# D-STAG: a Formalism for Discourse Analysis based on SDRT and using Synchronous TAG D-STAG

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25 août 2010

# New formalism : D-STAG

## For parsing discourse

Formalism which extends a sentential syntax-semantics interface to the discourse level.

It computes the "discourse structure" of the input discourse : discourse relations link together the meanings conveyed by discourse segments

#### Discourse theory

SDRT = Segmented Discourse Theory (Asher 1993, Asher and Lascarides 2003)

#### Parsing formalism

STAG = Synchronous Tree Adjoining Grammar (Shieber and Shabes 1990, Nesson and Shieber 2006) syntax-semantics interface and machine translation

# Architecture of D-STAG

#### Sentential analyzer

which provides the syntactic and semantic analyses of each sentence in the discourse given as input,

#### Sentence-discourse interface

which is a mandatory component if one wants not to make any change to the sentential analyzer,

#### Discursive analyzer

which computes the discourse structure.

- 1 Discursive Linguistic Data
- 2 Introduction to TAG and STAG
- 3 Sentence-Discourse Interface

#### 4 Discursive Component of D-STAG

- STAG Grammar for Connectives
  - Adverbial Connectives and Postposed Conjunctions
  - Preposed Conjunctions
- STAG grammar for modifiers of discourse connectives/relations

## **(5)** Comparison between D-STAG and D-LTAG

## 6 Conclusion

## Discursive Linguistic Data

#### Discourse connective

A discourse relation is expressed by a "discourse connective" :

- subordinating and coordinating conjunctions (*because, or*) and discourse adverbials (*next, therefore*)

- empty connective  $\epsilon$  (Fred fell.  $\epsilon$  Max tripped him up. of the form

 $C_1$ .  $\epsilon$   $C_2$ . the discourse relation must be inferred)

#### Discourse relation

semantic predicate lexicalized by a discourse connective (possibly empty) two arguments : discursive semantic representations of (continuous) discourse segments. Discourse structures as dependency graphs

RST (Mann and Thomson 1988) and D-LTAG (Webber et al. 2003)

dependency graphs are tree-shaped

#### Myth

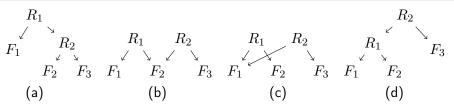
Wolf and Gibson (2006) Danlos (2004, 2007) SDRT home-made graphs converted as dependency graphs

#### DAGS

not necessarily tree-shaped respect strong constraints

## Discourses of the form $C_1$ because $C_2$ . $Adv_2 C_3$ .

- (1)a. Fred is in a bad mood because he lost his keys. Moreover, he failed his exam.
  - b. Fred is in a bad mood because he didn't sleep well. He had nightmares.
  - c. Fred went to the supermarket because his fridge is empty. Then, he went to the movies.
  - d. Fred is upset because his wife is abroad for a week. This shows that he does love her.



D-STAG can produce non tree-shaped dependency graphs

# Introduction to TAG (Joshi 1985)

- Set of elementary tree structures : initial or auxiliary trees
- Two operations to combine these structures : substitution and adjunction
- Use of the diacritic  $\downarrow$  on a frontier node indicates that it is a  $substitution \ node$
- Auxiliary trees are elementary trees in which the root and a frontier node, called the *foot node* and distinguished by the diacritic \*, are labeled with the same nonterminal

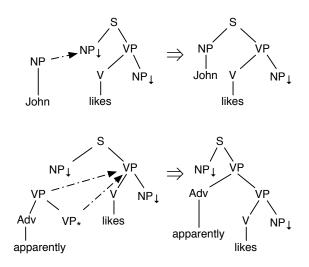


FIGURE: Example TAG substitution and adjunction operations (From Nesson and Shieber, 2006)

## Introduction to STAG (Shieber 1994)

- Synchronous TAG (STAG) extends TAG by taking the elementary structures to be pairs of TAG trees with links between particular nodes in those trees.
- An STAG is a set of triples,  $\langle t_L, t_R, \frown \rangle$  where  $t_L$  and  $t_R$  are elementary TAG trees and  $\frown$  is a linking relation between nodes in  $t_L$  and nodes in  $t_R$
- Derivation proceeds as in TAG except that all operations must be paired. That is, a tree can only be substituted or adjoined at a node if its pair is simultaneously substituted or adjoined at a linked node.
- Links are notated by using circled indices (e.g. ①) marking linked nodes.

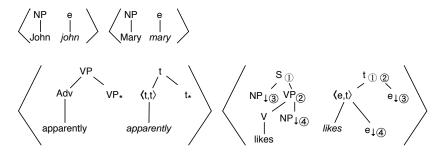


FIGURE: An English syntax/semantics STAG fragment for *John apparently likes Mary.* (From Nesson and Shieber 2006)

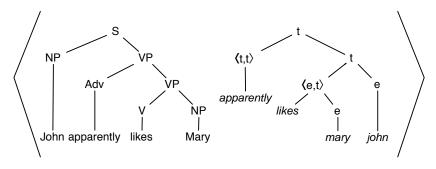


FIGURE: Derived tree pair for John apparently likes Mary.

Resulting semantic representation can be read off the semantic derived tree by treating the leftmost child of a node as a functor and its siblings as its arguments : apparently(likes(john, mary))

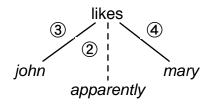


FIGURE: Derivation tree for *John apparently likes Mary*. (From Nesson and Shieber 2006)

- Only one derivation tree for both the syntactic and semantic representations.
- Each link in the derivation tree specifies a link number in the elementary tree pair.

## Sentence-Discourse Interface

### Why this interface is necessary?

The idea in D-STAG : extend a sentential analyzer to the discourse level without making any change to it.

Mismatches between the arguments of a connective at the discourse level and its arguments at the sentence level

- an adverbial connective has compulsorily two arguments at the discourse level, whereas it has only one argument at the sentence level
- a subordinating conjunction can have an argument at the discourse level which crosses a sentence boundary whereas this is out of the question at

the sentence level

The sentence-discourse interface gives sentence boundaries the simple role of punctuation signs and allows us to re-compute the (two) arguments of a connective.

# Discourse Normalized Forms (DNFs)

#### sequence of "discourse words"

a connective, an identifier  $C_i$  for a clause (without any connective), a punctuation sign,  $\ldots$ 

Fred went to the movies. As he was in a bad mood, he didn't enjoy it. He then went to a bar because he was dead thirsty.  $C_1. \epsilon as C_2, C_3. then^{vp} C_4 because C_5.$ 

#### Regular grammar

A DNF without any preposed conjunction follows the pattern  $C_1 \ Conn_2 \ C_2 \dots Conn_{n-1} \ C_n$  disregarding punctuation signs.

Plug sentential analyses into discursive ones

#### For a clause $C_i$

its syntactic tree rooted in S and noted  $T_i$ , its semantic tree rooted in t and noted  $F_i$ , and its derivation tree noted  $\tau_i$ .

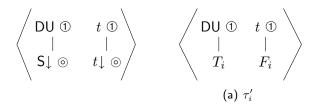


FIGURE: Tree pairs  $\alpha S$ -to-D and  $\tau'_i$ 

#### DU : Discourse unit

## Discursive Component of D-STAG

## STAG grammar for connectives

#### Basic principle

When a given connective  $Conn_i$  lexicalizes a single discourse relation  $R_i$ , tree pair  $Conn_i \div R_i$  whose syntactic tree is anchored by  $Conn_i$  and whose semantic tree is anchored by (a lambda-term associated with)  $R_i$ .

When a connective is ambiguous, it anchors as many syntactic trees as discourse relations it lexicalizes.

However, ambiguity issues are not in the scope of this paper.

# Adverbial Connectives and Postposed Conjunctions

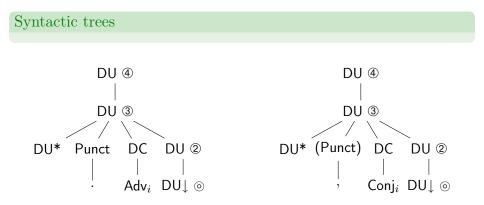


FIGURE: Syntactic trees for adverbial connectives and postposed conjunctions

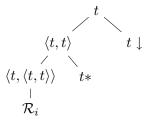
Auxiliary trees with a foot node DU\* and a substitution node  $DU \downarrow$ 

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## Semantic trees

#### First idea

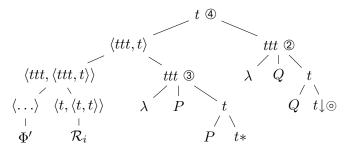
Simple functor  $\mathcal{R}_i$  (associated to the discourse relation  $R_i$ ) with t\* and  $t \downarrow$ 



#### Too simple

Only appropriate for DNFs with two clauses No conjunction of formulae (needed for DNFs with three (or more) clauses) Functor  $\Phi'(\mathcal{R}_i) = \mathcal{R}'_i$ 

 $\begin{array}{l} \Phi' = \lambda \mathcal{R}_i XY.X(\lambda x.Y(\lambda y.\mathcal{R}_i(x,y)))\\ \Phi'(\mathcal{R}_i) = \mathcal{R}'_i = \lambda XY.X(\lambda x.Y(\lambda y.\mathcal{R}_i(x,y)))\\ \text{with } X,Y:ttt = \langle \langle t,t\rangle,t\rangle \text{ and } x,y:t \end{array}$ 

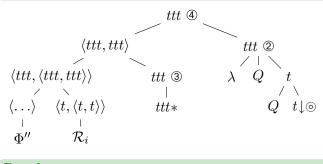


Result

 $R_i(F_1, F_2)$ 

Functor  $\Phi''(\mathcal{R}_i) = \mathcal{R}''_i$ 

$$\begin{split} \Phi'' &= \lambda \mathcal{R}_i XYP.X(\lambda x.Y(\lambda y.\mathcal{R}_i(x,y) \land P(x))) \\ \Phi''(\mathcal{R}_i) &= \mathcal{R}''_i = \lambda XYP.X(\lambda x.Y(\lambda y.\mathcal{R}_i(x,y) \land P(x))) \\ \text{with } X,Y:ttt &= \langle \langle t,t\rangle,t\rangle, \ P: \langle t,t\rangle \ \text{and} \ x,y:t \end{split}$$



Result

 $\lambda P.(R_i(F_1,F_2) \wedge P(F_1))$ 

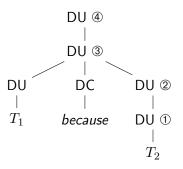
## Analysis of DNFs with three clauses

Four types of interpretations

illustrated on examples (1) of the form  $C_1$  because  $C_2$ .  $Adv_2 C_3$ .

 $\beta_1$ : the tree pair  $because_{post} \div Explanation$ 

 $\beta_2$ : the tree pair  $Adv_2 \div R_2$ .



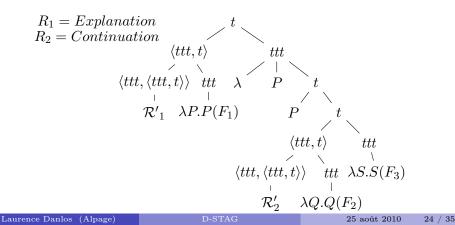
## Right frontier Four nodes DU with different links

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Analysis of (1a)

Fred is in a bad mood because he lost his keys. Moreover, he failed his exam.

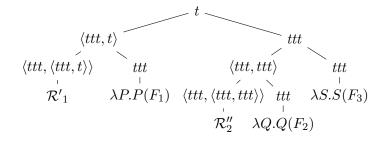
 $\beta_2 = moreover \div Continuation$ interpretation  $R_1(F_1, R_2(F_2, F_3))$ adjunction of  $\beta_2$  at link 0 of  $\tau'_2$ 



# Analysis of (1b)

Fred is in a bad mood because he didn't sleep well. He had nightmares.

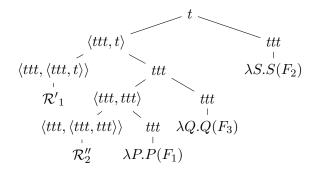
 $\beta_2 = \epsilon \div Explanation$ interpretation  $Explanation(F_1, F_2) \land Explanation(F_2, F_3)$ adjunction of  $\beta_2$  at link @ of  $\beta_1$ 



# Analysis of (1c)

Fred went to the supermarket because his fridge is empty. Then, he went to the movies.

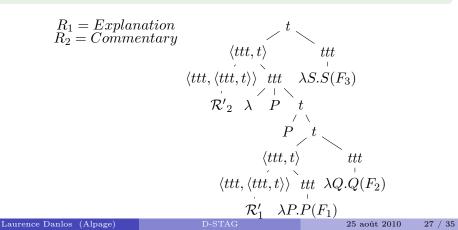
 $\beta_2 = then \div Narration$   $Explanation(F_1, F_2) \land Narration(F_1, F_3)$ adjunction of  $\beta_2$  at link (3) of  $\beta_1$ 



Analysis of (1d)

Fred is upset because his wife is abroad for a week. This shows that he does love her.

 $\beta_2 = \epsilon \div Commentary$ interpretation  $Commentary(Explanation(F_1, F_2), F_3)$ adjunction of  $\beta_2$  at link ④ of  $\beta_1$ 



# Implementation of the Right Frontier Constraint (RFC)

#### SDRT

Distinction between two types of discourse relations : coordinating (*Narration*, *Continuation*) versus subordinating (*Explanation*, *Commentary*) ones RFC : it is forbidden to attach new information to the first argument of a coordinating relation

#### D-STAG

Distinction between coordinating and subordinating relations  $\Rightarrow$  two copies of the semantic trees which differ in a top feature  $[coord=\pm]$  decorating their foot node.

 $\mathsf{RFC}\Rightarrow\mathsf{any}$  adjunction at link (3) of the copies whose foot node is decorated with the feature [coord=+] is forbidden

The interpretation  $R_1(F_1,F_2) \wedge R_2(F_1,F_3)$  is excluded when  $R_1$  is coordinating

# Preposed Conjunctions

"Framing adverbial"

(2) When he was in Paris, Fred went to the Eiffel Tower. Next, he visited The Louvre.

Interpretation :  $Circumstance(Narration(F_2, F_3), F_1)$ 

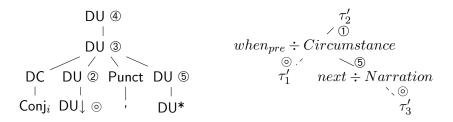


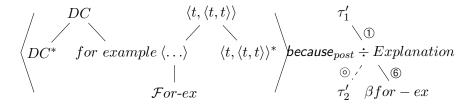
FIGURE: Tree anchored by a preposed conjunction and derivation tree for (2)

The syntactic discursive grammar of D-STAG is not a TIG (Tree InsertionGrammar)Laurence Danlos (Alpage)D-STAG25 août 201029 / 35

## Modifiers of Discourse Connectives/Relations

- (3)a. Fred is in a bad mood *only/even/except* when it is sunny.
  - b. You shouldn't trust John because, *for example*, he never returns what he borrows. (Webber at al. 2003)

$$\begin{split} \mathcal{F}\textit{or-ex} &= \lambda \mathcal{R}_i pq. Exemplification(q, \lambda r. \mathcal{R}_i(p, r)) \\ \text{with } \mathcal{R}_i : \langle t, \langle t, t \rangle \rangle \text{ , } p, q: t. \end{split}$$



#### Interpretation of (3b)

 $Exemplification(F_2, \lambda r. Explanation(F_1, r))$  with r: t.

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Correlative constructions with *neither*  $\dots$  *nor*, *either*  $\dots$  *or* 

(4)a. Fred is pleased *neither* when it is sunny *nor* when it is rainy.b. Fred will come *either* if it is sunny *or* if it is rainy.

D-STAG : neither and nor (adverbial) modifiers of the subordinating conjunctions on their right. For (4a), interpretation  $\neg Condition(F_1, F_2) \land \neg Condition(F_1, F_3)$ 

For (4b), interpretation  $Condition(F_1, F_2) \lor Condition(F_1, F_3) = \neg(\neg Condition(F_1, F_2) \land \neg Condition(F_1, F_3))$  requires a multi-component semantic tree for *either* (only case)

# Comparison between D-STAG and D-LTAG (Weber et al. 2003)

First, D-LTAG makes little use of discourse relations and ignores the distinction between coordinating versus subordinating relations. In short, it doesn't build on discourse theories, contrarily to D-STAG which build on SDRT.

Second, in D-LTAG, most adverbial connectives anchor trees with only **one** argument, whereas they all have **two** arguments in D-STAG. Differences in syntactic and semantic representations.

Third, D-LTAG provides only structures which correspond to tree shaped dependency graphs, contrarily to D-STAG.

## Multiple connectives

(5) John ordered three cases of Barolo. But he had to cancel the order *because then* he discovered he was broke. (Webber et al. 2003)

In D-STAG, the DNF for (5) is automatically converted into  $C_1$  but  $C_2$  because  $\overline{C_3}$  then  $C_3$ 

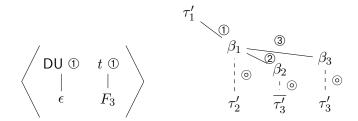


FIGURE: Tree pair  $\overline{\tau'_3}$  and derivation tree for (5)

 $Contrast(F_1, F_2) \land Explanation(F_2, F_3) \land After(F_1, F_3)$ 

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## Conclusion

## D-STAG

Formalism which extends a sentential syntax-semantics interface to the discourse level

It computes the discourse structures of SDRT which are built with STAG

#### Sentence-discourse interface

It provides a DNF which is a sequence of discourse words which follows a regular grammar

So far : connectives, modifier of discourse connectives/relations, correlative constructions, multiple connectives

#### TODO

#### attribution and quotations

Implementation of D-STAG in a French discourse analyzer

## Work in progress

LEXCONN : lexical database for French connectives (350 item) (Roze 2009) PROTENOR : production of DNFs (Détrez 2009) Discursive component : using ACG (P. de Groote and S. Pogodolla)

## Output of D-STAG

The analyzer will produce a forest of dependency trees which represents the set of possible analyses.

The extraction of the best analysis (or the n best analyses) will require to build probabilistic disambiguation models based on the French annotated corpus Annodis.