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INSTITUT NATIONAL DE RECHERCHE EN INFORMATIQUE ET EN AUTOMATIQUE

# *Satisfiability Procedures for Combination of Theories Sharing Integer Offsets*

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Thème SYM



*R*  
*apport*  
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## Satisfiability Procedures for Combination of Theories Sharing Integer Offsets

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Thème SYM — Systèmes symboliques  
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**Abstract:** We present a novel technique to combine satisfiability procedures for theories that model some data-structures and that share the integer offsets. This procedure extends the Nelson-Oppen approach to a family of non-disjoint theories that have practical interest in verification. The result is derived by showing that the considered theories satisfy the hypotheses of a general result on non-disjoint combination. In particular, the capability of computing logical consequences over the shared signature is ensured in a non trivial way by devising a suitable complete superposition calculus.

**Key-words:** Satisfiability Procedure, Combination, Equational Reasoning, Union of Non-Disjoint Theories, Integer Offsets

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## Procédures de satisfiabilité pour la combinaison de théories partageant de l'arithmétique de comptage

**Résumé :** On présente une nouvelle technique de combinaison de procédures de satisfiabilité pour des théories modélisant des structures de données et qui partagent de l'arithmétique de comptage ("integer offsets"). Cette procédure étend l'approche de Nelson-Oppen à une famille de théories non-disjointes qui ont un intérêt pratique pour la vérification. Le résultat est obtenu en montrant que les théories considérées satisfont les hypothèses d'un résultat général de combinaison non-disjointe. En particulier, la capacité à calculer les conséquences logiques sur la signature partagée est obtenue grâce au développement d'un calcul de superposition complet adapté au fragment de l'arithmétique considéré.

**Mots-clés :** procédure de satisfiabilité, combinaison, raisonnement équationnel, mélange de théories non-disjointes, arithmétique de comptage

## 1 Introduction

Satisfiability procedures for fragments of Arithmetics and data structures [2, 9, 4, 15] such as arrays and lists are at the core of many state-of-the-art verification tools, and their design and correct implementation is a hard task [8]. To overcome this difficulty, there is an obvious need for developing general and systematic methods to build decision procedures. Two important approaches have been investigated based respectively on combination and rewriting.

The *combination approach* for the satisfiability problem has been initiated in [20, 22]. The methodology is to combine existing decision procedures for component theories in order to get a decision procedure for the union of the theories. In particular, the combination à la Nelson-Oppen is the core of many verification tools, even if the implementations often exploit ideas quite far from the original schema (see, e.g. [17, 7]). This method assumes that component theories have disjoint signatures. An extension to the non-disjoint case has been proposed in [12, 14], where the cooperation between the decision procedures relies on their capabilities of computing logical consequences built over the shared signature.

The *rewriting approach* allows us to flexibly build satisfiability procedures [2, 1] based on a general calculus for automated deduction, namely the superposition calculus [21]. Hence, to obtain satisfiability procedures becomes easy by using an (almost) off-the-shelf theorem prover implementing superposition.

These two approaches are complementary for two main reasons. First, combination techniques allow us to incorporate theories which are difficult to handle using rewriting techniques, such as Linear Arithmetics. Second, rewriting techniques are of prime interest to design satisfiability procedures which can be efficiently plugged into the disjoint combination framework [16]. In some particular cases, the rewriting approach is an alternative to the combination approach by allowing us to build superposition-based satisfiability procedures for combinations of finitely axiomatized theories, including the theory of Integer Offsets [1, 5], but these theories must be over *disjoint* signatures.

In this paper, we show how to apply a superposition calculus to build decision procedures that can be plugged into the *non-disjoint* combination framework. We focus on theories sharing Integer Offsets. We present a superposition calculus dedicated to this theory and show the soundness of this new calculus for several *non-disjoint* extensions of this theory. The interest of combining counter arithmetic and uninterpreted functions in verification is advocated in [10], where uninterpreted functions are used for abstracting data and Integer Offsets allows us to express counters and a form of pointers, thanks to the successor function  $s$  and  $0$ . For instance, the possibility of using Integer Offsets enables us to consider (and combine) several models of lists:

- We can use the classical model of lists à la LISP, using  $\text{cons}$ ,  $\text{car}$ ,  $\text{cdr}$  operators, augmented with a length function  $\ell$  defined as follows:  $\ell(\text{cons}(e, x)) = s(\ell(x))$  and  $\ell(\text{nil}) = 0$ . In general, lists are over arbitrary elements but we may use also lists over integer elements.
- We can consider lists defined as records with two fields, the first one for the list itself, and the second one to store its length. Let us consider the operator  $\text{rselect}_i$  to access to the  $i$ -th field of a record,  $\text{rcons}(e, r)$  denotes the record obtained by adding an element  $e$  to the list of  $r$ , and  $\text{rnil}$

denotes the record corresponding to the empty list, we have the following axiomatization:

$$\begin{array}{ll} \text{rselect}_1(\text{rcons}(e, r)) = \text{cons}(e, \text{rselect}_1(r)) & \text{rselect}_1(\text{rnil}) = \text{nil} \\ \text{rselect}_2(\text{rcons}(e, r)) = \text{s}(\text{rselect}_2(r)) & \text{rselect}_2(\text{rnil}) = 0 \end{array}$$

This model of lists can be seen as a refinement of the first model in which one has a direct access to its “cardinality”.

The combination framework presented in the paper can be applied to decide the satisfiability of ground formulas expressed in the union of these two models of lists (provided both models use distinct names for list operators). Roughly speaking, such combination is useful to verify for instance that two programs written using different models of lists are “equivalent”.

*Plan of the paper.* After this introduction, Section 2 gives the main concepts and notations related to first-order theories. Section 3 presents the non-disjoint combination framework. In Section 4, we present a superposition calculus dedicated to the theory of Integer Offsets. In Section 5, we give some examples of theories for which this superposition calculus can be turned into decision procedures. In Section 6, we show that this superposition calculus can be also applied to deduce logical shared consequences. Moreover, all the requirements for applying the non-disjoint combination framework are satisfied by the extensions of Integer Offsets we are interested in. Finally, Section 7 concludes with some final remarks and a description of future work. Proofs can be found in the appendix.

## 2 Preliminaries

A *signature*  $\Sigma$  is a set of functions and predicate symbols (each endowed with the corresponding arity). We assume the binary equality predicate symbol ‘=’ to be always present in any signature  $\Sigma$  (so, if  $\Sigma = \emptyset$ , then  $\Sigma$  does not contain other symbols than equality). The signature obtained from  $\Sigma$  by adding a set  $\underline{a}$  of new constants (i.e., 0-ary function symbols) is denoted by  $\Sigma^{\underline{a}}$ .  $\Sigma$ -*atoms*,  $\Sigma$ -*literals*,  $\Sigma$ -*clauses*, and  $\Sigma$ -*formulae* are defined in the usual way. A set of  $\Sigma$ -literals is called a  $\Sigma$ -*constraint*. Terms, literals, clauses and formulae are called *ground* whenever no variable appears in them; *sentences* are formulae in which free variables do not occur. Given a function symbol  $f$ , a  $f$ -*rooted term* is a term whose top-symbol is  $f$ .

From the semantic side, we have the standard notion of a  $\Sigma$ -*structure*  $\mathcal{M} = (M, \mathcal{I})$ : this is a support set  $M$  endowed with an arity-matching interpretation  $\mathcal{I}$  of the function and predicate symbols from  $\Sigma$ . Truth of a  $\Sigma$ -formula in  $\mathcal{M}$  is defined in any one of the standard ways. If  $\Sigma_0 \subseteq \Sigma$  is a subsignature of  $\Sigma$  and if  $\mathcal{M}$  is a  $\Sigma$ -structure, the  $\Sigma_0$ -*reduct* of  $\mathcal{M}$  is the  $\Sigma_0$ -structure  $\mathcal{M}_{|\Sigma_0}$  obtained from  $\mathcal{M}$  by forgetting the interpretation of function and predicate symbols from  $\Sigma \setminus \Sigma_0$ .

A collection of  $\Sigma$ -sentences is a  $\Sigma$ -theory, and a  $\Sigma$ -theory  $T$  admits *quantifier elimination* iff for every formula  $\varphi(\underline{x})$  there is a quantifier-free formula (over the same free variables  $\underline{x}$ )  $\varphi'(\underline{x})$  such that  $T \models \varphi(\underline{x}) \Leftrightarrow \varphi'(\underline{x})$ .

In this paper, we are concerned with the (*constraint*) *satisfiability problem* for a theory  $T$ , also called the  $T$ -satisfiability problem, which is the problem of

deciding whether a  $\Sigma$ -constraint is satisfiable in a model of  $T$  (and, if so, we say that the constraint is  $T$ -satisfiable). Notice that a constraint may contain variables: since these variables may be equivalently replaced by free constants, we can reformulate the constraint satisfiability problem as the problem of deciding whether a finite conjunction of ground literals in a simply expanded signature  $\Sigma^a$  is true in a  $\Sigma^a$ -structure whose  $\Sigma$ -reduct is a model of  $T$ .

### 3 Non-Disjoint Combination of Theories

We are interested in applying a general method for the combination of satisfiability procedures in unions of non-disjoint theories. This method extends the Nelson-Oppen combination method known for unions of signature-disjoint theories, and leads to the following result:

**Theorem 1** [14] *Consider two theories  $T_1, T_2$  in signatures  $\Sigma_1, \Sigma_2$  and suppose that:*

1. *both  $T_1, T_2$  have decidable constraint satisfiability problem;*
2. *there is some theory  $T_0$  in the signature  $\Sigma_1 \cap \Sigma_2$  such that:*
  - *$T_0$  is universal;*
  - *$T_1, T_2$  are both  $T_0$ -compatible;*
  - *$T_0$  is Noetherian;*
  - *$T_1, T_2$  are both effectively Noetherian extensions of  $T_0$ .*

*Then the  $(\Sigma_1 \cup \Sigma_2)$ -theory  $T_1 \cup T_2$  also has decidable constraint satisfiability problem.*

Let us motivate the requirements of Theorem 1. The disjointness assumption used by Nelson-Oppen is replaced by an assumption requiring that component theories must be both compatible with a common sub-theory. The requirement of compatibility of  $T_1$  and  $T_2$  w.r.t.  $T_0$  is the key condition in order to ensure the completeness of the combination procedure. The requirement of Noetherianity of  $T_0$  is a sufficient hypothesis for the termination of the combination procedure. The requirement of being “effectively Noetherian extensions” is a sufficient condition for designing a combination procedure that works à la Nelson-Oppen by exchanging logical consequences on the shared signature  $\Sigma_1 \cup \Sigma_2$  until a fixpoint is reached.

Let us explain in more details what are the assumptions needed for applying the combination method.

**Definition 1 ( $T_0$ -compatibility)** *Let  $T$  be a theory in the signature  $\Sigma$  and let  $T_0$  be a universal theory in a subsignature  $\Sigma_0 \subseteq \Sigma$ . We say that  $T$  is  $T_0$ -compatible iff  $T_0 \subseteq T$  and there is a  $\Sigma_0$ -theory  $T_0^*$  such that*

- (i)  $T_0 \subseteq T_0^*$ ;
- (ii)  $T_0^*$  has quantifier elimination;
- (iii) every  $\Sigma_0$ -constraint which is satisfiable in a model of  $T_0$  is satisfiable also in a model of  $T_0^*$ ;



(iv) every  $\Sigma$ -constraint which is satisfiable in a model of  $T$  is satisfiable also in a model of  $T_0^* \cup T$ .

The requirements (i) to (iii) make the theory  $T_0^*$  unique, provided it exists ( $T_0^*$  is the so-called *model completion* of  $T_0$ ). These requirements are a generalization of the stable infiniteness requirement of the Nelson-Oppen combination procedure: in fact, if  $T_0$  is the empty theory in the empty signature,  $T_0^*$  is the theory axiomatizing an infinite domain, so that (iii) holds trivially and (iv) is precisely stable infiniteness.

**Example 1** Let us consider the theory of Integer Offsets  $T_I$ :

$T_I$  rules the behaviour of the successor function  $s$  and the constant 0.  $T_I$  has the mono-sorted signature  $\Sigma_I := \{0 : \text{INT}, s : \text{INT} \rightarrow \text{INT}\}$ , and it is axiomatized as follows:

$$\begin{aligned} \forall x \ s(x) &\neq 0 \\ \forall x, y \ s(x) = s(y) &\Rightarrow x = y \\ \forall x \ x &\neq t(x) \quad \text{for all the terms } t(x) \text{ over } \Sigma_I \text{ that properly contain } x \end{aligned}$$

$T_I$  is a universal theory that admits model completion: indeed, if we add to  $T_I$  the axiom  $\forall x(x \neq 0 \Rightarrow \exists y x = s(y))$ , we obtain a theory  $T_I^*$  that admits quantifier elimination (see, e.g. [11]) and such that every constraint that is satisfiable in a model of  $T_I$  is satisfiable also in a model of  $T_I^*$ . To justify the last claim, it is sufficient to observe that each model of  $T_I$  can be extended to a model of  $T_I$  simply by adding recursively to each element different from (the interpretation of) 0 a “predecessor”. Since this operation does not affect the truth of any constraint, we obtain that the condition (iii) is satisfied.

Now, for any theory  $T \supseteq T_I$  over a signature  $\Sigma \supseteq \Sigma_I$  the  $T_I$ -compatibility requirement simply reduces to the following condition: every constraint  $\Gamma$  that is satisfiable in a model of  $T$  must be satisfiable also in a model of  $T \cup \forall x(x \neq 0 \Rightarrow \exists y x = s(y))$ .

Our combination method makes use of satisfiability procedures having the capability of deducing logical consequences over the shared signature. In order to ensure the termination when deducing those logical consequences, we rely on Noetherian theories. Intuitively, a theory is Noetherian if there exists only a finite number of atoms that are not redundant when reasoning modulo  $T_0$ .

**Definition 2 (Noetherian Theory)** A  $\Sigma_0$ -theory  $T_0$  is Noetherian if and only if for every finite set of free constants  $\underline{a}$ , every infinite ascending chain

$$\Theta_1 \subseteq \Theta_2 \subseteq \dots \subseteq \Theta_n \subseteq \dots$$

of sets of ground  $\Sigma_0^{\underline{a}}$ -atoms is eventually constant modulo  $T_0$ , i.e. there is an  $n$  such that  $T_0 \cup \Theta_n \models A$ , for every natural number  $m$  and atom  $A \in \Theta_m$ .

**Example 2** (Example 1 continued). Many examples of Noetherian theories come from the formalization of algebraic structures, but an interesting class of Noetherian theories consists in all the theories whose signature contains only constants and one unary function symbol [13, 23]. Thus, the theory of Integer Offsets  $T_I$  enjoys this property.

Let us consider now a theory  $T \supseteq T_0$  with signatures  $\Sigma \supseteq \Sigma_0$ , and suppose we want to discover, given an arbitrary set of ground clauses  $\Theta$  over  $\Sigma$ , a “complete set” of logical positive consequences of  $\Theta$  over  $\Sigma_0$ , formalized by the notion of  $T_0$ -basis.

**Definition 3 ( $T_0$ -basis)** *Given a finite set  $\Theta$  of ground clauses (built out of symbols from  $\Sigma$  and possibly further free constants) and a finite set of free constants  $\underline{a}$ , a  $T_0$ -basis for  $\Theta$  w.r.t.  $\underline{a}$  is a set  $\Delta$  of positive ground  $\Sigma_0^{\underline{a}}$ -clauses such that*

- (i)  $T \cup \Theta \models C$ , for all  $C \in \Delta$  and
- (ii) if  $T \cup \Theta \models C$  then  $T_0 \cup \Delta \models C$ , for every positive ground  $\Sigma_0^{\underline{a}}$ -clause  $C$ .

Notice that in the definition of a basis we are interested only in positive ground clauses: the exchange of positive information is sufficient to ensure the completeness of the resulting procedure. The interest in Noetherian theories lies in the fact that, for every set of  $\Sigma$ -clauses  $\Theta$  and for every set  $\underline{a}$  of constants, a finite  $T_0$ -basis for  $\Theta$  w.r.t.  $\underline{a}$  always exists. Unfortunately, a basis for a Noetherian theory needs not to be computable; this motivates the following definition corresponding to the last hypothesis of Theorem 1:

**Definition 4** *Given a finite set  $\underline{a}$  of free constants, a  $T$ -residue enumerator for  $T_0$  w.r.t.  $\underline{a}$  is a computable function  $\text{Res}_T^{\underline{a}}(\Gamma)$  mapping a  $\Sigma$ -constraint  $\Gamma$  to a finite  $T_0$ -basis for  $\Gamma$  w.r.t.  $\underline{a}^1$ . A theory  $T$  is an effectively Noetherian extension of  $T_0$  if and only if  $T_0$  is Noetherian and there exists a  $T$ -residue enumerator for  $T_0$  w.r.t. every finite set  $\underline{a}$  of free constants.*

In the following we will show how to discover theories that are effectively Noetherian extensions of the theory of Integer Offsets  $T_I$ . More in detail, we will focus on a particular extension of the superposition calculus that will proved to be a decision procedure for theories extending  $T_I$  and that will provide residue enumerators for  $T_I$ .

## 4 Superposition Calculus for Integer Offsets

Recent literature has focused on the possibility of using the superposition calculus in order to decide the satisfiability of ground formulae modulo the theory of Integer Offsets and some disjoint extensions [1, 5]. Contrary to those papers, we are interested in a superposition-based calculus to deal with non-disjoint extensions of Integer Offsets, being able to constraint the successor symbol with additional axioms.

Let us consider the axiomatization of the theory of Integer Offsets  $T_I$  defined in Example 1. Our aim is to develop a calculus able to take into account the axioms of  $T_I$  into a framework based on superposition. To this aim, let us consider a presentation of the superposition calculus specialized for reasoning over sets of literals, whose rules are described in Figures 1 and 2, augmented with the four more rules over ground terms presented in Figure 3.

Let us adapt the standard definition of *derivation* to the calculus we are interested in:

<sup>1</sup>If  $\Gamma$  is  $T$ -unsatisfiable, then without loss of generality a residue enumerator can always return the singleton set containing the empty clause.

Superposition	$\frac{l[u'] = r \quad u = t}{(l[t] = r)\sigma}$	(i), (ii)
Paramodulation	$\frac{l[u'] \neq r \quad u = t}{(l[t] = r)\sigma}$	(i), (ii)
Reflection	$\frac{u' \neq u}{\perp}$	

where  $\sigma$  is the most general unifier of  $u$  and  $u'$ ,  $u'$  is not a variable in *Superposition* and *Paramodulation*,  $L$  is a literal,  $\perp$  is the syntactic sign used to denote the inconsistency and the following hold:

(i)  $u\sigma \not\leq t\sigma$ , (ii)  $l[u']\sigma \not\leq r\sigma$ .

Figure 1: Expansion Inference Rules.

Subsumption	$\frac{S \cup \{L, L'\}}{S \cup \{L\}}$	if $L\vartheta \equiv L'$ for some substitution $\vartheta$
Simplification	$\frac{S \cup \{L[l'], l = r\}}{S \cup \{L[r\vartheta], l = r\}}$	if $l' \equiv l\vartheta$ , $r\vartheta \prec l\vartheta$ , and $(l\vartheta = r\vartheta) \prec L[l\vartheta]$
Deletion	$\frac{S \cup \{t = t\}}{S}$	

where  $L$  and  $L'$  are literals and  $S$  is a set of literals.

Figure 2: Contraction Inference Rules.

R1	$\frac{S \cup \{s(u) = s(v)\}}{S \cup \{u = v\}}$	if $u$ and $v$ are ground terms
R2	$\frac{S \cup \{s(u) = t, s(v) = t\}}{S \cup \{s(v) = t, u = v\}}$	if $u, v$ and $t$ are ground terms and $s(u) \succ t$ , $s(v) \succ t$ and $u \succ v$
C1	$\frac{S \cup \{s(t) = 0\}}{S \cup \{s(t) = 0\} \cup \perp}$	if $t$ is a ground term
C2	$\frac{S \cup \{s^n(t) = t\}}{S \cup \{s^n(t) = t\} \cup \perp}$	if $t$ is a ground term and $n \in \mathbb{N}$

where  $S$  is a set of literals and  $\perp$  is the symbol for the inconsistency.

Figure 3: Ground reduction Inference Rules.

**Definition 5** Let  $\mathcal{SP}_I$  be the calculus depicted in Figures 1, 2 and 3. A derivation ( $\delta$ ) with respect to  $\mathcal{SP}_I$  is a (finite or infinite) sequence of sets of literals  $S_1, S_2, S_3, \dots, S_i, \dots$  such that, for every  $i$ , it happens that:

- (i)  $S_{i+1}$  is obtained from  $S_i$  adding a literal obtained by the application of one of the rules in Figures 1, 2 and 3 to some literals in  $S_i$ ;
- (ii)  $S_{i+1}$  is obtained from  $S_i$  removing a literal according to one of the rules in Figures 2 or to the rule R1 or R2.

If we focus on the rules of Simplification, R1 and R2, we notice that the effects of the application of any of these rules involve two steps in the derivation: in the former a new literal is added, and in the latter a literal is deleted.

If  $S$  is a set of literals, let  $GS$  be the set of all the ground instances of  $S$ . A literal  $L$  is said to be *redundant* with respect to a set of literals  $S$  if, for all the ground instances  $L\sigma$  of  $L$ , it happens that  $\{E \mid E \in GS \ \& \ E < L\sigma\} \models L\sigma$ . We notice that in our derivations only redundant literals are deleted:

**Fact** If in a derivation  $S_{i+1}$  is equal to  $S_i \setminus \{L\}$ , then  $L$  is redundant with respect to  $S_i$ .

*Proof.* The claim above is well known if  $S_{i+1}$  is obtained from  $S_i$  applying one of the rules in Figure 2, and it follows immediately in the case we are applying R1 or R2.

So, as usual, we label with  $S_\infty$  the set of literals generated during a derivation  $\delta$  (in symbols,  $S_\infty = \bigcup_i S_i$ ), and with  $S_\omega$  the set of persistent literals of  $\delta$ :  $S_\omega = \bigcup_i \bigcap_{j>i} S_j$ . We adopt the standard definition for a rule  $\pi$  of the calculus being *redundant* with respect to a set of clauses  $S$  whenever, for every ground instance of the rule  $\pi\sigma$  it happens that  $\{E \mid E \in GS \ \& \ E < C_m\sigma\} \models D\sigma$ , where  $C_m\sigma$  is the maximal clause in the antecedent, and  $D\sigma$  is the consequent of the rule. According to this definition, a derivation w.r.t.  $\mathcal{SP}_I$  is *fair* if, for every literal  $L_1, L_2, \dots, L_m \in S_\omega$ , every rule that has  $L_1, \dots, L_m$  as premises is redundant w.r.t.  $S_\infty$ .

Suppose now to take into account a fair derivation  $\delta$ . We notice that, if a literal  $L$  is added at a certain step of the derivation, say  $S_{i+1}$ , then  $L$  is either a logical consequence of some literals in  $S_i$ , or it is a consequence of some literals in  $S_i$  and the axioms of the theory  $T_I$ . Thus:

**Proposition 1** *If the set of persistent literals  $S_\omega$  contains  $\perp$ , then  $S_\omega$  is unsatisfiable in any model of  $T_I$ .*

On the other hand, since the reduction rules we can apply during the derivation satisfy the general requirements about the redundancy, we have that:

**Proposition 2** *If the set of persistent literals  $S_\omega$  does not contain  $\perp$ , then  $S_\omega$  is satisfiable.*

What remains to show is that this calculus is *refutationally complete* with respect to the models of  $T_I$  (namely the structures in which the function  $\mathbf{s}$  is injective, acyclic and such that 0 does not belong to the image of  $\mathbf{s}$ ). We want to identify in the following at least one case in which the calculus in Figures 1, 2 and 3 is not only refutationally complete w.r.t.  $T_I$ , but it is complete, too.

**Remark 1** *Since the satisfiability of  $S_\omega$  is equivalent to the satisfiability of  $S_\infty$ , and since the satisfiability of each step  $S_{i+1}$  in the derivation implies the satisfiability of  $S_i$ , we have in particular that if  $S_\omega$  is satisfiable, then  $S_0$  is satisfiable. Moreover, it is immediate to check that the unsatisfiability in the models of  $T_I$  of  $S_\omega$  implies the unsatisfiability of  $S_0$  in the same class of structures. So, in case it happens that the calculus described in Figures 1, 2 and 3 is complete, we can proceed as usual when considering procedures based on saturation methods: an initial set of literals  $S_0$  will be satisfiable (in a model of  $T_I$ ) if and only if its saturation  $S_\omega$  does not contain  $\perp$ .*

## 4.1 Completeness

From now on, we assume that the ordering we consider when performing any application of  $\mathcal{SP}_I$  is  $T_I$ -good:

**Definition 6** We say that an ordering  $\succ$  over terms on a signature containing  $\Sigma_I$  is  $T_I$ -good whenever it satisfies the following requirements:

- (i)  $\succ$  is a simplification ordering that is total on ground terms;
- (ii) 0 is minimal;
- (iii) whenever two terms  $t_1$  and  $t_2$  are not  $\mathbf{s}$ -rooted it happens that  $\mathbf{s}^{n_1}(t_1) \succ \mathbf{s}^{n_2}(t_2)$  iff either  $t_1 \succ t_2$  or ( $t_1 \equiv t_2$  and  $n_1$  is bigger than  $n_2$ ).

**Proposition 3** Assuming  $T_I$ -good ordering  $\succ$  over terms, if the set of persistent literals  $S_\omega$  satisfies the following assumptions:

- $S_\omega$  does not contain  $\perp$ ,
- $S_\omega$  does not contain equations whose maximal term is a variable of sort  $\text{INT}$ , and  $\mathbf{s}$ -rooted terms can be maximal just in ground equations.

then  $S_\omega$  is satisfiable in a model of  $T_I$ .

Collecting all the results obtained so far, we can conclude that:

**Theorem 2** Let  $T$  be a  $\Sigma$ -theory presented as a finite set of unit clauses such that  $\Sigma \supseteq \Sigma_I$ , and assume to put an ordering over terms that is  $T_I$ -good.  $\mathcal{SP}_I$  induces a decision procedure for the constraint satisfiability problem w.r.t.  $T \cup T_I$  if, for any set  $G$  of ground literals:

- the saturation of  $Ax(T) \cup G$  w.r.t.  $\mathcal{SP}_I$  is finite,
- the saturation of  $Ax(T) \cup G$  w.r.t.  $\mathcal{SP}_I$  does not contain non-ground equations whose maximal term is  $\mathbf{s}$ -rooted, or equations whose maximal term is a variable of sort  $\text{INT}$ .

## 4.2 Termination

**Proposition 4** For any set  $G$  of ground literals over a signature extending  $\Sigma_I$ , any saturation of  $G$  w.r.t.  $\mathcal{SP}_I$  is finite.

*Proof.* Each step either adds a literal that is smaller than (at least) one literal already present in the saturation, or delete one literal, hence the multiset of literals decreases according to the well-founded ordering  $((\succ)^{mul})^{mul}$ .

**Corollary 1**  $\mathcal{SP}_I$  induces a decision procedure for the constraint satisfiability problem w.r.t. the union of  $T_I$  and the theory of equality.

## 5 Examples of Integer Offsets Extensions

We investigate theories sharing symbols of  $T_I$  in a specific way, thanks to axioms of the form  $g(f(\dots, x, \dots)) = \mathbf{s}(g(x))$  where  $f, g$  are function symbols not occurring in  $\Sigma_I$ . Despite this restricted form of axioms, we are already able to consider interesting examples of Integer Offsets extensions.

## 5.1 Lists with Length

Let us consider  $T_{LLI}$ , the theory of lists endowed with length.  $T_{LLI}$  can be axiomatized as the union of the theories  $T_L$ ,  $T_\ell$  and  $T_I$ , where  $T_I$  is the theory of Integer Offsets of Example 1 and:<sup>2</sup>

$T_L$  has the multi-sorted signature of the theory of lists:  $\Sigma_L$  is the set of function symbols  $\{\text{nil} : \text{LISTS}, \text{car} : \text{LISTS} \rightarrow \text{ELEM}, \text{cdr} : \text{LISTS} \rightarrow \text{LISTS}, \text{cons} : \text{ELEM} \times \text{LISTS} \rightarrow \text{LISTS}\}$  plus the predicate symbol  $\text{atom} : \text{LISTS}$ , and it is axiomatized as follows:

$$\begin{array}{ll} \text{car}(\text{cons}(x, y)) = x & \neg \text{atom}(x) \Rightarrow \text{cons}(\text{car}(x), \text{cdr}(x)) = x \\ \text{cdr}(\text{cons}(x, y)) = y & \neg \text{atom}(\text{cons}(x, y)) \\ & \text{atom}(\text{nil}) \end{array}$$

$T_\ell$  is the theory that gives the axioms for the function length  $\ell : \text{LISTS} \rightarrow \text{INT}$ :

$$\begin{array}{l} \ell(\text{nil}) = 0 \\ \ell(\text{cons}(x, y)) = s(\ell(y)) \end{array}$$

We want to show that the constraint satisfiability problem for  $T_{LLI}$  is decidable via the calculus described in the previous section.

### 5.1.1 First: reduction

We start addressing the problem of checking the satisfiability of a constraint w.r.t.  $T_{LLI}$ . Let  $G$  be a set of ground literals over  $\Sigma_{T_{LLI}}$ ; we can associate to  $G$  the set of formulae  $G'$  obtained by replacing all the literals in  $G \cup \{\text{atom}(\text{nil})\}$  in the form  $\neg \text{atom}(t)$  and  $\text{atom}(t')$  with respectively  $t = \text{cons}(sk_1, sk_2)$  and  $\forall x_0, x_1 t' \neq \text{cons}(x_0, x_1)$ , where  $t$  and  $t'$  are ground terms of sort LISTS and  $sk_1, sk_2$  are fresh constants of the appropriate sort (this is the same reduction used in [2]).

Let now  $T_{L'}$  be the subtheory of  $T_L$  whose axioms are just the first two (equational) axioms of  $T_L$ . We have that:

**Proposition 5**  *$G$  is satisfiable w.r.t.  $T_{LLI}$  if and only if  $G'$  is satisfiable w.r.t.  $T_{L'} \cup T_\ell \cup T_I$ .*

### 5.1.2 Second: saturation

According to Proposition 5 and applying at most some standard steps of flattening, we can focus our attention to sets of literals of the following kinds ( $x$  is a variable of sort ELEM,  $y$  is a variable of sort LISTS,  $h, l, a, f, g, l_1, l_2, e, d, e_1, e_2, i, i_1, i_2$  are constants of the appropriate sorts and the symbol  $\bowtie$  is a shortening for both  $=$  and  $\neq$ ), and the left-hand side of all the literals is the maximal one.

- i.) equational axioms for lists
  - ii.) reduction for  $\neg \text{atom}$
- a)  $\text{car}(\text{cons}(x, y)) = x$ ;                      b)  $\text{cdr}(\text{cons}(x, y)) = y$ ;

<sup>2</sup>All the axioms should be considered as universally quantified.

- |  |   |
|--|---|
| a) $\text{cons}(x, y) \neq h$ ;                    | v.) ground literals over the sort ELEM      |
| b) $\text{cons}(x, y) \neq \text{nil}$ ;           | a) $\text{car}(h) = d$ ;                    |
| iii.) axioms for the length                        | b) $e_1 \bowtie e_2$ ;                      |
| a) $\ell(\text{nil}) = 0$ ;                        | vi.) ground literals over the sort INT      |
| b) $\ell(\text{cons}(x, y)) = \text{s}(\ell(y))$ ; | a) $\ell(a) = \text{s}^m(i)$ ;              |
| iv.) ground literals over the sort LISTS           | b) $\text{s}^m(i_1) \neq \text{s}^n(i_2)$ ; |
| a) $\text{cons}(e, l) = c$ ;                       | c) $\text{s}^n(i_1) = i_2$ ;                |
| b) $\text{cdr}(f) = g$ ;                           | d) $i_1 = \text{s}^n(i_2)$ .                |
| c) $l_1 \bowtie l_2$ ;                             |   |

Let us choose, as ordering over the terms, a LPO ordering  $\succ$  whose underlying precedence over the symbols of the signature respects the following requirements:

- $\text{cons} > \text{cdr} > \text{car} > c > e > \ell$  for every constant  $c$  of sort LISTS and every constant  $e$  of sort ELEM;
- $\ell > i > 0 > \text{s}$  for every constant  $i$  of sort INT;

These requirements over the precedence guarantee that every compound term of sort LISTS is bigger than any constant, any compound term over the sort ELEM is bigger than any constant, and that  $\succ$  is a  $T_I$ -good ordering.

We require that the rules in Figures 2 and 3 are applied, whenever possible, before the rules in Figure 1 (in other words we require that the contraction rules have a higher priority).

**Proposition 6** *For any set  $G$  of ground literals, any saturation of  $\text{Ax}(T_{LLI}) \cup G$  w.r.t.  $\mathcal{SP}_I$  is finite.*

The key observations, in order to prove termination, are that the non-ground set of literals is already saturated, every equation obtained by the application of a rule to ground factors is smaller in the ordering w.r.t. the biggest factor in the antecedent of the rule, and every application of a rule of the calculus to a ground and a non-ground literal produces a ground literal that is smaller than the ground factor. In other terms, every literal produced during the saturation phase is ground and it is strictly smaller than the biggest ground literal in the input set. Since the ordering on the literals is the multiset extension of a terminating ordering, it is terminating too.

Moreover, since in the saturation no non-ground equation whose maximal term is  $\text{s}$ -rooted is generated, we can conclude by Theorem 2 that  $\mathcal{SP}_I$  is a decision procedure for the constraint satisfiability problem w.r.t.  $T_{LLI}$ .

## 5.2 Lists over Integer Elements

Let us consider now lists whose elements are integers. The reduction of Section 5.1.1 works without any changes, so we can check if the calculus developed in Figures 1, 2 and 3 is still a decision procedure for the constraint satisfiability problem of lists with length and integer elements. We can apply at most some

standard steps of flattening and we focus our attention to sets of literals of the kinds i—iv) defined in Section 5.1 plus the new following one which merges the kinds v—vi) of Section 5.1:

v.) ground literals over the sort INT

- |                               |                       |
|-------------------------------|-----------------------|
| a) $\text{car}(h) = s^n(i)$ ; | d) $s^n(i_1) = i_2$ ; |
| b) $\ell(a) = s^m(i)$ ;       |                       |
| c) $s^m(i_1) \neq s^n(i_2)$ ; | e) $i_1 = s^n(i_2)$ . |

Let us put over the symbols of the signature an order that respects the same requirements we have asked in Section 5.1.2. The same remarks about termination and the shape of the saturated set of the previous section apply also to this case, guaranteeing that  $\mathcal{SP}_I$  provides a decision procedure.

### 5.3 Records with Increment

Let us consider records in which all the attribute identifiers are associated to the same sort INT, and suppose we want to be able to increment by a unity every value stored into the record. To formalize this situation, we can choose a signature as follows: let  $Id = \{id_1, id_2, \dots, id_n\}$  a set of attribute identifiers and let us name REC the sort of records; for every attribute identifier  $id_1, id_2, \dots, id_n$  we have a couple of functions  $\text{rselect}_i : \text{REC} \rightarrow \text{INT}$  and  $\text{rstore}_i : \text{REC} \times \text{INT} \rightarrow \text{REC}$ ; moreover, there is also the increment function  $\text{incr} : \text{REC} \rightarrow \text{REC}$ . The axioms of the theory of integer record with increment,  $T_{IRI}$ , are the following:

$\boxed{T_{IRI}}$  : for every  $i, j$  such that  $1 \leq i < j \leq n$

$$\begin{aligned} \text{rselect}_i(\text{rstore}_i(x, y)) &= y \\ \text{rselect}_j(\text{rstore}_i(x, y)) &= \text{rselect}_j(x) \\ \bigwedge_{i=1}^n (\text{rselect}_i(x) = \text{rselect}_i(y)) &\Rightarrow x = y && \text{(extensionality)} \\ \text{rselect}_i(\text{incr}(x)) &= s(\text{rselect}_i(x)) \end{aligned}$$

In order to check the satisfiability of a set of ground literals w.r.t.  $T_{IRI}$ , we notice that every literal of the kind  $r_1 \neq r_2$  is equivalent to a clause of the kind  $\bigvee_{i=1}^n \text{rselect}_i(r_1) \neq \text{rselect}_i(r_2)$ , so can we substitute every disequation between records with the corresponding clause and then check the satisfiability of the resulting set of clauses by case split.

So we can restrict our attention to sets of literals in which no disequation between records appears. In this case, following the same argument used in [1], it is possible to check the satisfiability forgetting the extensionality axioms (the presence of the function  $\text{incr}$  does not affect the argument). Thus we are reduced to consider the saturation of sets of literals of the following kind:

- |  |   |
|--|---|
| i.) equational axioms for records                                    | ii.) ground literals over the sort REC    |
| a) $\text{rselect}_i(\text{rstore}_i(x, y)) = y$ ;                   | a) $r_1 = r_2$ ;                          |
| b) $\text{rselect}_j(\text{rstore}_i(x, y)) = \text{rselect}_j(x)$ ; | b) $\text{rstore}_i(r_1, s^n(k)) = r_2$ ; |
| c) $\text{rselect}_i(\text{incr}(x)) = s(\text{rselect}_i(x))$ ;     | c) $\text{incr}(r_1) = r_2$ ;             |



- iii.) ground literals over the sort INT
- |  |   |
|--|---|
| a) $\text{rselect}_i(r) = \mathfrak{s}^n(k)$ ;<br>b) $\mathfrak{s}^n(k_1) = k_2$ ; | c) $k_1 = \mathfrak{s}^n(k_2)$ ;<br>d) $\mathfrak{s}^n(k_1) \neq \mathfrak{s}^m(k_2)$ . |
|--|---|

where  $x$  is a variable of sort REC,  $y$  is a variable of sort INT, and  $r, r_1, r_2, k, k_1, k_2$  are constants of appropriate sorts. As usual, let us consider a LPO ordering over terms such that the underlying precedence over the symbols in the signature satisfies the following requirements: for all  $i, j$  in  $\{1, \dots, n\}$ ,  $\text{incr} > \text{rstore}_i$ ,  $\text{rstore}_i > \text{rselect}_j$ ,  $\text{rselect}_i > c$  for every constant  $c$  and every constant  $c$  is such that  $c > 0 > \mathfrak{s}$ .

**Proposition 7** *For any set  $G$  of ground literals, any saturation of  $Ax(T_{IRI}) \cup G$  w.r.t.  $\mathcal{SP}_I$  is finite.*

The completeness of the calculus can be shown relying on the observation that no non-ground literals involving the function symbol  $\mathfrak{s}$  are generated, and that the chosen ordering is a  $T_I$ -good one.

## 6 Combination of Theories Sharing Integer Offsets

In the previous section we have collected examples of theories extending the theories of the Integers Offsets  $T_I$  and whose constraint satisfiability problem is decidable. We have already noticed that  $T_I$  admits a model completion  $T_I^*$  and that is a Noetherian theory; to guarantee that the theories that have been studied can be combined all together it is sufficient to show that they fully satisfy the requirement of being  $T_I$ -compatible and effectively Noetherian extension of  $T_I$ .

### 6.1 $T_I$ -Compatibility

Being for a theory  $T \supseteq T_I$  a  $T_I$ -compatible theory means that every constraint that is satisfiable w.r.t.  $T$  is satisfiable also in a model in which the axiom  $\forall x(x \neq 0 \Rightarrow \exists y x = \mathfrak{s}(y))$  holds. To see that actually it is the case for all the theories considered in Section 5, it is sufficient to check that any model of that theories can always be extended, if needed, adding recursively to each element that is different from (the interpretation of) 0 its predecessor and, in case it is needed, modifying accordingly the remaining part of the structure; and to check that this enlargement does not affect the validity both of the constraints that are verified in the structure and of the axioms of the theory. For example, we consider in the appendix the case of the theory of lists over integer elements with length. Using similar (or simpler) arguments as the ones for this case, it is possible to verify that all the theories in Section 5 are  $T_I$ -compatible.

### 6.2 Derivation of $T_I$ -bases

We have considered Horn  $\Sigma'$ -theories  $T' = T \cup T_I$  extending  $T_I$  with some theories  $T$  axiomatized by unit clauses. We have shown under which assumptions the Superposition Calculus  $\mathcal{SP}_I$  is complete in order to check  $T'$ -satisfiability

of sets of ground literals. Let us show that  $\mathcal{SP}_I$  allows us to derive  $T_I$ -basis. Assume that  $G(\underline{a}, \underline{b})$  is a set of ground literals over an expansion of  $\Sigma'$  with the finite sets of fresh constants  $\underline{a}, \underline{b}$ . Our claim is the following: if  $S_\omega$  is the saturation of  $Ax(T) \cup G(\underline{a}, \underline{b})$  and assuming a  $T_I$ -good order over the terms in the signature  $\Sigma' \cup \{\underline{a}, \underline{b}\}$  such that every term over the subsignature  $\Sigma_I^{\underline{a}}$  is smaller than any term that contains a symbol in  $(\Sigma' \setminus \Sigma_I) \cup \{\underline{b}\}$ , then the subset of  $OGS_\omega$  over the signature  $\Sigma_I^{\underline{a}}$ , denoted by  $\Delta(\underline{a})$ , is a  $T_I$ -basis. Since  $T'$  is a Horn theory, it is convex and so we can focus our attention just over equations instead of positive (ground) clauses.

**Proposition 8** *If  $l = r$  is an equation over  $\Sigma_I^{\underline{a}}$  implied by  $T' \cup G(\underline{a}, \underline{b})$ , then  $l = r$  is already implied by  $T_I \cup \Delta(\underline{a})$ , whenever  $S_\omega$  is (i) finite, (ii) does not contain  $\perp$ , and such that (iii) s-rooted terms can be maximal just in ground equations in  $S_\omega$  and (iv) variables of sort INT are never the maximal term in the equations.*

*Proof.*

Suppose that  $T' \cup G(\underline{a}, \underline{b}) \models l = r$ , being  $l = r$  a ground equation over  $\Sigma_S^{\underline{a}}$ . We want to show that already  $T_I \cup \Delta(\underline{a}) \models l = r$ .

A saturation of  $Ax(T) \cup G(\underline{a}, \underline{b}) \cup \{l \neq r\}$  under  $\mathcal{SP}_I$  is equal to a saturation of  $S_\omega \cup \{l \neq r\}$ . Since  $S_\omega$  contains neither  $\perp$ , nor non-ground equations whose maximal term is s-rooted, nor equations whose maximal term is a variable of sort INT, the only way to derive  $\perp$  is by reducing  $l \neq r$  via equations from  $\Delta(\underline{a})$ : indeed,  $l \neq r$  is defined on the signature  $\mathfrak{s} \cup 0 \cup \underline{a}$  and, at this point, recalling also our choice of the reduction ordering, no equation in  $S_\omega$  containing a symbol different from  $\mathfrak{s}, 0, \underline{a}$ , i.e. no equation out of  $\Delta(\underline{a})$ , can be used to rewrite a term on signature  $\mathfrak{s}, 0, \underline{a}$ .

Thus it follows that the saturation of  $S_\omega \cup \{l \neq r\}$  will add only ground literals to  $S_\omega$ , or  $\perp$ . In any case, the saturation still satisfies all the requirements in order to apply Theorem 2, and so we have the following chain of implications:  $T' \cup G(\underline{a}, \underline{b}) \models l = r$  iff the saturation of  $Ax(T) \cup G(\underline{a}, \underline{b}) \cup \{l \neq r\}$  under  $\mathcal{SP}_I$  contains  $\perp$ , iff saturation of  $\Delta(\underline{a}) \cup \{l \neq r\}$  under  $\mathcal{SP}_I$  contains  $\perp$ , iff  $T_S \cup \Delta(\underline{a}) \models l = r$ . The hypothesis that  $S_\omega$  is finite guarantees that also  $\Delta(\underline{a})$  is finite, i.e.  $\Delta(\underline{a})$  is really a  $T_I$ -basis for  $T$ .

## 7 Conclusion

We have shown how to apply a superposition calculus to build decision procedures for some theories sharing Integer Offsets. These theories and the related decision procedures satisfy all the requirements for their applications in a non-disjoint combination framework. To the best of our knowledge, this paper is the first contribution showing the interest of a superposition calculus for non-disjoint combinations. This paper paves the way of using non-disjoint combinations (with a shared fragment of Arithmetics) in the context of verification. There are several research directions we want to investigate. Currently, the soundness of the superposition calculus is proved manually for each theory considered in the paper. It would be very interesting to have an automatic proof mechanism using for instance a meta-saturation calculus [18, 19]. Moreover, the considered fragment of Arithmetics is not very expressive and we have some limitations

on the form of axioms we are able to handle. Further works are needed to go beyond these restrictions.

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## A Completeness Proof

**Proposition 3.** *Assuming  $T_I$ -good ordering  $\succ$  over terms, if the set of persistent literals  $S_\omega$  satisfies the following assumptions:*

- $S_\omega$  does not contain  $\perp$ ,
- $S_\omega$  does not contain equations whose maximal term is a variable of sort INT,
- $s$ -rooted terms can be maximal just in ground equations in  $S_\omega$

then  $S_\omega$  is satisfiable in a model of  $T_I$ .

*Proof.*

By Proposition 2 we know that if  $\perp$  is not derived, then it is possible to build a model  $\mathcal{M}$  that satisfies all the literals contained in the limit of the derivation,  $S_\omega$ . We can build such a model  $\mathcal{M}$  adapting to our case the so called *model-generation* technique [3]. By assumption,  $S_\omega$  contains only literals, so  $\mathcal{M}$  will be built over the Herbrand universe relying upon a convergent rewriting system  $\mathcal{R}$  defined as follows: suppose that  $\mathcal{R}_{\leq D}$  has already been defined for every ground literal  $D$  in  $GS_\omega$  such that  $D < C$ , and let  $\mathcal{R}_{< C} := \bigcup \{ \mathcal{R}_{\leq D} \mid D \in GS_\omega \ \& \ D < C \}$ .  $\mathcal{R}_{\leq C}$  is equal to  $\mathcal{R}_{< C} \cup \{ l \rightarrow r \}$  if

- $C$  is  $l = r$ ;
- $l$  is in normal form with respect to  $\mathcal{R}_{< C}$ ;
- $l > r$ .

If any of the above condition is not satisfied, then  $\mathcal{R}_{\leq C} := \mathcal{R}_{< C}$ .

Thus, given two ground terms  $t_1$  and  $t_2$ ,  $\mathcal{M} \models t_1 = t_2$  if and only if  $t_1 \downarrow_{\mathcal{R}} = t_2 \downarrow_{\mathcal{R}}$ .

What remains to show is that the model so obtained is a structure that satisfies also the axioms of  $T_I$ .

In the following, we will call  $OGS_\omega$  the set of all ground literals that are contained in  $S_\omega$ . Notice that in  $OGS_\omega$  both the left and the right side of the literals are inter-reduced. Indeed, by contradiction, suppose that  $t = s$  is in  $OGS_\omega$  and that there exists a rule  $l \rightarrow r$  in  $\mathcal{R}$  that is able to reduce (say)  $t$ .  $l \rightarrow r$  is a ground instance of some equation in  $S_\omega$ , that means that the rule Simplification should have been applied, deleting thus  $t = r$  in  $S_\omega$ .

We have to prove now that in  $\mathcal{M}$  the axioms for the injectivity of (the interpretation of)  $s$ , its acyclicity and the fact that (the interpretation of)  $0$  does not belong to the image of  $s$  are true.

1)  $\forall x, y \ s(x) = s(y) \Rightarrow x = y$

By contradiction, let us suppose that there exist two terms  $t_1$  and  $t_2$  such that  $s(t_1) \downarrow_{\mathcal{R}} = s(t_2) \downarrow_{\mathcal{R}}$  but such that  $t_1 \downarrow_{\mathcal{R}} \neq t_2 \downarrow_{\mathcal{R}}$ . Without loss of generality, we can choose such a pair minimal with respect to the componentwise order over pairs induced by the ordering over the terms. By minimality and by the fact that  $\mathcal{R}$  is convergent, we can suppose that both  $t_1$  and  $t_2$  are irreducible. This latter assumption implies that there exist rules in  $\mathcal{R}$  such that  $s(t_1) \rightarrow r \rightarrow^* z$  and  $s(t_2) \rightarrow^* z$ . Since the rule  $s(t_1) \rightarrow r$  belongs to  $\mathcal{R}$ , the literal

$s(t_1) = r$  belongs to  $GS_\omega$ . More precisely, it belongs to  $OGS_\omega$ , since in  $S_\omega$  there is no non-ground literal that allows to rewrite terms whose root symbol is  $s$ . Now two cases are possible:

- either  $s(t_2)$  is irreducible by  $\mathcal{R}$ . Then  $s(t_2) \equiv z$ , and, by the fact that  $r$  is irreducible, we obtain that  $r \equiv s(t_2)$ . Therefore,  $OGS_\omega$  contains the equation  $s(t_1) = s(t_2)$ , that is impossible since an application of the rule R1 would have deleted it and replaced with  $t_1 = t_2$ ;
- or there is a term  $r'$  and a rule  $s(t_2) \rightarrow r'$  such that  $s(t_2) \rightarrow r' \rightarrow^* z$ . Again, the equation  $s(t_2) = r'$  belongs to  $OGS_\omega$ , implying that  $r'$  is irreducible. As a consequence  $r \equiv r'$ . Again, we have a contradiction because an application of the rule R2 would have been possible, deleting (say)  $s(t_1) = r$  and substituting it with  $t_1 = t_2$ .

2)  $s^n(t) \neq t$  for all the terms  $t$  and for all the natural  $n \in \mathbb{N}$

By contradiction, there exists a ground term  $t$  and a natural  $m$  such that  $s^m(t) \downarrow_{\mathcal{R}} t$ . We can choose  $t$  as the least ground term with that property; by minimality, we have that  $t$  is irreducible. Thus it happens that  $s^m(t) \rightarrow r_1 \rightarrow^* t$  where  $s^m(t)$  reduces to a term  $r_1$  thanks to an application of a rule of the kind  $s^{m_1}(t) \rightarrow r$  that comes from the equation  $s^{m_1}(t) = r$  in  $OGS_\omega$  because only the equations that are in  $OGS_\omega$  can reduce terms whose root symbol is  $s$ . Since  $t$  is irreducible, we must have  $m_1 > 0$ ; moreover  $r$  is not  $s$ -rooted since, otherwise, R1 would be applied, deleting thus  $s^{m_1}(t) = r$ . Since  $r$  is not  $s$ -rooted and by the requirement over  $\succ$ ,  $s^{m_1}(t) \succ r$  implies that  $t \succ r$ . More in detail, w.l.o.g. we can suppose that  $t \equiv s^n(t')$ , where  $t'$  is not  $s$ -rooted. Due to the requirement over  $\succ$  and the fact that  $r$  is not  $s$ -rooted, we have for every  $k$  in  $\mathbb{N}$ ,  $s^k(t') \succ r$  iff  $t' \succ r$ . In particular,  $t \equiv s^n(t') \succ r$  implies that  $t' \succ r$ . Now we know that  $s^m(t) \rightarrow s^{m-m_1}(r) \rightarrow^* t$ ; but then  $s^{m-m_1}(r) \succeq t \equiv s^n(t')$ . Again,  $s^{m-m_1}(r) \succeq s^n(t')$  iff either  $r \succ t'$ , that cannot be since  $t' \succ r$ , or  $r \equiv t'$  and  $m - m_1 \geq n$ . But, if  $r \equiv t'$ , the equation  $s^{m_1}(t) = r$  in  $OGS_\omega$  becomes  $s^{m_1+n}(t') = t'$ , and, at this point, an application of the rule C2 would have added  $\perp$ .

3)  $\forall x s(x) \neq 0$

By contradiction again, let us suppose that there exists a ground term  $s(t)$  such that  $s(t) \downarrow_{\mathcal{R}} 0$ . Again, we can choose such as  $t$  the least ground term that satisfies that property; that implies that  $t$  is irreducible. By the ordering over terms we have that  $0$  is irreducible, so the relation  $s(t) \downarrow_{\mathcal{R}} 0$  can be rewritten as  $s(t) \rightarrow r \rightarrow^* 0$  for some ground term  $r$ . The rule  $s(t) \rightarrow r$  comes from the equation  $s(t) = r$  that belongs to  $OGS_\omega$  thus, since  $r$  is irreducible,  $r \equiv 0$ . But, if the equation  $s(t) = 0$  had been in  $OGS_\omega$ , then the application of the rule C1 would have added  $\perp$ .

**Remark 2** *In order to guarantee the completeness of the calculus, we restrict ourselves to the cases where the saturation does not contain equations of the kind  $x = t$ , where  $x$  is variable of sort INT not occurring in the term  $t$ . In other words, we ask that the saturation is variable inactive [1]. It is clear that, if such an equation is generated, then the set of literals should be declared inconsistent modulo  $T_I$ , since an equation of the kind  $x = t$  forces any structure for the sort INT to be of cardinality at most 1. An alternative approach would*

suggest to enlarge the set of reduction rules on the ground terms of sort INT by adding one more rule that declares an inconsistency whenever an equation of the kind  $x = t$ ,  $x$  of sort INT and not occurring in  $t$ , is derived in the saturation. Since, under appropriate conditions (see [6]) that perfectly fit our framework, the Superposition calculus always derives the equation of the kind  $x = t$  whenever implied, we could imagine to guarantee the refutational completeness w.r.t. the models of  $T_I$  of the newly obtained  $\mathcal{SP}_I$  calculus under the hypothesis that the saturation does not contain any equation whose maximal term is non ground and s-rooted.

## B Lists

**Proposition 5.**  *$G$  is satisfiable w.r.t.  $T_{LLI}$  if and only if  $G'$  is satisfiable w.r.t.  $T_{L'} \cup T_\ell \cup T_I$ .*

*Proof.* The satisfiability of  $G \cup T_{LLI}$  implies the satisfiability of  $G' \cup T_{L'} \cup T_\ell \cup T_I$ , since the latter set of sentences has been obtained from the former by removing some axioms from  $T_{LLI}$  and adding some instances over the ground terms  $t'$  of the counternominal of the third and fourth axiom of  $T_L$ .

Let us now show that the satisfiability of  $G' \cup T_{L'} \cup T_\ell \cup T_I$  implies the satisfiability of  $G \cup T_{LLI}$ . Let  $\mathcal{M}$  be a model of  $G' \cup T_{L'} \cup T_\ell \cup T_I$ , and consider the substructure  $\mathcal{N}$  of  $\mathcal{M}$  generated by all the constant symbols in  $G'$ .  $\mathcal{N}$  is still a model of  $G' \cup T_{L'} \cup T_\ell \cup T_I$  since the truth of ground and universally quantified sentences is preserved by passing to substructures. So it remains to show that in  $\mathcal{N}$  also the last three axioms of  $T_L$  and all the literals of the kind  $\neg \text{atom}(t)$  and  $\text{atom}(t')$  are true. More precisely, we have to endow the structure  $\mathcal{N}$  with the interpretation of the predicate symbol  $\text{atom}$ , and then to check the truth of the above sentences. So, for every element  $c$  in the domain of the interpretation of the sort LISTS in  $\mathcal{N}$ , we say that  $c \in \text{atom}^I$  if and only if there exist no  $e, l$  such that  $c = \text{cons}^I(e, l)$ . Let us consider a list  $c$  such that  $c \notin \text{atom}^I$ : that means that there exists  $e, l$  such that  $c = \text{cons}^I(e, l)$ . Since  $\mathcal{N}$  satisfies both the two first axioms of  $T_L$ , we have that  $\text{car}^I(c) = \text{car}^I(\text{cons}^I(e, l)) = e$  and that  $\text{cdr}^I(c) = \text{cdr}^I(\text{cons}^I(e, l)) = l$ , thus obtaining  $c = \text{cons}^I(\text{car}^I(c), \text{cdr}^I(c))$ . The axiom  $\neg \text{atom}(\text{cons}(x, y))$  is satisfied by construction, exactly like as all the literals of the kind  $\neg \text{atom}(t)$  and  $\text{atom}(t')$ .

**Proposition 6.** *For any set  $G$  of ground literals, any saturation of  $Ax(T_{LLI}) \cup G$  w.r.t.  $\mathcal{SP}_I$  is finite.*

*Proof.* Let us give a deeper look to the argument for the termination of the saturation process.

We can divide the literals above into the ground one and the non-ground. It is easy to check that, given the higher priority of the contraction rules, the set of the non-ground literals is saturated. Moreover, it is easy to verify by induction that the saturation of the ground literals forbids the creation of literals with nested occurrences of the symbols  $\text{cons}$ ,  $\text{cdr}$ ,  $\text{car}$ . Let us check now the kind of possible rules that are applicable between non-ground and ground literals. By the previous observation, the only ground literals that can be involved are the literals of the kind  $\text{iva}$ ). Let us see in more detail what happens in this case: Superposition between  $\text{ia}$ ) and  $\text{iva}$ ) produces a literal of the kind  $\text{va}$ ), Superposition between  $\text{ib}$ ) and  $\text{iva}$ ) produces a literal of the kind  $\text{ivb}$ ), Paramodulation between literals in the group  $\text{ii}$ ) and  $\text{iva}$ ) produces literals of the kind

ivc), and finally Superposition between iii) and iva) produces literals of the kind  $\ell(a) = s(\ell(b))$ . Summing up, the kind of literals that are produced are ground, and do not present any nesting of the symbols `cons`, `car` and `cdr`.

Summing up, we have checked that each new literal that is derived during the saturation process is always ground and smaller in the ordering than the maximal ground literal in the antecedent of the rule used to produce it. Therefore, every literal produced during the saturation phase is strictly smaller than the biggest ground literal in the input set. Since the ordering on the literals is the multiset extension of a terminating ordering, it is terminating too.

## C Records

**Proposition 7.** *For any set  $G$  of ground literals, any saturation of  $Ax(T_{IRI}) \cup G$  w.r.t.  $\mathcal{SP}_I$  is finite.*

*Proof.* To prove that the saturation process eventually halts we can argue as follows.

First of all, an easy induction argument prove that, if we want to saturate an input set of ground literals such that the only literals containing the function symbols `incr` and `rstorei` are “almost flat”, i.e. of the kind iib) or iic), the saturation will preserve this feature.

Then, we can observe that the set of axioms i) is already saturated; moreover, the only interaction between literals in group i) and the groups ii) and iii) is due to the literals of the kind iib) or iic). More in detail, the interaction between literals in ia) and iib) produces literals of the kind iiiia), the interaction between literals in ib) and iib) produces literals of the kind  $rselect_i(r_2) = rselect_i(r_1)$  and the interaction between literals in ic) and iic) produces literals of the kind  $rselect_i(r_2) = s(rselect_i(r_1))$ . The analysis shows that the saturation between non-ground literals and ground one produces ground literals that are smaller than the biggest literal in the group iib)  $\cup$  iic) and does not violate the property of being “almost flat” w.r.t. the symbols `rstorei` and `incr`.

The argument above allows to ensure the saturation will adds just ground literals that are smaller than the biggest ground literal in the input set. Since the ordering on ground literals is a terminating one, the saturation process will eventually halt.

## D $T_I$ -Compatibility of Lists over Integer Elements

We want to show that the theory of lists over integer elements with length is  $T_I$ -compatible, which means that every constraint satisfiable in this theory is satisfiable in a model in which  $\forall x(x \neq 0 \Rightarrow \exists y x = s(y))$  holds. Suppose that a certain constraint  $\Gamma$  is satisfied in a structure  $\mathcal{M}_0$  that does not satisfy  $\forall x(x \neq 0 \Rightarrow \exists y x = s(y))$ .  $\mathcal{M}_0$  consists into two structures: one for the interpretation of the integers,  $\mathcal{I}_{\mathcal{M}_0} := (I_{\mathcal{M}_0}, \mathcal{F}_0)$ , and one for the interpretation of the lists,  $\mathcal{L}_{\mathcal{M}_0} := (L_{\mathcal{M}_0}, \mathcal{F}_0)$ . Let  $I_0$  the set of all the elements in  $I_{\mathcal{M}_0}$  that are different from (the interpretation of) 0 and that are not the successor of some elements. To each element  $i_0$  in  $I_0$ , let us associate a new element  $n_{i_0}$  and let us collect these new elements in the set  $I_1$ . Enlarging  $\mathcal{M}_0$ , we build a new structure as follows: for the sort for the integers, we define  $I_{\mathcal{M}_1} := I_{\mathcal{M}_0} \cup I_1$ , and of course



$\mathcal{F}_1$  is a proper extension of  $\mathcal{F}_0$  such that, for every  $n_{i_0}$  in  $I_1$ ,  $s^{\mathcal{F}_1}(n_{i_0}) = i_0$ . We need to enlarge also the interpretation of the sort for the lists, and we proceed as follows. With a little abuse of notation, we can consider all the elements in  $I_{\mathcal{M}_0} \cup I_1$  and in  $L_{\mathcal{M}_0}$  as constants, and we build all the terms over the signature  $\{\text{cons}, \text{car}, \text{cdr}\} \cup (I_{\mathcal{M}_0} \cup I_1) \cup L_{\mathcal{M}_0}$  (naturally we think that the constants in  $I_{\mathcal{M}_0} \cup I_1$  are of sort INT and the constants in  $L_{\mathcal{M}_0}$  are of sort LISTS). Let  $B$  the set of these terms; notice that it contains terms of both sort LISTS and INT. Let us introduce an equivalence relation on  $B$  that identifies two terms  $b_1$  and  $b_2$  if and only if they do not contain symbols from  $I_1$  and if  $b_1^{\mathcal{F}_0} = b_2^{\mathcal{F}_0}$ . It is easy to check that the relation is well defined and a congruence. Let us call  $L_{\mathcal{M}_1}$  the set of all the equivalence classes of the terms of sort LISTS and we identify the set of the equivalence classes of terms of sort INT with the corresponding element in  $I_{\mathcal{M}_0} \cup I_1$ , with the further condition that all the terms of the kind  $\text{car}(\text{cons}(i_1, b))$ , where  $i_1$  is in  $I_1$ , are identified with  $i_1$ . It is immediate to see that there is an injection of  $L_{\mathcal{M}_0}$  into  $L_{\mathcal{M}_1}$ , and it is immediate to set the interpretation  $\mathcal{F}_1$  of the symbols  $\text{atom}, \text{cons}, \text{car}, \text{cdr}, \ell$  in such a way it respects all the axioms for the lists with length and in such a way it is a proper extension of  $\mathcal{F}_0$ . So, let us call  $\mathcal{M}_1$  the structure described by  $(I_{\mathcal{M}_1}, \mathcal{F}_1)$  and  $(L_{\mathcal{M}_1}, \mathcal{F}_1)$ : it is still a model for the lists over integers with length that satisfies  $\Gamma$ . We can iterate now the procedure above inductively; at each step the truth value of  $\Gamma$  is preserved; let now  $\mathcal{M}_\infty$  be its limit: it is easy to verify that in  $\mathcal{M}_\infty$  also the axiom  $\forall x(x \neq 0 \Rightarrow \exists y x = s(y))$  holds.



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