



HAL
open science

Timed Quorum System for Large-Scale Dynamic Environments

Vincent Gramoli, Michel Raynal

► **To cite this version:**

Vincent Gramoli, Michel Raynal. Timed Quorum System for Large-Scale Dynamic Environments. [Research Report] PI 1859, 2007. inria-00164909

HAL Id: inria-00164909

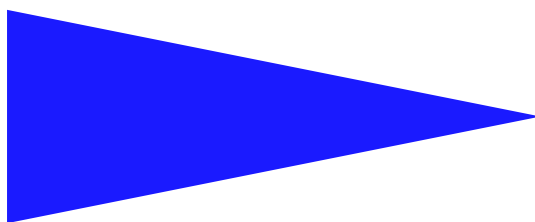
<https://inria.hal.science/inria-00164909>

Submitted on 24 Jul 2007

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

PUBLICATION
INTERNE
N° 1859



TIMED QUORUM SYSTEM FOR LARGE-SCALE
DYNAMIC ENVIRONMENTS

VINCENT GRAMOLI MICHEL RAYNAL,

Timed Quorum System for Large-Scale Dynamic Environments

Vincent Gramoli^{* **} Michel Raynal,^{**}

Systèmes communicants
Projet ASAP

Publication interne n° 1859 — July 2007 — 19 pages

Abstract: This report presents Timed Quorum System (TQS), a new quorum system especially suited for large-scale and dynamic systems. TQS requires that two quorums intersect with high probability if they are used in the same small period of time. It proposes an algorithm that implements TQS and that verifies probabilistic atomicity: a consistency criterion that requires each operation to respect atomicity with high probability. This TQS implementation has quorum of size $O(\sqrt{nD})$ with expected access time of $O(\log \sqrt{nD})$ message delays, where n measures the size of the system and D is a required parameter to handle dynamism.

Key-words: Time, Quorums, Churn, Scalability, Probabilistic atomicity.

(Résumé : tsvp)

* INRIA Futurs, Parc Club Orsay Université, 91893 Orsay, France. vgramoli@irisa.fr

** Université de Rennes 1 and INRIA Research Centre Rennes, Campus de Beaulieu, 35042 Rennes, France. raynal@irisa.fr

Système de Quorums Temporisé pour environnements dynamiques à grande échelle

Résumé : Ce rapport présente le Système de Quorums Temporisé (SQT), un nouveau système de quorums dédié aux systèmes dynamiques à grande échelle. SQT requiert que deux quorums s'intersectent avec forte probabilité si ils sont utilisés durant la même courte période de temps. Il propose un algorithme implémentant SQT et qui vérifie l'atomicité probabiliste: un critère de cohérence imposant que toute opération respecte l'atomicité avec forte probabilité. Cette implémentation possède des quorums de taille $O(\sqrt{nD})$ accédé en $O(\log \sqrt{nD})$ délais de message, avec n la taille du système et D est nécessaire pour pallier le dynamisme.

Mots clés : Temps, Quorums, Va-et-vient, Passage à l'échelle, Atomicité probabiliste

1 Introduction

The need of resources is a main motivation behind distributed systems. Take peer-to-peer (p2p) systems as an example. A p2p system is a distributed system that has no centralized control. The p2p systems have gained in popularity with the massive utilization of file-sharing applications over the Internet, since 2000. These systems propose a tremendous amount of file resources. More generally, there is an increasing amount of various computing devices surrounding us: IDC predicts that there will be 17 billions of traditional network devices by 2012. In such context, it is common knowledge that scalability has become one of the most important challenges of today's distributed systems.

The scale-shift of distributed systems modifies the way computational entities communicate. Energy dependence, disconnection, malfunctioning, and other environmental factors affect the availability of various computational entities independently. This translates into unregular periods of activity during which an entity can receive messages or compute tasks. As a result of this independent and periodic behaviors, these systems are inherently highly dynamic.

Quorum system is a largely adopted solution for communication in message-passing system. Despite the interest for emulating shared-memory in dynamic systems [9, 12, 5, 3], there is no scalable solution due to the cost of their failure handling mechanism or their operation complexity. This report proposes a new quorum system, Timed Quorum System (TQS), whose quorums have a bounded lifetime and that intersect with high probability during their lifetime. We propose an implementation of TQS that emulates a probabilistic atomic memory, provided that each node is able to approximate the system size. We show that the resulting quorum size is $O(\sqrt{nD})$. Factor n is the number of nodes and factor D is required to handle the dynamism of nodes in the system and can be bounded if operations are sufficiently frequent. That is, quorum size becomes $O(\sqrt{n})$, which is optimal, as proved in [14], for static settings. Moreover, the expected time for an operation to contact a quorum is $O(\log \sqrt{nD})$ message delays.

Related work. Dynamic quorum system is an active research topic. Some dynamic quorums rely on failure detectors where if a failure is detected, then the quorum is adapted. This adaption leads to a redefinition of the quorums [9, 17] or to the replacement of the failed nodes in the quorums [16, 1, 7]. For example, in [1, 7], a communication structure is continuously maintained to ensure that quorum intersects at all time (with high probability).

Other solutions relies on periodic reconfigurations [12, 3] where the quorum systems are subsequently replaced. These solutions are different from the previous ones since the newly installed quorums do not need to intersect with the previous ones. In [5] a quorum abstraction states requires two properties: (i) intersection and (ii) progress, in which the notion of time is introduced. First, a quorum of a certain type intersects the quorum of another type contacted subsequently. Second, each node of a quorum remains active between the time the quorum starts being probed and the time the quorum stopped being probed.

As far as we know, TQS is the first quorum system that expresses guarantees that are both timely and probabilistic. Time and probability relax the traditional intersection requirement of quorums. We present a scalable emulation of a probabilistic atomic memory where each operation is atomic

with high probability and where expected operation message complexity is $O(\sqrt{nD})$ and expected operation time complexity is $O(\log \sqrt{nD})$. If operations are sufficiently frequent then D becomes a constant leading to quorum of size $O(\sqrt{n})$.

Roadmap. The following report is divided as follows. Section 2 presents the model of the system and defines the problem addressed in this report. Section 3 presents the Timed Quorum System that is at the heart of our solution. The TQS implementation is specified in Section 4. Section 5 proves that our implementation is correct and that it verifies probabilistic atomicity. Section 6 gives the complexity of our solution and Section 7 concludes the report.

2 System Model and Problem Definition

2.1 Model

The computation model is very simple. The system consists of n nodes. It is dynamic in the following sense. Every time unit, cn nodes leave the system and cn nodes enter the system, where c is an upper bound on the percentage of nodes that enter/leave the system per time unit and is called the *churn*; this can be seen as new nodes “replacing” leaving nodes. A node leaves the system either voluntarily or because it crashes. A node that leaves the system does not reenter it later. (Practically, this means that, when a node reenters the system, it is considered as a new node; all its previous knowledge of the system state is lost.)

Figure 1 describes a possible system evolution. Initially (time t), there are n nodes (identified from 1 to n ; let us take $n = 5$ to simplify). Let $c = 0.2$, which means that, every time unit, $nc = 1$ node changes (a node disappears and a new node replaces it). Then, at time $t + 1$ the node 2 is replaced by the node $2'$. Let $\Delta = 4$. At time $t + 4$, we see that the nodes 3 and n have been replaced by the nodes $3'$ and n' , respectively, while the new node $2'$ has in turn been replaced by the node $2''$ and the nodes 1 and 4 still belong to the system. The important point here is that a new node can in turn be replaced at a later time. The *universe* U denotes all the nodes of the system, plus the ones that have already leave the system and the ones that have not joined the system yet.

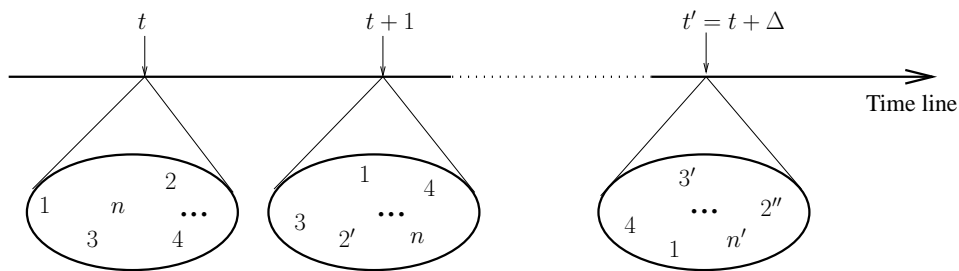


Figure 1: System evolution

For the sake of simplicity, it is assumed that for any subset S of nodes, the portion of replaced nodes is $c|S|$. As explained below, the model can be made more complex.

2.2 Problem

Most of the dynamic models assume that dynamic events are dependent from each other: only a limited number of nodes leave and join the system during a bounded period of time. For instance in [3], it is assumed that nodes departures are dependent: quorum replication ensures that all nodes of at least any two quorums remain active between two reconfigurations occur. However, in a real dynamic system, nodes act independently. Due to this independence, even with a precise knowledge of the past dynamic events, one can not predict the future behavior of a node. That is, putting this observation into the quorums context, it translates into the impossibility of predicting deterministically whether quorums intersect.

In contrast, TQS requires that quorums intersect with high probability. This allows to use a more realistic model in which there is a certain probability that nodes leave/join the system at the same time. That is, the goal here is to measure the probability that quorum intersect while time elapses. Observe that, realistically, the probability that k nodes leave the system increases at the time elapses. As a result, the probability that a quorum $Q(t)$ probed at time t and that a quorum $Q(t')$ probed at time t' intersect decreases as the period $|t' - t|$ increases. In the following we propose an implementation of TQS where probability of intersection remains high.

More precisely, each quorum of our TQS implementation is defined for a given time t . Each quorum $Q(t)$ has a lifetime Δ that represents a period during which the quorum is *reachable*. Differently to availability defined in [17], reachability does not depend on the number of nodes that are failed in a quorum system because this number is unpredictable in dynamic systems. Instead, a $Q(t)$ quorum is reachable if at least one node of quorum $Q(t)$ is reached with high probability: if two quorums are reachable at the same time, they intersect with high probability. More generally, let two quorums $Q(t)$ and $Q(t')$ of a TQS be reachable during Δ time (their lifetime is Δ); if $|t - t'| \leq \Delta$ then $Q(t)$ and $Q(t')$ intersect with high probability.

Probabilistic Atomic Object. Initially, any object has a default value v_0 that is replicated at a set of nodes and V denotes the set of all possible values present in the system. An object is accessed by read or write operations initiated by some nodes i at time $t \in T$ that returns or modify the object value v . (T is the set of all possible time instants.) If a node initiates an operation, then it is referred to as a *client*. All nodes of the system, including nodes of the quorum system, can initiate a read or a write operation, i.e., all nodes are potential clients and the multi-reader/multi-writer model is used. In the following we only consider a single object accessed by operations that must satisfy probabilistic atomicity.

A probabilistic atomic object aims at emulating a memory that offers high quality of service despite large scale and dynamism. For the sake of tolerating scale-shift and dynamism, we aim at relaxing some properties. However, our goal is to provide each client with a distributed shared memory emulation that offers satisfying quality of service. Quality of service must be formally stated by a consistency criterion that defines the guarantees the application can expect from the memory

emulation. We aim at providing quality of service in terms of accuracy of read and write operations. In other words, our goal is to provide the clients with a memory that guarantees that each read or write operation will be successfully executed with high probability. We define the probabilistic atomic object as an atomic object where operation accuracy is ensured with high probability.

Let us first recall properties 2 and 4 of atomicity from Theorem 13.16 of [13] which require that any sequence of invocations responses of read and write operations applied to x satisfies a partial ordering \prec such that:

- (π_1, π_2) -ordering: if the response event of operation π_1 precedes the invocation event of operation π_2 , then it is not possible to have $\pi_2 \prec \pi_1$;
- (π_1, π_2) -return: the value returned by a read operation π_2 is the value written by the last preceding write operation π_1 regarding to \prec (in case no such write operation π_1 exists, this value returned is the default value).

The definition of probabilistic atomicity is similar to the definition of atomicity: only Properties 2 and 4 are slightly modified, as indicated below.

Definition 2.1 (Probabilistic Atomic Object) *Let x be a read/write probabilistic atomic object. Let H be a complete sequence of invocations responses of read and write operations applied to object x . The sequence H satisfies probabilistic atomicity if and only if there is a partial ordering \prec on the successful operations such that the following properties hold:*

1. *For any operation π_2 , there are only finitely many operations π_1 , such that $\pi_1 \prec \pi_2$.*
2. *Let π_1 be a successful operation. Any operation π_2 satisfies (π_1, π_2) -ordering with high probability. (If π_2 does not satisfy it, then π_2 is considered as unsuccessful.)*
3. *if π_1 is a write operation and π_2 is any operation, then either $\pi_2 \prec \pi_1$ or $\pi_1 \prec \pi_2$;*
4. *Let π_1 be a successful operation. Any operation π_2 satisfies (π_1, π_2) -return with high probability. (If π_2 does not satisfy it, then π_2 is considered as unsuccessful.)*

Observe that the partial ordering is defined on successful operations. That is, either an operation π fails and this operation is considered as unordered or the operation succeeds and is ordered with respect to other successful operations.

Even though an operation succeeds with high probability, in an infinite execution it is very likely that at least one operation fails. However, our goal is to provide the operation requester (client) with high guarantee of success for each of its operation request.

Additional Notations and Definitions. This paragraph defines several terms that are used in the algorithm description. First, recall that a shared object is accessed through read operations, which return the current value of the object, and write operations, which modify the current value of the object. To clarify the notion of currency when concurrency happens, it is important to explain what are the up-to-date values that could be considered as current. We refer to the *last value* as the value associated with the largest *tag* among all values whose propagation is complete. We refer to the *up-to-date values* at time t as all values v that satisfies one of the following properties:

- Value v is the last value.
- Value v is a value whose propagation is ongoing and whose associated tag is at least equal or larger to the tag associated with the last value.

3 Timed Quorum System

This section defines Timed Quorum Systems (TQS). Before being created or after its lifetime elapses, a quorum is not guaranteed to intersect with any other quorums, however, during its lifetime a quorum is considered as available: two quorums that are available at the same time intersect with high probability. In dynamic systems nodes may leave at any time, but this probability is bounded, thus it is possible to determine the intersection probability of two quorums.

Next, we formally define TQS whose quorums intersection is probabilistic and depends on the time quorums are created. As already mentioned, TQS are especially suited for dynamic systems where the behavior of nodes is unpredictable, since they simply require probabilistic intersection and no deterministic intersection. Moreover, quorums experiences a bounded lifetime so that their intersection guarantees are timely. Recall that the universe U contains the set of all possible nodes, including the one that have not join the system yet. First, we recall the definition of a *set system* as a set of subsets of a universe of nodes. Recall that the universe U contains the set of all possible nodes, including the one that have not join the system yet.

Definition 3.1 (Set System) A set system \mathcal{S} over a universe U is a set of subsets of U .

Then, we define the timed access strategy as an access strategy over a set system that may vary over time. This definition is motivated by the fact that an access strategy defined over a set \mathcal{S} can evolve. To compare with the existing probabilistic dynamic quorums, in [1] the authors defined a dynamic quorum system using an evolving strategy that might replace some nodes of a quorum while its access strategy remains identical despite this evolution. Unlike the dynamic quorum approach, we need a more general framework to consider quorums that are different not only because of their structure but also because of how likely they can be accessed. The timely access strategy adds a time parameter to the seminal definition access strategy given by Malkhi et al. [14], A timely access strategy is allowed to evolve over time.

Definition 3.2 (Timed Access Strategy) A timed access strategy $\omega(t)$ for a set system \mathcal{S} at time $t \in T$ is a probability distribution on the elements of \mathcal{S} at time t . That is, $\omega : \mathcal{S} \times T \rightarrow [0, 1]$ satisfies at any time $t \in T$: $\sum_{s \in \mathcal{S}} \omega(s, t) = 1$.

Informally, at two distinct instants $t_1 \in T$ and $t_2 \in T$, an access strategy might be different for any reason. For instance, consider that some node i is active at time t_1 while the same node i is failed at time t_2 , hence it is likely that if $i \in s$, then $\omega(s, t_1) \neq 0$ while $\omega(s, t_2) = 0$. This is due to the fact that a node is reachable only when it is active.

Definition 3.3 (Δ -Timed Quorum System) Let \mathcal{Q} be a set system, let $\omega(t)$ be a timed access strategy for \mathcal{Q} at time t , and let $0 < \epsilon < 1$ be given.

The tuple $\langle \mathcal{Q}, \omega(t) \rangle$ is a Δ -timed quorum system if for any quorums $Q(t_1) \in \mathcal{Q}$ accessed with strategy $\omega(t_1)$ and $Q(t_2) \in \mathcal{Q}$ accessed with strategy $\omega(t_2)$, we have:

$$\Delta \geq |t_1 - t_2| \Rightarrow \Pr[Q(t_1) \cap Q(t_2) \neq \emptyset] \geq 1 - \epsilon.$$

4 Timed Quorum System Implementation for Probabilistic Atomic Memory

In the following, we present a completely structureless memory. The quorum systems this memory uses does not rely on any structure which makes it flexible. In contrast with using a logical structured overlay (e.g., [15]) for communication among quorum system nodes, we use an unstructured communication overlay [6]. The lack of structure presents several benefits. First, there is no need to readapt the structure at each dynamic event. Second, there is no need for detecting failure. Our solution proposes a periodic replication. To ensure the persistence of an object value despite unbounded leaves, the value must be replicated an unbounded number of times. The solution we propose requires periodic operations and an approximation of the system size. Although we do not focus on the problem of approximating the system size n , we suggest the use of existing protocols approximating closely the system size in dynamic systems [11].

4.1 Replicating during Client Operations

Benefiting from the natural primitive of the distributed shared memory, values are replicated using operations. Any operation has at its heart a quorum-probe that replicates value. On the one hand, it is natural to think of a write operation as an operation that replicates a value. On the other hand, in [2] a Theorem shows that "read must write", meaning that a read operation must replicates the value it returns. This raises the question: if operations replicate, why does a memory need additional replication mechanism? In large-scale systems, it is also reasonable to assume that shared objects are frequently accessed because of the large number of participants.

The replication mechanism for structureless memory has been motivated by these observations. Since operations provide replication and shared objects experience frequent operation requests in large-scale systems, frequent replications can be mainly ensured by client operations. Consequently, replication does not produce a significant communication overhead regarding to the communication complexity of operations. More precisely, as long as operations are frequent enough, replication is not required. When the communication complexity is high due to the numerous participants requesting the memory, then there is no necessary additional replication mechanism and additional complexity is null. However, at some time when operations frequency decreases, the object value must be replicated to prevent unavailability. Observe that the lack of operation communication complexity compensates the communication complexity induced by this replication.

4.2 Quorum Probe

The algorithm is divided in three distinct parts that represent the state of the algorithm (Lines 1–11), the actions initiated by a client (Lines 12–39), and the actions taken upon reception of messages by a node (Lines 40–58), respectively. Each node i has its own copy of the object called its value val_i and an associated tag tag_i . Field tag is a couple of a counter and a node identifier and represents, at any time, the version number of its corresponding value val . We assume that, initially, there are q nodes that own the default value of the object, the other nodes have their values val set to \perp and all their $tags$ are set to $\langle 0, 0 \rangle$.

Algorithm 1 Disseminating Memory at node i

```

1: State of node  $i$ :
2:    $q = \frac{\beta\sqrt{n}}{(1-c)^{\frac{\Delta}{2}}}$ , the quorum size
3:    $\ell, k \in \mathbb{N}$  the disseminating parameters taken such that  $\frac{k^{\ell+1}-1}{k-1} \geq q$ 
4:    $val \in V$ , the value of the object, initially  $\perp$ 
5:    $tag$ , a couple of fields:
6:      $counter \in \mathbb{N}$ , initially 0
7:      $id \in I$ , an identifier initially  $i$ 
8:    $marked$ , an array of boolean initially false at all indices
9:    $sent\text{-}to\text{-}nbrs1, sent\text{-}to\text{-}nbrs2$  two sets of node identifiers, initially  $\emptyset$ 
10:   $rcvd\text{-}from\text{-}qnodes$ , an infinite array of identifier sets, initially  $\emptyset$  at all indices
11:   $sn \in \mathbb{N}$ , the sequence number of the current phase, initially 0

12: Read $_i$ :
13:    $\langle val, tag \rangle \leftarrow \mathbf{Consult}()$ 
14:   Propagate $(\langle val, tag \rangle)$ 

15: Write $(v)_i$ :
16:    $\langle *, tag \rangle \leftarrow \mathbf{Consult}()$ 
17:    $tag.counter \leftarrow tag.counter + 1$ 
18:    $tag.id \leftarrow i$ 
19:    $val \leftarrow v$ 
20:   Propagate $(\langle val, tag \rangle)$ 

21: Consult $_i$ :
22:    $tll \leftarrow \ell$ 
23:    $sn \leftarrow sn + 1$ 
24:   while  $(|sent\text{-}to\text{-}nbrs1| < k)$  do
25:      $\mathbf{send}(\mathbf{CONS}, val, tag, tll, i, sn)$  to  $(k - |sent\text{-}from\text{-}nbrs1|)$  neighbors  $\neq j$ 
26:      $sent\text{-}to\text{-}nbrs1 \leftarrow sent\text{-}to\text{-}nbrs1 \cup \{j\}$ 
27:   end while
28:    $sent\text{-}to\text{-}nbrs1 \leftarrow \emptyset$ 
29:   wait until  $|rcvd\text{-}from\text{-}qnodes[sn]| \geq q$ 
30:   return  $(\langle val, tag \rangle)$ 

```

Each read and write operation is executed by client i in two subsequent phases, each disseminating a message to $q = O(\sqrt{nD})$ nodes, where $D = 1/(1-c)^\Delta$ is required to handle churn c

during period Δ .¹ The two subsequent phases are called the *consultation phase* and the *propagation phase*. The consultation phase aims at consulting the up-to-date value of the object that is present in the system. (This value is identifiable since it associates the largest tag present in the system.) More precisely, client i disseminates a consultation message to q nodes so that each receiver j responds with a message containing value val_j and tag tag_j so that client i can update val_i and tag_i . In fact, i updates val_i and tag_i if and only if the tag_i has either a smaller counter than tag_j or it has an equal counter but a smaller identifier $i < j$ (node identifiers are always distinct); in this case we say $tag_i < tag_j$ for short (cf. Lines 47 and 49). Ideally, at the end of the consultation phase client i has set its value val_i to the up-to-date value. Read and write operations differ from the value and tag that are propagated by the client i . Specifically, in case of a read, client i propagates the value and tag pair freshly consulted, while in the case of write, client i propagates the new value to write with a strictly larger tag than the largest tag that i has consulted so far. The propagation phase propagates the corresponding value and tag by dissemination among nodes.

```

31: Propagate( $\langle val, t \rangle$ ) $i$ :
32:    $tll \leftarrow \ell$ 
33:    $sn \leftarrow sn + 1$ 
34:   while ( $|sent-to-nbrs1| < k$ ) do
35:     send(PROP,  $val, tag, tll, i, sn$ ) to  $(k - |sent-to-nbrs1|)$  neighbors  $\neq j$ 
36:      $sent-to-nbrs1 \leftarrow sent-to-nbrs1 \cup \{j\}$ 
37:   end while
38:    $sent-to-nbrs1 \leftarrow \emptyset$ 
39:   wait until  $|rcvd-from-qnodes[sn]| \geq q$ 

40: Participate $i$  (Activated upon reception of a message):
41:    $rcv \langle type, v, t, tll, client-id, sn \rangle$  from  $j$ 
42:   if ( $marked[sn]$ ) then
43:     send( $type, v, t, tll, client-id, sn$ ) to a neighbor  $\neq j$ 
44:   else
45:      $marked[sn] \leftarrow true$ 
46:     if ( $(type = CONS)$ ) then  $\langle v, t \rangle \leftarrow \langle val, tag \rangle$ 
47:     if ( $(type = PROP)$ ) then  $\langle val, tag \rangle \leftarrow \langle v, t \rangle$ 
48:     if ( $type = RESP$ ) then
49:       if ( $tag < t$ ) then  $\langle val, tag \rangle \leftarrow \langle v, t \rangle$ 
50:        $rcvd-from-qnodes[sn] \leftarrow rcvd-from-qnodes[sn] \cup \{j\}$ 
51:      $tll \leftarrow tll - 1$ 
52:     if ( $tll > 0$ ) then
53:       while ( $|sent-to-nbrs2| < k$ ) do
54:         send( $type, v, t, tll, client-id, sn$ ) to  $(k - |sent-to-nbrs2|)$  neighbors  $\neq j$ 
55:          $sent-to-nbrs2 \leftarrow sent-to-nbrs2 \cup \{j\}$ 
56:       end while
57:        $sent-to-nbrs2 \leftarrow \emptyset$ 
58:       send (RESP,  $val, tag, tll, \perp, sn$ ) to  $client-id$ 

```

Next, we focus on the dissemination procedure that is at the heart of the consultation and propagation phases. There are two parameters, ℓ, k , that define the way all consultation or propagation

¹In [14], it has been showed that $q = O(\sqrt{n})$ is sufficient in static systems.

messages are disseminated. Parameter ℓ indicates the depth of the dissemination, it is used to set a time-to-live field tll that is decremented at each intermediary node that participates in the dissemination; if $tll = 0$, then dissemination is complete. Parameter k represents the number of neighbors that are contacted by each intermediary participating node. Together, parameters ℓ and k define the number of nodes that are contacted during a dissemination. This number is $\frac{k^{\ell+1}-1}{k-1}$ (Line 3) and represents the number of nodes in a balanced tree of depth ℓ and degree $k + 1$: each node having at most k children. (This value is provable by recurrence on the depth ℓ of the tree.) Observe that ℓ and k are chosen such that the number of nodes that are contacted during a dissemination be larger than q as written Line 3.

There are three kind of messages denoted by message *type*: CONS, PROP, RESP indicating if the message is a consultation message, a propagation message, or a response to any of the two other messages. When a new phase starts at client i , a time-to-live field tll is set to ℓ and a sequence number sn is incremented. This number is used in message exchanges to indicate whether a message corresponds to the right phase. Then the phase proceeds in sending continuously messages to k neighbors waiting for their answer (Lines 24–27 and Lines 34–37). When the k neighbors answer, client i knows that the dissemination is ongoing. Then client i receives all messages until a large enough number q of nodes have responded in this phase, i.e., with the right sequence number (Lines 29, 39). If so, then the phase is complete.

Observe that during the dissemination, messages are simply marked (if not so), responded (to client i), and reforwarded to other neighbors (until tll is null). Messages are marked by the node i that participates into a dissemination for preventing node i from participating multiple times in the same dissemination (Line: 42). As a result, if node i is asked several times to participate, it first participates (Lines 45–58) and then it asks another node to participate (Lines 42–44). More precisely, if $marked[sn]$ is true, then node i re-forwards messages of sequence number sn without decrementing the tll . Observe that phase termination and dissemination termination depends on the number of participants rather than the number of responses: it is important that enough participants participate in each dissemination for the phase to eventually end.

4.3 Preventing Stale Value Propagation

It is interesting to understand how a value can be read and written using timed quorum system. First, observe that some quorum might not intersect, though this is very unlikely. There is an intersection between any two quorums with high probability, thus, there might exist a quorum that does intersect any other. The goal of the read operation is to return the most up-to-date value of the object, while the goal of the write is to propagate a new value that must appear as more up-to-date than any other.

Due to probabilistic guarantees, each operation might not satisfy its goal. Indeed, a consultation might fail in contacting any node that has the largest tag and up-to-date value. The subsequent propagation phase tries, in case of a read operation, to propagate a stale value, or, in case of a write operation, to propagate a value with a potentially non-adequate tag. Remark that a write operation whose consultation failed might still associate its value the largest tag. Propagating low tag or stale value may have dramatical consequence on further operations. Since intersection probability depends on the number of nodes that own up-to-date value and largest tag, it is crucial that no

stale value overwrite an up-to-date value so as no low tag overwrite the largest tag. To remedy this problem, each node contacted during a propagation updates its current tag-value pair only if the propagation informs it about a more up-to-date value associated a larger tag (cf. Lines 47 and 49).

4.4 Contacting Participants Randomly

In order to contact the participants randomly, we implemented a membership protocol [6]. In this protocol, each node has a set of m neighbors called its view \mathcal{N}_i , it periodically updates its view and recomputes its set of neighbors. Algorithm 2 Our underlying membership algorithm provides each node with a set of $m \geq k + 1$ neighbors, so that phases of Algorithm 1 disseminate through a tree of degree $k + 1$. Algorithm 2, presented here, is a variant of the Cyclon algorithm [18] and was used in [4]. This algorithm shuffles the view at each cycle of its execution so that it provides randomness in the choice of neighbors. Moreover, it has been shown by simulation that the communication graph obtained with Cyclon is similar to a random graph where neighbors are picked uniformly among nodes [10]. Finally, for a different purpose we already have simulated this variant of Cyclon in [4]: the results obtained was really similar to the one obtained with artificial uniformity.

Algorithm 2 Neighborhood Management using a variant of Cyclon.

```

1: Initial State of node  $i$ :
2:    $\mathcal{N}_i$ , the view initially filled of some neighbor entries.
3:    $v$ , the maximal view size.

4: Active Thread at node  $i$ :
5:   for  $j' \in \mathcal{N}_i$  do
6:      $t_{j'} \leftarrow t_{j'} + 1$ 
7:      $j \leftarrow j'' : t_{j''} = \max_{j' \in \mathcal{N}_i} (t_{j'})$ 
8:     send(REQ',  $\mathcal{N}_i \setminus \{e_j\} \cup \{(i, 0)\}$ ) to  $j$ 
9:     rcv(ACK',  $\mathcal{N}_j$ ) from  $j$ 
10:     $\text{duplicated-entries} = \{e : e.id \in \mathcal{N}_j \cap \mathcal{N}_i\}$ 
11:     $\mathcal{N}_i^{init} \leftarrow \mathcal{N}_i$ 
12:     $\mathcal{N}_i \leftarrow \mathcal{N}_j \setminus \text{duplicated-entries} \setminus \{e_i\}$ 
13:    for  $e_k \in \mathcal{N}_i^{init}$  do
14:      if  $|\mathcal{N}_i| < v$  then
15:         $\mathcal{N}_i \leftarrow \mathcal{N}_i \cup \{e_k\}$ 

16: Passive thread at node  $i$  activated upon message reception:
17:   rcv(REQ',  $\mathcal{N}_j$ ) from  $j$ 
18:   send(ACK',  $\mathcal{N}_i$ ) to  $j$ 
19:    $\text{duplicated-entries} = \{e \in \mathcal{N}_j : e.id \in \mathcal{N}_j \cap \mathcal{N}_i\}$ 
20:    $\mathcal{N}_i^{init} \leftarrow \mathcal{N}_i$ 
21:    $\mathcal{N}_i \leftarrow \mathcal{N}_j \setminus \text{duplicated-entries}$ 
22:   for  $e_k \in \mathcal{N}_i^{init}$  do
23:     if  $|\mathcal{N}_i| < v$  then
24:        $\mathcal{N}_i \leftarrow \mathcal{N}_i \cup \{e_k\}$ 

```

For the sake of uniformity, the membership procedure specified in Algorithm 2 is similar to the Cyclon algorithm: each node i maintains a view \mathcal{N}_i containing one entry per neighbor. The entry of

a neighbor j corresponds to a tuple containing the neighbor identifier and its age. Node i copies its view, selects the oldest neighbor j of its view, removes the entry e_j of j from the copy of its view, and finally sends the resulting copy to j . When j receives the view, j sends its own view back to i discarding possible pointers to i , and i and j update their view with the one they receive by firstly keeping the entries they received. The age of neighbor j entry denotes the time that elapsed since the last message from j has been receive; this is used to remove failed neighbor from the list. This variant of Cyclon exchanges all entries of the view at each step and uses two additional parameters.

5 Correctness Proof

Here, we show that Algorithm 1 implements a timed quorum system and that it emulates the probabilistic atomic object abstraction defined in Definition 2.1. The key points of this proof is to show that quorums are sufficiently re-activated by new operations to face dynamism and that subsequent quorums intersect with very high probability to achieve probabilistic atomicity.

5.1 Assumptions and Notations

First, we only consider executions starting with at least q nodes that own the default value of the object. In these executions, at least one propagation phase from a successful operation starts every Δ time units and let the time of any phase be bounded by δ time units. We assume that during a propagation that propagates a value v to q nodes and that executes between time t and $t + \delta$, there is at least one instant t' where the q nodes own value v simultaneously. This instant, t' , can occur arbitrarily between time t and $t + \delta$. Even if this assumption may not seem realistic since propagation occurs in parallel of churn (i.e., at the time the propagation contacts the q^{th} node the first contacted node may have left the system), our motivations for this assumption comes from the sake of clarity of the proof and we claim that the absence of this assumption leads to the same results.

Second, we assume that Algorithm 2 used as our underlying communication protocol provides each node with a view that represents a set of neighbors uniformly drawn at random among the set of all active nodes. This assumption is reasonable since, as already mentioned, the underlying algorithm is based on Cyclon that shuffles node views and provides communication graph similar to a random graph [10].

Next, we show that Algorithm 1 implements a probabilistic object. Observe that the liveness part of this proof relies simply on the activity of neighbors, and the fact that messages are eventually received. More precisely, by examination of the code of Algorithm 1, and Algorithm 2, messages are gossiped among neighbors while neighbors are uniformly chosen. It is clear that operation termination depends on eventual message delivery. As a result, only the safety part of the proof follows. In the following, $val(\phi)$ (resp. $tag(\phi)$) denote, the value (resp. tag) consulted/propagated by phase ϕ .

5.2 Correctness proof.

First, we restate a Lemma appeared in [8] that computes the ratio of nodes that leave the system as time elapses, given a churn of c . The result is the ratio of nodes that leave and join, and helps computing the probability that up-to-date values remain reachable despite dynamism.

Lemma 5.1 *The ratio of initial nodes that have been replaced after τ time units is at most $C = 1 - (1 - c)^\tau$.*

For the proof of the above Lemma 5.1, please refer to [8]. The following Lemma gives a lower bound on the number of nodes that own the up-to-date value at any time in the system. (Recall that an up-to-date value is either the value with the largest tag and whose propagation is complete, or any value with a larger tag, but whose propagation is ongoing.)

Lemma 5.2 *At any time t in the system, the number of nodes that own an up-to-date value is at least $q(1 - c)^\Delta$, where Δ is the maximum time between two subsequent propagation starts, q is the quorum size, and c is the churn of the system.*

Proof. With no loss of generality, let ρ_1, \dots, ρ_k be all the ongoing propagations at time t and let ρ_0 be the latest successful propagation that is already finished at time t . By definition, all $v(\rho_i)$ for any $i \geq 0$ are the up-to-date values in the system. Propagations ρ_1, \dots, ρ_k must all have started after time $t - \delta$. By the periodicity assumption of propagation phase, propagation ρ_0 can not start earlier than time $t - \Delta + \delta$. Due to propagation ρ_0 , there must be q nodes with value $v(\rho_0)$ between times $t - \Delta + \delta$ and $t - \Delta + 2\delta$.

Since the number of replaced nodes increases as time elapses, assume a worst case scenario in which q nodes own value $v(\rho_0)$ at time $t_1 = t - \Delta + \delta$, we show that at least $q(1 - c)^\Delta$ nodes with value $v(\rho_0)$ remain in the system at time $t_2 = t + \delta$. By Lemma 5.1, we know that during period $t_2 - t_1 = \Delta$ exactly $\lfloor q(1 - (1 - c)^\Delta) \rfloor$ nodes with value $v(\rho_0)$ are replaced. Since propagations ρ_1, \dots, ρ_k are ongoing, there may be some successful propagations among those ones that overwrite some node values. Observe that if this overwriting happens only to nodes that already own value $v(\rho_i)$, then the number of nodes with value $v(\rho_i)$ remains at least $q(1 - c)^\Delta$ at time $t + \delta$; if this overwriting happens to nodes that do not own value $v(\rho_i)$ then this number increases. That is, $q(1 - c)^\Delta$ is a lower bound of the number of nodes with value $v(\rho_i)$ at time $t + \delta$, which leads to the result. \square

The following Fact gives this well-known bound on the exponential function, provable using the Euler's method.

Fact 5.3 $(1 + \frac{x}{n})^n \leq e^x$ for $n > |x|$.

Next Lemma lower bounds the probability that any consultation consults an up-to-date value v . Recall that sometime it might happen that a value v' is unsuccessfully propagated. This may happen when a write operation fails in consulting the largest tag just before propagating value v' . Observe that in any case, a successful consultation returns only successfully propagated values.

Lemma 5.4 *If the number of nodes that own an up-to-date value is at least $q(1-c)^\Delta$ during the whole period of execution of consultation ϕ , then consultation ϕ succeeds with high probability ($\geq 1 - e^{-\beta^2}$, with β a constant).*

Proof. The consultation of Algorithm 1 draws uniformly at random q nodes, without replacement. To lower bound the probability \mathcal{P} that any consultation consults an up-to-date value v , we compute the probability that this value is obtained after q drawings with replacement. It is clear that the probability of obtaining a specific node after q drawings is larger without replacement than with replacement. The probability for a node x uniformly chosen at random not to own the value v is $\Pr[x \notin \mathcal{Q}] = 1 - \frac{q(1-c)^\Delta}{n}$ that is, the probability not to consult value v after q drawings, with replacement, is $\Pr[x_1 \notin \mathcal{Q}, \dots, x_q \notin \mathcal{Q}] = \left(1 - \frac{q(1-c)^\Delta}{n}\right)^q$. By Fact 5.3, $\Pr[x_1 \notin \mathcal{Q}, \dots, x_q \notin \mathcal{Q}] \leq e^{-\frac{q^2}{n}(1-c)^\Delta}$, where $n > q(1-c)^\Delta$. By replacing q by the quorum size given at Line 2 of Algorithm 1 in the contrapositive $\mathcal{P} \geq 1 - e^{-\frac{q^2}{n}(1-c)^\Delta}$ we obtain the result $\mathcal{P} \geq 1 - e^{-\beta^2}$. \square

This corollary simply concludes the two previous Lemmas stating that any consultation executed in the system succeeds by returning an up-to-date value.

Corollary 5.5 *Any consultation ϕ succeeds with high probability ($\geq 1 - e^{-\beta^2}$, with β a constant).*

Proof. The result is straightforward from Lemma 5.2 and Lemma 5.4. \square

Last but not least, the two theorems conclude the proof by showing that Algorithm 1 implements a Δ -TQS and verifies probabilistic atomicity.

Theorem 5.6 *Algorithm 1 implements a Δ -Timed Quorum System, where Δ is the maximum time between two subsequent propagation starts.*

Proof. First observe that the set of quorums is the set of subsets of q active nodes over the system at time t . The timed access strategy at time t over the set of all quorums is the uniform access strategy over all quorums since each node is chosen with a uniform access strategy among the active nodes at time t . By Corollary 5.5, it is clear that the intersection between two quorums is ensured with high probability as long as one quorum starts being contacted Δ timed before the other ends being contacted. \square

Theorem 5.7 *Algorithm 1 implements a probabilistic atomic object.*

Proof. The proof shows that it exists an ordering \prec defined by the tags verifying Definition 2.1. This ordering is such that $\pi_i \prec \pi_j$ is equivalent to either $tag(\pi_i) = tag(\pi_j)$ and π_i is a write and π_j is a read, or $tag(\pi_i) < tag(\pi_j)$. Each property of Definition 2.1 is proved separately.

1. Property 1 is deduced straightforwardly from the other Properties.
2. The proof is done in two parts. First, we show that Property 2 holds if consultation phase of operation π_2 obtains an up-to-date value. Second, we show that this consultation phase obtains an up-to-date value with high probability.

- (a) On the one hand, we denote by ϕ_i and by ρ_i the respective consultation phase and propagation phase of any operation π_i . We show by contradiction that Property 1 holds if ϕ_2 consults an up-to-date value. By absurd, assume that it is false. That is, assume that ϕ_2 consults an up-to-date value, the response of π_1 precedes the invocation of π_2 , and $\pi_2 \prec \pi_1$. Since ϕ_2 consults an up-to-date value, we have $\text{tag}(\phi_2) \geq \text{tag}(\pi_1)$. Now there are two cases to consider: either π_2 is a read or a write. First, if π_2 is a write then $\text{tag}(\pi_2) > \text{tag}(\phi_2) \geq \text{tag}(\pi_1)$ by examination of the code of Algorithm 1 (cf. Lines 20). By definition of \prec , if $\text{tag}(\pi_2) > \text{tag}(\pi_1)$ and π_2 is a write, then it can not happen that $\pi_2 \prec \pi_1$. Second, if π_2 is a read then $\text{tag}(\pi_2) = \text{tag}(\phi_2) \geq \text{tag}(\pi_1)$ by examination of the code of Algorithm 1 (cf. Lines 14). By definition of \prec , if $\text{tag}(\pi_2) \geq \text{tag}(\pi_1)$ and π_2 is a read, then it can not happen that $\pi_2 \prec \pi_1$. As a result, this contradicts the assumption, showing that Property 1 holds if ϕ_2 obtains an up-to-date value.
- (b) On the other hand, Corollary 5.5 shows that any consultation obtains the most up-to-date value with high probability. Since Property 2 holds if a consultation of π_2 consults an up-to-date value, and since any consultation consults an up-to-date value with high probability, the result follows.
3. Property 3 follows simply from the way tags are chosen. Let π_1 and π_2 be any two operations. On the one hand, if π_1 and π_2 are initiated at node i , then they have distinct tag counters. On the other hand, if π_1 and π_2 are initiated at two distinct nodes, then they have distinct tag identifiers i and j . As a result, two operations have different tags and either $\text{tag}(\rho_1) > \text{tag}(\rho_2)$ or $\text{tag}(\rho_1) < \text{tag}(\rho_2)$ holds.
4. Property 4 fails only if the read operation is unsuccessful. The probability P_π for an operation π to be unsuccessful is lower than the probability P_ϕ that its consultation ϕ is unsuccessful. Since we know by Corollary 5.5 that this later probability P_ϕ is very low ($P_\phi = e^{-\beta^2}$), the probability P_π that an operation is unsuccessful is very low too ($P_\pi < e^{-\beta^2}$). It follows that Property 4 holds with high probability ($\geq 1 - e^{-\beta^2}$).

□

6 Performance Results

Next Lemmas show the performance of our solution: the first Lemma gives the message complexity of our solution while the second Lemma gives the time complexity of our solution. Observe first that operations complete provided that sent messages are reliably delivered. Building onto this assumption, an operation completes after contacting $O(\sqrt{nD})$ nodes. The following Lemma shows this result.

Lemma 6.1 *If messages are not lost, an operation complete after having contacted $O(\sqrt{nD})$ nodes.*

Proof. This is straightforward from the fact that termination of the dissemination process is conditioned to the number of distinct nodes contacted: $q = O(\sqrt{nD})$, with $D = (1 - c)^{-\Delta}$ (cf. Line 2).

Since there are two disseminating phases in each operation, an operation is executed after contacting $O(\sqrt{nD})$ nodes. \square

Next Lemma indicates that an operation terminates in $O(\log \sqrt{nD})$ message delays, in expectation.

Lemma 6.2 *If messages are not lost, the expected time of an operation is $O(\log \sqrt{nD})$ message delays.*

Proof. The proof relies on the fact that q' nodes are contacted uniformly at random with replacement. In expectation, the number q' that must be contacted to obtain q distinct nodes is $q' = q = O(\sqrt{nD})$. Since nodes are contacted in parallel along a tree of depth ℓ and degree $k + 1$, the time required to contact all the nodes on the tree is $\ell = O(\log_k q)$. That is, it is done in $\ell = O(\log_k \sqrt{nD})$ message delays. \square

7 Conclusion

This report addressed the problem of emulating a distributed shared memory that tolerates scalability and dynamism while being efficient. TQS ensures probabilistic intersection of quorums in a timely fashion. Interestingly, we showed that some TQS implementation verifies a consistency criterion weaker but similar to atomicity: probabilistic atomicity. Hence, any operation provided by some TQS satisfies the ordering required for atomicity with high probability. The given implementation of TQS verifies probabilistic atomicity, provides lightweight ($O(\sqrt{nD})$ messages) and fast ($O(\log \sqrt{nD})$ message delays) operations, and does not require reconfiguration mechanism since periodic replication is piggybacked into operations.

Since we started tackling the problem that node can fail independently, we are now able to implement probabilistic memory into more realistic models. Previous solutions required that a very few amount of nodes could fail at the same time. More realistically, a model should allow node to act independently while requiring that failures occurring at the same time are unlikely. An interesting question is: what probabilistic consistency can TQS achieve in such a realistic model?

Acknowledgments

We are grateful to Anne-Marie Kermarrec and Achour Mostéfaoui for fruitful discussions about gossip-based algorithms and dynamic systems.

References

- [1] Ittai Abraham and Dahlia Malkhi. Probabilistic quorum systems for dynamic systems. *Distributed Computing*, 18(2):113–124, 2005.

-
- [2] Hagit Attiya and Jennifer Welch. *Distributed Computing. Fundamentals, Simulations, and Advanced Topics*. McGraw-Hill, 1998.
 - [3] Gregory Chockler, Seth Gilbert, Vincent Gramoli, Peter Musial, and Alexander Shvartsman. Reconfigurable distributed storage for dynamic networks. In *Proceedings of 9th International Conference on Principles of Distributed Systems*, pages 214–219, December 2005.
 - [4] Antonio Fernández, Vincent Gramoli, Ernesto Jiménez, Anne-Marie Kermarrec, and Michel Raynal. Distributed slicing in dynamic systems. In *Proceedings of the 27th International Conference on Distributed Computing Systems (ICDCS'07)*. IEEE Computer Society Press, Jun 2007.
 - [5] R. Friedman, M. Raynal, and C. Travers. Two abstractions for implementing atomic objects in dynamic systems. In *9th International Conference on Principles of Distributed Systems (OPODIS)*, December 2005.
 - [6] Ayalvadi J. Ganesh, Anne-Marie Kermarrec, and Laurent Massoulié. Peer-to-peer membership management for gossip-based protocols. *IEEE Trans. Comput.*, 52(2):139–149, 2003.
 - [7] Vincent Gramoli, Emmanuelle Anceaume, and Antonino Virgillito. Square: Scalable quorum-based atomic memory with local reconfiguration. In *Proceedings of the 22nd ACM Symposium on Applied Computing (SAC'07)*, pages 574–579. ACM Press, mar 2007.
 - [8] Vincent Gramoli, Anne-Marie Kermarrec, Achour Mostefaoui, Michel Raynal, and Bruno Sericola. Core persistence in peer-to-peer systems: Relating size to lifetime. In *Proceedings of the On-The-Move International Workshop on Reliability in Decentralized Distributed Systems*, volume 4278 of *LNCS*, pages 1470–1479. Springer, Oct. 2006.
 - [9] Maurice Herlihy. Dynamic quorum adjustment for partitioned data. *ACM Trans. Database Syst.*, 12(2):170–194, 1987.
 - [10] Konrad Iwanicki. Gossip-based dissemination of time. Master's thesis, Warsaw University - Vrije Universiteit Amsterdam, 2005.
 - [11] Erwan Le Merrer, Anne-Marie Kermarrec, and Laurent Massoulié. Peer to peer size estimation in large and dynamic networks: A comparative study. In *15th International Symposium on High performance Distributed Computing (HPDC)*, Paris, France, 2006.
 - [12] N. Lynch and A.A. Shvartsman. RAMBO: A reconfigurable atomic memory service for dynamic networks. In *Proc. of 16th International Symposium on Distributed Computing*, pages 173–190, 2002.
 - [13] N.A. Lynch. *Distributed Algorithms*. Morgan Kaufmann Publishers, 1996.
 - [14] D. Malkhi, M. Reiter, A. Wool, and R. Wright. Probabilistic quorum systems. *The Information and Computation Journal*, 170(2):184–206, November 2001.

- [15] Robert Morris, David Karger, Frans Kaashoek, and Hari Balakrishnan. Chord: A scalable peer-to-peer lookup service for internet applications. In *ACM SIGCOMM 2001*, San Diego, CA, September 2001.
- [16] U. Nadav and M. Naor. The dynamic and-or quorum system. In Pierre Fraigniaud, editor, *Distributed algorithms*, volume 3724 of *Lecture Notes In Computer Science*, pages 472–486, September 2005.
- [17] Moni Naor and Avishai Wool. The load, capacity, and availability of quorum systems. *SIAM Journal on Computing*, 27(2):423–447, 1998.
- [18] Spyros Voulgaris, Daniela Gavidia, and Maarten van Steen. Cyclon: Inexpensive membership management for unstructured p2p overlays. *Journal of Network and Systems Management*, 13(2):197–217, 2005.