

Term-graph rewriting via explicit paths

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Paths in Term Rewriting Systems

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Abstract. The notion of path is classical in graph theory but not directly used in the term rewriting community. The basic idea of this work is to raise the notion of path to the level of first-order terms, *i.e.* paths are becoming *part* of the terms and not just meta-information about them. These paths are represented by sequences of (positive as well as negative) integers and can be interpreted as relative addresses in terms. In this way, paths can also be seen as a generalization of the classical notions of positions for the first-order terms and of de Bruijn indexes for the lambda calculus.

We show how paths can be used to represent pointers and we define the original framework of addressed term rewriting. We also present paths as a way to implement sharing in terms to simulate term-graph rewriting by addressed term rewriting.

1 Introduction

The notion of position is of course central as soon as one deals with data structures. Absolute as well as relative positions are at the heart of algorithms manipulating data structures and their appropriate use and representation can lead to very important differences in the complexity behavior and more generally in the expression of the algorithms themselves. A typical example is the notion of de Bruijn indexes for lambda-terms [11] that not only allows for an easier expression of data-structure manipulations, typically substitution, but also completely change the way the algorithm is designed because, in this case, it just makes useless the need of alpha-conversion.

The main idea of this paper is to raise the notion of path to the level of firstorder terms, *i.e.* paths are becoming *part* of the terms and not just meta-information about them. Paths are defined as a generalization of positions and denote a relation from a source position to a target one. A main difference with classical positions that specify a subterm w.r.t. to a global term is that the source position is not necessary the root.

The first contribution of the paper is to introduce the notion of addressed terms to ground an extension of term rewriting where paths are used to express relative addresses and thus to provide a natural way to add pointers in classical terms. For instance, the term f(a, g(a)) where we want to make explicit the fact that the subterm a is shared, will be represented as the addressed term f(a, g(-1, -2, 1)). The rational term g^{ω} , solution of the regular equation [10] x = g(x), is represented by the addressed term g(-1).

Based on the formalization of paths and a notion of rewriting for addressed terms, the main contribution of this paper is to establish a simulation of termgraph rewriting by addressed terms. The main advantage of such an approach is to

offer an efficient way to implement in any rule-based language term-graph rewriting features. In fact, since the simulation is completely based on standard first-order terms, this extension is non-intrusive. Indeed this grounds our implementation of explicit sharing in TOM [4].

This new representation of terms generalizes both standard first-order terms as well as de-Bruijn representation of lambda-terms. It requires us to carefully design this new notion of terms and its use to get syntactic correctness (*e.g.* g(-1, -1) makes no sense as such) as well as completeness with respect to the standard notions of term and term graph rewritings. It leads to an original and clean way for representing and transforming graphs in a maximally shared rewrite-based environment, making in particular possible the use of term rewriting strategy [14, 6, 15, 21] for term graph rewriting.

The paper is organized as follows. In Section 2, we formalize the notion of paths and explore some relevant operations and properties. Section 3 focuses on the concept of addressed term rewriting where paths are interpreted as pointers. Section 4 shows the relation between addressed terms and cyclic term-graph. In this section, paths are considered as a way to identify shared parts of the term. Section 5 and 6 present related works and conclude.

2 Paths in a term

We assume the reader to be familiar with the basic definitions of first-order terms given, in particular, in [2]. We briefly recall or introduce notations for a few concepts that will be used along this paper.

A signature \mathcal{F} is a set of function symbols, each one associated to a natural number by the arity function. $\mathcal{T}(\mathcal{F}, \mathcal{X})$ is the set of *terms* built from a given finite set \mathcal{F} of function symbols and a denumerable set \mathcal{X} of variables. Symbol is a partial function from $\mathcal{T}(\mathcal{F}, \mathcal{X})$ to \mathcal{F} , which associates to each term t its top-symbol $f \in \mathcal{F}$. The set of variables occurring in a term t is denoted by $\mathcal{V}ar(t)$. If $\mathcal{V}ar(t)$ is empty, t is called a ground term and $\mathcal{T}(\mathcal{F})$ is the set of ground terms. A substitution σ is an assignment from \mathcal{X} to $\mathcal{T}(\mathcal{F})$, written, when its domain is finite, $\sigma = \{x_1 \mapsto t_1, \ldots, x_k \mapsto t_k\}$. The codomain is denoted $\mathcal{R}an(\sigma)$

In term rewriting, the concept of positions is used to denote a subterm in a global term (*i.e.* the path from the root to this subterm). They are represented by sequences of positive integers and denoted by greek letters ω, δ . The empty sequence ϵ denotes the position associated to the root, and is called top-position. \Box is the classical relation of prefixation between two sequences ($\omega_1 \sqsubseteq \omega_2$ if ω_1 is prefix of ω_2). The subterm of t at position ω is denoted $t_{|\omega}$. The replacement at position ω of the subterm $t_{|\omega}$ by t' is written $t[t']_{\omega}$. The set of positions of t is denoted $\mathsf{pos}(t)$.

In this section, we define the notion of *path*, which generalizes the notion of position by denoting a path from a subterm to another one, and not only the path from the root to a given subterm.

Definition 2.1 (Path). The set \mathcal{P} of paths is the monoid (\mathbb{Z}^*, \cdot) with neutral element ϵ where $\mathbb{Z}^* = \mathbb{Z} \setminus \{0\}$.

Example 2.1. ϵ , 1.3, and -2.1.3 are paths. Note that positions are included in the set of paths and correspond to paths only composed of positive integers.

The notion of path is oriented and corresponds to the route from a subterm to another one. For example, considering the term f(a, b), the path -1.2 describes how to reach the subterm b starting from a. The negative integer -1 denotes a backward move from a to f, whereas 2 goes from f to b. Given a path, we consider the *inverse*, noted \overline{p} , and specified by the equations $\overline{\epsilon} = \epsilon$ and $\overline{i \cdot p} = \overline{p} \cdot i$. The inverse of a path consists in reversing the sequence and in taking the opposite of every integer. For example, $\overline{-1 \cdot 2} = -2 \cdot 1$. We also consider the *subtraction*, noted $p_1 - p_2$, that is just an alias for $\overline{p_2} \cdot p_1$. Given two positions s and d (for *source* and *destination*), the result of d - s corresponds to the path connecting s to d. The subtraction is neither associative, nor commutative. These two operations share the same neutral element ϵ .

The paths $1 \cdot 2 \cdot 2$ and 1 should be equivalent because for every source position, the target positions are the same. In the following we define the notion of *canonical* form as the smallest path of this equivalence class. Moreover, when interpreting a negative integer as a backward move from the *i*-th child to the father, we must ensure that the previous move in the sequence led to the same *i*-th child. For example, the path $1 \cdot 2$ cannot be considered as valid because a move downward to its first child is followed by a move backward from its second child. These observations lead us to introduce the notions of canonical forms, well-formed paths, as well as a constant \perp for representing ill-formed paths.

Definition 2.2 (Canonical path and path equivalence). The canonical form noted (p) of a path p is obtained by normalizing p with the following rules:

i $i \rightarrow \epsilon$	$-i$. $i ightarrow \epsilon$	$i \cdot -j \rightarrow \perp where$	e $i eq j$
$\epsilon \: {\scriptstyle \bullet} \: p \to p$	$p\:{\scriptstyle\scriptstyle\bullet}\:\epsilon\to p$	$\perp {\scriptstyle \bullet} p \to \bot$	$p \centerdot \bot \to \bot$

Two paths p_1 and p_2 are said equivalent if $(p_1) = (p_2)$.

Property 2.1. Given $p \in \mathcal{P}$, the following equalities hold:

$$\begin{aligned} &- \overline{\overline{p}} = p \\ &- (p - p) = (\overline{p} \cdot p) = (p \cdot \overline{p}) = \epsilon. \end{aligned}$$

Let us remark that given two positions ω_1, ω_2 , which are sequences of positive integers, we have $(\omega_2 - \omega_1) = p \cdot \omega'$ where p is a sequence of negative integers and ω' a sequence of positive integers.

Definition 2.3 (Well-formed path). A path $p \in \mathcal{P}$ is well-formed if $(p) \neq \bot$.

For example, 1.2.-2 and 2.3.-3.-2.1 are well-formed paths, but 1.-2 is not. Notice that positions can be seen as a subset of well-formed paths because they correspond to paths only composed of positive integers. Remark that the inverse preserves the well-formedness and every subsequence of a well-formed path is also well-formed. On the contrary, the concatenation and thus the subtraction of two well-formed paths do not always lead to a well-formed path: 1 is well-formed, -2 is well-formed, but 1.-2 is not.

From these definitions, we will show how we can extend an algebraic signature with the monoid of paths to obtain addressed terms. The main idea is to represent pointers using paths.

3 Addressed Term Rewriting

In this section, we show how the notion of paths can be used to extend an algebraic signature in order to represent addresses. In this way, we define valid addressed terms (*i.e.* terms with valid pointers) and addressed term rewriting such that the validity is preserved by rewriting.

3.1 Addressed Term

An addressed term is a term whose nodes may be a path, which denotes a reference to another subterm.

Definition 3.1 (Addressed terms). Given a set of symbols \mathcal{F} , a set of variables \mathcal{X} , and \mathcal{P} the set of paths, we note $\mathcal{T}_a(\mathcal{F}, \mathcal{X})$ the set of addressed terms $\mathcal{T}(\mathcal{F} \cup \mathcal{P}, \mathcal{X})$, where the elements of \mathcal{P} are considered as symbols of arity 0.

Example 3.1. For $\mathcal{F} = \{f, g, a\}$, a, g(-1), and $g(f(a, -2 \cdot 1))$ are addressed terms, elements of $\mathcal{T}_a(\mathcal{F})$. Given \mathcal{F} and \mathcal{X} , we have $\mathcal{T}(\mathcal{F}, \mathcal{X}) \subset \mathcal{T}_a(\mathcal{F}, \mathcal{X})$. Note that $\perp \notin \mathcal{T}_a(\mathcal{F}, \mathcal{X})$, because $\perp \notin \mathcal{P}, \perp \notin \mathcal{F}$, and $\perp \notin \mathcal{X}$.

Definition 3.2 (Address dereferencing). Given $t \in \mathcal{T}_a(\mathcal{F}, \mathcal{X})$ and $\omega \in pos(t)$:

$$deref(t,\omega) = \begin{cases} (\omega \cdot t_{|\omega|}) & if \ t_{|\omega} \in \mathcal{P} \\ \omega & otherwise. \end{cases}$$

The operation $\operatorname{deref}(t, \omega)$ returns the position pointed by $t_{|\omega}$ when $t_{|\omega}$ is an address $(i.e. \in \mathcal{P})$, and ω otherwise. For example, $\operatorname{deref}(g(-1), 1) = \epsilon$, but $\operatorname{deref}(g(a), 1) = 1$.

Problems will inevitably occur when $\operatorname{deref}(t, \omega)$ returns a position which is not in t ($\operatorname{deref}(g(3), 1$) for instance), or a position ω' such that $t_{|\omega'}$ is also an address ($\operatorname{deref}(f(-1.2, -2), 1)$) for instance). The notion of *valid addresses terms* defines terms which do not have such problems.

Definition 3.3 (Valid addressed terms). A term $t \in \mathcal{T}_a(\mathcal{F}, \mathcal{X})$ is a valid addressed term if $\forall \omega \in pos(t)$ such that $t_{|\omega} \in \mathcal{P}$ we have:

- $-t_{|\omega|}$ is in canonical form,
- $deref(t, \omega) \in pos(t),$
- $-t_{|deref(t,\omega)} \notin \mathcal{P}.$

We note $\mathcal{VT}_a(\mathcal{F}, \mathcal{X})$ the set of valid addressed terms.

Example 3.2. The terms g(-1) and $f(-1 \cdot 2, a)$ are valid, but g(3) and $f(-1 \cdot 2, -2)$ are not. Terms reduced to a single address $(\epsilon, 1, -1 \cdot 2, etc.)$ are elements of $\mathcal{T}_a(\mathcal{F}, \mathcal{X})$ but are not valid (*i.e.* $\notin \mathcal{VT}_a(\mathcal{F}, \mathcal{X})$). The term $t = f(-1 \cdot 1 \cdot -1, -2 \cdot 3)$ is not valid for two reasons: $-1 \cdot 1 \cdot -1$ is not in canonical form and $deref(t, 2) = (2 \cdot -2 \cdot 3) = 3$ which is not in $pos(t) = \{\epsilon, 1, 2\}$.

3.2 Addressed term rewriting

Given the notion of addressed terms, we introduce the notion of *addressed term rewriting* which is an extension of term rewriting.

Definition 3.4 (Addressed term rewriting). Given a rewrite rule $l \to r$ where $l, r \in \mathcal{VT}_a(\mathcal{F}, \mathcal{X})$ and $Var(r) \subseteq Var(l)$, a term $t \in \mathcal{VT}_a(\mathcal{F})$ is rewritten into t' if there exists a position ω and a substitution σ such that $\sigma(l) = t_{|\omega}$ and $\mathcal{R}an(\sigma) \subseteq \mathcal{VT}_a(\mathcal{F}, \mathcal{X})$. t' is defined by $t[\sigma(r)]_{\omega}$.

Note that classical term rewriting is included in addressed term rewriting because the only new condition is $\mathcal{R}an(\sigma) \subseteq \mathcal{VT}_a(\mathcal{F}, \mathcal{X})$. This conditions is always true when rewriting a term $t \in \mathcal{T}(\mathcal{F}, \mathcal{X})$. In general, the notion of addressed term rewriting does not imply any condition of validity on the term to be reduced, or the left-hand side of rules. For example, the rule $f(a, -2 \cdot -1) \to a$, whose left-hand side is not a valid term, can be applied to the term $g(f(a, -2 \cdot -1))$ at the position 1, to produce the term g(a). However, when starting from a valid term and performing only rewrite steps at top position, the notion of validity is preserved.

Theorem 3.1. The set of valid addressed terms $\mathcal{VT}_a(\mathcal{F}, \mathcal{X})$ is closed under rewriting at top-position.

Proof. The proof is essentially based on the matching condition. As $\mathcal{R}an(\sigma) \subseteq \mathcal{V}\mathcal{T}_a(\mathcal{F}, \mathcal{X})$, it ensures that a variable can only be instantiated by a valid addressed term (*i.e.* closed under its pointers). In particular, a variable can be instantiated nor by a path neither by a term with pointers to the external. As the right hand side r of the rule is valid, also is $\sigma(r)$.

4 Term Graph Rewriting

There exist several definitions of term-graph rewriting, category-theory oriented [13, 16], equationally oriented [1, 20] or implementation-oriented [5]. The difference between terms and term-graphs is the notion of horizontal and vertical sharing. In this section, we base our work on the equational framework introduced in [1]. This framework allows the definition of possibly cyclic term-graphs, using flattened forms of systems of recursion equations.

Definition 4.1 (System of recursion equations [1]). Given \mathcal{F} and \mathcal{X} , a system of recursion equations is a list $\{\alpha_1 = t_1, \ldots, \alpha_n = t_n\}$, $\alpha_i \in \mathcal{X}$, $t_i \in \mathcal{T}(\mathcal{F}, \mathcal{X})$, where we assume that the first recursion variable α_1 represents the root of the graph. t_1, \ldots, t_n are of the form $f(\beta_1, \ldots, \beta_m)$, $f \in \mathcal{F}$, $\beta_j \in \mathcal{X}$. Multiple definitions of a variable are not allowed and every α_i must be reachable from the root α_1 .

Given a system of recursion equations L, the root is denoted $\operatorname{Root}(L)$. A variable α is said bound when it appears in the left-hand side of an equation. Otherwise, α is free.

The Definition 4.1 corresponds to systems of recursion equations in *flattened form* and ensures the unicity of the representation of a term-graph (modulo renaming of recursion variables). An example of term-graph is given in Figure 1.



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Fig. 1. This cyclic term-graph corresponds to the system of recursion equations $\{\alpha = f(\beta, \gamma), \beta = g(\alpha), \gamma = f(\beta, \alpha)\}$. It contains horizontal sharing: α and γ share the same subterm β ; as well as vertical sharing: α is a subterm of both β and γ .

Definition 4.2 (Equational term-graph rewriting [1]). Given a rewrite rule composed of two systems of recursion equations L_1 and L_2 with the same root, a list of recursion equations L is rewritten into L' by the rule $L_1 \rightarrow L_2$ if there exists a substitution σ and a bound variable α such that $\sigma(L_1) \subseteq L$ and $\alpha = Root(\sigma(L_1))$. $L' = L \setminus \{\alpha = t\} \cup \sigma'(L_2)$ where $\sigma'(L_2)$ denotes $\sigma(L_2)$ in which every bound variable (except the root) has been renamed using a fresh name.

Example 4.1. Suppose we want to apply the rule $\{\beta_1 = f(\beta_2, \beta_3) \rightarrow \beta_1 = \beta_2\}$, which corresponds to $f(x, y) \rightarrow x$, on the following term-graph:



The initial term-graph is $L = \{\alpha_1 = f(\alpha_2, \alpha_3), \alpha_2 = f(\alpha_4, \alpha_5), \alpha_3 = f(\alpha_6, \alpha_4), \alpha_4 = a, \alpha_5 = g(\alpha_6), \alpha_6 = b\}$. When applying the rule at position 1 (*i.e.* on α_2), we have $\sigma = \{\beta_1 \mapsto \alpha_2, \beta_2 \mapsto \alpha_4, \beta_3 \mapsto \alpha_5\}$ and α_2 is the selected bound variable. $\sigma(L_2) = \{\alpha_2 = \alpha_4\}$ (note that renaming with fresh variables is not necessary in this case) and we get $L' = L \setminus \{\alpha_2 = f(\alpha_4, \alpha_5)\} \cup \sigma(L_2)$ as final result. This corresponds $\{\alpha_1 = f(\alpha_4, \alpha_3), \alpha_3 = f(\alpha_6, \alpha_4), \alpha_4 = a, \alpha_6 = b\}$ after flattening equations and removing unreachable ones.

4.1 Addressed term equivalence

In order to simulate term-graphs with addressed terms, we need to establish equivalence classes between valid addressed terms. For example, $f(-1 \cdot 2, a)$ and $f(a, -2 \cdot 1)$ should be equivalent. They both correspond to the term-graph rooted by f whose two children correspond to the shared subterm a.

To define the equivalence between two addressed terms, we need to verify two conditions. First, if we replace all the sharing by duplication, the terms obtained are equal. This operation is called *expanding*. For example, the expansion of both $f(-1 \cdot 2, a)$ and $f(a, -2 \cdot 1)$ is f(a, a). However, this condition alone is not sufficient because the two terms to compare must have a similar sharing. For example, f(a, a) is not equivalent to $f(a, -2 \cdot 1)$ because a is not explicitly shared in the first one. For this, we introduce a second condition about *shared positions*.

Before defining the equivalence, we introduce three intermediate functions. The first one, called *translation*, is essential in the following. Given a term t and two

positions ω_1, ω_2 , as illustrated in Figure 4.1, the translation in t from ω_1 to ω_2 , denoted $t_{[\omega_1 \to \omega_2]}$, returns the subterm $t_{|\omega_1}$ where the addresses contained in $t_{|\omega_1}$ have been updated as if $t_{|\omega_1}$ was moved to the position ω_2 .

Definition 4.3 (Subterm translation). Given $t \in \mathcal{VT}_a(\mathcal{F}, \mathcal{X})$ and two positions ω_1, ω_2 , we consider the subterm translation $t_{[\omega_1 \to \omega_2]}$ defined such that $\forall \delta \in \mathsf{pos}(t_{|\omega_1})$:

$$t_{[\omega_1 \to \omega_2]|\delta} = \begin{cases} (deref(t, \omega_1 \cdot \delta) - \omega_2 \cdot \delta) & \text{if } t_{|\omega_1 \cdot \delta} \in \mathcal{P} \\ t_{|\omega_1 \cdot \delta} & \text{otherwise.} \end{cases}$$



Fig. 2. Given t and ω_1 , let us suppose that at position ω the subterm $t_{|\omega_1|}$ contains a reference to the subterm d (*i.e.* $d = \text{deref}(t, \omega)$). The translation from ω_1 to ω_2 corresponds to an update of $t_{|\omega_1|}$ as if it was moved to ω_2 . By maintaining the pointers to the referenced terms, the paths stored in $t_{|\omega_1|}$ are updated. The result of this operation is the updated subterm $t_{|\omega_1|}$. For example, $f(g(-1, -1), a)_{[1 \rightarrow 2]} = g(-1, -2)$.

To express the two conditions for equivalence, *expanding* and *shared positions*, we introduce two functions:

- exp: replaces in a valid addressed term every address by the corresponding expanded subterm,
- share: returns the set of shared position pairs.

Definition 4.4 (Expansion). Given $t \in \mathcal{VT}_a(\mathcal{F})$, $exp(t) \in \mathcal{T}(\mathcal{F}, \mathcal{X})$ is defined as the smallest fix point of the relation $t_{|\omega} \to t_{[deref(t,\omega)\to\omega]}$ if $t_{|\omega} \in \mathcal{P}$.

Example 4.2. $\exp(f(-1 \cdot 2, a)) = f(a, a)$ and $\exp(g(-1)) = g(g(g(\ldots)))$. Note that in case of a cycle, the expanded term corresponds to an infinite term. A non trivial example is $f(g(-1 \cdot -1 \cdot 2), h(-1 \cdot -2 \cdot 1))$. In this case, we need to update paths at every application of the rule. As the shared subterms are dependent, the result is infinite. We finally obtain $f(g(h(g(h(\ldots)))), h(g(h(g(\ldots)))))$.

Definition 4.5 (Sharing). Given $t \in \mathcal{VT}_a(\mathcal{F})$, we consider:

$$\begin{cases} share_0(t) &= \{\{\omega, deref(t, \omega)\} \mid \omega \in pos(t) \text{ and } t_{|\omega} \in \mathcal{P}\} \\ share_{n+1}(t) &= \{\{\omega' \cdot q, q'\} \mid \{\omega, \omega'\}, \{\omega \cdot q, q'\} \in share_n(t)\} \end{cases}$$

the function share(t) is defined as $\lim_{n\to\infty} share_n(t)$.

Example 4.3. share $(f(-1, 2, a)) = \{\{1, 2\}\}$ and share $(g(-1)) = \{\{1, \epsilon\}\}$. A non trivial example is f(g(-1, -1, 2), g(-1, -2, 1)). In this case, it is necessary to close the relation of sharing with prefixes. We finally obtain an infinite set of related positions because of the dependency of the two addresses.

Definition 4.6 (Equivalence). Two valid addressed terms t_1, t_2 are equivalent (noted $t_1 \sim t_2$) if $share(t_1) = share(t_2)$ and $exp(t_1) = exp(t_2)$.

Note that it is easy to show that \sim is a relation of equivalence (reflexive, symmetric, and transitive).

4.2 Canonical form of addressed terms

For every equivalence class, we define a canonical form using a lexicographic order on positions, noted $<_{\omega}$. For example $\epsilon <_{\omega} 1$ and $1 \cdot 2 <_{\omega} 1 \cdot 3$.

Definition 4.7 (Canonical form). A valid addressed term $t \in \mathcal{VT}_a(\mathcal{F}, \mathcal{X})$ is canonical if for every subterm $t_{|\omega} \in \mathcal{P}$, $deref(t, \omega) <_{\omega} \omega$. We note $\mathcal{T}_q(\mathcal{F}, \mathcal{X})$ the set of canonical addressed terms.

Example 4.4. The term f(a, -2.1) is canonical but f(-1.2, a) is not because deref(f(-1.2, a), 1) = 2 (the position of the pointed subterm a) is not smaller than 1 (the position of the path -1.2).

To define the normalization function that returns the canonical form of any valid addressed term, we introduce a swapping function that permutes two subterms and updates all the paths contained in the global term in order to preserve the sharing. First, we translate the two subterms. This translation only updates the pointers from the subterms to the external. To obtain a valid addressed term, we still have to update every pointers towards the subterms.

Definition 4.8 (Swapping). Given $t \in \mathcal{VT}_a(\mathcal{F}, \mathcal{X})$ and two disjoint positions ω_1, ω_2 ($\omega_1 \not\sqsubseteq \omega_2$ and $\omega_2 \not\sqsubseteq \omega_1$), we consider $u = t_{[\omega_1 \to \omega_2]}$, $v = t_{[\omega_2 \to \omega_1]}$, $t' = t[v]_{\omega_1}[u]_{\omega_2}$. The swapping in t of the subterms at position ω_1 and ω_2 is denoted by $t_{[\omega_1 \leftrightarrow \omega_2]}$, and is defined such that $\forall \omega \in \mathsf{pos}(t')$, we have:

$$t_{[\omega_1 \nleftrightarrow \omega_2]_{|\omega}} = \begin{cases} (\omega_2 \cdot \delta - \omega) \text{ if } t'_{|\omega} \in \mathcal{P} \text{ and } \exists \delta \text{ s.t. } \operatorname{deref}(t', \omega) = \omega_1 \cdot \delta \\ (\omega_1 \cdot \delta - \omega) \text{ if } t'_{|\omega} \in \mathcal{P} \text{ and } \exists \delta \text{ s.t. } \operatorname{deref}(t', \omega) = \omega_2 \cdot \delta \\ t'_{|\omega} \text{ otherwise.} \end{cases}$$

Example 4.5. $f(a,b)_{[1\leftrightarrow 2]} = f(b,a)$, $f(g(-1 \cdot 1), -2 \cdot 1)_{[1\leftrightarrow 2]} = f(-1 \cdot 2, g(-1 \cdot 2))$. A more complex example is the swap of a and b in $t = f(f(a,b), -2 \cdot 1 \cdot 2)$. In this case, the address $-2 \cdot 1 \cdot 2$ has to be updated because it has to reference b. Thus, the result is $t_{[1,1\leftrightarrow 1,2]} = f(f(b,a), -2 \cdot 1 \cdot 1)$.

Contrary to the translation, the swapping preserves the notion of validity. Note that the complexity of the swapping is linear on the size of the term since in the worst-case, all the addresses in the term must be updated.

We will now introduce the *normalization* function of valid addressed terms that associates to every valid addressed term its canonical form.

Definition 4.9 (Normalization). We define $[\![]\!] : \mathcal{V}\mathcal{T}_a(\mathcal{F}, \mathcal{X}) \to \mathcal{T}_g(\mathcal{F}, \mathcal{X})$ such that given $t \in \mathcal{V}\mathcal{T}_a(\mathcal{F}, \mathcal{X})$, $[\![t]\!]$ is the normal form by the relation $t \to t_{[\omega \Leftrightarrow deref(t,\omega)]}$ if $t_{|\omega} \in \mathcal{P}$ and $\omega <_{\omega} deref(t,\omega)$.

Example 4.6. $[\![a]\!] = a$, $[\![f(-1 \cdot 2, a)]\!] = f(a, -2 \cdot 1)$, and $[\![f(-1 \cdot 2, g(-1 \cdot -2))]\!] = f(g(-1 \cdot -1), -2 \cdot 1)$

Note that the normalization is linear in the size of the term when the swapping is applied in a leftmost-innermost way.

Proposition 4.1. The normalization is convergent.

Proposition 4.2. $\forall t \in \mathcal{VT}_a(\mathcal{F}, \mathcal{X}), t \text{ and } \llbracket t \rrbracket$ are equivalent.

Proposition 4.3. $\forall t_1, t_2 \in \mathcal{VT}_a(\mathcal{F}, \mathcal{X}), we have: \llbracket t_1 \rrbracket \backsim \llbracket t_2 \rrbracket \Leftrightarrow \llbracket t_1 \rrbracket = \llbracket t_2 \rrbracket.$

Theorem 4.1. $\forall t_1, t_2 \in \mathcal{VT}_a(\mathcal{F}, \mathcal{X})$, we have: $t_1 \backsim t_2 \Leftrightarrow \llbracket t_1 \rrbracket = \llbracket t_2 \rrbracket$

Proof. The proofs of Propositions 4.1, 4.2, 4.3, and Theorem 4.1 are given in Appendices A, B, C, and D. $\hfill \Box$

In practice, to verify that two terms are equivalent we will compare their canonical forms. It is simpler and more realistic than computing $\exp(t)$ and $\operatorname{share}(t)$, which moreover can be infinite.

4.3 Term-graph rewriting using canonical addressed terms

We introduce an efficient algorithm for implementing term-graph rewriting using canonical addressed terms. The idea is to use syntactic pattern matching and a special rewrite step for preserving shared parts of the redex as in Definition 4.2.

Definition 4.10 (Rewriting algorithm). Given $t \in \mathcal{T}_q(\mathcal{F})$ and $l, r \in \mathcal{T}_q(\mathcal{F}, \mathcal{X})$:

- we assume l linear and we note ω_{xl} the position of x in l,
- -t is rewritten into t' by the rule $l \rightarrow r$ if:
 - 1. there exists a position ω and a substitution σ such that $\sigma(l) = t_{|\omega}$,
 - 2. $t' = \llbracket \langle t, \dot{r} \rangle_{[1,\omega \leftrightarrow 2]} \rrbracket_{|1}$, where $\langle ., . \rangle$ is a fresh binary symbol and \dot{r} is the ground term corresponding to r in which any occurrence of a variable x (whose position is noted ω_{xr}) is replaced by the path $((1 \cdot \omega \cdot \omega_{xl}) (2 \cdot \omega_{xr}))$.

This proposed algorithm is original in the sense that no substitution is explicitly computed. Instead, the right hand side of the rule is transformed by replacing every variable by paths to the corresponding subterm in the redex. By swapping the redex and the right hand side in $\langle t, \dot{r} \rangle_{[1,\omega \leftrightarrow 2]}$, the substitution is automatically applied. The main subtlety of the algorithm is to rebalance the whole term with the old redex using normalization. All the sharing subterms in the redex that must be conserved are moved from the old redex to the term and the unused part of the redex is left at the right part of $\langle t, \dot{r} \rangle_{[1,\omega \leftrightarrow 2]}$. At the end, the result of the rewrite step corresponds exactly to the left child of $[[\langle t, \dot{r} \rangle_{[1,\omega \leftrightarrow 2]}]]$.

The complexity of this algorithm is linear in the size of the subject because the complexity of the swapping and the normalization are linear.

Example 4.7. Suppose we want to apply the rule $f(x, y) \rightarrow f(y, x)$ on the subject t = f(a, b). The rule is applied at top-position, therefore we have $\omega_{xl} = 1$, $\omega_{yl} = 2$, $\omega_{xr} = 2$, $\omega_{yr} = 1$, and $\dot{r} = f(\langle (1 \cdot \epsilon \cdot \omega_{yl}) - (2 \cdot \omega_{yr}) \rangle$, $\langle (1 \cdot \epsilon \cdot \omega_{xl}) - (2 \cdot \omega_{xr}) \rangle$) = $f(-1 \cdot -2 \cdot 1 \cdot 2, -2 \cdot 2 \cdot 1 \cdot 1)$. Starting from $\langle f(a, b), \dot{r} \rangle$, we get $\langle f(a, b), \dot{r} \rangle_{[1 \leftrightarrow 2]} = \langle f(-1 \cdot -1 \cdot 2 \cdot 2, -2 \cdot 2 \cdot 1 \cdot 2), f(a, b) \rangle$. When computing the canonical form, we obtain $[\langle f(a, b), \dot{r} \rangle_{[1 \leftrightarrow 2]}]] = \langle f(b, a, f(-1 \cdot -2 \cdot 1 \cdot 1, -2 \cdot 2 \cdot 1 \cdot 2) \rangle$. Finally, the result is $[\langle f(a, b), \dot{r} \rangle_{[1 \leftrightarrow 2]}]]_{|1} = f(b, a)$ as expected.

The following example shows that pointers to subterms that disappear (b for instance) are replaced by a copy of the subterm. The illustration corresponds to

the application of the rule $f(x, y) \to x$, at position 1 on the following canonical addressed term:



Theorem 4.2. The set of canonical terms $\mathcal{T}_q(\mathcal{F}, \mathcal{X})$ is closed under rewriting.

Proof. See Appendix E

As the main result of the paper we show in the next section that every term-graph can be represented by a canonical addressed term and that term-graph rewriting (Definition 4.2) can be simulated by the algorithm introduced in Definition 4.10.

4.4 Simulation of term-graph rewriting

We introduce a function ϕ that associates to every system of recursion equations its canonical representation in $\mathcal{T}_g(\mathcal{F}, \mathcal{X})$. For this purpose, we need an intermediate representation between systems of equations and addressed terms. The representation is called *labelled terms* and is defined in [19]. Given a list of recursion equations L, a recursion variable α bound in L, and a set of recursion variables Λ , we consider the function $\psi(L, \alpha, \Lambda)$, which returns a pair, and is defined as follows:

$$\psi(L,\alpha,\Lambda) = \begin{cases} (\alpha:f(\beta'_1,\ldots,\beta'_m),\Lambda'_m) \text{ if } \alpha \notin \Lambda \\ \text{where } \alpha \text{ of the form } f(\beta_1,\ldots,\beta_m) \\ (\beta'_1,\Lambda'_1) = \psi(L,\beta_1,\Lambda \cup \{\alpha\}) \\ \vdots \\ (\beta'_m,\Lambda'_m) = \psi(L,\beta_m,\Lambda'_{m-1}) \end{cases}$$

Example 4.8. Considering the example given Figure 1, we have $L = \{\alpha = f(\beta, \gamma), \beta = g(\alpha), \gamma = f(\beta, \alpha)\}$. $\psi(L, \alpha, \emptyset)$ is equal to the pair $(\alpha : f(\beta'_1, \beta'_2), \Lambda'_2)$ where $\beta'_1 = \beta : g(\alpha), \Lambda'_1 = \{\alpha, \beta\}, \beta'_2 = \gamma : f(\beta, \alpha), \Lambda'_2 = \{\alpha, \beta, \gamma\}$. In other words, the intermediate *labelled term* representation of L is $\alpha : f(\beta : g(\alpha), \gamma : f(\beta, \alpha))$.

By abuse of notation, we note $\psi(L)$ the projection on the first field $\pi_1(\psi(L, \mathcal{Root}(L), \emptyset))$. With this representation of a system of equations, we identify where the subterm must be attached (labelled subterm) and where we must put addresses. Given a labelled term t, we associate to each label α_i in t a position ω_{α_i} corresponding to the subterm labelled by this variable. For example, in $\alpha : f(\beta : g(\alpha), \gamma : f(\beta, \alpha)), \omega_{\alpha} = \epsilon, \omega_{\beta} = 1$ and $\omega_{\gamma} = 2$.

Definition 4.11. Given a list of recursion equations L, we define its representation $\phi(L) \in \mathcal{T}_q(\mathcal{F}, \mathcal{X})$ such that $\forall \omega \in \mathsf{pos}(\psi(L))$:

 ϕ can be naturally extended to rules: $\phi(L_1 \to L_2) = \phi(L_1) \to \phi(L_2)$.

Example 4.9. Continuing the previous example, we have $\psi(L) = \alpha : f(\beta : g(\alpha), \gamma : f(\beta, \alpha)), \omega_{\alpha} = \epsilon, \omega_{\beta} = 1$, and $\omega_{\gamma} = 2$. Therefore, the system of recursion equation is represented by the canonical addressed term $\phi(L) = f(g(-1, -1), f(-1, -2, -1, -2, -2))$.

Theorem 4.3 (Rewrite step simulation). Given G a system of recursion equations and $L \to R$ a rewrite rule noted $r: G \to_r G' \Rightarrow \phi(G) \to_{\phi(r)} \phi(G')$.

Proof. See Appendix F.

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5 Related works

5.1 Path algebras

In graph theory, optimality problems can be expressed in term of *path algebras* [7, 18, 17]. A path algebra is composed of a set and two operations \vee (idempotent, associative-commutative) and \circ (associative, left and right distributive over \vee). These two operations are specific to a given optimality problem and solving this problem consists in computing with adjacency matrices over the corresponding algebra. Our definition of paths is specific to terms but it would be interesting to instantiate the framework of path algebras with the notions introduced in Section 2.

5.2 Term Graph rewriting

The notion of term graph has been intensively studied in the literature, in particular in [5], where a restricted form of *acyclic* term-graph has been used to represent terms with sharing. This approach leads to efficient implementations of a term rewriting engine, as in Clean, Elan, or Maude.

We are in a dual situation: we already have a very efficient term rewriting engine. This implementation is based on the notion of maximally shared terms, internally represented by acyclic graphs. Such a representation is purely functional and does not allow any side effect. This constraint makes the implementation of graphs and termgraphs difficult. The contribution of the paper is to provide a solution to represent and transform graphs in a functional environment. The main advantage of using a classical underlying term representation is to make possible the reuse of the notion of term rewriting strategy [14, 6, 15].

The extension to cyclic term-graph rewriting has been studied and in particular linked up with rational term rewriting [8, 9]. Especially, a mapping from cyclic termgraph rewriting to rational parallel term rewriting can be defined. In this context, it is often difficult to deal with graph homomorphism. In this work, we choose to

simulate term-graph rewriting as defined in [1] and to favor practical aspects. As a consequence, the term-graphs g(-1) and g(g(-2)) are not equivalent even if they both represent the infinite term g^{ω} .

Moreover, the set of valid addressed terms where addresses are not interpreted as sharing but as oriented pointers (Section 3) is to our knowledge a new approach that can be interesting to study and simulate object-oriented languages. For example, it could be used to model garbage collection algorithms. In the context of term-graph rewriting, an original approach is the formalism presented in [12] where the righthand side of the rules consists in a set of actions on the pointers. The work presented in this paper is a first step towards an implementation of such a formalism.

6 Conclusion

We have generalized the notion of term positions with *term paths* that are closely related to the notion of path in graphs and to the concept of de Bruijn indices [11]. By extending a signature with paths we obtained a new kind of rewriting called *addressed term rewriting* where terms can contain pointers. Since this extension is closed to term rewriting (the main difference is the condition on the substitution's codomain), classical knowns results about confluence and termination can be reused in this context. This will be useful to model the semantic of imperative programming languages for instance.

In the second part of the paper, we introduced *canonical addressed terms* to represent term-graphs. As in the case of de Bruijn indices, the interest of this representation is to avoid problems of alpha conversion, compared to representations with labels or variables. Another advantage is that contrary to a recursion equation, the hierarchical structure of the term-graph is explicit because of its term representation. Moreover, as canonical addressed terms are terms, it is possible to extend in a non-intrusive way any rule-based language in order to support efficient term-graph rewriting. Actually, we have started to implement this formalism in the TOM language [3]. For now, it is possible to automatically generate from a signature the extended version for addressed terms where the normalization is integrated. Moreover, all the operations on paths have been implemented.

The last contribution of the paper is an efficient algorithm that simulates cyclic term-graph rewriting using canonical addressed terms. Thanks to pointers, the substitution can be applied in an unusual way, using swapping between the redex and the right-hand side of the rule. we are currently working on the integration of this algorithm in TOM.

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A Proof of Proposition 4.1

We have to prove the termination and the confluence. The termination property is trivial because of the total order on positions and of the fact that valid addressed terms do not contain pointers to pointers. Consider the multiset $\{\operatorname{deref}(t,\omega)|t_{|\omega} \in \mathcal{P}\}$ composed of the pointed positions and the order on mutisets (A multiset Nis less than a multiset M if it is obtained by replacing finitely many elements of M by smaller elements). This multiset decreases strictly at each rewriting step. Indeed, after applying the rule at a given position, $t_{|\omega} \notin \mathcal{P}$ and $t_{|\operatorname{deref}(t,\omega)} \in \mathcal{P}$. Now $\operatorname{deref}(t, \operatorname{deref}(t,\omega)) = \omega$ and $\omega <_{\omega} \operatorname{deref}(t,\omega)$. Concerning the other updated addresses, $\operatorname{deref}(t,\omega)$ is either smaller (pointers to the swapped subterm or to subterms of it) or remains unchanging (pointers inside the subterm). Finally, the cardinality of the multiset has not evolved and each replaced element has been substituted by a smaller one so the termination is ensured.

Moreover, we will show that for the same reason, the normal form is unique. Suppose there are two distinct positions ω' and ω'' where the rule can be applied. We note $\omega_1 = \operatorname{deref}(t, \omega')$ and $\omega_2 = \operatorname{deref}(t, \omega'')$. We distinguish several cases depending on the order between positions and the prefixation (noted \sqsubseteq). Some combinations of conditions are impossible because the rule can be applied only if $\omega' <_{\omega} \omega_1$ and $\omega'' <_{\omega} \omega_2$. Moreover, each prefixation $\omega \sqsubseteq \beta$ implies that $\omega <_{\omega} \beta$. If we consider that $\omega' <_{\omega} \omega''$, there are eight cases to consider:

$\omega'' < \omega_1 < \omega_2 \land \begin{cases} \omega_1 \sqsubseteq \omega_2 \\ \omega_1 \not\sqsubseteq \omega_2 \end{cases}$	$\omega'' < \omega_2 < \omega_1 \wedge \begin{cases} \omega_2 \sqsubseteq \omega_1 \\ \omega_2 \not\sqsubseteq \omega_1 \end{cases}$
$\omega' < \omega_1 < \omega'' < \omega_2 \land \begin{cases} \omega_1 \sqsubseteq \omega'' \land \omega_1 \sqsubseteq \omega_2 \\ \omega_1 \sqsubseteq \omega'' \land \omega_1 \not\sqsubseteq \omega_2 \\ \omega_1 \not\sqsubseteq \omega'' \land \omega_1 \not\sqsubseteq \omega_2 \end{cases}$	$\omega_1 = \omega_2$

For each case, we can prove the local confluence. We will only detail the first case $\omega'' <_{\omega} \omega_1 <_{\omega} \omega_2 \wedge \omega_1 \sqsubseteq \omega_2$. The other cases are analogous.



If we start by swapping ω' and ω_1 , as $\omega_1 \sqsubseteq \omega_2$, the subterm at position ω_2 is translated to the position $\omega' \cdot (\omega_2 - \omega_1)$ and its pointer at position ω'' is updated. Now deref $(t, \omega'') = \omega' \cdot (\omega_2 - \omega_1)$ and as $\omega' <_{\omega} \omega''$, deref $(t, \omega'') <_{\omega} \omega''$, we do not need a second swapping.

If we start by swapping ω'' and ω_2, ω' is not updated and $\omega' <_{\omega} \operatorname{deref}(t, \omega') = \omega_1$. So we need to swap ω' and ω_1 and then translate the pointer at position ω_2 to the position $\omega' \cdot (\omega_2 - \omega_1)$ which does not respect the order on positions $(\omega' \cdot (\omega_2 - \omega_1) <_{\omega} \operatorname{deref}(t, \omega' \cdot (\omega_2 - \omega_1)) = \omega'')$. We need a third swapping between ω'' and $\omega' \cdot (\omega_2 - \omega_1)$.

As the normalization terminates, the local confluence implies the convergence.

B Proof of Proposition 4.2

Given $t \in \mathcal{VT}_a(\mathcal{F}, \mathcal{X})$, every rewriting step of normalization preserves the two functions $\mathtt{share}(t)$ and $\mathtt{exp}(t)$. In fact, the swapping between a pointer and its corresponding pointed subterm preserves the sharing and as only addresses are updated the expansion is the same.

C Proof of Proposition 4.3

We want to prove that $\forall t_1, t_2 \in \mathcal{VT}_a(\mathcal{F}, \mathcal{X})$, we have $\llbracket t_1 \rrbracket \backsim \llbracket t_2 \rrbracket \Leftrightarrow \llbracket t_1 \rrbracket = \llbracket t_2 \rrbracket$. First, the proof that $\llbracket t_1 \rrbracket = \llbracket t_2 \rrbracket \Rightarrow \llbracket t_1 \rrbracket \backsim \llbracket t_2 \rrbracket$ is trivial because as \backsim is an equivalence relation and thus is reflexive. Secondly, we prove that $\llbracket t_1 \rrbracket \backsim \llbracket t_2 \rrbracket \Rightarrow \llbracket t_1 \rrbracket = \llbracket t_2 \rrbracket$. Suppose they are not equal, it means that there exists a shared subterm at a position ω addressed at a position ω' in $\llbracket t_1 \rrbracket$ and the contrary in $\llbracket t_2 \rrbracket$. As $\llbracket t_1 \rrbracket, \llbracket t_2 \rrbracket$ are canonical, it implies that $\omega <_{\omega} \omega'$ and $\omega' <_{\omega} \omega$ which is impossible due to the total order on positions. So $\llbracket t_1 \rrbracket = \llbracket t_2 \rrbracket$.

D Proof of Theorem 4.1

First, we will prove that given $t_1, t_2 \in \mathcal{VT}_a(\mathcal{F}, \mathcal{X})$, the equivalence of t_1 and t_2 implies that $\llbracket t_1 \rrbracket = \llbracket t_2 \rrbracket$. Due to the proposition 4.2 and the transitivity of the equivalence, $\llbracket t_1 \rrbracket \sim \llbracket t_2 \rrbracket$. Due to the proposition 4.3, $\llbracket t_1 \rrbracket = \llbracket t_2 \rrbracket$. Now, we will prove that $\llbracket t_1 \rrbracket = \llbracket t_2 \rrbracket$ implies that $t_1 \sim t_2$. Due to the proposition 4.2, $t_1 \sim \llbracket t_1 \rrbracket$ and $t_2 \sim \llbracket t_2 \rrbracket$. As $\llbracket t_1 \rrbracket = \llbracket t_2 \rrbracket$ and because of the equivalence transitivity, $t_1 \sim t_2$.

E Proof of Theorem 4.2

Given a term $t \in \mathcal{T}_g(\mathcal{F}, \mathcal{X})$, we have to prove that $[\![\langle t, \dot{r} \rangle_{[1.\omega \leftrightarrow 2]}]\!]_{|1} \in \mathcal{T}_g(\mathcal{F}, \mathcal{X})$. The ground term \dot{r} is not a valid addressed term in itself because of the addresses that replace variables. But, $\langle t, \dot{r} \rangle$ is valid because t is valid and addresses in \dot{r} are referencing subterms of t. Since the swapping function preserves the notion of validity, the normal form $[\![\langle t, \dot{r} \rangle_{[1.\omega \leftrightarrow 2]}]\!]$ exists. Thanks to its property, we can conclude that $[\![\langle t, \dot{r} \rangle_{[1.\omega \leftrightarrow 2]}]\!]_{1} \in \mathcal{T}_g(\mathcal{F}, \mathcal{X})$.

F Proof of Theorem 4.3

Given two term-graphs G, G' such that the rule $L \to R$ rewrites G into G'. We want to show that the canonical addressed term $\phi(G)$ can be rewritten into $\phi(G')$ by the rewrite rule $\phi(L) \to \phi(R)$.

Applying $L \to R$ (where $\mathcal{Root}(R) = \mathcal{Root}(L)$) to G means there exists a substitution σ and an equation $\alpha = t_{\alpha} \in G$, such that $\sigma(L) \subseteq G$ and $\alpha = \mathcal{Root}(\sigma(L))$.

According to Definition 4.2, $G' = G \setminus \{\alpha = t_{\alpha}\} \cup \sigma'(R)$, where $\sigma'(R)$ denotes $\sigma(R)$ in which every bound variable (except the root α) has been renamed using a fresh name.

We now consider the intermediate *labelled term* representation $\psi(G)_{|\omega_{\alpha}}$, where ω_{α} is the position of the subterm $\alpha : t_{\alpha}$ in $\psi(G)$. We can show that $\exists \sigma' \mid \sigma'(\psi(L)) = \psi(G)_{|\omega_{\alpha}}$. Indeed, the substitution σ' can be expressed from σ . First, we restrict the domain of σ' to free recursion variables of L because only these variables correspond to variables in $\psi(L)$. Then, $\forall \beta \in \mathcal{D}om(\sigma'), \ \sigma'(\beta) = \sigma(\beta) : t_{\sigma(G)}$ if $\sigma(G)_{|\omega_{\alpha},\omega'} = \sigma(\beta) : t_{\sigma(G)}$ where ω' is the position of β in L. $\sigma'(\beta) = \sigma(\beta)$ otherwise. Thus, from the definition 4.11 and as $\exists \sigma' \mid \sigma'(\psi(L)) = \psi(G)_{|\omega_{\alpha}}, \exists \theta \mid \theta(\phi(L)) = \phi(G)_{|\omega_{\alpha}}$. Actually, θ can be deduced from σ' . The idea is to replace recursion variables by pointers when necessary (*i.e.* for each β such that $\sigma'(\beta) = \alpha_i$).

Therefore, due to the definitions 4.2 and 4.10, if $L \to R$ can be applied to G at position ω_{α} , then $\phi(L \to R)$ can be applied to $\phi(G)$ at position ω_{α} . We note t' the result of such application, and we must show that $t' = \phi(G')$.

First, we will express $\psi(G')$ in function of $\psi(G)$. Recall that in a term-graph, the equations which are not reachable from the root are automatically removed. In our case, since the equation $\{\alpha = t_{\alpha}\}$ has been removed when building G', the equations corresponding to variables only reachable from α are no longer there in G'. These equations correspond to subterms of the term-graph $\sigma(L)$ no more shared in G'.

We call u the labelled term $\psi(G)$ after applying the substitution (*i.e.* $u = \psi(G)[\sigma'(\psi(R))]_{\omega_{\alpha}}$). To construct $\psi(G')$ from u, subterms of $\psi(\sigma(L))$ still shared have to be copied. In fact, in u, $\psi(\sigma(G))$ has disappeared so contrary to G', we do not need to remove unreachable subterms but we have to copy still shared subterms. Formally, $\psi(L)$ corresponds to u where every recursion variable α bounded in $\sigma(L)$ (*i.e.* $\alpha = t_{\alpha} \in \sigma(L)$) and free in u have been replaced by t_{α} .

According to definition 4.10, $t' = [\![\langle t, \dot{v} \rangle_{[1,\omega \leftrightarrow 2]}]\!]_{|1}$, with $v = \phi(R)$. After the swapping, $\langle t, \dot{v} \rangle_{[1,\omega \leftrightarrow 2]|1}$ corresponds to u. In fact, due to the order on the positions, every subterm shared between the redex and the rest of the term is copied in $\langle t, \dot{v} \rangle_{[1,\omega \leftrightarrow 2]|1}$ and thus we obtain the correspondence with $\psi(G')$. Therefore, due to the Definition 4.11, we can show that $t' = \phi(G')$.