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# Rapports de Recherche

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## *Programme 2*

*Calcul symbolique, Programmation  
et Génie logiciel*

## A NEW TIMED PETRI NET MODEL FOR LOOP SCHEDULING WITH RESOURCE CONSTRAINTS

Jian Wang  
Christine Eisenbeis

Décembre 1992

A NEW TIMED PETRI NET MODEL FOR  
LOOP SCHEDULING WITH RESOURCE  
CONSTRAINTS \*

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ORDONNANCEMENT DE BOUCLES AVEC  
CONSTRAINTES DE RESSOURCES: UNE  
NOUVELLE MODÉLISATION PAR RÉSEAU  
DE PETRI TEMPORISÉ

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## **Abstract**

This report uses timed Petri net to model and analyze the problem of instruction-level loop scheduling with resource constraints, which has been proven to be an NP complete problem. First, we present a new timed Petri net model to integrate loop scheduling, functional unit allocation, register allocation and spilling into a unified theoretical framework. Secondly, we theoretically discuss the schedulability of our model. Thirdly, we develop a state subgraph, called Register Allocation Solution Graph, which can effectively describe the major behavior of our new model. The main property of this state subgraph is that the number of all its nodes is polynomial. Finally we present a timed Petri net based loop scheduling approach with polynomial computation complexity, by which the optimum loop schedules can be found under resource constraints for almost all practical loop programs.

## **Résumé**

Dans ce rapport, on utilise un réseau de Petri temporisé pour modéliser et analyser le problème de l'ordonnancement des boucles à bas niveau, avec contraintes de ressources, problème reconnu NP-complet. D'abord, nous construisons la modélisation par réseau de Petri, qui intègre à la fois l'ordonnancement des instructions, l'allocation des unités fonctionnelles, l'allocation de registres et le spilling en mémoire. Nous discutons de la réalisabilité d'un ordonnancement basé sur ce modèle. Puis nous proposons un sous-graphe des états, appelé Graphe de Résolution de l'Allocation de Registres, qui décrit des solutions dominantes de notre problème, et dont la propriété principale est que le nombre de ses nœuds est polynomial. Enfin, sur cette base, nous présentons une approche à l'ordonnancement de boucles, de complexité polynomiale, qui permet de trouver les ordonnancements optimaux sous contraintes de ressources, sous des conditions vérifiées par la plupart des boucles dans les programmes.

# 1 Introduction

It is now widely thought that future high performance computer architectures will not only profit from (coarse grain) parallelism between processors, but also from improvement of one single processor, mainly due to two kinds of (fine grain) parallelism: pipelining and duplication of functional units (pipelined, VLIW, superscalar processors). Compilers are therefore expected to be able to take advantage of offered parallelism, by extracting and exploiting instruction level parallelism as much as possible. Loop optimization is a major challenge in this domain, since loops represent the most consuming execution time in scientific codes, among which scientific problems identified as the “Grand Challenges” of next years.

Fine grain parallelism optimization can be modelled as a scheduling problem with resource constraints, known as an NP-complete problem. That is why much of the work in compiler optimization has focused to development and evaluation of empirical heuristics, often targeted to specific architectures. However, loop scheduling is a subproblem of general scheduling problem, where the criteria to optimize is the throughput of iterations per unit time since the number of iterations is often supposed to be great enough. In this context, some polynomial cases have been theoretically identified, and heuristics precisely evaluated. But this could be done only at the price of simplifications (resource constraints not taken in consideration, register allocation treated apart of operations scheduling).

In this report, we present the first model, based on a Timed Petri Net (TPN), of whole loop scheduling problem. Not only the problems of functional units and register allocation are addressed, but also the possibility of spilling variables into memory, when no more register is available. To our knowledge, this is the first Petri net model of spilling.

More, we show that solutions to loop throughput optimization problem can be characterized by their effect on only a subset of places. Therefore, performance analysis is done through a subgraph of the state graph (called RASG for Register Allocation State Graph). This permits to derive the following important result: for a subclass of loops, verifying a certain condition (to be described in section 4, in fact, most loops verify this condition) and a given architecture (fixed number of registers), the problem of loop optimization with spilling is polynomial.

Our report is organized as follows. In section 2, we review previous works in loop optimization, and especially previous models by timed Petri nets. Section 3 describes the construction of our timed Petri net model from loop optimization specifications and focuses on spilling model. In section 4 we theoretically discuss the schedulability of our new model. In section 5, we explain how to build the Register Allocation State Graph, and we describe how to use it for finding an optimal loop schedule in presence of resources constraints. In section 6 conditions on loops for polynomiality are discussed and loop transformations are proposed for achieving these conditions. We conclude this report in section 7.

## 2 Background

Since loops constitute the most consuming part of practical programs, their optimization is a crucial key in code optimization. Due to the increasing low-level parallelism offered by modern computer architectures, optimizing operation scheduling within one iteration is often not sufficient and operations from different iterations are required to run in parallel.

The problem can be stated as follows: we consider a set of operations (the loop body) to be run on a processor. Processor architecture is given by its functional units (memory access, integer unit, floating point unit, ...) and register files. The optimization problem is to find a scheduling of operations such that

**Semantic Constraints** The order of operations is such that data dependencies are verified. Data dependencies are given under the form of a Loop Data Dependence Graph (LDDG) where the nodes are the operations and an edge between two nodes means that a data dependence exists between two instantiations of this operation.

**Resource Constraints** Two operations sharing the same functional unit can not be issued at the same time and two intermediate values simultaneously alive can not be allocated in the same register. Actually, [Eis89] proves that, modulo a possible loop unwinding, the latter constraint amounts to: at each time, there are no more simultaneously alive variables than the number of available registers.

**Optimization criteria** The loop throughput is maximum: loop throughput is defined as the average number of operations performed per time unit.

Beside simple techniques such as loop unrolling in view of increasing the number of operations in one single iteration, more sophisticated optimization techniques are based on a rewriting of the loop, consisting of operations from different iterations. The generic term for such a transformation is “software pipelining”. Methods for software pipelining are based either on the determination of a repetitive pattern by unwinding the loop iteration by iteration and scheduling the code [Aik87], or the direct construction of the pattern that forms the body of transformed loop [Cha81,Tou84,Eis88,Lam88,Bod89,Su91]. In this context, “resource constraints” refers only to processors or functional units allocation. The register allocation problem is treated apart or not addressed.

### 2.1 Instruction-Level Loop Scheduling with General Resource Constraints

In presence of general resource constraints, loop low level code optimization consists in determining at compile time not only operations scheduling, but also resource allocation (especially register allocation). These problems are closely related since, for instance, allocating the same register to two different variables makes the simultaneous execution of corresponding operations impossible.

Up to now, operation scheduling and register allocation were treated apart, and only the problem of performing register allocation before or after scheduling was raised. Most authors conclude that register allocation should be done after scheduling, see [Bra91], whose studies are done in the context of linear code (basic blocks scheduling). Same conclusion appears from experiments in loop code scheduling [Lam88,Eis89]. But the question of what to do when there are not enough registers available remains: spilling operations must be introduced, but they may disturb and damage the schedule. In that case, [Lam88] gives up software pipelined code and returns to simple loop iteration scheduling. [Eis89] uses a heuristic method to spill variables into local memory (which can be accessed in parallel with other functional units). Therefore previous work presents no global view about whole problem of loop scheduling and register allocation, by taking spilling in consideration.

## 2.2 The Existing TPN Models for Loop Scheduling

Two previous works [Han87,Gao91] have already considered a Petri Net model of loop scheduling in presence of resource constraints. In these models, loop operations are modelled by transitions, whereas resources are modelled by places. Edges represent either dependence constraints or use/releasing of resources.

Main contribution of [Han87] is a very precise model of functional units, by considering in details their working. For instance, every stage of a pipeline unit is considered as a resource and tasks running on these units are splited into subtasks. This model permits to take into account also complex architectures such as microprogrammed processors. Duplicated functional units and more than one register file can be modelled, but only if they can be interchanged. Else the choice of unit for running an operation can not be modelled and must be specified. One minor drawback of this model is that simultaneous reading/writing into a register can not be specified. Another important restriction is the hypothesis of “non-re-entrance” tasks, i.e. two successive iterations of the same operation can not overlap. This explains why pipelines can not be modelled by considering one single transition. The complexity of resulting State Graph is exponential in the number of input tasks of the loop body.

Unlike Hanen’s work, [Gao91] considers more simple architectures, mainly data flow architectures, i.e. essentially pipelined operations and buffering of intermediate results. In their first model [Gao91], they consider the problem without resource constraints, find that a periodic behavior is observed under the rule that a transition is fired as early as possible and give polynomial bounds for this periodic behavior to occur (for transitions on the critical cycles). By exploiting this model further [Gao92], they establish that minimizing the amount of storage required for achieving optimal rate for loops is a polynomial problem for loops without loop carried dependencies. The problem of what to do when there is not enough registers in the architecture remains.

Our work is a generalization of latter work, first because we are able to model the spilling process of variables and therefore we obtain the optimal loop rate for the given architecture, second because our model can handle a larger class of loops (the restriction given in section 4 is verified by most practical programs).

## 2.3 Some Useful Notations on Petri Net and Timed Petri Net

This subsection gives some notations on Petri net [Com71,Pet81,Rei85] and timed Petri net [Car84,Car88] which will be used throughout this paper.

**Definition 2.1** A Petri net is a five-tuple  $(P, T, A, W, M)$ , where  $P$  is a non-empty set of places,  $T$  is a non-empty set of transitions and  $A$  is a non-empty set of directed arcs from transitions to places or from places to transitions.  $W$ , called as weight, is a function from  $A$  to the non-negative integers  $N$ ,  $W : A \rightarrow N$ .  $M$ , called as marking, is a function from  $P$  to  $N$ ,  $M : P \rightarrow N$ . We can use a graph to represent a Petri net in which  $P, T$  and  $A$  are pictorially represented by circles, bars and directed arcs, respectively. We also denote

$$\begin{aligned} I(p) &= \{t \mid (t, p) \in A\}, \forall p \in P; \\ O(p) &= \{t \mid (p, t) \in A\}, \forall p \in P; \\ I(t) &= \{p \mid (p, t) \in A\}, \forall t \in T; \\ O(t) &= \{p \mid (t, p) \in A\}, \forall t \in T; \end{aligned}$$

where  $(t, p)$  denotes the directed arc from  $t$  to  $p$  while  $(p, t)$  the directed arc from  $p$  to  $t$ .

**Definition 2.2** A transition  $t_j \in T$  in a Petri net  $(P, T, A, W, M)$  is enabled if for all  $p_i \in P$

$$M(p_i) \geq \#(p_i, I(t_j))$$

where

$$\#(p_i, I(t_j)) = \begin{cases} W((p_i, t_j)) & \text{if } p_i \in I(t_j) \\ 0 & \text{if } p_i \notin I(t_j) \end{cases}$$

**Definition 2.3** A transition  $t_j$  in a Petri net  $(P, T, A, W, M)$  may fire whenever it is enabled. Firing an enabled transition  $t_j$  results in a new marking  $M'$  defined by

$$M'(p_i) = M(p_i) - \#(p_i, I(t_j)) + \#(p_i, O(t_j))$$

for all  $p_i \in P$ , where

$$\#(p_i, O(t_j)) = \begin{cases} W((t_j, p_i)) & \text{if } p_i \in O(t_j) \\ 0 & \text{if } p_i \notin O(t_j) \end{cases}$$

**Definition 2.4** [Rei85] Let  $PN$  be a Petri net,  $PN = (P, T, A, W, M)$ , and let  $P_s \subseteq P$ .

- (1)  $P_s$  is called a deadlock if and only if  $\#(P_s, I(t_j)) \geq \#(P_s, O(t_j))$  for every  $t_j \in T$ .
- (2)  $P_s$  is called a trap if and only if  $\#(P_s, I(t_j)) \leq \#(P_s, O(t_j))$  for every  $t_j \in T$ .
- (3)  $P_s$  is called a deadlock&trap if and only if  $\#(P_s, I(t_j)) = \#(P_s, O(t_j))$  for every  $t_j \in T$ . where

$$\#(P_s, I(t_j)) = \sum_{\forall p_i \in P_s} \#(p_i, I(t_j)), \quad \#(P_s, O(t_j)) = \sum_{\forall p_i \in P_s} \#(p_i, O(t_j))$$



**Definition 2.5** A Petri net  $(P, T, A, W, M)$  is a marked graph if and only if  $\forall p_i \in P, |I(p_i)| = |O(p_i)| = 1$ .

**Definition 2.6** A timed Petri net is a six-tuple  $(P, T, A, W, M, D)$ , where  $(P, T, A, W, M)$  is a Petri net and  $D$  is a function from the set of transitions to the nonnegative integers  $N$ ,  $D : T \rightarrow N$ .  $D(t)$  denotes the execution time (or the firing time) taken by transition  $t$ .

**Definition 2.7** Let  $M_u$  denote the marking at time  $u$ , at time  $u$ , firing an enabled transition  $t_j$  results in a new marking  $M'_{u+D(t_j)}$  at time  $(u + D(t_j))$  and a new marking  $M'_u$  at time  $u$ , these two marking are defined by

$$M'_u(p_i) = M_u(p_i) - \#(p_i, I(t_j))$$

$$M'_{u+D(t_j)}(p_i) = M'_u(p_i) + \#(p_i, O(t_j))$$

for all  $p_i \in P$ .

**Theorem 2.1** If  $P_s$  is a deadlock&trap then, for any marking  $M$  and any firable sequence of transitions, the number of tokens in  $P_s$  is not changed.

**Theorem 2.2** A marking is live for a marked graph,  $MG$ , if and only if the number of tokens of each simple cycle in  $MG$  is positive.

**Theorem 2.3** For a marked graph, a marking which is live remains live after firing.

### 3 A Modified TPN Model for Instruction-Level Loop Scheduling with Resource Constraints

In this section we modify Carrier's timed Petri net [Car84, Car88] to model instruction-level loop scheduling with resource constraints, in which loop scheduling, functional unit allocation, register allocation and spilling can be integrated into a unified theoretical framework.

The existing TPN models for loop scheduling [Han87, Gao91] are directly based upon Carrier's timed Petri net, in which time is introduced by such a manner that an execution time  $d(t_i)$  is associated with transition  $t_i$  and the tokens are added to each output place of  $t_i$  only after  $t_i$  finishes its execution. However, this manner cannot reflect the fact in practical computers that a data can be read from a register and a new data can be written into the same register in one machine cycle and, also, different pipelined operations may exist in an instruction.

Hence, as the first step, we modify Carrier's timed Petri net and present General Modified timed Petri net (denoted as GMTPN) in which a time is put on each arc between place and transition, instead of on each transition.

**Definition 3.1** A GMTPN is a six-tuple  $(P, T, A, W, M, D)$ , where  $(P, T, A, W, M)$  is a Petri net and  $D$  is a function from  $A$  to the nonnegative integers  $N, D : A \rightarrow N$ .

**Definition 3.2** Let  $M_u$  denotes the marking of a GMTPN at time  $u$ . At time  $u$ , firing an enabled transition  $t_j$  results in the following new markings:

for all  $p_i \in P$

if  $p_i \notin I(t_j) \cap O(t_j)$

$$M'_{u+D((p_i, t_j))}(p_i) = M_u(p_i) - \#(p_i, I(t_j))$$

$$M'_{u+D((t_j, p_i))}(p_i) = M_u(p_i) + \#(p_i, O(t_j))$$

if  $p_i \in I(t_j) \cap O(t_j)$  and  $D((p_i, t_j)) < D((t_j, p_i))$

$$M'_{u+D((p_i, t_j))}(p_i) = M_u(p_i) - \#(p_i, I(t_j))$$

$$M'_{u+D((t_j, p_i))}(p_i) = M'_{u+D((p_i, t_j))}(p_i) + \#(p_i, O(t_j))$$

if  $p_i \in I(t_j) \cap O(t_j)$  and  $D((p_i, t_j)) \geq D((t_j, p_i))$

$$M'_{u+D((t_j, p_i))}(p_i) = M_u(p_i) + \#(p_i, O(t_j))$$

$$M'_{u+D((p_i, t_j))}(p_i) = M'_{u+D((t_j, p_i))}(p_i) - \#(p_i, I(t_j))$$

We will point out that our modified TPN model is a subclass of GMTPN.

Next we notice that, in the existing TPN models for loop scheduling, register spilling has not been modelled which is a key of instruction-level loop scheduling with resource constraints. The model in [Gao91] doesn't consider register allocation and spilling, and the one in [Han87] models register allocation but no register spilling. In order to model register allocation and register spilling in a unified way, we introduce a variable place for each variable needed to be allocated in registers and the number of tokens in a variable place denotes the number of registers allocated to its corresponding variable. We also introduce store-spill transition from variable place to register place and load-spill transition from register place to variable place so the spilling can be modeled.

Now we can define our modified TPN (denoted as MTPN) model for instruction-level loop scheduling with resource constraints.

**Definition 3.3** MTPN is a subclass of GMTPN. In terms of the LDDG of the given loop program and the given computer architecture, MTPN can be constructed by the following steps. For each operation  $op$  of LDDG, let  $Def(op)$  and  $Use(op)$  be the sets of variables defined and referenced by  $op$ , respectively.

1. Construct a marked graph from LDDG: For each operation of LDDG, build a transition

(called operation transition); for each edge  $e = (op_i, op_j)$  of LDDG, build a place (called operation place)  $p$  and two arcs, from the operation transition corresponding to  $op_i$  (denoted as  $t(op_i)$ ) to  $p$  and from  $p$  to  $t(op_j)$ . Let  $P_o$  represent the set of operation places,  $T_o$  the set of operation transitions and  $A_o$  the set of arcs.

2. Build register place and variable places: (1) Build a place (called register place) denoted as  $p_r$ ; (2) for each variable  $x$  defined by operation of LDDG, build a place (called variable place)  $p_v(x)$ ; (3) if operation  $op$  defined variables, then build an arc from  $p_r$  to  $t(op)$ ; (4) if  $op$  defines  $x$  then build an arc from  $t(op)$  to  $p_v(x)$ ; (5) for each operation  $op$  referencing  $x$ , build an arc from  $p_v(x)$  to  $t(op)$ . Let  $P_v$  represent the set of variable places.

3. Build releasing places and releasing transitions: (1) For each variable place  $p_v(x)$  corresponding to variable  $x$ , build a transition (called releasing transition)  $t_{rl}(x)$  and two arcs, from  $p_v(x)$  to  $t_{rl}(x)$  and from  $t_{rl}(x)$  to  $p_r$ ; (2) for each operation  $op$  referencing  $x$ , build a place (called releasing place)  $p_{rl}(x, op)$  and two arcs, from  $t(op)$  to  $p_{rl}(x, op)$  and from  $p_{rl}(x, op)$  to  $t_{rl}(x)$ . Let  $P_{rl}$  represent the set of releasing places and  $T_{rl}$  the set of releasing transitions.

4. Build spilling transitions: For each variable place  $p_v(x)$ , build two transitions (called spilling transition), store-spilling(x) and load-spilling(x) (denoted as  $t_{ss}(x)$  and  $t_{ls}(x)$ , respectively), and build four arcs, from  $p_v(x)$  to  $t_{ss}(x)$ , from  $t_{ss}(x)$  to  $p_r$ , from  $p_r$  to  $t_{ls}(x)$  and from  $t_{ls}(x)$  to  $p_v(x)$ , respectively. Let  $T_{s-l}$  represent the set of spilling transitions.

5. Build functional unit places: For each functional unit  $fu$ , build a place (called functional unit place),  $p_s(fu)$ ; for each operation  $op$  using  $fu$ , build two arcs, from  $p_s(fu)$  to  $t(op)$  and from  $t(op)$  to  $p_s(fu)$ . Let  $P_s$  represent the set of functional unit places.

6. The definition of weight: For each arc  $e$  from  $p_r$  to  $t(op)$ ,  $W(e) = |Def(op)|$ ; for each arc  $e$  from variable place to operation transition,  $W(e) = 0$ ; for other arc  $e$ ,  $W(e) = 1$ .

7. The definition of time: (1) For each arc  $e$  from operation transition  $t(op)$  to operation place,  $D(e) = \delta(e')$ ,  $e'$  is the edge of LDDG corresponding to the operation place; (2) for each arc  $e$  from load-spill transition to variable place,  $D(e) =$  the execution time of load operation; (3) for each arc  $e$  from operation transition  $t(op)$  to functional unit place  $p_s(fu)$ , if  $fu$  is pipelined unit, then  $D(e) = 1$ ; else  $D(e) =$  the execution time of  $op$ ; (4) for other arc  $e$ ,  $D(e) = 0$ .

Provided the loop program and the computer architecture are given, the initial marking of the MTPN can be defined as follows.

**Definition 3.4** Let  $M_0$  be the initial marking of the MTPN, then

- (1)  $M_0(p_r) =$  the number of registers;
- (2)  $M_0(p_i) = 0, \forall p_i \in P_{rl} \cup P_v$ ;
- (3)  $M_0(p_i) = \lambda(I(p_i), O(p_i)), \forall p_i \in P_o$ ;
- (4)  $M_0(p_j) =$ the number of functional units corresponding to  $p_j, \forall p_j \in P_s$ .

Fig.3.1 gives an example of MTPN in which the LDDG of a loop is given in Fig.3.1(a) and we assume that there are two functional units and three registers in the computer architecture.

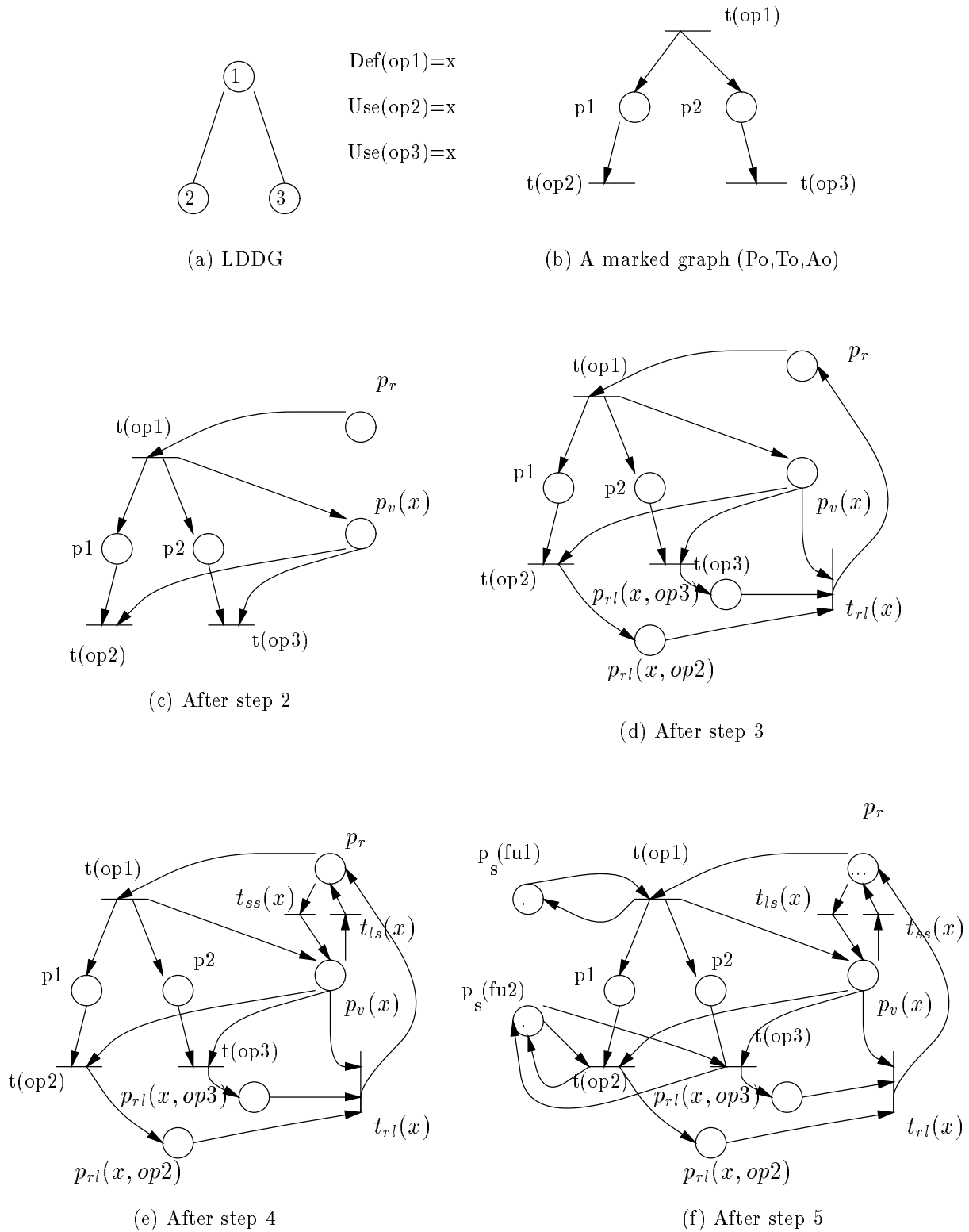


Fig.3.1 An example of MTPN

## 4 Schedulability of the MTPN

In this section, we will theoretically discuss the schedulability of a MTPN with an initial marking.

**Definition 4.1** A schedule  $S$  of a *MTPN* with an initial marking  $M_0$  is a function from the set of nonnegative integers to the set of transitions and satisfies

- (1) at any time  $u$ ,  $M_u(p_i) \geq 0$ , for each  $p_i \in P_o \cup P_{rl} \cup P_s \cup \{p_r\} \cup P_v$ ;
- (2) for any pair of transitions,  $(t_i^{(k)}, t_j^{(l)})$ , in  $S$ , assume  $t_i^{(k)}$  is scheduled at time  $u_i$  and  $t_j^{(l)}$  at  $u_j$ , if  $O(t_i) \cap I(t_j) \neq \emptyset$  and  $l - k = M_0(O(t_i) \cap I(t_j))$  and  $t_i, t_j \in T_o$ , then

$$u_j - u_i \geq D(t_i, O(t_i) \cap I(t_j))$$

where  $t_i^{(k)}$  denotes  $k^{th}$  firing of  $t_i$ , and  $t_j^{(l)}$   $l^{th}$  firing of  $t_j$ .

**Theorem 4.1 (Model validity)** Let *MTPN* =  $(P, T, A, W, M_0, D)$ , if  $\sigma$  is a firable sequence of its underlying Petri net (referred to as UPN-MTPN),  $(P, T, A, W, M_0)$ , there exists a schedule for  $\sigma$ .

**Proof:** Let  $S' = \sigma$ , if a pair of transitions in  $S'$  doesn't satisfy the timing constraint, we can insert null transitions between these two transitions such that the timing constraint between them is satisfied. This work can be done for any pair of transitions in  $S'$ , so the resulting sequence of  $S'$  will satisfy the constraint (2) of Definition 4.1. The constraint (1) is obviously satisfied, too.

□

**Definition 4.2** A  $N$ -schedule of a *MTPN* with an initial marking  $M_0$  is a schedule in which each transition in  $T_o$  is fired  $N$  times.

**Definition 4.3** Let  $\sigma$  be a firable sequence of an *UPN - MTPN*, and let  $\bar{\sigma} = (\sigma_1, \sigma_2, \dots, \sigma_n)$  which is the vector of the firing number of each transition in  $T_o$ ,  $n = |T_o|$ . Obviously, if  $\sigma$  corresponds to a  $N$ -schedule, then  $\bar{\sigma} = (\sigma_1, \sigma_2, \dots, \sigma_n)$ , where  $\sigma_i = N$ ,  $i = 1, 2, \dots, n$ .

**Definition 4.4** A MTPN with an initial marking is schedulable if there exists an 1-schedule.

**Lemma 4.1** Let  $(UPN - MTPN, M_0)$  be an UPN-MTPN with initial marking  $M_0$ , if  $M_0$  is live for each transition of  $T_o$ , then  $M_0$  is live for *UPN - MTPN*.

This lemma is very obvious from Definition 3.3.

**Lemma 4.2** Let  $(UPN - MTPN, M_0)$  be an UPN-MTPN with an initial marking  $M_0$ , then  $M_0(P_o)$  is a live marking for  $(P_o, T_o, A_o)$ .

**Proof:** Note that  $(P_o, T_o, A_o)$  is a marked graph from Definition 3.3, and by Definition

3.4 and Theorem 2.2,  $M_0(P_o)$  is live for  $(P_o, T_o, A_o)$ .

□

**Theorem 4.2** For any UPN-MTPN,  $UPN - MTPN$ , there exists a nonnegative integer  $K$ , if an initial marking  $M_0$  satisfies that the number of registers,  $M_0(p_r)$ , is not less than  $K$ , then  $M_0$  is live for  $UPN - MTPN$ .

**Proof:** By Lemma 4.1 and 4.2, we can only consider  $T_o$  and register place  $p_r$ .

Let  $K = \#(T_o, O(p_r))$ . If we let  $M_0(p_r)$  be  $K$ , then the number of tokens of  $p_r$  is not less than  $\#(T_o, O(p_r))$ , it means  $p_r$  has enough tokens to fire any transition of  $T_o$ . Also, from the definition of MTPN, for each transition  $t_i$  of  $T_o$ , if  $I(t_i)$  includes places of  $P_v$ , then there must be some transitions of  $T_o$  on which  $t_i$  is dependent. When these transitions are fired, they must put tokens into the places of  $P_v$  that  $I(t_i)$  includes, so these places have enough tokens to fire  $t_i$ .

□

We are more interested in the minimum of  $K$ , so we give an algorithm to compute it as follow.

#### Algorithm 4.1

1. For each transition  $t_i$  of  $T_o$ 
  - 1.1 compute  $\#(t_i, O(p_r))$ ;
  - 1.2 compute  $|I_{P_v}(t_i)|$ , where  $I_{P_v}(t_i) = \{p | (p, t_i) \in \bar{A}_o \text{ and } p \in P_v\}$ ;
  - 1.3 let  $RN(t_i) = \#(t_i, O(p_r)) + |I_{P_v}(t_i)|$ ;
2. For each place  $p_i$  of  $P_v$ 
  - 2.1 find  $S_{T_o}(p_i) = \{t_j | t_j \in T_o \text{ and } t_j \in O(p_i)\}$ ;
  - 2.2 for any pair of  $(t', t'')$  in  $S_{T_o}(p_i)$ , if  $t'$  is dependent on  $t''$ , then remove  $t''$  from  $S_{T_o}(p_i)$ .

So we obtain a new set  $S'_{T_o}(p_i) \subseteq S_{T_o}(p_i)$ ;

  - 2.3 find a  $t_j$  in  $S'_{T_o}(p_i)$  such that

$$RN(t_j) = \max_{\forall t' \in S'_{T_o}(p_i)} (RN(t'))$$

let  $RN(t_j) = RN(t_j) - 1$ .

3. Let

$$K_{min} = \max_{\forall t_i \in T_o} (RN(t_i))$$

◇

It is easy to check that the complexity of Algorithm 4.1 is  $O(n^2)$ , where  $n$  is the number of transitions of  $T_o$ .

**Theorem 4.3** For any UPN-MTPN,  $UPN - MTPN$ , with an initial marking  $M_0$ , we can find  $K_{min}$  by Algorithm 4.1.  $K_{min}$  is the minimum number of registers such that  $M_0$  is live for  $UPN - MTPN$ .

**Proof:** First we prove that  $M_0$  with  $K_{min}$  registers is live for  $UPN - MTPN$ .

For any transition  $t_i \in T_o$ ,

- (1) if  $\#(t_i, O(p_r)) + |I_{P_v}(t_i)| \leq K_{min}$ , then at any time when we want to fire  $t_i$ , we can first fire some releasing or spilling transitions such that  $t_i$  is fireable;
- (2) if  $\#(t_i, O(p_r)) + |I_{P_v}(t_i)| > K_{min}$ , but  $K_{min} \geq RN(t_i)$  (see step 3 of Algorithm 4.1). Note that step 2.3 of Algorithm 4.1, so we can choose a special order of firing of transitions such that when we fire  $t_i$ ,  $K_{min} = \#(t_i, O(p_r)) + |I_{P_v}(t_i)| - \text{the number of registers released by firing } t_i$ .

From (1) and (2),  $M_0$  is live for  $UPN - MTPN$ .

Now we prove that  $K_{min}$  is the minimum number of registers. If the number of registers,  $K$ , is less than  $K_{min}$ , then there must be a  $t_i \in T_o$ ,  $RN(t_i) > K$ . Since the number of tokens in  $\{p_r\} \cup P_v$  doesn't change (Theorem 2.1 and 3.1), by any order of firing of transitions and at any time, we have  $\#(t_i, O(p_r)) + |I_{P_v}(t_i)| - \text{the number of registers which can be released by firing } t_i > \text{the number of tokens in } \{p_r\} \cup P_v$ . Therefore  $t_i$  cannot be fired.

□

**Theorem 4.4** For a MTPN,  $MTPN$ , with an initial marking  $M_0$ ,  $K_{min}$  is the minimum number of registers such that  $MTPN$  with  $M_0$  is schedulable.

**Proof:** We have to show that there exists a fireable sequence,  $\sigma$ , of  $UPN - MTPN$ , such that  $\bar{\sigma} = (\sigma_1, \sigma_2, \dots, \sigma_n)$  and  $\sigma_i = 1$ ,  $i = 1, 2, \dots, n$ ,  $n = |T_o|$ .

From Theorem 4.3,  $M_0$  is live for  $UPN - MTPN$ . Note that  $(P_o, T_o, A_o)$  is a marked graph and the number of tokens in  $\{p_r\} \cup P_v$  doesn't change and we can move tokens from places of  $P_v$  to  $p_r$  or from  $p_r$  to those of  $P_v$  by firing spilling transitions, so a new marking obtained by firing a transition of  $T_o$  from  $M_0$  is still live.

Next, let us show that if  $\bar{\sigma}$  is not the zero vector, then there exists at least one transition  $t_i \in T_o$  such that  $\sigma_i = 1$ , which can be fired immediately or after firing some releasing or spilling transitions. Consider any  $t_i$  with  $\sigma_i > 0$ . If it is fireable, the claim is proven. If not, construct a token-free path  $t_j \rightarrow t' \rightarrow \dots \rightarrow t'' \rightarrow t_i$  in  $(P_o, T_o, A_o)$  such that  $t_j$  is fireable if we only consider  $(P_o, T_o, A_o)$ . Since each place along this path has no token on it, so  $\sigma_j = \sigma_{t'} = \dots = \sigma_{t''} = \sigma_i = 1$ . Now we can fire some spilling transitions or releasing transitions so that  $t_j$  can be fired immediately even if we consider the total  $MTPN$ . Let us fire  $t_j$ , and modify  $\sigma_j$  from 1 to 0. Repeat this process until  $\bar{\sigma}$  is the zero vector.

Now, we have proven that there exists a fireable sequence  $\sigma$  of  $UPN - MTPN$  such that  $\bar{\sigma} = (\sigma_1, \sigma_2, \dots, \sigma_n)$ , where  $\sigma_i = 1$ ,  $i = 1, 2, \dots, n$ ,  $n = |T_o|$ . By Theorem 4.1,  $MTPN$  with  $M_0$  is schedulable.

It is very obvious that  $K_{min}$  is the minimum number of registers since if the number of registers is less than  $K_{min}$ , then  $M_0$  is not live for  $UPN - MTPN$ , thus there doesn't exist any fireable sequence  $\sigma$  including all transitions of  $T_o$ .

□

## 5 Analysis of the MTPN and the Optimum Loop Schedule

In order to get the optimum loop schedule of a MTPN with an initial marking  $M_0$ , the common approach is to develop a state graph which can describe the behavior of the MTPN. However, a complete state graph includes all reachable markings from  $M_0$ . This means that the number of nodes in the state graph is exponential. Therefore, using this common approach to find the optimum loop schedule will suffer from exponential computation complexity.

In this section, we will present a new approach with polynomial computation complexity to find the optimum loop schedule for almost all practical loop programs. We first extend MTPN by introducing extended places which form a deadlock and trap, so the number of markings on these extended places is bounded by the number of tokens. Secondly, we develop a state subgraph, called Register Allocation Solution Graph (denoted as RASG), whose nodes consist of all reachable markings on the extended places. We will theoretically prove that RASG can effectively describe the major behavior of the MTPN, by which we can find the optimum loop schedule. We also prove that the number of all nodes in RASG is polynomial and our approach is of polynomial computation complexity.

### 5.1 Extending MTPN

We extend MTPN to EMTPN by introducing some extended places.

**Definition 5.1** For the given MTPN, we can construct its EMTPN by the following steps.

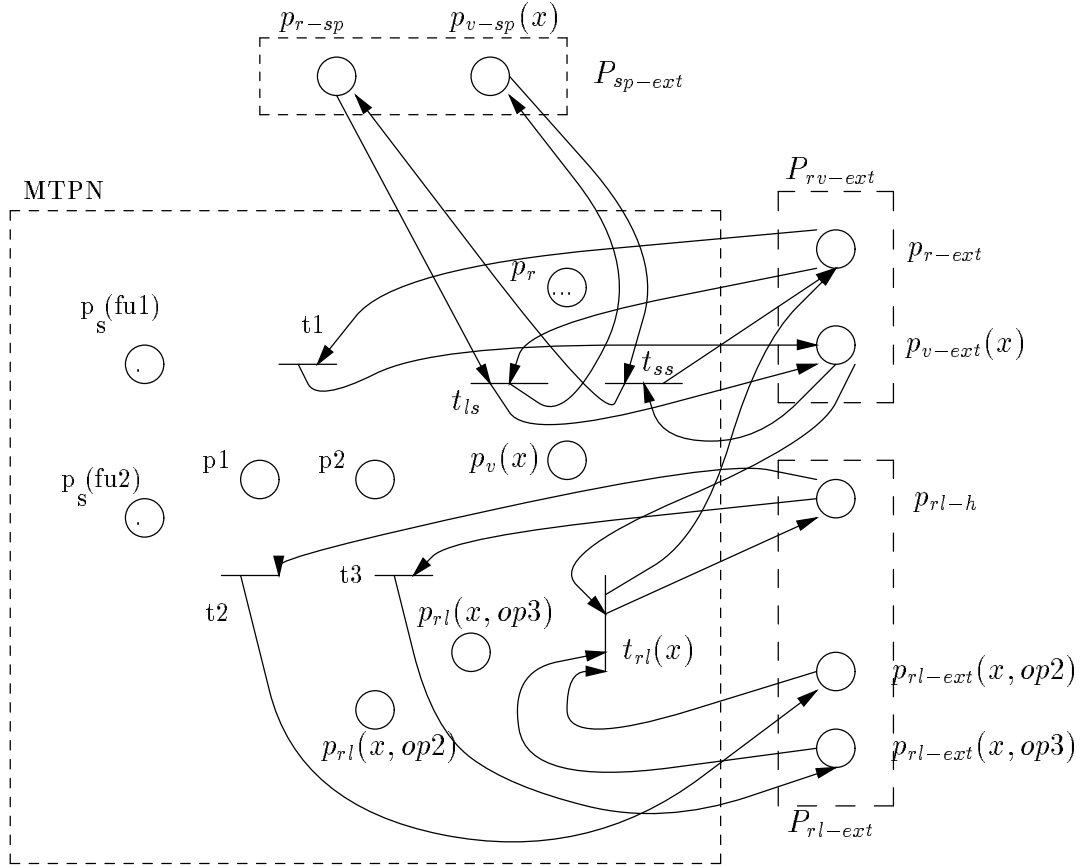
1. For the register place  $p_r$ , build a new place  $p_{r-ext}$  (called extended register place); for each arc connected to  $p_r$ , copy this arc connected to  $p_{r-ext}$ . For each variable place  $p_v(x)$ , build a new place  $p_{v-ext}(x)$  (called extended variable place); for each arc connected to  $p_v(x)$ , copy this arc connected to  $p_{v-ext}(x)$ . Let  $P_{rv-ext}$  represent the set of the extended register place and the extended variable places.
2. For the register place  $p_r$ , build a new place  $p_{r-sp}$  (called spilling register place); for each variable place  $p_v(x)$ , build a new place  $p_{v-sp}(x)$  (called spilling variable place). For each pair of spilling transitions,  $t_{ss}(x)$  and  $t_{sl}(x)$ , corresponding to  $p_v(x)$ , build four arcs, from  $p_{r-sp}$  to  $t_{ss}(x)$ , from  $t_{ss}(x)$  to  $p_{v-sp}(x)$ , from  $p_{v-sp}(x)$  to  $t_{ls}(x)$  and from  $t_{ls}(x)$  to  $p_{r-sp}$ , respectively. Let  $P_{sp-ext}$  represent the set of the spilling register place and spilling variable places.
3. Build a new place  $p_{rl-h}$  (called releasing head-place); for each operation transition  $t(op)$  whose input includes variable place(s), build an arc from  $p_{rl-h}$  to  $t(op)$ ; for each releasing transition  $t_{rl}(x)$ , build an arc from  $t_{rl}(x)$  to  $p_{rl-h}$ . For each releasing place  $p_{rl}(x, op)$ , build a new place  $p_{rl-ext}(x, op)$  (called extended releasing place); for each arc connected



to  $p_{rl}(x, op)$ , copy this arc connected to  $p_{rl-ext}(x, op)$ . Let  $P_{rl-ext}$  represent the set of the releasing head-place and extended releasing places.

4. The definition of weight on new arcs: For each new arc  $e$  from  $p_{rl-h}$  to  $t(op)$ ,  $W(e) =$  the number of the variable places which are the inputs of  $t(op)$ ; for each new arc  $e$  from a releasing transition  $t_{rl}(x)$  to  $p_{rl-h}$ ,  $W(e) =$  the number of the releasing places which are the inputs of  $t_{rl}(x)$ ; For each new arc  $e$  from the extended register place  $p_{r-ext}$  to an operation transition  $t(op)$ ,  $W(e) = W((p_r, t(op)))$ ; for other new arc  $e$ ,  $W(e) = 1$ .
5. The definition of time on new arcs: For each new arc  $e$ ,  $D(e) = 0$ .

Fig.5.1 gives an example of EMTPN which is extended from the MTPN shown in Fig.3.1(f).



(For intuition, the arcs in MTPN are removed.)

Fig.5.1 The EMTPN of the MTPN shown in Fig.3.1(f)

The initial marking,  $M_{0-ext}$ , of an EMTPN can be defined in terms of the initial marking  $M_0$  of its corresponding MTPN.

**Definition 5.2** Let  $M_{0-ext}$  be the initial marking of an EMTPN and  $M_0$  be the initial marking of its corresponding MTPN; let  $N_{op}(x)$  be the number of operations which reference variable  $x$  and  $N_{op}^{max}$  be  $\max_{x \in LDDG}(N_{op}(x))$ , then

1. For any place  $p$  in MTPN,  $M_{0-ext}(p) = M_0(p)$ .
2. For the extended register place  $p_{r-ext}$ ,  $M_{0-ext}(p_{r-ext}) = M_0(p_r)$ ; for the spilling register place  $p_{r-sp}$ ,  $M_{0-ext}(p_{r-sp}) = M_0(p_r)$ , where  $p_r$  is the register place.
3. For the releasing head-place  $p_{rl-h}$ ,  $M_{0-ext}(p_{rl-h}) = N_{op}^{max} * M_0(p_r)$ .
4. For other place  $p$ ,  $M_{0-ext}(p) = 0$ .

About EMTPN, there are two important properties which can be described by the following two theorems.

**Theorem 5.1** Let  $M_0$  be the initial marking of a MTPN and  $M_{0-ext}$  be the initial marking of its EMTPN. If  $M_0$  is live for the MTPN, then  $M_{0-ext}$  is live for the EMTPN, too.

**Proof:** We can only consider the markings of the extended register place  $p_{r-ext}$ , the spilling register place  $p_{r-sp}$  and the releasing head-place  $p_{rl-h}$ .

The marking of  $p_{r-ext}$  doesn't make the operation transitions not fireable since  $M_{0-ext}(p_{r-ext}) = \text{the number of registers}$ .

The marking of  $p_{r-sp}$  doesn't make the load-spilling transitions not fireable since  $M_{0-ext}(p_{r-sp}) = \text{the number of registers}$ .

The marking of  $p_{rl-h}$  is  $N_{op}^{max} * M_0(p_r)$ , which is enough to fire any operation transition.  $\square$

**Theorem 5.2** In EMTPN, let  $P_{ext} = P_{rv-ext} \cup P_{sp-ext} \cup P_{rl-ext}$ , then  $P_{ext}$  is a deadlock&trap.

**Proof:** According to Definition 5.1, it is easy to check that  $P_{rv-ext}$ ,  $P_{sp-ext}$  and  $P_{rl-ext}$  are a deadlock&trap respectively, so  $P_{ext}$  is a deadlock&trap.  $\square$

## 5.2 Register Allocation Solution Graph

For the given computer architecture, the number of registers can be regarded as a constant by which we mean that it is independent of the number of operations in the loop programs. In this subsection, we will present a state subgraph of EMTPN, called Register Allocation Solution Graph (denoted as RASG), whose nodes only consist of all markings of the extended places (that is,  $P_{ext}$ ). According to Definition 5.2 and Theorem 5.2, the number of nodes in RASG is bounded by the number of registers (that is why we name this state subgraph Register Allocation Solution Graph). We also theoretically point out that, for almost all practical programs, RASG can effectively describe the major behavior

of the MTPN by which we can find the optimum loop schedule in the next subsection.

**Definition 5.3** In MTPN, for each  $t_i \in T_{s-l}$ , we call  $t_j$  the dual transition of  $t_i$  if  $t_j \in T_{s-l}$ ,  $I(t_i) = O(t_j)$  and  $O(t_i) = I(t_j)$ .

**Definition 5.4** A Register-Allocation-Solution-Graph,  $RASG = (V, E, TS, D_R)$ , is a state subgraph of EMTPN with an initial marking  $M_{0-ext}$ , where

1.  $V$  is the set of nodes, in which each node corresponds to a reachable marking of  $P_{ext}$  from  $M_{0-ext}(P_{ext})$ , where  $P_{ext} = P_{rv-ext} \cup P_{sp-ext} \cup P_{rl-ext}$ ;
2.  $E$  is the set of arcs;
3.  $TS$  is a function from  $E$  to the set of subsets of transitions;
4.  $D_R$  is a function from  $E$  to the set of nonnegative integers;
5.  $V$ ,  $E$ ,  $TS$  and  $D_R$  can be constructed as follows:

1) Let  $\bar{V} = \{M_{0-ext}\}$ ,  $V = \{M_{0-ext}(P_{ext})\}$ ,  $E = \emptyset$ ,  $M_{0-ext}(P_{ext})$  is called the initial marking node of  $RASG$ .

2) For each  $M'_{ext} \in \bar{V}$ , we choose the successors of  $M'_{ext}(P_{ext})$  by the following rules:

**Rule 5.1:** At least one operation transition is fired between firing a spilling transition and its dual transition.

**Rule 5.2:** Releasing transitions must be fired as soon as they are fireable.

3) For each  $M'_{ext} \in \bar{V}$ , if  $ts$  is the set of simultaneously fireable transitions which satisfies the above two rules, fire each transition of  $ts$  at the same time and generate a new marking  $M''_{ext}$ :

(1)  $M''_{ext} \rightarrow \bar{V}$ ,  $M''_{ext}(P_{ext}) \rightarrow V$ ;

(2) Construct a new arc,  $e$ , from  $M'_{ext}(P_{ext})$  to  $M''_{ext}(P_{ext})$ ,  $e \rightarrow E$ ;

(3) Let  $TS(e) = ts$ ;

(4) Let  $D_R(e) =$  The difference between the time when  $M'_{ext}(P_{ext})$  was formed and the time when  $ts$  was fireable (By Definition 5.1, for any  $ts_i$ , a set of simultaneously fireable transitions, and the marking  $M_{ext}(P_{ext})$  which is formed by firing  $ts_i$ , the time when  $M_{ext}(P_{ext})$  is formed is in fact the time when  $ts_i$  is fireable).

4) Repeat 2) and 3) until there is not any new node or arc to be constructed.

Figure 5.2 partly gives an example of the RASG corresponding to the EMTPN shown in Figure 5.1. In this example, we assume there is only one available register, t1 is addition operation with latency 1, t2 and t3 are store operations with latency 1. Obviously, the initial marking of  $P_{ext}$ ,  $(|p_{r-ext}|, |p_{v-ext}(x)|, |p_{r-sp}|, |p_{v-sp}(x)|, |p_{rl-h}|, |p_{rl-ext}(x, op2)|, |p_{rl-ext}(x, op3)|) = (1, 0, 1, 0, 2, 0, 0)$ .

The following theorems give the important properties of RASG.

**Theorem 5.3** In RASG, for all  $v, v_i, v_j \in V$ , if  $(v, v_i), (v, v_j) \in E$  and  $v_i = v_j$ , then  $TS((v, v_i)) = TS((v, v_j))$ .

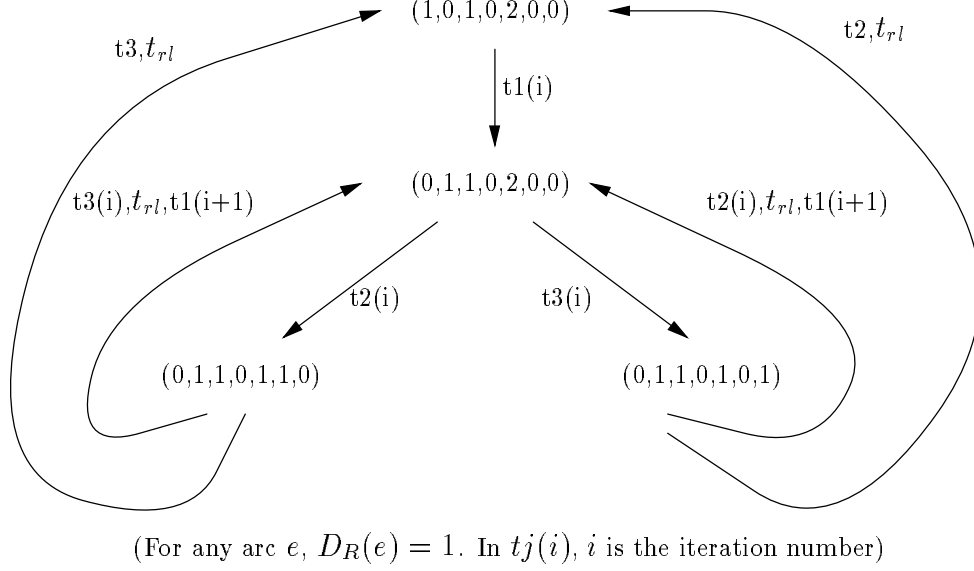


Fig.5.2 An example of RASG

**Proof:** Let  $M_{ext}(P_{ext}) = v$ ,  $M_{ext-i}(P_{ext}) = v_i$ ,  $M_{ext-j}(P_{ext}) = v_j$ ,  $ts_i = TS((v, v_i))$  and  $ts_j = TS((v, v_j))$ .

Assume  $ts_i \neq ts_j$ , then, from Rule 5.2, there may be only two cases as follows:

**Case 1:** There exists at least one spilling transition,  $t'_s$ , such that  $t'_s \in ts_i$  but  $t'_s \notin ts_j$ , thus there must exist one place  $p' \in P_{sp-ext}$ , such that  $M_{ext-i}(p') \neq M_{ext-j}(p')$ , that is  $v_i \neq v_j$ .

**Case 2:** There exists at least one operation transition, say  $t'$ , such that  $t' \in ts_i$  but  $t' \notin ts_j$ . After firing  $t'$ , one token will be put into a place  $p'_{v-ext}$  of  $P_{v-ext}$  or (and) a place  $p'_{rl-ext}$  of  $P_{rl-ext}$ . There are also two cases:

**Case 2.1:** The token is put into  $p'_{v-ext}$ . If there is not any spilling transition in  $ts_i$  taking the token away from  $p'_{v-ext}$ , then  $M_{ext-i}(p'_{v-ext}) \neq M_{ext-j}(p'_{v-ext})$ ; if yes, say  $t'_s$ , then  $t'_s \in ts_j$ , so  $M_{ext-i}(p'_{v-ext}) \neq M_{ext-j}(p'_{v-ext})$ .

**Case 2.2:** If the token is not put into  $p'_{v-ext}$ , then it must be put into  $p'_{rl-ext}$ . If there is not any releasing transition in  $ts_i$  taking the token away from  $p'_{rl-ext}$ , then  $M_{ext-i}(p'_{rl-ext}) \neq M_{ext-j}(p'_{rl-ext})$ ; if yes, say  $t'_r$ , if  $t'_r \in ts_j$ , then  $M_{ext-i}(p'_{rl-ext}) \neq M_{ext-j}(p'_{rl-ext})$ ; if  $t'_r \notin ts_j$ , then there must exist a place  $p''_{v-ext}$  in  $P_{v-ext}$ , such that  $M_{ext-i}(p''_{v-ext}) \neq M_{ext-j}(p''_{v-ext})$ .

That is  $v_i \neq v_j$ .

Therefore,  $ts_i = ts_j$ .

□

**Lemma 5.1** In any cycle of a RASG, any spilling transition and its dual transition are

fired the same number of times.

**Proof:** Let  $v_1, v_2, \dots, v_l$  be a cycle of RASG,  $v_1 = v_l$ ,  $M_{ext-1}(P_{ext}) = v_1$  and  $M_{ext-l}(P_{ext}) = v_l$ . We consider the firable sequence,  $\sigma = TS((v_1, v_2))TS((v_2, v_3))\dots TS((v_{l-1}, v_l))$ .

Let  $t_i^s \in \sigma$  be a spilling transition and  $\bar{t}_i^s$  its dual transition, then there is a place  $p_i^{sp} \in P_{sp-ext}$ , such that  $I(p_i^{sp}) = t_i^s$  and  $O(p_i^{sp}) = \bar{t}_i^s$  or  $O(p_i^{sp}) = t_i^s$  and  $I(p_i^{sp}) = \bar{t}_i^s$ . Thus, if  $t_i^s$  and  $\bar{t}_i^s$  are fired the different number of times in  $\sigma$ , then  $M_{ext-1}(p_i^{sp}) \neq M_{ext-l}(p_i^{sp})$ , that is  $v_1 \neq v_l$ . So  $t_i^s$  and  $\bar{t}_i^s$  must be fired the same number of times in  $\sigma$ .

□

**Theorem 5.4** For any LDDG of a loop, if there does not exist such a cut-set that it includes no variable defined-referenced edge, then in any cycle of the RASG corresponding to the LDDG, (1) all operation transitions are fired at least one time; (2) the operation transitions are fired the same number of times each.

**Proof:** (1) Let  $C = v_1v_2\dots v_l$  be a cycle of the RASG,  $v_1 = v_l$ . Let  $M_{ext-1}(P_{ext}) = v_1$ ,  $M_{ext-l}(P_{ext}) = v_l$ , and  $\sigma = TS((v_1, v_2))TS((v_2, v_3))\dots TS((v_{l-1}, v_l))$ .

Assume that all operation transitions are not fired in  $\sigma$ , let  $T'_o$  be the set of operation transitions being fired in  $\sigma$  and  $\bar{T}'_o$  that not being fired in  $\sigma$ . By Rule 5.1,  $T'_o \neq \emptyset$ . As there does not exist such a cut-set that it includes no variable defined-referenced edge in the LDDG, there may be only three cases as follows:

**Case 1:** There exist  $t \in T'_o$  and  $\bar{t} \in \bar{T}'_o$ , such that there is a place  $p_{v-ext} \in P_{v-ext}$  which  $p_{v-ext} \in O(t) \cap I(\bar{t})$ . Since  $\bar{t}$  is not fired in  $\sigma$  and by Lemma 5.1 the spilling transitions in  $\sigma$  do not change the tokens of  $p_{v-ext}$ , so firing  $t$  will put a token into  $p_{v-ext}$  but the token won't be removed away, this means  $M_{ext-1}(p_{v-ext}) \neq M_{ext-l}(p_{v-ext})$ .

**Case 2:** There exist  $t \in T'_o$  and  $\bar{t} \in \bar{T}'_o$ , such that there is a place  $p_{v-ext} \in P_{v-ext}$  which  $p_{v-ext} \in O(\bar{t}) \cap I(t)$  and there is not any other  $\bar{t}' \in \bar{T}'_o$  such that  $p_{v-ext} \in I(\bar{t}') \cap O(\bar{t})$ . Since  $\bar{t}$  is not fired in  $\sigma$  and by Lemma 5.1 the spilling transitions in  $\sigma$  do not change the tokens of  $p_{v-ext}$ , so firing  $t$  will remove a token away from  $p_{v-ext}$  but the token won't be put back into  $p_{v-ext}$ , this means  $M_{ext-1}(p_{v-ext}) \neq M_{ext-l}(p_{v-ext})$ .

**Case 3:** There exist  $t \in T'_o$  and  $\bar{t}, \bar{t}' \in \bar{T}'_o$  such that there is a place  $p_{v-ext} \in P_{v-ext}$  which  $p_{v-ext} \in O(\bar{t}) \cap I(t) \cap I(\bar{t}')$ . then there must be  $p, p' \in P_{rl-ext}$  which  $I(p) = t$  and  $I(p') = \bar{t}'$ , and there is also a releasing transition  $t_{rl}$  which  $t_{rl} = O(p) = O(p')$ . If  $t_{rl}$  is fired in  $\sigma$ , then firing  $t_{rl}$  will remove a token away from  $p'$  but the token won't be put back into  $p'$  since  $\bar{t}'$  is not fired in  $\sigma$ . This means  $M_{ext-1}(p') \neq M_{ext-l}(p')$ . If  $t_{rl}$  is not fired in  $\sigma$ , then firing  $t$  will put a token into  $p$  but the token won't be remove away. This also means  $M_{ext-1}(p) \neq M_{ext-l}(p)$ .

Therefore, all operation transitions are fired in  $\sigma$ .

(2) For any operation transitions  $t_i, t_j$  which there is a place  $p_{v-ext} \in P_{v-ext}$  such that  $p_{v-ext} \in O(t_i) \cap I(t_j)$ , let  $t_{rl}$  be a releasing transition which  $p_{v-ext} \in I(t_{rl})$ , so  $t_i$  and  $t_{rl}$  are

fired the same number of times in  $\sigma$  since there is only  $t_i$  which can put token into  $p_{v-ext}$  and there is only  $t_{rl}$  which can remove away token from  $p_{v-ext}$  besides spilling transitions and, notice that, spilling transitions in  $\sigma$  do not change the tokens of  $p_{v-ext}$  by Lemma 5.1.

Let  $p_{rl-ext}$  be a place of  $P_{rl-ext}$  which  $I(p_{rl-ext}) = t_j$  and  $O(p_{rl-ext}) = t_{rl}$ , then  $t_j$  and  $t_{rl}$  are fired the same number of times in  $\sigma$  since there is only  $t_j$  which can put token into  $p_{rl-ext}$  and there is only  $t_{rl}$  which can remove away token from  $p_{rl-ext}$ .

Therefore,  $t_i$  and  $t_j$  are fired the same number of times in  $\sigma$ . That is, the operation transitions are fired the same number of times each.

□

Theorem 5.5 is an immediate consequence of Theorem 5.3 and Theorem 5.4.

**Theorem 5.5** For a MTPN with an initial marking  $M_0$  and its RASG,  $(MTPN, M_0)$  and RASG, if there does not exist such a cut-set that it includes no variable defined-referenced edge in its corresponding LDDG, then a cycle of RASG passing through the initial marking node corresponds to a  $N$ -schedule of MTPN with  $M_0$ ,  $N$  is the number of firing times of each operation transition in the cycle.

The following theorem gives the upper bound of the number of the nodes in RASG.

**Theorem 5.6** The number of the nodes in RASG is at most  $O(n^{N_r(N_{op}^{max}+2)})$ , where  $n$  is the number of the operations in its corresponding LDDG,  $N_r$  the number of registers,  $N_{op}(x)$  the number of operations referencing variable  $x$  and

$$N_{op}^{max} = \max_{x \in LDDG} (N_{op}(x))$$

**Proof:** From Theorem 5.2,  $P_{ext}$  is a deadlock&trap. The number of the initial tokens in  $P_{ext}$  is  $N_r + N_r + N_{op}^{max} \times N_r = N_r \times (N_{op}^{max} + 2)$ . From Theorem 2.1, the number of the tokens in  $P_{ext}$  is not changed for any firing sequence, this means the total number of the markings in  $P_{ext}$  is  $O(n^{N_r(N_{op}^{max}+2)})$ , therefore, the number of the nodes in RASG is at most  $O(n^{N_r(N_{op}^{max}+2)})$ .

□

### 5.3 Finding the Optimum Loop Schedule

Using RASG as a basis, we can analyze the major behavior of MTPN and present a new loop scheduling approach. For almost all practical loop programs, our approach can find the optimum loop schedule under the resource constraints, with the polynomial computation complexity.

According to Definition 5.5 and the definition of MTPN, a  $N$ -schedule of MTPN with an initial marking  $M_0$  corresponds to a loop schedule. By Theorem 5.5, a cycle of RASG

passing through  $M_{0-ext}(P_{ext})$  corresponds to a  $N$ -schedule of MTPN with  $M_0$ . Thus, in order to find the optimum loop schedule, we can only focus on the strongly connected component  $\overline{RASG}$  of RASG which includes the initial marking node  $M_{0-ext}(P_{ext})$ .

**Definition 5.5** For any cycle  $C$  of  $\overline{RASG}$ , we define

$$\gamma(C) = N(C)/D_R(C)$$

where  $N(C)$  is the number of firing times of each operation transition on  $C$ . and

$$D_R(C) = \sum_{\forall e \in C} D_R(e)$$

$\gamma(C)$  is called as the computation rate of the loop schedule corresponding to the cycle  $C$ .

**Definition 5.6** For a RASG and its  $\overline{RASG}$ , we define

$$\gamma_{opt} = \max_{\forall C \in \overline{RASG}} (\gamma(C))$$

A critical cycle of  $\overline{RASG}$  is a simple cycle  $C$  which  $\gamma(C) = \gamma_{opt}$ .

Note that, in the definition of RASG, Rule 5.1 only guarantees to eliminate all cycles which consist of spilling transitions, and Rule 5.2 only guarantees that all registers can come back the register place as soon as they are free. Therefore, the optimum loop schedule can be found in the cycles of  $\overline{RASG}$ .

**Theorem 5.7** For the given loop program and computer architecture, we can construct LDDG, MTPN, EMTPN, RASG and  $\overline{RASG}$ , any critical cycle of  $\overline{RASG}$  corresponds to an optimum loop schedule if there does not exist such a cut-set in the LDDG that it includes no variable defined-referenced edge.

**Proof:** Let  $C$  be a critical cycle of  $\overline{RASG}$  and the number of firing times of each operation transition in  $C$  be  $N_0$ . If  $C$  passes through the initial marking node,  $C$  corresponds to a  $m \times N_0$ -schedule,  $m$  is an arbitrary positive integer. If  $C$  doesn't pass through the initial marking node (denoted as  $v_0$ ), then there must be a node,  $v$ , in  $C$  which there exist a path from  $v_0$  to  $v$  (denoted as  $l_{v_0 \rightarrow v}$ ) and a path from  $v$  to  $v_0$  (denoted as  $l_{v \rightarrow v_0}$ ) since  $\overline{RASG}$  is a strongly connected graph. Let  $l_{v_0 \rightarrow v} l_{v \rightarrow v_0}$  corresponds to a  $k_0$ -schedule, then  $l_{v_0 \rightarrow v} C^m l_{v \rightarrow v_0}$  corresponds to a  $(k_0 + m \times N_0)$ -schedule.

Therefore, any critical cycle of  $\overline{RASG}$ ,  $C$ , corresponds to a  $(k_0 + m \times N_0)$ -schedule,  $k_0$  is some nonnegative integer and  $m$  an arbitrary positive integer.

For simplicity, assume the number of iterations of the loop,  $n$ , is large enough and can be expressed as  $(k_0 + m \times N_0)$ . An optimum loop schedule is a  $n$ -schedule which satisfies

$$\lim_{n \rightarrow \infty} (\gamma) = \gamma_{opt}.$$

Now, for  $l_{v_0 \rightarrow v} C^m l_{v \rightarrow v_0}$ ,  $n = k_0 + m \times N_0$ ,

$$\lim_{n \rightarrow \infty} m = \infty$$

thus

$$\lim_{n \rightarrow \infty} \gamma(l_{v_0 \rightarrow v} C^m l_{v \rightarrow v_0}) = \lim_{m \rightarrow \infty} \gamma(l_{v_0 \rightarrow v} C^m l_{v \rightarrow v_0}) = \gamma(C) = \gamma_{opt}$$

so  $l_{v_0 \rightarrow v} C^m l_{v \rightarrow v_0}$  corresponds to an optimum loop schedule, that is  $C$  corresponds to an optimum loop schedule.

□

Theorem 5.7 in fact gives an approach to find the optimum loop schedule and the condition under which the approach can work. In the next section, we will further discuss this condition and point out that, for almost all practical loop program, this condition can be satisfied. Now we summary our approach as follows:

### Algorithm 5.1

1. Build the LDDG of the given loop;
2. Build the MTPN;
3. Build the EMTPN;
4. Build the RASG;
5. Find the strongly connected component  $\overline{RASG}$  passing through the initial marking node;
6. Find a critical cycle of  $\overline{RASG}$ , the sequence of the transitions along this cycle consists of the loop body of the optimum loop schedule;
7. Compute  $\gamma$  of this critical cycle which is the maximum computation rate of the given loop under the limitation of resources.  $\diamond$

**Theorem 5.8** The computation complexity of Algorithm 5.1 is  $O(n^{N_r(N_{op}^{max}+2)})$  in the worst case, where  $n$  is the number of operations,  $N_r$  the number of registers, and  $N_{op}^{max}$  is defined in Theorem 5.6.

**Proof:** The most time-expensive steps are step 5 and step 6. Finding the strongly connected component  $\overline{RASG}$  is the complexity of  $O(m^3)$ , where  $m$  is the number of nodes of RASG. Finding a critical cycle of the  $\overline{RASG}$  is the complexity of  $O(\bar{m}^3)$ , where  $\bar{m}$  is the number of nodes of  $\overline{RASG}$ . Note that the number of nodes of RASG is  $O(n^{N_r(N_{op}^{max}+2)})$  (by Theorem 5.6), thus the computation complexity of Algorithm 5.1 is  $O(n^{3N_r(N_{op}^{max}+2)})$  in the worst case.

□



We believe that, in almost all practical loop programs,  $N_{op}^{max}$  is independent of  $n$ . In addition, let us consider the transformation shown in Figure 5.3. If the number of operations referencing variable  $x$  is greater than 2, we can transform the LDDG into a new one in which the number of operations referencing  $x$  is equal to 2. So the computation complexity of our approach is polynomial.

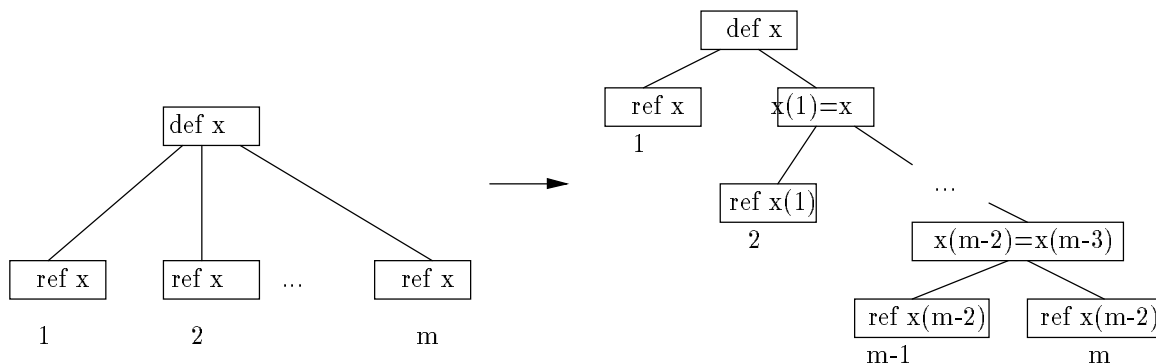


Fig.5.3 A transformation

## 6 Discussion

In this section, we first discuss the condition given in Theorem 5.5 and Theorem 5.7 under which our approach can work, and then discuss the applications of our model and approach.

The condition in fact requires that the given LDDG is connected by variable defined-referenced edges. That is, for any two subgraphs of the LDDG, there is at least a variable defined-referenced edge connecting them. We first demonstrate the ambiguity dependence edges which may exist in the LDDG. For example,  $op_i$  reads an array element,  $A[exp1]$ , and  $op_j$  writes an array element,  $A[exp2]$ . If we can not determine if  $exp1$  is equal to  $exp2$  in compiling time, then we must consider that there is a data dependence between  $op_i$  and  $op_j$ , which we call an ambiguity dependence. After intermediate code generation and variable renaming, the LDDG only includes variable defined-referenced edges and ambiguity dependence edges. Now we consider the following two cases.

For the first cases, the LDDG is not connected, we can break up the loop into several ones and handle each of these new loops respectively. Breaking up a loop program is sometimes very profitable, especially for loop vectorization.

For the second cases, the LDDG is connected. We can reduce the condition to a much weaker constraint, that is, in the LDDG, there does not exist such a cut-set that it only includes ambiguity dependence edges. We have analyzed the benchmarking loop

programs of Lawrence Livermore. In 24 loop-kernels, except for kernel 15, 16, 17, 20, 22 and 24 (these loops include conditional jumps, but our model and approach can only handle the loops without any conditional jump. Our future work is to extend our model and approach to handle the loops with conditional jumps), the other 18 kernels satisfy the above constraint. We believe that, for almost all practical loop programs, the above constraint is often satisfied.

We expect that our model and approach can be applied to the design of high performance computer architectures as well as the design of optimizing compilers. For the design of high performance computer architectures, especially for the application-specific high performance computers, it is required that the architectures should match very well the loop programs to be executed on them, such that these loop programs can be executed at the maximum computation rates. Our model and approach can effectively analyze the maximum computation rates of loop programs executed on the given architectures, so they can provide very useful information for selection of the number of functional units and the number of registers. On the other hand, for the design of optimizing compilers, our model and approach are very effective tools to analyze the effects of high-level program transformations on the instruction-level parallelism for loop programs. In addition, our model and approach can be directly used in the register allocation in which they can effectively estimate the number of registers that will be allocated to the local variables in the most inner loops.

## 7 Conclusion

Under resource constraints, finding the optimum loop schedule for any loop program has been proven to be an NP complete problem. In this report, we present a new timed Petri net model to integrate loop scheduling, functional unit allocation, register allocation and spilling into a unified theoretical framework, and theoretically discuss the schedulability of this model. An efficient analysis tool, Register Allocation Solution Graph (RASG), is defined and constructed. Using RASG as a basis, we theoretically prove that, under resource constraints, finding the optimum loop schedules for almost all practical loop programs can be solved with polynomial computation complexity. An optimum loop scheduling approach with polynomial computation complexity is also given.

However, the computation complexity of our approach in the worst case is still great since the number of registers is expressed in exponential terms, in result, our approach can not be directly used as a loop scheduling approach in the practical optimizing compilers. Our future work is to develop some useful heuristics that efficiently reduce the number of nodes in RASG and to make our approach more practical. We will also extend our model and approach to handle the loop programs with conditional jumps.

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