg n$  and  $\frac{1}{4}$  nodes (nodes of the corresponding tiny vertex spanning tree): here the constant  $c$  introduced in Section 2 is set to  $\frac{1}{4}$ .

The graph  $\mathcal{G}$  used to describe adjacency relations between tiny quadrangulations is a planar map (each tiny quadrangulation is a connected planar map, whose edges may be incident twice to the outer face, and then doubly counted as boundary edges) having faces of

degree at least 3 (for example, a degree 2 face incident to multiple edges can be contracted, observing that the corresponding sides are consecutive and shared by the same two tiny quadrangulations).

Hence Euler's relation ensures that the number of arcs of  $\mathcal{G}$  (and hence the number of sides) is  $O(\frac{n}{\lg n})$ . Hypotheses 1, 2, 3 5 and 4 are satisfied by the decomposition  $\{\mathcal{TQ}_1, \dots, \mathcal{TQ}_p\}$ , hence Lemma 2 yields a succinct representation for the class of quadrangulations achieving the optimal asymptotic bound of 2 bits per vertex.

Here an object  $M_j = \mathcal{TQ}_j$  is a *tiny colored quadrangulation*:  $\mathcal{TQ}_j$  is obtained via our local closure from a tiny colored tree  $\mathcal{TT}_j$  having  $n_j$  nodes,  $n_j + 2$  stems and  $w_j$  false stems; moreover  $\mathcal{TQ}_j$  is induced with a partition of its boundary edges into  $k_j$  sides.<sup>1</sup>

A tiny colored quadrangulation is completely specified by: a Dyck word on length  $2n_j$  (for the binary spanning tree), a binary word of length  $n_j + 2$  and weight  $w_j$  (describing false stems) and a binary word of length  $4n_j + 6$  and weight  $k_j$  (describing the partition of boundary edges of  $\mathcal{TT}_j$  into sides).

Hence  $\mathcal{TT}_j$  can be encoded by a reference to an element in  $\mathcal{D}$ , whose cost is  $2n_j + w_j \lg(n_j + 2) + k_j \lg(4n_j + 6) \leq 2n_j + \beta k_j (\lg n_j + O(1))$  (as  $w_j \leq k_j$ ). The constant  $c$  can be chosen so that the Catalog  $\mathcal{A}$  containing the explicit representations of the elements of  $\mathcal{D}$ , requires  $o(n)$  bits (recall that  $m \leq \frac{1}{4} \lg n$ ).  $\square$

### Representing 3-connected planar graphs

Given a 3-connected graph with  $e$  edges, we first design a succinct representation of the associated quadrangulation. Since the corresponding vertex spanning tree has  $e - 5$  inner nodes (vertices of the primal and dual graph) our representation requires asymptotically  $2(e - 5) + o(e) = 2e + o(e)$  bits, according to Lemma 5.

It suffices to observe that testing adjacency between two vertices (resp. two faces) in a 3-connected graph, is equivalent to check if two black (resp. white) nodes are opposite in the same quadrangular face, in the associated quadrangular map  $\mathcal{Q}$  (this operation can be efficiently performed with a slightly modification of the standard adjacency queries considered in Lemma 3).

### Unique representations for vertices

One major problem to solve concerns the representation of vertices, which is not unique. As already observed, a number of elementary cells are shared by several tiny pieces. One possible solution consists in exploiting the correspondence between vertices in the quadrangulation and nodes in the spanning tree. It simply suffice to associate to each vertex in

<sup>1</sup>One can easily see that given a tiny binary tree with  $m$  nodes, the corresponding tiny quadrangulation has a boundary of size at most  $4m + 6$ . Concerning inner edges, doubly incident to the outer face, their number is  $m - 1$ , hence their contribution to the boundary size is at most  $2(m - 1)$ . On the other hand, the contribution of dummy faces is maximum when a dummy face is produced by a true stem immediately preceded by a false stem: as there are  $m + 2$  stems, there may be at most  $\lceil \frac{m+2}{2} \rceil$  dummy faces, each contributing for 4 edges. Finally the size of the boundary of a tiny quadrangulation is bounded by  $2(m - 1) + 4(\frac{m+2}{2} + 1) = 4m + 6$ .

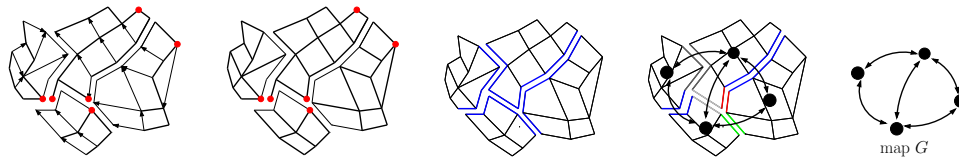


Figure 5: This Figure illustrates our multi-level hierarchical representation of a quadrangulation. The decomposition of  $\mathcal{B}$  provides, via our local closure, a partition of  $\mathcal{Q}$ , into tiny quadrangulations. Neighboring relations between tiny quadrangulations are described by a planar map  $\mathcal{G}$ .

$\mathcal{Q}$  the corresponding node in the tree  $\mathcal{B}$ . Then inner nodes in a  $\mathcal{TB}_j$  are uniquely specified. Remaining vertices (shared by tiny trees) can be uniquely identified, saying that their *canonical representative* is the leaf in the ancestor tree.

Let us observe that a true node in a tiny tree is already the canonical representative of itself; all dummy vertices have their canonical representative in a different tiny quadrangulation.

## 4 A succinct representation for planar triangulations

### Preliminaries on planar triangulations and trees

As done for 3-connected graphs, we take advantage of a recent bijection between triangulations and trees introduced in [17].

**Proposition 2.** *There exists a  $2n$ -to- $2$  correspondence between the class of plane trees with  $n$  nodes having 2 leaves per node, and the class of rooted planar triangulations with  $n + 2$  vertices.*

This correspondence relies on an *opening/closure algorithm* which computes a special vertex spanning tree (with two leaves per node) on  $n$  nodes from a triangulation  $\mathcal{T}$ , induced with its minimal realizer.

### 4.1 Decomposition of the graph

Here we suppose we are given a rooted triangulation  $\mathcal{T}$  with  $n+2$  vertices and the corresponding vertex spanning tree  $\mathcal{B}$  on  $n$  nodes, whose *complete closure* is the initial triangulation (according to the closure/opening algorithm introduced in [17]).

Since there are no restrictions on the tree  $\mathcal{B}$  (it is just an ordered tree, with two leaves per node), we cannot in general decompose  $\mathcal{B}$  to get a partition into sub-trees (as done for binary trees). We then make use of a useful strategy for decomposing ordered trees [9], expressed by the following Lemma:

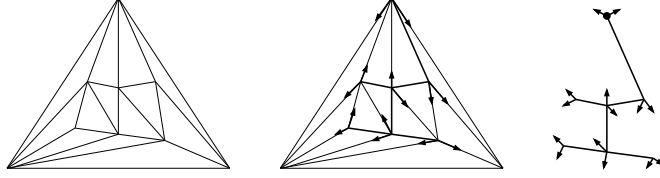


Figure 6: First three Pictures illustrate the vertex spanning tree bijection for triangulations described in [17].

**Lemma 6.** *Given a  $\mathcal{B}$  on  $n$  nodes and  $\delta \geq 2$ , we can compute a family of sub-trees that is a covering of  $\mathcal{B}$ . Their sizes satisfy  $|\mathcal{B}_j| \leq 3\delta - 4$  (and  $|\mathcal{B}_j| \geq \delta$ , if the  $\mathcal{B}_j$  do not contain the root of  $\mathcal{B}$ ).*

Applying several times Lemma 6 to  $\mathcal{B}$ , we obtain a family of small sub-trees covering  $\mathcal{B}$  of size  $\Theta(\lg^2 n)$ , which are then decomposed into tiny sub-trees having  $\Theta(\lg n)$  nodes. This family of sub-trees forms a cover of the nodes of  $\mathcal{B}$  such that two sub-trees can intersect only at their root.

### Constructing tiny trees

Let us observe that the straight application of the partitioning algorithm of Lemma 6, yields a family of sub-trees covering the nodes of  $\mathcal{B}$ , but not covering all its edges: a number of edges of  $\mathcal{B}$  (and hence of  $\mathcal{T}$ ) do not belong to any sub-tree in the covering. In order to distribute edges between sub-trees, we introduce a slight modification in the partitioning strategy, proceeding as follows.

Let us call  $v_0$  the root of a completed sub-tree  $\mathcal{T}\mathcal{B}_{j_1}$  (satisfying the size constraints) returned by the original algorithm (Lemma 6), and denote by  $p(v_0)$  its parent node in  $\mathcal{B}$ .

After returning  $\mathcal{T}\mathcal{B}_{j_1}$ , we assign the edge  $(v_0, p(v_0))$  to the remaining sub-tree containing  $p(v_0)$ : then we add a leaf node  $v'_0$ , copy of  $v_0$ .

In this way we can guarantee that

- each sub-tree does satisfy the size constraints (having between  $\frac{1}{12} \lg n$  and  $\frac{1}{4} \lg n$  nodes);
- each edge of  $\mathcal{B}$  does belong to exactly one sub-tree;
- the number of duplicated nodes (roots of sub-trees), as well as the number of sub-trees

in the covering, is in overall  $O(\frac{n}{\lg n})$ .

## 4.2 Correspondence between tiny trees and tiny triangulations

As done for quadrangulations, it is possible to define a local *closure algorithm* (inspired to the one described in [17]), providing a correspondence between tiny trees and tiny triangulations.

Firstly, if a  $\mathcal{T}\mathcal{T}_j$  has an inner node  $v$  of degree 3, which appears as root of one (or more) different tiny tree  $\mathcal{T}\mathcal{T}_{j'}$  (neighbor of  $\mathcal{T}\mathcal{T}_j$ ), we set  $v$  as *false degree 3 inner node* (since  $v$  had degree more than 3 in  $\mathcal{B}$ ).

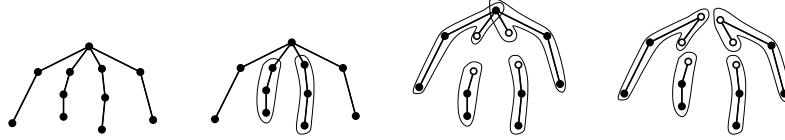


Figure 7: In this Figure is shown the result of the (modified) decomposition algorithm of Lemma 6, applied to an ordered tree, with parameter  $M = 3$ .

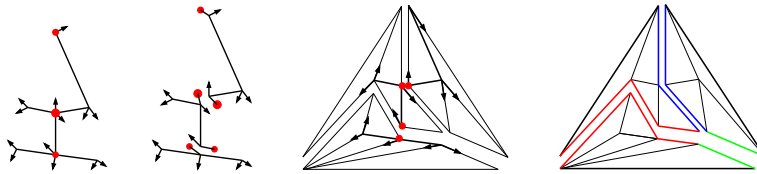


Figure 8: In these Pictures is depicted our strategy for constructing a decomposition of the original triangulation  $\mathcal{T}$  into tiny triangulations. At first the vertex spanning tree of  $\mathcal{T}$  is decomposed into tiny trees. We perform our local closure algorithm on tiny trees, producing a tiny triangulation. Each tiny triangulation is provided with a partition into sides of its boundary edges describing its neighboring relations.

Observe that tiny trees could have less than two leaves per node. In order to make a tiny tree belong to the same class as  $\mathcal{B}$ , we perform some modifications on it.

Original stems in  $\mathcal{B}$  are duplicated and distributed between tiny trees  $\mathcal{TT}_j$  so that each node has two leaves: duplicated stems are called false stems and are assigned to the tiny trees sharing a same node  $v$  (the cyclic order of neighbors around  $v$  must be respected).

Our local closure of a tiny tree  $\mathcal{TT}_j$  start by performing a ccw traversal along its edges.

As done in Section 3, we add a (true or dummy) triangular face to  $\mathcal{TT}_j$  by performing the merging of a true stem, depending on the distribution of false stems and false inner nodes.

It is straightforward to observe that the number of false degree 3 inner nodes and false (duplicated) stems is in overall  $O(\frac{n}{\lg n})$ .

### How does our framework apply

**Lemma 7.** *Given a planar triangulation  $\mathcal{T}$  with  $n + 2$  vertices, our new splitting strategy produces a decomposition  $\{\mathcal{TT}_1, \dots, \mathcal{TT}_p\}$  into tiny colored triangulations satisfying the Hypotheses 1, 2, 3, 4 and 5, and hence yields a succinct representation of  $\mathcal{T}$  requiring asymptotically  $3.24n + o(n)$  bits.*

*Proof.* Our arguments rely on the same remarks used in the proof of Lemma 5. The additivity Hypothesis holds, since tiny triangulations only share duplicated nodes (roots of tiny trees), whose number is  $O(\frac{n}{\lg n})$ .  $\square$

## 5 Concluding remarks and further work

We have presented a general framework for describing succinct representations of planar maps. In the particular case of 3-connected graphs and triangulations, we propose moreover canonical decompositions which, combined with the general framework, yield encodings that achieve asymptotically the information theory optimal bound for the storage, while supporting efficiently standard local navigation operations. The generality of our arguments suggests that our framework could apply to other popular encoding schemes, getting compact representations of other classes of planar graphs. This should take advantage of the similarities between explicit spanning tree coding [12, 20] and region-growing approaches [18] as discussed in [10].

Algorithm	triangulated	3-connected
Munro Raman (Focs 97)	$2e + 8n$ or $7m$	$2e + 8n$
Chuang et al. (Icalp 98)	$2e + n$ or $3.5m$	$2e + 2n$
Chiang et al. (Soda 01)	$2e + 2n$ or $4m$	$2e + 2n$
Castelli Aleardi et al. (Wads 05)	$2.175m$	-
<b>our encodings</b>	$1.62m$	$2e$

Table 1: Comparison of existing compact representations for simple planar graphs.

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## A Appendix

### A.1 Map of tiny pieces

We provide a detailed description of the memory organization concerning map  $\mathcal{G}$  of adjacent tiny sub-graphs (or tiny pieces). Here we suppose we are given an object  $M$  and its decomposition  $M_1, \dots, M_p$ . The presentation below is quite general and can be easily modified to deal with arbitrary graphs partitioned into tiny sub-structures (for our purpose we consider tiny quadrangulations and tiny triangulations).

We partition map  $\mathcal{G}$  into sub-maps  $\mathcal{G}_i$ , obtained by the restriction of  $\mathcal{G}$  to those tiny sub-graphs lying in the same small sub-graphs. Let us recall that each  $\mathcal{G}_i$  is a planar map, having loops and multiple edges, whose faces have degree at least 3.

#### Description of the memory organization

The information used to represent map  $\mathcal{G}$  is stored using a sequence of different zones of memory, having variable size, corresponding each to a sub-map  $\mathcal{G}_i$ .  $\mathcal{G}_i$  is then completely described by the information concerning its edges and nodes  $N_{ij}$  (corresponding to tiny sub-graphs  $M_{ij}$ ), and the information related to multiple vertices shared by tiny sub-graphs.

— Each node  $N_{ij}$  is associated to a tiny sub-graphs  $M_{ij}$ , corresponding to a tiny colored tree  $\mathcal{TB}_{ij}$ , and it does contain the following informations:

- $N_{ij}^{nodes}$  is the number of nodes in the tiny tree  $\mathcal{TB}_{ij}$ ;
- $N_{ij}^{false}$  is the number of false stems in  $\mathcal{TB}_{ij}$ ;
- $N_{ij}^{boundary}$  is the size of the boundary of the tiny sub-graphs  $M_{ij}$ ;
- $N_{ij}^s$  is the degree of the node  $N_{ij}$  (also the number of its neighbors);
- $N_{ij}^A$  is the index of the explicit representation of the tiny sub-graph  $M_{ij}$  in catalog  $\mathcal{D}$ ;

The connectivity of map  $\mathcal{G}_i$  is described with an explicit pointers based representation, which uses local pointers on  $O(\lg \lg n)$  bits, as done in [1].

— For each of the  $N_{ij}^s$  arcs incident to the node  $N_{ij}$ , we store the following informations (let us assume that the  $k$ -th arc connects nodes  $N_{ij}$  and  $N_{i'j'}$ ):

- $N_{ijk}^{address}$  is the relative address of the first bit concerning the node of the neighbor in the memory zone associated to its small sub-graph  $M_{i'}$  (observe that  $i$  and  $i'$  may coincide);
- $N_{ijk}^{back}$  is the index of the side corresponding to the current arc in the numbering of the sides at the opposite node  $N_{i'j'}$ ;
- $N_{ijk}^{small}$  is the index of the small sub-graph  $\mathcal{G}_{i'}$  in the table of the neighbors of  $\mathcal{G}_i$  in the main map  $\mathcal{G}'$  (if  $i' = i$  then this index is set to 0).

Finally, we have to enrich the description of node  $N_{ij}$  with the list of its multiple vertices on the boundary of  $M_{ij}$ :



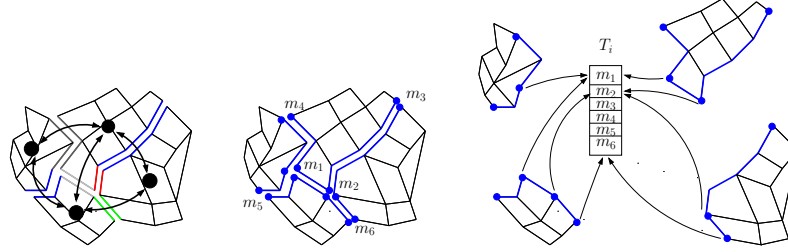


Figure 9: Multiple vertices shared by several adjacent tiny sub-graphs (recall that multiple vertices correspond to faces in the dual map of  $\mathcal{G}$ ). The different copies of a multiple vertex (each a boundary vertex of a tiny sub-graph) do point to the same entry in Table  $T_i$  ( $T_i$  stores the information relative to multiple vertices in the same small sub-graph).

- $N_{ijk}^{multiple}$  is an index on  $O(\lg \lg n)$  bits of an entry in Table  $T_i$  (see its description below), corresponding to the  $k$ -th multiple vertex on the boundary of  $M_{ij}$ <sup>2</sup>.

We add some auxiliary information to the map  $\mathcal{G}_i$  corresponding to the small sub-graph  $M_i$ , in order to deal with the uniqueness of the representation for vertices. For this purpose we store a table  $T_i$ , having one entry for each multiple vertex in  $M_i$ . Entries are stored reflecting a fixed (arbitrary) ordering on multiple vertices. We also store the sub-list  $T_i^{small}$  of those multiple vertices in  $M_i$  which are shared by several small sub-graphs. Each multiple vertex knows the location of the corresponding canonical representative. More precisely the information is organized as follows:

- The  $k$ -th entry in Table  $T_i$  corresponds to the  $k$ -th multiple vertex  $m_k$  in  $M_i$  and contains the following fields:

- $T_i[k].shared$ : is a bit, equal to 1 if the  $k$ -th multiple vertex is shared by several small sub-graphs.

- $T_i[k].canonical$ : is a pair  $(N_j, v)$  specifying the canonical representative of the multiple vertex  $m_k$ . This pair provides the index of the node  $v$  (canonical representative of  $m_k$ ) together with a reference to the tiny sub-graphs  $M_{ij}$  containing it.

- $T_i[k].small$ : is a pointer to an entry in the list  $T_i^{small}$ ; this is a  $\Theta(\lg \lg n)$  bits index which is set to 0 if the vertex is not shared by several small sub-graphs.

- $T_i^{small}$  contains one entry for each multiple vertex in  $M_i$  which is also shared by several adjacent small sub-graphs; each element is a  $O(\lg \lg n)$  bits reference to an entry in Table  $T$ :  $T$  stores the list of multiple vertices shared by small sub-graphs (see description of map  $\mathcal{G}'$ ).

<sup>2</sup>Recall that multiple vertices define the partition into sides of the boundary edges of a tiny quadrangulation. We assume that the  $k$ -th multiple vertex is the one shared by the  $k$ -th and  $(k+1)$ -th sides, and can be then associated to the  $k$ -th arc incident to node  $N_{ij}$

### Graph of small pieces

Finally we described the adjacency relations between small sub-graphs, using a graph  $\mathcal{G}'$ . As observed for  $\mathcal{G}$ ,  $\mathcal{G}'$  is a map, having loops and multiple edges, and faces of degree at least 3. This is convenient for guaranteeing that its storage requires a negligible amount of space: its number of arcs and edges are linearly dependent because of Euler's formula. As done in [1], we adopt an explicit pointer based representation for  $\mathcal{G}'$ .

Its description follows the organization given in [1]: the main differences introduced here concern the information related to multiple vertices (vertices shared by several small sub-graphs). A detailed description is provided below:

- The adjacency relations of graph  $\mathcal{G}'$  are described by storing, for each of its nodes  $N'_i$  (corresponding to a small sub-graph  $M_i$ ) the list of its neighbors as follows:
  - $N'_i.s$  is the degree of node  $N'_i$  in map  $\mathcal{G}'$  (the number of neighbors of  $M_i$ );
  - $N'_i.G$  is a pointer to the sub-map  $\mathcal{G}_i$  of the corresponding small sub-graph  $M_i$ ;
  - a table of pointers to neighbors:  $N'_{ik}.address$  is the address of the  $k$ -th neighbor of  $N'_i$  in  $\mathcal{G}'$ .
- We store the information concerning multiple vertices shared by adjacent small sub-graphs, using a Table  $T$ , having an entry for each multiple vertex  $m_k$ :
  - $T[k]$  contains a triple  $(N'_i, N'_j, v)$  indicating the canonical representative of the  $k$ -th multiple vertex  $m_k$ .

## B Efficient local queries on the graph

**Representations for vertices and faces** Recall that a vertex in a tiny piece  $M_{ij}$  is specified by a triple  $(N'_i, N'_j, v)$ : where  $N'_i$  is a node of map  $\mathcal{G}'$ , corresponding to the small sub-graph  $M_i$ , to which it belongs,  $N'_j$  is a local pointer to the zone of memory related to node a node of  $\mathcal{G}_i$ , and  $v$  is the index of the vertex in the representation  $A_{ij}^{explicit}$  corresponding to  $M_{ij}$ . As already observed in [1], in our encoding vertices may be not uniquely represented, because they are shared by different tiny sub-graphs. Analogously, representing (quadrangular or triangular) faces is done specifying a triple  $(N'_i, N'_j, f)$ : their representation is uniquely defined, as faces are not shared by tiny sub-graphs.

**Adjacency queries between faces** Next Lemma provides an useful tool for local navigation between faces of adjacent tiny sub-graphs: its proof relies on arguments similar to the one introduced in [1], which are still valid for arbitrary tiny sub-graphs (having faces of arbitrary constant degree).

**Lemma 8.** *Let us consider an object  $M$  with a decomposition  $[(M_1, \dots, M_p); \mathcal{G}; \mathcal{G}']$ , as defined in Section 2. Then it is possible to answer in constant time the following local queries:*

- *Rank( $N'_i, N'_j, v$ ): returns the rank of a vertex  $v$  on the boundary of a tiny sub-graph (the rank is the position of a boundary vertex, starting from the root vertex);*

-  $Neighbor((N'_i, N_j, w), (N'_i, N_j, e))$ : given a face  $w$  and an edge  $e$  of  $w$ , it returns the face  $(N'_{i'}, N_{j'}, w')$  adjacent to  $w$  and incident to  $e$ .

**Unique representation for vertices** It is possible to avoid the problem of having multiple representations of vertices, by adopting a local labelling scheme and distinguishing vertices into 3 categories: vertices internal to a tiny sub-graph, vertices shared by more than 2 tiny sub-graphs, and vertices shared by more than 2 small sub-graphs. As observed in section 3.3, we can associate to each vertex a unique representation in a canonical way, using the correspondence between vertices in the original graph (quadrangulation or triangulation) and nodes in the vertex spanning tree.

**Lemma 9.** *The problem of dealing with multiple representations for vertices can be solved by enriching maps  $\mathcal{G}$  and  $\mathcal{G}'$ . The auxiliary information requires asymptotically  $o(n)$  extra bits, and allows to answer in  $O(1)$  time the following queries:*

- $Same((N'_i, N_j, v), (N'_{i'}, N_{j'}, v'))$ : says if  $v$  and  $v'$  represent the same vertex;
- $Node(N'_i, N_j, v)$ : returns the canonical representative of vertex  $v$  (a triple indicating the tiny and small sub-graphs to which  $v$  belong as node).

*Proof.* Recall that two copies of a vertex represent the same vertex if their canonical representatives coincide. Hence we provide a detailed description of the implementation of the second operation, which can be also used to perform the first test.

**An implementation for  $Node(, ,)$ .** Let us suppose that  $v$  is a multiple vertex, and denote by  $v', v'', \dots$  its copies (each lying in different tiny pieces  $M_{j'}, M_{j''}, \dots$ ).

Recall that if  $v$  is already a node in the tree, the function  $Node(, ,)$  returns the index of  $v$  itself.

Otherwise we are given a copy  $v'$  and we proceed as follows. Compute the *rank* of  $v'$  on the boundary of  $M_{j'}$ :  $l = Rank(N'_i, N_{j'}, v')$  (which says that  $v'$  is the  $l$ -th multiple vertex on the boundary of  $M_{j'}$ ).

Let  $s = G_{ij'l}^{multiple}$  be the index of the entry in Table  $T_i$ , corresponding to the multiple vertex  $v'$ .

If  $T_i[s].shared = 0$  then  $v'$  is shared by tiny sub-graphs all lying in the same small sub-graph:  $T_i[s].canonical$  contains a pair  $(j, v)$  providing the canonical representative of  $v'$ .

If  $T_i[s].shared = 1$  we know that  $v'$  is also shared by several small sub-graphs and we use  $T_i[s].small$  as reference to an entry in Table  $T_i^{small}$ . Then we read the pointer stored in  $T_i^{small}[T_i[s].small]$  to find the entry in Table  $T$  which corresponds to the multiple vertex  $v'$  (recall that  $T$  stores the list of all multiple vertices shared by adjacent small sub-graphs).

Finally the result returned by  $Node(, ,)$  is a pair  $(N'_i, N_j, v)$  which is stored in  $T[T_i^{small}[T_i[s].small]]$ , specifying the canonical representative of vertex  $v'$ .  $\square$

## B.1 Local adjacency queries on a quadrangulation

Concerning the local navigation in a quadrangulation, our representation allows to perform in  $O(1)$  time the following operation, in order to provide, later, two navigation operations in the original corresponding 3-connected graph.

**Lemma 10.** *In a quadrangulation  $\mathcal{Q}$  it is possible to answer in  $O(1)$  time the following neighboring query:*

- *Opposite( $v, w$ ): returns true if two vertices are opposite and incident to the same quadrangular face in  $\mathcal{Q}$ .*

*Proof.* The validity of our arguments relies on the following properties that hold for 3-connected planar graphs and corresponding quadrangular irreducible dissections.

- The vertex spanning tree introduced in [8] is a binary tree, implying that the degree of nodes and the number of stems per node is bounded and constant.

- Every quadrangular face in the decomposition of  $\mathcal{Q}$  belongs exactly to one tiny quadrangulation; moreover every node  $v$  is incident to at most two dummy faces in the same tiny quadrangulation (since each dummy face is created by merging a stem incident to  $v$ ).

- For each pair of vertices  $v$  and  $w$  there is at most one quadrangular face (if it exists) containing the two vertices and for which  $v$  and  $w$  are opposite (because the quadrangulation  $\mathcal{Q}$  has no separating 4-cycle).

Let us call  $v$  and  $w$  the two vertices for which we want to perform the *Opposite* query; let be  $q$  the quadrangular face possibly incident to  $v$  and  $w$ , and let  $\mathcal{TQ}_j$  and  $\mathcal{TQ}_{j'}$  denote the tiny quadrangulations containing  $v$  and  $w$ .

If vertices  $v$  and  $w$  do belong to the same quadrangulation ( $j' = j$ ) then it is easy to check whether their at opposite in the same quadrangular face: such an information can be retrieved looking at the explicit representation of  $\mathcal{TQ}_j$ , stored in the Catalog  $\mathcal{D}$ .

If the vertices belong to different tiny quadrangulations (not necessarily adjacent tiny quadrangulations) we retrieve at first their canonical representatives,  $v$  and  $w$  using the function *Node*. Let us denote by  $v', v'', \dots$  (resp.  $w', w'', \dots$ ) their copies. If  $v$  and  $w$  belong to the same tiny quadrangulation, we can repeat the test used in the case above.

Otherwise we proceed as follows, denoting by  $q$  the quadrangular face possibly existing between  $v$  and  $w$

assuming that  $v$  precedes  $w$  on the boundary of the spanning tree  $\mathcal{B}$  (ccw oriented).

If  $v$  and  $w$  are opposite and incident to a face  $q$ , then  $q$  must belong to the same tiny quadrangulation containing  $w$  and be incident to one of the edges of  $\mathcal{TB}_{j'}$  (inner edge or stem) which is incident to  $w$ . Since the degree of nodes in  $\mathcal{B}$  is bounded we know that there exist at most 2 quadrangular faces satisfying the last condition, say  $q_1$  and  $q_2$  (grey faces in Figure 10). Let us now retrieve the two vertices  $v''$  and  $x''$ , opposite to  $w$ , which are incident to  $q_1$  and  $q_2$ . Finally it suffice to test whether the canonical representative of  $v''$  and  $x''$  do coincide with  $v$  (canonical representative of the copies of  $v$ ).

For concluding, we observe that the case of multiple nodes can be dealt in a similar manner: a constant number of tests must be performed since each multiple node has one representative.  $\square$

**Local navigation in the 3-connected planar graph** The adjacency query defined below on quadrangulations, allows to perform efficiently some local navigation operations on 3-connected planar graphs. Lemma 10 provides a tool for testing efficiently this condition, which implies the following:

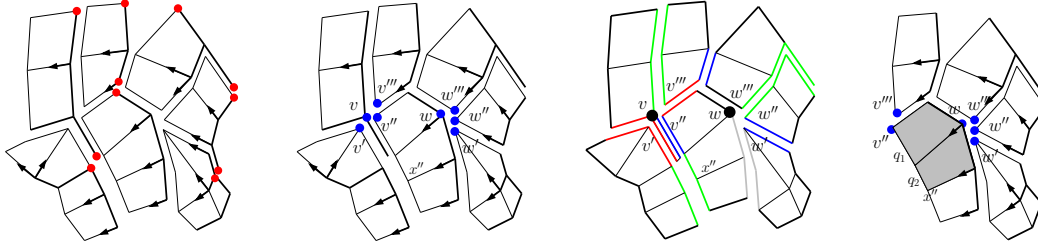


Figure 10: This Figure shows a small quadrangulation and its decomposition in tiny quadrangulations obtained with our spanning tree driven partition strategy. In these Figures is depicted the case of two vertices  $v$  and  $w$ , opposite and incident to the same quadrangular face, which are shared by several tiny quadrangulations.

**Corollary 1.** *Given a 3-connected planar map  $\mathcal{M}$ , our representation allows to answer in  $O(1)$  time whether two vertices (resp. faces) are adjacent in  $\mathcal{M}$ .*



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