

Heterogeneity in Distributed Live Streaming: Blessing or Curse?

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To cite this version:

Fabien Mathieu. Heterogeneity in Distributed Live Streaming: Blessing or Curse?. [Research Report] RR-OL-2009-09-001, 2009, pp.21. inria-00414767

HAL Id: inria-00414767 <https://inria.hal.science/inria-00414767v1>

Submitted on 9 Sep 2009

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Heterogeneity in Distributed Live Streaming: \blacksquare - Curse or Curse \blacksquare

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e) Research Report RR-OL-2009-09-001 Version: $\mathbf{1}$ Date: September 2009 Total number of pages: [21](#page-21-0) Keywords: Distributed Live Streaming, Heterogeneous bandwidths, Theoreti
al Transmission Delay

Abstract

Distributed live streaming has brought a lot of interest in the past few years. In the homogeneous case (all nodes having the same capacity), many algorithms have been proposed, whi
h have been proven almost optimal or optimal. On the other hand, the performan
e of heterogeneous systems is not ompletely understood yet.

In this paper, we investigate the impact of heterogeneity on the achievable delay of hunk-based live streaming systems. We propose several models for taking the atomicity of a chunk into account. For all these models, when considering the transmission of a single chunk, heterogeneity is indeed a "blessing", in the sense that the achievable delay is always faster than an equivalent homogeneous system. But for a stream of chunks, we show that it can be a "curse": there is systems where the achievable delay can be arbitrary greater compared to equivalent homogeneous systems. However, if the system is slightly bandwidth-overprovisionned, optimal single chunk diffusion schemes an be adapted to a stream of hunks, leading to near-optimal, faster than homogeneous systems, heterogeneous live streaming systems.

Contents

Introduction $\mathbf{1}$

Recent years have seen the proliferation of live streaming content diffusion over the Internet. In order to manage large audien
e, many distributed s
alable proto
ols have been proposed and deployed on peer-to-peer or peer-assisted platforms $[15, 9, 2, 1, 3]$ $[15, 9, 2, 1, 3]$ $[15, 9, 2, 1, 3]$ $[15, 9, 2, 1, 3]$ $[15, 9, 2, 1, 3]$ $[15, 9, 2, 1, 3]$ $[15, 9, 2, 1, 3]$ $[15, 9, 2, 1, 3]$. Most of these systems rely on a chunk-based architecture: the stream is divided into small parts, so-called chunks, that have to be distributed independently in the system.

The measurements performed on distributed P2P platforms have shown that these platforms are highly heterogeneous with respe
t to the shared resour
es, especially the upload bandwidth $[14, 6]$ $[14, 6]$. However, except for a few studies (see for instance $[13, 12]$ $[13, 12]$, most of the theoretical research has been devoted to the analysis of homogeneous systems, where all the peers have similar resour
es.

At first sight, it is not clear whether heterogeneity should be positive or negative for a live streaming system. On the one hand, some studies on live streaming algorithms have reported a degradation of the performan
e when considering heterogeneous scenarios [5]. On the other hand, consider these two toy s
enarios:

Homogeneous a source injects a live stream at a rate of one chunk per second into a system of n peers, ea
h peer having an upload bandwidth of one hunk per second. Then the best achievable delay to distribute the stream is $\lceil \log_2(n) \rceil$ seconds [5].

Centralized same as above, ex
ept that one peer has an upload bandwidth of n chunks per second, and the others have no upload capacity. Then the stream can obviously be distributed within one second.

The total available bandwidth is the same in both s
enarios, and the entralized one can be seen as an extremely heterogeneous "distributed" scenario, so this simple example suggests that heterogeneity should improve the performan
e of a live streaming system.

In this paper, we propose to give a theoretical background for the feasible performance of distributed, chunk-based, heterogeneous, live streaming systems. The results proposed here are not meant to be directly used in real systems, but they are tight explicit bounds, that should serve as landmarks for evaluating the performan
e of su
h systems, and that an help to understand if heterogeneity is indeed a "blessing" or a "curse", compared to homogeneity.

 1.1 Contribution

We propose a simple framework for evaluating the performance of chunk-based live streaming systems. Several variant are proposed, depending on whether multi-sour
es te
hniques are allowed or not, and on the possible use of parallel transmissions. For the problem of the optimal transmission of a single hunk, we give the exa
t lower bounds for all the onsidered variants of the model. These bounds are obtained either with an explicit closed formula or by means of simple algorithms. Moreover, the bounds are ompared between themselves and to the homogeneous ase, showing that heterogeneity is an improvement for the single hunk problem. For the transmission of a stream of hunks, we begin by a feasibility result that states that if there is enough available bandwidth, a system

can achieve lossless transmission within a finite delay. However, we provide very ontrasted results for the pre
ise delay performan
e of su
h systems: on the one hand, we show that there are bandwidth-over-provisioned systems that need a $\Omega(N)$ transmission delay, whereas equivalent homogeneous systems only need $O(\log(N))$; on the other hand, we give simple, sufficient conditions that allows to relate the feasible stream delay to the optimal singlehunk delay.

The rest of the paper is organized as follows. Section [2](#page-5-0) presents our model and notation, and Section [3](#page-7-0) presents the related work. Then Section [4](#page-8-0) presents the bounds for the diffusion of one single chunk, while Section [5](#page-15-0) considers the ase of a stream of hunks. Se
tion [6](#page-20-0) on
ludes.

 $\overline{2}$ Model

We consider a distributed live streaming system consisting of N entities, called peers. A sour
e inje
ts some live ontent into the system, and goal is that all peers re
eive that ontent with a minimal delay. We assume no limitation on the overlay graph, so any peer can potentially transmit a chunk to any other peer (full mesh onne
tivity).

 2.1 Chunk-based diffusion

The content is split into atomic units of data called *chunks*. Chunk decomposition is often used in distributed live streaming systems, be
ause it allows more flexible diffusion schemes: peers can exchange maps of the chunks they have/need, and decide on-the-fly of the best way to achieve the distribution. The drawback is the induced data quantification. Following a standard approach [5], we propose to model this quantification by assuming that a peer can only transmit a chunk if it has received a complete copy of it.

For simplicity, we assume that all chunks have the same size, which we use as data unit.

2.2Capacity constraints

We assume an upload-constrained context, where the transmission time depends only upon the upload bandwidth of the sending peer: if a peer i has upload bandwidth u_i (expressed in chunks per second), the transmission time for i to deliver a chunk to any other peer is $\frac{1}{u_i}$. Without loss of generality, we assume that the peers are sorted decreasingly by their upload bandwidths, so we have $u_1 \geq u_2 \geq \ldots \geq u_N \geq 0$. We also assume that the system has a non-null upload capacity $(u_1 > 0)$.

For simplicity, we assume that there is no constraint on the download capacity of a peer, but we will discuss the validity of that assumption later on.

Collaborations 2.3

We also need to define the degree of collaboration enabled for the diffusion of one hunk, i.e. how many peers an ollaborate to transmit a hunk to how many peers simultaneously. The main models onsidered in this paper are:

Many-to-one (short notation: $(\infty/1)$) The $(\infty/1)$ model allows an arbitrary number of peers to ollaborate when transmitting a hunk to a given peer message. three peers i, j, k can collaborate to transmit a chunk they have to a fourth peer in a time $\frac{1}{u_i+u_j+u_k}$. The $(\infty/1)$ model may not be very practical, because it allows $N-1$ peers to simultaneously collaborate for one chunk, which can generate synchronization issues and challenge the assumption that download is not a onstraint (the re
eiving peer must handle the umulative bandwidths of the emitters). However, it has a strong theoreti
al interest, as it encompasses more realistic models. Therefore the $(\infty/1)$ bounds can serve as landmark for the other models.

One-to-one (short notation: $(1/1)$) In the $(1/1)$ model, a chunk transmission is always performed by a single peer: if at some time, three peers i, j and k have a chunk and want to transmit it, they must select three distinct receivers, which will receive the message after $\frac{1}{u_i}$, $\frac{1}{u_j}$ and $\frac{1}{u_k}$ seconds respectively. The onne
tivity and download bandwidth burdens are onsiderably redu
ed in that model. Note that $(1/1)$ is included in $(\infty/1)$ (any algorithm that works under $(1/1)$ is valid in $(\infty/1)$.

One-to-some (short notation: $(1/c)$) The models above implicitly assume that a given peer transmits chunks sequentially, but for technical reasons, practial systems often try to introdu
e some parallelism in the transmission pro
ess: pure serialization an lead to a non-optimal use of the sender's transmission buffer, for instance in case of connectivity or node failures. We propose the $(1/c)$ model to take parallelism into account: a transmitting peer i always splits its upload bandwidth into c distinct connections of equal capacity. We model a price for the use of parallelism, by assuming that these connections cannot be aggregated. That means that a peer i can transmit to up to c receivers simultaneously, but it always needs $\frac{c}{u_i}$ seconds to transmit the message to any given peer. Note that any algorithm that works in the $(1/c)$ model can be emulated in the $(1/1)$ model.

2.4Single chunk $/$ stream of chunks diffusion delays

In order to study the achievable diffusion delay of the system, we propose a two step approach: we first consider the feasible delay for the transmission of a single hunk, then we investigate how this an be related to the transmission delay of a stream of hunks.

In the single chunk transmission problem, we assume that at time $t = 0, n_0$ copies of a newly created chunk are delivered to n_0 carefully selected distinct peers $(1 \leq n_0 \leq N)$, and we want to know the minimal delay $D(n)$ needed for n opies of the hunk to be available in the system. Note that as the system has a non-null upload capacity, n copies can always be made in a finite time, so D is well defined. The main value of interest is $D(N)$ (time needed for all peers to get a copy of the chunk), but $n > N$ can also be considered for theoretical purposes (we assume then that the extra opies are transmitted to dummy nodes with null upload capacity). We use the notation D_m , D_1 or D_c depending on the model used (many-to-one, one-to-one or one-to- c respectively).

In the stream of chunk problem, new chunks are created at a given rate s (expressed in chunks per second) and injected with redundancy n_0 . In other words, every $\frac{1}{s}$ seconds, n_0 copies of a newly created chunk are delivered to n_0 carefully selected peers. The (possibly infinite) stream is *feasible* if there is a diffusion scheme that insures a lossless transmission within a bounded delay. It means that there is a delay such that any chunk, after being injected in the system, is available to the N peers within that delay. For a given feasible stream, we call \tilde{D} (or $\tilde{D_m},\,\tilde{D_1},\,\tilde{D_c}$ if the underlying model must be specified) the orresponding minimal a
hievable delay. Obviously, D is ^a lower bound for D .

3 Related work

The problem of transmitted a message to all the participants (broadcast) or a subset of it (multicast) in a possibly heterogeneous capacity-constraint environment is not new. A few years ago, so-called *networks of workstations* have been the subject of many studies $[8, 4, 11, 7]$ $[8, 4, 11, 7]$ $[8, 4, 11, 7]$ $[8, 4, 11, 7]$ $[8, 4, 11, 7]$ $[8, 4, 11, 7]$. However, most of the results presented in those studies were too generic for presenting a direct interest for the hunk-based live streaming problem.

As far as we know, the work that is probably the losest to ours has been made by Yong Liu [13]. For the single chunk problem, Liu has computed D_1 in specific scenarios, and he gave some (non tight) bounds for the general case. For the stream problem, he gave some insight on the delay distribution when the apa
ities are random, independent variables. Liu's study is more omplete than ours for specific scenarios and implementation, but we provide tighter results for the general case, where no assumption is made but the $(*/*$ model.

There is also two closely related problems for which theoretical analysis and fundamental limitations have been considered: the chunk-based, homogeneous, live streaming problem and the stripe-based, possibly heterogeneous live streaming problem.

For hunk-based homogeneous systems, the main result is that if the peers have enough bandwidth to handle the streamrate $(u > s)$, then the stream problem is feasible for the (1/1) model and we have $D_1 = \tilde{D}_1 = \frac{1}{u} \log_2(\frac{N}{n_0})$ (see for instance $[13]$). The intuitive idea is that as all peers have the same bandwidth values, they can exchange their place in a diffusion tree without changing the performance of that tree. This allows to use the optimal diffusion tree for each new chunk introduced in the system: when a chunk is an internal node of the tree of a given chunk i , he just have to be a leaf in the trees of the next nodes until the diffusion of i is complete. Of course, this *permutation* te
hnique annot be used in a heterogeneous ase.

The stripe-based model consists in assuming that the stream of data can be divided into arbitrary small sub-streams, alled stripes. There is no hunk limitation in that model, therefore the transmission of data between nodes is only delayed by latencies. The upload capacity is still a constraint, but it only impacts the amount of stream that a peer can relay. A pretty complete study for the performance bounds of stripe-based systems is available in $[12]$. It shows that as long as there is enough bandwidth to sustain the stream (meaning, with our notation, $n_0 + \frac{1}{s} \sum_{i=1}^{N} u_i \geq N$ $n_0 + \frac{1}{s} \sum_{i=1}^{N} u_i \geq N$ $n_0 + \frac{1}{s} \sum_{i=1}^{N} u_i \geq N$), the stream can be diffused within a minimal delay. In Section 1, we will show that the show that the show the show that the show the state to

the chunk-based model, although the delay tends to explode in the process.

4 Single chunk diffusion

As expressed in § [2.4,](#page-6-0) D is a lower bound for \ddot{D} , so it is interesting to understand the single hunk problem. Moreover, as we will see in the next se
tion, an upper bound for \ddot{D} can also be derived from D on certain conditions.

4.1 $(\infty/1)$ diffusion

We first consider the many-to-one assumption, where collaboration between uploaders is allowed. Under this assumption, we can give an exact value for the minimal transmission delay.

Theorem 1. Let U_k be the cumulative bandwidth of the k best peers $(U_k =$ $\sum_{i=1}^k u_i$). Then the minimal transmission delay D_m is given by

$$
D_m(n) = \sum_{k=n_0}^{n-1} \frac{1}{U_k}.
$$
 (1)

Proof. We say that a given peer is *capable* when it owns a complete copy of the chunk (it is capable to tranmist that chunk). If at a given time the sum of upload bandwidths of the *capable* peers (i.e. with a complete copy of the message) is U , then the minimal time for those peer to send a complete copy of the chunk to another peer is $\frac{1}{U}$. From that observation, we deduce that maximizing U during the whole diffusion is the way to obtain minimal transmission. This is achieved by injecting the n_0 primary copies of the message to the n_0 best peers, then propagating the message peer by peer, always using all the available bandwidth of apable peers and sele
ting the target peers in de
reasing order of upload. This gives the bound. ◻

Remark in [13], Liu proposed D_m as a (loose) lower bound for D_1 . Indeed, D_m is an absolute lower bound for any chunk-based system, because the diffusion used makes the best possible use of the available bandwidth at any time. The only way to go below D_m would be to allow peers to transmit partially received chunks, which is contrary to the chunk-based main assumption. Thus D_m can serve as a referen
e landmark for all the delays onsidered here. Moreover, an appealing property of D_m is that it is a direct expression of the bandwidths of the system, so it is straightforward to ompute as long as the bandwidth distribution is known.

4.1.1 Homogeneous ase

If all peers have the same upload bandwidth $u_i = u$, we have $U_k = ku$ for $k \leq N$, so the bound D_m becomes simpler to express for $n \leq N$:

$$
D_m(n) = \frac{1}{u} \sum_{k=n_0}^{n-1} \frac{1}{k}.\tag{2}
$$

In particular, for $N \geq n \gg n_0$, the following approximation holds:

$$
D_m(n) \approx \frac{\ln(\frac{n}{n_0})}{u}.\tag{3}
$$

So in the homogeneous case, the $(\infty/1)$ transmission delay is inverse proportional to the ommon upload bandwidth, and grows logarithmi
ally with the number of peers.

4.1.2 Gain of heterogeneity

We can compare the performance of a given heterogeneous system to the homogeneous ase: let us onsider a heterogeneous system with average peer bandwidth \bar{u} , and maximum bandwidth u_{max} . As peers are sorted by decreasing bandwidth, we have $k\bar{u} \leq U_k \leq ku_{\text{max}}$. From [\(1\)](#page-8-3), it follows that

$$
D_m^{\nu_{\text{max}}} \le D_m \le D_m^{\bar{u}},\tag{4}
$$

where D_m^u is D_m in a homogeneous system with common bandwidth u. In parti
ular, by ombining the previous equations, one gets

$$
D_m(n) < \frac{1}{\bar{u}} \left(\ln\left(\frac{n-1}{n_0}\right) + \frac{1}{n_0} \right). \tag{5}
$$

In other words, the optimal transmission delay is smaller for a heterogeneous system than for a homogeneous system with same average peer upload bandwidth. In that sense, heterogeneity can be seen as a "blessing" for the transmission of one single hunk.

4.1.3 Homogeneous lasses

Equation [\(3\)](#page-9-2) can be extended to the case where there is classes of peers, each lass being hara
terized by the ommon value of the upload bandwidths of its peers.

Theorem 2. We assume here that we have l classes with respective population size and upload bandwidth $(n_1, u_1), \ldots, (n_k, u_l)$, with $u_1 > \ldots > u_l$ and $n_i \gg 1$ (large population sizes). If $n_0 \leq n_1$, then we have

$$
D_m(N) \approx \frac{1}{u_1} \ln(\frac{n_1}{n_0}) + \sum_{i=2}^{l} \frac{\ln(1 + \frac{n_i u_i}{\sum_{j=1}^{i-1} n_j u_j})}{u_i}.
$$
 (6)

Proof. because the minimal delay is obtained by transmitting the message to the best peers first, in the class scenario, the optimal transmission must follow the class order, beginning by the (n_1, u_1) class and ending by the (n_l, u_l) class. So in the minimal delay transmission, the n_0 initial messages are inserted in the first class and in a first phase, it will only be disseminated within that class. According to Equation [\(3\)](#page-9-2), after about $\frac{1}{u_1} \ln(\frac{n_1}{n_0})$ seconds, all peers of the first lass have a opy of the message.

Then, for the generic term of Equation (6) , we just need to consider that the time $D_{i-1\to i}$ needed to fill up a class $i, 2 \leq i \leq l$, after all previous classes are already capable. $D_{i-1\rightarrow i}$ is given by Equation [\(1\)](#page-8-3), with $n_0 = \sum_{j=1}^{i-1} n_j$ (previous classes total size) and $n = \sum_{j=1}^{i} n_j$ (previous plus current classes size):

 \Box

$$
D_{i-1 \to i} = \sum_{k=\sum_{j=1}^{i-1} n_j}^{\left(\sum_{j=1}^{i} n_j\right) - 1} \frac{1}{U_k} = \sum_{k=0}^{n_i - 1} \frac{1}{U_{\sum_{j=1}^{i-1} n_j + k}}
$$

=
$$
\sum_{k=0}^{n_i - 1} \frac{1}{\left(\sum_{j=1}^{i-1} n_j u_j\right) + k u_i}
$$

$$
\approx \frac{1}{u_i} \ln\left(1 + \frac{n_i u_i}{\sum_{j=1}^{i-1} n_j u_j}\right).
$$

By summing $D(i)$ for $2 \leq i \leq l$, one obtains the Equation [\(6\)](#page-9-3).

Remark if we have $n_1u_1 \gg n_iu_i$ for all $2 \leq i \leq l$ (case where the total upload capacity of the first class is far greater than the capacities of the other classes), we have a simpler approximation for D_m :

$$
D_m(N) \approx \frac{1}{u_1} \ln(\frac{n_1}{n_0}) + \sum_{i=2}^{l} \frac{n_i}{\sum_{j=1}^{i-1} n_j u_j}.
$$
 (7)

In particular, if we consider, following [13], a two-class scenario, the second class being made of *free-riders* ($u_2 = 0$), Equation [\(3\)](#page-9-2) simplifies into:

$$
D_m(n) \approx \frac{1}{u} \ln(\frac{\min(n, n_1)}{n_0}) + \frac{\max(n - n_1, 0)}{Nu}.
$$
 (8)

The first class gets the message after a logarithmic time, while it is linear for the free-rider lass.

4.2 $(1/1)$, $(1/c)$ diffusion

In the diffusion scheme used for Theorem [1,](#page-8-4) all capable peers collaborate together to transmit the chunk to one single peer. Obviously, this approach is not sustainable be
ause of the underlying ost for syn
hronizing an arbitrary great number of apable peers may be important anyhow and of the download bandwidth that the re
eiver peer must handle.

In practice, many systems do not rely on multi-sources capabilities and use one-to-one transmissions instead. We propose now to onsider the minimal delay D_1 for the (1/1), and compare it with the bound D_m .

Contrary to D_m , for which a simple closed formula exists, D_1 is hard to express directly. However, it is still feasible to compute its exact value, which is given by Algorithm [1.](#page-11-0)

The idea of Algorithm [1](#page-11-0) is that if one computes the times when a new copy of the hunk an be made available, greedy dissemination is always optimal for a single chunk transmission: at any time when a chunk copy ends, if the receiver of that copy is not the best peer missing the chunk, it reduces the usable bandwidth and therefore in
reases the delay. So the algorithm maintains a time-completion list that indicates when copies of the chunk can be made under a bandwidth-greedy allo
ation. In details:

- at line [1,](#page-11-0) the completion time list is initiated with n_0 values of 0 (the n_0 primary opies);
- line [1](#page-11-0) chooses the lowest completion available completion time and allocates the corresponding chunk copy to the best non-capable peer i;
- at line [1,](#page-11-0) the corresponding value $D_1(i)$ is removed, without multiplicity;
- \bullet the times when *i* can transmit chunks are added to the list at line [1.](#page-11-0)

Algorithm 1 Algorithm to compute D_1

Input: A set of N upload bandwidths $u_1 \geq ... \geq u_N$ An integer n_0 (number of initial copies) A maximum value n_{max} **Output:** $D_1(n)$ for $n \leftarrow 1$ to n_{max} 1: $L \leftarrow$ zeros $(n_0 \times 1)$ 2: for $i \leftarrow 1$ to n_{max} do 3: $D_1(i) \longleftarrow min(L)$ 4: $L = L \setminus \{D_1(i)\}\$ 5: if $(i \leq N \And u_i > 0)$ then 6: $L_i = D_1(i) + \{\frac{1}{u_i}, \dots, \frac{n_{\text{max}} - i}{u_i}\}$ 7: $L = L \cup L_i$ 8: end if 9: end for 10: return D_1

Remark in [13], Liu proposed a snowball approach for computing a feasible delay. The difference between Liu's algorithm and ours is that Liu used a greedy scheduling based on the time when a peer is to *start* a chunk transmission, while we use the time when it is able to \textit{finish} a transmission. As a result, our algorithm gives the exact value of D_1 , but the price is that the corresponding scheduling is not practical: it needs all peer to synchronize according to their respective finish deadlines, while Liu's algorithm only requires that ready peers greedily sele
t a destination peer. Also note that although Algorithm [1](#page-11-0) provides the exact value for D_1 , the actual behavior of the delay is difficult to analyze. In the following, we propose to give explicit bounds for D_1 .

Conjecture 1. The following bounds hold for D_1 :

$$
D_m \le D_1 < \frac{n_0}{U_{n_0}} + \frac{D_m}{\ln(2)}\tag{9}
$$

This conjecture expresses the fact that the price for forfeiting the multisources capacities (leaving the many-to-one model for the one-to-one model) is a delay increase that is up to a factor $\frac{1}{\ln(2)}$ and some constant.

Proof in the homogeneous case. The left part of the inequality only expresses that D_m is an absolute lower bound for chunk-based diffusion. For the right part, as stated by Equation [\(2\)](#page-8-5), we have $D_m(n) = \sum_{n_0}^{n-1} \frac{1}{ku} \geq \frac{1}{u} \ln(\frac{n}{n_0})$. On the other hand, as stated for instance in [13], D_1 is given by $D_1(n) = \frac{1}{u} \lceil \log_2(\frac{n}{n_0}) \rceil$. We dedu
e

$$
D_1(n) \n $\frac{1}{u} (\log_2(\frac{n}{n_0}) + 1) = \frac{n_0}{n_0 u} + \frac{1}{u} \frac{\ln(\frac{n}{n_0})}{\ln(2)}$ \n
\n $\leq \frac{n_0}{U_{n_0}} + \frac{D_m}{\ln(2)}$
$$

To complete the proof, we should show that if we start from a homogeneous system and add some heterogeneity into it, the bounds of Equation [\(9\)](#page-11-1) still holds. This is confirmed by our experiments, which show that the homogeneous scenario is the one where the $\frac{n_0}{U_{n_0}} + \frac{D_m}{\ln(2)}$ bound is the tightest. In fact, it seems

 \Box

that the more heterogeneous a system is, the more the behavior of D_1 is close to D_m . We aim at providing a complete, rigorous proof of Conjecture [9](#page-11-1) in a near future work.

Remark a less tight, yet easier to prove, relationship between D_1 and D_m is

$$
D_1 < \frac{n_0}{U_{n_0}} + 2D_m. \tag{10}
$$

This inequality comes from the fact that at any given moment, the quantity of raw data present in the system (the sum of the complete chunks copies and of the partially transferred hunks) is no more than twi
e the amount of omplete opies: this is straightforward by noti
ing that for ea
h partially downloaded opy, one an asso
iate a omplete, distin
t, one (owned by the sender of that copy). The additive constant $\frac{n_0}{U_{n_0}}$ insures that a quantity $2n_0$ of data is present in the system. The 2 factor comes from the fact that after a time $\frac{2}{U_n}$, a raw quantity of at least $2n$ (more than n complete copies) becomes at least $2(n+1)$ (more than $n + 1$ complete copies).

In rest of the paper, however, we prefer to use the conjectured Equation [\(9\)](#page-11-1) instead of Equation [\(10\)](#page-12-2) be
ause of its tightness.

4.2.1 Properties of D_1

Most of the properties observed for the $(\infty/1)$ model have an equivalent in the $(1/1)$ model. This equivalent can be obtained using Conjecture [1.](#page-11-2) For instance, the gain of heterogeneity is given by ombining Equations [\(5\)](#page-9-4) and [\(9\)](#page-11-1):

$$
D_1(n) < \frac{1}{\bar{u}} + D_1^{\bar{u}}(n). \tag{11}
$$

In other words, up to some constant, an heterogeneous system is faster than an equivalent homogeneous system. However, this onstant means the delay an a
tually be higher. For instan
e, onsider the four peer system with $(u_1, u_2, u_3, u_4) = (1.6, 0.8, 0.8, 0.8)$ and $n_0 = 2$. It is easy to verify that $D_1(4) = 1.25$ for that particular system, whereas for the equivalent homogeneous system (all peers' bandwidths equal to one) we have $D_1(4) = 1$. This is a good illustration of the fact that because of quantification issues, heterogeneity is not always a blessing in the $(1/1)$ model.

4.2.2 Extension to $(1/c)$ systems

All the results of the $(1/1)$ systems can be straightforwardly extended to $(1/c)$ one copy to one chunk every $\frac{1}{u_i}$ seconds, a peer i can fetch up to k peers with the chunk every $\frac{c}{u_i}$ seconds. In fact the only reason we have studied $(1/1)$ separately was that $(1/1)$ is a fulcrum model, more commonly used than the generic $(1/c)$ one, so we wanted to highlight it in order to clearly separate the impa
t of disabling multi-sour
e apabilities and from the possibility of using parallelism.

As the reasonings are mostly the same than for the $(1/1)$, we propose to directly state the results. First, the exact value of D_c can be computed by a slight modification of Algorithm [1:](#page-11-0) all that is needed is to rename D_1 to D_c and repla
e the line [1](#page-11-0) by

$$
L_{i} = D_{c}(i) + \left\{ \underbrace{\underbrace{\frac{c}{u_{i}}, \dots, \frac{c}{u_{i}}}_{c \text{ times}}, \underbrace{\frac{2c}{u_{i}}, \dots, \frac{2c}{u_{i}}}_{c \text{ times}}, \dots, \underbrace{\frac{2c}{u_{i}}}_{u_{i}}, \dots, \underbrace{\frac{2c}{u}}_{u_{i}}}_{c \text{ times}} \right\}.
$$

Then, the relationship between D_c and D_m is given by the following conjecture:

Conjecture 2. The following bounds hold for D_c :

$$
D_m \le D_1 < c \frac{n_0}{U_{n_0}} + \frac{c}{\ln(1+c)} D_m. \tag{12}
$$

This conjecture expresses the fact that the price for using mono-source and c-parallelism, ompared to the optimal multi-sour
es-enabled model, is a delay increase that is up to a factor $\frac{c}{\ln(1+c)}$ (and some constant). It is validated by experience, and proved in the homogeneous case, whereas a bound fully proved for the general ase is

$$
D_m \le D_1 < c \frac{n_0}{U_{n_0}} + (c+1)D_m. \tag{13}
$$

Lastly, the so-called gain of heterogeneity is still only guaranteed up to some onstant:

$$
D_c(n) < c \frac{n_0}{U_n 0} + \log_c(\frac{n}{n_0}) < c \frac{1}{\bar{u}} + D_c^{\bar{u}}(n). \tag{14}
$$

4.2.3 Example

In order to illustrate the results given in that section, we propose to consider a system of $N = 10^4$ peers that are fetch with $n_0 = 5$ initial copies of a chunk. We propose the three following distribution:

- a homogeneous distribution H_0 ;
- a heterogeneous distribution H_1 with 3 bandwidth classes, and a range factor of 10 between the highest and the lowest class;
- a heterogeneous distribution H_2 with 3 bandwidth classes, and a range factor of 100.

The details of the size and upload capacity of each class are expressed in Table [1.](#page-14-0) The numbers were chosen so that the average bandwidth is 1 in the three distributions, so we an say they are equivalent distributions, ex
ept for the heterogeneity.

The diffusion delays are displayed in Figure [1.](#page-14-1) For each bandwidth distribution, we displayed:

- the optimal delay D_m , given by Equation [\(1\)](#page-8-3);
- the delays D_1 and D_4 of the (1/1) and (1/4) models, given by the Algo-rithm [1](#page-11-0) and its modified version:

	(Homogeneous)	H_1 (Lightly-skewed)	H_2 (Skewed)
		$(33\%, 2.22)$	$(30\%, 2.92)$
\cup_2	$(100\%, 1)$	$(33\%, 0.56)$	$(40\%, 0.292)$
Uз		$(33\%, 0.222)$	$(30\%, 0.0292)$

Table 1: Relative size and upload capacity of the classes of 3 bandwidth distributions

(
) H2 (Skewed distribution)

Figure 1: Single chunk diffusion delays for several bandwidth distributions

• the upper bounds for D_1 D_1 and D_4 given by Conjectures 1 and [2.](#page-13-1)

From the observed results, we can say the following:

- the delays increase logarithmically for the considered distributions (or equivalently, the chunk diffusion growths exponentially with time), as predi
ted by Equation [\(6\)](#page-9-3). Note that this logarithmi behavior is only valid for no too skewed distribution: the existence of a highly dominant class may induce an asymptotical linear behavior (cd Equation [\(7\)](#page-10-1) and [\(8\)](#page-10-2));
- Conjectures [1](#page-11-2) and [2](#page-13-1) (price of mono-source diffusion and price of parallelism) are verified. Of course, we also confronted these conjectures to a lot of distributions not dis
ussed in this paper (power laws, exponentially distributed, uniformly distributed, with free-riders,...) and they were verified in all cases);
- D_1 and D_4 looks like simple functions. This comes from the fact that we used bandwidth classes, so simultaneous arrivals of new copies is frequent. Nevertheless, D_1 and D_c always look less smooth than D_m even for continuous distributions, because the arrival of new chunks, which is very regular in the $(\infty/1)$ model, is more erratic in the $(1/*)$ models;
- Delays are faster in H_2 than in H_1 , and faster in H_2 than in H_0 . This is the gain of heterogeneity.

 $\overline{5}$

The issue brought by the stream of chunks problem, compared to the single chunk problem, is that each chunk is in competition with the others for using the bandwidth of the peers: when a peer is devoted to transmitting one given chunk it cannot be used for another one¹. Therefore D is a lower bound for D , but it is not necessary tight. In this section, we propose to see how \tilde{D} can be estimated

5.1Feasibility of a chunk-based stream

A first natural question, before studying D , is to know whether the stream problem is feasible or not. By adapting a result from $[12]$, we can answer that question.

Theorem 3. A necessary, for any diffusion model, and sufficient, for the $(\infty/1)$ and $(1/1)$ models, condition for the stream problem to be feasible is

$$
n_0 + \frac{1}{s} \sum_{i=1}^{N} u_i \ge N \tag{15}
$$

Proof. The proof is directly derived from Theorem 1 in [1[2](#page-15-3)] and its proof².

¹An exception is the $(1/c)$ model, however we believe that transmitting different chunk in parallel is not very effective, at least w.r.t. delay.

 2 As claimed in [12], the technique is in fact inspired by [10].

Equation [\(15\)](#page-15-4) is necessary because it expresses the bandwidth conversation laws: the total bandwidth of the whole system (sour
e and peers) must be greater than the Ns bandwidth needed for the N peers to get the stream.

We then have to show that the condition is sufficient for the $(1/1)$ model. As $(\infty/1)$ can act like $(1/1)$ (the multi-source capacity is not an obligation), this will prove the result for $(\infty/1)$ as well. In the proof in [12], the authors onstru
ts a solution where ea
h peer re
eives from the sour
e a stripe whose rate is proportional to that peer's bandwidth. It is then in harge of distributing that stripe to all other peers. To adapt this to a hunk-based s
enario, we follow the same idea: each peer will be responsible for a part of the chunks. We just have to distribute the chunks from the source to the peers according to a s
heduler that ensures that the proportion of hunks sent to a given peer is as proportional as possible to its upload bandwidth (for instan
e, for ea
h new chunk, send it to the peer such that the difference between the bandwidth and the hunk responsibility repartition is minimal). Note that there is situations (case 2 in the proof in $[12]$) where the source must distribute some chunks to all the peers. In those situations, a capacity 1 of the source is devoted to initial allocation, while the remaining $n_0 - 1$ capacity is used like a virtual $(N + 1)^{th}$ peer (so in those ases, the sour
e may have to handle old hunks in addition of \Box inje
ting new ones).

Theorem [3](#page-15-5) basically states that if the bandwidth conservation is satisfied, any hunk-based system is feasible. But while the proposed algorithm is delayoptimal in a stripe-based system, the resulting delay is terrible in a hunk-based system: if n is the label of the last peer with a non-null upload bandwidth, the chunks for which *n* is responsible (they represent a ratio $\frac{u_n}{U}$ of the emitted chunks) needs at least a delay $\frac{N-1}{u_n}$ to be transmitted. In fact, it may need up to $2\frac{N-1}{u_n}$: because of quantification effects, it may receive a new chunk before it has finished the distribution of the previous one. This transmission delay is lower for all other chunks, so the (loose) bound that can be derived from the feasibility theorem is

$$
\tilde{D} \le 2\frac{N-1}{u_n}, \text{ for } u_n = \min_{u_i > 0} (u_i). \tag{16}
$$

5.2When heterogeneity is a curse

One may think that the bound of Equation (16) is just a side-effect of the construction proposed in [12], which is not adapted to chunk-based systems. Maybe in practice, as long as the feasibility condition is verified, \ddot{D} is comparable to D ? This idea is wrong, as shown by the following simple example: for a given $0 < \epsilon < \frac{1}{2}$ consider a chunk-based system of two peers with upload bandwidths $u_1 = 1 - \epsilon$ and $u_2 = \epsilon$ respectively, $n_0 = 1$, $s = 1$. We have $D_m = D_1 = \frac{1}{1-\epsilon}$ $(u_1$ receives the peer and transmits it to u_2). Equation [\(15\)](#page-15-4) is verified so the system is feasible. However, when considering the stream problem, u_1 alone has not the necessary bandwidth to support the diffusion. Therefore at some point, the source is forced to give a chunk to u_2 , which need $\frac{1}{\epsilon}$ for sending a chunk. Therefore we necessarily have $\tilde{D} \geq \frac{1}{\epsilon}$, so the minimal achievable delay an be arbitrary great. As a omparison, in the equivalent homogeneous ase $(u_1 = u_2 = \frac{1}{2}),$ we have $D = \tilde{D} = 2.$

Then again, one could argue that this counter-example of heterogeneity's efficiency is somehow artificial, as only 2 peers are considered and the available bandwidth is riti
al. The following theorem proves the ontrary.

Theorem 4. Let $n_0 \geq 1$, $V \geq 0$, and $s > 0$ be fixed. There exist (1/1) systems of size N that verify the following.

- the source has capacity n_0 ;
- $U_N = \sum_{i=1}^N u_i \geq Ns + V$ (the system is feasible and the peers have an excess bandwidth of at least V);
- $\tilde{D_1} = \Omega(N)$.

Remember that for an homogeneous system, the two first conditions imply $\tilde{D} = O(\log(N))$: for the systems considered by the theorem, heterogeneity is indeed a curse, although the bandwidth is over-provisioned!

Proof. The idea is exactly the same than for the two-peers example: having peers with a very low upload bandwidth and showing that the system has to use them from time to time. Here we assume $N > n_0 + 1$ and we consider a system with source capacity n_0 and the following bandwidth distribution:

- $u_1 = (N n_0 1)s$,
- $u_i = \frac{n_0 + V + 1}{N 1} s$ for $2 \le i \le N$.

By construction, the two first conditions are verified. However, $n_0s + u_1 < Ns$, so only the source and u_1 do not suffice to distribute the stream. This means that at some point, at least one peer $i > 1$ must send at least one chunk to at least one other peer, which takes $\frac{1}{u_i} = \frac{N-1}{s(n_0+V+1)} = \Omega(N)$.

When heterogeneity can be a blessing

There is at least one case where we know for sure that $\tilde{D}=D$ even for heterogeneous systems: if $D(N) \leq \frac{1}{s}$, then the system can perform the optimal diffusion of a chunk before the next one is injected in the system. There is no competition between different chunks. For instance, in the $(\infty/1)$ model, we have $D_m(N) \leq \frac{1}{\bar{u}} \ln(\frac{N}{n_0})$ (Equation [\(5\)](#page-9-4)), so if $\bar{u} \geq \ln(\frac{N}{n_0})s$, we have $\tilde{D_m} = D_m(n)$.

Of ourse, this implies a tremendous bandwidth over-provisioning that makes this result of little practical interest. However, the idea can lead to more reasonable conditions, as shown by the following theorem.

Theorem 5. For a given $(\infty/1)$ system, if one can find an integer E that verifies.

1. the $(\infty$ /one) single-chunk transmission delay of the sub-system made of the peers $E, 2E, \ldots, \lfloor \frac{N}{E} \rfloor E$ is smaller than $\frac{E}{s}$,

$$
2. \ \ \bar{u} \ge s + E \frac{U_{E-1}}{N},
$$

then we have $\tilde{D_m} \leq 2 \frac{E}{s}$.

The second condition is about bandwidth provisioning, whereas the first ondition is alled the non-overlapping
ondition (
f the proof below). Of ourse, E should be hosen as small as possible.

Figure 2: Principle of the *intra-then-inter* chunk distribution

Proof. The idea is to construct a scheduling algorithm that *protects* each chunk. so that it can be optimally diffused, at least for a few moments after it is injected. For that purpose, we split the peers into E groups of peers G_1, \ldots, G_F , such that group G_g contains all peers i that verify $i \equiv g \pmod{E}$. Then we use the following *intra-then-inter* diffusion algorithm, whose principle is illustrated in Figure [2.](#page-18-1) For a given chunk i , we do the following

- the source injects the chunk i to the n_0 best peer of the group G_q that verifies $i \equiv g \pmod{E}$. If $n_0 > |G_g|$, the extra copies are given to peers from other groups;
- chunk *i* is diffused as fast as possible inside the group G_g . This intradiffusion ends before the next chunk $i + E$ is sent to G_g ;
- as soon as the intra-diffusion is finished (we call D_q the required time), all peers of G_g diffuse the chunk i to the other groups (inter-diffusion). Of course each peer of G_q must cease to participate to the inter-diffusion of i at the moment where it is involved in the intra-diffusion of $i + E$.

If the algorithm works, the diffusion delay of each chunk is bounded by $2\frac{E}{s}$ (cf Figure [2\)](#page-18-1), which proves the theorem. This requires first that the intradiffusion of chunk *i* is finished before chunk $i + E$ is injected (non-overlapping condition). The slower group is E , so the condition is verified is the single-chunk transmission delay of G_E is smaller than $\frac{E}{s}$. Then we must guarantee that G_g has enough available bandwidth for diffusing the chunk to the other groups. The peers of G_g can send a quantity $\frac{E}{s}\sum_{k=0}^{|G_g|-1} u_{g+kE}$ of chunk *i*, counting both the $\frac{E}{s} \sum_{k=0}^{|G_g|-1} u_{g+kE} \ge N - n_0$. By noticing that $\sum_{k=0}^{|G_g|-1} u_{g+kE} \ge \frac{U_N}{E} - U_{E-1}$, we get the bandwidth provisioning condition of the theorem.

 \Box

5.3.1 Extension to the $(1/c)$ model

the equivalent of Theorem [5](#page-17-1) for the $(1/c)$ model (including $c = 1$) is the following:

Theorem 6. For a given $(1/c)$ system, if one can find an integer E that verifies

- 1. the $(1/c)$ single-chunk transmission delay of the sub-system made of the peers $E, 2E, \ldots, \lfloor \frac{N}{E} \rfloor E$ is smaller than $\frac{E}{s}$,
- 2. $\bar{u} \geq s(1+\frac{c}{E}) + E \frac{U_{E-1}}{N},$

then we have $\tilde{D}_c \leq 2\frac{E}{s}$.

Proof. The proof is almost the same than for the previous theorem. The only difference are the following:

- \bullet regarding the diffusion algorithm, each peer must start the inter-diffusion at the moment it is not involved in the intra-diffusion any more (in the $(\infty/1)$ model, all peers finish at the same time, but not here so bandwidth would be wasted if all peers wait for the end of the intra-diffusion);
- \bullet also, when a peer has not the time to transmit a chunk i to other groups before it should be involved in the intra-diffusion of chunk $i+E$, it stays idle until that moment, for avoiding to interfere with the next intra-diffusion;
- as a result, a possible quantity of bandwidth may be wasted during the diffusion of i . However, the corresponding quantity of data is bounded by $c|G_g|$, which leads to the supplementary $\frac{c}{E}$ term in the bandwidth provisioning ondition.

 \Box

5.3.2 Example

Table 2: Delay performan
e examples for the three bandwidth distributions des
ribed in Table [1](#page-14-0)

in order to illustrate previous theorems with real numbers, we onsider the three scenarios used in Section $4.2.3$ $4.2.3$ $4.2.3$. Table 2 gives the single chunk diffusion delays, as well as the upper bounds for \ddot{D} in slightly overprovisioned (s =

0.9) and a well overprovisioned $(s = 0.5)$ scenarios. Note that we choose the parameters so that a proper integer E can be found in all cases.

Our main findings are the following:

- for the $(\infty/1)$ model, it is easy to find an integer E close to sD_m . This leads to a good delay performance, which for the distribution H_2 is better than the delay of the homogeneous ase;
- for (1/1) and (1/4), the $\frac{c}{E}$ term in the overprovisioning condition can require to pick a high value of E for that condition to be verified, leading to large delays. This is especially noticeable for the $(1/4)$ model and $s = 0.9$;
- as a result, for these mono-sour
e models, the bounds are not better that the known streaming delays in the homogeneous. Of ourse, this is not a proof that heterogeneity is a curse in that case: it may exist diffusion s
hemes that a
hieves lower streaming delays. But su
h s
hemes may be hard to find (and heterogeneity may be considered as a curse in that sense).

6 Conclusion

We investigated the performance of heterogeneous, chunk-based, distributed live streaming systems. We started by studying the transmission of one single hunk and showed that heterogeneous systems tends to produ
e faster dissemination than equivalent homogeneous systems. We then studied the transmission of a stream of chunks, where heterogeneity can be a disadvantage because the coordination between concurrent chunk diffusions is more complex than for the homogeneous case. Although there is examples where the feasible delay can be arbitrary long, we gave sufficient conditions to link the feasible stream delay to the single-chunk transmission delay. Because of quantification effects, however, the obtained bounds may require the bandwidth to be highly heterogeneous and/or overprovisioned in order to be ompetitive with homogeneous s
enarios, espe
ially for the mono-sour
e models.

A
knowledgment

This work has been supported by the Collaborative Resear
h Contra
t Mardi II between INRIA and Orange Labs, and by the European Commission through the NAPA-WINE Project, ICT Call 1 FP7-ICT-2007-1, Grant Agreement no.:

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