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INSTITUT NATIONAL DE RECHERCHE EN INFORMATIQUE ET EN AUTOMATIQUE

Node coloring for dense wireless sensor networks

Ichrak Amdouni — Pascale Minet — Cedric Adjih

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Node coloring for dense wireless sensor networks

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Abstract: Coloring is used in wireless networks to improve communication efficiency, mainly in terms of bandwidth, energy and possibly end-to-end delays. In this research report, we define the h -hop node coloring problem, with h any positive integer. We prove that the associated decision problem is NP-complete. We then present a 3-hop distributed coloring algorithm that is optimized for dense networks: a node does not need to exchange the priorities and colors of its 2-hop neighbors. Through simulation results, we highlight the impact of priority assignment on the number of colors obtained for any network. We then focus on grids and identify a color pattern that can be reproduced to color the whole grid. We show how the coloring algorithm can use regularity properties to obtain a periodic color pattern with the optimal number of colors. We present the Vector Method that provides the optimal number of colors among all periodic h -hop colorings. We establish lower and upper bounds on the number of colors needed in a periodic h -hop coloring.

Key-words: graph coloring, wireless sensor networks, MANET, mobile ad hoc networks, energy efficiency, spatial reuse, grid, NP-complete, complexity, dense networks, pattern, upper bound, lower bound

Coloriage des noeuds pour des réseaux de capteurs sans fil denses

Résumé : Le coloriage est utilisée dans les réseaux sans fil pour améliorer l'efficacité des communications, principalement en termes de bande passante, énergie et éventuellement délais de bout-en-bout. Dans ce rapport de recherche, nous définissons le problème de coloriage des noeuds à h sauts, avec h entier positif quelconque. Nous prouvons que le problème de décision associé est NP-complet. Nous présentons un algorithme de coloriage à 3 sauts distribué qui est optimisé pour des réseaux denses: un noeud ne doit plus échanger les couleurs et priorités de ses voisins à 2 sauts. Nous mettons en évidence à travers des résultats de simulation, l'impact de l'assignation de priorité sur le nombre de couleurs obtenu pour un réseau quelconque. Nous nous intéressons ensuite aux réseaux en grille et identifions un motif colorié pouvant être reproduit pour colorier entièrement la grille. Nous montrons comment l'algorithme de coloriage peut utiliser les propriétés de régularité pour obtenir un motif périodique avec le nombre optimal de couleurs. Nous présentons la Méthode des Vecteurs qui donne le nombre optimal de couleurs parmi tous les coloriages périodiques à h sauts. Nous établissons ensuite une borne inférieure et une borne supérieure du nombre de couleurs nécessaires dans un coloriage périodique à h sauts.

Mots-clés : coloriage de graphe, réseaux de capteurs sans fil, MANET, réseaux mobiles ad hoc, efficacité énergétique, réutilisation spatiale, grille, NP-complet, complexité, réseaux denses, motif, borne inférieure, borne supérieure

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1 Motivations

Coloring has been used in wireless ad hoc and sensor networks to improve communications efficiency by scheduling medium access. Indeed, only nodes that do not interfere are allowed to transmit simultaneously. Hence coloring can be used to schedule node activity. The expected benefits of coloring are threefold:

1. At the bandwidth level where no bandwidth is lost in collisions, the over-hearing and the interferences are reduced. Moreover, the use of the same color by several nodes ensures the spatial reuse of the bandwidth.
2. At the energy level where no energy is wasted in collision. Furthermore, nodes can sleep to save energy without losing messages sent to them because of the schedule based on colors.
3. At the delay level where the end-to-end delays can be optimized by a smart coloring ensuring for instance that any child accesses the medium before its parent in the data gathering tree.

However, WSNs (Wireless Sensor Networks) have strong limitations. They have low capacity of storage and computing, low energy especially for battery operated nodes and the network bandwidth is also limited. That is why algorithms supported by WSNs must be of low complexity. More challenging are dense WSNs, where a node cannot maintain its 2-hop neighbors because of memory limitation and a single message cannot contain all the information relative to the 2-hop neighbors of a node. Examples of dense WSNs are given by smart dust where microelectromechanical systems called MEMS can measure temperature, vibration or luminosity. Applications can be monitoring of building temperature, detection of seismic events, monitoring of pollution, weather prediction for vineyard protection. In this paper, we show how to optimize a coloring algorithm for dense WSNs.

Concerning coloring algorithms, two types of coloring are distinguished: node coloring and link coloring. With link coloring, timeslots are assigned per link. Only the transmitter and the receiver, the two nodes of the link are awake, the other nodes can sleep. If the link is lightly loaded, its slot can be underused. Moreover, broadcast communications are not easy: the source must send a copy to each neighbor. On the contrary, with node coloring, the slot is assigned to the transmitter that can use it according to its needs: unicast and/or broadcast transmissions. Hence the slot use is optimized by its owner.

The value of h in h -hop node coloring depends on the types of communication that must be supported. For instance, broadcast transmissions require 2-hop coloring, whereas unicast transmission with immediate acknowledgement (i.e. the receiver uses the timeslot of the sender to transmit its acknowledgement) requires 3-hop coloring.

This paper is organized as follows. First, we define the coloring problem in Section 2 and introduce definitions. We position our work with regard to the state of the art in Section 3. In Section 4, we prove that the h -hop coloring decision problem is NP-complete, for any integer $h > 0$. That is why, we propose an

heuristic, called SERENA, to color network nodes. The optimization of SERENA, a distributed coloring 3-hop node coloring algorithm, to support dense WSNs is presented in Section 5. Performance results, obtained by simulation, are reported in Section 6 for various configurations of wireless sensor networks. Section 7 provides theoretical results related to grid coloring and show how to find the color pattern with the optimal number of colors. These results are used in Section 8 to deduce a node priority assignment in SERENA for grid topologies that leads to the optimal number of colors. Finally, we conclude in Section 9 pointing out future research directions.

2 Coloring problem definition

Let $G(V, E)$ be a graph representing the network topology. Each vertex $vi \in V$ represents a network node with $i \in [1, n]$, where n is the number of network nodes. For all vertices $v1$ and $v2$ in V , the edge $(v1, v2) \in E$ if and only if the two nodes $v1$ and $v2$ are one-hop neighbors.

There are two types of graph coloring:

- vertex (or node) coloring assigns a color to each vertex of the graph;
- edge (or link) coloring assigns a color to each edge of the graph.

More precisely, we have the two following definitions:

Definition 1 *One-hop node coloring* of G consists in (i) assigning each vertex in V a color in such a way that two adjacent vertices have different colors and (ii) using the smallest number of colors.

Definition 2 *One-hop link coloring* of G consists in (i) assigning each edge in E a color in such a way that two edges incident to the same vertex have different colors and (ii) using the smallest number of colors.

We can easily extend one-hop node (respectively link) coloring to h -hop node (respectively link) coloring, where h is an integer strictly positive. We introduce the following definitions:

Definition 3 *A h -hop node coloring is said **valid** if and only if any two nodes that are k -hop neighbors, with $1 \leq k \leq h$ have not the same color.*

Definition 4 *A h -hop link coloring is said **valid** if and only if any two links that are incident to the same vertex or k -hop neighboring vertices, with $1 \leq k \leq h-1$ have not the same color.*

Definition 5 *A valid h -hop node (respectively link) coloring is said **optimal** if and only if no valid h -hop node (respectively link) coloring uses less colors than this coloring.*

For simplicity reasons, colors are represented by natural integers, starting with zero. We can notice that almost all coloring algorithms when applied to a wireless network, make the assumption of an ideal wireless environment.

Definition 6 *A wireless environment is said **ideal** if and only if:*

- *Any node has a unique address in the wireless network.*
- *Links are symmetric: if node u sees node v as a one-hop neighbor, then conversely node v sees node u as a one-hop neighbor.*
- *Links are stable. More precisely, link creation during or after the completion of the coloring algorithm is not taken into account.*
- *For any node u , any node w that is not in transmission range of u cannot prevent u from correctly receiving a message sent by v of its one-hop neighbors.*

It can be easily shown that the breakage of a link does not compromise the validity of a coloring, whereas the creation of a new link can make a coloring no longer valid. The creation of new links can result from node mobility or late arrival of node.

To compare the performance of coloring algorithms, two criteria are used:

- **the number of colors used.** The optimal number is called chromatic number.
- **the number of rounds needed** to color the nodes/links of the graph. By definition, in a round, a node is able to send a message to its neighbors, to receive their message and to process them.

The overhead induced by the algorithm is evaluated mainly in terms of:

- bandwidth: number of messages sent, size of the messages;
- memory: size of the data structure maintained.

We now present a brief state of the art dealing with graph coloring and its application to radio networks and wireless sensor networks.

3 State of the art

As it can be guessed from Section 2, coloring has been first introduced in graphs with vertex coloring and edge coloring.

One-hop vertex coloring has been shown NP-complete in [1] for the general case, whereas graphs with maximum vertex degree less than four and bipartite graphs can be colored in polynomial time. The first algorithms proposed were centralized like the greedy algorithm Dsatur (no color backtracking) [2], where the vertex with the highest number of already colored neighbor vertices is colored first. Later on, decentralized ones like Distributed Largest First [3] were

designed. In this algorithm, each node selects a color. It is allowed to keep its color only if it has the largest degree among its neighbors but also this color does not conflict with the colors already chosen by its neighbors. This algorithm runs in $O(\Delta^2 \log n)$, where Δ is the largest vertex degree and n the number of vertices. The algorithm given in [4] proceeds iteratively by reducing the number of colors step-by-step; initially, all nodes have distinct colors. This algorithm runs in $O(\Delta^2 \log n)$ and uses a number of colors close to Δ .

Edge coloring problems can be transformed into a vertex version: an edge coloring of a graph is just a vertex coloring of its link graph. Applied to wireless networks, edge coloring has been called link scheduling. For instance, [5] obtains a TDMA MAC schedule enabling two-way communication between every pair of neighbors. Edges are colored in such a way that two edges incident on the same node have not the same color. A feasible direction of transmission such that no destination is made unable to receive its message, is searched.

Coloring has then been applied to radio networks to provide a collision-free medium access. The goal is to schedule transmissions in time slots, in such a way that two senders allowed to transmit in the same slot do not interfere. Such a problem is also called broadcast scheduling in [6], channel assignment in [7] or slot assignment in [8]. TRAMA, [9], schedules node transmissions by assigning time slots to transmitting nodes and receivers. Only nodes having data to send contend for a slot. The node with the highest priority in its neighborhood up to 2-hop wins the right to transmit in the slot considered. Each node declares in advance its next schedule containing the list of its slots and for each slot its receiver(s). The adaptivity of TRAMA to the traffic rate comes at a price: its complexity. DRAND, [10], the coloring algorithm used with the hybrid ZMAC protocol, [11], that operates like CSMA under low contention and like TDMA otherwise, assigns slots to nodes in such a way that 1-hop and 2-hop neighbors have different slots. This randomized algorithm has the advantage of not depending on the number of nodes but at the cost of an asymptotic convergence.

More recently, coloring algorithms have been designed for WSNs, like FLAMA [12], an adaptation of TRAMA, where the overhead of the algorithm has been considerably reduced. This is obtained by supporting communications of a node only with its parent and its children in the data gathering tree rooted at the sink. TDMA-ASAP [13] integrates a coloring algorithm with the medium access. It has been designed for data gathering applications where communications are limited to the data gathering tree. Moreover, this protocol can adapt to various traffic conditions by allowing a node to steal an unused slot to its brother in the tree. In WSNs where energy matters, it is important to use energy efficiently by assigning sensors with consecutive time slots to reduce the frequency of state transitions, like [14]. FlexiTP [17] is a TDMA-based protocol in which a slot is assigned to one transmitter and one receiver. All other nodes can sleep during this slot. Slots are assigned such that no nodes that are 1 or 2 hops away transmit in the same slot. In this protocol, nodes build a tree rooted at the data aggregation sink and run a neighbor discovery phase. The slot assignment order is given by a deep-first search of the tree. A node selects the smallest available slot in its neighborhood up to 2 hops and advertises its schedule.

Note that a node does not aggregate data from its children before sending them to its parent. Which means that the transitions between idle, transmit, receive activities are frequent and increase with the network density. This may impact the data gathering delays and the energy consumed by a node. This solution does not support immediate acknowledgment. In [15], we proposed SERENA a node coloring algorithm that increases energy efficiency by avoiding collisions, reducing overhearing, allowing nodes to sleep to save energy and enabling spatial reuse of the bandwidth. This algorithm can support various types of communication (unicast with immediate acknowledgement, broadcast). It can also be optimized for data gathering applications: by scheduling the children before their parent, each parent can aggregate the data gathered from its children before transmitting them to its own parent. In this paper, we show how SERENA overhead can be reduced in dense WSNs. We show new performance results and establish new theoretical results.

The theoretical performance of coloring algorithms has been studied in the literature, in general for 2-hop coloring. Because minimum 2-hop coloring is NP-hard, the focus has been on evaluating the performance of *approximation* algorithms, whose objective is to not find the optimal coloring, but at least to be reasonably close. A typical approximation algorithm for coloring is *FirstFit*: *FirstFit* [18] sequentially assigns colors to nodes; it chooses for each node the first available color. Depending of the order in which the nodes are colored, different results (with varied performance) are obtained. Notice that SERENA is a practical distributed protocol that implements an efficient version of the algorithm *FirstFit* for a 3-hop coloring, with a specific order induced by node priority. A whole class of results expresses properties related to the *worst-case* performance of approximation algorithms: they typically prove that, for any input graph of a given family, the coloring obtained by a given algorithm uses at most α times the optimal number of colors. Such an algorithm is denoted an α -approximation algorithm.

Genetic coloring algorithms exist also, like [16]. More generally, we can classify the coloring algorithms according to five criteria:

- centralized/distributed,
- deterministic/probabilistic,
- vertex/edge coloring,
- types of communication supported,
- optimized for WSN or not.

Table 1: Classification of coloring algorithms

	central. distrib.	determinist. probabilist.	vertex edge	communication	optimized
TRAMA	distrib.	determin.	edge	unicast	
FLAMA	distrib.	determin.	edge	unicast in a tree	data gathering
ZMAC-DRAND	distrib.	random.	vertex	unicast+broadcast	
TDMA-ASAP	central.*	determin.	vertex	unicast in a tree	data gathering
FlexiTP	distrib.	determin.	edge	unicast+broadcast	data gathering
SERENA	distrib.	determin.	vertex	unicast*+broadcast	here*

Legend: unicast*: unicast with immediate acknowledgement;
 here*: optimized in this paper for dense WSNs.
 central*: only the centralized version is described in [13].

4 Complexity study

In this section, we will demonstrate that h -hop ($h \geq 1$) vertex coloring is a NP-complete problem. This assertion is given by Theorem 1:

Theorem 1 *The decision problem of h -hop ($h \geq 1$) vertex coloring is NP-complete.*

It has been proved in [1] that the 1-hop vertex coloring problem is NP-complete. We now prove the NP-completeness for $h \geq 2$. Our methodology to prove Theorem 1 is based on the following steps:

- First, we define the associated decision problem of the h -hop vertex coloring of a graph G which is: can this graph G be colored with k colors (k is a positive integer smaller than the vertex number), such that two nodes that are l -hop neighbors with $1 \leq l \leq h$ have not the same color? This problem is called **k -color h -hop coloring**.

- Second, we prove the following lemma:

Lemma 1 *The k -color h -hop coloring problem is in NP, for $h \geq 2$.*

Proof: Given a h -hop coloring of G , $h \geq 2$ we can check in polynomial time ($O(n^h)$, where n is the number of nodes) that the coloring produced by a given h -hop algorithm does not assign the same color to two nodes that are p -hop neighbors with $1 \leq p \leq h$, and that the total number of colors is k . ■

- Third, we define a reduction f of the k -color 1-hop vertex coloring problem that has been shown NP-complete in [1], to a k' -color h -hop coloring problem, with k' a positive integer smaller than the nodes number. This reduction should be polynomial in time. Based on this reduction, we then prove the following equivalence:

Equivalence 1 *A k' -color h -hop vertex coloring problem has a solution if and only if a k -color 1-hop vertex coloring problem has a solution.*

In general, to demonstrate that a problem is NP-complete based on another problem that is known to be NP-complete, the required reduction should allow

us to show that we can find a solution for the first problem if and only if we can find a solution for the second problem. In our case, we should transform a graph $G(V, E)$ to a graph $G' = (V', E')$, and show that finding a k -color 1-hop coloring of $G(V, E)$ can lead to find a k' -color h -hop coloring of $G'(V', E')$ and vice versa, proving Equivalence 1.

Finding a valid k' -color h -hop coloring of $G'(V', E')$ based on a valid k -color 1-hop coloring of $G(V, E)$ requires that for any two nodes v_1 and v_2 in G , the following constraints are met:

Constraint 1 *Any two nodes v_1 and v_2 , 1-hop away in G must be at most h -hop away in G' .*

Thus, these two nodes that are assigned different colors by a 1-hop coloring of G are also assigned different colors by a h -hop coloring of G' .

Constraint 2 *Similarly, any two nodes v_1 and v_2 , 2-hop away in G must be at least $h + 1$ -hop away in G' .*

Consequently, the reduction separates any two nodes v_i and v_j of the initial graph G by a set of nodes such that the distance between them in the new graph G' is at most h hops. V' is obtained from V by adding new nodes. The definition of these new nodes depends on h parity. An example is depicted in Figure 1 for $h=5$.

In order to simplify the determination of k' , the number of colors used for the h -hop coloring of G' , we add to the transformation a new constraint:

Constraint 3 *Any two nodes in $V' \setminus V$ must be at most h -hop away. Moreover, any two nodes $u \in V$ and $v \in V' \setminus V$ must be at most h -hop away.*

Thus, a h -hop coloring of G' cannot reuse a color in $V' \setminus V$. Similarly, no node in V can reuse a color used by a node in $V' \setminus V$.

The transformation proceeds as follows, depending on the parity of h :

1. **First case: h is odd:** see the example $h = 5$ illustrated in Figure 1.

- *Definition of V'*

In this case, we first define $h' = (h - 1)/2$ bijective functions f_i with $i \in [1, h']$:

$$\begin{aligned} f_i : V &\rightarrow U_i \\ v &\mapsto f_i(v) = u_i \end{aligned}$$

Now, we can define the set V' , $V' = V \cup_i U_i \cup \{u_0\}$, $\forall i \in [1, h']$, where u_0 is a new node introduced to meet constraint C3. Node u_0 is a neighbor of all nodes in $U_{h'}$.

- *Definition of E'*

To build the set E' , four types of links are introduced. We then have: $E' = E_1 \cup E_2 \cup E_3 \cup E_4$ where:

- $E_1 = \{(v, u_1) \text{ such that } v \in V \text{ and } u_1 = f_1(v) \in U_1\}$. Thus, each node v_i from the initial graph G is linked to u_{i1} , its associated node from the set U_1 (see links of type e_1 in Figure 1).

- $E_2 = \cup_{l \in [1, h'-1]} \{(u_l, u_{l+1}) \text{ such that } u_l \in U_l \text{ and } u_{l+1} \in U_{l+1} \text{ and } f_l^{-1}(u_l) = f_{l+1}^{-1}(u_{l+1})\}$.
Each node u_{ij} from U_j is linked to node $u_{i,j+1}$ from U_{j+1} associated with the same node $v \in V$, (see links of type e_2).
- $E_3 = \{(u_{h'}, v_{h'}) \text{ such that } u_{h'} \text{ and } v_{h'} \in U_{h'} \text{ and } (f_{h'}^{-1}(u_{h'}), f_{h'}^{-1}(v_{h'})) \in E\}$.
Two nodes $u_{ih'}$ and $v_{ih'}$ from $U_{h'}$ are linked to each other if their corresponding nodes in V are linked in E (see links of type e_3).
- $E_4 = \{(u, u_0) \text{ with } u \in U_{h'}\}$. Finally, the nodes in $U_{h'}$ are linked to the conjunction node u_0 , which was added to respect the constraint **C3** (see links of type e_4).

This construction is polynomial in time. An example of graphs G and G' with $h = 5$ is illustrated in Figure 1.

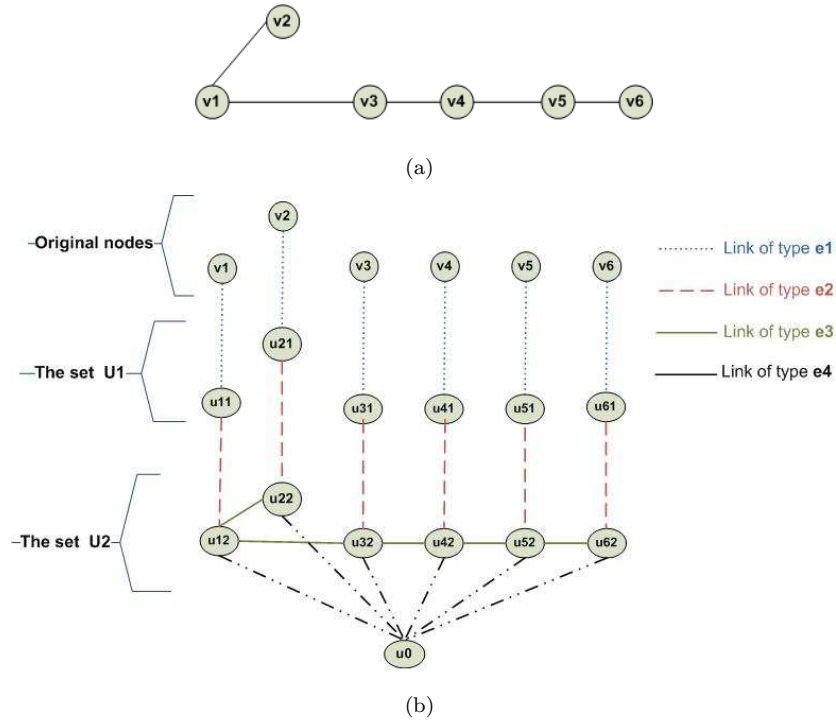


Figure 1: Example of: (a) Graph G ; (b) Transformed graph G' for $h = 5$.

2. **Second case: h is even:** see the example $h = 6$ illustrated in Figure 2.

To build the graph G' in the case h is an even number, the same constraints **C1**, **C2** and **C??** are considered. However, as the number of links to introduce between two nodes in the initial graph G depends on the number of nodes to introduce between them, and thus, on the h parity, we outline some differences in the reduction.

• *Definition of V'*

In this case, let $h' = h/2$, we first define $h' - 1$ bijective functions f_i with

$$\begin{aligned}
& i \in [1, h' - 1]: \\
& f_i : V \rightarrow U_i \\
& \quad v \mapsto f_i(v) = u_i \\
& \text{and the bijective function } f_{h'}: \\
& f_{h'} : E \rightarrow U_{h'} \\
& \quad e \mapsto f_{h'}(e) = u_{h'}
\end{aligned}$$

Now, we can define the set V' , $V' = V \cup_i U_i, \forall i \in [1, h']$.

• *Definition of E'*

To build the set E' , five types of links are introduced. We then have: $E' = E_1 \cup E_2 \cup E_3 \cup E_4 \cup E_5$ where:

- $E_1 = \{(v, u_1) \text{ such that } v \in V \text{ and } u_1 = f_1(v) \in U_1\}$. Thus, each node v_i from the initial graph G is linked to u_{i1} , its associated node from the set U_1 (see links of type e_1 in Figure 2).
- $E_2 = \cup_{l \in [1, h'-2]} \{(u_l, u_{l+1}) \text{ such that } u_l \in U_l \text{ and } u_{l+1} \in U_{l+1} \text{ and } f_l^{-1}(u_l) = f_{l+1}^{-1}(u_{l+1})\}$. Each node u_{ij} from U_j is linked to node u_{ij+1} from U_{j+1} associated with the same node $v \in V$, (see links of type e_2).
- $E_3 = \{(u_{h'}, v_{h'}) \text{ such that } u_{h'} \text{ and } v_{h'} \in U_{h'} \text{ and } (f_{h'}^{-1}(u_{h'}), f_{h'}^{-1}(v_{h'})) \in E\}$. Two nodes $u_{ih'}$ and $v_{ih'}$ from $U_{h'}$ are linked to each other if their corresponding nodes in V are linked in E (see links of type e_3).
- $E_4 = \{(u_{h'-1}, u_{h'}), (u_{h'}, v_{h'-1}) \text{ such that } u_{h'-1} \text{ and } v_{h'-1} \in U_{h'-1} \text{ and } u_{h'} \in U_{h'} \text{ with } f_{h'}^{-1}(u_{h'}) = f_{h'-1}^{-1}(u_{h'-1})\}$. In other words, for each couple of nodes $u_{h'-1}$ and $v_{h'-1}$ in $U_{h'-1}$, we associate a node $u_{h'} \in U_{h'}$ if and only if $(f_{h'-1}^{-1}(u_{h'-1}), f_{h'-1}^{-1}(v_{h'-1})) \in E$. We then link $u_{h'}$ with $u_{h'-1}$ and $v_{h'-1}$ (see links of type e_4).
- $E_5 = \{(u_i, u_j) \text{ such that } u_i \text{ and } u_j \in U_{h'} \text{ and } i \neq j\}$. This means that the nodes in $U_{h'}$ form a complete graph (see links of type e_5).

This construction is polynomial in time. An example of graphs G and G' with $h = 6$ is illustrated in Figure 2.

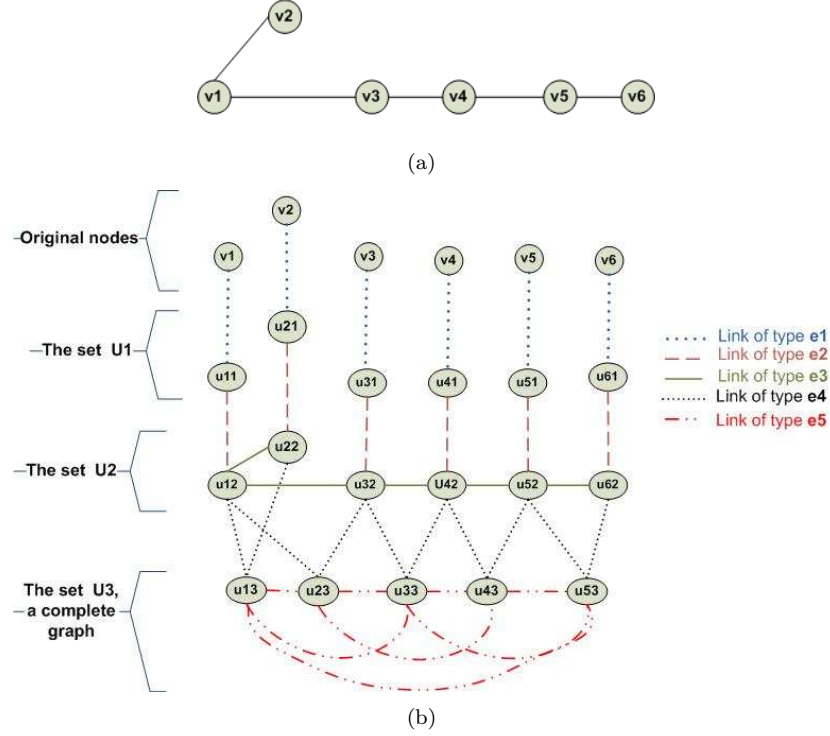


Figure 2: Example of: (a) Graph G ; (b) Transformed graph G' for $h = 6$.

We now show, that the k' -color h -hop vertex coloring problem, for $h \geq 2$ has a solution if and only if the k -color 1-hop vertex coloring problem has a solution. We define the following Lemma:

Lemma 2 *All nodes in $G' \setminus G$ are at most $(h - 1)$ -hop neighbors.*

Proof: By construction of G' . ■

Lemma 3 *To perform a h -hop coloring of the graph G' , the number of colors taken by nodes in $V' \setminus V$ is equal to m with m is equal to $(h' \times n) + 1$ if h is an odd number, and $(h' \times n) - 1$ if h is an even number, where n is the number of nodes in G .*

Proof: From Lemma 2, all nodes in $V' \setminus V$ are at most $(h - 1)$ -hop neighbors. Hence, no color can be reused with h -hop coloring ($h \geq 2$) of G' . By construction of G' , the number of these nodes is equal to $(h' \cdot n) + 1$ if h is an odd number, and $(h' \cdot n) - 1$ if h is an even number. ■

Lemma 4 *Any color used for a node in V by a h -hop coloring of $G' = (V', E')$ cannot be used by any node in $V' \setminus V$.*

Proof: Let us consider any node $u \in V' \setminus V$ and any node $v \in V$. Let $d(v, u)$ be the number of hops between v and u . By construction, $d(v, u) = d(v, f_1(v)) + d(f_1(v), u)$. From Lemma 2, $d(f_1(v), u) \leq h - 1$ and since $f_1(v)$ is a neighbor of v , we get $d(u, v) \leq h$. Hence, u and v must use different colors with h -hop coloring of G' for $h \geq 2$. ■

To complete the proof of Theorem 1, we now prove the following Lemma

Lemma 5 $G(V, E)$ has a one-hop coloring with k colors if and only if $G'(V', E')$ has a h -hop coloring with k' colors, with $h \geq 2$.

Proof: Given a one-hop coloring of G with k colors, we want to show that there exists a h -hop coloring of G' with k' colors as follows. According to Lemma 3, this h -hop coloring will use k colors for nodes in V and m colors for nodes in $V' \setminus V$ with m is equal to $(h' \cdot n) + 1$ if h is an odd number, and $(h' \cdot n) - 1$ if h is an even number. From Lemma 4, colors used in V cannot be reused in $V' \setminus V$. It follows that there exists a h -hop coloring of G' with exactly $k' = k + m$ colors.

Now, let us assume that we have a h -hop coloring of G' with k' colors and we want to show that we can find a one-hop coloring of G with k colors. From Lemma 3, m colors are needed for h -hop coloring of nodes in $V' \setminus V$. From Lemma 4, colors used in V cannot be reused in $V' \setminus V$. Hence, $k' - m$ colors are used to color the nodes in V . Moreover, since any two nodes v_1 and v_2 in V that are one-hop neighbors in G are h -hop neighbors in G' , by construction of G' , we deduce that no two one-hop neighbors in G use the same color. Hence, we can find a valid one-hop coloring of G with $k = k' - m$ colors. ■

5 Coloring optimized for dense networks

The goal of this section is to make possible the use of the coloring algorithm in dense wireless sensor networks. We show how to reduce the overhead in terms of memory required to store the data maintained by each node and bandwidth used by exchanging messages between neighbors. Of course this overhead reduction must not decrease the performance of the coloring algorithm: the number of colors and the number of rounds needed to color all network nodes must be the same. First, we give the basic principles of 3-hop coloring.

5.1 Basic principles of 3-hop node coloring

As previously said, 3-hop node coloring is necessary to support unicast transmissions with immediate acknowledgement in case of general communications, where any node is likely to exchange information with any neighbor node. In SERENA, any node u proceeds as follows to color itself:

1. Node u characterizes the set $\mathcal{N}(u)$ of nodes that cannot have the same color as itself. This set depends on the type of:
 - *communications supported*: unicast and/or broadcast;
 - *application*: general where any node is likely to exchange information with any neighbor node or on the contrary tree type where a node exchanges information only with its parent and its children in the data gathering tree;
 - *acknowledgement for unicast transmissions*: immediate or deferred.

In our case, the set $\mathcal{N}(u)$ is the set of neighbors up to 3-hop.

2. Node u computes its priority. This priority consists of two components: the most important one, denoted *prio*, is equal to the number of nodes up to two-hop from u . The second one denotes the address of the node. By definition, node u is said to have a priority higher than node v if and only if:
 - either $prio(u) > prio(v)$;
 - or $prio(u) = prio(v)$ and $adress(u) < adress(v)$.
3. Node u applies the two following rules:
 - **Rule R1**: Node u colors itself if and only if it has a priority strictly higher than any uncolored node in $\mathcal{N}(u)$.
 - **Rule R2**: To color itself, node u takes the smallest color unused in $\mathcal{N}(u)$.

5.2 Motivations and optimization principles

This distributed coloring algorithm proceeds by iterations or rounds, where nodes exchange their *Color* message. In a naive implementation, this message would include the priority and color of 1) the node u itself, 2) its 1-hop neighbors in $\mathcal{N}(u)$, as well as 3) its 2-hop neighbors in $\mathcal{N}(u)$. The data locally maintained

by any wireless sensor would include these data as well as the priority and color of any 3-hop neighbor. It is well known that the average number of nodes in the neighborhood up to 2-hop is in $O(2^2 \cdot \Pi \cdot \text{density} \cdot R^2)$, where *density* stands for the number of nodes per square meter and R is the transmission range. Such an overhead can be unacceptable for wireless sensors with limited storage and processing capabilities as well as low energy. Dense networks with limited bandwidth, low energy and a short MAC frame size become challenging for a coloring algorithm. That is why, we propose in this paper an optimization of the coloring algorithm reducing the size of *Color* messages exchanged, the size of data structures maintained, while keeping a low complexity. We also show that this overhead reduction does not increase the convergence time of the coloring algorithm. More precisely, we have the following property:

Property 1 *Let u be any node coloring itself at round $r > 0$ and $v \in \mathcal{N}(u)$ be the next node to color itself. Node v colors itself at round $r + h$, where v is a h -hop neighbor of u , with $1 \leq h \leq 3$.*

The optimization principles are based on the following remarks:

- It is necessary that any node u knows the highest priority of its uncolored neighbors up to 3-hop in order to apply Rule R1. Furthermore, node u must send information concerning itself, its 1-hop and 2-hop neighbors to let its one-hop neighbors know information about their 1-hop, 2-hop and 3-hop neighbors. Hence, node u must send its priority, the highest priority of its 1-hop neighbors as well as the highest priority of its 2-hop neighbors. However, this would not suffice: Property 1 would be violated. Node v , 2-hop away from node u colored at round r would not know at round $r + 2$ that it has the highest priority. Hence, the TWO highest priorities at respectively 1-hop and 2-hop must be maintained and sent. The highest priority at 3-hop is locally computed.
- Similarly for the color, node u must know the colors already used in its neighborhood up to 3-hop. However, it does not matter u to know which node has which color, but only which colors are taken at 1-hop, 2-hop and 3-hop respectively. That is why, we use the fields *color – bitmap1*, *color – bitmap2* and *color – bitmap3* for the colors used at 1-hop, 2-hop and 3-hop respectively.

5.3 Optimized coloring algorithm

5.3.1 The *Color* message

The format of message *Color* is depicted hereafter:

```

0                               1                               2                               3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-----+-----+-----+-----+-----+-----+-----+-----+
|  Type      |  Originator Address      |  color      |
+-----+-----+-----+-----+-----+-----+
|  prio      |  size_max2_ | max2_prio1
|            |  prio1     |
+-----+-----+-----+-----+-----+
|            |  ....
+-----+-----+-----+-----+-----+-----+
|  size_max2_ |  max2_prio2
|  prio2     |
+-----+-----+-----+-----+-----+-----+
|            |  .... | size_bitmap1 |
+-----+-----+-----+-----+-----+-----+
|color_bitmap1  ...
+-----+-----+-----+-----+-----+-----+
|  size_bitmap2 | color_bitmap2 ...
+-----+-----+-----+-----+-----+-----+

```

By definition, for any node u , $prio(u)$ is equal to the sum of the numbers of 1-hop neighbors of its 1-hop neighbors. This computation is done during the initialization of the coloring algorithm. We also define $max2_prio1(u)$ as:

- the two highest priorities of the uncolored 1-hop neighbors of u , if two such nodes exist;
- the priority of the only one uncolored 1-hop neighbor, if only one such node exists;
- empty, if none exists.

Similarly, we define $max2_prio2(u)$ as the two highest priorities of the uncolored 1-hop neighbors of the 1-hop neighbors of u , if they exist. The variable $max_prio3(u)$ is defined as the highest priority of the uncolored two-hop neighbors of the 1-hop neighbors of u . The computation of $max2_prio1(u)$, $max2_prio2(u)$ and $max_prio3(u)$ is done from the *Col* messages received during the current round. The values computed are inserted in the *Color* message sent by node u .

Notice that the size of the *Color* message is variable for two reasons. Since $max2_prio1$ (resp. $max2_prio2$) can contain 0, 1, or 2 priority values, its size is given in the field $size_max2_prio1$ (resp. $size_max2_prio2$). Furthermore, the size of the bitmaps used at 1-hop and 2-hop respectively depends on network topology. We introduce the fields $size_bitmap1$ and $size_bitmap2$ to contain these sizes.

5.3.2 Processing

With this optimization, Rule R1 becomes: Any node u colors itself if and only if $Priority(u) = \max\{max2_prio1(u), max2_prio2(u), max_prio3(u)\}$.

Rule R2 becomes: Node u selects the smallest color unused in $color_bitmap1(u) \cup color_bitmap2(u) \cup color_bitmap3(u)$.

Notice that this color should also not be used by heard nodes (nodes with which there is no symmetric link). This, in order to avoid color conflicts.

If at a round r_i of the coloring algorithm, node u does not receive a message from its 1-hop neighbor v , it uses the information received from v at round $r - 1$.

The coloring algorithm ends when node u as well as all its 1-hop, 2-hop and 3-hop neighbors are colored.

When a node computes $max2_prio1$, $max2_prio2$ and max_prio3 from the values received in the *Color* messages, it discards any value corresponding to an already colored node.

6 Impact of priority assignment on grid coloring

We now consider grid topologies. Such topologies exhibit regularity properties. We want to see if SERENA is able to preserve such regularity in the coloring. Hence, we evaluate the performance of SERENA coloring algorithm in various grid topologies by simulation. Does the coloring keep some regularity of the grid topology? Can we find on the colored topology a color pattern that is reproduced several times? As said in Section 2, performance of SERENA is evaluated by the number of colors and the number of rounds needed to color all network nodes.

In all the grids considered, we assume a transmission range higher than or equal to the grid step in order to get the radio connectivity. For simplicity reasons, the transmission range R is expressed as a function of the grid step, that is considered as the unit. Hence, $R \geq 1$. Moreover, we assume an ideal environment where any node u is able to communicate via a symmetric link to any node v such that $d(u, v) \leq R$, where $d(u, v)$ denotes the euclidian distance from u to v .

6.1 Impact of node number

We first assume a radio range equal to the grid step. In other words, the neighbors at the grid sense are also neighbors in the communication sense. We first consider a 10x10 grid with 100 nodes. Assuming a priority assignment as described in Section 5, SERENA obtains 13 colors as shown in Table 2. If now, we consider a 30x30 grid with 900 nodes, SERENA gets 16 colors for the same priority assignment as previously. Why do not we have the same number of colors? The reason is given by the node priority assignment. All nodes that are not border ones have the same number of 1-hop and 2-hop neighbors respectively. Hence, ties are broken by means of node address. A random node address assignment leads to a non-optimal number of colors. Table 2 provides the number of colors and rounds obtained for different values of the transmission range. We can conclude that the number of colors strongly depends on the density of nodes and weakly on the number of nodes. The number of rounds depends on the number of nodes.

Table 2: Number of colors obtained by SERENA with a random priority assignment for various transmission ranges and grid sizes.

Radio range	grid size	colors	rounds
1	10x10	13	65
	20x20	14	86
	30x30	16	97
1.5	10x10	26	109
	20x20	28	157
	30x30	28	179
2	10x10	36	171
	20x20	41	257
	30x30	44	298

6.2 Impact of priority assignment

Another address assignment produces another coloring using 8 colors for the 10x10 grid and a radio range of 1, as shown in Table 3, where the '*' symbol highlights the optimality of the number of colors used.

Table 3: Number of colors obtained by SERENA for various transmission ranges, grid sizes and priority assignments.

Radio range	grid size	priority assignment	colors
1	10x10	line	8*
		column	8*
		diagonal	8*
		distance to origin	8*
	20x20	line	15
		column	15
		diagonal	8*
		distance to origin	8*
2	10x10	line	30
		column	30
		diagonal	28
		distance to origin	30
	20x20	line	33
		column	33
		diagonal	29
		distance to origin	30

The question is can we find a priority assignment in grid topologies such that the coloring does not depend on node number but only on radio range? Moreover, can we find a color pattern that can tile the whole topology?

7 Theoretical results in grid topologies

The goal of this section is to determine the optimal color number for the 3-hop coloring of grids with various transmission ranges. In this paper, we only study grid colorings that reproduce periodically a color pattern. As a consequence, the optimality of a coloring obtained is only true in the class of periodic colorings.

7.1 Notation and definitions

We adopt the following notation and definitions: Let R denote the transmission range.

Definition 7 For any integer $g > 0$, for any node u that is not a border node in the grid, its g -square is defined as the square centered at u , with a square side equal to $2g$. The g -square contains exactly $8g$ nodes.

Definition 8 For any integer $g > 0$, for any node u that is not a border node in the grid, its g -diamond is defined as the diamond centered at u , with a diagonal length equal to $2g$. The g -diamond contains exactly $4g$ nodes.

Definition 9 A basic color pattern is the smallest color pattern that can be used to periodically tile the whole grid.

Definition 10 A basic color pattern is said optimal if and only if it generates an optimal periodic coloring of the grid.

7.2 Properties independent of the transmission range

We can now give properties that do not depend on the transmission range value.

Property 2 Any color permutation of an optimal basic pattern is still valid and optimal.

Proof: With the color permutation, no two nodes that are 1-hop, 2-hop or 3-hop neighbors have the same color. Hence, the permuted coloring obtained is still valid. The permutation keeps unchanged the number of colors. Hence the coloring is still optimal. ■

Property 3 Given an optimal color pattern of any grid and the color at node of coordinates $(0,0)$, we can build a 3-hop coloring of a grid topology based on this pattern such that the color of node $(0,0)$ is the given color.

Proof: The coloring of the grid is obtained by setting the optimal color pattern in such a way that the color of node $(0,0)$ is the given color. The pattern is then reproduced to tile the whole topology. ■

Property 4 Knowing an optimal color pattern of its grid and the color at node of coordinates $(0,0)$, each node can locally determine its own color based on its coordinates (x,y) . The 3-hop coloring obtained for the grid is optimal in terms of colors and rounds.

Proof: The 3-hop coloring obtained for the grid only requires each node to know the color of node $(0,0)$, its coordinates in the grid and the optimal pattern to apply. Hence, it is optimal in terms of colors and rounds. ■

7.3 Optimal coloring for various transmission ranges

We now prove the optimal coloring of grids for various transmission ranges: $R = 1$, $R = 1.5$ and $R = 2$.

7.3.1 Transmission range = grid step

In this section, we assume a transmission range equal to the grid step.

Definition 11 *In a grid with a transmission range equal to the grid step, a non-border node is a node in the grid that has exactly 4 1-hop neighbors, 8 2-hop neighbors and 12 3-hop neighbors.*

For any non-border node u , its neighborhood up to 3-hop, $\mathcal{N}(u)$ is illustrated in Figure 3, where nodes a, b, c, d denote the 1-hop neighbors, nodes e to l the 2-hop neighbors and nodes m to y the 3-hop neighbors.

```

      r
    s h q
  t i b g p
v j c u a f o
  w k d e n
    x l m
      y

```

Figure 3: Neighborhood up to 3-hop of node u , R =grid step.

a) Optimal color pattern in a grid

Our methodology consists in providing a valid coloring of any non-border node u of the grid as well as all nodes up to 3-hop from u . Then we use the coloring obtained to color the whole grid.

Theorem 2 *The optimal 3-hop coloring of a grid topology with a transmission range equal to the grid step requires exactly 8 colors. An optimal color pattern is given in Figure 4.*

```

      4
    5 8 3
  4 7 2 6 4
5 8 3 1 5 8 3
  2 6 4 7 2
    5 8 3
      2

```

Figure 4: Coloring of node u and its neighborhood up to 3-hop, R = grid step

Proof: Let u be any non-border node. The proof is done in three steps:

1. *First step: At least 8 colors are needed to color node u and $\mathcal{N}(u)$.*
First substep: node u itself requires a color, denoted 1 for simplicity reasons, that is not used by any other node in $\mathcal{N}(u)$.
Second substep: any 1-hop neighbor of u is 2-hop neighbor of any other 1-hop neighbor of u . It follows that any 1-hop neighbor requires a distinct color. We then get 4 colors, denoted 2 to 5, for these 1-hop neighbors.
Third substep: we now consider the 2-hop neighbors. Notice that they are at most 3-hop away of any 1-hop neighbor of u . Hence, they cannot reuse the colors 2 to 5. Moreover, nodes g and k that are 4-hop away can use the same additional color 6. Similarly, nodes e and i , 4-hop away but 2-hop away from color 6, use an additional color 7. The remaining 2-hop neighbors, f , h , j and l , 4-hop away, can use the same color. This color would be at most 3-hop away from any already used color. Hence, an additional color 8 is needed. Hence, at least 8 colors are needed.
2. *Second step: We build a valid coloring of u and $\mathcal{N}(u)$ with 8 colors.* Each 3-hop neighbor of u is 4-hop away from either two or three 1-hop neighbors of u . Hence, it can reuse their colors. We consider first 3-hop nodes that have the least color choice, namely nodes like p and q . Each of them has the same choice between two colors of two 1-hop neighbors of u , namely c and d , from which they are 4-hop away. We color first p with an already used color, 4 for instance. We then have only one possibility for node q , color 3. We proceed similarly for nodes s and t with colors 5 and 4, then for nodes w , x with colors 2 and 5 and finally for nodes m and n with colors 3 and 2. Now, we consider the remaining three-hop neighbors of u , namely nodes o , r , v and y . At the beginning of this step, these nodes had 3 choices (the color of 3 1-hop neighbors of u), but as their 2-hop neighbors are now colored, only one choice remains valid: we take this remaining color. Hence, no additional color is introduced. We have used exactly eight colors to color any node u and its neighborhood up to 3-hop, as depicted in Figure 4.
3. *Third step: This coloring can be regularly reproduced to constitute a valid coloring of the grid.* We consider the origin at node u . Observing the coloring depicted in Figure 4, we notice that any color found at coordinates (x, y) is also found:
 - in the same line, at nodes $(x + 4, y)$ and $(x - 4, y)$,
 - in the same column, at nodes $(x, y + 4)$ and $(x, y - 4)$,
 - in the same diagonal, at nodes $(x + 2, y - 2)$, $(x + 2, y + 2)$, $(x - 2, y + 2)$ and $(x - 2, y - 2)$.

We then get a coloring of the grid with exactly 8 colors. We prove that this coloring is valid by checking that any color 1 to 8 is reused neither 1-hop, nor 2-hop, nor 3-hop away. It follows that this coloring is valid. Hence, an optimal coloring requires exactly 8 colors. ■

Property 5 *A basic color pattern of the grid with a transmission range equal to the grid step is given by:*

7 2 6 4
3 1 5 8

Proof: We can extract from the coloring of the grid a basic color pattern containing exactly eight colors. This pattern is periodically reproduced to generate the coloring of the grid. Each color is reproduced according to the rules given previously. ■

b) *From an optimal color pattern to an optimal 3-hop coloring of a grid*

We now show that we can tile the grid topology by reproducing the color pattern previously found. More precisely, a node of coordinates (x,y) in the grid can deduce its color in an optimal 3-hop grid coloring defined as said in property 3.

Theorem 3 *Let \mathcal{P} be an optimal color pattern for any grid and c_0 the color of node of coordinates $(0,0)$ in the grid, with $1 \leq c_0 \leq 8$. The color of any point with coordinates (x,y) in the grid is given by the color of coordinates (x',y') in the pattern \mathcal{P} , where the point of color c_0 is chosen as the origin and with $x' = x \text{ modulo } 4$ and $y' = y \text{ modulo } 2$.*

Proof: We position the optimal color pattern in such a way that c_0 is the color of node of coordinates $(0,0)$ in the grid, we then reproduce:

- in each line, the color of (x,y) at nodes $(x+4,y)$ and $(x-4,y)$,
- in each column, the color of (x,y) at nodes $(x,y+4)$ and $(x,y-4)$,
- in each diagonal, the color of (x,y) at nodes $(x+2,y-2)$, $(x+2,y+2)$, $(x-2,y+2)$ and $(x-2,y-2)$.

Hence, the theorem. ■

7.3.2 Transmission range = 1.5 x grid step

Assuming a transmission range equal to 1.5 grid step, we notice that each node u that is not a border one has exactly at the communication sense:

- 8 1-hop neighbors: such nodes belong to the 1-square.
- 16 2-hop neighbors: such nodes belong to the 2-square.
- 24 3-hop neighbors: such nodes belong to the 3-square.

For any non-border node u , its neighborhood up to 3-hop, $\mathcal{N}(u)$ is illustrated in Figure 5, where nodes a, b, c, d, e, f, g, h denote the 1-hop neighbors, nodes i to y the 2-hop neighbors and nodes z to w' the 3-hop neighbors.

Theorem 4 *An optimal coloring of a grid with a transmission range equal to 1.5 times the grid unit needs exactly 16 colors. An example of optimal coloring is given by the following color pattern:*

Proof: Let u be any non-border node. The proof is done in three steps:

```

k' j' i' h' g' f' e'
l' p o n m l d'
m' q d c b k c'
n' r e u a j b'
o' s f g h i a'
p' t v w x y z
q' r' s' t' u' v' w'

```

Figure 5: Neighborhood up to 3-hop of node u , $R = 1.5$.

```

9 16 7 8 9 16 7
13 10 11 12 13 10 11
3 14 5 4 3 14 5
2 15 6 1 2 15 6
9 16 7 8 9 16 7
13 10 11 12 13 10 11
3 14 5 4 3 14 5

```

Figure 6: Coloring of u and its neighborhood up to 3-hop, $R=1.5$.

1. *First step: At least 16 colors are needed to color node u and $\mathcal{N}(u)$.*
First substep: node u itself requires a color, denoted 1 for simplicity reasons, that is not used by any other node in $\mathcal{N}(u)$.
Second substep: any 1-hop neighbor of u is 2-hop neighbor of any other 1-hop neighbor of u . It follows that any 1-hop neighbor requires a distinct color. We then get 8 colors, denoted 2 to 9, for these 1-hop neighbors.
Third substep: we now consider the 2-hop neighbors. Notice that they are at most 3-hop away of any 1-hop neighbor of u . Hence, they cannot reuse the colors 2 to 9. Moreover, nodes p , l , y and t that constitute the four vertices of the 2-square, are 4-hop away, they can use the same additional color 10. If we consider the upper side of this square, nodes o , n and m are at most 2-hop away, they cannot reuse the same color. Three additional colors are needed: colors 11, 12 and 13. We can now color the lower side of this 2-square by reproducing the colors used on the upper side, 4-hop away. We now consider the left side of this 2-square occupied by nodes q , r and s . These nodes are at most 2-hop away, they cannot reuse the same color. Three additional colors are needed: colors 14, 15 and 16. We can now color the right side of this 2-square by reproducing the colors used on the left side, 4-hop away. Hence, at least 16 colors are needed.
2. *Second step: We build a valid coloring of u and $\mathcal{N}(u)$ with 16 colors.*
 Concerning the 3-hop neighbors, they occupy the 3-square. We color the upper line of this square by copying the line 4-hop lower. Similarly, the lower line of this square is colored by copying the colors used by the line 4-hop higher. We proceed similarly with the columns: the left column of the square receives the colors of the column 4-hop right. The right column of the square receives the colors of the column 4-hop left. We have completed the coloring without using additional colors. This coloring uses exactly 16 colors, as depicted in Figure 6.

3. *Third step: This coloring can be regularly reproduced to constitute a valid coloring of the grid.* We consider the origin at node u . Observing the coloring depicted in Figure 6, we notice that any color found at coordinates (x, y) is also found:

- in the same line, at nodes $(x + 4, y)$ and $(x - 4, y)$,
- in the same column, at nodes $(x, y + 4)$ and $(x, y - 4)$,
- in the same diagonal, at nodes $(x + 4, y - 4)$, $(x - 4, y - 4)$, $(x - 2, y + 2)$ and $(x - 2, y - 2)$.

We then get a coloring of the grid with exactly 16 colors. We prove that this coloring is valid by checking that any color 1 to 16 is reused neither 1-hop, nor 2-hop, nor 3-hop away. It follows that this coloring is valid. Hence, an optimal coloring requires exactly 16 colors. ■

Property 6 *A basic color pattern of the grid with a transmission range equal to 1.5 times the grid step is given by:*

10	11	12	13
14	5	4	3
15	6	1	2
16	7	8	9

Proof: We can extract from the coloring of the grid a basic color pattern containing exactly 16 colors. This pattern is periodically reproduced to generate the coloring of the grid. Each color is reproduced according to the rules given previously. ■

7.3.3 Transmission range = 2 x grid step

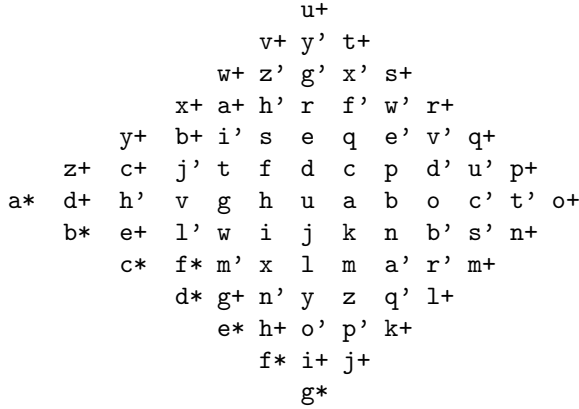
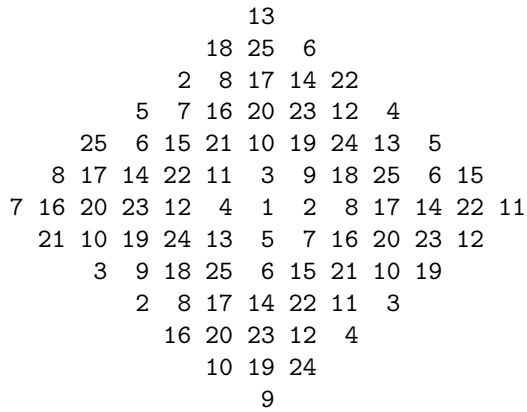
Assuming a transmission range equal to 2 grid units, we notice that each node u that is not a border one has exactly at the communication sense:

- 12 1-hop neighbors: such nodes belong to the 1-diamond or 2-diamond, totalizing $4+8=12$ nodes.
- 28 2-hop neighbors: such nodes belong to the 3-diamond or 4-diamond, totalizing $12+16=28$ nodes.
- 44 3-hop neighbors: such nodes belong to the 5-diamond or 6-diamond, totalizing $20+24=44$ nodes.

For any non-border node u , its neighborhood up to 3-hop, $\mathcal{N}(u)$ is illustrated in Figure 7.

Theorem 5 *An optimal coloring of a grid with a transmission range twice the grid unit needs exactly 25 colors. An example of optimal coloring is given by the following color pattern:*

Proof: Let u be any non-border node. The proof is done in three steps:

Figure 7: Neighborhood up to 3-hop of node u , $R = 2$.Figure 8: Coloring of u and its neighborhood up to 3-hop, $R=2$.

1. *First step: At least 25 colors are needed to color node u and $\mathcal{N}(u)$.*
First substep: node u itself requires a color, denoted 1 for simplicity reasons, that is not used by any other node in $\mathcal{N}(u)$.
Second substep: any 1-hop neighbor of u is 2-hop neighbor of any other 1-hop neighbor of u . It follows that any 1-hop neighbor requires a distinct color. We then get 12 colors, denoted 2 to 13, for these 1-hop neighbors.
Third substep: we now consider the 2-hop neighbors. Notice that they are at most 3-hop away of any 1-hop neighbor of u . Hence, they cannot reuse the colors 2 to 13. Moreover, nodes that are on the 3-diamond, are at most 3-hop away from each other, they cannot reuse their colors. Hence, they need 12 additional colors: colors 14 to 25. It follows that at least 25 colors are needed to colour u and $\mathcal{N}(u)$.
2. *Second step: We build a valid coloring of u and $\mathcal{N}(u)$ with 25 colors.* We now consider the 2-hop neighbors of u that belong to the 4-diamond. The upper-left side of this diamond can be colored by reproducing the colors of the lower right side of the 3-diamond, 4-hop away. Similarly, the lower

right side of the 4-diamond can be colored by reproducing the colors of the upper-left side of 3-diamond. We color the upper-right side of the 4-diamond with the colors of the lower left side of the 3-diamond and the lower left side of the 4-diamond with the colors of the upper-right side of the 4-diamond. We have colored node u and all its nodes up to 2-hop with exactly 25 colors.

Concerning the 3-hop neighbors, they occupy the 5-diamond and 6-diamond. We first color the 5-diamond as follows: for its upper-left side, we reproduce the color of the lower-right side of the 2-diamond, similarly with the lower-right side reproducing the upper-left side of the 2-diamond. We proceed similarly for the upper-right and lower-left sides. We can now color the 6-diamond by reproducing colors used in the diagonals including the sides of the 1-diamond. We then obtain a valid coloring with exactly 25 colors, as depicted in Figure 8.

3. *Third step: This coloring can be regularly reproduced to constitute a valid coloring of the grid.* We consider the origin at node u . Observing the coloring depicted in Figure 8, we notice that any color found at coordinates (x, y) is also found at nodes $(x - 4, y - 3)$, $(x + 3, y - 4)$, $(x - 3, y + 4)$ and $(x + 4, y + 3)$.

We then get a coloring of the grid with exactly 25 colors. We prove that this coloring is valid by checking that any color 1 to 25 is reused neither 1-hop, nor 2-hop, nor 3-hop away. It follows that this coloring is valid. Hence, an optimal coloring requires exactly 25 colors. ■

Property 7 *A basic color pattern of the grid with a transmission range equal to 2 times the grid step is given by:*

				20						
				21	10	19				
				22	11	3	9	18		
				23	12	4	1	2	8	17
				24	13	5	7	16		
				25	6	15				
				14						

Proof: We can extract from the coloring of the grid a basic color pattern containing exactly 25 colors. This pattern is periodically reproduced to generate the coloring of the grid. Each color is reproduced according to the rules given previously. ■

7.4 Optimal coloring for any transmission range: the Vector Method

In this section, we want to determine the optimal color number for the h -hop coloring of grids with various transmission ranges. As previously said, we only consider grid colorings that periodically reproduce a color pattern. We consider any node U in the grid as depicted in Figure 7.4. By definition of the h -hop

coloring problem, the color of any node U can be used by any node V if and only if V is more than h -hop away. We consider two nodes V_1 and V_2 that reuse the color of U . The parallelogram defined by nodes U , V_1 and V_2 constitutes a color pattern such that no node within this parallelogram reuse the color of U . This color pattern, periodically reproduced, must provide a valid coloring (no two nodes up to h -hop have the same color). In order to optimize the spatial color reuse in the grid, the area of the parallelogram defined by V_1 and V_2 must be the smallest possible. The couples (U, V_1) and (U, V_2) determine two vectors that if independent generate the parallelogram of the color pattern. Hence the name of vector method. We now present this method more in details.

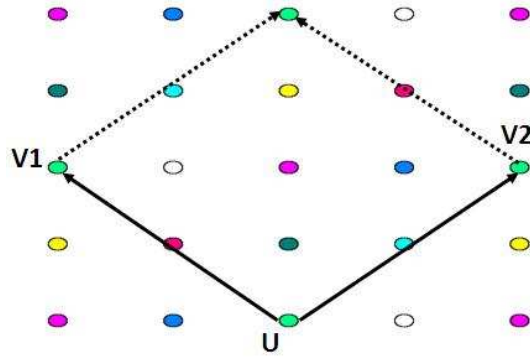


Figure 9: The principles of the vector method

We can notice that if (U, V_1) and (U, V_2) are generator vectors then any two vectors (U, V'_1) and (U, V'_2) that are a linear combination of (U, V_1) and (U, V_2) and are not dependant are also generator vectors. That is why, we can consider only the half plane delimited by $y \geq 0$.

Definition 12 *With an optimal h -hop periodic coloring, the color of the origin node U is reproduced at nodes V_1 and V_2 with coordinates (x_1, y_1) and (x_2, y_2) minimizing the determinant:*

$$|x_1 y_2 - x_2 y_1| \quad (1)$$

under the constraints:

$$\left\{ \begin{array}{l} y_1 \geq 0 \\ y_2 \geq 0 \\ x_1 y_2 - x_2 y_1 \neq 0 \\ V_1 \notin \bigcup_{k=1}^h k - \text{hop}(U) \\ V_2 \notin \bigcup_{k=1}^h k - \text{hop}(U) \\ V_2 \notin \bigcup_{k=1}^h k - \text{hop}(V_1) \end{array} \right. \quad (2)$$

Vectors (U, V_1) and (U, V_2) are the two vectors used to generate the periodic color pattern of the h -hop coloring.

System 1 means that V_1 and V_2 generate a parallelogram of the smallest possible area meeting the constraints of System 2. The first two constraints of System 2 $y_1 \geq 0$ and $y_2 \geq 0$ show that we restrict our study to the half plane $y \geq 0$. The third constraint $x_1 y_2 - x_2 y_1 \neq 0$ expresses that the two vectors (U, V_1) and (U, V_2) are independent. The fourth constraint $V_1 \notin \bigcup_{k=1}^h k - \text{hop}(U)$ expresses that V_1 can reuse the color of U . Idem for the fifth constraint with V_2 . The last constraint expresses that V_1 and V_2 can use the same color.

Lemma 6 *No other node strictly within the parallelogram defined by U, V_1 and V_2 uses the same color as U .*

Proof: By contradiction, let us assume there exists W a node strictly within the parallelogram defined by U, V_1 and V_2 that uses the same color as U . We distinguish two cases:

- W is strictly more than h hops away from U, V_1, V_2 and V_3 the fourth vertex of the parallelogram. In such conditions, the vectors (U, V_1) and (U, W) would form a parallelogram whose area is strictly smaller than this defined by (U, V_1) and (U, V_2) . A contradiction with the generator vectors.
- W is at most h hops away from at least one node among U, V_1, V_2 and V_3 . Hence, W cannot reuse the color: a contradiction of h -hop coloring.

■

Property 8 *For any node U , the color pattern defined by nodes U, V_1 and V_2 meeting the equation system 1 under the constraints 2, is periodic and contains exactly $|x_1 y_2 - x_2 y_1|$ colors.*

Proof: According to Lemma 6, the color of the origin node U is used only at nodes W , such that (U, W) is a linear combination of (U, V_1) and (U, V_2) . The coordinates (x, y) of W verify:

$$\begin{cases} x = \alpha x_1 + \beta x_2 \\ y = \alpha y_1 + \beta y_2 \end{cases} \quad (3)$$

where $\alpha \in Z$ and $\beta \in Z$. With these vectors, we can define a new grid, whose axis are given by (U, V_1) and (U, V_2) , such that only nodes in this grid have the color of U . Hence, the density of U 's color is equal to $1/d$, with $d = |x_1y_2 - x_2y_1|$. Hence, we need d colors to color all nodes. ■

Property 9 According to the color pattern defined by vectors (U, V_1) and (U, V_2) with $d = |x_1y_2 - x_2y_1|$, the color of any node $W(x, y)$ is determined by the couple (c_1, c_2) :

$$\begin{cases} c_1 = |xy_1 - yx_1| \text{ modulo } d \\ c_2 = |xy_2 - yx_2| \text{ modulo } d \end{cases} \quad (4)$$

There is a bijective mapping between the couple (c_1, c_2) and a color $\in [1, d]$.

Proof: This property is deduced from property 8, by solving for α and β in equation 3 and then expressing the constraint that they must be integers. ■

7.5 Examples of vectors

Table 4 gives for different radio ranges two vectors generating the optimal periodic pattern as well as the minimal number of colors obtained by a periodic pattern, for both a 2-hop coloring and a 3-hop coloring. The '*' symbol highlights the optimality of the number of colors used.

Table 4: Vectors generating the optimal periodic pattern and optimal number of colors.

Radio range	2-hop coloring			3-hop coloring		
	vector1	vector2	colors	vector1	vector2	colors
1	(2,1)	(-1,2)	5*	(2,2)	(-2,2)	8*
1.5	(-3,0)	(3,0)	9*	(4,0)	(0,4)	16*
2	(3,2)	(-2,3)	13*	(4,3)	(-3,4)	25*
2.5	(4,3)	(-1,5)	23*	(5,5)	(-7,2)	45*
3	(5,3)	(-1,6)	33*	(7,5)	(-8,4)	68*
3.5	(5,4)	(-6,3)	39*	(8,5)	(-8,5)	80*
4	(7,3)	(6,5)	53*	(8,8)	(-11,3)	112*
4.5	(9,2)	(-6,7)	75*	(13,3)	(-9,10)	157*
5	(9,4)	(-1,10)	94*	(14,4)	(3,15)	198*
5.5	(9,6)	(-1,11)	105*	(16,0)	(8,14)	224*
6	(11,4)	(-9,8)	124*	(17,4)	(-12,13)	269*
6.5	(13,1)	(-7,11)	150*	(-19,0)	(9,17)	323*
7	(10,9)	(-4,13)	166*	(15,13)	(-19, 7)	352*

7.6 Reduction of the number of vectors to test

We can use some properties of the grid topology to reduce the number of vector computations. We need to characterize the set of neighbor nodes of the grid node U more precisely.

Property 10 For any transmission range $R \geq 1$, for any grid node U , any node V such that its euclidian distance $d(U, V)$ meets $0 < d(U, V) \leq R$ belongs to $1\text{-hop}(U)$.

Proof: By definition of the radio range and assuming an ideal environment with symmetric links. ■

Lemma 7 For any integer $h \geq 1$, for any node V such $d(U, V) \leq hR$, there exists a node V' in the grid such that $d(V, V') \leq \sqrt{2}/2$.

Proof: Let us consider any node V such that $d(U, V) \leq hR$. In the worst case, this node occupies the center of the grid cell. It is at equal distance of two grid nodes that are diagonally opposed. Hence, its distance to one of them is equal to $\sqrt{2}/2$. ■

Property 11 For any transmission range $R \geq 1$, for any node U , any node V such that $R < d(U, V) \leq 2R$ belongs to either $2\text{-hop}(U)$ or $3\text{-hop}(U)$.

Proof: For any node V such that $R < d(U, V) \leq 2R$, we distinguish 2 cases:

- first case: $\exists W \in 1\text{-hop}(U)$ such that $d(V, W) \leq R$. Since $W \notin 1\text{-hop}(U)$, it follows that $V \in 2\text{-hop}(U)$.
- second case: any 1-hop neighbor of U is at a distance strictly higher than R from V . Let W be the 1-hop neighbor of U the closest to V . We have $d(V, W) > R$, by definition. Let Z be the 1-hop neighbor of W the closest to V . We have $d(Z, V) \leq R$. Hence, U reaches V in three hops via nodes W and Z . ■

Property 12 For any grid node U , any node V that meets $d(U, V) \leq (R - \sqrt{2})h$ is at most $h\text{-hop}$ away from U .

Proof: We define the $h - 1$ nodes that allow us to divide the distance $d(U, V)$ in h equal parts.

Let W_i be these nodes, with $i \in [1, h - 1]$.

For any $i \in [1, h - 1]$, let W'_i the grid point the closest to W_i . For simplicity reason, we denote $W'_0 = U$ and $W'_h = V$. We have $d(U, V) \leq \sum_{i=0}^{h-1} d(W'_i, W'_{i+1})$. We have $d(W'_i, W'_{i+1}) \leq d(W'_i, W_i) + d(W_i, W_{i+1}) + d(W_{i+1}, W'_{i+1})$. According to Lemma 7, we have $d(W_i, W'_i) \leq \sqrt{2}/2$. Hence, we get $d((W'_i, W'_{i+1}) \leq \sqrt{2} + d(W_i, W_{i+1})$. By construction, $d(W_i, W_{i+1}) = d(U, V)/h$. Hence, $d((W'_i, W'_{i+1}) \leq \sqrt{2} + d(U, V)/h$.

If $\sqrt{2} + d(U, V)/h \leq R$ then $d(U, V) \leq (R - \sqrt{2})h$. Hence, V is at most $h\text{-hop}$ away from U . ■

According to Property 12, we can notice that within the annulus delimited by the disks entered at U and of radius $h(R - \sqrt{2})$ and hR , there exist nodes that are not $h\text{-hop}$ nodes of U . These nodes are good candidates for V_1 and V_2 . Hence, an heuristic is to reduce the set of possible solutions for V_1 and V_2 to only nodes V that meet $h(R - \sqrt{2}) < d(U, V) \leq hR$ and do not belong to the $h\text{-hop}$ neighborhood of U . The new problem becomes:

Definition 13 Use a h -hop periodic coloring, where the color of the origin node U is reproduced at nodes V_1 and V_2 with coordinates (x_1, y_1) and (x_2, y_2) minimizing:

$$|x_1 y_2 - x_2 y_1| \quad (5)$$

under the constraints:

$$\left\{ \begin{array}{l} y_1 \geq 0 \\ y_2 \geq 0 \\ x_1 y_2 - x_2 y_1 \neq 0 \\ h^2(R - \sqrt{2})^2 < x_1^2 + y_1^2 \leq h^2 R^2 \\ h^2(R - \sqrt{2})^2 < x_2^2 + y_2^2 \leq h^2 R^2 \\ V_1 \notin h\text{-hop}(U) \\ V_2 \notin h\text{-hop}(U) \\ V_2 \notin \bigcup_{k=1}^h k\text{-hop}(V_1) \end{array} \right. \quad (6)$$

7.7 How to apply the Vector Method

The Vector Method allows us to determine the optimal h -hop color pattern of any grid, with any transmission range. We can notice that any permutation of an optimal color pattern is still an optimal one. It follows that we can color each node within the parallelogram defined by the two generator vectors according to for example the line order within this parallelogram. We then get an optimal periodic color pattern.

More precisely, each node proceeds as follows:

1. Each node in the grid computes the two generator vectors. It is also possible that a central unit computes the two generator vectors and distributes this information to all nodes in the grid.
2. Each node colors each grid node in the parallelogram defined by the two generator vectors, following for instance the line order.
3. Knowing its coordinates in the grid, each node deduces the two components c_1 and c_2 according to property 9. It then deduces its own color from the color assigned to the node within the parallelogram with the same values of c_1 and c_2 .

Property 13 *The Vector Method provides the optimal number of colors for a periodic h -hop coloring of any grid, with any transmission range. It allows each node to know its color in a single round.*

7.8 Bounds of the number of colors in periodic colorings

In this section, we give a lower and an upper bound of the number of colors needed in a h -hop coloring of the grid under the previously given conditions. The bounds apply to the vector method, or any other method. Combined in theorem 8, the number of colors of optimal coloring when $R \rightarrow \infty$ is shown to be asymptotically $\frac{\sqrt{3}}{2}h^2R^2 + O(R)$.

Notice that this compares to a periodic coloring of a true hexagonal lattice which would yield a number of colors equal to $\frac{\sqrt{3}}{2}h^2R^2$, and this is the best possible even when not constraining the nodes to be located on a grid (see the circle packing in the proof of the next theorem).

For the lower bound, we have the following theorem:

Theorem 6 *The number of colors required to color an infinite grid is at least $\frac{\sqrt{3}}{2}h^2(R - \sqrt{2})^2$*

Proof: Consider h -hop coloring of the grid. Consider a fixed color c , and now let S_c be the set of nodes with this color.

We first establish a lower bound of the distance of nodes in S_c . Let us define $\rho = (R - \sqrt{2})h$. Consider two nodes A, B of S_c . By contradiction: if their distance verified $d(A, B) \leq \rho$, from property 12, they would be at most h -hop away, contradicting the definition of a h -hop coloring. Therefore, all nodes of S are at a distance at least ρ from each other.

Now consider the set of circles \mathcal{C} of radius $\frac{1}{2}\rho$ and whose centers are the nodes of S . The fact that any two of nodes of S are distant of more ρ , implies that none of the circles in \mathcal{C} overlap. Hence \mathcal{C} is a *circle packing* by definition. From the Thue-Tóth theorem [19, 20] establishing that the hexagonal circle packing is the densest packing, with a density of $\frac{\pi}{\sqrt{12}}$, we deduce that \mathcal{C} must have a lower or equal packing density. This implies an upper bound of the density of set S_c of centers of the disks of $\frac{1}{(\rho/2)^2\sqrt{12}}$.

Because every color yields a set of nodes with at most this density, it follows a lower bound of the number of colors that is the inverse of this quantity, hence the theorem. ■

For an upper bound, we have the following theorem:

Theorem 7 *The number of colors required to color an infinite grid is at most $\frac{\sqrt{3}}{2}h^2R^2 + 2hR + (2 + hR)\sqrt{2}$*

Proof:

We proceed with a constructive proof, exhibiting two valid vectors which yield the result, using an approximation of an hexagonal lattice.

The figure 10 illustrates how some points V_2 and V_1 are constructed.

- Starting from the point U , the line with an angle $\frac{\pi}{3}$ with the horizontal line is considered, and its intersection with the circle of radius hR yields the point B .
- Next, the closest point of B on the grid with larger x and also y coordinates, is sought and is V_2 (coordinates (x_2, y_2)).
- Then V_1 with coordinates (x_1, y_1) is selected with $(x_1, y_1) = (2x_2, 0)$.

Notice that by construction $x_1 \geq hR$, and we have a valid choice of vectors (U, V_1) and (U, V_2) .

By construction: $d(B, V_2) \leq \sqrt{2}$ and $d(A, V_1) \leq 2$.

Using the general notations MN to represent a vector (M, N) , and $\det(OM, ON)$ to represent the determinant of two vectors, we can write n_c , the number of the

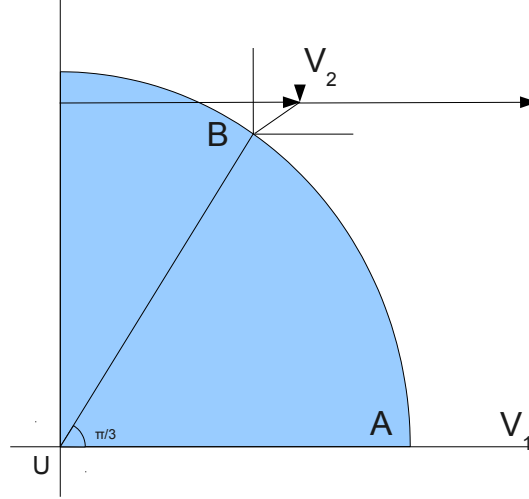


Figure 10: Selecting vectors for a near-hexagonal lattice

color in the associated coloring as:

$$\begin{aligned}
 n_c &= \det(UV_1, UV_2) \\
 &= \det(UA, UB) + \det(AV_1, UB) + \det(UV_1, BV_2) \\
 &\leq \det(UA, UB) + d(A, V_1)d(U, B) + d(U, V_1)d(B, V_2) \\
 &\leq \frac{\sqrt{3}}{2}h^2R^2 + 2hR + (2 + hR)\sqrt{2}
 \end{aligned}$$

■

The two previous theorems show that the number of colors of an optimal periodic h -hop coloring of the grid (with the vector method) is close the number of colors in an absolutely optimal coloring of an hexagonal lattice, at least asymptotically. This result may be summarized as:

Theorem 8 *The number of colors $n_c(R)$ of an optimal periodic h -coloring for a fixed verifies:*

$$n_c(R) = \frac{\sqrt{3}}{2}h^2R^2(1 + O(\frac{1}{R}))$$

when $R \rightarrow \infty$

Proof: Combining the lower bound and the upper bound of the two theorems yields the result. ■

7.9 Coloring results with the Vector Method

Table 5 depicts the results obtained with the vector method for various grids, with various radio ranges. The priority of any node is given by the couple c_1, c_2) as defined in property 9. Results are given for 3-hop coloring. The '*' symbol highlights the optimality of the number of colors used.

Table 5: Number of colors obtained for 3-hop coloring.

Radio range R	Grid size	priority assignment	colors
1	10x10	line	8*
		column	8*
		vector	8*
	20x20	vector	8*
	30x30	vector	8*
	50x50	vector	8*
1.5	10x10	line	16*
		column	16*
		vector	16*
	20x20	vector	16*
	30x30	vector	16*
	50x50	vector	16*
2	10x10	line	30
		column	30
		vector	25*
	20x20	vector	25*
	30x30	vector	25*
	50x50	vector	25*
3	20x20	vector	68*
	30x30	vector	68*

We observe that the vector method provides an optimal three-hop coloring, for any radio range. This is not true for any other priority assignment tested. Moreover, the number of colors does not depend on the grid size. Similar results have been obtained for 2-hop coloring.

8 Improvement of SERENA with theoretical results

Our goal is now to optimize the number of colors obtained by SERENA using the theoretical results obtained in the previous section. We will act on node priority assignment. How can each node compute its priority in order to minimize the number of colors used? We now show how to compute node priority to allow SERENA to obtain an optimal coloring of a grid. We try different node priority assignments: line, column, diagonal, distance to the grid center and vector. Table 6 depicts the simulation results obtained with SERENA for various grids with various radio ranges. The '*' symbol highlights the optimality of the number of colors used.

We observe that SERENA provides an optimal three-hop coloring with the priority assignment based on vectors, for any radio range. This is not true for any other priority assignment tested. Moreover, the number of colors does not depend on the grid size. Furthermore, the impact of the grid size on the number of rounds is very limited.

Table 6: Number of colors obtained with SERENA.

Radio range R	Grid size	priority assignment	colors	rounds
1	10x10	line	8*	58
		column	8*	58
		vector	8*	21
	20x20	vector	8*	21
	30x30	vector	8*	21
	50x50	vector	8*	21
1.5	10x10	line	16*	91
		column	16*	91
		vector	16*	38
	20x20	vector	16*	38
	30x30	vector	16*	38
	50x50	vector	16*	38
2	10x10	line	30	85
		column	30	85
		vector	25*	52
	20x20	vector	25*	56
	30x30	vector	25*	61
	50x50	vector	25*	68
3	20x20	vector	68*	179
	30x30	vector	68*	184

9 Conclusion

In this paper we have proved complexity of the h -hop node coloring problem. We have then optimized SERENA a 3-hop node coloring algorithm for dense networks without sacrificing the coloring delay. We have then focused on specific case of dense networks: grids with a radio range higher than the grid step. We have established theoretical results about grid coloring. We have proposed the Vector Method for assigning colors to nodes such as sensors organized in grid. We have also given lower and upper bounds of the number of colors in periodic colorings. As a further work, we will show how to map a grid on a given random topology and determine the best grid adapted to this topology.

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