

XPath query containment and rewriting using views

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View-based rewriting of XML queries

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Part I

Introduction

AD/IM/VV (UCSD/INRIA/AUEB)

View-based XML rewriting

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XML and XPath

XML

- XML trees: rooted, ordered, unranked node-labeled trees
- Node labels come from an (in)finite alphabet S.
- We denote with T_S all XML trees over alphabet S.

XPath syntax

- XPath: A language for navigating and selecting nodes from XML documents.
- XPath expressions are a sequence of XPath steps: axis :: nodetest[predicate].
- axis: is a forward or backward axis (navigation).
- nodetest: is a label or a wildcard.
- predicate: is another step relative to the context where defined.

Tree pattern queries (twigs)

- We focus on XPath expressions with only the child and descendant axis.
- We model them as pattern trees or twigs.

Pattern tree (twig)

- Each XPath step is a node of the tree pattern.
- Steps along child axis are indicated with single lines edges (child edges).
- Steps along descendant axis are indicated with double lines edges (descendant edges).
- Predicates are denoted as subtrees of the corresponding step.
- The last step of the expression is called selection node and is denoted by underlining the nodetest.

Embedding & evaluation of XPath

Embedding

- An embedding from an XPath query p to an XML tree t, is a function $e: V_p \rightarrow V_t$, with the following properties:
- root preserving: $e(r_p) = r_t$,
- label preserving: $\forall n \in V_p$, if *n.label* $\neq *$, then *n.label* = e(n).label,
- structure preserving: $\forall e' = (n_1, n_2) \in E_p$, if e' is a child edge then $e(n_2)$ is a child of $e(n_1)$ in t; otherwise $e(n_2)$ is a descendant of $e(n_1)$ in t.

XPath query evaluation

Given an XPath query p and an XML tree t, $p(t) = \bigcup_{e \in EB} \{(t)_{sub}^{e(o_p)}\}$, where EB is the set of all embeddings from p to t.

Part II

XPath query containment

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Introduction

Definition

XPath query p is contained in q, denoted as $p \subseteq q$, if and only if $p(t) \subseteq q(t)$, for every XML tree t. (The definition can be easily extended for the case of a DTD existence).

Theorem

For XPath queries p, q there is a translation to boolean XPath queries p_0 , q_0 , such that $p \subseteq q$ if and only if $p_0 \subseteq q_0$ [17]

Techniques for checking containment

- Canonical models
- Homomorphism technique
- Automata technique
- Chase technique

Canonical model technique

- We consider boolean tree pattern queries p, q.
- Intuition: p ∉ q if and only if there is a counter example XML tree t, such that p(t) ∉ q(t).
- If there is such a counter example it will be in the set of canonical models for *p*.

Canonical models for XPath query p

- Each canonical model is an XML tree t obtained from p.
- Wildcards: replace wildcard nodes in *p* with a new symbol say *z* not in *p* or *q*.
- Descendant Edges: replace a descendant with a chain of at most m(q) + 1 z-labeled nodes, where m(q) is the longest chain of child edges with wildcard-labeled nodes in q.

Example

XPath queries *P* , *Q* Canonical models for *P*, M(Q) = 1



Homomorphism technique

- Given two tree pattern queries p, q then: p ⊆ q if and only if there is a homomorphism from q to p.
- This is not the case for XPath queries in XP(/, //, [], *) where homomorphism is not necessary for containment.

Homomorphism from q to p

- An homomorphism from an XPath query *q* to an XPath query *p*, is a function *h*: *V_q* → *V_p*, with the following properties:
- root preserving: $h(r_q) = r_p$,
- label preserving: $\forall n \in V_q$, if *n.label* $\neq *$, then *n.label* = h(n).label,
- structure preserving: $\forall e' = (n_1, n_2) \in E_q$, if e' is a child edge then $h(n_2)$ is a child of $h(n_1)$ in p; otherwise $h(n_2)$ is a descendant of $h(n_1)$ in p.

Example

Homomorphism from Q to P



There is a Homomorphism from Q to P, therefore $P \subseteq Q$.

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Automata technique (1/2)

- Intuition 1: Reduce the containment problem between XPath queries to the containment problem between tree automata or the languages that are accepted by these automata.
- Intuition 2: Compactly represent all counter examples that will imply no containment between two XPath queries and check if it is empty.

Theorem

Containment for XPath expression in XP(/, //) in the presence of a DTD is in PTIME [25, 28]

Proof.

- Construct tree automaton *P* that accepts trees matching *p*.
- Construct tree automaton NQ that accepts trees not matching q.

Automata technique (2/2)

Proof.

- Construct tree automaton *D* that accepts trees conforming to DTD.
- Construct tree automaton *C* = *P*×*NQ*×*D*, i.e. the cartesian product of P, NQ,D.
- C accepts all XML trees that conform to DTD and are matched by *p* but not *q*.
- Therefore, if C is empty then $p \subseteq q$.
- Every tree automaton construction and checking emptiness of *C* is in PTIME.

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Chase technique (1/2)

- Has been applied on tree pattern queries to obtain PTIME algorithms for containment in the presence of DTDs.
- Considered DTDs duplicate-free, choice-free.
- Intuition: To decide p ⊆ q extract a set of constraints from DTD, chase query p with the constraints and try to establish a homomorphism from q to p.

Constraints Extracted from a DTD

- Functional (FC): $a \downarrow b$, node a has only one child with label b.
- Parent-Child (PC): $a \rightarrow b$, b when is desc. of a is always child.
- Sibling (SC): $a: B \Downarrow c$, node a with children in B has a c child.
- Cousin (CC): $a: b \Downarrow_c c$, node a with desc. b has also desc. c.
- Intermediate (IC): $a \rightarrow_C b$, c node is always in any path between a, b.

Chase technique (2/2)

Theorem

Let D be a duplicate-free DTD and C be the set of SC and FC constraints extracted from D. Let p, q be XPath queries in XP(/,[]) then the D-containment $p \subseteq_D q$ can be computed in PTIME using the equivalence: $p \subseteq_D q$ iff chase $(p) \subseteq q$ [35]

Theorem

Let D be a acyclic and choice-free DTD and C be the set of SC, PC, IC, CC, FC constraints extracted from D. Let p, q be XPath queries in XP(/, //, []) then the D-containment $p \subseteq_D q$ can be computed in PTIME using the equivalence: $p \subseteq_D q$ iff chase $(p) \subseteq q$ [19]

Example: chasing an XPath query

- Let $q \equiv \frac{a[b/i][b/d]}{j}$ be an XPath query in $XP(\frac{1}{2})$.
- Consider the following (duplicate-free, acyclic and choice-free) DTD:

$$a \rightarrow b, f^*, g^+, h$$

 $b \rightarrow (c|d), l$
 $c \rightarrow i; d \rightarrow i; l \rightarrow j$

- Apply SC a: $b \Downarrow h$ resulting in $q \equiv /a[b//i][b/d][h]/j$
- Apply FC $a \downarrow b$ resulting in $q \equiv \frac{a[b[//i][d]][h]}{j}$
- Apply IC $a \rightarrow_I j$ resulting in $q \equiv /a[b[//i][d]][h]//I//j$
- Apply PC $I \rightarrow j$ resulting in $q \equiv /a[b[//i][d]][h]//I/j$

Part III

XPath query rewriting

XPath query rewriting

- XPath query rewriting existence problem: Given an XPath query q and a materialized v, is there any rewriting e of q using v such that for every XML tree t: e(v(t)) = q(t)?
- Finding (minimal) rewriting: if there is a positive answer to the rewriting existence problem, we seek to find the (minimal) rewriting query *e*.

Problem Statement

- Equivalent rewriting: as defined above.
- Maximally contained rewriting: a rewriting *e* such that for every XML tree *t*: $e(v(t)) \subseteq q(t)$ and e(v(t)) is maximal.

Rewriting existence: compensation pattern (1/2)

Concatenation Operator

- Concatenation Operator: p ⊕ q: an XPath pattern constructed by merging the root of p and the selection node of q into one node.
- Rewriting existence problem: Decide whether an XPath compensation pattern q' exists, such that $q' \oplus v \equiv q$.

Theorem

Let q, v be two tree pattern queries with selection nodes as roots, then $q \subseteq v$ iff there exists a rewriting of q using v [37]

Theorem

The rewriting existence problem is CoNP-Hard for XP(/, //, [], *) [37]

Rewriting existence: compensation pattern (2/2)

Theorem

For the subclasses of XP(/, //, [], *) the rewriting existence problem is in PTIME. [37]

Lemma

Let v, q be in the subclasses of XP(/, //, [], *), and let n_q be the node in the selection path of q with the same position as the selection node of v at v's selection path. If a compensation pattern q' of q using v exist, the subpattern of q rooted at n_q (i.e. $(q)_{sub}^{n_q}$) is a compensation pattern of q using v [37]

Rewriting existence: natural rewriting candidates (1/3)

Natural rewriting candidate

- Let q be a tree pattern query, with $q_{r//}$ we denote the tree pattern obtained from q by turning all edges that emanate from root to be descendant edges.
- Given a query q and a view v then q' is a natural rewriting candidate if is either $(q)_{sub}^{n_q}$ or $((q)_{sub}^{n_q})_{r//}$, where n_q is the node in the selection path of q with the same position as the selection node of v at v'sselection path [2]
- What if none natural rewriting candidate is a rewriting of q using v?
- Under certain condition a natural rewriting candidate is a potential rewriting, that is if a rewriting of *q* using *v* exists then one such rewriting is among the two natural rewriting candidates.

Rewriting existence: natural rewriting candidates (2/3)

Conditions for completeness of natural rewriting candidates

- query q:
- if the selection path of q by node n_q has only child edges, then (q)^{n_q}_{sub} is a potential rewriting.
- if (q)^{nq}_{sub} is stable (i.e. the label of root is not wildcard or if the length of the selection path of q is at least 1 and a node emanating from root has unique label in the subtree of q starting under the root node), then it is a potential rewriting.
- View v:
- if a descendant edge enters the selection node of v, then (q)^{nq}_{sub} is a potential rewriting.
- if the selection path of v has only child edges, a potential rewriting exists among the two natural rewriting candidates.

Rewriting existence: natural rewriting candidates (3/3)

Conditions for completeness of natural rewriting candidates

- query q and View v:
- Let q be a tree pattern query and v be a view, such that the last descendant edge on the selection path of q is the k_{th} edge and the k_{th} edge at v selection path is a descendant edge, then $(q)_{sub}^{n_q}$ is a potential rewriting.

Theorem

The rewriting existence problem for XP(/, //, [], *) under the before mentioned conditions is CoNP-Complete [2]

Finding minimal rewritings: compensation pattern (1/2)

Theorem

For the subclasses of XP(/, //, [], *) the problem of finding minimal rewriting is in PTIME [37]

Proof.

- finding the minimal rewriting of q using v is equivalent of finding the minimal rewriting of the subpattern of q at node n_q (i.e. $(q)_{sub}^{n_q}$) using the subpattern of v at its selection node, say sn_v (i.e. $(v)_{sub}^{sn_v}$).
- Given a query q and a node n, we denote with q − n the subpattern obtained by q by pruning the subpattern of q at node n (i.e. (q)ⁿ_{sub}).
- the minimal compensation pattern of q using v is the $(q)_{sub}^{n_q} R_{(v)_{sub}^{n_{q}}}^{(q)_{sub}^{n_q}}$.

Finding minimal rewritings: compensation pattern (2/2)

rewriting Redundant node set R_v^q

- Let q,v be tree pattern queries, such that v's selection node is its root.
- let *n* be a node in *q* then $(q)^n$ is a subpattern of *q* constructed by adding to the $(q)^{sub}_n$ the root of *q* and the path, as in *q*, connecting that root to the root of $(q)^{sub}_n$.
- C_q , C_v are sets including all children nodes of q, v roots respectively.
- $n_q \in C_q$ is redundant if there exists $n_v \in C_v$ s.t. $(q \oplus v)^{n_q} \equiv (q \oplus v)^{n_v}$.
- R_v^q : set of all redundant nodes.

Proof.

• Computing R_v^q and checking pattern equivalence is in PTIME.

Finding natural rewriting candidates: using stability

Generalized Normal Form (GNF)

Given a tree pattern query q with d nodes on its selection path. Then q is in $GNF_{/*}$ if for all $1 \le i \le d$ one of the following holds:

- A child edge enters the *i* node of *q*.
- The subpattern of q at the i_{th} node is stable.
- The subpattern of q at the *i*_{th} node forms a path (not a tree).

Theorem

if q is in $GNF_{/*}$, then at least one of the natural rewriting candidate is a potential rewriting [2]

Finding natural rewriting candidates: looking only for the last descendant

Theorem

if the deepest descendant on the selection path of view v is at least as deep as the deepest descendant on the selection path of the query q, then $(q)_{sub}^{n_q}$ is a potential rewriting, where n_q is the node in the selection path of q with the same position as the selection node of v at v's selection path [2]

Pattern extension

Let q be a tree pattern query and l be a label, then the l-extension of q denoted with q^{+l} is obtained:

- Add a wildcard labeled child to every leaf of query q, but the selection node (in case it is leaf).
- Add a / labeled child to the selection node of q.

Finding natural rewriting candidates: pattern extension & output lifting

Output Lifting

Let q be a tree pattern query with d nodes on its selection path. Let $1 \le j \le d$, then the output lifting of q denoted with $q^{j \rightarrow}$ is obtained: from q by considering as selection node the j node on the selection path of q.

Theorem

Let q, v be tree pattern queries and for some $k \le j \le d$, where k is the number of nodes in v selection path and d the corresponding for q, the j node of q selection path is not wildcard labeled, then:

- there is a rewriting of q using v iff there is a rewriting of (q^{+m})^{j→} using v^{+*}.
- (q^{+m})^{i→} using v^{+*} has a rewriting among natural candidates iff so do for q, v [2]

Form of the rewriting

- Given a query q and a view v with one selection node we saw that the rewriting of q using v is one pattern that is formulated by combining a rewriting pattern with v.
- The question is whether the rewriting can be expressed as the union of tree pattern queries.
- The answer is **no**!

Theorem

Let q, v be tree pattern queries, then any equivalent rewriting of q using v will contain only one tree pattern query [33]

A general framework for XPath/query rewriting (1/2)

- Let q and v be a tree pattern query and a view respectively with more than one node as selection node.
- A rewriting of q using v is a mapping from the selection nodes of q to the selection nodes of v, called selection node mapping (SNM).
- A rewriting is correct iff we can use it to establish an embedding from *q* to any XML tree *t*, utilizing also the embedding from *v* to *t*.
- Let *L* be the number of nodes in the longest star-path of *q* and *m* be the number of descendant edges in *q*.

A General Framework for XPath query rewriting (2/2)

\vec{u} Extension of Q

Let $\vec{u} = \langle u_1, \dots, u_m \rangle$, where for all $1 \le i \le m$, $u_i \ge 0$ and z be a label not in q. Then the \vec{u} extension of q is an XML tree obtained from q as:

- replace all wildcard labeled nodes with the z label.
- replace the *i_{th}* descendant edge with a path containing *u_i* z labeled nodes.

Theorem

A rewriting of q using v is correct iff it is correct on the \vec{u} extension of q for all $\vec{u} = \langle u_1, \ldots, u_m \rangle$, where for all $1 \le i \le m$, $u_i \le L + 1$ [29]

Maximally contained rewriting: MCR existence

Useful Embedding

An embedding e from q to v is useful provided:

- e is empty and the root of q is qualified with the descendant axis.
- OR (a) Each node in the selection path of q (if mapped) is mapped to a node in the selection path of v
- and (b) For each path p in q either p is fully mapped by e or if x is the last node in p, where e is defined, and y is its successor node, then x is mapped to the selection node of v or to a descendant of that node or the edge between x, y is descendant.

Theorem

Let q, v be two tree pattern queries, then a MCR of q using v exists iff there is a useful embedding from q to v [19]

Maximally contained rewriting: MCR generation (1/2)

Clip-away tree (CAT)

Given a useful embedding e from q to v, the CAT is obtained as follows:

- Find the terminal nodes in q, i.e. nodes x s.t. e(x) is defined and x has at least one child y, where e(y) is not defined.
- For each child y of every terminal node x in q create a tree pattern connecting a dummy root to the subtree of q rooted at node y (i.e. (q)^y_{sub}) with an edge of the same type (child or desc.) as the edge between x and y in q.
- Merge the dummy roots of all the above tree patterns and label that root with the label of the selection node of *v*.

Maximally contained rewriting: MCR generation (2/2)

Algorithm

- Compute all useful embeddings from q to v.
- Prune the set of useful embeddings with embeddings that will lead to redundant rewritings [19]
- I Produce the set of CATs that correspond to each of useful embedding.
- The MCR is the union of the tree patterns (contained rewriting, CR) that are in the set of CATs.
 - The algorithm is exponential.
 - Note that the MCR is expressed as the union of CRs that are tree pattern queries.

Part IV

XPath query rewriting under integrity constraints

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View-based XML rewriting

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Motivation

Motivation

- Let $q \equiv \frac{a}{c}$ be an XPath query and $v \equiv \frac{a}{b}$ be a view in $XP(\frac{1}{b})$.
- Consider the following (duplicate-free, acyclic and choice-free) DTD:

$$a \rightarrow d, e, f$$

 $d \rightarrow b; b \rightarrow c$
 $c \rightarrow i; e \rightarrow i; f \rightarrow b$

- The compensation pattern $e \equiv \frac{b}{c}$ can be used to rewrite q using v.
- To compute e we need to chase query q with the IC $a \rightarrow_{C} b$.
- Therefore, $q \equiv /a//c \equiv /a//b//c$.
- Note that $e \equiv (q)_{sub}^b$.

Motivation

- Constraints enable rewritings in cases for which there would be no rewriting without the constraints.
- In what follows there is a direct reduction of the rewriting techniques of the schemaless case under the DTD existence.
- Some DTDs allow PTIME extraction of constraints and PTIME chase:

PTIME cases For DTDs

- Duplicate-free (DF) DTD: At each right-hand side of any DTD rule each element name *n* appears at most once.
- Acyclic & choice-free (ACF) DTD: At each right-hand side of any DTD rule there is no alternation. Also, there is no recursion in the DTD.

Equivalent rewriting for XP(/, [], *) under DF DTDs

- Given a query q and a view v in XP(/, [], *) under a DF DTD.
- Applying the compensation pattern technique we can answer the rewriting existence problem and find a (minimal) rewriting.
- Though, this technique requires to be able to decide containment between tree patterns in this setting.

Theorem

Containment of queries in XP(/, [], *) in the presence of a duplicate-free DTD is CoNP-hard [24]

• Two approaches:

incomplete but efficient complete but exponential

Equivalent rewriting for XP(/, [], *) under DF DTDs

PTIME containment

- Extract SC and FC constraints from DTD.
- Extend them to the wildcard case, resulting in WSC and WFC constraints.
- Define the SIC (single child constraints) and the WSIC as a stronger version of the FC, that capture some cases not captured by FCs.
- Decide containment using the chase technique based on the following theorem:

Theorem

Let D be a duplicate-free DTD and C be the set of (W)FCs, (W)SCs, (W)SICs implied by D, then $q \subseteq_{SAT(D)} p$ iff $chase_C(q) \subseteq p$, if $chase_C(q)$ is 1-1 homomorphic to a subtree in SAT(D) (i.e. all trees conforming to D) [4]

Equivalent rewriting for XP(/, [], *) under DF DTDs

- Chasing with (W)SC, (W)FC and (W)SIC constraints a tree pattern in XP(/,[],*) will not always yield a tree pattern 1-1 homomorphic to a subtree in SAT(D).
- There is no set of constraints that can guarantee that [4].

Complete containment

- Intuition: reduce query q into XP(/,[]) utilizing the DTD D, so that chase_C(q) is always 1-1 homomorphic to a subtree in SAT(D) [35].
- Therefore, we can decide the containment $q \subseteq_{SAT(D)} p$.
- However, reduction of q from XP(/,[],*) to XP(/,[]) by eliminating wildcard is exponential [4]

Maximally contained rewriting for XP(/, //, []) ACF DTD: MCR existence

- A direct application of the useful embedding as in the schemaless case will not work.
- The reason is that the CAT induced by the embedding composed with the view might result to an unsatisfiable rewriting w.r.t. the ACF DTD.

Useful embedding DTD case

An embedding *e* from *q* to $chase_C(v)$, where *C* is the set of PC, SC, FC, CC, IC constraints implied from a ACF DTD *D*, is useful provided:

- is a useful embedding as in the schemaless case.
- AND For each node x in q that is not mapped by e, then there is a way in D starting from a rule that carries the label of the selection node of v to produce a node labeled as x.

Maximally contained rewriting for XP(/, //, []) ACF DTD: MCR existence

Theorem

Let q, v be two tree pattern queries and D be an ACF DTD, then a MCR of q using v exists iff:

- there is a useful embedding from q to chase_C(v), where C is the set of constraints implied from D
- the rewriting composed by the CAT induced by this embedding and the view v is satisfiable under D [19]

Maximally contained rewriting for XP(/, //, []) ACF DTD: MCR generation

Algorithm

- Extract constraints *C* implied by ACF DTD *D*.
- Chase v with only the appropriate constraints from C as to establish a useful embedding from from q to chase_C(v).
- Compute useful embeddings and prune this set to avoid redundant rewritings.
- Produce the set of CATs for each of useful embedding.
- The MCR is the union of the tree patterns (contained rewriting: CR) that are in the set of CATs [19]
 - The algorithm will yield at most one useful embedding and therefore the MCR consists of only one tree pattern.
 - Note that there is no need to chase v will every constraint in C (for details see [19]).

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View-based XML rewriting

Extensions to equivalent rewriting

Immediate Consequences of the previous techniques

- Equivalent query rewriting and containment for queries and views in XP(/,[],*) are in PTIME under ACF DTD.
- Equivalent query rewriting for queries and views in *XP*(/, //, []) is in PTIME under ACF DTD.

Part V

XPath/XQuery rewriting using multiple views

AD/IM/VV (UCSD/INRIA/AUEB)

View-based XML rewriting

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Introduction

- Hidden hypothesis in the single-view rewriting context: the query cache (view) stores only copies of XML elements.
- Using multiple views in query rewriting allows exploiting node identifiers from the original XML tree to combine views.
- Given a query q and a set of views V, we seek to find a rewriting r obtained by intersecting materialized views in V.
- There is no interest in examining the union of these views due to the following theorem:

Theorem

If a tree pattern is equivalent to a union of tree patterns, then it is equivalent to a member of the union [12]

DAG pattern rewriting for XP(/, //, [])

Tree patterns extend to DAG patterns, representing the intersection of a set of views.

DAG pattern

- For each view v the dag(v) is the tree pattern corresponding to v.
- dag(v₁ ∩ v₂) is obtained by coaleascing the roots and selection nodes of dag(v₁) and dag(v₂), provided there is no label conflict.

Theorem

Any DAG pattern is equivalent to the union of its interleavings [12]

DAG pattern rewriting for XP(/, //, [])

Definition

Interleaving of a DAG pattern d is a tree pattern p_i whose selection path corresponds to a path in d from its root to its selection node (MB path) and if a predicate s appears below node n in MB path, then it also appears below the corresponding node n' in p'_is selection path.

Algorithm for finding a rewriting

- Test equivalence from dag pattern d to query q (the opposite is trivial).
- 2 Compute interleavings p_i from d (d is equivalent to their union).
- Test union-freedom, i.e. only one interleaving p such that p = q. (rule based procedure that transforms d)
- The rewriting is $(q)_{sub}^n$, where *n* is the selection node of *p* [12]

Structural join rewriting for XP(/, //, [], *)

- A different approach based on view selection for rewriting a query using multiple views.
- How do we produce the set of views V to rewrite q? Use automata on tree patterns.
- Then we can find compensation patterns for each view separately and then join the results from applying these patterns to the materialized XML fragment of the corresponding views.
- Limitations: We need algorithms to perform these joins and most of these algorithms impose certain encodings in the XML trees.

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