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DISTRIBUTED BISIMULATIONS

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Distributed Bisimulations

Bisimulations Distribuées

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Abstract.

A new equivalence between concurrent processes is proposed. It generalises the well-known bisimulation equivalence to take into account the distributed nature of processes. The result is a non-interleaving semantic theory; concurrent processes are differentiated from processes which are non-deterministic but sequential.

The new equivalence, together with its observational version, is investigated for a subset of CCS and various algebraic characterisations are obtained.

Résumé.

Nous proposons une nouvelle notion d'équivalence pour les processus parallèles. Il s'agit d'une généralisation de la notion bien connue de bisimulation, pour rendre compte de la nature distribuée des processus. Le résultat est une theorie sémantique qui ne repose pas sur l'entrelacement; un processus parallèle n'est pas identifié sémantiquement à un processus sequentiel nondeterministe.

Nous étudions cette nouvelle équivalence et sa version observationnelle pour un sous-ensemble de CCS, et nous donnons les théories algébriques correspondantes.



Introduction

Recently there has been a proliferation of algebraic languages for describing concurrent processes. For a representative sample see [Mi 80], [Mi 83], [Au 84], [Br 84], [Be 85]. Essentially each such language consists of a set of combinators – or constructors – for defining new processes in terms of simpler ones, together with some facility for recursive definitions.

A popular, and very successful method of providing a semantic theory for these languages is via the notion of bisimulation ([Pa 81], [Mi 83]). A bisimulation between two processes p and q is a relation R that provides a simulation of the behaviour of p by q and simultaneously a simulation of the behaviour of q by p. Two processes are said to be bisimulation-equivalent if there exists a bisimulation between them. This is now a classical way of formalising the idea that two processes are indistinguishable when they show the same behaviour, and a considerable amount of research effort has been expended in providing axiomatisations for bisimulation equivalence, in a variety of settings.

In this paper we wish to reconsider the notion of bisimulation and suggest a new one which preserves more of the structure of processes. Bisimulations are traditionally based on a description of processes as sequential transition systems, where transitions are labelled by *atomic actions*. In this view a process evolves by successive elementary transitions and a concurrent process is semantically equivalent to a sequential nondeterministic one. Such theory of bisimulations ignores the concurrent structure of processes: it provides a so-called *interleaving semantics* for concurrency.

We would like here to propose a non-interleaving semantic theory which retains many of the advantages of the existing one. Unlike [Bo 87], where a similar goal is achieved by labelling transitions with composite actions, we shall keep here to systems labelled by atomic actions. We restrict our attention to a rather simple language, the set of finite CCS terms. This provides a perfectly good framework in which to explain our ideas; it should also be adequate for the reader to evaluate them.

Let us briefly sketch the idea underlying our semantics. We interpret finite CCS terms as distributed labelled transition systems. In such a system, each transition gives rise to a compound residual $\langle p', p'' \rangle$, made out of a local component p' and a global component p''. Thus a typical transition has the form $p \xrightarrow{a} \langle p', p'' \rangle$, where a is an atomic action and p'' includes p' as a component. Intuitively, separating the components allows us to distinguish causality, relating the action a to the local residual p', from concurrency, relating a to the "rest of" p''.

Based on these new transitions, we define on processes a behavioural equivalence called distributed bisimulation equivalence, which takes into account both residuals of transitions. We show that this equivalence distinguishes concurrent behaviours from nondeterministic ones, and thus is more discriminating than ordinary bisimulation.

The paper is self-contained even though some knowledge of [He 85] would be helpful. A good introduction to algebraic behaviour languages may be found in [Mi 80]. We give now a short summary of the work presented.

In section 1.1 we re-examine the usual view of processes as labelled transition systems, and propose our alternative description within which structural properties of processes may be reflected. In section 1.2 we give the definition of distributed bisimulation and contrast it with the standard notion of bisimulation. The new equivalence is given a complete algebraic characterisation in section 1.3. This is achieved by introducing an asymmetric parallel operator $\mspace{1mu}$, similar to the left-merge operator of [Be 85].

In section 2 we apply the theory to a language which includes unobservable actions. We obtain a complete axiomatisation of the corresponding distributed bisimulation equivalence by adding to the theory of section 1 a set of so-called τ -laws. These include the τ -laws of [He 85]. In section 3 we introduce communication into the language. The CCS approach to communication is followed: communication is viewed as the simultaneous occurrence of complementary actions. We propose a set of axioms for this extended language, but their completeness remains an open problem. A brief conclusion follows.

1 Distributed bisimulations

1.1 Processes as Labelled Transition Systems

A very primitive operational semantics of processes can be given in terms of labelled transition systems.

Definition 1.1 A labelled transition system (lts) is a triple (P, A, \longrightarrow) , where:

- P is a given set of processes
- A is a given set of actions
- \longrightarrow is a relation contained in $(P \times A \times P)$, called the transition relation.

We usually write $p \xrightarrow{a} q$ in place of $(p, a, q) \in \longrightarrow$. Intuitively this means that p may perform the action a and thereby be transformed into q. In fact, many different interpretations may be placed on these triples and a discussion of these interpretations, for our particular language, will lead to our new semantics.

Let A be a given set of unspecified actions. The language we investigate is parameterised on A. Let Σ_1 be the signature consisting of:

- NIL a constant or nullary operator
- a. for each $a \in A$ a unary operator, called prefixing
- + a binary infix operator, called choice
- | a binary infix operator, called parallel composition

We use L to denote the word algebra generated by Σ_1 . When writing words in L we use the usual conventions of CCS: prefixing has precedence over |, which in turn has precedence over +; prefixed terms such as a.x are abbreviated to ax and NIL is often omitted. For example:

$$a.(c.NIL) + (b.NIL \mid (a.NIL + d.(a.NIL)))$$

is rendered as $ac + b \mid (a + da)$. It represents a process which can either act like:

- the process ac, which performs the action a and then the action c;
- or the process $b \mid (a + da)$, which consists of two subprocesses in parallel, one of which can only perform b while the other can either perform a or d followed by a.

To give L the structure of a labelled transition system we let:

- P, the set of processes, be the set of terms in L;
- A be the set of predefined actions or observations;
- - - , the transition relation, be the least relation satisfying the axioms in Figure 1.

Here $p \xrightarrow{a} q$ is true only if it can be proved using the given three rules. For example, if p denotes $ac + b \mid (a + da)$, then the following are true:

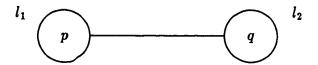
$$p \xrightarrow{a} c$$

$$p \xrightarrow{b} \text{NIL} \mid (a + da)$$

$$p \xrightarrow{a} b \mid \text{NIL}$$

$$p \xrightarrow{d} b \mid a$$

We can interpret a transition or observation $p \xrightarrow{a} q$ as being the response of p to some external demand. That is, we can consider the evolution of p as being driven by some external experimenter or observer, which at each step asks p for some specific task a. If p is able to satisfy this demand, it will perform an action a thereby evolving into a new system q. This is essentially the interpretation used in [He 85] to motivate the definition of observational equivalence. With respect to this interpretation, rules 1 and 2 are eminently reasonable. On the other hand, the use of rule 3 for processes of the form $p \mid q$ implies that the observer in question is ignoring information which should be apparent. At least this is the case if we make some reasonable assumptions about processes and observers. Suppose that $p \mid q$ describes a process consisting of two independent processes p and q, which are physically separated: it represents a distributed system with p in one locality l_1 and q in another locality l_2 .



Assuming that the observer is an uncomplicated entity, when he makes an observation he is either at location l_1 or at location l_2 but not at both. He then

knows that his demand has been satisfied by some subprocess at his locality and that (at least for our simple language) satisfying this demand has not affected the components at other localities.

In proposing a new operational semantics we do not wish to go as far as to assign names to localities and parameterise observers with respect to these localities. We shall simply assume that each observer is placed at some definite – but unspecified – locality: at each step he can ask for an action from the component at his locality, and observe the *local* result of this action. At the same time, he is informed of the global result of his observation. In this framework, a primitive observation takes the form:

$$p \stackrel{a}{\longrightarrow} \langle p', p'' \rangle$$

This is now interpreted as:

- an observer demands an action a of process p.
- satisfying this demand changes the component local to the point of observation into p' and changes the whole process into p''.

To sum up, each observation consists of some (local) action together with its local and global effects. We may add that it is crucial that these two effects should be observed together: conducting a sequence of purely local observations and the corresponding sequence of global observations independently would not give us as much information. Based on our new transitions $p \stackrel{a}{\longrightarrow} \langle p', p'' \rangle$, we propose now the following:

Definition 1.2 A distributed lts (d-lts) is a triple (P, A, \longrightarrow) , where:

- P is a set of processes
- A is a set of actions
- \longrightarrow is a relation contained in $(P \times A \times P \times P)$, called the transition relation.

We shall write $p \xrightarrow{a} \langle p', p'' \rangle$ instead of $(p, a, p', p'') \in \longrightarrow$. According to the interpretation given above, the language L can be viewed as a d-lts by using the transition relation defined in Figure 2. Rule 1' merely says that an observer of the process ap sees the same local and global effect of the only possible successful demand, that of performing an action a. Rule 2' is the usual interpretation of the choice operator +. Rule 3', the most interesting one, states that if p can be observed performing an action a, and this observation changes p locally to p' and globally to p'', then $p \mid q$ can also be observed performing an

action a, with a local effect still amounting to p', whereas the global effect is $p'' \mid q$, which includes the further unaffected component q.

For example, if p represents ab + b(d + e) | (e + f), the following statements are true:

$$p \xrightarrow{a} \langle b, b \rangle$$
 $p \xrightarrow{b} \langle d + e, (d + e) | (e + f) \rangle$
 $p \xrightarrow{e} \langle \text{NIL}, b (d + e) | \text{NIL} \rangle$
 $p \xrightarrow{f} \langle \text{NIL}, b (d + e) | \text{NIL} \rangle$

Note that the transition relation given in Figure 1 can be recovered from the distributed one in Figure 2 by ignoring the first component. In fact, as noted already, the second component records the global evolution of the system after a single action, and this is just what an interleaving semantics describes. This also shows very clearly what additional information is used here to acquire more discriminating power over the concurrent aspects of systems.

1.2 Bisimulations

We recall here the definition of bisimulation. Let (P, A, \longrightarrow) be an lts. A bisimulation is a symmetric relation $R \subseteq P \times P$ that satisfies, for every $(p,q) \in R, a \in A$, the following property:

(*)
$$p \xrightarrow{a} p'$$
 implies $q \xrightarrow{a} q'$ for some q' such that $(p', q') \in R$

If we consider property (*) as a function S on relations, we can rephrase the definition as: R is a bisimulation if it is symmetric and $R \subseteq S(R)$. Then bisimulation equivalence is defined by:

$$p \sim q$$
 if $(p,q) \in R$ for some bisimulation R

This is a slight generalisation, due to Park [Pa 82], of Milner's notion of observational equivalence [Mi 80, He 85], and we refer the reader to these papers for proper motivation. In short, each transition $p \xrightarrow{a} p'$ can be viewed as an experiment on p, and two processes are equivalent if and only if there is no way of distinguishing them by experiments of this kind. The following results are well-known.

RULES &

Rule 1.
$$a: p \xrightarrow{a} p$$

Rule 2.
$$p \xrightarrow{a} p'$$
 implies $p + q \xrightarrow{a} p'$ $q + p \xrightarrow{a} p'$

Rule 3.
$$p \xrightarrow{a} p'$$
 implies $p \mid q \xrightarrow{a} p' \mid q$
$$q \mid p \xrightarrow{a} q \mid p'$$

Figure 1: CCS transitions

RULES R'

Rule 1'.
$$a: p \xrightarrow{a} \langle p, p \rangle$$

Rule 2'. $p \xrightarrow{a} \langle p', p'' \rangle$ implies $p+q \xrightarrow{a} \langle p', p'' \rangle$
 $q+p \xrightarrow{a} \langle p', p'' \rangle$

Rule 3'. $p \xrightarrow{a} \langle p', p'' \rangle$ implies $p \mid q \xrightarrow{a} \langle p', p'' \mid q \rangle$
 $q \mid p \xrightarrow{a} \langle p', q \mid p'' \rangle$

Figure 2: Distributed transitions

Lemma 1.3

- a) ~ is an equivalence relation;
- b) \sim is the maximal symmetric fixed-point of the equation R = S(R);
- c) \sim is preserved by all operators in Σ_1 , i.e. \sim is a Σ_1 -congruence. \square

Let us consider the bisimulation equivalence \sim on our simple language L. It is easy to see that \sim satisfies the expected laws:

$$P1. p | q = q | p$$

$$P2. p | (q | r) = (p | q) | r$$

$$P3. \quad p \mid \text{NIL} = p$$

We also have the following identification, expressing the simulation of concurrency by nondeterministic interleaving:

L1.
$$a \mid b \sim ab + ba$$

We shall now apply exactly the same technique to define a behavioural equivalence in a distributed lts. In this setting, equivalence will mean the inability to formulate a collection of the more complicated experiments to distinguish between processes. More specifically, when testing two processes for equivalence, we shall require that *both* the local and the global results of observations be indistinguishable.

Definition 1.4 Let (P, A, \longrightarrow) be a d-lts. A distributed bisimulation (d-bisimulation) is a symmetric relation $R \subseteq (P \times P)$ satisfying, for every $(p,q) \in R$, $a \in A$, the following property:

(**)
$$p \xrightarrow{a} \langle p', p'' \rangle$$
 implies $q \xrightarrow{a} \langle q', q'' \rangle$ for some q', q'' s.t. $\langle p', q' \rangle \in R$ and $\langle p'', q'' \rangle \in R$

Again, if we let D(R) denote (**), this amounts to demanding $R \subseteq D(R)$ (for a symmetric R). We then say that p and q are d-bisimulation equivalent, noted $p \sim_d q$, if $(p,q) \in R$ for some d-bisimulation R.

Lemma 1.5

- a) \sim_d is an equivalence relation;
- b) \sim_d is the maximal symmetric fixed-point of the equation R = D(R);
- c) \sim_d is a Σ_1 -congruence.

Proof: The only non-trivial statement is c). We shall only prove that \sim_d is preserved by the operator |, since the proof is straightforward for the remaining operators.

Suppose that $p \sim_d q$ and r is an arbitrary process in L. We must construct a d-bisimulation R such that $(p | r, q | r) \in R$. The required relation is defined by:

$$R = \{ (p_1 | r, p_2 | r) | p_1 \sim_d p_2 \} \cup \sim_d$$

The new behavioural equivalence \sim_d also satisfies the properties P1, P2, P3 listed above. However the example of interleaving, L1, fails:

$$a \mid b \not\sim_d ab + ba$$

because $a \mid b \xrightarrow{a} < \text{NIL}$, NIL $\mid b>$, whereas the only possible observation of an action a from (ab + ba) gives the result < b, b>, and obviously NIL $\not\sim_d b$.

As regards the relationship of our new equivalence with the standard bisimulation equivalence, it is easy to show that the standard equivalence is a conservative extension of the new one, in the following precise sense.

Proposition 1.6

- a) If $p \sim_d q$ then $p \sim q$.
- b) If p, q contain no occurrences of |, then $p \sim q$ implies $p \sim_d q$.

Proof: a) Let R be a d-bisimulation over L. We show that it is also a bisimulation, that is $R \subseteq S(R)$. This relies on the following facts:

- i) If $p \xrightarrow{a} r$ in the lts, then there exists some q such that $p \xrightarrow{a} \langle q, r \rangle$ in the d-lts.
- ii) If $p \xrightarrow{a} \langle q, r \rangle$ in the d-lts, then $p \xrightarrow{a} r$ in the lts.

Both these statements are proved by induction on the length of derivations in the respective transition systems.

b) Let SL denote the subset of L which does not not use the operator |. Now if $p \in L$ and $p \xrightarrow{a} r$ in the lts or $p \xrightarrow{a} \langle q, r \rangle$ in the d-lts, it is easy to check that q and r are also in SL. Moreover, for terms of SL, the statements i) and ii) above can be strenghtened to:

iii) If
$$p \in SL$$
, then $p \xrightarrow{a} r$ in the lts iff $p \xrightarrow{a} \langle r, r \rangle$ in the d-lts

This is sufficient to prove part b). For suppose $p \sim q$, where $p, q \in R$. Then we may use property iii to show that $R \subseteq D(R)$, i.e. R is a d-bisimulation. \square

We conclude this section with a simple proposition, which expresses the relation between the local and global residuals of a transition.

Let \equiv be the congruence on L generated by the three laws P1-P3 at page 8. It is easy to show that:

Proposition 1.7 If
$$p \stackrel{a}{\longrightarrow} \langle q, r \rangle$$
, then $\exists s \ s.t. \ q \mid s \equiv r$.

This proposition states that the local residual is a parallel component of the global residual. This fact will be used in section 2 to define distributed transitions in a more complicated setting.

1.3 Algebraic characterisation

In this section we present a complete axiomatisation for each of the two Σ_1 -congruences \sim and \sim_d over L. The former is well-known and is mentioned only for completeness. The required axioms are given in figure 3. The summation notation used in (IN), namely:

$$\Sigma \left\{ a_i p_i , 1 \leq i \leq n \right\}$$
 to stand for
$$\left\{ egin{array}{l} a_1 p_1 + \ldots + a_n p_n , & \mbox{\it if} & n > 0 \\ \mbox{NIL} , & \mbox{\it if} & n = 0 \end{array}
ight.$$

is justified by the associativity of + as expressed by the law A1. In a summation term $\Sigma \{a_i p_i, 1 \le i \le n\}$ we will usually drop the reference to n, rendering the term simply as $\Sigma a_i p_i$.

Let \mathcal{A} denote the laws A1 - A4, IN, as listed in figure 3. The following result is well-known (it is essentially theorem 4.1 of [He 85]).

Theorem 1.8 The equivalence \sim is the Σ_1 -congruence over L generated by the axioms A.

This theorem is relatively easy to prove because of (IN). With it all occurrences of | can be eliminated, so that in this framework concurrency is reduced to nondeterminism. The primitive operators are NIL, prefixing and choice.

Let us now turn our attention to the new equivalence \sim_d . We have already seen that (IN) is not valid for \sim_d . In the theory generated by \sim_d we expect | to be also a primitive operator.

This does not mean, however, that there will be no interesting dependencies between the operators. We will have, for example, the following identifications:

(1) If
$$p = (r_1 + r_2) |s_1 + r_1| (s_1 + s_2)$$
,
then $p + (r_1 | s_1) \sim_d p$.

(2) If
$$q = (r_1 + r_2) |s_1 + (r_1 + r_2)|s_2 + r_1|(s_1 + s_2) + r_2|(s_1 + s_2)$$
,
then $q + (r_1 + r_2)|(s_1 + s_2) \sim_d q$

Thus \sim_d allows more complicated absorptions than the one expressed by the idempotence law A4. Both (1) and (2) are in fact absorption laws: for instance, the equation (1) states that the term $(r_1 | s_1)$ may be absorbed into the term $r_1 | (s_1 + s_2) + (r_1 + r_2) | s_1$.

As a matter of fact, we can find arbitrarily complex absorption laws, which are all independent. Before giving more examples, let us formalise what we mean by absorption of a term into another.

Definition 1.9 Let
$$p \lesssim_d q \iff (p+q) \sim_d q$$
.

If $p \lesssim_d q$, we say that p is absorbed into q. It is clear that $p \sim_d q$ if and only if $p \lesssim_d q$ and $q \lesssim_d p$.

Thus in the examples above we have $(r_1 | s_1) \subseteq_d p$ and $(r_1+r_2) | (s_1+s_2) \subseteq_d q$. Another example (where we use | up to associativity) is:

(3)
$$(r_1 | s_1 | u_1) \subseteq_d (r_1 + r_2) | s_1 | u_1 + r_1 | (s_1 + s_2) | u_1 + r_1 | s_1 | (u_1 + u_2)$$

and the reader should be able to modify (2) above to a related but independent axiom in which $(r_1 + r_2 + r_3) | (s_1 + s_2 + s_3)$ is absorbed.

At this point the situation appears problematic. Let us then look back at the operational equivalence \sim_d that we want to axiomatise. It is easy to convince oneself that when $p \stackrel{a}{\longrightarrow} \langle q, r \rangle$, this is because p contains an initial subterm aq. Moreover we know, (by proposition 1.7), that $r \equiv q \mid s$. Here s represents,

intuitively, the term which is concurrent to aq in p: we shall call it the coterm of aq in p.

Now, the operational behaviour of a term p is exactly determined by the set of its (initial) subterms aq together with their coterms s. Unfortunately such coterms are not, in general, subterms of p. For example, in:

$$p = ((aq | s_1) + u) | s_2$$

the term aq has coterm $s = s_1 | s_2$, which is not a subterm of p. Intuitively, this is because the action a eliminates all subterms in alternative with aq (the subterm u in the above example).

As a consequence, we will not be able to prove the equality of two terms by first comparing their subterms aq and the respective coterms, as would be natural. To get round this difficulty we introduce a new operator V in the language, which will be used to express a term p in the explicit form $\sum_{i \in I} a_i p_i V p'_i$, where for each $i \in I$ p'_i is the coterm of $a_i p_i$. The operational meaning of V is, as might be expected, specified by the following rules (respectively in the dlts and in the lts):

R4.
$$p \xrightarrow{a} p''$$
 implies $p'' \mid q$
R4'. $p \xrightarrow{a} \langle p', p'' \rangle$ implies $p \mid q \xrightarrow{a} \langle p', p'' \mid q \rangle$

As these rules suggest, the operator $\not\mid$ has some similarity with \mid . In fact it is easy to see that $p \not\mid q$ is absorbed into $p \mid q$, that is: $p \not\mid q \subseteq_d p \mid q$.

On the other hand $p \mid q$ is not absorbed in $p \mid q$, whereas it is absorbed in $(p \mid q + q \mid p)$. Indeed we have the law:

$$p \mid q = p \mid q + q \mid p \tag{LP1}$$

So $\mspace{1mu}$ can be viewed as a sort of asymmetric parallel operator: in the term $p \ \mspace{1mu} q$ the components p and q are concurrent but somehow p has an initial dominance over q. To be sure, the introduction of $\mspace{1mu} m$ may seem to bring us back to an interleaving semantics. Fortunately this is not the case, at least in the dlts: we have seen already that the behavioural equivalence \sim_d does not satisfy the interleaving equation (IN) of figure 3, and the addition of a new operator could not possibly affect this situation. However the introduction of $\mspace{1mu} m$ brings the distinction between $\mspace{1mu} m$ and $\mspace{1mu} m$ into sharper focus. In the extended calculus an essential property of $\mspace{1mu} m$ is:

$$ap \mid q = a(p \mid q)$$
 (\forall IN)

This law is not satisfied by \sim_d , as shown by the following counterexample:

$$a \text{ NIL } / b \text{ NIL } \neq_d a (\text{NIL} | b \text{ NIL})$$

In fact, it may be shown that the interleaving equation (IN) is derivable from the more primitive equations (LP1) and ($\mspace{1mu}$ IN). Indeed these laws have been used by Bergstra and Klop to give a finite axiomatisation for the equivalence \sim in the lts (see [Be 85]). We shall return to this point at the end of this section.

Let us now come back to the properties of \bigvee . An important difference between \bigvee and \bigvee is that the former satisfies a distributive law:

$$(p+q) \ / \ z = (x \ / \ z) + (y \ / \ z) \tag{LP2}$$

whereas it is well-known that this is not the case for | (at least in the theory of bisimulations). The law (LP2) will help us a great deal in syntactic manipulations: in particular it will be crucial to reduce a term p to its explicit form $\sum a_i p_i \ | \ p_i'$. The final nontrivial axiom we require of $| \ |$ involves a subtle interaction with $| \ |$:

$$(x \mid y) \mid z = x \mid (y \mid z)$$
 (LP3)

The axioms LP1, LP2, LP3 are very convenient. They can be used to derive the laws of |:

$$p \mid q = q \mid p \tag{P1}$$

$$p \mid (q \mid r) = (p \mid q) \mid r \tag{P2}$$

as well as absorption laws such as (1) and (2) above. The other main property of |:

$$p \mid \text{NIL} = p \tag{P3}$$

follows from (PE) above and the additional two axioms:

$$p \mid NIL = p$$
 (LP4)

$$NIL \ / p = NIL$$
 (LP5)

Let \mathcal{B} denote the set of axioms A1-A4, LP1-LP5, which are gathered together in Figure 4. We can now state the main result of this section. Let Σ_2 denote the signature extended with / and EL denote the extended term language.

Theorem 1.10 (Characterisation) The equivalence \sim_d is the Σ_2 -congruence over EL generated by the axioms B, that is $p=_Bq$ if and only if $p\sim_dq$.

Axioms A

(A1)
$$x + (y + z) = (x + y) + z$$

$$(A2) x+y = y+x$$

$$(A3) x + NIL = x$$

$$(A4) x+x = x$$

(IN) If
$$x = \sum_{i \in I} a_i x_i$$
, $y = \sum_{j \in J} b_j y_j$, then
$$x \mid y = \sum_{i \in I} a_i (x_i \mid y) + \sum_{j \in J} b_j (x \mid y_j)$$

Figure 3: Axiomatisation of \sim .

Axioms B

$$(A1) x+(y+z) = (x+y)+z$$

$$(A2) x+y = y+x$$

$$(A3) x + NIL = x$$

$$(A4) x+x = x$$

$$(LP1) x | y = x | y + y | x$$

$$(LP2) \qquad (x+y) \ \ \not\mid \ z = x \ \ \not\mid \ z + y \ \ \not\mid \ z$$

$$(LP3) \qquad (x \mid y) \mid z = x \mid (y \mid z)$$

$$(LP4)$$
 $x \mid NIL = x$

$$(LP5)$$
 NIL $\forall x = NIL$

Figure 4: Axiomatisation of \sim_d .

The remainder of this section is devoted to the proof of this result. One direction is straightforward, and is left to the reader:

Lemma 1.11 (Soundness) If
$$p, q \in EL$$
, $p = g q$ implies $p \sim_d q$. \square

The proof of completeness relies, as usual, on a reduction of terms to normal forms. We shall adopt as normal forms the explicit forms mentioned earlier on.

Definition 1.12 $\Sigma \{a_i p_i \mid p'_i, 1 \leq i \leq n\}$ is a normal form (nf) whenever all p_i, p'_i are nfs.

In particular NIL is a nf (for n = 0). We shall often write a normal form (different from NIL) simply as $\sum a_i p_i \not\mid p'_i$.

We define now the depth d(p) of a term p as follows:

$$d(\text{NIL}) = 0;$$
 $d(a.p) = 1 + d(p);$
 $d(q \mid r) = d(q) + d(r)$
 $d(q \mid r) = \begin{cases} 0, & \text{if } d(q) = 0 \\ d(q) + d(r), & \text{otherwise} \end{cases}$
 $d(q \mid r) = max\{d(q), d(r)\}.$

We may now prove the following:

Lemma 1.13 (Normalisation lemma)

For any $p \in EL$ there exists a nf n such that p = n.

Proof: By induction on the depth of p. We show at the same time that the depth of a term is preserved by normalisation. The only non-trivial cases are when $p = q \mid r$ or $p = q \mid r$. By induction, q and r have normal forms of equal depths. In both cases, if either of these is NIL, the arguments are trivial, using LP1, LP4 and LP5. Otherwise we assume that q has the form $\sum b_j q_j \mid q'_j$. We then have:

a)
$$q \nmid r = \sum (b_j q_j \mid q'_j) \mid r$$
, by $LP2$
= $\sum b_j q_j \mid (q'_j \mid r)$, by $LP3$

By induction each $q'_{j} | r$ has a nf (of equal depth), and the result follows, since:

$$d(q \mid r) = d(q) + d(r) = max\{d(b_j q_j) \mid q'_j, j \in J\} + d(r) = max\{d(b_j q_i) + d(q'_i) + d(r)\} = d(\sum b_j q_j \mid (q'_i \mid r))$$

b)
$$q \mid r = q \mid r + r \mid q$$
, by LP1.

We know from part a) that both $q \nmid r$ and $r \nmid q$ have nf's (of equal depth), and the result follows since the sum of two normal forms is also a normal form. Moreover, since we have assumed that q, r have nf's different from NIL, we have indeed:

$$d(q|r) = d(q) + d(r) = max\{d(q|r), d(r|q)\} = d(q|r + r|q) \quad \Box$$

The other technical result we need to show completeness is: $p \mid r \sim_d q \mid r$ implies $p \sim_d q$. We prove it together with an auxiliary statement:

Lemma 1.14 (Simplification) For any $p, q, r, r', r'' \in EL$:

- 1. $r \xrightarrow{a} \langle r', r'' \rangle$ and $p \mid r \sim_d q \mid r'' \text{ imply } q \xrightarrow{a} \langle q', q'' \rangle$ for some q', q'' such that $r' \sim_d q'$ and $r' \sim_d q'$.
- 2. $p | r \sim_d q | r$ implies $p \sim_d q$.

Proof: We prove the two statements simultaneously, by induction on the sizes of p, q.

- 1) Let $r \xrightarrow{a} \langle r', r'' \rangle$. Then $p \mid r \xrightarrow{a} \langle r', p \mid r'' \rangle$. There are two ways in which this may be matched by a move from $q \mid r''$.
 - i) $q \mid r'' \xrightarrow{a} < q', q'' \mid r''>$, because $q \xrightarrow{a} < q', q''>$.

In this case $r' \sim_d q'$ and $p \mid r'' \sim_d q'' \mid r''$. Applying induction, case 2), we obtain $p \sim_d q''$, and thus $q \xrightarrow{a} \langle q', q'' \rangle$ is the required move of $q \mid r''$.

ii) $q \mid r'' \xrightarrow{a} \langle s', q \mid s'' \rangle$, because $r \xrightarrow{a} \langle s', s'' \rangle$.

Here $r' \sim_d s'$ and $p \mid r'' \sim_d q \mid s''$. Applying induction, case 1), we obtain $q \xrightarrow{a} \langle q', q'' \rangle$, with $q' \sim_d s'$ and $p \sim_d q''$. From $r' \sim_d s'$ we deduce now the required $q' \sim_d r'$.

2) Suppose $p \xrightarrow{a} \langle p', p'' \rangle$. We must find a matching move $q \xrightarrow{a} \langle q', q'' \rangle$ such that and $p' \sim_d q'$ and $p'' \sim_d q''$.

Consider the move $p \mid r \xrightarrow{a} \langle p', p'' \mid r \rangle$. There are two ways in which this may be matched by a move from $q \mid r$.

i) $q \mid r \xrightarrow{a} \langle q', q'' \mid r \rangle$, because $q \xrightarrow{a} \langle q', q'' \rangle$.

In this case $p' \sim_d q'$ and $p'' | r \sim_d q'' | r$. Applying induction, case 2), we obtain and $p'' \sim_d q''$.

ii) $q \mid r \stackrel{a}{\longrightarrow} \langle r', q \mid r'' \rangle$, because $r \stackrel{a}{\longrightarrow} \langle r', r'' \rangle$.

In this case $p' \sim_d r'$ and $p'' \mid r \sim_d q \mid r''$. Applying induction, part 1), we obtain $q \xrightarrow{a} \langle q', q'' \rangle$, with $r' \sim_d q'$ and $p'' \sim_d q''$. From $p' \sim_d r'$ it follows that $p' \sim_d q'$.

Combining these results, we finally obtain:

Proposition 1.15 (Completeness)

If
$$p, q \in EL$$
, $p \sim_d q$ implies $p = gq$.

Proof: By induction on the depths of p,q. Suppose that $p \sim_d q$. In the light of the normalisation lemma, (and given the soundness of \mathcal{B} for \sim_d) we may assume p,q to be normal forms:

$$p = \sum_{i \in I} \mu_i p_i \not\mid p'_i, \qquad q = \sum_{i \in J} \nu_i q_i \not\mid q'_i$$

We show that $q + p =_{\mathcal{B}} q$. Then, by a symmetric argument, we have also $p + q =_{\mathcal{B}} p$, and by combining these two equalities we obtain the required result: $p =_{\mathcal{B}} p + q =_{\mathcal{B}} q$.

To prove $q + p =_{\mathcal{B}} q$, it is sufficient to show that $\forall i \in I$:

$$q + \mu_i p_i \quad \not\mid \quad p'_i =_{\mathcal{B}} q$$

Since $p \xrightarrow{a_i} \langle p_i, p_i' \rangle$ and $p \sim_d q$, there must exist $j \in J$ such that $q \xrightarrow{b_j} \langle q_j, q_j' \rangle$, with $a_i = b_j$ and $p_i \sim_d q_j$, $p_i | p_i' \sim_d q_j | q_j'$. Since \sim_d is a congruence, we can replace p_i for q_j in the latter equality, to obtain $p_i | p_i' \sim_d p_i | q_j'$. Then, by the simplification lemma, we have $p_i' \sim_d q_j'$. Now, from $p_i \sim_d q_j$, $p_i' \sim_d q_j'$, we can infer, using induction, that $p_i =_B q_j$, $p_i' =_B q_j'$. Whence by substitution and A4 we obtain:

$$q + a_i p_i \mid p'_i =_{\mathcal{B}} q + b_j q_j \mid q'_j =_{\mathcal{B}} q$$

We mentioned already that in the theory for \sim on the extended language the interleaving axiom (IN) can be replaced by the law ($\mspace{1mu}$ IN). By adding ($\mspace{1mu}$ IN) to the axioms of Figure 4, we obtain a finite axiomatisation for \sim over EL. Indeed, in the theory for \sim our operator $\mspace{1mu}$ has the same meaning as the left-merge operator of Bergstra and Klop [Be 85].

2 Unobservable actions

In this section we develop the theory of distributed bisimulations in the presence of unobservable actions. In a fully-fledged language such actions come about via internal communications between the parallel components of a process (for more details we refer the reader to [Mi 80]). However, we can start to study the effect of unobservable actions on the theory quite independently of how they occur. Moreover, since the theory of ordinary bisimulations is well-understood in this framework, at least for simple languages such as L [He 85], we shall concentrate on distributed bisimulations only.

2.1 Weak observations

Let us assume, following Milner [Mi 80], that the set A contains a distinguished symbol τ representing an internal unobservable action. That is, A is now of the form $O \cup \{\tau\}$. We shall use a,b,c to represent observable actions from O and μ,ν to range over the entire set A. As in Milner [Mi 80] we wish now to replace our observation relations $\stackrel{a}{\longrightarrow}$ by the weaker observation relations $\stackrel{a}{\Longrightarrow}$, in order to abstract to some extent from internal actions.

Informally, the meaning of a weak observation $p \stackrel{a}{\Longrightarrow} \langle q,r \rangle$ is that p can evolve internally for some time, then perform an action a and thereafter still possibly move internally to reach the state $\langle q,r \rangle$. Thus a weak observation $\stackrel{a}{\Longrightarrow}$ involves a transition $\stackrel{a}{\Longrightarrow}$ as well as a (finite) number, possibly equal to zero, of transitions $\stackrel{\tau}{\Longrightarrow}$ before and after it. For these unobservable transitions we choose here the simple form $p \stackrel{\tau}{\Longrightarrow} q$ rather than $p \stackrel{\tau}{\Longrightarrow} \langle q,r \rangle$. This means that an action τ is regarded as global, and can be localised only indirectly, if it affects the observable behaviour of the the component where it occurs. For example, the locality of the action τ will not be observable in the process $\tau p \mid q$, whereas it will be in the process $(\tau p + q) \mid r$, since here the τ can prevent the local observer of $(\tau p + q)$ from obtaining an action of q. In fact, because of the presence of internal actions, an observation $\stackrel{a}{\Longrightarrow}$ may have an effect on different components. For example, one would expect:

$$ap \mid (q + \tau r) \stackrel{a}{\Longrightarrow} \langle p, p \mid r \rangle$$

since the action τ of the component $(q+\tau r)$ may occur while the a-observation is taking place on the component ap.

Such non-local effects of observations will become more pronounced when we introduce a form of communication into our language, in the next section.

Because of the presence of these non-local effects, the formal definition of $\stackrel{a}{\Longrightarrow}$ is complicated, at least if we retain the present notation. Recall that in

 $p \implies \langle q,r \rangle$ the term q represents the local residual of the observation, whereas r represents the global residual. This global residual r must include the local one q, and it is difficult to retain the consistency in $\langle q,r \rangle$ between q and the copy of q in the global process r. Intuitively, r is obtained by placing q in a global context C[]. So an observation is in fact of the form:

$$p \stackrel{a}{\Longrightarrow} \langle q, C[q] \rangle$$

In C[q], the occurrence of [] represents the locality of the observation and q the local residual. It follows that C[q] contains all the information of the pair $\langle q, C[q] \rangle$. We may therefore formalise an observation simply by an arrow of the form:

$$p \stackrel{a}{\Longrightarrow} C[q]$$

relating a term p to an instantiated context C[q].

In order to avoid confusion, let us formally define what we mean by instantiated context. Let the set of *generalised terms* be defined by the following grammar:

$$t ::= NIL | t+t | t | t | t | t | [] | [t]$$

Note that all terms in EL are generalised terms. We will continue to use p,q etc. to range over EL, and will refer to elements of EL as terms.

The new syntactic categories we introduce are subsets of the set of generalised terms. A context is a generalised term with no occurrence of a subterm of the form [t] in it, and at most one occurrence of $[\cdot]$. Contexts will usually be denoted by $C[\cdot]$, $C'[\cdot]$, $D[\cdot]$, etc., and C[t] will be used to denote an instantiated context, i.e. the generalised term obtained by substituting t for the unique occurrence of $[\cdot]$ in $C[\cdot]$, if it exists. A process is an instantiated context of the form C[p], where p is in EL. In C[p] the occurrence of [p] indicates the locality under scrutiny. We shall use P,Q, etc. to range over processes. Note that all terms in EL are also processes.

In fact there are many processes that will never occur in our operational framework, but technically there is no need to isolate them. Also, it will sometimes be convenient to consider processes as terms in EL simply by ignoring the local information, i.e. viewing [p] as p. Formally, the term in EL corresponding to the process C[p] is denoted by C(p); it is obtained by substituting p, rather than [p], for [] in C[].

The relations $\stackrel{a}{\Longrightarrow}$ are defined in terms of the two simpler relations $\stackrel{\tau}{\longrightarrow}$ and $\stackrel{a}{\longrightarrow}$. The relation $\stackrel{\tau}{\longrightarrow}$ is defined to be the least relation over processes which satisfies the rules of Figure 5. The type of the relations $\stackrel{a}{\longrightarrow}$ is more restricted. They take elements of EL and return processes; they are defined to be the least

relations – between EL and the set of processes – which satisfy the rules in Figure 6. Combining the two kinds of arrows, $\stackrel{\tau}{\longrightarrow}$ and $\stackrel{a}{\longrightarrow}$, we get the relations $\stackrel{a}{\Longrightarrow}$, from EL to processes, which form the basis of our modified notion of equivalence:

i)
$$p \stackrel{\tau}{\Longrightarrow} q$$
 if $p \stackrel{\tau}{\longrightarrow}^+ q$

$$ii) \quad p \stackrel{\tau}{\Longrightarrow} q \qquad if \quad p \stackrel{\tau}{\longrightarrow} q$$

$$iii) \quad p \stackrel{a}{\Longrightarrow} C[p'] \quad if \quad p \stackrel{\tau}{\longrightarrow}^* q \stackrel{a}{\longrightarrow} D[q'] \stackrel{\tau}{\longrightarrow}^* C[p']$$

Here $\stackrel{\tau}{\longrightarrow}^+$ denotes the transitive closure of $\stackrel{\tau}{\longrightarrow}$ and $\stackrel{\tau}{\longrightarrow}^+$ its transitive and reflexive closure. Thus $p \stackrel{\epsilon}{\Longrightarrow} q$ means that p may evolve to q by an indeterminate number, possibly zero, of internal moves, while $p \stackrel{\tau}{\Longrightarrow} q$ means that at least one internal move is performed. Hence $p \stackrel{a}{\Longrightarrow} C[p']$ allows internal silent moves to be made during an a-observation.

Examples

1)
$$ap \mid (q + \tau r) \stackrel{a}{\Longrightarrow} [p] \mid r$$

Here the a-observation is performed on the component ap and the local residual is p. The global residual is $[p] \mid r$ because the (non-local) internal move $(q + \tau r) \xrightarrow{\tau} r$ is performed during the observation.

2)
$$(v + \tau w) \mid a(\tau p + q) \mid x + \tau y \stackrel{a}{\Longrightarrow} v \mid [p] \mid y$$

Here the local observation is " $a(\tau p + q)$ performs the action a to become p", whereas the non-local effect is to transform the global environment $(v + \tau w) \mid [] \mid x$ into $v \mid [] \mid y$.

Because of the simplicity of our language the actual form of the global environments is very simple. Let \equiv denote equality of terms modulo associativity and commutativity of |, as defined at page 10. It is then easy to prove that:

Lemma 2.1 If
$$p \stackrel{a}{\Longrightarrow} C[p']$$
, then $\exists s \in EL \ s.t. \ s \mid [] \equiv C[]$.

We can also establish a relation with the more primitive observations of the previous section. We leave to the reader the proof of the following:

Proposition 2.2 If p contains no occurrences of τ , then $p \stackrel{a}{\Longrightarrow} C[q]$ if and only if $\exists r \equiv C[q]$ s.t. $p \stackrel{a}{\Longrightarrow} \langle q, r \rangle$.

Rule
$$\tau$$
 1. $\tau: P \xrightarrow{\tau} P$

Rule τ 2. $P \xrightarrow{\tau} P'$ implies $P + Q \xrightarrow{\tau} P'$

$$Q + P \xrightarrow{\tau} P'$$
Rule τ 3. $P \xrightarrow{\tau} P'$ implies $P \mid Q \xrightarrow{\tau} P' \mid Q$

Rule
$$\tau 4$$
. $P \xrightarrow{\tau} P'$ implies $P \not\mid Q \xrightarrow{\tau} P' \mid Q$

Rule
$$\tau 5$$
. $p \xrightarrow{\tau} p'$ implies $[p] \xrightarrow{\tau} [p']$

Figure 5: τ -rules (for general processes)

 $Q + P \xrightarrow{\tau} P'$

 $Q \mid P \stackrel{\tau}{\longrightarrow} Q \mid P'$

Rule E1.
$$a: p \xrightarrow{a} [p]$$

Rule E2. $p \xrightarrow{a} C[p']$ implies $p+q \xrightarrow{a} C[p']$

$$q+p \xrightarrow{a} C[p']$$

Rule E3. $p \xrightarrow{a} C[p']$ implies $p \mid q \xrightarrow{a} C[p'] \mid q$

$$q \mid p \xrightarrow{a} q \mid C[p']$$

Figure 6: a-rules (from terms to processes)

2.2 Weak distributed bisimulations

In this section we revise the definition of distributed bisimulation, using the weak arrows $\stackrel{a}{\Longrightarrow}$ in place of $\stackrel{a}{\longrightarrow}$. The result will be a behavioural equivalence which abstracts from internal actions. In addition to the distributed observation $\stackrel{a}{\Longrightarrow}$ it is convenient to consider also observations which record the spontaneous evolution of a process after a finite but indefinite amount of time. This amounts to using $\stackrel{e}{\Longrightarrow}$ as well as $\stackrel{a}{\Longrightarrow}$ in the definition of the weak equivalence. It can be argued that this is a natural form of observation, and its presence is technically useful. Observations $\stackrel{e}{\Longrightarrow}$ are also used in the standard theory of bisimulations [Mi 80], [Mi 83]. We give next our definition of weak distributed bisimulation.

Definition 2.3 A symmetric relation $R \subseteq (EL \times EL)$ is a weak d-bisimulation if it satisfies $R \subseteq WD(R)$, where WD(R) is defined by:

$$(p,q) \in WD(R)$$
 if $\forall a \in A$:

i)
$$p \xrightarrow{\tau} p'$$
 implies $q \xrightarrow{\tau} q'$ for some q' such that $(p', q') \in R$

ii)
$$p \stackrel{a}{\Longrightarrow} C[p']$$
 implies $q \stackrel{a}{\Longrightarrow} D[q']$ for some q' such that $(p',q') \in R$ and $(C[p'],D[q']) \in R$

We write $p \approx_d q$ if $(p,q) \in R$ for some weak bisimulation. As before, it is straightforward to show that \approx_d is an equivalence relation and is the maximal fixpoint of the mapping WD. Moreover, it is a natural extension of the previous notion of d-bisimulation. The reader can easily check:

Proposition 2.4

- i) $p \sim_d q$ implies $p \approx_d q$.
- ii) if p,q contain no occurrences of τ , then $p \approx_d q$ implies $p \sim_d q$.

This new equivalence is also preserved by most of our combinators. However, as expected from the standard theory of bisimulations, it is not preserved by +; the usual counterexample works.

Example 3
$$\tau a \approx_d a$$
 but $b + \tau a \not\approx_d b + a$

We react to this inconvenience in the standard way. We take as our reference behavioural equivalence the largest Σ_2 -congruence generated by \approx_d :

$$p pprox_d^c q$$
 if for every context $C[\]:\ C[p] pprox_d\ C[q]$.

In the next section we show that this relation can be characterised equationally by adding new equations to those which characterise the simpler equivalence \sim_d . These new equations are concerned with internal actions.

However, to derive this characterisation we will need to reformulate \approx_d^c in a more usable form. This is quite straightforward as the only combinator that misbehaves is +. In fact it is easy to prove that:

Proposition 2.5 $p \approx_d^c q$ iff $a + p \approx_d a + q$ for some a not in p, q.

As an immediate consequence we have the useful property (recall that $\stackrel{\tau}{\Longrightarrow} = \stackrel{\tau}{\longrightarrow}^+$):

Property 2.6 $p \approx_d^c q$ and $p \stackrel{\tau}{\Longrightarrow} p'$ implies $q \stackrel{\tau}{\Longrightarrow} q'$ for some q' such that $p' \approx_d q'$.

The characterisation of proposition 2.5 will be extensively used in the next section. We use it now to establish a close relationship between \approx_d^c and \approx_d :

Corollary 2.7 $p \approx_d q$ if and only if one of the following holds:

- i) $p \approx_d^c q$
- ii) $p \approx_d^c \tau q$
- $iii) \quad \tau p \approx_d^c q$

Proof: The nontrivial direction is to show that $p \approx_d q$ implies one of the three alternatives i), ii), iii). We proceed by case analysis.

- 1. Suppose that $p \stackrel{\tau}{\Longrightarrow} p'$ and the corresponding move of q is $q \stackrel{\varepsilon}{\Longrightarrow} q$, with $p' \approx_d q$. In this case we have $p \approx_d^c \tau q$. In fact it is easy to check that, if $a \notin p, q$, then $p + a \approx_d \tau q + a$: corresponding to the move $p + a \stackrel{\varepsilon}{\Longrightarrow} p'$ of the first term we pick the move $\tau q + a \stackrel{\varepsilon}{\Longrightarrow} q$ of the second term.
- 2. Symmetrically, if $q \stackrel{\tau}{\Longrightarrow} q'$ and p replies with $p \stackrel{\epsilon}{\Longrightarrow} p$, we have $\tau p \approx_d^c q$.
- 3. If neither i) nor ii) holds, it follows that $p + a \approx_d q + a$, that is $p \approx_d^c q$.

The next section is devoted to the search of an axiomatisation for \approx_d^c .

2.3 Algebraic Characterisation

In this section we present a complete set of axioms for the weak behavioural equivalence \approx_d^c . First, we find that the three τ -laws of [Mi 80], [He 85] are valid for \approx_d^c :

$$(I1) x + \tau x = \tau x$$

(I3)
$$\mu(x+\tau y) + \mu y = \mu(x+\tau y)$$

These three properties can be easily proven for \approx_d^c using the characterisation in Proposition 2.5. Furthermore, we have a law:

$$\tau(x|y) = \tau x|y$$

showing an interesting interaction between τ and |.

Here again, however, to obtain a complete axiomatisation for our behavioural equivalence we need to recourse to the asymmetric operator V. So, for example, the equation (I4) will be derivable from the following two laws of V:

(NI1)
$$\tau x \mid y = \tau (x \mid y)$$

$$(NI2) x \mid y = x \mid \tau y$$

One further law is required for /, which is similar in structure to I3:

(NI3)
$$x \not\mid (y+\tau z) + x \not\mid z = x \not\mid (y+\tau z)$$

Let now C denote the set of axioms B extended with the τ -laws (I1) – (I3), (NI1) – (NI3). All these laws are grouped together in Figure 7. We have the following characterisation for \approx_d^c .

Theorem 2.8 The equivalence \approx_d^c is the Σ_2 -congruence generated by the axioms C.

The structure of the proof of this first theorem is similar to that of the corresponding theorem in section 1.3, bur the details are somewhat more complicated. We start by showing the following.

Proposition 2.9 p = c q implies $p \approx d q$

Axioms $C = B \cup \tau$ -laws

$$(A1) \quad x + (y + z) = (x + y) + z$$

$$(A2) \quad x + y = y + x$$

$$(A3) \quad x + \text{NIL} = x$$

$$(A4) \quad x + x = x$$

$$(LP1) \quad x \mid y = x \mid y + y \mid x$$

$$(LP2) \quad (x + y) \mid z = x \mid z + y \mid z$$

$$(LP3) \quad (x \mid y) \mid z = x \mid (y \mid z)$$

$$(LP4) \quad x \mid \text{NIL} = x$$

$$(LP5) \quad \text{NIL} \mid x = \text{NIL}$$

$$(I1) \quad x + \tau x = \tau x$$

$$(I2) \quad \mu \tau x = \mu x$$

 $\mu \tau x = \mu x$

 $(NI1) \tau x \mid y = \tau (x \mid y)$

 $(NI2) x / \tau y = x / y$

(I3)

 $\mu(x+\tau y)+\mu y = \mu(x+\tau y)$

 $(NI3) x | (y+\tau z) + x | z = x | (y+\tau z)$

Figure 7: Axiomatisation of \approx_d , in absence of communication.

Proof: Because of proposition 2.5, it is sufficient to check that $a + p \approx_d a + q$ for every instantiation p = q of the equations in C; as usual, a should not appear in p, q. Note that it would be difficult to check directly that \approx_d^c satisfies the equations, as we would have to consider all possible contexts.

The converse proposition, namely the completeness of the axioms, relies on a more complicated kind of normal form, which we define next.

Definition 2.10 $\Sigma \{a_i p_i \mid p_i', i \in I\} + \{\Sigma \tau p_j, j \in J\}$ is a weak normal form (wnf) whenever all p_i, p_i', p_j are wnfs.

Again, when both sums are empty we obtain the wnf NIL. We have now the following normalisation lemma:

Lemma 2.11 (Weak normalisation) For every $p \in EL$ there exists a wnf n such that p = c n.

Proof: By induction on the depth of p. As before, the only difficulty is with terms of the form $q \mid r$ and $q \mid r$. We consider here only the case of $q \mid r$, as the case $q \mid r$ will then follow by LP1.

Suppose $p = q \ / r$. If m = wnf(q) = NIL, we define n = NIL. Then, using induction and axiom LP4, we get: $p = c \ m \ / r = \text{NIL} \ / r = c \ \text{NIL} = c \ n$. Otherwise, if $m = \sum_{i \in I} a_i q_i \ / \ q'_i + \sum_{j \in J} \tau q_j \neq \text{NIL}$, we have:

We may now apply induction on each $(q_i \mid r)$, $(q_j \mid r)$ to obtain a wnf.

We also need a simplification lemma, similar to that used in section 1.3.

Lemma 2.12 (Simplification lemma) If $p, q, r \in \mathcal{P}'$:

- i) $r \stackrel{\tau}{\Longrightarrow} r'$ and $p \mid r \approx_d q \mid r'$ imply $q \stackrel{\epsilon}{\Longrightarrow} q'$, for some q' such that $p \approx_d q'$.
- ii) $r \stackrel{a}{\Longrightarrow} [r'] | r''$ and $p | r \approx_d q | r''$ imply $q \stackrel{a}{\Longrightarrow} [q'] | q''$, for some q', q'' such that $r' \approx_d q'$ and $p \approx_d q''$.
- iii) Simplification: $p | r \approx_d q | r$ implies $p \approx_d q$.

Proof: The three statements are proved simultaneously, by induction on the sum of sizes of p, q, r.

- i) Here $p \mid r \stackrel{\tau}{\Longrightarrow} p \mid r'$ and therefore $q \mid r'$ must have a matching move $q \mid r' \stackrel{\varepsilon}{\Longrightarrow} q' \mid r'' \approx_d p \mid r'$, for some q', r'' s.t. $q \stackrel{\varepsilon}{\Longrightarrow} q'$ and $r' \stackrel{\varepsilon}{\Longrightarrow} r''$. If r'' = r' we may apply induction on part iii) to get $p \approx_d q'$. Thus $q \stackrel{\varepsilon}{\Longrightarrow} q'$ is the required matching move. Otherwise we apply induction on part i) to obtain $q' \stackrel{\varepsilon}{\Longrightarrow} q'' \approx_d p$. Here the matching move is $q \stackrel{\varepsilon}{\Longrightarrow} q''$.
- ii) Here $p \mid r \stackrel{a}{\Longrightarrow} p \mid [r'] \mid r''$. Now $q \mid r''$ can match this move in two ways.
 - a) $q \mid r'' \stackrel{a}{\Longrightarrow} [q'] \mid q'' \mid r'''$ because $q \stackrel{a}{\Longrightarrow} [q'] \mid q''$ and $r'' \stackrel{\epsilon}{\Longrightarrow} r'''$, with $r' \approx_d q'$ and $r' \mid p \mid r'' \approx_d q' \mid q'' \mid r'''$. Since \approx_d is preserved by \mid , we also have $r' \mid p \mid r'' \approx_d r' \mid q'' \mid r'''$. Whence, by induction on part iii), we deduce $p \mid r'' \approx_d q'' \mid r'''$.

Now, if r''' = r'', we can apply the same induction to obtain $p \approx_d q''$. In this case $q \stackrel{a}{\Longrightarrow} [q'] | q''$ is the required move of q.

Otherwise $r'' \stackrel{\tau}{\Longrightarrow} r'''$, and we can apply induction, part *i*), to obtain $q'' \stackrel{\varepsilon}{\Longrightarrow} q''' \approx_d p$. In this case the required move of q is $q \stackrel{a}{\Longrightarrow} [q'] \mid q'''$.

- b) $q \mid r'' \stackrel{a}{\Longrightarrow} q' \mid [s'] \mid s''$ because $r'' \stackrel{a}{\Longrightarrow} [s'] \mid s''$ and $q \stackrel{e}{\Longrightarrow} q'$. Then $r' \approx_d s'$ and $r' \mid p \mid r'' \approx_d s' \mid q' \mid s''$. Again we have $r' \mid p \mid r'' \approx_d r' \mid q' \mid s''$ and we may apply induction, part iii, to obtain $p \mid r'' \approx_d q' \mid s''$. We can now finally apply induction on part ii) to get $q' \stackrel{a}{\Longrightarrow} [q''] \mid q'''$, and therefore $q \stackrel{a}{\Longrightarrow} [q''] \mid q'''$, with $r'' \approx_d q''$ and $p \approx_d q'''$.
- iii) To prove $p \approx_d q$, we show that for any move of p there exists a corresponding move of q. The converse will then follow by symmetry.
 - a) $p \stackrel{\tau}{\Longrightarrow} p'$. Then $p \mid r \stackrel{\tau}{\Longrightarrow} p' \mid r$ and $q \mid r$ must have a matching move $q \mid r \stackrel{\varepsilon}{\Longrightarrow} q' \mid r' \approx_d p' \mid r$, where $q \stackrel{\varepsilon}{\Longrightarrow} q'$ and $r \stackrel{\varepsilon}{\Longrightarrow} r'$. If r' = r we directly apply induction on part iii) to get $p' \approx_d q'$. Otherwise $r \stackrel{\tau}{\Longrightarrow} r'$, and we may apply induction on part i) to obtain $q' \stackrel{\varepsilon}{\Longrightarrow} q'' \approx_d p'$.
 - b) $p \stackrel{a}{\Longrightarrow} [p'] | p''$. Then $p | r \stackrel{a}{\Longrightarrow} [p'] | p'' | r$. Again, q | r has two ways to match this move.

b1) $q \mid r \stackrel{a}{\Longrightarrow} [q'] \mid q'' \mid r'$ because $q \stackrel{a}{\Longrightarrow} [q'] \mid q''$ and $r \stackrel{\varepsilon}{\Longrightarrow} r'$. We then have $p' \approx_d q'$ and $p' \mid p'' \mid r \approx_d q' \mid q'' \mid r'$.

If r' = r we may apply induction, part iii, to get $p' \mid p'' \approx_d q' \mid q''$. In this case $q \stackrel{a}{\Longrightarrow} [q'] \mid q''$ is the required move of q.

Otherwise $r \stackrel{\tau}{\Longrightarrow} r'$ and we may apply induction on part i) to obtain $q' \mid q'' \stackrel{\varepsilon}{\Longrightarrow} s' \mid s'' \approx_d p' \mid p''$, for some s', s'' such that $q' \stackrel{\varepsilon}{\Longrightarrow} s'$ and $q'' \stackrel{\varepsilon}{\Longrightarrow} s''$. Once more we have two cases. If s' = q' the required move of q is $q \stackrel{a}{\Longrightarrow} [q'] \mid s''$. If $q' \stackrel{\tau}{\Longrightarrow} s'$ we may once more apply induction, part i), to the equation $s' \mid s'' \approx_d p' \mid p'' \mid q' \mid p''$, and obtain $s'' \stackrel{\varepsilon}{\Longrightarrow} s''' \approx_d p''$. In this case the matching move is $q \stackrel{a}{\Longrightarrow} [q'] \mid s'''$.

b2) $q \mid r \stackrel{a}{\Longrightarrow} q' \mid [r'] \mid r''$ because $r \stackrel{a}{\Longrightarrow} [r'] \mid r''$ and $q \stackrel{\varepsilon}{\Longrightarrow} q'$. Then $p' \approx_d r'$ and $p' \mid p'' \mid r \approx_d r' \mid q' \mid r''$. Whence also $r' \mid p'' \mid r \approx_d r'$ From the latter equation and induction, part iii), we get $p'' \mid r \approx_d q' \mid r'$ Applying induction on part ii), we finally obtain $q' \stackrel{a}{\Longrightarrow} [q''] \mid q'''$, with $r' \approx_d q''$ and $p'' \approx_d q'''$. Since $p' \approx_d r'$, this is the required move of q.

The proof of our completeness result is more complicated than for \sim_d . Besides a normalisation lemma, it also requires two absorption lemmas, which show how residuals may be absorbed into terms.

Lemma 2.13 $(\tau$ -absorption lemma)

If p is a wnf and
$$p \stackrel{\tau}{\Longrightarrow} p'$$
, then $p + \tau p' =_{\mathcal{C}} p$.

Proof: The case $p \xrightarrow{\tau} q$ is trivial. The general case is proved by induction on the number of τ - actions in p. The inductive step uses axiom I1.

The second absorption lemma, which is somewhat more involved, concerns the observations $\stackrel{a}{\Longrightarrow}$.

Lemma 2.14 (Generalised absorption lemma)

If p is a wnf and
$$p \stackrel{a}{\Longrightarrow} C[p'] \equiv [p'] | r$$
, then $p + ap' | r = c$ p.

Proof: By induction on the length of the derivation $p \stackrel{a}{\Longrightarrow} C[p']$. We will work modulo the relation \equiv , so C[p'] will be rendered as $[p'] \mid r$. Let $p = \sum_{i \in I} a_i p_i \mid p'_i + \sum_{j \in J} \tau p_j$. We examine three cases.

- i) $p \xrightarrow{a} [p'] | r$. Thus there exists $i \in I$ s.t. $a = a_i$, $p' = p_i$, and $r = p'_i$. We then have our result by simple absorption A4.
- ii) $p \stackrel{a}{\Longrightarrow} [p'] \mid r$ because for some $j \in J$: $\tau p_j \stackrel{\tau}{\longrightarrow} p_j \stackrel{a}{\Longrightarrow} [p'] \mid r$. By induction $p_j + ap' \mid r = c$ p_j . Whence we deduce, using I1:

- iii) $p \stackrel{a}{\Longrightarrow} [p'] \mid r$ because $p \stackrel{a}{\Longrightarrow} [q] \mid s \stackrel{\tau}{\longrightarrow} [p'] \mid r$. By induction we have p = c $p + aq \mid s$. There are now two subcases, according to how the internal move $[q] \mid s \stackrel{\tau}{\longrightarrow} [p'] \mid r$ is made.
 - a) $q \xrightarrow{\tau} p'$ and s = r. Then q = c $q + \tau p'$ by the τ -absorption lemma. We then have, using axiom I3:

$$aq = c \ a(q + \tau p') = c \ a(q + \tau p') + ap' = c \ aq + ap'$$

Whence we deduce, using axiom LP1:

b) $s \xrightarrow{\tau} r$ and q = p'. Again we may use the τ -absorption lemma to get s = c $s + \tau r$. We may now deduce, using axiom NI3:

$$p =_{C} p + ap' \bigvee (s + \tau r)$$

$$=_{C} p + ap' \bigvee (s + \tau r) + ap' \bigvee r$$

$$=_{C} p + ap' \bigvee r$$

We are now ready to prove the completeness of the equations.

Proposition 2.15 (Completeness)

If
$$p, q \in EL$$
, $p \approx_d q$ implies $p =_C q$.

Proof: The proof is by induction on the sum of sizes of p, q. Suppose then that $p \approx_d^c q$. We may assume p, q to be normal forms:

$$p = \sum_{i \in I} a_i p_i \mid p'_i + \sum_{j \in J} \tau p_j, \qquad q = \sum_{n \in N} b_n q_n \mid q'_n + \sum_{m \in M} \tau q_m$$

We proceed with our usual method. We show that $q + p =_{\mathcal{C}} q$ and the result will follow by symmetry. We prove separately:

i)
$$q + a_i p_i \mid p'_i =_{\mathcal{C}} q \quad \forall i \in I$$

ii)
$$q + \tau p_j =_{\mathcal{C}} q \quad \forall j \in J$$

Proof of i). We have here $p \stackrel{a_i}{\Longrightarrow} [p_i] | p_i'$. Since $p \approx_d^c q$, there must exist q', r s.t. $q \stackrel{a_i}{\Longrightarrow} [q'] | r$, with $p_i \approx_d q'$ and $p_i | p_i' \approx_d q' | r$. Since \approx_d is preserved by | we have also $q' | p_i' \approx_d q' | r$, and applying the simplification lemma we get $p_i' \approx_d r$. Now corollary 2.7 gives three possible cases for $p_i \approx_d q'$ and $p_i' \approx_d r$, in each of wich we can apply induction to obtain $p_i =_C q'$ or $\tau p_i =_C q'$ or $p_i =_C \tau q'$, and $p_i' =_C r$ or $\tau p_i' =_C \tau r$. We then have:

$$a_i p_i \mid p'_i =_{\mathcal{C}} a_i q' \mid p'_i$$
 possibly using I2
$$=_{\mathcal{C}} a_i q' \mid r$$
 possibly using NI2

whence, by the generalised absorption lemma, we finally deduce:

$$q =_{\mathcal{C}} q + a_i q' \not\mid r =_{\mathcal{C}} q + a_i p_i \not\mid p_i'$$

Proof of ii). Here $p \approx_d^c q$ and $p \xrightarrow{\tau} p_j$ imply $q \xrightarrow{\tau} r$ for some r s.t. p_j $wdb \ r$. Using corollary 2.7 and induction as before, we obtain $p_j =_C r$ or $\tau p_j =_C r$ or $p_j =_C \tau r$. Then, prefixing both terms by τ and using (possibly) I2, we have $\tau p_j =_C \tau r$. We may now use the τ -absorption lemma to get: $q =_C q + \tau r =_C q + \tau p_j$.

3 Communication

In this section we reinterpret the parallel operator | in order to allow communication between its components. This is a very straightforward extension, but we still lack an equational characterisation for the corresponding distributed bisimulation.

3.1 Communication as mutual observation

We adopt Milner's model of communication [Mi 80], which has by now become fairly standard. We shall just recall the essential features here, referring the reader to [Mi 80] for more details. We assume our set of observable actions O to be of the form $\Lambda \cup \bar{\Lambda}$, where Λ is a given set of observation or action names and Λ is the set of their formal complements, $\bar{\Lambda} = \{\bar{a} \mid a \in \Lambda\}$. For convenience we also say that a is the complement of \bar{a} , i.e. $\bar{a} = a$, for any $a \in O$.

In this setting communication is defined to be the simultaneous occurrence of two complementary actions. So a communication occurs in the process $p \mid q$ if p performs some action a and q simultaneously performs its complement \bar{a} . In other words, communication is mutual observation between two parallel processes. Any communication is treated as an *internal* action and is therefore denoted by τ .

Let us explain how we introduce this kind of communication in our language. We want to define weak transitions $\stackrel{a}{\Longrightarrow}$ where communications are absorbed both before and after a transition $\stackrel{a}{\Longrightarrow}$; since the result of a transition $\stackrel{a}{\Longrightarrow}$ is a process of the form C[p], we need a communication rule for processes as well as for terms in EL. In order to obtain this, we first extend the transitions $\stackrel{a}{\Longrightarrow}$ to general processes.

Moreover, since τ -actions are taken to be global, a communication transition will be inferred from "global" transitions $\stackrel{a}{\longrightarrow}$ (i.e. transitions that do not introduce a locality in the residual). We shall denote these global transitions for processes by $\stackrel{a}{\longmapsto}$, and the resulting τ - transitions by $\stackrel{\tau}{\longmapsto}$. The relations $\stackrel{\mu}{\longmapsto}$, relating processes to processes, are defined in Figure 8. For terms in EL, the transitions $\stackrel{a}{\longmapsto}$ correspond precisely to the distributed observations $\stackrel{a}{\Longrightarrow}$ if we ignore the locality in the residual. For processes of the form C[p], the global transitions $\stackrel{\mu}{\longmapsto}$ preserve the existing locality, without ever introducing a new one.

Based on the arrows $\stackrel{a}{\longmapsto}$, we may now finally define our communication rule for processes (and terms).

Rule F5.
$$P \stackrel{a}{\longmapsto} P', Q \stackrel{a}{\longmapsto} Q'$$
 imply $P | Q \stackrel{\tau}{\longmapsto} P' | Q'$

The global transitions $\stackrel{a}{\longmapsto}$ are only used to derive communications. The resulting transitions $\stackrel{\tau}{\longmapsto}$ are then used, together with the distributed transitions $\stackrel{a}{\Longrightarrow}$, to define the weak relations $\stackrel{a}{\Longrightarrow}$ (and $\stackrel{\tau}{\Longrightarrow}$):

$$i) \quad p \stackrel{\tau}{\Longrightarrow} q \qquad if \quad p \stackrel{\tau}{\longmapsto}^+ q$$

$$ii) \quad p \stackrel{\varepsilon}{\Longrightarrow} q \qquad if \quad p \stackrel{\tau}{\longmapsto} q$$

$$iii) \quad p \stackrel{a}{\Longrightarrow} C[p'] \quad if \quad p \stackrel{\tau}{\longmapsto} q \stackrel{a}{\longrightarrow} D[q'] \stackrel{\tau}{\longmapsto} C[p']$$

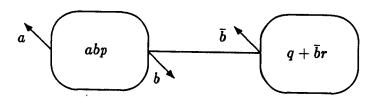
Example 4

$$abp \mid (q + \overline{b}r) \stackrel{a}{\longmapsto} [p] \mid r$$
 (*)

because
$$abp \mid (q + \bar{b}r) \xrightarrow{a} [bp] \mid (q + \bar{b}r)$$
 (**)

and
$$[bp] \xrightarrow{b} [p], (q + \bar{b}r) \xrightarrow{\bar{b}} r$$
, whence $[bp] \mid (q + \bar{b}r) \xrightarrow{\tau} [p] \mid r$.

The latter transition, combined with (**), gives (*). Graphically we have the situation:



Here the locality of the a-observation is linked to another component of the system, and the act of observing the action a via a weak observation $\stackrel{a}{\Longrightarrow}$ effects a modification of this remote component. One may easily design examples where a local observation effects a long chain of modifications in a collection of increasingly remote components.

We can now use our new observations to define a weak distributed bisimulation, exactly as we did in the previous section. We still use \approx_d to denote the resulting equivalence. A typical identification under \approx_d will be:

Example 5 Let
$$p = (aq + r) | (\bar{a}q' + r')$$
. Then: $p \approx_d p + \tau (q | q')$.

This example merely emphasises the fact that τ is synonymous with communication.

Rule F1.
$$\mu: P \xrightarrow{\mu} P$$

Rule F2. $P \xrightarrow{\mu} P'$ implies $P + Q \xrightarrow{\mu} P'$

$$Q + P \xrightarrow{\mu} P'$$

Rule F3.
$$P \stackrel{\mu}{\longmapsto} P'$$
 implies $P \mid Q \stackrel{\mu}{\longmapsto} P' \mid Q$
$$Q \mid P \stackrel{\mu}{\longmapsto} Q \mid P'$$

$$P \mid Q \stackrel{\mu}{\longmapsto} P' \mid Q$$

Rule F4.
$$p \stackrel{\mu}{\longmapsto} p'$$
 implies $[p] \stackrel{\mu}{\longmapsto} [p']$

Rule F5.
$$P \stackrel{a}{\longmapsto} P', \ Q \stackrel{a}{\longmapsto} Q' \quad \text{imply} \quad P | Q \stackrel{\tau}{\longmapsto} P' | Q'$$

Figure 8: Global transitions (for general processes)

 $Q + P \stackrel{\mu}{\longmapsto} P'$

$$(LP1') x | y = x | y + y | x + x |_{c} y$$

$$(CP1)$$
 $x|_{c}(y+z) = (x|_{c}y) + (x|_{c}z)$

$$(CP2) x |_c y = y |_c x$$

$$(CP3)$$
 $x|_c NIL = NIL$

$$(CP4) \qquad (\mu x \not\mid x') \mid_{c} (\nu y \not\mid y') \ = \ \begin{cases} \tau \left(x \mid y\right) \not\mid \left(x' \mid y'\right), & \text{if } \mu = \bar{\nu} \\ \\ \text{NIL}, & \text{otherwise} \end{cases}$$

$$(CP5) \qquad a\left(x \mid y\right) \ \ \bigvee \ \left(x' \mid y'\right) \quad \sqsubseteq \quad a\left(cx \mid x'+v\right) \ \ \bigvee \ \left(\overline{c}y \mid y'+w\right)$$

Figure 9: Communication axioms for $\approx \frac{c}{d}$.

As in the previous section, the equivalence \approx_d is not preserved by the operator + and, as usual, we take as our behavioural relation its closure w.r.t. contexts \approx_d^c . In the next section we discuss a possible equational characterisation of \approx_d^c in this new setting.

3.2 Towards an algebraic characterisation

As noted already, the relation \approx_d^c is difficult to manipulate; we proceed here to define a more amenable alternative, as we did in the previous section. We state without proof:

Proposition 3.1 $p \approx_d^c q$ iff $a + p \approx_d a + q$ for some a not in p, q.

One virtue of this reformulation of \approx_d^c is that we can check fairly easily if it satisfies equations. One interesting new equation which it does satisfy is:

(C1)
$$(ax + y) | (\bar{a}x' + y') = (ax + y) | (\bar{a}x' + y') + \tau(x | x')$$

The phenomenon underlying this equation has already been discussed in example 5. A similar absorption equation is:

$$abx | \bar{b}y = abx | \bar{b}y + ax | y$$

Although (C2) involves the operator /, there are instances of this phenomenon in the original CCS language:

$$abx | \bar{b}y = abx | \bar{b}y + ax$$

Unfortunately, these equations cannot be used as the basis of a complete axiomatisation. As usual, the key for the axiomatisation lies with \(\).

Recall that in section 3.1 we did not introduce an observation rule corresponding to Rule F5 for V: according to our definition, processes may not communicate across V. For this reason, the law LP1:

$$x \mid y = x \mid y + y \mid x$$

is no longer valid. One way to solve this problem would be to include | in Rule F5. However, the crucial axiom LP3 would then be invalidated. Instead we outline a simple approach; we introduce a new operator | (analogue to that used in [Be 85]), which enforces communication between its components. The operational semantics of | is specified by the unique rule:

Rule
$$\tau$$
7. $P \stackrel{a}{\longmapsto} P'$, $Q \stackrel{a}{\longmapsto} Q'$ imply $P \mid_{c} Q \stackrel{\tau}{\longmapsto} P' \mid Q'$

So $P|_cQ$ can only perform communications, that is τ -moves. In this extended language LP1 is replaced by:

$$(LP1') x | y = x | y + y | x + x |_{\alpha} y$$

Axiomatising the properties of |c| is straightforward because of its simplicity. The operator distributes over +:

$$(CP1) x|_c (y+z) = x|_c y + x|_c z$$

and it only allows communications between its arguments:

$$\mu x \mid_{c} \nu y = \begin{cases} \tau(x \mid y), & \text{if } \mu = \overline{\nu} \\ \text{NIL}, & \text{otherwise} \end{cases}$$

As can be seen from these equations, there is a close analogy between our combinator $|_c$ and the "communication merge" of [Be 85]. Note also that the law (C1) above is now a derived equation.

We would like to show that the new set of equations is complete, but the proof eludes us (precisely we have not been able to generalise the simplification lemma); this remains an open problem. However a proof of completeness of the axioms $\mathcal{C}[LP1'/LP1] \cup CP1 - CP5$ for a slightly different formulation of our semantics may be found in [Ca 87].

4 Conclusion

We have provided a new "non-interleaving" semantics for simple CCS-like languages. This semantics is based on a minor extension of the well-known idea of bisimulation; the extension takes into account some information on the distributed nature of processes. The result is a semantic theory that takes concurrency into account and which can be completely axiomatized, at least for simple languages. The major omission in this respect is a treatment of hiding or restriction of channels. In an interleaving semantics, such as the standard bisimulation theory, this presents no problem although the introduction of hiding into the language increases its expressiveness considerably. It enables one to abstract from internal details of a process. However, considerable thought is required before we can extend our ideas of local and global observations to distributed systems where the behaviour of individual components can be influenced by remote components which are linked by invisible channels. The basic problem is to decide how to continue the isolated observations of such local components and how to formalise this decision as a modification of our notion of distributed bisimulation. At least we hope that the present paper convinces the reader that this line of research is worth pursuing. When the theory is extended to a more expressive language such as the whole "pure CCS", the new operator $/\!\!/$ will be seen to be more reasonable. One would expect then $ax/\!\!/y$ to be equivalent to the CCS expression $(a\alpha x | \bar{\alpha}y) \setminus \alpha$.

There have been other attempts at providing non-interleaving semantics for CCS. For example in [Go 84] CCS terms are interpreted as Petri nets. However, Petri nets are a rather concrete operational model of computation, whose algebraic nature is not very well understood. Moreover, their semantics makes distinctions which are difficult to justify intuitively. For example the terms a NIL and (a NIL + a NIL) are treated differently. Similar remarks apply to translations of CCS into event structures. Such translations are useful for comparing disparate models of computation, but these structures are too concrete to provide an abstract behavioural view of processes. It may be, however, that behavioural equivalences can be defined directly on event structures, which could then be inherited directly by CCS. See [Bo 87, Gl 87, Ca 88] for work in this direction.

Another proposal for a non-interleaving semantics of CCS may be found in [De 85] and other papers by the same authors. Although the starting idea of their semantics is very similar to ours (keep to atomic transitions but identify the component which moves at each step), they do not use it to directly define an equivalence relation, as we do, but rather to build partially ordered computations for processes.

More recently, labelled partial orders have been used as semantic domains for algebraic concurrent languages, see [Pr 86, Sh 85, Bo 87], and in this setting there have been a number of generalisations of bisimulation equivalence. The essential idea is to generalise the simple experiment $p \stackrel{a}{\longrightarrow} q$ to $p \stackrel{o}{\longrightarrow} q$, where o is a labelled partial order giving considerable detail of the computation from p to q. This form of experiment is used in [Bo 87, De 85, Gl 87] to define new variants of observational equivalence. The only complete axiomatisation may be found in [Bo 87], and it is known that their equivalence is coarser than distributed bisimulation.

A different generalisation of observational equivalence is given in [He 87]. Here actions are assumed to be non-atomic, having a distinct beginning and end. The resulting equivalence on finite CCS terms with communication and τ -actions is axiomatised. It is different from distributed bisimulation because it does not satisfy the law:

(I3)
$$\mu(x + \tau y) + \mu y = \mu(x + \tau y)$$

Finally, we should mention some attempts at generalising equivalences other than bisimulation to take concurrency into consideration. In [Ac 86] the testing equivalence of [DN 84] is extended to event structures, and in [Ta 87] an extension of failure semantics for CSP is presented.

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