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## **Title:**

**Full title:** EEG evidence of compensatory mechanisms in preclinical Alzheimer's disease

**Short title:** EEG biomarkers of preclinical Alzheimer

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## **Abstract:**

Early biomarkers are needed to identify individuals at high risk of preclinical Alzheimer's disease and to better understand the pathophysiological processes of disease progression. Preclinical Alzheimer's disease electroencephalography (EEG) changes would be non-invasive and cheap screening tools and could also help to predict future progression to clinical Alzheimer's disease. However, the impact of amyloid-beta deposition and neurodegeneration on EEG biomarkers needs to be elucidated. We included participants from the INSIGHT-preAD cohort, which is an ongoing single-center multimodal observational study, that was designed to identify risk factors and markers of progression to clinical Alzheimer's disease in 318 cognitively normal individuals aged 70–85 years with a subjective memory complaint. We divided the subjects into four groups, according to their amyloid status (based on  $^{18}\text{F}$ -florbetapir PET) and neurodegeneration status (evidenced by  $^{18}\text{F}$ -fluorodeoxyglucose PET brain metabolism in Alzheimer's disease-signature regions). The first group was amyloid positive and neurodegeneration positive, which corresponds to stage 2 of preclinical Alzheimer's disease. The second group was amyloid positive and neurodegeneration negative, which corresponds to stage 1 of preclinical Alzheimer's disease. The third group was amyloid negative and neurodegeneration positive, which corresponds to "suspected non-Alzheimer's pathophysiology". The last group was the control group, defined by amyloid negative and neurodegeneration negative subjects. We analysed 314 baseline 256-channel high-density eyes-closed 1-minute resting-state EEG recordings. EEG biomarkers included spectral measures, algorithmic complexity and functional connectivity assessed with a novel information-theoretic measure, weighted symbolic mutual information. The most prominent effects of neurodegeneration on EEG metrics were localized in fronto-central regions with an increase in high-frequency oscillations (higher beta and gamma power) and a decrease in low-frequency oscillations (lower delta power), higher spectral entropy, higher complexity and increased functional connectivity measured by weighted symbolic mutual information in theta band. Neurodegeneration was associated to a widespread increase of median spectral frequency. We found a non-linear relationship between amyloid burden and EEG metrics in neurodegeneration positive subjects, either following a U-shape curve for delta power or an inverted U-shape curve for the other metrics, meaning that EEG patterns are modulated differently depending on the degree of amyloid burden. This finding suggests initial compensatory mechanisms that are overwhelmed for the highest amyloid load. Together, these results indicate that EEG metrics are useful biomarkers for the preclinical stage of Alzheimer's disease.

**Keywords:** Preclinical Alzheimer's disease; EEG; functional connectivity; neurodegeneration; amyloid load

**Abbreviations:** A- = amyloid negative; A+ = amyloid positive; A $\beta$  = amyloid- $\beta$ ; AD = Alzheimer's disease; ADNI = Alzheimer's Disease Neuroimaging Initiative; ApoE = apolipoprotein E; BH-FDR = Benjamini-Hochberg false discovery rate; CN = cognitively normal; DMN = default mode network; EEG = electroencephalography; FC = functional connectivity; FCSRT = Free and Cued Selective Reminding Test;  $^{18}\text{F}$ -FDG =  $^{18}\text{F}$ -fluorodeoxyglucose; INSIGHT-preAD = Investigation of Alzheimer's Predictors in Subjective Memory Complainers; MCI = mild cognitive impairment; MEG = magnetoencephalography; MMSE = Mini Mental State Examination; MSF = median spectral frequency; N- = neurodegeneration negative; N+ = neurodegeneration positive; PLV = Phase Locking Value; PSD = power spectral density; SNAP = suspected non-Alzheimer's pathophysiology; SUVR = standardized uptake value ratio; TFCE = threshold-free cluster enhancement; wPLI = weighted Phase Lag Index; wSMI = weighted symbolic mutual information

## Introduction

Alzheimer's disease (AD) is the most common form of dementia, as it accounts for an estimated 60 to 80 percent of cases. The pathophysiological process of AD begins many years before the onset of symptoms (Bateman *et al.*, 2012; Villemagne *et al.*, 2013). It is essential to diagnose AD as early as possible because patients will be more likely to benefit from disease modifying treatments if treated early in the disease course, before major brain damage has occurred (Sperling *et al.*, 2011). It is therefore important to develop biomarkers that are sensitive to this early, "preclinical" stage of AD even before mild cognitive impairment (MCI) occurs. At the preclinical stage subjects are cognitively unimpaired but show evidence of cortical amyloid- $\beta$  (A $\beta$ ) deposition which is considered to be the most upstream process in the pathological cascade of AD (Jack *et al.*, 2013) and is measured by amyloid PET or decreased amyloid- $\beta_{1-42}$  and amyloid- $\beta_{1-42}$  / amyloid- $\beta_{1-40}$  ratio in the CSF. A $\beta$  deposition can be associated to pathologic tau deposits, measured by tau PET or elevated CSF phosphorylated tau and to neurodegeneration that is revealed by elevated CSF total tau,  $^{18}\text{F}$ -fluorodeoxyglucose ( $^{18}\text{F}$ -FDG) PET hypometabolism in an AD-like pattern and atrophy on MRI (Jack *et al.*, 2018). Biomarkers for AD are important not only for identifying individuals at high risk of preclinical AD, but also to better understand the pathophysiological processes of disease progression.

The Investigation of Alzheimer's Predictors in Subjective Memory Complainers (INSIGHT-preAD) study is an ongoing longitudinal observational study which was designed to identify risk factors and markers of progression to clinical AD in 318 cognitively normal (CN) individuals with a subjective memory complaint (Dubois *et al.*, 2018). Among the several multimodal assessments, EEGs were performed every 12 months. In our study we focused on the analysis of baseline EEG, aiming to identify electrophysiological biomarkers, including functional connectivity (FC), that are sensitive to the preclinical stage of AD. EEG has many advantages as it is a non-invasive, cheap and reproducible technique, that directly measures neural activity with a good temporal resolution.

There is already a rich literature on the use of EEG biomarkers in MCI and AD, such as spectral measures and synchronization between brain regions (Babiloni *et al.*, 2016). Patients with AD or MCI usually show slowing of oscillatory brain activity, reduced EEG complexity and reduced synchrony (Grunwald *et al.*, 2001; Jeong, 2004; Babiloni *et al.*, 2010; Stam, 2010). Decreased alpha power correlated with hippocampal atrophy and lower cognitive status (Babiloni *et al.*, 2006, 2009; Luckhaus *et al.*, 2008). Growing evidence show that AD targets cortical neuronal networks related to cognitive functions, which is revealed by the impairment in FC in long range networks (Babiloni *et al.*, 2016). There are several types of measures of FC using EEG or magnetoencephalography (MEG) including spectral coherence, synchronization likelihood or information theory indexes. A decrease of alpha coherence, an increase of delta total coherence and an abnormal alpha fronto-parietal coupling have been described in AD (Jelic *et al.*, 2000; Babiloni *et al.*, 2009). A reduction of alpha and beta synchronization likelihood was shown in MCI and AD (Stam *et al.*, 2003). However, the usefulness of EEG characteristics as biomarkers for the evaluation of preclinical AD is not yet fully established, as most studies have focused on EEG biomarkers at later stages of the disease, after the onset of symptoms. One recent study of the preclinical and prodromal stages of AD using MEG demonstrated that the effects of A $\beta$  deposition were expressed as a prefrontal alpha power increment (Nakamura *et al.*, 2018). An EEG study in older people with subjective memory complaints found no association between cortical amyloid load and FC (Teipel *et al.*, 2018), whereas another study using MEG in CN individuals at risk for AD showed altered FC in the default mode network (DMN) (Nakamura *et al.*, 2017). These results suggest that spectral power and FC, as measured by MEG or EEG, could potentially be sensitive biomarkers for the preclinical stage of AD, but more studies are needed in this field. Moreover, very few studies of early stages of AD consider neurodegeneration information when selecting subjects, whereas

it has been suggested that studies combining an abnormal amyloid biomarker with an abnormal neurodegeneration biomarker provide much more powerful prediction of future cognitive decline and conversion to clinical AD than studies focusing on an abnormal amyloid status alone (Knopman *et al.*, 2013; Vos *et al.*, 2013; Wirth *et al.*, 2013; Mormino *et al.*, 2014; Toledo *et al.*, 2014; Burnham *et al.*, 2016; Soldan *et al.*, 2016).

Our aim was to analyse EEG changes that take place in subjects at high risk of preclinical AD and to assess the impact of amyloid load and AD topography-specific neurodegeneration on EEG metrics. In order to evaluate if EEG metrics' changes were a consequence of neurodegeneration, amyloid burden, or a combination of the two, we divided the whole INSIGHT-preAD cohort into four groups of subjects depending on their amyloid status (evidenced by  $^{18}\text{F}$ -florbetapir PET) and neurodegeneration status (revealed by  $^{18}\text{F}$ -FDG PET). The first group was amyloid positive and neurodegeneration positive (A+N+), which corresponds to stage 2 of preclinical AD according to (Sperling *et al.*, 2011). The second group was amyloid positive and neurodegeneration negative (A+N-), which corresponds to stage 1 of preclinical AD according to (Sperling *et al.*, 2011). These first two groups belong to Alzheimer's disease continuum according to (Jack *et al.*, 2018). The third group was amyloid negative and neurodegeneration positive (A-N+), which corresponds to "suspected non-Alzheimer's pathophysiology" (SNAP) (Jack *et al.*, 2012). The last group was the control group, defined by amyloid negative and neurodegeneration negative subjects (A-N-). We hypothesized that amyloid positive and/or neurodegeneration positive subjects would present specific EEG patterns and FC differences compared to controls. Moreover, we hypothesized that these EEG patterns would be modulated differently depending on the degree of severity of amyloid burden or hypometabolism.

To assess functional connectivity, we used weighted symbolic mutual information (wSMI) which is a novel measure to quantify global information sharing that was introduced to index consciousness in patients recovering from a coma (King *et al.*, 2013). The advantages of this information-theoretic measure are its robustness to common-source EEG artifacts and its ability to easily detect non-linear coupling. We decided to focus on wSMI in theta (4-8Hz) and alpha (8-12Hz) bands as the dominant resting state rhythms are typically observed at theta and alpha frequencies and these rhythms show maximum changes in AD patients (Blinowska *et al.*, 2017); moreover, wSMI was shown to better discriminate between different states of consciousness in the theta band (King *et al.*, 2013; Sitt *et al.*, 2014).

The main objective of our research was to identify resting state EEG biomarkers of preclinical AD and SNAP and to evaluate the impact of amyloid burden and neurodegeneration on EEG metrics. Electrophysiological biomarkers included spectral measures, algorithmic complexity and FC assessed with wSMI. The other aims were the exploration of cofactors involved in EEG metrics differences between the two groups, including apolipoprotein E (ApoE) genotype, age, gender, educational level and hippocampal volume.

## **Materials and methods**

### **INSIGHT-preAD study design and participants**

Participants were recruited in the INSIGHT-preAD study cohort at Pitié-Salpêtrière University Hospital, Paris, France. The INSIGHT-preAD study has already been thoroughly described by (Dubois *et al.*, 2018). This cohort currently includes baseline data of 318 CN individuals, between 70 and 85 years old, with subjective memory complaints and unimpaired cognition (Mini Mental State Examination [MMSE] score  $\geq 27$  and Clinical Dementia Rating score 0), no evidence of episodic memory deficit (Free and Cued Selective Reminding Test [FCSRT] total recall score  $\geq 41$ ). Demographic, cognitive, functional, biological, genetic, genomic, imaging including brain structural and functional MRI,  $^{18}\text{F}$ -FDG PET and  $^{18}\text{F}$ -florbetapir PET, electrophysiological and other assessments were performed at baseline and regularly during follow-up. EEGs were performed every 12 months.

The ethics committee of the Pitié-Salpêtrière University Hospital approved the study protocol. Written informed consent according to the Declaration of Helsinki was provided by all participants.

### **INSIGHT-EEG study participants**

On the total of 318 subjects of the INSIGHT-preAD cohort, we analysed baseline EEGs of 314 subjects because the EEG data of three subjects was rejected due to excessive EEG artifacts and one subject did not undergo  $^{18}\text{F}$ -FDG PET. Based on amyloid status (evidenced by  $^{18}\text{F}$ -florbetapir PET) and neurodegeneration status (evidenced by  $^{18}\text{F}$ -FDG PET brain metabolism in AD-signature regions), we classified the subjects into four groups : A+N+, A+N-, A-N+ and A-N- (control group).



## **PET acquisition and processing**

PET scans were acquired 50 min after injection of 370 MBq (10 mCi)  $^{18}\text{F}$ -florbetapir or 30 min after injection of 2 MBq/kg  $^{18}\text{F}$ -FDG. Reconstructed images were analysed with a pipeline developed by the Centre d'Acquisition et Traitement des Images (<http://cati-neuroimaging.com>) (Supplementary material). A  $^{18}\text{F}$ -florbetapir-PET standardized uptake value ratio (SUVR) threshold of 0.7918 was used to dichotomize subjects into amyloid positive and negative groups (Dubois *et al.*, 2018; Habert *et al.*, 2018).

The same image-assessment pipeline was applied to measure brain glucose metabolism on  $^{18}\text{F}$ -FDG PET scans. Cortical metabolic indices were calculated in four bilateral regions of interest that are specifically affected by AD (Jack *et al.*, 2012): posterior cingulate cortex, inferior parietal lobule, precuneus, and inferior temporal gyrus, and the pons was used as the reference region. Calculation of the neurodegeneration cut-off value is detailed in Supplementary material. In the INSIGHT-preAD study, subjects were considered neurodegeneration positive if the mean  $^{18}\text{F}$ -FDG PET SUVR of the 4 AD-signature regions was below 2.27.

## **EEG acquisition and processing**

EEG data were acquired with a high-density 256-channel EGI system (Electrical Geodesics Inc., USA) with a sampling rate of 250 Hz and a vertex reference. During the recording, patients were instructed to keep awake and relaxed. The total length of the recording was 2 minutes, during which participants alternated 30 seconds segments of eyes-closed and eyes-open conditions. 60 seconds of eyes-closed resting-state recording were selected for the analysis. For EEG data processing we used a pipeline that automates processing of EEG recordings with automated artifact removal and extraction of EEG measures (Sitt *et al.*, 2014; Engemann *et al.*, 2015, 2018). A band-pass filtering (from 0.5 to 45 Hz) and a notch filter at 50 Hz and 100 Hz were applied. Data were cut into 1 second epochs. Bad channels and bad epochs were rejected with a procedure that is detailed in Supplementary material.

## **Calculation and analysis of EEG metrics**

We analysed 314 high-density 256-channel EEG recordings from INSIGHT-preAD baseline data. For the calculation of EEG metrics, we analysed the values of the first 224 electrodes which are the scalp (non-facial) electrodes. For each recording, we extracted a set of measures organized according to a theory-driven taxonomy (Sitt *et al.*, 2014). Power spectral density

(PSD), median spectral frequency (MSF) and spectral entropy measure dynamics of brain signal at single electrode site and are based on spectral frequency content. Algorithmic complexity estimates the complexity of a signal based on its compressibility. It measures dynamics of brain signal at single electrode site and is based on information theory. wSMI is also an information-theoretic metric and estimates FC between brain regions. For our main analysis, we calculated 10 EEG metrics: PSD in delta (1-4 Hz), theta (4-8 Hz), alpha (8-12 Hz), beta (12-30 Hz), gamma (30-45 Hz), MSF, spectral entropy, algorithmic complexity, wSMI in theta and alpha band. The EEG metrics were averaged across all epochs (60 seconds recording). PSD was normalized as described in (Sitt *et al.*, 2014). In a supplementary analysis, we compared the results of FC measured by wSMI to two additional “traditional” FC metrics, which are Phase Locking Value (PLV) and weighted Phase Lag Index (wPLI) (Supplementary material). All markers were computed using NICE (<https://github.com/nice-tools/nice>) and MNE-Python (<https://github.com/mne-tools/mne-python>). The collection of scripts used are publicly available.<sup>1</sup>

## **Statistical analysis**

Statistical analyses were performed using R software, version 3.5.0. We compared baseline characteristics between the four groups using one-way ANOVA for continuous variables and  $\chi^2$  test for categorical variables. When global test was significant, post hoc Tukey test was performed for continuous variables and pairwise  $\chi^2$  test with Benjamini-Hochberg correction for categorical variables, to determine which groups differed from each other.

## **Local regression of average EEG metrics in function of amyloid SUVR and FDG SUVR**

First, we used local regression (LOESS) to study the relationship between average EEG metrics (mean value across all scalp electrodes), mean amyloid SUVR and mean <sup>18</sup>F-FDG SUVR.

## **EEG metrics analysis**

To study the impact of amyloid load, brain metabolism, age, gender, educational level, ApoE4 and hippocampal volume on EEG metrics, we performed two types of analyses. The first analysis was on the mean value of each metric across all scalp (non-facial) electrodes. The second one was on the value of each metric at each scalp electrode so there were 224 values for

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<sup>1</sup> URL will be made available upon request and upon acceptance of the article.

each metric per participant. For wSML, connectivity measures were summarized by calculating the median value from each electrode to all the other electrodes.

Multiple models were performed to evaluate the impact of main effects and interactions. Type II tests were performed. P-values were corrected for multiple testing on 10 measures with the Benjamini-Hochberg False discovery rate (BH-FDR) procedure.

For the analysis of average EEG metrics, multiple linear regressions were performed. Simple linear regressions were first performed to evaluate if amyloid load or brain metabolism should be included as categorical variables (A+, A-, N+, N-) or as continuous variables (amyloid SUVR, mean <sup>18</sup>F-FDG SUVR), by maximizing the coefficient of determination R<sup>2</sup>, depending on the EEG metrics. The effects of interest were included in multiple models as well as interaction between amyloid load and brain metabolism.

For the analysis of the value of each metric at each electrode, linear mixed models were performed with the effects of interest as fixed effects as well as the electrode number, and the subject as random effect. Interactions between amyloid load, brain metabolism and electrode number were included in the models as well as all two-way interactions between these three effects. We performed a cluster-based permutation test with a threshold-free cluster enhancement (TFCE) method (Smith and Nichols, 2009) to correct for multiple comparisons on 224 electrodes and to see which electrodes showed statistically significant differences for pairwise comparisons between the following groups: A+N+ versus A-N-, A+N- versus A-N-, A-N+ versus A-N-, A+ versus A- and N+ versus N-. Cluster-based permutation test is detailed in Supplementary methods. We generated scalp topographical maps using MNE-Python (Gramfort *et al.*, 2013).

### **FC analysis at source level**

In order to provide anatomically based interpretation of neural activity, we did a source level FC analysis on a representative sample of the four groups of participants (Supplementary material).

### **Data availability**

The data that support the findings of this study are available from the corresponding author, upon reasonable request.

## Results

### Population baseline characteristics analysis

The mean age of all participants was 76.1 years (SD 3.5) and 67.8% of the participants had a high educational level (Table 1). There were no differences between the four groups for age and educational level. There were more women in A-N- (66.3%) and A+N- (74.6%) groups compared to A+N+ group (36.0%). The proportion of ApoE4 carriers was higher in A+N+ and A+N- groups than in A-N+ and A-N- groups (44.0% and 34.9% versus 5.9% and 14.3%, respectively). The four groups did not differ for cognitive scores except for the FCSRT delayed free recall where A+N+ group had significantly lower scores than A+N- and A-N- groups (10.4 [SD 2.5] vs 11.8 [SD 2.3] and 12.0 [SD 2.1], respectively). The mean <sup>18</sup>F-FDG PET SUVR was 2.2 (SD 0.1) in A+N+ group, 2.2 (SD 0.1) in A-N+ group, 2.5 (SD 0.2) in A+N- group and 2.6 (SD 0.2) in A-N- group. The mean amyloid SUVR was 1.1 (SD 0.2) in A+N+ group, 1.0 (SD 0.2) in A+N- group, 0.7 (SD 0.1) in A-N+ group and 0.7 (SD 0.1) in A-N- group. The total hippocampal volume measured on structural MRI was significantly lower in A+N+ subjects compared to A-N- subjects (2.6 [SD 0.2] vs 2.8 [SD 0.3], respectively).

### Local regression of average EEG metrics on amyloid SUVR and FDG SUVR

As first exploratory step, we used local regression to study the relationship between average EEG metrics and mean amyloid SUVR (Fig. 1) and mean <sup>18</sup>F-FDG SUVR (Fig. 3).

The relationship between amyloid SUVR and PSD delta followed a U-shape curve whereas the relationship between amyloid SUVR and PSD beta, PSD gamma, MSF, spectral entropy and complexity followed an inverted U-shape curve. Amyloid SUVR inflection points values were between 0.96 and 0.98 for all the previous EEG measures. The relationship was less clear between amyloid burden, PSD alpha and PSD theta. The degree of severity of amyloid load did not seem to have an impact on wSMI theta and wSMI alpha. To better understand the relationship between amyloid load and EEG metrics we did local regression of average EEG metrics on amyloid SUVR first for N+ subjects only (Fig. 2) and second for N- subjects only (Supplementary Fig. 1). Interestingly, in N+ subjects, local regression of EEG metrics on amyloid SUVR showed much more obvious inverted U-shape curves for intermediate to very high amyloid load than the previous regression on the whole cohort, for PSD beta, PSD gamma, MSF, spectral entropy, complexity and also for wSMI theta. Moreover, in N+ subjects, the relationship between PSD delta and amyloid SUVR followed a more pronounced U-shape

curve. After exceeding a certain level of amyloid load, complexity, spectral entropy, MSF, PSD beta, PSD gamma and wSMI theta decreased markedly and PSD delta increased noticeably. Amyloid burden did not show any noticeable effect on EEG measures in N- subjects (Supplementary Fig.1). To summarize, the degree of severity of amyloid burden had a strong impact on EEG metrics in the presence of neurodegeneration, with increased high-frequency oscillations for intermediate amyloid burden and a slowing of brain oscillations for high to very high amyloid load.

Local regression of average EEG metrics on mean  $^{18}\text{F}$ -FDG SUVR (Fig. 3) showed a trend towards increased complexity, PSD beta, PSD gamma, spectral entropy, MSF and wSMI theta and decreased PSD delta when brain metabolism decreased. The relations between brain metabolism, PSD alpha and PSD theta were less clear. The level of brain metabolism did not seem to have an impact on wSMI alpha. Similar trends were found in local regression of EEG metrics on  $^{18}\text{F}$ -FDG SUVR separately for A+ and A- subjects (Supplementary Fig. 2 and Supplementary Fig. 3). Thus, as a main effect, neurodegeneration in AD-signature regions seemed to increase high-frequency oscillations, complexity, spectral entropy and FC measured by wSMI theta, except when neurodegeneration was associated to very high amyloid load, where the trend of EEG metrics reversed.

### **Multiple linear regression of average EEG metrics in function of amyloid load and brain metabolism**

We did multiple linear regression of average EEG metrics on all scalp electrodes to assess the impact of amyloid load and brain metabolism on EEG measures, adjusting on the following potential confounding variables: age, gender, education level, ApoE4 status and hippocampal volume (Table 2).

We studied the impact of brain metabolism on EEG metrics (Table 2 and Fig. 4). N+ subjects had higher PSD gamma and higher MSF than N- subjects ( $P=0.0157$  and  $P=0.0064$ , respectively). A decrease in mean  $^{18}\text{F}$ -FDG SUVR was associated with higher PSD theta and higher wSMI theta ( $P=0.0203$  and  $P=0.0452$ , respectively). N+ subjects showed a trend towards higher spectral entropy ( $P=0.1665$ ) and lower PSD delta ( $P=0.1067$ ). As previous local regression suggested that amyloid load had an impact on average EEG metrics only in N+ subjects and not in N- subjects, we analysed the interaction between amyloid load and brain metabolism (Table 2 and Fig. 5). There was a significant interaction between amyloid SUVR and neurodegeneration status for complexity ( $P=0.0217$ ), PSD beta ( $P=0.0348$ ) and MSF

( $P=0.0136$ ) and a trend towards significance for spectral entropy ( $P=0.0669$ ), PSD gamma ( $P=0.0691$ ) and PSD delta ( $P=0.1225$ ). With increasing amyloid load, N+ subjects showed decreased complexity, MSF and PSD beta and presented a trend towards decreased spectral entropy, decreased PSD gamma and increased PSD delta, meaning a slowing of brain oscillations. N+ subjects showed a trend towards higher PSD theta in the presence of amyloid positivity compared to N+A- subjects ( $P=0.1064$ ). In N-subjects, amyloid load did not appear to have an impact on average EEG metrics. If not considering the interaction between amyloid load and neurodegeneration, amyloid load alone did not show a significant impact on average EEG metrics (Table 2 and supplementary Fig. 4). This supports the fact that amyloid load has an impact on average EEG metrics only if associated to neurodegeneration. Results did not stay statistically significant after multiplicity correction on 10 EEG metrics.

### **Relationship between average EEG metrics, age, gender, education, ApoE4 and hippocampal volume**

Men had higher average wSMI theta (FDR-corrected  $P<0.0001$ ) and lower PSD delta (FDR-corrected  $P=0.0256$ ) compared to women (Table 2). No significant relationship was found between gender and the other EEG metrics (Supplementary Fig. 5). There was no significant relationship between EEG metrics and educational level, age and hippocampal volume. wSMI theta was higher in the presence of ApoE4 genotype (Supplementary Fig. 6) than in the absence of ApoE4 genotype ( $P=0.0493$ ). No significant relationship was found between ApoE4 and the other EEG metrics.

### **224 electrodes analysis: topographical differences across EEG measures and groups**

We evaluated topographical differences across EEG measures between the control group (A-N-) and the three other groups (A+N+, A+N- and A-N+) (Supplementary Table 1 and Fig. 6), then between N+ and N- subjects (Supplementary Fig. 7) and finally between A+ and A- subjects (Supplementary Fig. 8). The objectives were to assess the discrimination capacity of the different EEG metrics between groups and to better understand the impact of amyloid and neurodegeneration on EEG measures. All p-values were adjusted on ApoE4 status, gender, education level, age and hippocampal volume.

The A-N+ group showed maximum EEG changes compared to A-N- control group. A-N+ subjects had lower PSD delta in fronto-central regions and right temporal region, higher PSD

beta, complexity, spectral entropy and wSMI theta in fronto-central regions and higher PSD gamma in fronto-central and temporal bilateral regions, compared to A-N- group. The A-N+ group presented a widespread increase of MSF in fronto-central and parieto-temporal regions. Thus, several EEG measures were efficient indices in discriminating A-N+ subjects from A-N- subjects. The A+N+ group showed only an increase in PSD gamma in left fronto-temporal region and a discrete increase in MSF in left temporal region, compared to A-N- group. A+N+ group showed a trend towards increased wSMI theta in centro-parieto-temporal regions but did not reach statistical significance. The A+N- group showed significantly increased wSMI alpha in parieto-occipital regions compared to A-N- group.

We compared the N+ group to the N- group (Supplementary Fig. 7) and found that N+ subjects presented similar EEG changes than the A-N+ group (as described earlier) although the EEG changes were less marked. N+ subjects presented increased MSF in fronto-central and parieto-temporal regions and increased PSD gamma in frontal and temporal bilateral regions. N+ subjects had a discrete diminution of PSD delta in fronto-central regions and a discrete increase in wSMI theta, spectral entropy and complexity in fronto-central regions. There was a trend toward increased PSD beta in fronto-central regions in N+ subjects but it did not reach statistical significance. MSF and PSD gamma were the most powerful metrics to discriminate between N+ and N- subjects (in Supplementary Table 1,  $P=0.0093$  and  $P=0.0182$  for main effects of MSF and PSD gamma, respectively). Although there was a significant interaction between amyloid status and electrodes for wSMI alpha in a linear mixed model ( $P<0.0001$  and FDR-corrected  $P=0.0003$ , in Supplementary Table 1), the comparison of A+ versus A- group (Supplementary Fig. 8) showed only a trend towards increased wSMI alpha in parieto-occipital regions but did not reach cluster statistical significance.

### **Comparison of wSMI with “traditional” FC measures**

Results are detailed in Supplementary results.

### **FC analysis at source level**

Results of FC source analysis are described in Supplementary results.

## **Discussion**

To our knowledge, this is the first study to demonstrate EEG changes in preclinical AD and SNAP. Moreover, we have explored the effects of AD topography-specific neurodegeneration and amyloid-beta deposition on EEG metrics. The most prominent effects of neurodegeneration

on EEG metrics were localized in fronto-central regions with an increase in high-frequency oscillations (higher beta and gamma power) and a decrease in low-frequency oscillations (lower delta power), higher spectral entropy, higher complexity and increased FC measured by wSMI in theta band. Neurodegeneration was associated to a widespread increase of MSF. Interestingly, in the absence of neurodegeneration, at stage 1 of preclinical AD according to (Sperling *et al.*, 2011), amyloid burden did not have any impact on average EEG metrics but had a local effect marked by an increased FC measured by wSMI alpha in parieto-occipital regions.

Importantly, in N+ subjects, we found a non-linear relationship between amyloid burden and EEG metrics, either following a U-shape curve for delta power or an inverted U-shape curve for PSD beta, PSD gamma, MSF, complexity, spectral entropy and wSMI theta. This means that in the presence of neurodegeneration, EEG patterns are modulated differently depending on the degree of severity of amyloid burden. After N+ subjects exceed a certain threshold of amyloid load, the whole trend of EEG metrics reverses, meaning increased delta power and decreased beta and gamma power, MSF, spectral entropy, complexity and wSMI in theta band, with an EEG pattern getting close to the one observed in MCI and clinical AD. The fact that N+ subjects have opposite EEG trends for intermediate amyloid load (i.e. increased high-frequency oscillations) and high to very high amyloid load (i.e. slowing of brain oscillations) can explain why A+N+ subjects showed less EEG changes than A-N+ subjects, with only a discrete increase of PSD gamma and MSF. Indeed, in the A+N+ group, some subjects have intermediate amyloid load and others have very high amyloid load, so in the A+N+ group some subjects have increased high-frequency oscillations and others have a slowing of brain oscillations; these effects going in opposite directions, in the end at A+N+ group level very little EEG changes are visible, while EEG changes are actually present at individual level.

Therefore, it seems best to individualize two different EEG phases in neurodegeneration positive subjects, depending on the level of amyloid burden. We will first focus on the results for the first EEG phase in preclinical AD subjects presenting subthreshold to intermediate amyloid burden, before amyloid load exceeds a critical threshold. Increasing high frequency spectral power in fronto-central regions is in line with one recent study which showed a functional frontal upregulation revealed by an increased frontal alpha power in preclinical AD (Nakamura *et al.*, 2018). Compared to this previous study, we found a frontal upregulation in higher frequency bands which were beta (12-30 Hz) and gamma (30-45 Hz). Increased frontal



functional upregulation has also been shown in other studies with an increased FC in frontal regions (Mormino *et al.*, 2011; Jones *et al.*, 2016). In an inverse way we found decreased frontal delta power in the presence of neurodegeneration, for subthreshold amyloid SUVR. A study by (Nakamura *et al.*, 2018) reported a negative correlation between regional metabolism in AD-signature regions and frontal delta power in an amyloid-positive group which included MCI and CN subjects, MCI subjects showing higher frontal delta power than CN subjects. At first sight these results could seem discrepant with our study but can be explained first by the fact that we studied CN subjects only and not MCI subjects; second, we found increased delta power when neurodegeneration was associated to high amyloid burden, similarly to (Nakamura *et al.*, 2018), thus confirming that delta power increase is a marker of disease progression within the Alzheimer's disease continuum.

The first hypothesis to explain an increase in frontal high-frequency oscillations concomitant with a decrease in low-frequency oscillations in neurodegeneration positive subjects with subthreshold to intermediate amyloid load is a compensatory mechanism, which was also proposed in previous studies (Mormino *et al.*, 2011; Lim *et al.*, 2014; Jones *et al.*, 2016). A sufficient level of compensation is needed to maintain normal cognitive function despite amyloid burden and hypometabolism in preclinical AD. Compensatory mechanisms would then fail once amyloid burden exceeds a certain level, explaining the reversal of EEG metrics trend, with a slowing of brain oscillations revealed by increased delta power and decreased beta and gamma power, with a spectral pattern getting close to the one typically found in MCI and AD. Another explanation is that as participants in INSIGHT-preAD study are selected on normal cognition, subjects with neurodegeneration may have a particularly high cognitive reserve, which is revealed by baseline higher spectral power in frontal regions, reduced low-frequency oscillations and higher FC (Cohen *et al.*, 2009; Lim *et al.*, 2014); this cognitive reserve would be altered as amyloid load increases, which would explain why subjects with neurodegeneration and very high amyloid load show slowing of brain oscillations and lower FC.

We found a local increase of FC measured by wSMI alpha in parieto-occipital regions in subjects at stage 1 of preclinical AD. This could be explained by abnormal transient neuronal hyperexcitability related to A $\beta$  deposition with a relative decrease in synaptic inhibition (Busche *et al.*, 2008; Palop and Mucke, 2010; Nakamura *et al.*, 2018). The 'acceleration' hypothesis suggests that once A $\beta$  deposition is initiated by independent events, a milieu of higher FC hastens this deposition, which eventually leads to the functional disconnection or

metabolic deterioration in the subjects with amyloid burden (Cohen *et al.*, 2009; de Haan *et al.*, 2012; Johnson *et al.*, 2014; Lim *et al.*, 2014). The metabolic demands associated with high connectivity may be the detrimental phenomenon that triggers downstream cellular and molecular events associated with AD (Jones *et al.*, 2016). Previous work in animal models has shown that intermediate levels of A $\beta$  enhance synaptic activity presynaptically (Abramov *et al.*, 2009), whereas abnormally high levels of A $\beta$  impair synaptic activity by inducing post-synaptic depression (Palop and Mucke, 2010). This is consistent with our results showing basically two different EEG phases in preclinical AD stage 2. In the early preclinical stage that is characterized by neurodegeneration combined with intermediate levels of A $\beta$ , there is an increase in brain oscillations and FC due to compensation and/or A $\beta$  related excitotoxicity. Then, the increase in brain oscillations and FC would hasten A $\beta$  deposition. In a later preclinical stage characterized by neurodegeneration combined with high to very high levels of A $\beta$ , there is a slowing of brain oscillations and reduced FC due to compensatory mechanisms failure and/or post-synaptic depression, with an EEG pattern getting close to the one observed in MCI and AD. The break-down of initial functional compensation would facilitate accelerated tau-related neurodegenerative processes (Jones *et al.*, 2017).

To our knowledge our work is the first to study complexity and spectral entropy in preclinical AD subjects, coupled with metabolic evidence of neurodegeneration and A $\beta$  biomarker information. The increased complexity and spectral entropy observed in frontal regions in the presence of neurodegeneration could also be explained by compensatory mechanisms. Compensation would then fail with increasing amyloid burden, with an EEG pattern becoming less complex and more regular, getting close to the one observed in MCI/AD (Hornero *et al.*, 2009; Staudinger and Polikar, 2011; Al-Nuaimi *et al.*, 2018).

One of the main strengths of our study was the use of a high-performing and practical EEG processing pipeline with automated artifact elimination and extraction of several validated EEG biomarkers. This tool avoids the need for the time-consuming manual removal of artifacts and the risk of possible human biases. Effective artifact removal is particularly important in a population of elderly subjects. Our results suggest that the EEG measures extracted with this pipeline can be successfully employed in a wide range of practical contexts whenever spectral or information-theory biomarkers are needed. wSMI has proved effective in assessing FC in previous studies (King *et al.*, 2013; Sitt *et al.*, 2014; Engemann *et al.*, 2015, 2018) because unlike several traditional synchrony measures it minimizes common-source artifacts and provides an efficient way to detect non-linear coupling. Moreover, wSMI has already proven

sensitive to detect aberrant networks in other neurodegenerative conditions, including Parkinson's disease (Melloni *et al.*, 2015) and behavioral variant frontotemporal dementia (Dottori *et al.*, 2017). Our study supports the idea that EEG being a non-invasive, cheap and widely-available technique, could be used as a screening tool for identifying individuals at high risk of preclinical AD and future cognitive decline. Moreover, EEG biomarkers seem to be useful tools to measure and monitor neurodegeneration.

Another novelty of our work is the division of our study population in four groups, based on amyloid and neurodegeneration criteria, in contrast to the more commonly used selection of individuals at risk for AD based on amyloid biomarker alone with a dichotomous classification of subjects as amyloid-negative or positive. First, amyloid deposition alone does not necessarily represent progression to clinical AD as both neuropathological and PET data show evidence of extensive amyloid- $\beta$  pathology in CN older people (Bennett *et al.*, 2006; Morris *et al.*, 2010; Jagust, 2016). Second, it has been shown that neurodegeneration, particularly synapse loss, is the aspect of AD neuropathologic change that correlates most closely with symptom onset and cognitive decline (Soldan *et al.*, 2016; Jack *et al.*, 2018) and several studies using  $^{18}\text{F}$ -FDG PET showed that cerebral metabolic rate of glucose reduction predicted cognitive decline from normal elderly cognition to MCI/AD with a high accuracy, decliners showing greater reduction of  $^{18}\text{F}$ -FDG SUVR values (de Leon *et al.*, 2001; Jagust *et al.*, 2006; Mosconi *et al.*, 2009, 2010). A study by (Teipel *et al.*, 2018) found no association between cortical amyloid load and FC in the INSIGHT-preAD cohort, which is explainable first by the fact that authors only assessed the impact of amyloid load and not the effect of neurodegeneration on FC; second, they used Phase Lag Index to measure FC, which is affected by noise and volume conduction. The study of four groups of subjects depending on their amyloid and neurodegeneration status enabled us to explore EEG changes at different stages of preclinical AD (stage 1 and stage 2) and to study SNAP subjects which are also at risk of future cognitive decline (Caroli *et al.*, 2015). Moreover, we were able to assess independently the effects of neurodegeneration and amyloid burden on EEG metrics.

Our results showed increased wSMI theta in ApoE4 carriers. This is consistent with other studies showing increased FC in CN ApoE4 carriers (Filbey *et al.*, 2006; Kramer *et al.*, 2008), whereas other studies found reduced brain activity in ApoE4 carriers (Lind *et al.*, 2006) or no differences in FC according to ApoE genotype (Bassett *et al.*, 2006; Nakamura *et al.*, 2017). We found that men had higher FC measured by wSMI theta; however, this result should be interpreted with caution as there was some gender imbalance between groups. Some studies

have found higher FC in men (Allen *et al.*, 2011; Filippi *et al.*, 2013), whereas others have reported that gender has a relatively small (Bluhm *et al.*, 2008) or lack of effect (Weissman-Fogel *et al.*, 2010) on resting state networks. Thus, further studies are needed to clarify the impact of gender and ApoE4 genotype on EEG metrics.

Our study presents some limitations. We divided the INSIGHT-preAD cohort into four groups of subjects based on  $^{18}\text{F}$ -florbetapir PET and  $^{18}\text{F}$ -FDG PET thresholds. However, principally for amyloid burden, this dichotomous distinction between A+ and A- categories is questionable as A- subjects are not necessarily completely free of amyloid, especially subjects that are slightly below the threshold. In the A-N+ (SNAP) group some subjects had subthreshold amyloid load so would be close to stage 2 of preclinical AD. In the A+N+ group the population was heterogeneous, as some subjects had intermediate amyloid burden and others had high to very high amyloid burden, making it difficult to interpret the results at A+N+ group level, as EEG metrics went into opposite directions depending on the degree of severity of amyloid burden. For that reason, we decided it was best to analyse amyloid load as a continuous variable and to describe two EEG phases in stage 2 of preclinical AD (for intermediate amyloid load and high to very high amyloid burden). Tau marker was not available, which is another limitation of this study, especially in regards with the recent NIA-AA research framework (Jack *et al.*, 2018) which stipulates that only individuals with both A $\beta$  and pathologic tau biomarkers would be considered to have AD. However, it has been shown that there is a strong correlation between  $^{18}\text{F}$ -FDG PET hypometabolism in AD-signature regions and tau pathology, and also between hippocampal atrophy and tau pathology (Gómez-Isla *et al.*, 1997; Nelson *et al.*, 2012). As in our study A+N+ subjects not only had a combination of high  $^{18}\text{F}$ -florbetapir retention and low  $^{18}\text{F}$ -FDG PET metabolism but also presented significant hippocampal volume reduction, it means that they have a high probability of pathologic tau deposits. We decided to do our principal analysis at scalp level and mainly use globally-averaged EEG measures so that the procedure would stay simple, keeping in mind that it could be applied as a possible routine screening tool in the future to identify individuals at high risk of preclinical AD. To have a better interpretation in terms of cerebral regions we did FC analysis at source level on four samples of subjects (Supplementary results), but due to lack of power, we did not evidence any significant differences in FC at source level. Source analysis on a larger number of subjects will need to be done in future studies. Finally, the analysis of longitudinal EEG data in the INSIGHT-preAD cohort will be most interesting to monitor

evolution of EEG metrics during follow-up, especially in patients who will cognitively decline and evolve to prodromal AD.

To conclude, our work identified several EEG biomarkers that are effective indices of AD topography-specific neurodegeneration. As these EEG biomarkers are modulated by the degree of severity of amyloid load, they will possibly help to distinguish between different stages of preclinical AD. Our findings need to be replicated in further studies with a longitudinal analysis of EEG changes to finely assess the temporal evolution of these associations.

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### **Competing interests**

S.E. has received honoraria as a speaker or consultant for Eli Lilly, Biogen, Astellas Pharma, Roche and GE Healthcare. The other authors do not report any conflicts of interest.

### **Supplementary material**

Supplementary material is available at Brain online.

## Appendix

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[https://www.ncbi.nlm.nih.gov/pmc/articles/PMC5576287/bin/13195\\_2017\\_288\\_MOESM3\\_ESM.docx](https://www.ncbi.nlm.nih.gov/pmc/articles/PMC5576287/bin/13195_2017_288_MOESM3_ESM.docx)

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## Figure legends:

**Figure 1: Local regression of average EEG metrics across all scalp electrodes as a function of amyloid SUVR.** SE = spectral entropy.

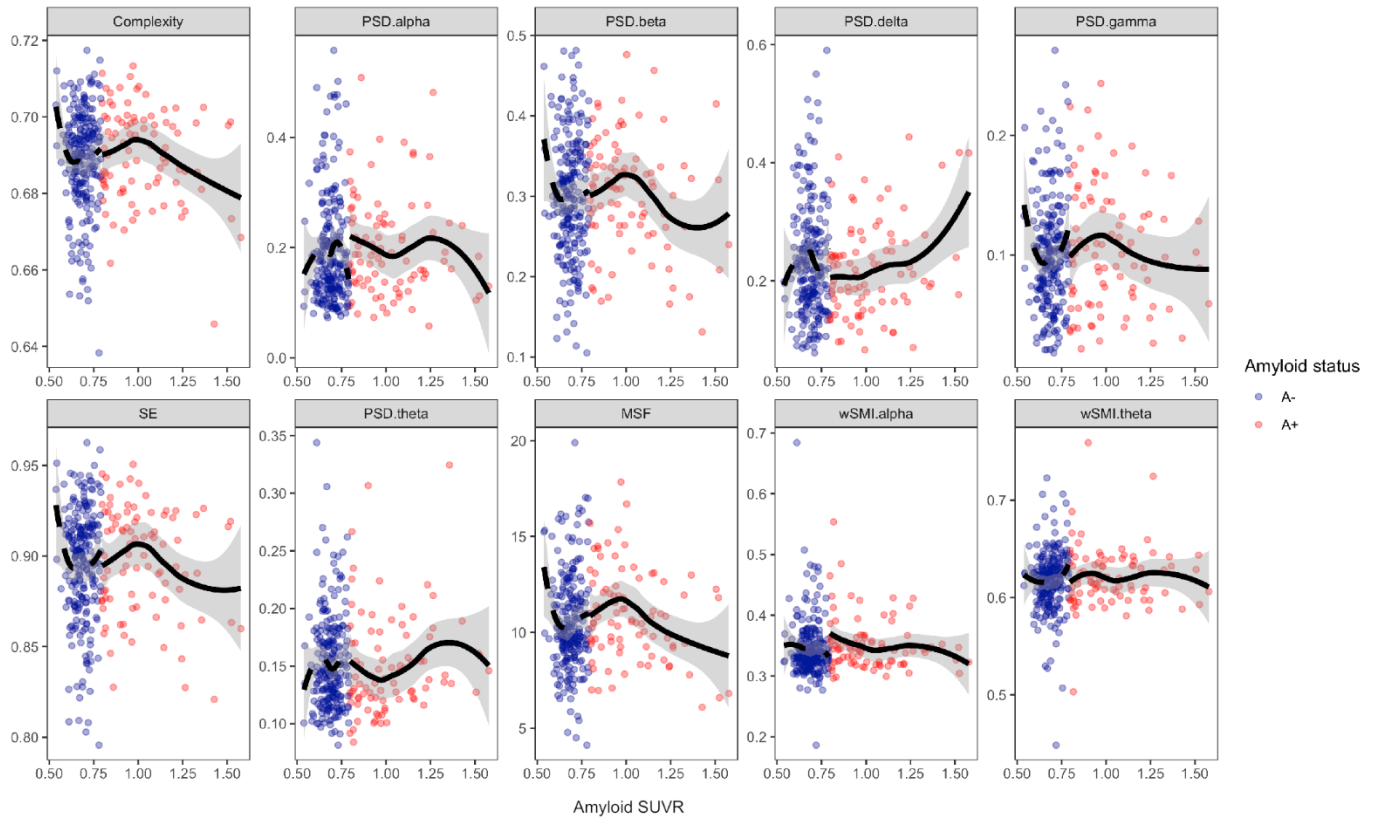
**Figure 2: Local regression of average EEG metrics across all scalp electrodes as a function of amyloid SUVR for neurodegeneration positive subjects only.** SE = spectral entropy.

**Figure 3: Local regression of average EEG metrics across all scalp electrodes as a function of mean FDG SUVR.** FDG = fluorodeoxyglucose; SE = spectral entropy.

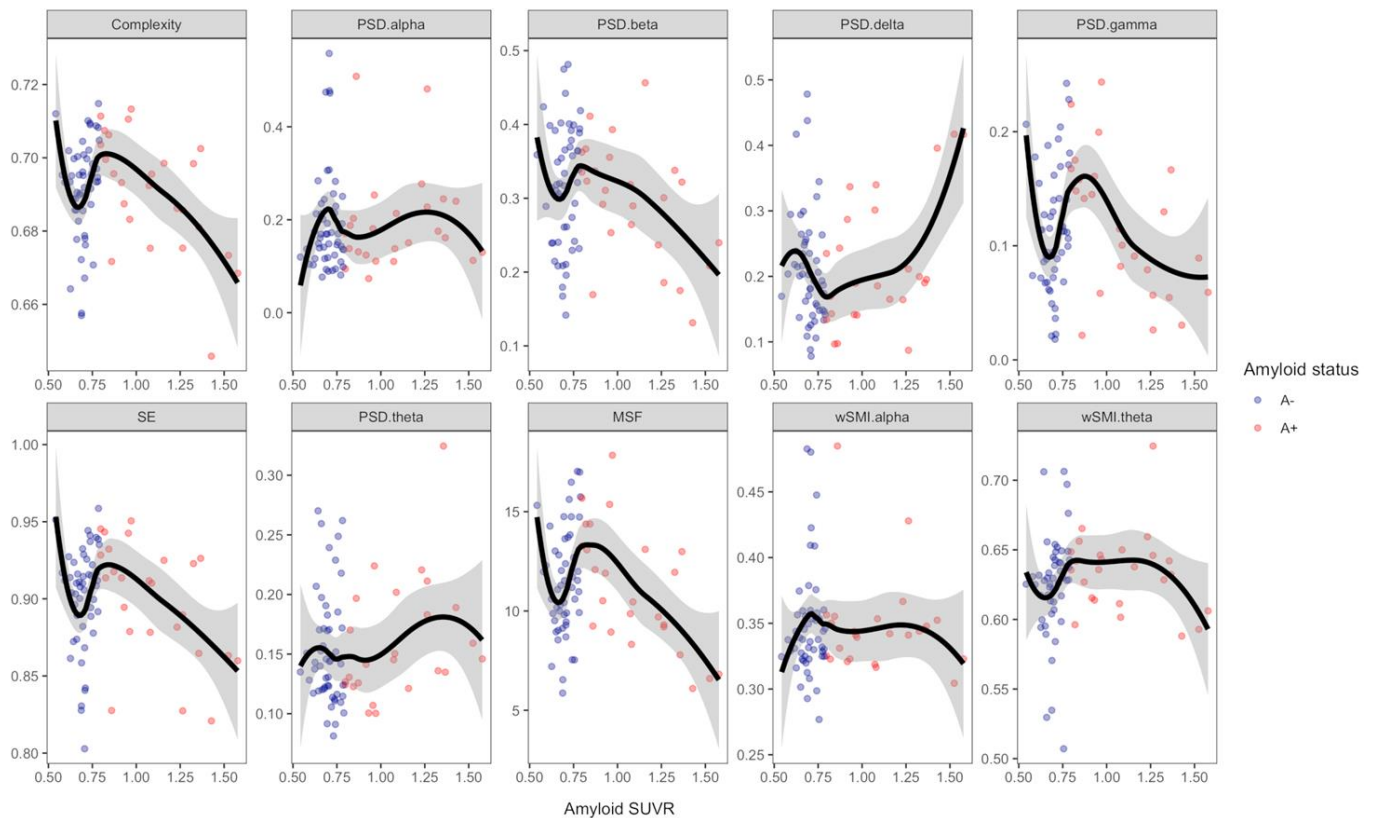
**Figure 4: Estimated marginal means from multiple linear regressions of average EEG metrics according to brain metabolism.** Amyloid load and brain metabolism are used either as continuous or binary measures, depending on each EEG metric to maximize R-squared values. **(A)** Brain metabolism as binary measure (N+ vs N-). **(B)** Brain metabolism as continuous measure (mean FDG SUVR). Estimated marginal means and standard deviation are depicted; covariables in the models were: age, education level, gender, ApoE4 status, hippocampal volume and Florbetapir (either binary or continuous); p-values are indicated with \*P < 0.05. \*\*P < 0.01. n.s.: not significant. FDG = fluorodeoxyglucose; SE = spectral entropy.

**Figure 5: Estimated marginal means from multiple linear regressions of average EEG metrics according to interactions between amyloid load and brain metabolism.** Amyloid load and brain metabolism are used either as continuous or binary measures, depending on each EEG metric to maximize R-squared values. **(A)** Interaction between amyloid SUVR and FDG status. **(B)** Interaction between amyloid SUVR and mean FDG SUVR. **(C)** Interaction between mean FDG SUVR and amyloid status. Estimated marginal means and standard deviation are depicted; covariables in the models were: age, education level, gender, ApoE4 status, hippocampal volume and Florbetapir (either binary or continuous); p-values are indicated with \*P < 0.05. FDG = fluorodeoxyglucose; SE = spectral entropy.

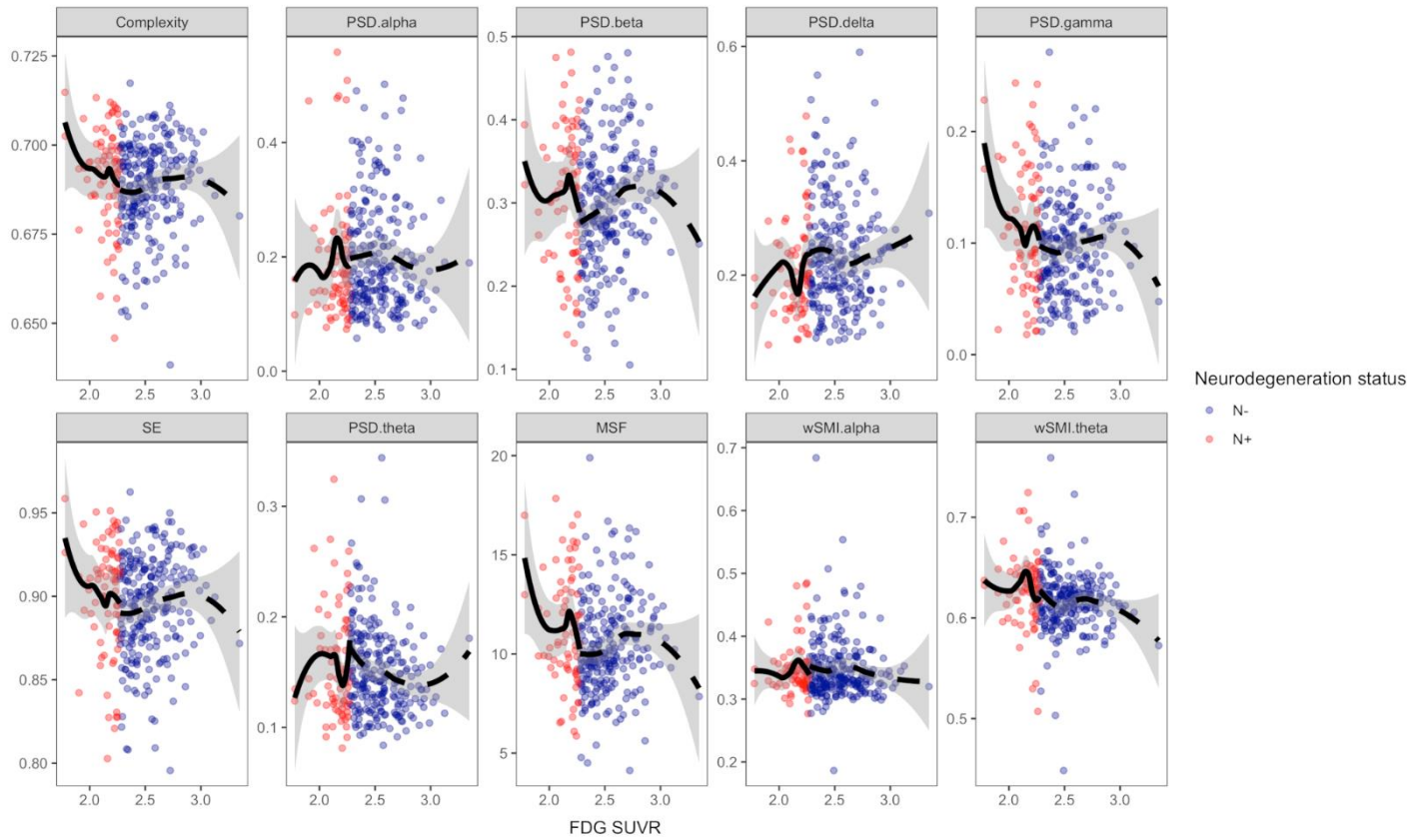
**Figure 6: 224 electrodes topographical maps of EEG metrics.** The topographical 2D projection (top = front) of each measure [normalized power spectral density in delta ( $\delta$ ), theta ( $\theta$ ), alpha ( $\alpha$ ), beta ( $\beta$ ), gamma ( $\gamma$ ), median spectral frequency (MSF), spectral entropy (SE), algorithmic complexity (K) and weighted symbolic mutual information in theta band and alpha band (wSMI  $\theta$  and wSMI  $\alpha$ )] is plotted for A+N+ group, A-N+ group, A+N- group and control group A-N- (columns). Statistics were done on 224 electrodes by non-parametric cluster permutation test. The three last columns indicate non-parametric cluster-based permutation test results for the pairwise comparisons: A+N+ vs A-N-; A-N+ vs A-N- and A+N- vs A-N- for each EEG metric. The topographical maps in the three last columns are color-coded according to the cluster permutation tests p-values (color = P<0.05, greyscale=P>0.05). Clusters of electrodes whose EEG metrics' values are significantly different from the control group (A-N-) are depicted.



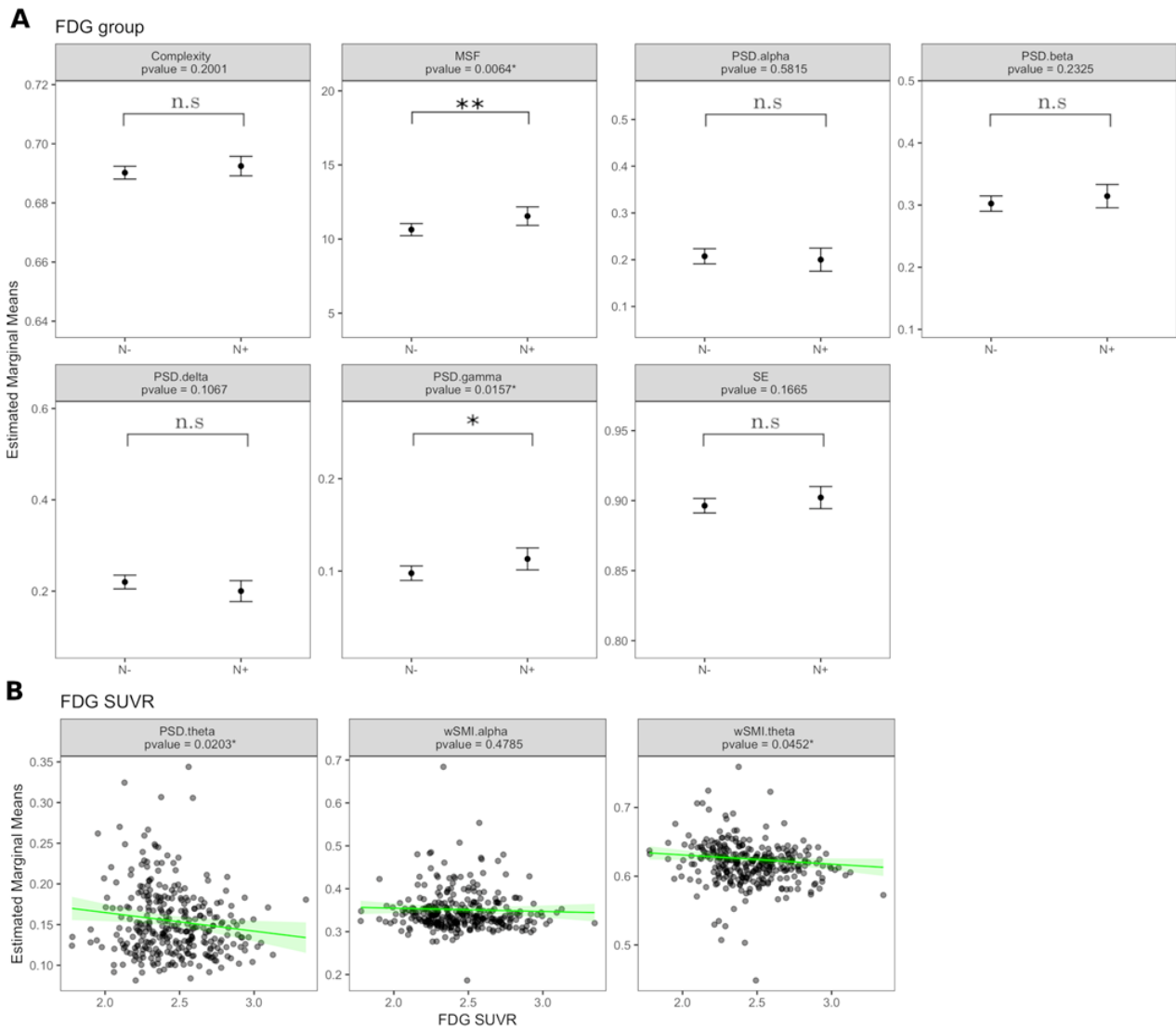
**Figure 1: Local regression of average EEG metrics across all scalp electrodes as a function of amyloid SUVR. SE = spectral entropy.**



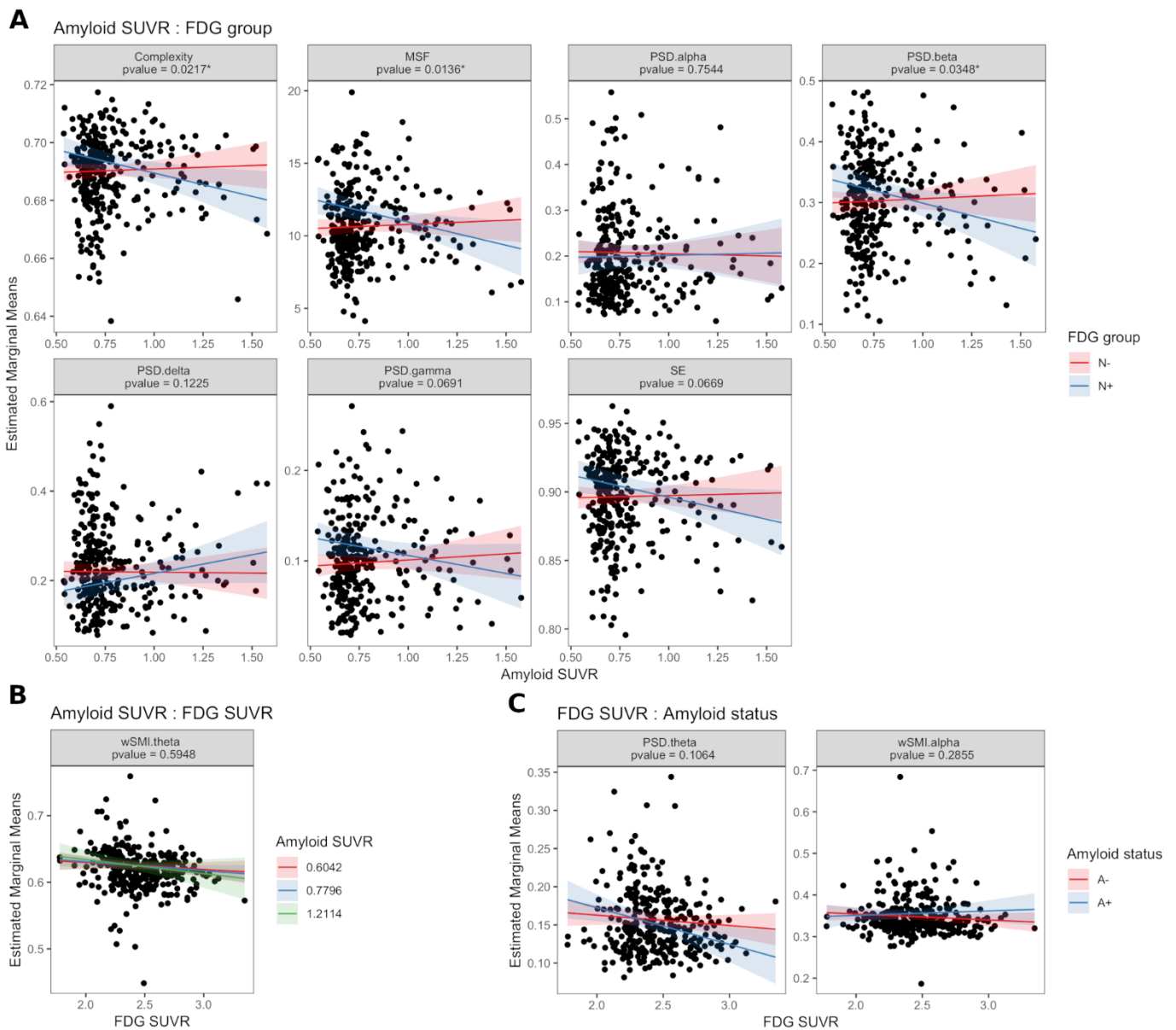
**Figure 2: Local regression of average EEG metrics across all scalp electrodes as a function of amyloid SUVR for neurodegeneration positive subjects only. SE = spectral entropy.**



**Figure 3: Local regression of average EEG metrics across all scalp electrodes as a function of mean FDG SUVR. FDG = fluorodeoxyglucose; SE = spectral entropy.**

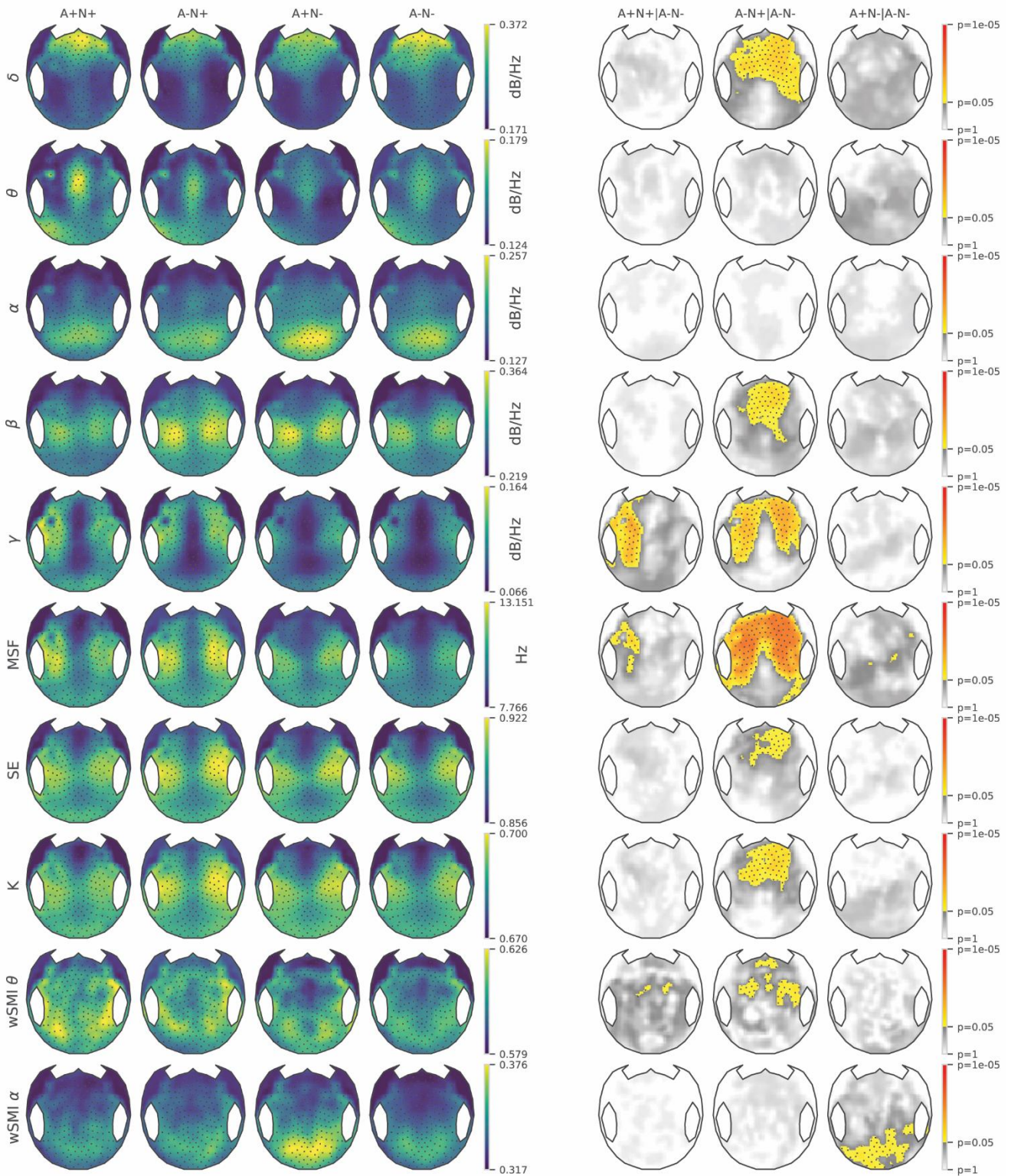


**Figure 4: Estimated marginal means from multiple linear regressions of average EEG metrics according to brain metabolism.** Amyloid load and brain metabolism are used either as continuous or binary measures, depending on each EEG metric to maximize R-squared values. **(A)** Brain metabolism as binary measure (N+ vs N-). **(B)** Brain metabolism as continuous measure (mean FDG SUVR). Estimated marginal means and standard deviation are depicted; covariables in the models were: age, education level, gender, ApoE4 status, hippocampal volume and Florbetapir (either binary or continuous); p-values are indicated with \*P < 0.05. \*\*P < 0.01. n.s.: not significant. FDG = fluorodeoxyglucose; SE = spectral entropy.



**Figure 5: Estimated marginal means from multiple linear regressions of average EEG metrics according to interactions between amyloid load and brain metabolism.** Amyloid load and brain metabolism are used either as continuous or binary measures, depending on each EEG metric to maximize R-squared values. **(A)** Interaction between amyloid SUVR and FDG status. **(B)** Interaction between amyloid SUVR and mean FDG SUVR. **(C)** Interaction between mean FDG SUVR and amyloid status. Estimated marginal means and standard deviation are depicted; covariables in the models were: age, education level, gender, ApoE4 status, hippocampal volume and Florbetapir (either binary or continuous); p-values are indicated with \* $P < 0.05$ . FDG = fluorodeoxyglucose; SE = spectral entropy.





**Figure 6: 224 electrodes topographical maps of EEG metrics.** The topographical 2D projection (top = front) of each measure [normalized power spectral density in delta ( $\delta$ ), theta ( $\theta$ ), alpha ( $\alpha$ ), beta ( $\beta$ ), gamma ( $\gamma$ ), median spectral frequency (MSF), spectral entropy (SE), algorithmic complexity (K) and weighted symbolic mutual information in theta band and alpha band (wSMI  $\theta$  and wSMI  $\alpha$ )] is plotted for A+N+ group, A-N+ group, A+N- group and control group A-N- (columns). Statistics were done on 224 electrodes by non-parametric cluster permutation test. The three last columns indicate non-parametric cluster-based permutation test results for the pairwise comparisons: A+N+ vs A-N-; A-N+ vs A-N- and A+N- vs A-N- for each EEG metric. The topographical maps in the three last columns are color-coded according to the cluster permutation tests p-values (color =  $P < 0.05$ , greyscale =  $P > 0.05$ ). Clusters of electrodes whose EEG metrics' values are significantly different from the control group (A-N-) are depicted.

	All participants	A-N- (control)	A-N+	A+N-	A+N+	p-value <sup>‡</sup>
<b>Demographics</b>						
Number of subjects	314	175 (55.73%)	51 (16.24%)	63 (20.06%)	25 (7.96%)	..
Age (years)	76.07 ± 3.47	75.62 ± 3.39	76.33 ± 3.64	76.81 ± 3.19	76.88 ± 4.01	0.057
Men	116 (36.94%)	59 (33.71%)	25 (49.02%)	16 (25.40%)	16 (64.00%)	..
Women	198 (63.06%)	116 (66.29%) <sup>#</sup>	26 (50.98%) <sup>&amp;</sup>	47 (74.60%) <sup>§#</sup>	9 (36.00%) <sup>&amp;£</sup>	0.001*
High educational level <sup>§</sup>	213 (67.83%)	124 (70.86%)	36 (70.59%)	35 (55.56%)	18 (72.00%)	0.141
APOE ε4 allele	61 (19.43%)	25 (14.29%) <sup>&amp;#</sup>	3 (5.88%) <sup>&amp;#</sup>	22 (34.92%) <sup>£§</sup>	11 (44.00%) <sup>£§</sup>	<0.001*
<b>Cognitive tests</b>						
Mini-Mental State Examination	28.66 ± 0.95	28.73 ± 0.98	28.71 ± 0.92	28.44 ± 0.92	28.60 ± 0.87	0.199
Free and Cued Selective Reminding Test						
Immediate Free Recall	29.96 ± 5.41	30.35 ± 5.36	30.10 ± 5.45	29.65 ± 5.37	27.68 ± 5.45	0.131
Immediate Total Recall	46.08 ± 1.98	46.18 ± 1.95	45.76 ± 2.21	46.19 ± 1.88	45.72 ± 1.95	0.427
Delayed Free Recall	11.83 ± 2.27	12.03 ± 2.11 <sup>#</sup>	11.84 ± 2.45	11.84 ± 2.32 <sup>#</sup>	10.44 ± 2.47 <sup>&amp;£</sup>	0.013*
Delayed Total Recall	15.67 ± 0.63	15.68 ± 0.63	15.63 ± 0.75	15.74 ± 0.48	15.56 ± 0.71	0.613
Frontal Assessment Battery	16.39 ± 1.69	16.51 ± 1.67	16.60 ± 1.70	16.02 ± 1.68	16.12 ± 1.72	0.160
<b><sup>18</sup>F-fluorodeoxyglucose PET imaging</b>						
Mean FDG Standardized uptake value ratios <sup>†</sup>	2.45 ± 0.25	2.56 ± 0.20 <sup>§</sup>	2.15 ± 0.11 <sup>&amp;£</sup>	2.52 ± 0.20 <sup>§#</sup>	2.15 ± 0.12 <sup>&amp;£</sup>	<0.001*
<b><sup>18</sup>F-florbetapir PET imaging</b>						
Standardized uptake value ratios	0.78 ± 0.19	0.68 ± 0.05 <sup>#&amp;</sup>	0.70 ± 0.06 <sup>#&amp;</sup>	1.00 ± 0.17 <sup>#£§</sup>	1.09 ± 0.24 <sup>&amp;£§</sup>	<0.001*
<b>Volumetric MRI (cm<sup>3</sup>)</b>						
Total hippocampal volume <sup>¶</sup>	2.71 ± 0.31	2.76 ± 0.30 <sup>#</sup>	2.66 ± 0.33	2.65 ± 0.35	2.56 ± 0.21 <sup>£</sup>	0.004*

**Table 1: Comparison of baseline characteristics between the four groups.** Data are mean ± SD or number (%). <sup>‡</sup> P-value for the comparison between the four groups. P-values were calculated by a one-way Anova for continuous data and a Chi2 test for categorical data. Following signs indicate which groups significantly differ: <sup>&</sup> group differs from A+N-; <sup>#</sup> group differs from A+N+; <sup>§</sup> group differs from A-N+; <sup>£</sup> group differs from A-N-. <sup>†</sup> <sup>18</sup>F-fluorodeoxyglucose PET indices partial-volume corrected. <sup>§</sup>On a scale of 1–8, where 1=primary education and 8=higher education, high was defined as scores >6. <sup>¶</sup>Normalized to the mean total intracranial volume. A+ = Amyloid positive; A- = Amyloid negative; AD = Alzheimer’s disease; APOE = Apolipoprotein E; N+=neurodegeneration positive; N-=neurodegeneration negative

EEG metrics	Adjusted R2	Florbetapir		FDG		Gender		Educational level		Age at baseline		ApoE4		Hippocampal volume		Interaction Florbetapir:FDG	
		pvalue	pvalue corrected	pvalue	pvalue corrected	pvalue	pvalue corrected	pvalue	pvalue corrected	pvalue	pvalue corrected	pvalue	pvalue corrected	pvalue	pvalue corrected	pvalue	pvalue corrected
Complexity‡	0.025	0.2578	0.4876	0.2001	0.2859	0.0788	0.1716	0.1549	0.4894	0.4299	0.8403	0.2360	0.5507	0.7000	0.7028	0.0217*	0.1085
PSD.alpha‡	-0.012	0.9376	0.9386	0.5815	0.5815	0.3075	0.3844	0.6780	0.8290	0.4566	0.8403	0.1915	0.5507	0.5324	0.7028	0.7544	0.7544
PSD.beta‡	0.012	0.3413	0.4876	0.2325	0.2906	0.1249	0.2082	0.1958	0.4894	0.5938	0.8403	0.9040	0.9293	0.2372	0.7028	0.0348*	0.1161
PSD.delta‡	0.034	0.3108	0.4876	0.1067	0.2135	0.0051*	0.0256*	0.1347	0.4894	0.8596	0.8596	0.0548	0.2742	0.4439	0.7028	0.1225	0.1750
PSD.gamma‡	0.018	0.6529	0.8162	0.0157*	0.0677	0.9583	0.9583	0.8290	0.8290	0.3602	0.8403	0.9293	0.9293	0.2529	0.7028	0.0691	0.1381
SE‡	0.009	0.3022	0.4876	0.1665	0.2775	0.3526	0.3918	0.1249	0.4894	0.5892	0.8403	0.8517	0.9293	0.5779	0.7028	0.0669	0.1381
PSD.theta¥	0.019	0.3411	0.4876	0.0203*	0.0677	0.2140	0.3058	0.6211	0.8290	0.3552	0.8403	0.4182	0.6867	0.7028	0.7028	0.1064	0.1750
MSF‡	0.037	0.2677	0.4876	0.0064*	0.0644	0.0858	0.1716	0.5198	0.8290	0.5305	0.8403	0.2754	0.5507	0.6792	0.7028	0.0136*	0.1085
wSML.alpha¥	0.001	0.2219	0.4876	0.4785	0.5317	0.0809	0.1716	0.7596	0.8290	0.7386	0.8403	0.4807	0.6867	0.0751	0.7028	0.2855	0.3568
wSML.theta‡¥	0.177	0.9386	0.9386	0.0452*	0.1129	<0.0001*	<0.0001*	0.8170	0.8290	0.7563	0.8403	0.0493*	0.2742	0.3842	0.7028	0.5948	0.6609

**Table 2: Results of multiple linear regression analysis of 10 average EEG metrics on all explanatory variables.** Adjusted coefficient of determination R-squared values (R2), p-values and Benjamini-Hochberg corrected p values are shown. \*P < 0.05. To maximize R-squared values, for each EEG metric, FDG and Florbetapir were used either as continuous or binary measures. ¥ FDG as continuous measure otherwise as binary measure ‡ Florbetapir as continuous measure otherwise as binary measure. A+ = Amyloid positive; A- = Amyloid negative; ApoE = Apolipoprotein E; FDG = fluorodeoxyglucose; MSF = median spectral frequency; N+ = neurodegeneration positive; N- = neurodegeneration negative; SE = spectral entropy; wSML = weighted symbolic mutual information.

## **Supplementary material**

### **Supplementary methods**

#### **PET acquisition and processing**

PET scans were acquired 50 min after injection of 370 MBq (10 mCi)  $^{18}\text{F}$ -florbetapir or 30 min after injection of 2 MBq/kg  $^{18}\text{F}$ -FDG. Reconstructed images were analysed with a pipeline developed by the Centre d'Acquisition et Traitement des Images (CATI) (<http://cati-neuroimaging.com>). For beta-amyloid  $^{18}\text{F}$ -florbetapir PET images, SUVRs were calculated by averaging the mean activity of cortical regions of interest: left and right precuneus, cingulum posterior, cingulum anterior, and parietal, temporal, and orbito frontal cortices. The reference region was a combination of whole cerebellum and pons regions. In the INSIGHT-preAD study, an SUVR threshold was set at 0.7918 for positive versus negative amyloid deposition. The method to determine this threshold has been described in (Dubois et al., 2018; Habert et al., 2018).

Cortical metabolic indices on  $^{18}\text{F}$ -FDG PET scans were calculated in four bilateral regions of interest (ROI) that are specifically affected by AD (Jack et al., 2012): posterior cingulate cortex, inferior parietal lobule, precuneus, and inferior temporal gyrus, and the pons was used as the reference region. 96 AD patients  $^{18}\text{F}$ -FDG PET scans from the Alzheimer's Disease Neuroimaging Initiative (ADNI) cohort were processed with the CATI pipeline and the 90<sup>th</sup> percentile of the mean SUVR of the four cortical ROIs in this AD cohort was used to fix a neurodegeneration cut-off value. Subjects were considered neurodegeneration positive if the mean  $^{18}\text{F}$ -FDG PET SUVR of the 4 AD-signature regions was below 2.27.

#### **MRI acquisition and processing**

We obtained MRI scans over a 1 h period on a 3T Magnetom VERIO system (Siemens Medical Solutions, Erlangen, Germany). Scanning sessions were as follows: three-dimensional T1-weighted magnetisation-prepared rapid gradient echo; two-dimensional fluid-attenuated inversion recovery; two-dimensional T2\* diffusion tensor imaging acquisition; T2\*-weighted gradient-echo echo-planar series; and a pulsed arterial spin labelling scan for measurement of cerebral blood flow at rest. Hippocampal volume was measured on three-dimensional T1 sequences with our in-house SACHA software, normalised to the mean total intracranial volume.

#### **EEG acquisition and processing workflow**

EEG data were acquired with a high-density 256-channel EGI system (Electrical Geodesics Inc., USA) with a sampling rate of 250Hz and a vertex reference. The electrodes used were sponge based in order to have a quick application time. During the recording, patients were instructed to keep awake and relaxed. The total length of the recording was 2 minutes, during which participants alternated 30 seconds segments of eyes-closed and eyes-open conditions. 60 seconds of eyes-closed resting-state recording were selected for the analysis.

For EEG data processing we used a pipeline that automates processing of EEG recordings with automated artefact removal, extraction of EEG-measures and communication of results (Engemann et al., 2015). The software is written in Python, C, and bash shell scripts and is based on open source technologies, including the software MNE (Gramfort et al., 2013). This pipeline was first implemented as an automated solution to clinical diagnostics of disorders of consciousness based on statistical analysis of clinical EEG (Sitt et al., 2014). The automated EEG data processing workflow was the following: EEG recordings were band-pass filtered (using a Butterworth 6th order high pass filter at 0.5 Hz and a Butterworth 8th order low pass filter at 45 Hz). A notch filter was applied at 50 Hz and 100 Hz. Data were cut into 1 second epochs with random separations between 10 and 100 milliseconds between them. Channels that exceeded a 100  $\mu\text{v}$  peak-to-peak amplitude in more than 50% of the epochs were rejected. Channels that exceeded a z-score of four across all the channels mean variance were rejected. This step was repeated two times. Epochs that exceeded a 100  $\mu\text{v}$  peak-to-peak amplitude in more than 10% of the channels were rejected. Channels that exceeded a z-score of four across all the channels mean variance (filtered with a high pass of 25 Hz) were rejected. This step was repeated two times. The remaining epochs were digitally transformed to an average reference. Rejected channels were interpolated.

### Computation of wSMI

The weighted Symbolic Mutual Information (wSMI) measure (King *et al.* 2013; Sitt *et al.*, 2014) is based on the estimation of a non-linear index of information sharing between two signals.  $K$  samples of the signal separated by a time  $\tau$  are taken into account and define a series of symbols based on the order relation between the magnitudes of samples. These symbols represent the temporal evolution of the signals. The measure is calculated for each pair of electrodes in segments of the signal (defined with a length of 1,000 ms) based on the marginal probability distribution functions and the joint probability density function estimated for the series of symbols obtained for the entire signals. wSMI is estimated for each pair of transformed EEG signals by estimating the joint probability of each pair of symbols. The joint probability matrix is multiplied by binary weights to reduce spurious correlations between signals. The weights are set to zero for pairs of identical symbols, which could be elicited by a unique common source, and for opposed symbols, which could reflect the two sides of a single electric dipole. wSMI is calculated using the following formula:

$$wSMI(X, Y) = \frac{1}{\log k!} \sum_{x \in X} \sum_{y \in Y} w(x, y) p(x, y) \log \left( \frac{p(x, y)}{p(x)p(y)} \right)$$

In the formula above,  $k$  is the size of the vector used for the symbolic transformation,  $x$  and  $y$  are all symbols present in signals  $X$  and  $Y$  respectively,  $w(x, y)$  is the weight matrix and  $p(x, y)$  is the joint probability of co-occurrence of symbol  $x$  in signal  $X$  and symbol  $y$  in signal  $Y$ . Finally  $p(x)$  and  $p(y)$  are the probabilities of those

symbols in each signal. Given the interest of assessing wSMI in theta and alpha bands in our study, the wSMI parameters were set on  $\tau=8$  and  $\tau=4$ , respectively, and  $k=3$ .

### **Comparison of wSMI with “traditional” FC measures**

We compared the results of FC measured by wSMI to two additional FC metrics: Phase Locking Value (PLV) and weighted Phase Lag Index (wPLI), in theta and alpha frequency bands.

PLV is a measure that quantifies the interaction between the phase component of two signals. It is defined as the absolute value of the mean phase difference between the two signals. Nevertheless, PLV cannot escape the common source problem: it is sensitive to linear mixing in which the same source can contribute to both signals, an intrinsic problem of EEG (Lachaux *et al.*, 1999). An alternative measure is the Phase Lag Index (Stam *et al.*, 2007). This measure is robust to the common source problem, by quantifying the asymmetry, discarding the phase differences that center around 0. However, this discontinuity is still affected by noise and volume conduction. Most recently, a related index named weighted PLI was introduced to overcome this problem (Vinck *et al.*, 2011). In wPLI, the observed phase angles are weighted by the distance from the real axis. PLV and wPLI values were computed using MNE-Python (Gramfort *et al.*, 2013).

### **Statistical analyses**

We performed the same statistical analyses than for the 10 EEG metrics, but with 14 EEG metrics, the four additional metrics being PLV theta, PLV alpha, wPLI theta, wPLI alpha.

To study the impact of amyloid load, brain metabolism, age, gender, educational level, ApoE4 and hippocampal volume on EEG metrics, we performed two types of analyses. The first analysis was on the mean value of each metric across all scalp (non-facial) electrodes. The second one was on the value of each metric at each scalp electrode so there were 224 values for each metric per participant. For wSMI, connectivity measures were summarized by calculating the median value from each electrode to all the other electrodes.

Multiple models were performed to evaluate the impact of main effects and interactions. Type II tests were performed. P-values were corrected for multiple testing on 14 measures with the Benjamini-Hochberg False discovery rate (BH-FDR) procedure. Models were validated checking normal distribution of residuals, Cook's distance and absence of heteroskedasticity.

For the analysis of the mean value of each metric across all electrodes, multiple linear regressions were performed. Simple linear regressions were first performed to evaluate if amyloid load or brain metabolism should be included as categorical variables (A+, A-, N+, N-) or as continuous variables (amyloid SUVR, mean FDG SUVR), by maximizing the coefficient of determination  $R^2$ , depending on the EEG metrics. The effects of interest were included in the multiple models as well as interaction between amyloid load and brain metabolism.

For the analysis of the value of each metric at each electrode, linear mixed models were performed with the effects of interest as fixed effects as well as the electrode number and the subject as random effect. Interactions between amyloid load, brain metabolism and electrode number were included in the models as well as all two-way interactions between these three effects. We performed a cluster-based permutation test with a threshold-free cluster enhancement (TFCE, Smith and Nichols, 2009) method using MNE-Python (Gramfort et al., 2013) to correct for multiple comparisons on 224 electrodes and to see which electrodes showed statistically significant differences for pairwise comparisons between the following groups: A+N+ versus A-N-, A+N- versus A-N-, A-N+ versus A-N-, A+ versus A- and N+ versus N-. The first level statistics was a one-way ANOVA, on EEG measures partialled out from age, gender, educational level, ApoE4 and hippocampal volume. Neighbours were computed from the EEG montage, using the triangulation method of fieldtrip (Oostenveld et al., 2011), which calculates a triangulation based on a two-dimensional projection of the sensor position. 4096 permutations were performed. We generated scalp topographical maps using MNE-Python (Gramfort et al., 2013).

Finally, we analysed the correlation between wSMI, PLV and wPLI using simple linear regressions.

### **Comparison of FC matrices at source level between 4 samples of subjects**

In order to provide anatomically based interpretation of neural activity, we did a source level FC analysis on a random sample of 25 subjects from each of the four groups (25 A+N+, 25 A+N-, 25 A-N+, 25 A-N-), for wSMI, PLV, wPLI, in theta and alpha band. We used anatomical INSIGHT-preAD MRI data to do source reconstruction, with manual coregistration of EEG and MRI data and automatic cortical parcellation with the Freesurfer software (Reuter *et al.*, 2012) based on the Desikan-Killiany atlas (Desikan *et al.*, 2006) defining 68 regions of interest (ROI). Time-series for each ROI were computed by averaging the time-series of the voxels within the ROI, after flipping the sign of sources with opposite directions. Functional connectivity was computed for each one of the 68 ROIs and averaged across 14 ROIs: prefrontal, frontal, temporal, central, parietal, occipital, cingulate, right and left. We used a linear mixed model to compare the inter-ROI mean FC values between the groups. P-values were adjusted on the following variables: age, gender, education level, ApoE4 and hippocampal volume. Subject ID was used as random effect and all others effects as fixed effects. Interaction between group and inter-ROI mean FC was tested. When an interaction was significant post-hoc tests were performed to identify the most relevant inter-ROI connections that significantly differed in weights between groups. P-values were corrected for multiplicity on the 91 inter-ROI connections by the BH-FDR procedure. P-values were reported as significant if less than 0.05.

## **Supplementary results**

### **Comparison of wSMI with “traditional” FC measures**

#### **Multiple linear regression of average wPLI and PLV**

We did multiple linear regression of average wPLI and PLV on all scalp electrodes to assess the impact of amyloid load and brain metabolism on these EEG metrics, adjusting on the following potential confounding variables: age, gender, education level, ApoE4 status and hippocampal volume. All p-values were adjusted on these cofactors (Supplementary Table 2). N+ subjects had lower PLV alpha than N- subjects ( $P=0.0182$ , FDR-corrected  $P=0.0711$ ). N+ subjects showed a trend towards lower PLV theta than N- subjects ( $P=0.0553$ , FDR-corrected  $P=0.1290$ ). There was no significant difference between N+ and N- subjects for wPLI alpha and wPLI theta (Supplementary Fig. 9). There was no impact of amyloid load and no interaction between amyloid load and neurodegeneration for PLV and wPLI in alpha and theta bands. Men had lower PLV alpha and lower PLV theta (FDR-corrected  $P<0.0001$ ) (Supplementary Fig. 10). There was no impact of age, educational level, ApoE4 status and hippocampal volume on PLV and wPLI in alpha and theta bands.

### **224 electrodes topographical analysis for wPLI and PLV**

We evaluated topographical differences across FC measures between the control group (A-N-) and the three other groups (A+N+, A+N- and A-N+) (Supplementary Table 3 and Supplementary Fig. 11), then between N+ and N- subjects (Supplementary Fig. 12) and finally between A+ and A- subjects (Supplementary Fig. 12). All p-values were adjusted on ApoE4 status, gender, education level, age and hippocampal volume. P-values were corrected for multiplicity on 224 electrodes by cluster permutation test.

There was a main effect of neurodegeneration status for PLV alpha and PLV theta ( $P=0.0065$ , FDR-corrected  $P=0.0653$  and  $P=0.0378$ , FDR-corrected  $P=0.1323$ , respectively) and a significant interaction between neurodegeneration status and electrodes for PLV alpha and PLV theta (FDR-corrected  $P<0.0001$ ) and for wPLI theta (FDR-corrected  $P=0.0315$ ). A-N+ subjects presented a decrease of PLV alpha and PLV theta in fronto-central and parieto-occipital regions compared to A-N- subjects (Supplementary Fig. 11). PLV alpha and PLV theta decreased in fronto-central and parieto-occipital regions in N+ subjects, compared to N- subjects (Supplementary Fig. 12). There was no impact of amyloid load on PLV and wPLI in alpha and theta bands (Supplementary Fig. 12).

### **Correlation between wSMI, PLV and wPLI**

wSMI alpha was correlated with wPLI alpha ( $R^2=0.22$ ,  $p<0.001$ ) (Supplementary Fig. 13). There was no correlation between wSMI alpha and PLV alpha ( $R^2=0.01$ ,  $p=0.143$ ) and between wSMI theta and wPLI theta ( $R^2=0.00$ ,  $p=0.436$ ). wSMI theta and PLV theta were anti-correlated ( $R^2=0.17$ ,  $p<0.001$ ). Anti-correlation between wSMI theta and PLV theta explains why while wSMI theta increased in fronto-central regions in N+ subjects, PLV theta decreased in the same subjects.

These results can be linked to the distinct information provided by each of the FC markers. It has been shown that wSMI has a higher sensitivity towards nonlinear interactions between signals while wPLI has optimal sensitivity for both linear and nonlinear interactions (Imperator *et al.*, 2018). On the other hand, PLV is a measure that is severely affected by volume conduction and common sources (Vinck *et al.*, 2011). In contrast to PLV, instead of measuring basic oscillatory correlations, wSMI assesses the non-linear coupling of



information sharing among distant networks. wSMI presents several other advantages, including a fast and robust estimation of the signals' entropies and the absence of spurious correlations between EEG signals arising from common sources (King *et al.*, 2013; Hesse *et al.*, 2016). wSMI has proved effective in assessing FC in previous studies (King *et al.*, 2013; Sitt *et al.*, 2014; Engemann *et al.*, 2015, 2018). Moreover, wSMI has already proven sensitive to detect aberrant networks in other neurodegenerative conditions: in Parkinson's disease (Melloni *et al.*, 2015) and behavioral variant frontotemporal dementia (Dottori *et al.*, 2017).

### **Comparison of FC matrices at source level between four samples of subjects**

In order to provide anatomically based interpretation of neural activity, we did a source level FC analysis on a random sample of 25 subjects from each of the four groups (all 25 A+N+, 25 random A+N-, 25 random A-N+, 25 random A-N-), for wSMI, PLV, wPLI, in theta and alpha band. Supplementary Figures 14 and 15 show the results of the following pairwise comparisons of FC matrices: A+N+ vs A-N-; A+N- vs A-N- and A-N+ vs A-N-. There was no significant difference between FC matrices after FDR correction on 91 inter-ROI connections, neither for wSMI, PLV or wPLI. These results can be explained by a lack of power due to the analysis of a small random sample of subjects from each group (n=25) and multiple comparison correction on 91 inter-ROI connections. Source analysis on a larger number of subjects will need to be done in future studies.

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