

## Medical Computer Vision, Virtual Reality and Robotics Nicholas Ayache

### ▶ To cite this version:

Nicholas Ayache. Medical Computer Vision, Virtual Reality and Robotics. Image and Vision Computing, 1995, 13 (4), pp.295-313.  $10.1016/0262\text{-}8856(95)99717\text{-}\mathrm{F}$ . inria-00615055

## HAL Id: inria-00615055 https://inria.hal.science/inria-00615055

Submitted on 17 Aug 2011

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## **PROMISING RESEARCH**

# Medical computer vision, virtual reality and robotics

## Nicholas Ayache

The automated analysis of 3D medical images can improve both diagnosis and therapy significantly. This automation raises a number of new fascinating research problems in the fields of computer vision, graphics and robotics. In this paper, I propose a list of such problems after a review of the current major 3D imaging modalities, and a description of the related medical needs. I then present some of the past and current work done in our research group EPIDAURE\* at INRIA, on the following topics: segmentation of 3D images; 3D shape modelling; 3D rigid and nonrigid registration; 3D motion analysis; and 3D simulation of therapy. Most topics are discussed in a synthetic manner, and illustrated by results. Rigid matching is treated more thoroughly as an illustration of a transfer from computer vision towards 3D image processing. The later topics are illustrated by preliminary results, and a number of promising research tracks are suggested.

Keywords: volume image processing, medical images, 3D vision, virtual reality, medical robotics, research trends

#### **INTRODUCTION**

#### New images

Three-dimensional (3D) images are becoming very popular in the medical field<sup>1-9</sup>. A modern hospital each year commonly produces tens of thousands of volumetric images. They come from different modalities like magnetic resonance imagery (MRI), computed tomography imagery (CTI, also called scanner imagery), nuclear medicine imagery (NMI) or ultrasound imagery (USI).

These images share the particularity of describing the physical or chimical properties at each point of a

Paper received: 8 March 1994; revised paper received: 7 February 1995

studied volume. This information is stored in a discrete 3D matrix I(i, j, k) of voxels (volume elements), called a 3D image because of the analogy with digital 2D images I(i, j) stored as 2D matrices of pixels (picture elements). Actually, the most simple exploitation of 3D images consists in a direct visualization of the successive 2D images (also called cross-sections) obtained by setting one of the three coordinates (i, j, k) to a constant. In fact, applying advanced 3D image processing and graphics allows much more vivid and realistic displays, as shown in Figure 1, built from a 3D MRI; Figure 2 shows a few cross-sections.

Cross-sections from the four main imaging modalities are shown in Figure 3. In MRI, the intensity I measures locally the density and structures of protons, while in



Figure 1 3D display of two well-known anatomical structures extracted automatically from a single 3D magnetic resonance image of the head. The automatic extraction and display of these structures involves advanced 3D image processing

<sup>\*</sup>EPIDAURE is a very nice location in Greece which used to be the sanctuary of ancient medicine. Today, for computer scientists, it is also a recursive acronym (in French): Epidaure, Project Image, Diagnostic AUtomatique, et RobotiquE. INRIA – EPIDAURE Project, BP 93-06902 Sophia-Antipolis, France



Figure 2 Cross-sections extracted from a 3D MRI image of the head

CTI, intensity measures locally the density of x-ray absorbtion. CTI and MRI provide complementary *anatomical* information. CTI gives very contrasted images of the bones, while MRI gives excellent descriptions of most organs and soft tissues, as well as a reasonably good description of the bones.

NMI measures locally the density of some injected radioactive molecules. It therefore provides *functional* information on the metabolism of the regions studied (e.g. the density of glucose used per unit of time and volume in the brain). The main sources of NMI are single photon emission computed tomography (SPECT), with positron emission tomography (PET) still being a research tool.

Finally, USI measures locally the variation of the acoustic impedance (a function of the speed of ultrasound propagation). Although most commonly used in two dimensions, ultrasound images can also be acquired in  $3D^{10,11}$ . Ultrasound images are easily acquired at a very fast rate, giving both *anatomical* information (boundaries of anatomical structures usually correspond to variations of the acoustic impedance), and *dynamic* information (e.g. the heart motion). Dynamic information can also be obtained by gated (i.e. synchronized) MRI or NMI.

Although USI and MRI are recognized as non-invasive (non-dangerous) techniques, this is not the



Figure 3 Four different modalities of acquisition of 3D images: MRI, CTI, NMI and USI. Although each image is produced in three dimensions, only one cross-section is shown here. The first three images show the head; the last is a young foetus (7 cm!)

case for CTI and NMI, which involve radioactive materials. The cost of equipment varies a lot from one modality to another: currently the cheapest is USI (typically, less than 100 k\$), while the most expensive is MRI (typically several M\$).

New 3D imagery devices are emerging, like angiographic MRI, which describes the anatomy of the vascular system, magneto-encephalography equipment, which measures magnetic field variations, or functional MRI, which provides metabolism information (like NMI), but with a non-invasive method. These new modalities are still at the research stage, but may lead to major 3D imaging devices in the near future.

#### New markets

The market of the *production* of medical images was evaluated at being 8 billion (US dollars) in 1991<sup>12, 13</sup>, and shows approximately an increase of 10% per year. Among these figures, MRI represents currently a market of 1 billion, with a strong increase of approximately 20% per year<sup>14</sup>.

Besides the production itself, 3D image *processing* is the most recent market. Almost non-existent a few years ago, it was evaluated at 350 million in 1992, with a planned evolution between 20–40% per year during the next five years.

This comes from the new capabilities demonstrated by computer vision applied to 3D imagery. Not only does it provide better diagnosis tools, but also new possibilities for therapy. This is true in particular for brain and skull surgery, laparoscopy and radiotherapy, where simulation tools can be tested in advance, sometimes with the help of virtual reality, and used during the intervention as guiding tools<sup>11, 15, 16</sup>. In some cases, even robots can use pre-operative and per-operative 3D imagery to complete precisely some specific medical gestures prepared during a simulation phase<sup>17-20</sup>.

One must notice that 3D imagery is also in expansion in fields other than medical. In *biology*, confocal microscopy produces voxel images at a microscopic scale. In *industry*, non-destructive inspection of important parts, like turbine blades, for instance, is sometimes made with CTI. Finally, in *geology*, large petroleum companies like Elf-Aquitaine, for instance, have bought scanners (CTI) to analyse core samples.

#### New medical needs

Exploiting 3D images in their new format (a 3D matrix of numbers) is usually a very awkward task. For instance, it is now quite easy to acquire MRI images of the head with a resolution of about a millimeter in each of the three directions. Such an image can have  $256^3$ voxels, which represents about 17 Mbyte of data. High resolution 3D images of the heart can also be acquired during a time sequence, which represent spatiotemporal data in four dimensions. In both cases, displaying 2D cross-sections one at a time is no longer sufficient to establish a reliable diagnosis or prepare a critical therapy.

Moreover, the complexity of the anatomical structures can make their identification difficult, and the use of multimodal complementary images requires accurate spatial registration. Long-term study of a patient's evolution also requires accurate spatio-temporal registration.

We identified the automation of the following tasks as being crucial needs for improvment in diagnosis and therapy:

- 1. Interactive visualization must really be 3D, with dynamic animation capabilities. The result could be seen as a flight simulation within the anatomical structures of the human body. A recent review of the state-of-the-art can be found in Stytz *et al.*<sup>21</sup>.
- 2. Quantification of shapes, textures and motion must provide the physician with a reduced set of parameters useful to establish his diagnosis, study temporal evolution, and make inter-patient comparisons. This must be true for the analysis of static and dynamic images.
- 3. Registration of 3D images must be possible for a given patient between single- or multi-modality 3D images. This spatial superposition is a necessary condition to study the evolution of a pathology in great depth, or to take full advantage of the complementarity information available in multi-modality imagery. Extensions to the multi-patient

cases are also useful, because it allows subtle interpatient comparisons.

- 4. Identification of anatomical structures within 3D images requires the construction of computerized anatomical atlases, and the design of matching procedures between atlases and 3D images. Such a registration would provide substantial help for a faster interpretation of the most complex regions of the body (e.g. the brain), and it is a prerequisite to solve the previous multi-patient registration problem and to help planning (see below).
- 5. Planning, Simulation and Control of therapy, especially for delicate and complex surgery (e.g. brain and cranio-facial surgery, hip, spine and eye surgery, laparoscopy, etc.), and also for radiotherapy: this is an ultimate goal. The therapist, with the help of interactive visualization tools applied to quantified, registered and identified 3D images, could planify in advance its intervention, taking advantage of all the planning advice available, and then observe and compare predicted results before any operation is done. Once the best solution is chosen, the actual intervention could then be controlled by passive or active mechanical devices, with the help of peroperative images and other sensors, like force sensors.

#### New image properties

To fulfil these medical needs, it is necessary to address a number of challenging new computer vision and robotics problems<sup>22, 23</sup>. Most of these problems are quite new, not only because images are in three dimensions, but also because usual approximations like polyhedral models or typical assumptions like rigidity rarely apply to medical objects. This opens a large range of new problems sometimes more complex than their counterparts in 2D image analysis.

On the other hand, specific properties of 3D medical imagery can be exploited very fruitfully. For instance, contrary to video images of a 3D scene, geometric measurements are not projective but *Euclidean measurements*. Three-dimensional coordinates of structures are readily available!

Moreover, one can usually exploit the *intrinsic value* of *intensity*, which is generally related in a simple way to the physical or physiological properties of the considered region; this is almost never the case with video images, where intensity varies with illumination, point of view, surface orientation, etc.).

Also, a priori knowledge is high, in the sense that physicians usually have protocols (unfortunately, depending on the image modality) to acquire images of a given part of the body, and different patients tend to have similar structures at similar locations!

Finally, having a dense set of *3D data* provides a better local regularization when computing local differential properties, as we shall see later.

#### New computer vision, graphics and robotics issues

Having listed the medical needs and the new image properties, I now set a list of computer vision, graphics and robotics issues which I believe are central problems:

- 1. 3D segmentation of images: the goal is to partition the raw 3D image into regions corresponding to meaningful anatomic structures. It is a prerequisite to most of the medical needs listed above. Efficient segmentation requires the modelling and extraction of 3D static or dynamic edges and of 3D texture, as well as the generalization of 2D digital topology and mathematical morphology in 3D.
- 2. 3D shape modelling: this is mainly a prerequisite to solve registration and identification needs, but also for efficient visualization. It is necessary to describe non-polyhedral 3D shapes with a reduced number of intrinsic features. This involves mainly computational and differential geometry.
- 3. 3D matching of 3D shapes: once segmented and modelled, new algorithms must be designed to reliably and accurately match such representations together, both in rigid and nonrigid cases. This is necessary to solve registration and identification needs.
- 4. *3D motion analysis*: this requires the development of new tools to process sequences of 3D images (i.e. 4D images!), in order to track and describe rigid and nonrigid motion of 3D anatomical structures. This is necessary for the quantification of motion needs.
- 5. Dynamic physical modes of anatomical structures should be developed to provide realistic simulation of interaction with 3D images. This is required for the planning and simulation of therapy. Physical models can also help solving the previous 3D motion analysis problems.
- 6. *Geometric reasoning* is required to help therapeutic planning, in particular to determine trajectories of beam sources in radiotherapy, and the succession of accurate medical gestures in surgery.
- 7. *Virtual reality* environments should be developed to provide realistic interactive visualization and to help planning and simulation.
- 8. Dedicated medical robots, possibly passive or semiactive, equipped with specific sensors (force sensing, optical or ultrasound positioning, etc.) must be developed for the automatic control of therapy.

As one should notice, these problems are mainly computer vision and robotics problems, also involving graphics. In the following, I address them (except the last one, but see<sup>18, 19, 24</sup> for spectacular examples of dedicated medical robots) by presenting the research conducted during the past five years in the research group EPIDAURE at INRIA. I present the basic lines of this research, the main results, and indicate some promising research tracks. References are made primarily to the papers published by the EPIDAURE group, although I have tried to add a significant (but necessarily incomplete!) list of complementary references. I apologize in advance for all the missing ones.

#### **SEGMENTATION OF 3D IMAGES**

Segmentation of 3D images has similarities with the classical problem of segmenting 2D images. The purpose is the same, namely to partition the original set of image points into subsets corresponding to meaningful objects. As for 2D image processing, both region- and contour-based approaches apply, with the specificity that regions are now volumes and that edges become surfaces.

We have found that a set of generic tools was quite effective in solving completely a number of specific segmentation problems, both in static and dynamic images. These include 3D edge extraction, 3D digital topology and mathematical morphology operators, 2D and 3D active contours, and 3D texture analysis tools. We found that, combined together, these tools could solve the problem of segmenting major anatomical structures in CTI and MRI, and also the tracking of 2D and 3D structures of the beating heart in dynamic USI and NMI<sup>25</sup>.

#### **3D** edges

As previously mentioned, a major advantage of 3D images comes from the fact that intensity is usually a simple function of the structures studied (contrary to video images of a 3D scene). To segment CTI or MRI images, assuming constant intensity plus additive noise within a given anatomical structure is often a reasonable assumption in regions with low texture. Intensity in NMI images is also simply related to the physiological function studied, but the resolution is often lower with a higher noise level. The most difficult images to segment are probably USI, where intensity already measures a function of the derivative of the acoustic impedance of the tissues, but with a strong multiplicative (in frequency domain) noise producing a very typical 'speckle' texture almost everywhere.

For regions with low texture,  $Monga^{26, 27}$  showed that the 3D generalization of the Deriche–Canny edge operator<sup>28</sup> was quite efficient to extract edges. The superiority of 3D filtering the volumetric data instead of successively filtering 2D cross-sections was clearly demonstrated, as can be seen in *Figure 4*.

Because 3D filtering is computationally more intensive, the use of separable recursive filters is crucial for the sake of computational complexity. Malandain implemented a version of the 3D edge detector which has been distributed to several places.

#### Digital topology and mathematical morphology

Thresholding and/or edge detection must generally be followed by some 3D mathematical morphology



**Figure 4** 3D edge detection is more robust and coherent than 2D edge detection. Left: result of 2D edge detection performed in successive cross-sections of the brain which were orthogonal to the plane of the picture. Result from one cross-section to the next is not as coherent as it is with 3D edge filtering (right), where none of the three principal directions is privileged (courtesy of Malandain and Monga)

operators (erosion, dilation, thinning, connected component analysis, etc.) to separate regions from one another. These operations require a formal analysis of the discrete notions of connectivity for points, curves, surfaces and volumes in 3D images. This is the purpose of digital topology<sup>29,30</sup> and mathematical morphology<sup>31</sup>, disciplines to which Malandain and Bertrant recently brought interesting new results and algorithms<sup>32,33</sup>. For instance, in *Figure 5* they show the extraction of topological singularities on the surface of two skulls.

Recently, Kapur<sup>34</sup> produced excellent results on the segmentation of the brain in MR images with mathematical morphology, and Wells<sup>35</sup> showed how to suppress a multiplicative gain in the image field.

#### **Deformable surfaces**

This approach consists in generalizing in three dimensions the active contours introduced by Terzopoulos and coworkers<sup>36,37</sup>. A variational method has been developed by Laurent Cohen, and then by Isaac Cohen, to minimize an energy which accounts for the elasticity properties of the surface (internal energy) and the proximity of detected edgels (external energy). The mathematical framework is one of the finite elements, which provides a sound and stable analytical description of the surface. This approach provides a good segmentation of surfaces with a simple topology<sup>38-40</sup>.



Figure 5 Extraction of topological singularities on the surface of two skulls. One should note the remarkable stability of these lines, which can be used for registration (courtesy of Malandain and Bertrand)



Figure 6 In this example, we used a deformable surface constrained by boundary conditions (cylinder type) to segment the inside cavity of the left ventricle. We show the initial estimation superposed in light grey in some cross-sections of the 3D image (1st column), and the final solution (2nd column). Bottom: found 3D representation of the inside cavity of the left ventricle (courtesy of Cohen and Cohen)

We show in *Figure 6* an example of the segmentation of a heart ventricle in a 3D image. After the segmentation of such a 3D image, deformable surfaces appear to be sufficiently robust to track a deformable structure in a time sequences of 3D images<sup>41</sup>. Connected work can be found elsewhere<sup>42-52</sup>.

#### Sonar space filtering

In some cases, it might be interesting to perform the segmentation before 3D image reconstruction, i.e. from the raw data obtained by the original sensor. This was clearly demonstrated for USI, with a method called sonar space filtering.

In USI, Herlin and Vojak showed that the polar geometry of USI requires a special type of filtering which computes edges at the local resolution of the raw data. This is important, because once the image is rectified from polar to cartesian coordinates, some information is lost, and the edge extraction results are degraded. Results obtained in time sequences of echographic images allow analysis of the motion of the mitral valve of the heart, with the help of the previous active contours, as shown in References 53 and 54. Similar results have also been obtained by Herlin *et al.* with Markov random fields<sup>55</sup>.

#### Geometric tomography

In the same spirit as above, but for CTI, Thirion showed that it was possible to extract the boundary of contrasted objects directly from the edges of the sinograms (projections from which tomography is computed). The advantage is a much faster extraction of the edges directly from the data acquired by an x-ray scanner, without requiring the costly tomographic reconstruction itself. The method, called *geometric tomography*, has been patented by INRIA<sup>56</sup>. It works particularly well with convex objects, as reported elsewhere<sup>57</sup>.

#### **Texture-based approaches**

Lévy-Véhel, Berroir and Mignot<sup>58–60</sup> implemented a system called ARTHUR, which combines texture modelling and a sophisticated discriminant analysis scheme to select a set of discriminant texture parameters from a training set of images (this approach has similarities with the work of Kubler and Gerig<sup>61</sup>). The ARTHUR system can then be connected to a texture segmentation program called EXCALIBUR, which exploits the training phase and the notion of 'mixed classes' to provide automatic segmentation of textured images. The texture operators include 2D and 3D texture operators; some of them involving advanced fractal and multifractal parameters. *Figure 7* shows the result of such a textural segmentation in a cross-section of an MRI, at the level of the heart.

#### **MODELLING FREE-FORM SURFACES**

Once objects are segmented, they have to be modelled for further processing. This modelling is necessary for registration (next section), which is either rigid (some



Figure 7 Left: MRI cross-section of the body at the level of the heart. Right: segmentation result, obtained by EXCALIBUR, into four regions based on texture analysis (courtesy of Lévy-Véhel)

rigid part of a single patient) or deformable (nonrigid part of a single patient, or inter-patient or patient-atlas registration). This is a very challenging problem in medical image analysis, to study, for instance, the evolution of a pathology such as multiple sclerosis (MRI images), or the efficiency of a treatment against a cancer lesion (MRI or scanner images). This is also necessary to compare patients together and help therapy planning.

#### **Extracting ridges**

The approach successfully developed in the EPIDAURE project consists in extracting typical lines, called *ridges* or *crest lines*, on the surface of the objects in each volumetric image.

Our definition of ridges on a surface is the locus of points where the maximum curvature (in absolute value) is extremal in the direction of maximum curvature. This definition does not apply when principal curvatures have the same absolute value, in particular at umbilics. Anyhow, as can be seen from experimental studies (see below), these ridges convey intrinsic, meaningful and compact information on many anatomical surfaces:

- intrinsic, because ridge points are invariant with respect to rigid displacements (this comes from the intrinsic properties of the curvature);
- meaningful, because ridges tend to correspond to anatomical features (this is the case on the brain, the face and the skull, for instance, as can be seen in *Figures 8, 10* and 15, and also in References 62–67);
- compact, because they represent a number of points, typically 500 times more compact than the original image.

Several definitions of ridge or crest lines can be found<sup>68-74</sup>. The one closer to our definition is probably in the book by Hosaka<sup>75</sup> (p. 98).

One should note that our definition of ridges is intrinsic to the isophote surface, without privileging any particular direction of the 3D space. One should refer to Koenderink<sup>76</sup> for a rigorous and historical analysis of oriented ridges, i.e. ridges depending on a privileged direction in space (e.g. gravity). Computing curvatures requires the extraction of the differential properties of the surfaces up to the 2nd order, while ridges require 3rd order differential properties. We show in the following subsections the different approaches we investigated to achieve a reliable ridge line extraction.

#### Local fitting of quadrics

After a segmentation of the 3D images, using, for instance, 3D edge detectors, Monga and Sander showed that it was then possible to use a local Kalman filter around each edgel, to fit a quadric surface in the least square sense. This polynomial approximation allows the computation of differential surface properties up to second order only (curvatures). This approach takes into account the uncertainty attached to the edge localization and the surface normal, and provides an explicit estimation of the principal curvatures. Unfortunately, although parallel in nature, the method is computation ally very expensive. Curvature information is accurately extracted, but this is not the case for ridges<sup>77</sup>.

#### Deriving the image intensity

Another approach is necessary to extract ridges. As mentioned earlier, it is often a reasonable assumption to say that the boundary of an anatomical surface corresponds to an isophote (or iso-intensity) surface in the volume image, especially with CTI, but also with MRI and sometimes NMI. This assumption, combined with the implicit function theorem, allows the computation of the differential properties of the anatomical surface from the derivatives of the intensity function. This method provides excellent results in high resolution volumetric images, for a computational cost which can be maintained at a reasonable limit thanks to separable recursive filtering, as shown by Monga and Benayoun<sup>63, 64</sup> and Thirion<sup>65</sup>.

Figure 8 shows the extraction of ridges on the brain surface acquired with MRI, while Figure 15 shows the extraction of ridges on the surface of a skull acquired with CTI in two different positions.

The idea of deriving the intensity to extract different characteristic features, either on the intensity (hyper)surface or on isophote surfaces, is also successfully applied by (among others) Harr Romeny *et al.*<sup>71</sup>, Whitaker<sup>72</sup> and Morse *et al.*<sup>74</sup>.

#### **Using B-spline approximations**

The previously mentioned deformable surfaces had two drawbacks: high computational complexity, and loworder derivability. The introduction of B-splines with high order polynomials, thanks to their nice separability properties, reduces these drawbacks drastically while keeping the advantages of simultaneously providing a



Figure 8 Ridges on the brain (courtesy of Thirion and Gourdon)

segmentation of anatomical surfaces and their local differential properties up to the third order, for instance. This is very useful to track time-varying structures with rigid or nonrigid motion. The only limitation comes from the fact that the surface topology must be known in advance. This is described in detail by Guéziec<sup>78, 79</sup>.

*Figure 9* shows the segmentation of a face with a deformable B-spline within an image of the head acquired with MRI, while *Figure 10* shows the extraction of ridges obtained from the B-spline approximation.

#### Marching line algorithm

In the two previous approaches (filtering and B-splines), the connection of extracted ridge points to form ridges can be achieved by a very smart algorithm invented by Thirion, and called 'the marching line algorithm'. This algorithm looks for the zero-crossings of an extremality criterion (here the maximum curvature) in an isophote surface defined by a constant intensity level I. The algorithm ensures both sub-pixel accuracy and nice topological properties like connectivity and closeness. Also, it can be applied simultaneously with the local filtering of isophote surfaces, to drastically reduce the filtering computing time if one seeks the extraction of major ridges only. A complete description of this algorithm can be found elsewhere<sup>65,80</sup>. The ridges of Figures 8, 10 and 15 were extracted with the marching line algorithm.

#### **RIGID 3D REGISTRATION**

I now describe in more detail the problem of rigid 3D registration, because we believe it is a remarkable illustration of computer vision applied to 3D medical images.



Figure 9 Segmentation of a face with a deformable B-spline within an image of the head acquired with MRI. Original MRI images were provided by Dr Ericke, Siemens (courtesy of A Guéziec)



Figure 10 Ridges extracted from the previous B-spline approximation (courtesy of A Guéziec)

#### Importance of the problem

As mentioned before, a very common problem in medical image analysis is the automatic registration of 3D images of the same patient taken at different times, and in different positions. This is very useful to detect any pathological evolution, and to compute quantitative measurements of this evolution.

Performing this registration manually is a difficult task for a human operator, mainly because it requires quantitative spatial reasoning. The operator must discover corresponding anatomical landmarks, and locate them in 3D with maximum accuracy. As the accuracy of the computed global registration increases with the number of matched correspondences, it is preferable to match as many landmarks as possible. Performing this task manually for tens of landmarks is extremely tedious, and it becomes infeasible with hundreds or thousands of landmarks.

Artificial landmarks could be used to simplify the point extraction and matching process. For instance, a stereotaxic frame can be rigidly attached to the patient's skull. In fact, this is not a very comfortable solution for the patient, and anyway, such a frame cannot be worn for a long period (e.g. six months!). Moreover, it can happen that some displacement occurs between the frame and the patient between the two acquisitions, or that some internal organ moves with respect to the external frame (e.g. a slight motion of the brain with respect to the skull). Finally, the accurate localization of specific points with artificial markers is usually not an obvious task.

For all these reasons, we believe that a fully automatic registration procedure relying only on detected anatomical landmarks is much more flexible and powerful than a manual procedure, or than a procedure relying on artificial landmarks.

The output of the registration procedure must be the six independent parameters of the rigid displacement undergone by the region of interest between the two acquisitions. More precisely, one must compute the rotation and translation parameters which best match the two acquired images of this region of interest. At this point, it is important to note that because of potential occlusions, the two 3D images cannot be registered globally with a method based on the registration of the centres and axes of inertia.

#### Using ridges and geometric hashing

The idea is to extract the maximum number of Euclidean invariants computed exclusively on ridges, and to use them for registration.

#### Euclidean invariants on surface curves

We consider not only the curvature k and torsion  $\tau$  of the ridge lines (the parameters which characterize completely a curve up to a rigid displacement), but also the maximum curvature of the surface,  $k_1$ , the angle  $\theta$ 



Figure 11 Surface ribbon around a space curve: at each curve point, one can compute the curve Frenet frame (t, n, b), where t and n are, respectively, the curve tangent and normal, and the local surface frame  $(e_1, e_2, N)$ , where  $e_1$  and  $e_2$  are the principal directions of curvatures in the tangent plane of the surface, and N the surface normal (courtesy of A Guéziec)



Figure 12 Left: Darboux frame (t, N, g) computed along the ridge line, on the surface. Centre:  $\phi$  is the angle between the direction  $e_1$  of the largest principal curvature  $k_1$  with t. Right:  $\theta$  is the angle between the curve normal *n* and the surface normal *N* (courtesy of Guéziec)

between curve and surface normals, and the angle  $\phi$ between the curve tangent and the direction of the maximum curvature  $k_1$  (see Figures 11 and 12). These five intrinsic parameters are independent, and allow, for instance, the computation of the second principal curvature of the surface through computation of the normal curvature  $k_n$ , as well as the geodesic curvature  $k_g$ and torsion  $\tau_g$  of the surface.

As the extraction of the ridge points required the



Real Space

Parameter (Hash)-Space



computation of differential properties of the surface up to the 3rd order, the values of the maximum curvature value  $k_1$  and direction  $e_1$ , as well as the surface normal N, are readily available. But to compute the five intrinsic parameters  $(k, \tau, k_1, \theta, \phi)$ , we also need to compute the differential properties of the curve itself up to the 3rd order. Guéziec found that an efficient method was to approximate each ridge line (a discrete set of ridge points connected by the marching line algorithm) by a constrained B-spline<sup>81</sup> (the B-spline is constrained to have a tangent orthogonal to the surface normal). This provides a good estimation of the Frenet frame of the curve, (t, n, b), as well as the local curvature k and torsion  $\tau$ . It is then immediate to compute  $\theta = \text{angle}(n, N) \text{ and } \phi = \text{angle}(t, e_1).$ 

#### Matching algorithm

Once we know how to extract ridge points and how to compute the quantities  $(k, \tau, k_1, \theta, \phi)$  at each ridge point, it is possible to design an extremely efficient matching algorithm. This algorithm, proposed by Guéziec, has two main stages, namely preprocessing and recognition, and combines geometric hashing, accumulation and prediction-verification<sup>82-89</sup>:

Preprocessing stage This stage can be applied offline, as soon as the first image, called the model image, is acquired. The ridge lines are extracted from this image, and each ridge point with its Frenet frame (t, n, b) is stored in a 5-dimensional hash table based on the five intrinsic parameters  $(k, \tau, k_1, \theta, \phi)$  attached to the ridge point

The 5-dimension hash table is stored in a 1dimensional array using classical but efficient hashing techniques (see Figure 13).

Recognition stage Once the second image, called



Model Curves





Hash Function



Scene Curves

Figure 13 Preprocessing: each ridge point of the model, is stored in a hash table of dimension-5, after the computation of five independent intrinsic parameters. At recognition time, the same ridge point, although in another position and orientation, will point towards the same cell of the hash table (courtesy of Guéziec)

the scene image, is acquired, it is necessary to apply the recognition stage on-line. The algorithm considers each ridge point  $S_i$  of the scene, and looks in the hash table for a model point with similar intrinsic parameters  $(k, \tau, k_1, \theta, \phi)$ . A difficult point is the notion of similarity, and a preliminary statistical study allowed for the definition of a statistical distance (Mahalanobis distance) based on the covariances of these parameters.

If a model point  $M_j$  is found with similar parameters in the hash table, the Frenet frames of both points are used to predict a rigid transformation T which maps both points with their Frenet frames (see Figure 14). This transformation is accumulated in a 6-dimension accumulator of rigid transformations  $\mathcal{A}$ , by incrementing the cell whose coordinates correspond to T, and by keeping a pointer towards  $M_j$  and  $S_i$ . The uncertainty attached to the position of  $M_j$  and  $S_i$  is represented by two covariance matrices, which are used to compute a covariance matrix  $\Sigma$ , which represents the uncertainty on T.

When the accumulator cell already contains a rigid transformation  $T^-$  and a covariance  $\Sigma^-$ , both are updated with a recursive least square procedure (the extended Kalman filter), and a new value  $T^+$  is stored, with a new covariance matrix  $\Sigma^{+85}$ .

When all scene points have been treated, the algorithm looks for the accumulator cell which had



Figure 14 Recognition: each time a ridge point of the scene is matched with a model point through the previous hash table, the Frenet frames allow the computation of the six parameters of a rigid displacement (R, t). This displacement is stored in a 6-dimension accumulator, which is stored with another Hash table (courtesy of Guéziec)

the maximum number of access. This cell contains a transformation  $T^*$  with a covariance matrix  $\Sigma^*$ , and it is chosen as being the solution of the registration problem.

In the skull example presented, this transformation corresponds to the matching of 335 couples of ridge points. We can apply this transformation to all the ridge points of the model, and verify that they superimpose very nicely on the scene ridge points, as can be seen in *Figure 16*. A quantitative analysis showed that the accuracy of the registration was better than one millimeter within the volume of the head<sup>90</sup>.

#### Matching of proteins

Pennec found that it was possible to extend the geometric hashing ideas to the problem of finding common 3D substructures in proteins. He found an  $O(n^2)$  algorithm<sup>91</sup>. A typical result is shown in *Figure 17*.

#### Introduction of extremal points and extremal mesh

Thirion<sup>92,93</sup> recently introduced a new subset of intrinsic points that he called 'extremal points', which



Figure 15 Top: Ridges (in black) extracted on the surface of a skull scanned in two different positions (left and right). Original 3D images are produced by a GE-CGR CT-Scan (courtesy of Thirion and Gourdon)



Figure 16 Automatic registration of the two sets of ridge lines (respectively, solid and dotted lines), allowing a sub-voxel comparison of the two original 3D images (courtesy of Guéziec)



2CRO (63 amino-acids) and 2WRP (108 amino-acids). Only registered structures are shown, i.e. 27 amino-acids. The right picture is a synthetic representation of the proteins skeletons (courtesy of Pennec)

can be identified as the subset of ridge points for which the second principal curvature  $k_2$  is also extremal in the associated direction  $e_2$ . These points have the nice property of being extrema of curvature of the two principal curvatures simultaneously, and can be defined as the intersection of three iso-surfaces: a chosen isointensity surface, and the two surfaces defined by the zero-crossings of the derivatives of  $k_i$  in the direction  $e_i$ for i = 1, 2. These derivatives can be computed everywhere but at points where the principal curvatures have identical absolute values, which again includes the umbilies.

Not only the extremal points have the property of being very stable and compact on anatomical surfaces (a few hundreds in a high resolution 3D image of the head), but some of them tend to be extremely stable from one patient to another one. They are, therefore, very good candidates as anatomical features for the Atlas Matching Problem, described in a later section.

Finally, a unifying description, called the *extremal* mesh, which includes ridge lines and extremal points, haas also been introduced by Thirion<sup>94, 95</sup>. This description is based on the zero-crossings of the product of two extremality criterions, defined at non-umbilic points as the derivative of one of the two principal curvatures in its associated direction. The product of these two criteria is clearly zero along ridge lines, and also at extremal points, and the definition naturally provides an intrinsic mesh on the surface, which itself proves extremely useful for its description, and also for rigid and non-rigid registration.

#### Matching a cross-section with a 3D image

Another interesting problem is the matching of a crosssection with a 3D image. This happens when the patient must be registered with previously acquired 3D images, during surgery, for instance. In this case only a few (possibly a single) cross-sections are acquired with a given modality, possibly with a laser range finder, and have to be matched with a surface extracted from a 3D image.

Gourdon showed that it was possible to exploit differential geometry constraints between the curve and



Figure 18 Left: a surface is extracted from a 3D CTI image and a curve is extracted from another 2D cross-section. Right: the curve is matched on the surface with the use of differential geometry constraints. Bottom: superposition of the curve in the image plane extracted from the 3D image (courtesy of Gourdon)

the surface to efficiently guide the correspondence algorithm<sup>96</sup>. A typical result is shown in *Figure 18*.

#### Matching with a potential-based method

Computing ridges and Euclidean invariants of second and third order requires high resolution images. This is currently not possible with NMI. Therefore, in this case, and in particular to combine together images of different modality like NMI and MRI, for instance, other methods, usually based on potentials of attractions, can be used efficiently<sup>97-103</sup>. Contrary to the method described in the previous section, based on geometric hashing and Euclidean invariants, potentialbased methods require a preliminary estimation of the correct superposition, which might sometimes limit their robustness. Anyhow, excellent results can be obtained, as shown in *Figure 19*, extracted from Malandain's and Rocchisani's<sup>97</sup> work.

#### **DEFORMATIONS**

Relaxing the rigidity assumption is necessary in three different problems: the atlas-matching problem; interpatient registration; and the analysis of nonrigid motion.

#### Atlas matching problem

Building an 'electronic atlas' of the human body is again a very challenging issue for the future, and several teams



Figure 19 A potential-based method allows the registration of an MRI image (top left) with an NMI image of the head (top right). An interpolated cross-section of the registered 3D MRI is computed, and its edges are shown superimposed on the NMI images (bottom). Images are courtesy of Jael Travere, from the Cyceron Center in Caen, France (courtesy of Malandain and Rocchisani)

have contributed to this topic. Among them, one must acknowledge the pioneering work of Bajcsy *et al.*<sup>104</sup> more than ten years ago!

Some recent work, like that of Hohne *et al.*<sup>105</sup>, is more oriented towards the construction of a visualization database. Our goal is oriented towards the automatic registration of such an atlas against the 3D image of an arbitrary patient. For doing this, we believe that ridge lines are good anatomical invariants, and can therefore serve as a sound geometrical basis to build a computerized anatomical atlas of some parts of the human body. This assumption is supported by our experimental studies, and by statistical and anthropological studies<sup>66, 67</sup>.

Having built a 'generic model', the problem is then to find a matching algorithm which can register it with the 3D image of an arbitrary patient. Such an algorithm could also help in making inter-patient registrations and comparisons.

The current strategy within the EPIDAURE project, is to define a constrained network of ridge lines and extremal points<sup>92, 93, 106</sup>, which could deform itself to adapt to the geometry of a given patient. The spirit is



Figure 20 It is possible to use an average representation of the ridge ines to define an 'atlas of ridges', on a skull and on a brain. Then, this atlas can be used to automatically label the most stable lines of an arbitrary skull (left) or brain (right) (courtesy of Subsol and Thirion)

similar to that introduced by Yuille *et al.*<sup>107</sup>. Having natched this subset of characteristic lines and points, it should be possible to obtain registration everywhere, by applying, for instance, a constrained interpolation with B-splines<sup>108, 109</sup>. This is presented in Reference 110.

The ideas of Cootes  $et al.^{111}$ , who take advantage of a principal components analysis of a training data set to constrain the deformation of the model, could certainly be applied fruitfully.

We show in *Figure 20* a preliminary result of the natching of a skull atlas on a 3D CT image of a patient.

#### nter-patient registration problem

To register together anatomical surfaces extracted from two different patients, Feldmar introduced a quite successful approach. He proposes to use curvature information on the two surfaces to successively find the best rigid, then globally affine, then locally affine transformation. The curvature information appears to be essential to preserve the correspondance between anatomical invariants, e.g. the nose, the chin, the eyebrows ... on a face. A typical example is shown in *Figure 21*. Details of this approach can be found elsewhere<sup>112–114</sup>. Another approach, also quite successful, was published by Szeliski and Lavallee<sup>115</sup>.

#### Analysing nonrigid motion

In time sequences of 3D images, like USI, MRI or NMI images of the beating heart, it would be extremely profitable not only to track the motion of moving structures, but also to provide physicians with a reduced set of pertinent parameters which describe their nonrigid motion. Usually, the problem is dual: first, it is necessary to find the motion of individual points; then it is necessary to approximate this motion with a reduced number of parameters.

#### Motion of individual points

Tracking structures with deformable models like snakes does not usually provide the motion of each individual



Figure 21 Inter-patient registration. Left: best rigid; centre: best affine; right: best locally affine. All these transformations tend to preserve the correspondence between regions of high curvature, and take into account the local modification of the curvature through an affine transformation. By this approach, the result preserves anatomical invariants based on curvature extrema (courtesy of Feldmar)

point, but only a global registration of a curve (or surface) against a curve (or surface). A post-processing, which takes into account the presence of geometric singularities along the tracked structures, and in particular curvature singularities, can be used to find point-to-point correspondences<sup>52, 116-123</sup>. A typical result in 2D, obtained with a finite element technique, is shown in *Figure 22*. The method has been implemented in 3D, and experimented on the scanner images of the Mayo Clinic (Courtesy of Dr R Robb), to



Figure 22 Computation of the motion field between two successive images in a time sequence of 2D angiographies of the beating heart (courtesy of Benayoun)

provide the motion field of the left ventricle during the systolic stage as shown in *Figure 26*.

Good results can also be obtained with a physicallybased deformable model which provides tracking and point-to-point correspondences at the same time<sup>124, 125</sup>. *Figure 23* shows the use of the deformable model of Nastar to track the mitral valve in images of the heart acquired with USI, and get point-to-point correspondences.

#### Global analysis of motion

Once point-to-point correspondences have been established, it is possible to project on a reduced basis the set of displacements. This is the purpose of modal analysis, where the basis corresponds to a reduced set of some



Figure 23 From left to right and top to bottom. (a) (b) Segmentation and (c-g) tracking of the mitral value of the heart in a sequence of USI using the active physical model of Nastar; (g) point-to-point correspondences obtained. These images were preprocessed with the sonar-space filtering method of Herlin referenced in the text (courtesy of Nastar)



Figure 24 Energy-increasing vibration modes of a cylinder

'qualitative' deformations<sup>126, 127</sup>, or to the major monofrequency vibration harmonics of the elastic structure<sup>124</sup> (see *Figure 24* for an illustrative example of the principal energy-increasing vibration modes of a cylinder).

A major advantage of the latest approach is the possibility to compute analytically<sup>128</sup> the modes beforehand, which reduces tremendously the computational complexity. *Figure 25* shows a modal approximation of the 2D motion of the mitral valve shown in *Figure 23*, while Nastar showed that the motion field of the surface of the 3D ventricle shown in *Figure 27* could be approximated with only nine principal modes and five



Figure 25 From left to right and top to bottom. Four high amplitude eigenmodes of the valve (a,b,c,d). Their superposition (e) compared to the expected result (f) (courtesy of Nastar)



Figure 26 Computation of the motion field computed through a time sequence of 3D CT-scan images of the left ventricle during the systolic stage, using a finite element technique (courtesy of Benayoun). (Original CT images are a courtesy of Dr R Robb, Mayo Clinic)



**Figure 27** Spatio-temporal evolution of left ventricle. Left: original ventricle data consist of 18 temporal images of size  $98 \times 100 \times 110$  (courtesy of Dr R Robb, Mayo Clinic); centre: synthesized motion with nine principal modes and 18 temporal values per mode; right: synthesized motion with nine principal modes and only five temporal Fourier coefficients (i.e. 45 real numbers) (courtesy of Nastar)

temporal Fourier coefficients, i.e. only 45 real numbers, with such an accuracy that it is possible to recreate a virtual 3D motion again almost impossible to distinguish from the real one (refer elsewhere<sup>129-132</sup> for a detailed presentation).

Global analysis of nonrigid motion can also be obtained with parameterizable deformable shapes using for instance superquadrics<sup>133</sup> <sup>137</sup> or Fourier models<sup>138</sup>. A typical example is shown in *Figure 28*.

#### SURGERY SIMULATION

A fascinating new field, at the intersection of computer vision, computer graphics and robotics, is the exploitation of 3D images to planify, simulate and even control



Figure 28 Top right: a superquadric is initialized to fit grossly the left ventricle observed with nuclear medicine imaging (top left). Free from deformations make the local fit much more accurate at the expense of a few more parameters. These parameters can then be tracked through time to assess quantitative values useful for the diagnosis (courtesy of Bardinet and Cohen)

some complex therapy<sup>17–19,139</sup>. Among them, craniofacial surgery or laparoscopy, for instance, are desperately seeking for pre-operative simulation<sup>62,140</sup>. This can be done with the help of pre-operative 3D image analysis, and the use of advanced interactive 3D graphics involving virtual reality, for instance<sup>11,15,16</sup>.

Currently, in the EPIDAURE project, Delingette and Subsol, with the help of Cotin and Pignon<sup>141, 142</sup>, are looking closely at the problem of simulating cranio-facial surgery, following the ideas of the pioneering work of Terzopoulos and Waters<sup>125</sup>. This is illustrated by *Figures 29* and *30*. Also, Bro-Nielsen is currently



Figure 29 Virtual reality environment for craniofacial surgery simulation (courtesy of Eidelman and Delingette)



Figure 30 Top left: an elastic model of the head is designed from the 3D image of the head. Top right: the skull is cut and its shape is modified with a virtual 3D hand. Centre and bottom: the elastic model of head of the patient deforms itself accordingly to the modified shape of the skull, and the expected result (a deformed Herve Delingette!) can be observed (possibly with texture) before any real surgery is done (courtesy of Delingette, Subsol, Cotin and Pignon)

trying to model, with adequate tools, the elasticity of human tissues<sup>143</sup>.

Another study in the EPIDAURE group is related to augmented reality, acquired by superposing processed preoperative medical images on per-operative medical images (for instance, video images, x-ray images or ultrasound images). Recent results are presented elsewhere<sup>144</sup>, and also in *Figures 31* and *32*. More advanced work has been presented by the MIT and Harvard teams<sup>145</sup>.

The simulation of birth delivery, studied by Geiger and Boissonnat within the PRISME project at INRIA, is described elsewhere<sup>146, 147</sup>.

#### **CONCLUSION**

I tried to show in this paper that automating the analysis of 3D medical images is a fascinating field of new research topics related to computer vision, graphics and robotics. I also presented the past and current work of the EPIDAURE research group at INRIA, and tried to determine promising current trends and future challenges for research.



Figure 31 Augmented reality. Finding the geometric transformation between a 3D object and its projective transformation allows us to superimpose a 3D representation of the brain vessels obtained from MRI (right) with a 2D angiography of the same vessels (left). This is useful to interpret the images during interventional angiography (courtesy of Feldmar. Original images are provided by General Electric Medical Systems Europe, Buc, France)



Figure 32 Augmented reality (continued): having computed the geometric transformation between the video images and the preoperative MRI images of the same patient, it is possible to map the video texture on the MRI segmented image (left), and also to superimpose his brain surface (MRI) in the video image (right). In this case, it is possible to give the feeling of seeing 'through' the patient, as if he were transparent (courtesy of Feldmar, Betting and Duvernay (robotvis group))

A necessary condition for the success of this research will be the thorough collaboration between research scientists, medical doctors and also members of related hardware and software companies. Another condition will be the establishment of powerful electronic connections between the various departments of the hospital, as well as between hospitals.

Once these conditions are fulfilled, there is no doubt in my mind that medical image processing and medical robotics will become one of the major application fields of computer vision and robotics science, and will bring a revolution in medicine for the coming decade.

#### ACKNOWLEDGEMENTS

Of course, acknowledgements go primarily to the researchers of the EPIDAURE group who actively contributed during the past five years to the research work presented in this paper. They are, in alphabetical order, I Cohen, L Cohen, A Guéziec, I Herlin, J Lévy-Véhel, G Malandain, O Monga, J M Rocchisani and J P Thirion. More recently, important contributions also came from E Bardinet, S Benayoun, J P Berroir, F Betting, S Cotin, J Declerck, H Delingette, J Feldmar, A Gourdon, P Mignot, X Pennec, C Nastar and G Subsol. This work benefited from close interactions with R Hummel and M Brady during the sabbatical they spent in our group, as well as the J D Boissonnat, P Boulle, J Brag, P Cinquin, C Cutting, R Kikinis, O Kubler, B Geiger, D Geiger, S Lavallée and J Travere. Thanks also to our system engineer, J P Chièze, who made everything work!

Digital Equipement supported a significant part of this research and GE-CGR in Buc, France, supported part of the rigid matching research work. Matra-MS2I and Philips supported part of the research on ultrasound images. Aleph-Med and Focus-Med in Grenoble contribute to the transfer of software towards industry. The European project AIM-Murim supported collaborations with several European imaging and robotics groups. Finally, the ESPRIT European project BRA-VIVA supported part of the work on the extraction and use of Euclidean invariants for matching.

Many thanks to L Calderan, S Dewez, A Eidelman and B Hidoine, from the Video Department of INRIA, for their help in producing iconic representations of our results, and in particular the movie called 'In Man's Image'.

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