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# Sweepers: Swept User-Defined Tools for Modeling by Deformation. 

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#### Abstract

We present sweepers, a new class of space deformations suitable for interactive virtual sculpture. The artist describes a basic deformation as a path through which a tool is moved. Our tools are simply shapes, subsets of 3D space. So we can use shapes already created as customized tools to make more complex shapes or to simplify the modeling process.

When a tool is moved it causes a deformation of the working shape along the path of the tool. This is in accordance with a clay modeling metaphor and easy to understand and predict. More complicated deformations are achieved by using several tools simultaneously in the same region.

It is desirable that deformations for modeling are 'foldover-free', that is parts of deformed space cannot overlap so that the deformations are reversible. There are good intuitive reasons to believe that our deformations are foldover-free but we have not yet completed a proof.

We have an efficient formulation for a single tool following a simple path (translation, scaling or rotation) and we can demonstrate the effects of multiple tools used simultaneously.

For representing shapes, we present a mesh refinement and decimation algorithm that takes advantage of the definition of our deformations. The prototype implementation described has been used to create a variety of models quickly and conveniently.


## 1. Introduction

The process a sculptor uses to create a shape can be regarded as a definition of the shape. From this point of view, a representation such as a NURB or implicit surface is merely an intermediate device between the acts of modeling and rendering. Foley and

[^0]

Figure 1. Squirrel character modeled out of an initial ball. The artist modeled only one side, while the other is automatically made at the same time thanks to the simultaneous tool. There are no discontinuities caused by the symmetry.

Van Dam remark, "The user interfaces of successful systems are largely independent of the internal representation chosen" [1]. This, surely, is evidence that the representations are inherently unsuitable modeling interfaces.

Our thesis is that the primary representation of a model must allow straightforward and intuitive editing by an artist. By intuitive, we mean that the editing operations must work in accordance with a consistent metaphor that is clear to the artist.

Existing mathematical representations are not directly suitable for editing operations, while most existing editing operations are not intuitive according to a suitable metaphor. For most virtual modeling tools, this observation results from the fact that the mathematical representation is strongly linked to the edit-
ing operations; for example editing the control points of a NURB patch manually. Space deformations stand apart from this, and can be used with any mathematical model, including implicit surfaces when the deformation is reversible. However, space deformation has had more success adjusting existing models than with creating entirely new ones, mainly because the deformation operations have not been developed to create a rich set of features. With the exception of [2], [3] and [4], deformation operations do not prevent surfaces from self-intersecting. This is crucial, since space deformation cannot remove a self-intersection in a surface.

We see all these things as obstacles to the creativity of artists. This paper proposes a class of operations for sculpture independent of the shape's underlying mathematical model. It can be applied in principle to any standard model. All the examples in this paper, however, are deformations of a single sphere. These deformation operations are specified intuitively as transformations of tools where a tool is any shape. They are continuous (at least $C^{0}$ and in most cases $C^{2}$ ). They are local in operation, within some user-defined distance of the tools and most importantly they are foldover-free, preserving the shape's coherency. The remainder of this paper is organized as follows. In Section 2, we discuss the limits of existing techniques. In Section 3 we introduce our new deformations as a class of operations applicable to space in any number of dimensions. In Section 4 we develop closed forms for the efficient application of a single tool in a 3 -dimensional scene. In Section 5 we present the details required to implement the technique in an interactive modeler, including an adapted refinement and decimation algorithm. We show our results in Section 6.

## 2. Related work

Space deformation provides a formalism to specify any editing operation, by successively deforming the space in which an initial shape $S^{t_{0}}$ is embedded:

$$
S_{n}=\left\{\underset{i=0}{n-1} f^{t_{i} \mapsto t_{i+1}}(p) \mid p \in S^{t_{0}}\right\}
$$

where $f^{t_{i} \mapsto t_{i+1}}: \mathbb{R}^{n} \rightarrow \mathbb{R}^{n}$ is a deformation of space ${ }^{1}$. The reason why space deformations are independent of the mathematical model of a surface is that they apply to the space in which the model is embedded and can deform regions of space where there is no surface, if required. Note that, as for non-virtual sculp-

[^1]ture, the operations do not commute under function composition, o.

This section reviews existing classes of deformations, organized in three groups: deformations that are not suitable for sculpture and can produce a limited set of shapes, deformations that can produce a large set of shapes given enough parameters for a few functions $f^{t_{i} \mapsto t_{i+1}}$, and deformations that can produce a large set of shapes given enough simple functions $f^{t_{i} \mapsto t_{i+1}}$.

### 2.1. Global deformations

A. Barr [5] defines space tapering, twisting and bending transformations via a matrix that is a function of a space coordinate. An interesting result is the proof that the surface normal vector transformation is given by the transformation's Jacobian co-matrix ${ }^{2}$. C. Blanc [6] generalizes this work to deformations that are functions of more than one space coordinate. Y.K. Chang and A.P. Rockwood [7] propose a polynomial deformation that efficiently achieves "Barr"-like deformations and more, using a Bézier curve with coordinate sets defined at control points. M. Mikita [8] extends this method to triangular Bézier surfaces. A restriction of these methods is the initial rectilinear axis or planar surface. B. Crespin [9] proposes a technique based on recursive subdivision in order to use an initially deformed tool. His deformations do not prevent the shape from self-intersecting.

All these deformations are global, and can be handled easily by the user because they have few control parameters. However because of their non-locality, they are not suitable for surface sculpture.

### 2.2. Many parameters, few functions

T. Sederberg and S. Parry [10] introduced Free-Form Deformations (FFDs) which allow continuous space deformations with multiple points transformed. They define the lattice of control points for a Bézier volume and move the control points. The embedded space is then smoothly deformed by interpolating the control point coordinates. A major restriction of FFD is the regularity of the grid. S. Coquillart [11] and C. Blanc [12] extend this work for a non-regular lattice. Still, a problem is that a correspondence between the edited shape and the lattice has to be done manually. W. M. Hsu et al. [13] propose a way of doing direct manipulation of a single point or multiple points in space with FFD. The regularity and fixed size of the grid along with computing costs restrict its utility.

[^2]R.A MacCracken and K.I. Joy [14] use subdivision volumes, allowing arbitrary lattices. Customizing the lattice onto the shape is however tiresome.
P. Borrel and D. Bechmann [15] generalize this to arbitrarily positioned control points, where no lattice is needed: the shape is non-linearly projected into a space of higher dimension; the deformation is a linear projection back onto $\mathbb{R}^{3}$ (or $\mathbb{R}^{4}$ for controlling animation). In Scodef (Simple Constrained Deformation) instead of just control points, P. Borrel and A. Rappoport [16] use also control areas, and the control features can be assigned orientations to perform twists. These methods define the deformation as a projection of a built space of higher dimension. Issues arise for controlling the deformation, because the pseudo-inverse computation involved does not always behave intuitively.
L. Moccozet and N. Magnenat-Thalmann [17] propose another approach to get rid of lattice regularity. They use a method developed by G. Farin [18] to define a continuous parametrization over the Sibson coordinates. Still, control points have to be placed manually, and computing the Sibson coordinates is expensive and difficult.
The above methods do not guarantee not to fold the surface on itself. James E. Gain and Neil A. Dodgson [4] present a foldover-free condition and a cure for FFD deformations based on uniform B-Splines.

These methods can achieve very complex deformations but at a cost: either they are computationally intensive, or the effort required from the user to specify a lattice is high.

### 2.3. Many functions, few parameters

Another approach to space deformation is the definition of simple deforming tools. In this framework a shape is modeled by combining many simple deformations.
The first surface editing tool introduced that looks like space deformation is warping, by R. Parent [19]. Vertices within a distance (discrete number of edges) from a selected vertex are warped, that is, a weighted transformation of the selected vertex is applied to them.
P. Decaudin [2] proposes a tool that allows modeling a shape by iteratively adding or removing the volume of simple $3 D$ shapes (eg. sphere, ellipsoid). These deformations do not allow bending or twisting a shape, so they need to be coupled with other deformations to be general. They are foldover-free.
G. Wyvill et al. [20] introduce feature modeling, local space deformations applied to a parametric surface. A translation, twist or bend is applied around a point within a limiting ellipsoid. The deformation has a second-order continuity. The interesting point is
that intuitive editing is performed within the scene's space, as opposed to the surface's parametric space. Also, it shows that a space deformation tool can easily be turned into a surface editing tool.
Y. Kurzion and R. Yagel [21] present deformations they call ray deflectors. An inverse deformation can be computed, allowing deformation of the rendering instead of the shape. Their tool can translate, rotate and scale space, contained in a sphere, locally and smoothly: the deformation is however interpolated only by the center point of the tool. Moreover, they define a discontinuous deformation that allows one to cut space.
K. Singh and E. Fiume [22] introduce wires, a geometric deformation technique which can easily achieve a very rich set of deformations with curves as control features; however the deformation does not prevent the object from self-intersecting, and the only features that can remain undistorted are curves.
B. Crespin [9] introduces the IFFD (Implicit Free Form Deformation). Note that though it is called implicit, the deformation applied to an embedded shape is explicit: the field generated by a skeleton modulates affine transformations. He also proposes two ways to combine many transformations simultaneously.
D. Mason and G. Wyvill [3] introduce blendeforming, using reversible (foldover-free) local deformations that can specify the deformation by controlling the position of a point or the control points of a curve.

The modeling philosophy of all these methods is to apply simple deformations one after the other as a sculptor would do. In the zones deformed by the tool, it is difficult to control precisely which portion of the shape will be rigidly transformed.

A drawback of all the methods above resides in the relation between the deformation and the clay: either it is manually defined by the user, or making the correspondence is the bottleneck of the algorithm. As a result it is difficult to push or pull a particular part of the surface predictably.

## 3. Definitions and algorithm

Before describing how we perform the general deformations, we define the subsets and the matrix notation we use. Then, we explain how we handle foldover-free deformation with a single tool. We conclude this section with the complete deformation expressions.

### 3.1. Terminology and notation

We call tool $j$ a scalar field $\phi_{j}^{t}: p \in \mathbb{R}^{n} \mapsto[0,1]$ (the superscript $t$ denotes time). To specify tools eas-
ily, we use the following $C^{2}$ piecewise polynomial function $\mu_{j}: \mathbb{R} \mapsto[0,1]$ of a distance function $d_{j}^{t}: \mathbb{R}^{n} \mapsto \mathbb{R}$ :
$\mu_{j}(d)=\left\{\begin{array}{rll}0 & \text { if } & \lambda_{j} \leq d \\ 1+\left(\frac{d}{\lambda_{j}}\right)^{3}\left(\frac{d}{\lambda_{j}}\left(15-6 \frac{d}{\lambda_{j}}\right)-10\right) & \text { if } & d<\lambda_{j}\end{array}\right.$
We define $\phi_{j}^{t}=\mu_{j} \circ d_{j}^{t}$, as shown in Figure 2 . Note that each tool has a different coating thickness $\lambda_{j}$. For the following, the minimum of its derivative will be needed:

$$
\min \left(\frac{\delta \mu_{j}}{\delta d}\right)=\frac{-1.875}{\lambda_{j}}
$$

The scalar field $\phi_{j}^{t}$ has a local support, and is $C^{2}$ where the distance function is smooth within a $\lambda_{j}{ }^{-}$ neighborhood of the tool. We distinguish three zones:

- the inside $T_{j}^{t}$, where $\phi_{j}^{t}(p)=1$.
- the coating $K_{j}^{t}$, where $\phi_{j}^{t}(p) \in(0,1)$.
- the outside $O_{j}^{t}$, where $\phi_{j}^{t}(p)=0$.

We represent a tool's transformations by keyframes $\left(t_{0}, \ldots t_{n}\right)$, with the corresponding matrices (the transformations we consider are $4 \times 4$ matrix products of translations, uniform scaling and rotations):

- absolute transformations $M_{j}^{t_{i}}$, used to compute the distance to the tool.
- relative transformations $M_{j}^{t_{i} \mapsto t_{i+1}}=M_{j}^{t_{i+1}}\left(M_{j}^{t_{i}}\right)^{-1}$.

To compute the transformed scalar field $\phi_{j}^{t_{i}}$ at $t_{i}$, we evaluate the distance in a canonical frame set, and we rescale the distance by using the transformation's determinant:

$$
d_{j}^{t_{i}}(p)=\operatorname{det}\left(M^{t_{i}}\right)^{\frac{1}{3}} d_{j}^{t_{0}}\left(\left(M^{t_{i}}\right)^{-1} p\right)
$$

Loosely speaking, the scalar $\phi_{j}^{t_{i}}(p)$ is the amount of deformation of tool $j$ at time $t_{i}$ at $p$. To blend or to compute fractions of deformations, we use the operator $\odot$ and $\oplus$ defined by M. Alexa [23], which behave like • and + for scalars ${ }^{3}$. The naïve deformation of a point with a single tool would be:

$$
f^{t_{i} \mapsto t_{i+1}}(p)=\phi_{j}^{t_{i}}(p) \odot M_{j}^{t_{i} \mapsto t_{i+1}} p
$$

This, however, does not prevent the space from folding onto itself.

[^3]

Figure 2. $2 D$ scalar field for a disk of radius 1 , with $\lambda_{j}=1$.

### 3.2. Single tool and foldover issue

We introduce our deformations with a single tool $j$ to underline how we solve the foldover issue. Suppose for instance that $M_{j}^{t_{i} \mapsto t_{i+1}}$ is a translation of length larger than the coating thickness $\lambda_{j}$; it would map points from $T_{j}^{t_{i}}$ onto points of $O_{j}^{t_{i+1}}$, folding space onto itself, as shown in the left of Figure 3. However, if we decompose the transformation into a series of $s$ small enough transformations, foldovers can be avoided, as shown in the right of the figure. If there was a closed form expression for the deformation when $s \rightarrow+\infty$, we would not need to bother with stating a foldover-free condition. In practice, computing this closed form seems impossible, and taking the smallest number for which the deformation is foldover-free is enough. We will therefore define a lower bound to $s$, and create equally spaced sub-keyframes $\left\{\tau_{0}, \ldots \tau_{s}\right\}$, such that $\tau_{0}=t_{i}$ and $\tau_{s}=t_{i+1}$.

For the rest of the paper we will focus on a single interval $\left[t_{i}, t_{i+1}\right]$, so let us simply denote the relative transformation $M_{j}=M_{j}^{t_{i} \mapsto t_{i+1}}$. The in-between absolute transformations are:

$$
\left(\frac{k}{s} \odot M_{j}\right) * M_{j}^{t_{i}}, k \in[0, s-1]
$$

and the in-between relative transformations are all the same:

$$
\frac{1}{s} \odot M_{j}
$$

We have shown in Appendix A that the following is a lower bound to the required number of steps:

$$
\begin{equation*}
-\min \left(\frac{\delta \mu_{j}}{\delta d}\right) \max _{l \in[1,8]}\left\|\log \left(M_{j}\right) p_{l}\right\|<s \tag{1}
\end{equation*}
$$

where $p_{l \in[1,8]}$ are the corners of a bounding box around $K_{j}^{t_{i}}$.

### 3.3. Deforming with many tools

Applying more than one tool at the same time at the same place has applications such as shown in Figure 1, where we modeled a symmetric object by applying the same tool symmetrically with respect to a


Figure 3. $2 D$ illustration of our solution to foldovers. Left: the deformation maps space onto itself. Right: the deformation is decomposed into small foldoverfree steps.
plane. It is also used when defining a deformable tool made of several rigid parts such as a hand, and it allows the surface to be pinched. This could be useful later when we extend our method to incorporate topology changes.

Let us define $n$ tools sharing the same keyframes $t_{i}$, with each tool associated with a scalar field $\phi_{j}^{t_{i}}$. Each tool is also associated with a relative transformation $M_{j}^{t_{i} \mapsto t_{i+1}}$ between keyframes $t_{i}$ and $t_{i+1}$. The following expression provides a piecewise smooth ${ }^{4}$ combination of all the transformations at any point $p$ in space (we denote $\phi_{j}=\phi_{j}^{t_{i}}$ and $M_{j}=M_{j}^{t_{i} \mapsto t_{i+1}}$ to simplify the expression):

$$
\left\{\begin{array}{lr}
I & \text { if } \sum_{k=1}^{n} \phi_{k}(p)=0 \\
\bigoplus_{j=1}^{n}\left(\left(\frac{\left(1-\prod_{i=1}^{n}\left(1-\phi_{i}(p)\right)\right)}{\sum_{k=1}^{n} \phi_{k}(p)} \phi_{j}(p)\right) \odot M_{j}\right) \text { if } \sum_{k=1}^{n} \phi_{k}(p) \neq 0
\end{array}\right.
$$

This expression can be computed more efficiently:
$\left\{\begin{array}{ll}I & \text { if } \sum_{k=1}^{n} \phi_{k}(p)=0 \\ \exp & \frac{1-\prod_{i=1}^{n}\left(1-\phi_{i}(p)\right)}{\sum_{k=1}^{n \phi_{k}(p)}} \sum_{j=1}^{n}\left(\phi_{j}(p) \log \left(M_{j}\right)\right) \\ \text { if } \sum_{k=1}^{n} \phi_{k}(p) \neq 0\end{array}\right.$ (2)
where:

[^4]- $\frac{1}{\sum_{k=1}^{n} \phi_{k}(p)}$ is required to produced a normalized combination of the transformations. This prevents for instance two translations of vector $\vec{d}$ producing a translation of vector $2 \vec{d}$, which would send a point far away from the tools (the problem is also discussed in [22]).
- $1-\prod_{i=1}^{n}\left(1-\phi_{i}(p)\right)$ smooths the deformation in the entire space, required in the boundary between $K_{j}^{t_{i}}$ and $O_{j}^{t_{i}}$. Indeed, smoothness would be lost if we only used the normalization above.
An interesting point about this expression is that when compared to the solution proposed by B. Crespin [9], there is no extra scalar field required (only $\phi_{j}$ ) to ensure continuity in $\mathbb{R}^{n}$. The following expression is a lower bound to the required number of steps, generalizing the single tool condition (see Appendix A):

$$
\begin{equation*}
-\sum_{j} \min \left(\frac{\delta \mu_{j}}{\delta d}\right) \max _{l \in[1,8]}\left\|\log M_{j} p_{l_{j}}\right\|<s \tag{3}
\end{equation*}
$$

where $p_{l_{j} \in[1,8]}$ are the corners of the bounding box around $K_{j}^{t_{i}}$. To apply the deformation, the steps are as follows:

1. Compute the number of steps, $s$, using expression (3).
2. Deform the vertices $s$ times using expression (2), using $\frac{1}{s} \odot M_{j}$ instead of $M_{j}$. The absolute transformation is multiplied by $\frac{1}{s} \odot M_{j}$ at each step.

Normal deformation: In order to deform the normals, we need to compute the co-matrix of the Jacobian [5]. Even though a closed form can be derived from the above transformation, its length makes it difficult to code and time consuming. In practice, computing the Jacobian with finite differences works well enough ${ }^{5}$.

## 4. Fast expressions for interactive sculpture

When using multiple tools, the time of the scene must be frozen in order to input each tool one at a time. However this is not the case for editing with a single tool. In this scenario, the transformations may just be pure translations, uniform scaling and rotations. The transformations of a point and its normal are much simpler to compute, as there is a closed form to the logarithm of such simple transformations. In this section, in addition to efficient expressions for computing

[^5]the number of required steps, we provide fast deformation functions for a vertex and its normal. For the normal, computing the Jacobian's co-matrix is not always required: $\left(c o m J^{t}\right) \vec{n}$ leads to much simpler expressions for translations and uniform scaling. Note that the normal's deformations do not preserve the normal's length. It is therefore necessary to divide the normal by its magnitude. We denote $\overrightarrow{\gamma^{t}}=\left(\gamma_{x}^{t}, \gamma_{y}^{t}, \gamma_{z}^{t}\right)^{\top}$ the gradient of $\phi^{t}$ at $p$.

### 4.1. If $M$ is a translation:

The use of $\odot$ can be simplified with translation vector $\vec{d}$. The minimum number of steps is:

$$
-\min \left(\frac{\delta \mu^{t_{i}}}{\delta d}\right)\|\vec{d}\|<s
$$

The $s$ vertex deformations are:

$$
f^{\tau_{k} \mapsto \tau_{k+1}}(p)=p+\frac{\phi^{\tau_{k}}(p)}{s} \vec{d}
$$

The $s$ normal deformations are:

$$
g^{\tau_{k} \mapsto \tau_{k+1}}(\vec{n})=\left(1+\frac{1}{s} \gamma^{\tau_{k}} \cdot \vec{d}\right) \vec{n}-\frac{1}{s}\left(\vec{d}^{\top} \vec{n}\right) \gamma^{\tau_{k}}
$$

### 4.2. If $M$ is a uniform scaling operation:

Let us define the center of the scale $c$, and the scaling factor $\sigma$. The minimum number of steps is:

$$
-\min \left(\frac{\delta \mu^{t_{i}}}{\delta d}\right) \sigma \log (\sigma) D_{\max }<s
$$

where $D_{\text {max }}$ is the largest distance between a point in the deformed area and the center $c$, approximated using a bounding box. The $s$ vertex deformations are:

$$
f^{\tau_{k} \mapsto \tau_{k+1}}(p)=\sigma^{\frac{\phi^{\tau_{k}(p)}}{s}}(p-c)+c
$$

Let $\vec{\chi}=\frac{1}{s} \log (\sigma)(p-c)$. The $s$ normal deformations are:

$$
g^{\tau_{k} \mapsto \tau_{k+1}}(\vec{n})=\left(1+{\gamma^{\tau_{k}}}^{\top} \vec{\chi}\right) \vec{n}-\left(\vec{\chi}^{\top} \vec{n}\right){\overrightarrow{\tau_{k}}}_{k}
$$

### 4.3. If $M$ is a rotation:

Let us define a quaternion $q(\theta)$ of rotation angle $\theta$, center of rotation $r$ and vector of rotation $\vec{v}=$ $\left(v_{x}, v_{y}, v_{z}\right)^{\top}$. The minimum number of steps is:

$$
-\min \left(\frac{\delta \mu^{t_{i}}}{\delta d}\right) \theta R_{\max }<s
$$

where $R_{\max }$ is the distance between the axis of rotation and the farthest point from it, approximated using a bounding box. The $s$ vertex deformations are:

$$
f^{\tau_{k} \mapsto \tau_{k+1}}(p)=q\left(\theta \frac{\mu^{\tau_{k}}(p)}{s}\right)(p-r) \bar{q}\left(\theta \frac{\mu^{\tau_{k}}(p)}{s}\right)+r
$$

As the expression we obtained for ( $\left.\operatorname{com} J^{\tau_{k}}\right) \vec{n}$ was not as simple as in previous cases, the $s$ normal deformations are simply given as:

$$
g^{\tau_{k} \mapsto \tau_{k+1}}(\vec{n})=\left(\operatorname{com} J^{\tau_{k}}\right) \vec{n}
$$

where:

$$
\left.\begin{array}{rlrl}
J^{\tau_{k}} & =\left(v_{x} A+\gamma_{x}^{\tau_{k}} B+\vec{n}_{x}\right. & v_{y} A+\gamma_{y}^{\tau_{k}} B+\vec{n}_{y} & v_{z} A+\gamma_{z}^{\tau_{k}} B+\vec{n}_{z}
\end{array}\right)
$$

## 5. Outline for an interactive modeler

Though modeling could be performed by a script, it is much more convenient to provide the designer with immediate visual feedback of the current state of the shape. We provide in this section complementary information for a practical implementation. The precision limit imposed by speed requirements is discussed.

### 5.1. Shape model

We want to control the exact topology of our shapes. The objective of such control is to allow the user to define very thin membranes without having them disappear, or having to sample the surface excessively, as we were able to do in Figure 8(c). For this reason, we choose to handle piecewise connected surfaces. However, the reader may be interested to know that pointsampled geometry has recently had interesting results [24].

Although our deformations could be applied to the control points of some parametric surface, we represent the modeled shape with a triangle mesh, refined or decimated in order to keep homogeneous sampling. The restriction to " $C^{0}$ patches" circumvents the issues related to non-regular vertices and maintaining smoothness across the boundaries of parametric patches.

Thus, the scene is initialized with a polygonal model of a sphere with sampling properties on the size of the edges and the normal variation ${ }^{6}$. In order quickly to fetch the vertices to be deformed and the edges that require splitting or collapsing, these are inserted into a

[^6]3D grid. Note that this spatial limitation is not too restrictive for the artist, as our deformations allow us to translate the entire model rigidly and scale it uniformly. To fetch the part of the scene requiring update, a query is done with the tool's bounding box. This bounding box is the one used in equation (3).

Because our deformation algorithm subdivides a deformation into a series of smaller ones, it provides us with in-between triangulations whose vertices have had thresholded displacements. To take advantage of this, we apply a modified version of the generic algorithm in [25]. Our method requires keeping two vertices and two normals per point, corresponding to the current time $\tau_{i}$ and next time $\tau_{i+1}$ of some small step $f^{\tau_{i} \mapsto \tau_{i+1}}$.

The intuitive idea behind our surface-updating algorithm is to assume that smooth curves run on the surface, and that the available information, namely vertices and normals, should be able to represent them. If this is not the case after deformation, then the surface is under-sampled.

Let us consider an edge $e$ defined by two vertices ( $v_{0}, v_{1}$ ) with normals ( $n_{0}, n_{1}$ ), and the deformed edge $e^{\prime}$ defined by vertices ( $v_{0}^{\prime}, v_{1}^{\prime}$ ) with normals ( $n_{0}^{\prime}, n_{1}^{\prime}$ ).

In addition to the conditions in [25] based on edge length and angle between normals, we also base the choice of splitting edge $e^{\prime}$ on the error between the edge and a fictitious point, which belongs to a smooth curve on the surface. The fictitious point is only used for measuring the error, and is not a means of interpolating the vertices. If the error between the fictitious point and the edge is too large, the edge $e$ is split, and the new vertex and normal are deformed. If the fictitious point represents the edge $e^{\prime}$ well enough, then edge $e$ is collapsed, and the new point is deformed.

We define the fictitious vertex as the mid-vertex of a $C^{1}$ curve, since we only have first order information on the surface:

$$
v_{\mathrm{f}}^{\prime}=0.5\left(v_{0}^{\prime}+v_{1}^{\prime}+0.5\left(\left(g * n_{0}^{\prime}\right) n_{0}^{\prime}-\left(g * n_{1}^{\prime}\right) n_{1}^{\prime}\right)\right)
$$

where $g=v_{0}^{\prime}-v_{1}^{\prime}$.
Too-long edge: An edge $e^{\prime}$ is too long if at least one of the following conditions is met:

- The edge is longer than $L_{\text {max }}$, the size of a gridcell. This condition keeps a minimum surface density, so that the deformation can be caught by the net of vertices if the coating thickness $\lambda_{j}$ is greater that $L_{\text {max }}$.
- The distance between the fictitious vertex and the mid-vertex of $e^{\prime}$ is too large (we used $L_{\text {max }} / 20$ ).
- The angle between the normals $n_{0}^{\prime}$ and $n_{1}^{\prime}$ is larger than a constant $\theta_{\text {max }}$.

Too-short edge: An edge $e^{\prime}$ is too short if all the following conditions are met:

- The edge's length is shorter than $L_{\text {min }}$ (we used $L_{\text {max }} / 2$ ).
- The angle between the normals $n_{0}^{\prime}$ and $n_{1}^{\prime}$ is smaller than a constant $\theta_{\text {min }}$.
- The distance between the fictitious vertex and the mid-vertex of $e^{\prime}$ is too small (we used $L_{\text {min }} / 20$ ).

Also, to avoid excessively small edges, an edge is merged regardless of previous conditions if it is too small (we used $L_{\text {min }} / 20$ ).

We like to stress that the procedure for updating the mesh is applied at each small step, rather than after the user's deformation has been applied. Because vertex displacements are bounded by the foldover-free conditions, we avoid the problems related to triangulating greatly distorted surfaces. Figure 4 shows a twist on a simple shape. Figure 5 shows the algorithm preserving a fine triangulation only where required. Figure 6 shows the algorithm at work in a more practical situation. The procedure is:

```
Compute the number of steps required, s.
for each step }\mp@subsup{\tau}{i}{}\mapsto\mp@subsup{\tau}{i+1}{}\mathrm{ do
        Deform the points, and hold their previous values
        for each too-long edge do
            split the edge and deform the new point.
        end for
        for each too-short edge do
            collapse the edge and deform the new point.
        end for
end for
```



Figure 4. Example of our mesh-updating algorithm on a highly twisted U-shape. The close-up shows a sharp feature, with finer elongated triangles.

Limitation: Suppose the scene is at time $t_{k}$, so that the shape $S^{k}$ is shown to the user, and that he specifies a deformation $f^{t_{k} \mapsto t_{k+1}}$ with the mouse. All the mesh refinements and simplifications are performed in $S^{k}$. This is however an approximation, as ideally the operations should be performed in the initial shape $S^{0}$, and ${ }_{i=0}^{k} f^{t_{i} \mapsto t_{i+1}}$ should be applied to the new vertices.


Figure 5. Behaviour of our mesh-updating algorithm on an already punched sphere. The decimation acompanying the second punch simplifies the small triangle of the first punch. The tool has been removed for better visualization.


Figure 6. Close-up of the goat. Notice the large triangles on the cheek and the fine ones on the ear. The initial shape is a sphere.

This would however become more and more time consuming as the sequence of actions gets longer ( $k$ gets larger). The approximation consisting of deforming $S^{k}$ rather than $S^{0}$ works well enough in practice, and is fast.

### 5.2. Tool model

We propose to control the position, size and orientation of the tools by clicking on a controller with the mouse that allows us to perform translation, uniform scaling and rotation along three axes or in the viewing plane. The tools can have three modes: if the user performs a right click on a tool, it is in positioning mode, and can be translated, scaled or rotated without deforming the space. If the user performs a left click, the tool is in deforming mode, and any transformation will deform space and the shape embedded in it in real time. If the user performs a middle click, the clock of the scene is frozen, the tools are in multiple deforming mode. This allows the user to position as many tools as required between $t_{i}$ and $t_{i+1}$, which will deform space in parallel when the user presses an acknowledge key.

Computing the distance to a tool is required to compute the scalar field $\mu_{j}$. The easiest tools that can be implemented are simple objects (sphere, cube) which have closed form expression for their distance to a point. It is however convenient for an artist to choose or manufacture his own tools, as every artist has his own way of sculpting. For this purpose, we propose the possibility to bake the pieces of clay in order to use them as a tools (see Figure 7). By baking, we mean precomputing a data structure such that the distance field can be efficiently computed. Various algorithms exist, and information can found in [26]. Presenting them is beyond the scope of this paper. In our implementation, we pre-compute a BSP of the Voronoï diagram of the vertices, and compute the distance using the surrounding triangles.


Figure 7. Example of customized tools deforming a sphere.

## 6. Results

Even though we limited ourselves to combining a few transformations (translation, uniform scale and rotation), the set of possible deformations is already very high because of the arbitrary shape and coating of the tools, and also because many tools' deformations can be blended. The shapes shown in Figure 8 were modeled in real-time in an hour at most, and were all made starting with a sphere.

Figures 1, 8(a) and 8(b) show the use of the multitool to achieve smooth and symmetric objects. Figure $8(\mathrm{~d})$ shows that sharp features can be easily modeled. Figures 8(c) and 8(i) show the advantage of foldoverfree deformations, as the artist did not have to concentrate on avoiding self-intersections: our deformations do not change the topology of space and thus preserve the topology of the initial object.

## 7. Conclusion and future work

We have presented sweepers, a new class of smooth and normalized space deformations that are intuitive since they correspond to a clay modeling metaphor and preserve the shape's coherency. In order to do this, we combine transformations non-linearly in matrix logarithmic space, allowing us to parametrize and decompose the deformations using a foldover-free conjecture
that still has to be proved. In the case of simple transformations for single tools, we provide fast expressions used for real time modeling. Future work consists of specifying more useful scalar fields, possibly using convolution surfaces. Also, for deforming implicit surfaces as well as polygonal ones, a fast way of inverting the function is required. This is theoretically feasible since our deformations are diffeomorphisms of space. We are also investigating ways to incorporate changes in topology.

## 8. Acknowledgments

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## A. Foldover-free conjecture

To simplify notation, let us note:

$$
\beta_{j}(p)=\frac{1-\prod_{i=1}^{n}\left(1-\phi_{i}(p)\right)}{\sum_{i=1}^{n} \phi_{i}(p)} \phi_{j}(p)
$$

Let us define two points in space $p, q \in \mathbb{R}^{d}$. To find a condition on the deformation being foldover-free, we prove the following: if $q \neq p$, then their images should be different:

$$
\bigoplus_{j=1}^{n}\left(\beta_{j}(q) \odot M_{j}\right) q \neq \bigoplus_{j=1}^{n}\left(\beta_{j}(p) \odot M_{j}\right) p
$$

We consider $q$ being in the neighborhood of $p$, i.e. the reachable space along the $n$ paths of the deformation, with $h_{j} \rightarrow 0^{+}$:

$$
q=\bigoplus_{j=1}^{n}\left(h_{j} \odot M_{j}\right) p
$$

We substitute $q$ and rearrange the equation:

$$
\bigoplus_{j=1}^{n}\left(-\beta_{j}(p) \odot M_{j}\right) \bigoplus_{j=1}^{n}\left(\beta_{j}(q) \odot M_{j}\right) \bigoplus_{j=1}^{n}\left(h_{j} \odot M_{j}\right) p \neq p
$$

Because $h_{j} \rightarrow 0^{+}$, the two leftmost matrices commute, and their product commutes with the rightmost matrix. We can therefore write the condition:

$$
\bigoplus_{j=1}^{n}\left(\left(\beta_{j}(q)-\beta_{j}(p)+h_{j}\right) \odot M_{j}\right) p \neq p
$$

We suppose $p$ is not an eigenvector associated with eigenvalue 1 of the above matrix, so we can generalize this vertex inequality to a matrix inequality:

$$
\bigoplus_{j=1}^{n}\left(\left(\beta_{j}(q)-\beta_{j}(p)+h_{j}\right) \odot M_{j}\right) \neq I
$$

Applying the determinant and rearranging the expression:

$$
\prod_{j=1}^{n} \operatorname{det}\left(M_{j}\right)^{-\frac{\beta_{j}(q)-\beta_{j}(p)}{h_{j}}} \neq \prod_{j=1}^{n} \operatorname{det}\left(M_{j}\right)
$$

Since $h_{j} \rightarrow 0^{+}$:

$$
\prod_{j=1}^{n} \operatorname{det}\left(M_{j}\right)^{-\frac{\delta \beta_{j}(q)}{\delta h_{j}}} \neq \prod_{j=1}^{n} \operatorname{det}\left(M_{j}\right)
$$

Let us assume $\operatorname{det}\left(M_{j}\right) \geq 1$. Because $\forall \alpha \geq 1, \forall x \in \mathbb{R}$ the function $x \mapsto \alpha^{x}$ is increasing with respect to $x$, the deformation is foldover-free if $\forall j$ :

$$
-\frac{\delta \beta_{j}(q)}{\delta h_{j}} \neq 1
$$

By substituting for $\beta_{j}$ :

$$
-\frac{\delta}{\delta h_{j}}\left(\frac{\left(1-\prod_{i}\left(1-\mu_{i}\left(d_{i}(q)\right)\right)\right)}{\sum_{i} \mu_{i}\left(d_{i}(q)\right)} \mu_{j}\left(d_{j}(q)\right)\right) \neq 1
$$

Applying the chain rule:

$$
\sum_{k}-\frac{\delta d_{k}(q)}{\delta h_{j}} \frac{\delta}{\delta d_{k}}\left(\frac{\left(1-\prod_{i}\left(1-\mu_{i}\left(d_{i}\right)\right)\right)}{\sum_{i} \mu_{i}\left(d_{i}\right)} \mu_{j}\left(d_{j}\right)\right) \neq 1
$$

By developing the derivative:
$-\frac{\delta d_{j}(q)}{\delta h_{j}} \frac{\delta \mu_{j}\left(d_{j}\right)}{\delta d_{j}} \frac{\prod_{i}\left(1-\mu_{i}\left(d_{i}\right)\right)}{1-\mu_{k}\left(d_{k}\right)}$
$-\sum_{k \neq j} \frac{\delta d_{k}(q)}{\delta h_{j}} \frac{\delta \mu_{k}\left(d_{k}\right)}{\delta d_{k}} \frac{\mu_{j}\left(d_{j}\right)}{\sum_{i} \mu_{i}\left(d_{i}\right)}\left(\frac{\prod_{i}\left(1-\mu_{i}\left(d_{i}\right)\right)}{1-\mu_{k}\left(d_{k}\right)}-\frac{1-\prod_{i}\left(1-\mu_{i}\left(d_{i}\right)\right)}{\sum_{i} \mu_{i}\left(d_{i}\right)}\right) \neq 1$
It can be easily shown that $\frac{\mu_{j}\left(d_{j}\right)}{\sum_{i} \mu_{i}\left(d_{i}\right)} \in[0,1], \frac{\Pi_{i}\left(1-\mu_{i}\left(d_{i}\right)\right)}{1-\mu_{k}\left(d_{k}\right)} \in$ $[0,1]$ and $\frac{1-\prod_{i}\left(1-\mu_{i}\left(d_{i}\right)\right)}{\sum_{i} \mu_{i}\left(d_{i}\right)} \in[0,1]$. Also, we have shown in Appendix B that $\forall h \in \mathbb{R}, \frac{\delta d\left(h \odot M p, T^{t_{i}}\right)}{\delta h} \leq\left\|\frac{\delta h \odot M p}{\delta h}\right\|$, and we know that $\forall d \in[0,1],-\frac{\delta \mu(d)}{\delta d} \leq-\min \left(\frac{\delta \mu}{\delta d}\right)$. Thus, the deformation is foldover-free if $\forall j$ :

$$
\begin{aligned}
& -\min \left(\frac{\delta \mu_{j}}{\delta d}\right)\left\|\frac{\delta h \odot M_{j} p}{\delta h}\right\|_{h=0} \\
& -\sum_{k \neq j} \min \left(\frac{\delta \mu_{k}}{\delta d}\right)\left\|\frac{\delta h \odot M_{k} p}{\delta h}\right\| \|_{h=0}<1
\end{aligned}
$$

We can rewrite these $n$ conditions in a single one:

$$
-\sum_{i} \min \left(\frac{\delta \mu_{i}}{\delta d}\right)\left\|\frac{\delta h \odot M_{i} p}{\delta h}\right\|_{h=0}<1
$$

Note that $\left\|\frac{\delta h \odot M_{j} p}{\delta h}\right\|_{h=0}=\left\|\log M_{j} p\right\|$. Because a matrix is a diffeomorphism, we can define $n$ bounding boxes $p_{k_{j} \in[1,8]}$ around $K_{j}^{t_{i}}$ to approximate $\left\|\log M_{j} p\right\|$. Also, since taking fractions of the transformations prevents the space to fold on itself, we can introduce the number of steps we look for:

$$
-\sum_{j} \min \left(\frac{\delta \mu_{j}}{\delta d}\right)_{k_{j} \in[1,8]}\| \| \log \left(\frac{1}{s} \odot M_{j}\right) p_{k_{j}} \|<1
$$

Since $1<s$ :

$$
-\sum_{j} \min \left(\frac{\delta \mu_{j}}{\delta d}\right)_{k_{j} \in[1,8]} \max \left\|\log M_{j} p_{k_{j}}\right\|<s
$$

This does not constitute a proof since we haven't shown that $p$ is not an eigenvector associated with eigenvalue 1 of the concerned matrix, and we assumed $\operatorname{det}\left(M_{j}\right) \geq 1$.

## B. Proof $\forall h \in \mathbb{R}, \frac{\delta d\left(h \odot M p, T^{t i}\right)}{\delta h} \leq\left\|\frac{\delta h \odot M p}{\delta h}\right\|$

Let $q \in T^{t_{i}}$ be the point of the tool that is closest to $p$ : $d\left(p, T^{t_{i}}\right)=d(p, q)$. Once $p$ has moved, $q$ may not be the closest point anymore, so $\forall h \in \mathbb{R}, d\left(h \odot M p, T^{t_{i}}\right) \leq d(h \odot M p, q)$. Therefore we can introduce this inequality:

$$
\begin{aligned}
\frac{\delta d\left(h \odot M p, T^{t_{i}}\right)}{\delta h} & \leq \lim _{\epsilon \rightarrow 0} \frac{d((h+\epsilon) \odot M p, q)-d(h \odot M p, q)}{\epsilon} \\
& \leq \frac{\delta d(h \odot M p, q)}{\delta h}
\end{aligned}
$$

To compute the derivative of the distance to a point, we use the following formula, modeled by differentiating $\sqrt{(h \odot M p-q)^{2}}$ :

$$
\frac{\delta d(h \odot M p, q)}{\delta h}=\frac{(h \odot M p-q) * \frac{\delta h \odot M p}{\delta h}}{\sqrt{(h \odot M p-q)^{2}}}
$$

And finally, because the length of a vector isshorter when multiplied by a normal vector:

$$
\left|(h \odot M p-q) \frac{\frac{\delta}{\delta h} h \odot M p}{\left\|\frac{\delta}{\delta h} h \odot M p\right\|}\right| \leq \sqrt{(h \odot M p-q)^{2}}
$$

So we can substitute the latter:

$$
\frac{\delta d(h \odot M p, q)}{\delta h} \leq\left\|\frac{\delta h \odot M p}{\delta h}\right\|
$$

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Figure 8. All these shapes were modeled starting with a sphere, in at most one hour. In (c), the first modeling step was to squash the sphere into a very thin disk. In (g), eyeballs were added.


[^0]:    * GRAVIR is a joint lab of CNRS, INRIA, Institut National Polytechnique de Grenoble and Université Joseph Fourier.

[^1]:    $1 \stackrel{n-1}{\Omega_{i=0}} f^{t_{i} \mapsto t_{i+1}}(p)$ denotes a function composition sequence $f^{t_{n-1} \mapsto t_{n}} \circ \cdots \circ f^{t_{0} \mapsto t_{1}}(p)$

[^2]:    2 Matrix of the cofactors

[^3]:    $3 \odot$ is defined as $\alpha \odot M=\exp (\alpha \log M)$ and $\oplus$ is defined as $M \oplus$ $N=\exp (\log M+\log N)$

[^4]:    4 as smooth as the $\phi_{i}$.

[^5]:    5 We used C++ double precision float numbers with $\epsilon=1 e-12$, with coating values $\lambda_{j}$ between 0.2 and 10 .

[^6]:    6 A simple way to obtain an homogeneous sphere polygonization consists of starting with an octahedron, putting all its edges longer than $h$ in a queue, splitting them and putting the pieces longer than $h$ back in the queue. Each time a split is performed, the new edges are flipped to maximize the smallest angle.

