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# The reach, metric distortion, geodesic convexity and the variation of tangent spaces

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In this paper we discuss three results. The first two concern general sets of positive reach: We first characterize the reach by means of a bound on the metric distortion between the distance in the ambient Euclidean space and the set of positive reach. Secondly, we prove that the intersection of a ball with radius less than the reach with the set is geodesically convex, meaning that the shortest path between any two points in the intersection lies itself in the intersection. For our third result we focus on manifolds with positive reach and give a bound on the angle between tangent spaces at two different points in terms of the distance between the points and the reach.

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#### 1 Introduction

Metric distortion quantifies the maximum ratio between geodesic and Euclidean distances for pairs of points in a set S. The reach of S, defined by H. Federer [15], is the infimum of distances between points in S and points in its medial axis. Both reach and metric distortion are central concepts in manifold (re-)construction and have been used to characterize the size of topological features. Amenta and Bern [1] introduced a local version of the reach in order to give conditions for homeomorphic surface reconstruction and this criterion has been used in many works aiming at topologically faithful reconstruction. See the seminal paper of Niyogi, Smale and Weinberger [19] and Dey's book [12] for more context and references. A direct relation between the reach and the size of topological features is simply illustrated by the fact that the intersection of a set with reach r > 0 with a ball of radius less than r has reach at least r and is contractible [3]. In a certain way, metric distortion also characterizes the size of topological features. This is illustrated by the fact that a compact subset of  $\mathbb{R}^n$  with metric distortion less than  $\pi/2$  is simply connected (Section 1.14 in [16] , see also appendix A by P. Pansu where sets with a given metric distortion are called quasi convex sets).

In the first part of this paper, we provide tight bounds on metric distortion for sets of positive reach and, in a second part, we consider submanifolds of  $\mathbb{R}^d$  and bound the angle between tangent spaces at different points. Whenever we mention manifolds we shall tacitly assume that it is embedded in Euclidean space. Previous versions of the metric distortion result, restricted to the manifold setting can be found in [19]. A significant amount of attention has gone to tangent space variation, see [4, 6, 8, 11, 12, 13, 19] to name but a few.

Our paper improves on these bounds, extends the results beyond the case of smooth manifolds and offers new insights and results. These results have immediate algorithmic consequences by, on one hand, improving the sampling conditions under which known reconstruction algorithms are valid and, on the other hand, allowing us to extend the algorithms to the class of manifolds of positive reach, which is much larger than the usually considered class of  $C^2$  manifolds. Indeed, the metric distortion and tangent variation bounds for  $C^{1,1}$  manifolds presented in this paper in fact suffice to extend the triangulation result of  $C^2$  manifolds embedded in Euclidean space given in [7] to arbitrary manifolds with positive reach, albeit with slightly worse constants.

Overview of results For metric distortion, we extend and tighten the previously known results so much that our metric distortion result can be regarded as a completely new characterization of sets of positive reach. In particular, the standard manifold and smoothness assumptions are no longer necessary. Based on our new characterization of the reach by metric distortion, we can prove that the intersection of a set of positive reach with a ball with radius less than the reach is geodesically convex. This result is a far reaching extension of a result of [9] that has attracted significant attention, stating that, for smooth surfaces, the intersection is a pseudo-ball.

To study tangent variation along manifolds, we will consider two different settings, namely the  $C^2$  setting, for which the bounds are tight, and the  $C^{1,1}$  setting, where we achieve slightly weaker bounds.

The exposition for  $C^2$  manifolds is based on differential geometry and is a consequence of combining the work of Niyogi, Smale, and Weinberger [19], and the two dimensional analysis of Attali, Edelsbrunner, and Mileyko [2] with some observations concerning the reach. We would like to stress that some effort went into simplifying the exposition, in particular the

part of [19] concerning the second fundamental form.

The second class of manifolds we consider consists of closed  $C^{1,1}$  manifolds  $\mathcal{M}$  embedded in  $\mathbb{R}^d$ . We restrict ourselves to  $C^{1,1}$  manifolds because it is known that closed manifolds have positive reach if and only if they are  $C^{1,1}$ , see Federer [15, Remarks 4.20 and 4.21] and Scholtes [20] for a history of this result. Here we do not rely on differential geometry apart from simple concepts such as the tangent space. In fact most proofs can be understood in terms of simple Euclidean geometry. Moreover our proofs are very pictorial. Although the bounds we attain are slightly weaker than the ones we attain using differential geometry, we should note that we have sometimes simplified the exposition at the cost of weakening the bound.

We also prove that the intersection of a  $C^{1,1}$  manifold with a ball of radius less than the reach of the manifold is a topological ball. This is a generalization of previous results. A sketch of a proof of the result in the  $C^2$  case has been given by Boissonnat and Cazals [5]. Our result also extends a related result of Attali and Lieutier [3]. It is furthermore related to the convexity result, but certainly not the same. This is because spaces can be geodesically convex without being topological disks, think for example of the equator of the sphere.

#### 2 Metric distortion and convexity

For a closed set  $S \subset \mathbb{R}^d$ ,  $d_S$  denotes the geodesic distance in S, i.e.  $d_S(a,b)$  is the infimum of lengths of paths in S between a and b. If there is at least one path between a and b with finite length, then it is known that a minimizing geodesic, i.e. a path with minimal length connecting a to b exists (see the second paragraph of part III, section 1: "Die Existenz geodätischer Bogen in metrischen Räumen" in [17]).

The next theorem can be read as an alternate definition of the reach, based on metric distortion. Observe that for fixed |a-b|, the function  $r \mapsto 2r \arcsin \frac{|a-b|}{2r}$  is decreasing.

▶ Theorem 1. If  $S \subset \mathbb{R}^d$  is a closed set, then

$$\operatorname{rch} \mathcal{S} = \sup \left\{ r > 0, \, \forall a, b \in \mathcal{S}, \, |a - b| < 2r \Rightarrow d_{\mathcal{S}}(a, b) \leq 2r \arcsin \frac{|a - b|}{2r} \right\},$$

where the sup over the empty set is 0.

**Proof.** Lemma 5 states that if  $r' < \operatorname{rch} S$  then

$$\forall a, b \in \mathcal{S}, |a - b| < 2r' \Rightarrow d_{\mathcal{S}}(a, b) \le 2r' \arcsin \frac{|a - b|}{2r'}.$$

This gives us

$$\sup \left\{ r > 0, \, \forall a, b \in \mathcal{S}, \, |a - b| < 2r \Rightarrow d_{\mathcal{S}}(a, b) \le 2r \arcsin \frac{|a - b|}{2r} \right\} \ge \operatorname{rch} \mathcal{S}.$$

If  $\operatorname{rch} \mathcal{S} = \infty$ , i.e. if  $\mathcal{S}$  is convex, the theorem holds trivially. We assume now that the medial axis is non empty, i.e.  $\operatorname{rch} \mathcal{S} < \infty$ . Then by definition of the reach, if  $r' > \operatorname{rch} \mathcal{S}$ , there exists  $x \in \mathbb{R}^d$  in the medial axis of  $\mathcal{S}$  and  $a,b \in \mathcal{S}, a \neq b$  such that  $r' > r_x = d(x,\mathcal{S}) = d(x,a) = d(x,b)$ . If for at least one of such pairs  $\{a,b\}$  one has  $d_{\mathcal{S}}(a,b) = \infty$  then  $|a-b| \leq 2r_x < 2r'$  and:

$$\sup \left\{ r > 0, \, \forall a, b \in \mathcal{S}, \, |a - b| < 2r \Rightarrow d_{\mathcal{S}}(a, b) \le 2r \arcsin \frac{|a - b|}{2r} \right\} < r'$$

If not, consider a path  $\gamma$  in S between a and b:  $\gamma(0) = a, \gamma(1) = b$ . Because  $\gamma([0,1])$  lies outside the open ball  $B(x, r_x)^{\circ}$ , its projection on the closed ball  $B(x, r_x)$  cannot increase lengths. It follows that, for any  $r \geq r'$ :

$$d_{\mathcal{S}}(a,b) \ge 2r_x \arcsin \frac{|a-b|}{2r_x} > 2r \arcsin \frac{|a-b|}{2r}$$

which gives, for any  $r' > \operatorname{rch} \mathcal{S}$ ,

$$\exists a, b \in \mathcal{S}, \forall r \geq r' \quad |a - b| < 2r \quad \text{and} \quad d_{\mathcal{S}}(a, b) > 2r \arcsin \frac{|a - b|}{2r},$$

and therefore

$$\sup \left\{ r > 0, \, \forall a, b \in \mathcal{S}, \, |a - b| < 2r \Rightarrow d_{\mathcal{S}}(a, b) \le 2r \arcsin \frac{|a - b|}{2r} \right\} \le r'.$$

▶ Corollary 2. Let  $S \subset \mathbb{R}^d$  be a closed set with positive reach  $r = \operatorname{rch} S > 0$ . Then, for any  $r' < \operatorname{rch} S$  and any  $x \in \mathbb{R}^d$ , if B(x, r') is the closed ball centered at x with radius r', then  $S \cap B(x, r')$  is geodesically convex in S.

**Proof.** First it follows from the theorem that if  $a, b \in \mathcal{S} \cap B(x, r')$ , then  $d_{\mathcal{S}}(a, b) < \infty$  which means that there exists a path of finite length in  $\mathcal{S}$  between a and b. From [17] there is at least one minimizing geodesic in  $\mathcal{S}$  between a and b.

For a contradiction assume that such a geodesic  $\gamma$  goes outside B(x,r'). In other words there is at least one non empty open interval  $(t_1,t_2)$  such that  $\gamma(t_1),\gamma(t_2)\in\partial B(x,r')$  and  $\gamma((t_1,t_2))\cap B(x,r')=\emptyset$ . But then, since the projection on the ball B(x,r') reduces lengths, one has:

$$d_{\mathcal{S}}(\gamma(t_1), \gamma(t_2)) > 2r' \arcsin \frac{|\gamma(t_1) - \gamma(t_2)|}{2r'},$$

a contradiction with the theorem.

#### 2.1 Projection of the middle point

For a closed set  $S \subset \mathbb{R}^d$  with positive reach  $r = \operatorname{rch} S > 0$  and a point  $m \in \mathbb{R}^d$  with d(m, S) < r,  $\pi_S(m)$  denotes the projection of m on S as depicted on Figure 1 on the left.

▶ Lemma 3. Let  $S \subset \mathbb{R}^d$  be a closed set with reach  $r = \operatorname{rch} S > 0$ . For  $a, b \in S$  such that  $\delta = \frac{|a-b|}{2} < r$  and  $m = \frac{a+b}{2}$  one has  $|\pi_S(m) - m| \le \rho$ , with  $\rho = r - \sqrt{r^2 - \delta^2}$ .

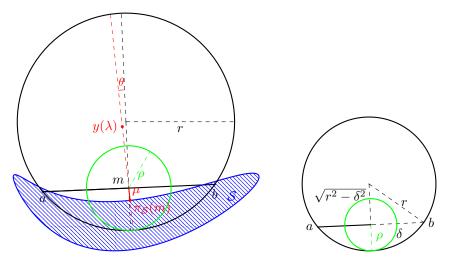
The disk of center m and radius  $\rho$  appears in green in Figure 1 left and right.

**Proof.** We shall now use a consequence of Theorem 4.8 of [15]. In the following section we shall discuss this result for the manifold setting, where it generalizes the tubular neighbourhood results for  $C^2$  manifolds from differential geometry and differential topology. For the moment we restrict ourselves to the following: If  $\pi_{\mathcal{S}}(m) \neq m$  claim (12) in Theorem 4.8 of [15] gives us:

$$\forall \lambda \in [0, r), \ \pi_{\mathcal{S}}\left(\pi_{\mathcal{S}}(m) + \lambda \frac{m - \pi_{\mathcal{S}}(m)}{|m - \pi_{\mathcal{S}}(m)|}\right) = \pi_{\mathcal{S}}(m),$$

which means that for  $\lambda \in [0, r)$ :

$$y(\lambda) = \pi_{\mathcal{S}}(m) + \lambda \frac{m - \pi_{\mathcal{S}}(m)}{|m - \pi_{\mathcal{S}}(m)|}$$



**Figure 1** On the left the projection  $\pi_{\mathcal{S}}(m)$  is contained in the disk of center m and radius  $\rho$ . The notation used in the proof of Lemma 3 is also added. From the right figure it is easy to deduce that  $\rho = r - \sqrt{r^2 - \delta^2}$ .

is closer to  $\pi_{\mathcal{S}}(m)$  than both to a and to b (see Figure 1).

Without loss of generality we assume that  $|a - \pi_{\mathcal{S}}(m)| \ge |b - \pi_{\mathcal{S}}(m)|$ . We denote  $\mu = |\pi_{\mathcal{S}}(m) - m|$  and want to prove that  $\mu \le \rho$ .

In the plane spanned by  $a, b, \pi_{\mathcal{S}}(m)$  we consider the following frame  $(m, \frac{a-m}{|a-m|}, \tau)$ , where m denotes the origin,  $\tau$  is a unit vector orthogonal to a-m and such that  $\langle \tau, \pi_{\mathcal{S}}(m) - m \rangle \leq 0$ .

For some  $\theta \in [0, \pi/2]$ , the coordinates of  $\pi_{\mathcal{S}}(m)$  in the frame are  $(-\mu \sin \theta, -\mu \cos \theta)$ . The coordinate of a are  $(\delta, 0)$  and the coordinates of  $y(\lambda)$  are, as shown in Figure 1,  $((\lambda - \mu) \sin \theta, (\lambda - \mu) \cos \theta)$ . Since  $y(\lambda)$  is closer to  $\pi_{\mathcal{S}}(m)$  than to a, one has

$$\forall \lambda \in [0, r), \quad (\delta - (\lambda - \mu)\sin\theta)^2 + (\lambda - \mu)^2\cos^2\theta > \lambda^2.$$

This is a degree 2 inequality in  $\mu$ . One gets, for any  $\lambda \in [0, r)$ , if  $\Delta \geq 0$ ,

$$\mu \notin \left[ (\lambda - \delta \sin \theta) - \sqrt{\Delta}, (\lambda - \delta \sin \theta) + \sqrt{\Delta} \right],$$

with  $\Delta = (\lambda - \delta \sin \theta)^2 - (\delta^2 - 2\delta\lambda \sin \theta) = \lambda^2 - \delta^2 + (\delta \sin \theta)^2$ . For  $\lambda \ge \delta$  one has  $\Delta \ge \lambda^2 - \delta^2$ . Therefore:  $(\lambda - \delta \sin \theta) - \sqrt{\Delta} \le \lambda - \sqrt{\lambda^2 - \delta^2}$  and since  $\lambda \mapsto \lambda - \sqrt{\lambda^2 - \delta^2}$  is continuous, one has:

$$\inf_{\lambda < r} \left\{ (\lambda - \delta \sin \theta) - \sqrt{\Delta} \right\} \le r - \sqrt{r^2 - \delta^2} = \rho,$$

also, when  $\lambda \geq \delta$  one has  $\sqrt{\Delta} \geq \delta \sin \theta$  and  $(\lambda - \delta \sin \theta) + \sqrt{\Delta} \geq \delta$ . Since  $\mu \leq d(m, a) = \delta$ , one finds that  $\mu \leq \rho$ .

The following simple geometric Lemma is used in the next section.

▶ Lemma 4. Consider a circle  $\tilde{C}$  of radius r and two points  $a,b \in \tilde{C}$  with  $|a-b|/2 = \delta < r$ . Define the middle point  $m = \frac{a+b}{2}$  and consider a point p such that  $|p-m| \le \rho = r - \sqrt{r^2 - \delta^2}$ . Denote  $\tilde{C}_{a,b}$  the shortest of the arcs of the circle in  $\tilde{C}$  bounded by a and b. Define  $\tilde{p} \in \tilde{C}_{a,b}$  as the unique point in  $\tilde{C}_{a,b}$  such that  $\frac{|a-\tilde{p}|}{|b-\tilde{p}|} = \frac{|a-p|}{|b-p|}$ , then we have  $|a-p| \le |a-\tilde{p}|$  and  $|b-p| \le |b-\tilde{p}|$ .

The proof of this lemma is fairly straightforward and can be found in the appendix of [10].

**Figure 2** Left:  $\phi_0, \phi_1, \phi_2$ , Right:  $\tilde{\phi}_0, \tilde{\phi}_1, \tilde{\phi}_2$ .

#### 2.2 Upper bound on geodesic length

In this section we establish an upper bound on geodesic lengths through the iterative construction of a sequence of paths.

▶ Lemma 5. Let  $S \subset \mathbb{R}^d$  be a closed set with reach  $r = \operatorname{rch} S > 0$ . For any  $a, b \in S$  such that |a - b| < 2r one has  $d_S(a, b) \leq 2r \arcsin \frac{|a - b|}{2r}$ .

**Proof.** We build two sequences of PL-functions (see Figure 2). For  $i \in \mathbb{N}$ ,  $\phi_i : [0,1] \to \mathbb{R}^d$  and  $\tilde{\phi}_i : [0,1] \to \mathbb{R}^2$  are defined as follows.

First we define  $\phi_0(t) = a + t(b - a)$ . Denote  $m = \frac{a+b}{2}$  the middle point of [a, b]. Since  $d(m, \mathcal{S}) \leq d(m, a) = \delta < r$ , the point  $p = \pi_{\mathcal{S}}(m)$  is well defined. Secondly, we define

$$\phi_1(t) = \begin{cases} a + 2t(p-a) & \text{if } t \le 1/2\\ p + (2t-1)(b-p) & \text{if } t \ge 1/2. \end{cases}$$

as depicted in Figure 2 on the left.

From Lemma 3, one has  $|p-m| \le \rho = r - \sqrt{r^2 - \delta^2} < r$  and thus

$$\min\left(|a-p|,|b-p|\right) > \delta - \rho > 0 \qquad \max\left(|a-p|,|b-p|\right) < \delta + \rho$$

We also fix a circle  $\tilde{C}$  in  $\mathbb{R}^2$  with radius r and we consider  $\tilde{a}, \tilde{b} \in \mathbb{R}^2$  such that  $\tilde{a}, \tilde{b} \in \tilde{C}$  and  $|\tilde{a} - \tilde{b}| = |a - b|$  and we define  $\tilde{\phi}_0(t) = \tilde{a} + t(\tilde{b} - \tilde{a})$ . Denote by  $\tilde{C}_{\tilde{a}, \tilde{b}}$  the shortest of the two arcs of  $\tilde{C}$  bounded by  $\tilde{a}, \tilde{b}$  and  $\tilde{p}$  as constructed in Lemma 4 i.e.  $\tilde{p} \in \tilde{C}_{\tilde{a}, \tilde{b}}$  such that  $\frac{|\tilde{p} - \tilde{a}|}{|\tilde{p} - \tilde{b}|} = \frac{|p - a|}{|p - b|}$ , as shown in Figure 2 on the right, and define

$$\tilde{\phi}_1(t) = \begin{cases} \tilde{a} + 2t(\tilde{p} - \tilde{a}) & \text{if} \quad t \le 1/2\\ \tilde{p} + (2t - 1)(\tilde{b} - \tilde{p}) & \text{if} \quad t \ge 1/2. \end{cases}$$

Applying Lemma 4 we get  $|a-p| \leq |\tilde{a}-\tilde{p}|, |b-p| \leq |\tilde{b}-\tilde{p}|,$  and

$$\operatorname{length}(\phi_1) = |a - p| + |b - p| \le |\tilde{a} - \tilde{p}| + |\tilde{b} - \tilde{p}| = \operatorname{length}(\tilde{\phi}_1).$$

For  $i \geq 2$ ,  $\phi_i$  and  $\tilde{\phi}_i$  are PL functions with  $2^i$  intervals. For  $k \in \mathbb{N}$ ,  $0 \leq k \leq 2^i$ ,  $\phi_i(k/2^i) \in \mathcal{S}$ ,  $\tilde{\phi}_i(k/2^i) \in \tilde{C}_{\tilde{a},\tilde{b}}$  are defined by applying to each of the  $2^{i-1}$  segments of  $\phi_{i-1}([0,1])$  and  $\tilde{\phi}_{i-1}([0,1])$  the same subdivision process used when defining  $\phi_1$  and  $\tilde{\phi}_1$ .

If k is even we set  $\phi_i(k/2^i) = \phi_{i-1}(k/2^i)$  and  $\tilde{\phi}_i(k/2^i) = \tilde{\phi}_{i-1}(k/2^i)$ .

If k is odd define:

$$m_{k/2^i} = \frac{\phi_i((k-1)/2^i) + \phi_i((k+1)/2^i)}{2}$$
 and  $\phi_i(k/2^i) = \pi_{\mathcal{S}}(m_{k/2^i})$ .

Note that  $m_{1/2}$  corresponds to m defined above.

Let  $\tilde{\phi}_i(k/2^i) \in \tilde{C}_{\tilde{\phi}_{i-1}((k-1)/2^i),\tilde{\phi}_{i-1}((k+1)/2^i)} \subset \tilde{C}_{\tilde{a},\tilde{b}}$  be such that:

$$\frac{|\tilde{\phi}_i(k/2^i) - \tilde{\phi}_{i-1}((k-1)/2^i)|}{|\tilde{\phi}_i(k/2^i) - \tilde{\phi}_{i-1}((k+1)/2^i)|} = \frac{|\phi_i(k/2^i) - \phi_{i-1}((k-1)/2^i)|}{|\phi_i(k/2^i) - \phi_{i-1}((k+1)/2^i)|}.$$

Figure 2 left shows the curves  $\phi_1$  and  $\phi_2$  in blue and yellow respectively.

Applying Lemma 4, since by induction,

$$|\phi_{i-1}((k+1)/2^{i-1}) - \phi_{i-1}(k/2^{i-1})| \le |\tilde{\phi}_{i-1}((k+1)/2^{i-1}) - \tilde{\phi}_{i-1}(k/2^{i-1})|$$

we get that for  $i \in \mathbb{N}$  and  $p = 0, \dots, 2^i - 1$ :

$$|\phi_i((k+1)/2^i) - \phi_i(k/2^i)| \le |\tilde{\phi}_i((k+1)/2^i) - \tilde{\phi}_i(k/2^i)|,$$

and therefore:

$$\operatorname{length}(\phi_{i}) = \sum_{k=0}^{2^{i}-1} |\phi_{i}((k+1)/2^{i}) - \phi_{i}(k/2^{i})|$$

$$\leq \sum_{k=0}^{2^{i}-1} |\tilde{\phi}_{i}((k+1)/2^{i}) - \tilde{\phi}_{i}(k/2^{i})|$$

$$= \operatorname{length}(\tilde{\phi}_{i}) \leq \operatorname{length}(\tilde{C}_{\tilde{a},\tilde{b}}) = 2r \arcsin \frac{|a-b|}{2r}.$$
(1)

We study now the behavior of the sequence  $\phi_i, i \in \mathbb{N}$ . Define  $\delta_0 = \delta$  and  $\rho_0 = \rho$ . Further define  $\delta_i$  as

$$\delta_i = \frac{1}{2} \max_{0 \le k \le 2^i - 1} |\phi_i((k+1)/2^i) - \phi_i(k/2^i)|.$$

i.e. half the max of lengths of all segments of  $\phi_i([0,1])$  and  $\rho_i = r - \sqrt{r^2 - \delta_i^2}$ . We make the following assertion:

#### ► Claim 6.

$$\lim_{i \to \infty} \delta_i = 0. \tag{2}$$

The proof of this claim is given in the appendix of [10].

Since for any  $i \geq 0$  and  $t \in [0,1]$ ,  $d(\phi(t), \mathcal{S}) \leq \delta_i$  and  $\delta_i < \operatorname{rch} \mathcal{S}$  the curves  $\pi_{\mathcal{S}} \circ \phi_i$ , (projections of  $\phi_i$  on  $\mathcal{S}$ ) are well defined, with  $\pi_{\mathcal{S}} \circ \phi_i : [0,1] \to \mathcal{S}$ ,  $\pi_{\mathcal{S}} \circ \phi_i(0) = a$  and  $\pi_{\mathcal{S}} \circ \phi_i(1) = b$ .

Claim (8) in Theorem 4.8 of [15] states that for  $\mu < r = \text{rch}\mathcal{S}$  the restriction of  $\pi_{\mathcal{S}}$  to the  $\mu$ -tubular neighbourhood  $\mathcal{S}^{\mu}$  is  $\frac{\text{rch}\mathcal{S}}{\text{rch}\mathcal{S}-\mu}$ -Lipschitz. This together with (1) above gives us an upper bound on the lengths of curves  $\pi_{\mathcal{S}} \circ \phi_i$ :

$$\operatorname{length}(\pi_{\mathcal{S}} \circ \phi_i) \leq \frac{\operatorname{rch} \mathcal{S}}{\operatorname{rch} \mathcal{S} - \delta_i} \operatorname{length}(\phi_i) \leq \frac{\operatorname{rch} \mathcal{S}}{\operatorname{rch} \mathcal{S} - \delta_i} 2r \arcsin \frac{|a - b|}{2r}$$

This together with (2) yields  $d_{\mathcal{S}}(a,b) \leq 2r \arcsin \frac{|a-b|}{2r}$ .

SoCG 2018

### **3** Variation of tangent spaces

In this section we shall first discuss the bound on the variation of tangent spaces in the  $C^2$  setting, and then generalize to the  $C^{1,1}$  setting. For this generalization we need a topological result, which will be presented in Section 3.2.

#### 3.1 Bounds for C<sup>2</sup> submanifolds

We shall be using the following result, Theorem 4.8(12) of [15]:

▶ Theorem 7 (Federer's tubular neighbourhoods). Let  $B_{N_p\mathcal{M}}(r)$ , be the ball of radius r centred at p in the normal space  $N_p\mathcal{M} \subset \mathbb{R}^d$  of a  $C^{1,1}$  manifold  $\mathcal{M}$  with reach  $\mathrm{rch}(\mathcal{M})$ , where  $r < \mathrm{rch}(\mathcal{M})$ . For every point  $x \in B_{N_p\mathcal{M}}(r)$ ,  $\pi_{\mathcal{M}}(x) = p$ .

The fact that such a tubular neighbourhood exists is non-trivial, even for a neighbourhood of size  $\epsilon$ . From Theorem 7 we immediately see that:

▶ Corollary 8. Let  $\mathcal{M}$  be a submanifold of  $\mathbb{R}^d$  and  $p \in \mathcal{M}$ . Any open ball B(c,r) that is tangent to  $\mathcal{M}$  at p and whose radius r satisfies  $r \leq \operatorname{rch}(\mathcal{M})$  does not intersect  $\mathcal{M}$ .

**Proof.** Let  $r < \operatorname{rch}(\mathcal{M})$ . Suppose that the intersection of  $\mathcal{M}$  and the open ball is not empty, then the  $\pi_{\mathcal{M}}(c) \neq p$  contradicting Federer's tubular neighbourhood theorem. The result for  $r = \operatorname{rch}(\mathcal{M})$  now follows by taking the limit.

Here we prove the main result for  $C^2$  manifolds. Our exposition is the result of straightforwardly combining the work of Niyogi, Smale, and Weinberger [19], and the two dimensional analysis of Attali, Edelsbrunner, and Mileyko [2] with some observations concerning the reach.

We start with the following simple observation:

▶ **Lemma 9.** Let  $\gamma(t)$  be a geodesic parametrized according to arc length on  $\mathcal{M} \subset \mathbb{R}^d$ , then  $|\ddot{\gamma}| \leq 1/\mathrm{rch}(\mathcal{M})$ , where we use Newton's notation, that is we write  $\ddot{\gamma}$  for the second derivative of  $\gamma$  with respect to t.

**Proof.** Because  $\gamma(t)$  is a geodesic,  $\ddot{\gamma}(t)$  is normal to  $\mathcal{M}$  at  $\gamma(t)$ . Now consider the sphere of radius  $\mathrm{rch}(\mathcal{M})$  tangent to  $\mathcal{M}$  at  $\gamma(t)$ , whose centre lies on the line  $\{\gamma(t) + \lambda \ddot{\gamma} \mid \lambda \in \mathbb{R}\}$ . If now  $|\ddot{\gamma}|$  were larger than  $1/\mathrm{rch}(\mathcal{M})$ , the geodesic  $\gamma$  would enter the tangent sphere, which would contradict Corollary 8.

Note that  $|\ddot{\gamma}|$  is the normal curvature, because  $\gamma$  is a geodesic. Using the terminology of [19, Section 6], Lemma 9 can also be formulated as follows:  $1/\text{rch}(\mathcal{M})$  bounds the principal curvatures in the normal direction  $\nu$ , for any unit normal vector  $\nu \in N_p \mathcal{M}$ . In particular,  $1/\text{rch}(\mathcal{M})$  also bounds the principal curvatures if  $\mathcal{M}$  has codimension 1.

We now have the following, which is a straightforward extension of an observation in [2] to general dimension:

▶ **Lemma 10.** Let  $\gamma(t)$  be a geodesic parametrized according to arc length, with  $t \in [0, \ell]$  on  $\mathcal{M} \subset \mathbb{R}^d$ , then:

$$\angle \dot{\gamma}(0)\dot{\gamma}(\ell) \le \frac{d_{\mathcal{M}}(\gamma(0), \gamma(\ell))}{\operatorname{rch}(\mathcal{M})}.$$

**Proof.** Because  $\gamma$  is parametrized according to arc length  $|\dot{\gamma}| = 1$  and  $\dot{\gamma}(t)$  can be seen as a curve on the sphere  $\mathbb{S}^{d-1}$ . Moreover  $\ddot{\gamma}$  can be seen as tangent to this sphere. The angle between two tangent vectors  $\dot{\gamma}(0)$  and  $\dot{\gamma}(\ell)$  equals the geodesic distance on the sphere. The geodesic distance between any two points is smaller or equal to the length of any curve connecting these points, and  $\{\dot{\gamma}(t) \mid t \in [0,\ell]\}$  is such a curve. We therefore have

$$\angle \dot{\gamma}(0)\dot{\gamma}(\ell) \le \int_0^\ell \left| \frac{d}{dt} \dot{\gamma} \right| dt = \int_0^\ell |\ddot{\gamma}| dt \le \frac{\ell}{\operatorname{rch}(\mathcal{M})} \le \frac{d_{\mathcal{M}}(\gamma(0), \gamma(\ell))}{\operatorname{rch}(\mathcal{M})}, \tag{3}$$

where we used Lemma 9.

We can now turn our attention to the variation of tangent spaces. Here we mainly follow Niyogi, Smale, and Weinberger [19], but use one useful observation of [2]. We shall be using the second fundamental form, which we assume the reader to be familiar with. We refer to [14] as a standard reference.

The second fundamental form  $\Pi_p(u,v)$  has the geometric interpretation of the normal part of the covariant derivative, where we assume now that u,v are vector fields. In particular  $\Pi(u,v) = \bar{\nabla}_u v - \nabla_u v$ , where  $\bar{\nabla}$  is the connection in the ambient space, in this case Euclidean space, and  $\nabla$  the connection with respect to the induced metric on the manifold  $\mathcal{M}$ . The second fundamental form  $\Pi_p: T_p\mathcal{M} \times T_p\mathcal{M} \to N_p\mathcal{M}$  is a symmetric bi-linear form, see for example Section 6.2 of [14] for a proof. This means that we only need to consider vectors in the tangent space and not vector fields, when we consider  $\Pi_p(u,v)$ .

We can now restrict our attention to u, v lying on the unit sphere  $\mathbb{S}_{T_p\mathcal{M}}^{n-1}$  in the tangent space and ask for which of these vectors  $|\Pi_p(u,v)|$  is maximized. Let us assume that the  $\Pi_p(u,v)$  for which the maximum<sup>3</sup> is attained lies in the direction of  $\eta \in N_p\mathcal{M}$  where  $\eta$  is assumed to have unit length.

We can now identify  $\langle \Pi_p(\cdot,\cdot), \eta \rangle$ , with a symmetric matrix. Because of this  $\langle \Pi_p(u,v), \eta \rangle$ , with  $u,v \in \mathbb{S}^{n-1}_{T_p\mathcal{M}}$ , attains its maximum for u,v both lying in the direction of the unit eigenvector w of  $\langle \Pi_p(\cdot,\cdot), \eta \rangle$  with the largest<sup>4</sup> eigenvalue. In other words the maximum is assumed for u=v=w. Let us now consider a geodesic  $\gamma_w$  on  $\mathcal{M}$  parametrized by arclength such that  $\gamma_w(0)=p$  and  $\dot{\gamma}_w(0)=w$ . Now, because  $\gamma_w$  is a geodesic and the ambient space is Euclidean,

$$\Pi_p(w,w) = \Pi_p(\dot{\gamma}_w,\dot{\gamma}_w) = \bar{\nabla}_{\dot{\gamma}_w}\dot{\gamma}_w - \nabla_{\dot{\gamma}_w}\dot{\gamma}_w = \bar{\nabla}_{\dot{\gamma}_w}\dot{\gamma}_w - 0 = \ddot{\gamma}_w.$$

Due to Lemma 9 and by definition of the maximum, we now see that  $|\Pi_p(u,v)| \leq |\Pi_p(w,w)| \leq 1/\text{rch}\mathcal{M}$ , for all u,v of length one.

Having discussed the second fundamental form, we can give the following lemma:

▶ Lemma 11. Let  $p, q \in \mathcal{M}$ , then

$$\angle(T_p\mathcal{M}, T_q\mathcal{M}) \leq \frac{d_{\mathcal{M}}(p, q)}{\operatorname{rch}(\mathcal{M})}.$$

**Proof.** Let  $\gamma$  be a geodesic connecting p and q, parametrized by arc length. We consider an arbitrary unit vector u and parallel transport this unit vector along  $\gamma$ , getting the unit

<sup>&</sup>lt;sup>3</sup> If there is more than one direction we simply pick one.

<sup>&</sup>lt;sup>4</sup> We can assume positivity without loss of generality, and, again, if there is more than one direction, we pick one.

vectors u(t) in the tangent spaces  $T_{\gamma(t)}\mathcal{M}$ . The maximal angle between u(0) and  $u(\ell)$ , for all u bounds the angle between  $T_p\mathcal{M}$  and  $T_q\mathcal{M}$ . Now

$$\frac{du}{dt} = \bar{\nabla}_{\dot{\gamma}} u(t) = \Pi_{\gamma(t)}(\dot{\gamma}, u(t)) + \nabla_{\dot{\gamma}} u(t) = \Pi_{\gamma(t)}(\dot{\gamma}, u(t)) + 0,$$

where we used that u(t) is parallel and thus by definition  $\nabla_{\dot{\gamma}} u(t) = 0$ . So using our discussion above  $|\frac{du}{dt}| \leq 1/\text{rch}(\mathcal{M})$ . Now we note that, similarly to what we have seen in the proof of Lemma 10, u(t) can be seen as a curve on the sphere and thus  $\angle(u(0), u(\ell)) \leq \int_0^{\ell} |\frac{du}{dt}| dt \leq \ell/\text{rch}(\mathcal{M})$ .

This bound is tight as it is attained for a sphere. Combining Theorems 1 and 11 we find that

► Corollary 12.

$$\sin\left(\frac{\angle(T_p\mathcal{M}, T_q\mathcal{M})}{2}\right) \le \frac{|p-q|}{2\mathrm{rch}(\mathcal{M})}.$$

The proof is almost immediate, but has been added to the appendix of [10] for completeness. With the bound on the angles between the tangent spaces it is not difficult to prove that the projection map onto the tangent space is locally a diffeomorphism, as has been done in [19]. Although the results were given in terms of the (global) reach to simplify the exposition, the results can be easily formulated in terms of the local feature size.

#### 3.2 A topological result

We shall now give a full proof of a statement by Boissonnat and Cazals [5, Proposition 12] in the more general  $C^{1,1}$  setting:

▶ Proposition 13. Let B be a closed ball that intersects a  $C^{1,1}$  manifold  $\mathcal{M}$ . If B does not contain a point of the medial axis  $(ax(\mathcal{M}))$  of  $\mathcal{M}$  then  $B \cap \mathcal{M}$  is a topological ball.

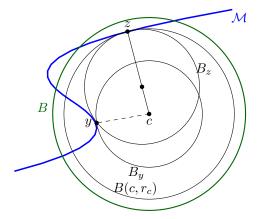
The proof uses some results from topology, namely variations of [18, Theorem 3.2 and Theorem 3.1]:

- ▶ Lemma 14. Consider the distance function from  $c: d_c : \mathbb{R}^d \to \mathbb{R}, d_c(x) = |x-c|$  restricted to  $\mathcal{M}$ . Let  $a = d_c(x')$  and b = r and suppose that the set  $d_c^{-1}[a,b]$ , consisting of all  $p \in \mathcal{M}$  with  $a \le d_c(p) \le b$ , contains no critical points of  $d_c$  (that is, no point q of  $\mathcal{M}$  where B(c,q) is tangent to  $\mathcal{M}$ ). Then  $\mathcal{M}^a = \{x \in \mathcal{M}, d_c \le a\} = \mathcal{M} \cap B(c,a)$  is homeomorphic (if  $d_c$  is  $C^{1,1}$ ) to  $\mathcal{M}^b = \{x \in \mathcal{M}, d_c \le b\}$ . Furthermore  $M^a$  is a deformation retract of  $M^b$ .
- ▶ Lemma 15. Let  $d_c|_{\mathcal{M}}$  be the  $C^{1,1}$  function on  $\mathcal{M}$  defined, as in Lemma 14, as the restriction to  $\mathcal{M}$  of  $d_c : \mathbb{R}^d \to \mathbb{R}$ ,  $d_c(x) = |x c|$ . Assume that y is a global isolated minimum of  $d_c|_{\mathcal{M}}$  and let  $r_c$  be the second critical value of  $d_c|_{\mathcal{M}}$ . Then for all  $0 < \eta < r_c |c y|$ ,  $\mathcal{M}^{r_c \eta}$  is a topological ball.

The proofs of these lemmas can be found in the appendix of [10].

**Proof of Proposition 13.** Write r for the radius of B and c for its center. The result is trivial if c belongs to the medial axis of M. Therefore assume that  $c \notin axis(M)$ .

Let y be the (unique) point of  $\mathcal{M}$  closest to c. We denote by  $B_y$  the closed ball centered at c with radius |c-y| (see Figure 3). By Corollary 8, the interior of  $B_y$  does not intersect  $\mathcal{M}$  and  $B_y \cap \mathcal{M} = \{y\}$ . This means that the conditions of Lemma 15 are satisfied and



**Figure 3** For the proof of Proposition 13.

 $B(c, r_c - \eta) \cap \mathcal{M}$  is a topological ball for all  $0 < \eta < r_c - |c - y|$ , where  $r_c$  is the second critical value of the distance function to c restricted to  $\mathcal{M}$ . In other words  $r_c$  is the radius for which the ball centred on c is tangent to  $\mathcal{M}$  for the second time.

Let us now assume that there exists a point  $z \neq y$  of  $\mathcal{M}$  such that  $r_c = |c - z| > |c - y|$  where the ball  $B(c, r_c)$  is tangent to  $\mathcal{M}$ . We consider the set  $\mathcal{B}_z$  of closed balls that are tangent to  $\mathcal{M}$  at z and are centred on the line segment [zc]. The balls in  $\mathcal{B}_z$  can be ordered according to their radius. Note that  $B(c, r_c)$  is the ball of  $\mathcal{B}_z$  centered at c. Since the interior of  $B(c, r_c)$  contains y and therefore intersects  $\mathcal{M}$ , there must exist a largest ball  $B_z \in \mathcal{B}_z$ , whose interior does not intersect  $\mathcal{M}$ . The center of  $B_z$  belongs to both  $ax(\mathcal{M})$  and B since  $B_z \subset B(c, r_c) \subset B$ .

#### 3.3 Bounds for $C^{1,1}$ submanifolds

We shall now give an elementary exposition, in the sense that we do not rely on differential geometry, for the result of the previous section.

#### 3.3.1 From manifold to tangent space and back

We start with the following lemma, which is due to Federer. It bounds the distance of a point  $q \in \mathcal{M}$  to the tangent space of a point that is not too far away.

▶ **Lemma 16** (Distance to tangent space, Theorem 4.8(7) of [15]). Let  $p, q \in \mathcal{M} \subset \mathbb{R}^d$  such that  $|p-q| < \operatorname{rch}(M)$ . We have

$$\sin \angle([pq], T_p \mathcal{M}) \le \frac{|p-q|}{2\operatorname{rch}(\mathcal{M})},$$
(4)

and

$$d_{\mathbb{E}}(q, T_p \mathcal{M}) \le \frac{|p - q|^2}{2 \operatorname{rch}(\mathcal{M})}.$$
 (5)

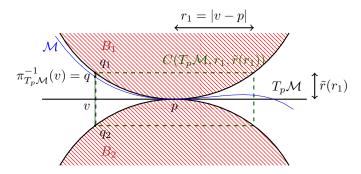
We also have the converse statement of the distance bounds in Lemma 16. The following lemma is an improved version of Lemma B.2 in [6]. This result too can be traced back to Federer [15], in a slightly different guise. Before we give the lemma we first introduce the

following notation. Let  $C(T_p\mathcal{M}, r_1, r_2)$  denote the 'filled cylinder' given by all points that project orthogonally onto a ball of radius  $r_1$  in  $T_p\mathcal{M}$  and whose distance to this ball is less than  $r_2$ .

In the following lemma we prove for all points  $v \in T_p \mathcal{M}$ , such that |v - p| is not too large, that a pre-image on  $\mathcal{M}$ , if it exists, under the projection to  $T_p \mathcal{M}$  cannot be too far from  $T_p \mathcal{M}$ . The existence of such a point on  $\mathcal{M}$  is proven below.

▶ Lemma 17 (Distance to Manifold). Suppose that  $v \in T_p\mathcal{M}$  and  $|v-p| < \operatorname{rch}(\mathcal{M})$ . Let  $q = \pi_{(\mathcal{M} \to T_p\mathcal{M})}^{-1}(v)$  be the inverse of the (restricted) projection  $\pi_{T_p\mathcal{M}}$  from  $\mathcal{M} \cap C(T_p\mathcal{M}, \operatorname{rch}(\mathcal{M}), \operatorname{rch}(\mathcal{M}))$  to  $T_p\mathcal{M}$  of v, if it exists. Then

$$|q-v| \le \left(1 - \sqrt{1 - \left(\frac{|v-p|}{\operatorname{rch}(\mathcal{M})}\right)^2}\right) \operatorname{rch}(\mathcal{M}) \le \frac{1}{2} \frac{|v-p|^2}{\operatorname{rch}(\mathcal{M})} + \frac{1}{2} \frac{|v-p|^4}{\operatorname{rch}(\mathcal{M})^3}.$$



**Figure 4** The set of all tangent balls to the tangent space of radius  $rch(\mathcal{M})$  bounds the region in which  $\mathcal{M}$  can lie. Here we depict the 2 dimensional analogue.

▶ Remark 18. It follows immediately that  $\mathcal{M} \cap C(T_p\mathcal{M}, r_1, \operatorname{rch}(\mathcal{M})) \subset C(T_p\mathcal{M}, r_1, \tilde{r}(r_1))$ , with

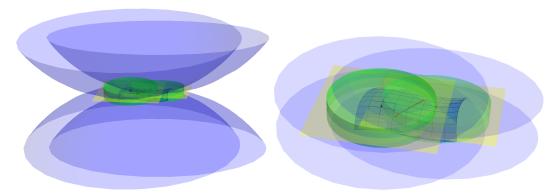
$$\tilde{r}(r_1) = \left(1 - \sqrt{1 - \left(\frac{r_1}{\operatorname{rch}(\mathcal{M})}\right)^2}\right) \operatorname{rch}(\mathcal{M}). \tag{6}$$

This cylinder is indicated in green in Figure 4. Let  $C_{top/bottom}(T_p\mathcal{M}, r_1, \tilde{r}(r_1))$  denote the subset of  $C(T_p\mathcal{M}, r_1, \tilde{r}(r_1))$  that projects orthogonally onto the open ball of radius  $r_1$  in  $T_p\mathcal{M}$  and lies a distance  $\tilde{r}(r_1)$  away. We also see that  $\mathcal{M} \cap C_{top/bottom}(T_p\mathcal{M}, r_1, \tilde{r}(r_1)) = \emptyset$  and that  $\mathcal{M} \cap C(T_p\mathcal{M}, r_1, \operatorname{rch}(\mathcal{M})) \cap N_p\mathcal{M} = \{p\}$ . We write

$$C_{side\ rim}(T_p\mathcal{M}, r_1, \tilde{r}(r_1)) = \partial C(T_p\mathcal{M}, r_1, \tilde{r}(r_1)) \setminus C_{top/bottom}(T_p\mathcal{M}, r_1, \tilde{r}(r_1)).$$

#### 3.3.2 The angle bound

This section revolves around the following observation: If  $r_1$  roughly the distance between p and q, there is a significant part of  $\mathcal{M}$  that is contained in the intersection  $C(T_p\mathcal{M}, r_1, \tilde{r}) \cap C(T_q\mathcal{M}, r_1, \tilde{r})$ . In particular any line segment, whose length is denoted by  $\ell$ , connecting two points in  $\mathcal{M} \cap C(T_p\mathcal{M}, r_1, \tilde{r}) \cap C(T_q\mathcal{M}, r_1, \tilde{r})$  is contained in both  $C(T_p\mathcal{M}, r_1, \tilde{r})$  and  $C(T_q\mathcal{M}, r_1, \tilde{r})$ . If this line segment is long, the angle with both  $T_p\mathcal{M}$  and  $T_q\mathcal{M}$  is small. This bounds the angle between  $T_p\mathcal{M}$  and  $T_q\mathcal{M}$ , see Figure 5.



**Figure 5** The tangent spaces  $T_p\mathcal{M}$  and  $T_q\mathcal{M}$  are drawn in yellow. The cylinders  $C(T_p\mathcal{M}, r_1, \tilde{r})$  and  $C(T_q\mathcal{M}, r_1, \tilde{r})$  are indicated in green. The red line segment lies in both cylinders and therefore its angle with both  $T_p\mathcal{M}$  and  $T_q\mathcal{M}$  is small.

For the existence of the line segment that is contained in both  $C(T_p\mathcal{M}, r_1, \tilde{r})$  and  $C(T_q\mathcal{M}, r_1, \tilde{r})$  we need the following corollary of Proposition 13:

▶ Corollary 19. For each  $v \in T_pM$  such that  $|v-p| < \frac{\sqrt{3}}{2} \text{rch}(\mathcal{M})$  there exists at least one original  $\pi_{T_pM}^{-1}(v)$ .

The proof of this statement can be found in the appendix of [10].

▶ **Theorem 20.** Let  $|p-q| \leq \operatorname{rch}(\mathcal{M})/3$ , then the angle  $\varphi$  between  $T_p\mathcal{M}$  and  $T_q\mathcal{M}$  is bounded by

$$\sin \frac{\varphi}{2} \le \frac{\left(1 - \sqrt{1 - \alpha^2}\right)}{\sqrt{\frac{\alpha^2}{4} - \left(\frac{\alpha^2}{2} + 1 - \sqrt{1 - \alpha^2}\right)^2}}$$
$$\simeq \alpha + 9\alpha^3/4,$$

where  $\alpha = |p - q|/\operatorname{rch}(\mathcal{M})$ .

The proof of this result follows the lines as sketched in the overview, and can be found in full in the appendix [10].

▶ Remark 21. The bound we presented above can be tightened by further geometric analysis, in particular by splitting  $T_p\mathcal{M}$  into the span of  $\pi_{T_p\mathcal{M}}(q) - p$  and its orthocomplement. However we chose to preserve the elementary character of the argument.

With the bound on the angles between the tangent spaces it is not difficult to prove that the projection map is locally a diffeomorphism, as has been done in [19].

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