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INSTITUT NATIONAL DE RECHERCHE EN INFORMATIQUE ET AUTOMATIQUE

# A Proof of Weak Termination of the Simply-Typed $\lambda\sigma$ -Calculus

Jean Goubault-Larrecq

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\_\_\_\_\_ THÈME 2 \_\_\_\_\_





### A Proof of Weak Termination of the Simply-Typed $\lambda \sigma$ -Calculus

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Thème 2 — Génie logiciel et calcul symbolique

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**Abstract:** We show that reducing any simply-typed  $\lambda \sigma$ -term by applying the rules in  $\sigma$  eagerly always terminates, by a translation to the simply-typed  $\lambda$ -calculus, and similarly for  $\lambda \sigma_{\uparrow\uparrow}$ -terms with  $\sigma_{\uparrow\uparrow}$ -eager rewrites. This holds even with term and substitution metavariables. In fact, every reduction terminates provided that  $(\beta)$ -redexes are only contracted under so-called safe contexts. The previous results follow because in  $\sigma$ , resp.  $\sigma_{\uparrow\uparrow}$ -normal forms, all contexts around terms of sort T are safe.

**Key-words:**  $\lambda \sigma$ -calculus, explicit substitutions, termination,  $\lambda$ -calculus, simple types

(Résumé: tsvp)

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### Une preuve de terminaison faible du $\lambda \sigma$ -calcul simplement typé

**Résumé :** Nous montrons que réduire n'importe quel  $\lambda \sigma$ -terme simplement typé en appliquant toujours les règles de  $\sigma$  le plus tôt possible est un processus qui termine. Ceci est prouvé à l'aide d'une traduction vers le  $\lambda$ -calcul simplement typé, et de même pour le  $\lambda \sigma_{\uparrow \uparrow}$ -calcul avec réduction prioritaire par  $\sigma_{\uparrow \uparrow}$ . Ceci est valide même en présence de méta-variables de termes et de substitutions. En fait, toute réduction termine dès qu'on ne contracte que les  $(\beta)$ -rédex qui apparaissent sous des contextes dits sûrs. Les résultats énoncés plus haut s'en déduisent car dans toute forme  $\sigma$ -normale, resp.  $\sigma_{\uparrow \uparrow}$ -normale, tous les contextes autour de termes de sorte T sont sûrs.

Mots-clé:  $\lambda \sigma$ -calcul, substitutions explicites, terminaison,  $\lambda$ -calcul, types simples

#### Introduction

Although the simply-typed  $\lambda \sigma$ -calculus does not terminate strongly [Mel94, Mel95], it terminates in the weak sense: every typed term has some (unique) normal form. We present a refined proof of this fact. In fact, we prove the widely believed claim that every reduction where  $\sigma$  steps are applied eagerly is finite.

For the sake of generality, we prove this result even in the presence of term and substitution metavariables, and for a general  $\lambda\sigma$ -calculus that encompasses both the original  $\lambda\sigma$ -calculus [ACCL90] and the  $\lambda\mathcal{E}$ -calculus of [HL89]. The techniques that we use are basically the same as in [GL97], where they are used for a more complicated calculus.

The plan of the paper is as follows. We recapitulate some basic definitions in Section 1 and prove a few preliminaries in Section 2. In Section 3, we prove the main theorem of this paper: every reduction where  $(\beta)$ -contraction occurs only under so-called *safe* contexts always terminates. This result is applied in Section 4 to show that all normalization strategies that apply  $\sigma$  (resp.  $\sigma_{\uparrow\uparrow}$ ) eagerly in the  $\lambda\sigma$ -calculus (resp. in  $\lambda\sigma_{\uparrow\uparrow}$ , a.k.a.  $\lambda\mathcal{E}$ ) terminate.

#### 1 Definitions

Consider the language  $\lambda \sigma_{\uparrow\uparrow}$  defined as  $T \cup S$ , where the sublanguage T and that of explicit substitutions or stacks S are given by:

$$T ::= \mathcal{V}_T \mid \lambda T \mid TT \mid T[S] \mid 1$$

$$S ::= \mathcal{V}_S \mid S \circ S \mid id \mid T \cdot S \mid \uparrow \mid \uparrow S$$

where  $V_T$  and  $V_S$  are disjoint infinite sets of term variables  $x, y, z, \ldots$ , and stack variables X, Y, Z, etc. The set of  $\lambda \sigma$ -terms is the subset of  $\lambda \sigma_{\uparrow}$ -terms where  $\uparrow$  does not occur.

We call (untyped)  $\lambda \sigma$ -calculus the rewrite system of Figure 1. It is a slight generalization, in the sense that it can simulate every reduction, of both the  $\lambda \sigma$ -calculus of [ACCL90] and of the  $\lambda \sigma_{\uparrow}$ -calculus of [HL89] (where it is named  $\lambda \mathcal{E}$ ). Notice that, because of rule ( $\eta$   $\uparrow$ ), all the other rules with  $\uparrow$  in their names are superfluous. The  $\lambda \sigma_{\uparrow}$ -calculus is the rewrite system defined by all rules of  $\lambda \sigma$  without the three rules with an  $\eta$  in their names. (The latter is basically group (B) of [GL96], while the former is group (B) plus part of group (H)).

(β)	$(\lambda u)v  o u[v \cdot id]$	(η <b>↑</b> )	$\uparrow w \to 1 \cdot (w \circ \uparrow)$
([id])	$u[id] \to u$	$(\eta \cdot)$	$1 \uparrow \rightarrow id$
$(\circ id)$	$u \circ id \to u$	$(\eta \cdot \circ)$	$(1[u]) \cdot (\uparrow \circ u) \to u$
$(id\circ)$	$id \circ u \to u$	$(1 \uparrow)$	$1[\uparrow u] \to 1$
([])	$(u[v])[w] \to u[v \circ w]$	$(1 \uparrow \circ)$	$1[\Uparrow u \circ v] \to 1[v]$
(0)	$(u \circ v) \circ w \to u \circ (v \circ w)$	(↑↑)	$\uparrow \circ \uparrow u \rightarrow u \circ \uparrow$
(1)	$1[u \cdot v] \to u$	(↑☆ ∘)	$\uparrow \circ (\uparrow u \circ v) \to u \circ (\uparrow \circ v)$
(†)	$\uparrow \circ (u \cdot v) \to v$	(介介)	$\uparrow u \circ \uparrow v \to \uparrow (u \circ v)$
$(\cdot)$	$(u \cdot v) \circ w \to (u[w]) \cdot (v \circ w)$	(介介 。)	$\uparrow u \circ (\uparrow v \circ w) \to \uparrow (u \circ v) \circ w$
$(\lambda)$	$(\lambda u)[w]  o \lambda(u[\Uparrow w])$	(↑·)	$\Uparrow u \circ (v \cdot w) \to v \cdot (u \circ w)$
(app)	$(uv)[w] \to (u[w])(v[w])$	$(\uparrow id)$	$\uparrow id \rightarrow id$

Figure 1: The  $\lambda \sigma_{\uparrow \uparrow}$ -calculus

The purpose of this paper is to show that the simply-typed  $\lambda \sigma$ -calculus terminates, when rewrites are restricted to be  $\sigma$ -eager rewrites, i.e. rewrites of the form:

$$u \xrightarrow{\sigma} u_1 \xrightarrow{(\beta)} u_1' \xrightarrow{\sigma} u_2 \xrightarrow{(\beta)} u_2' \xrightarrow{\sigma} \dots \xrightarrow{\sigma} u_k \xrightarrow{(\beta)} u_k' \xrightarrow{\sigma} \dots$$

where  $u_1, u_2, \ldots, u_k, \ldots$  are  $\sigma$ -normal. And similarly, that the simply-typed  $\lambda \sigma_{\uparrow}$ -calculus terminates, when rewrites are restricted to be  $\sigma_{\uparrow}$ -eager rewrites, defined similarly.

The typing rules are given in Figure 2. Types are either term types or stack types. Term types are sequents  $\Gamma \vdash \tau$ , where  $\tau$  is a formula and  $\Gamma$  is a finite list of formulas  $\tau_1, \ldots, \tau_n, \cdot$ , where  $\cdot$  marks the end of the list; formulas  $\tau$  are either atoms  $A, B, \ldots$ , or implications (arrow types)  $\tau_1 \to \tau_2$ . Stack types are similar sequents  $\Gamma \vdash \Delta$ , but  $\Delta$  is a finite list of formulas as well. For simplicity, we shall assume that the calculus is given in Church style: variables  $x_{\Gamma \vdash \tau}$  are annotated with their type  $\Gamma \vdash \tau$ ; we write them x when no confusion arises. (Writing terms in Church style will allow us to translate  $\lambda \sigma$ -terms to  $\lambda$ -terms in Section 3; otherwise, we would need to translate typing derivations of  $\lambda \sigma$ -terms to typing derivations of  $\lambda$ -terms, which is not that different, but would be much less readable.)

Figure 2: Typing rules

To get a calculus in Church style, we also need to annotate the terms  $1, \uparrow, id$  and  $\uparrow u$  with types agreeing with the rules of Figure 2. We shall usually write these terms without their type annotations when context permits, however.

Then, every typed term has a unique type. There is a unique way of lifting the rules of Figure 1 to the typed case so that the resulting typed calculus has the subject reduction property: see Figure 3.

```
(\uparrow w)_{\tau,\Gamma\vdash\tau,\Lambda} \to 1_{\tau,\Gamma\vdash\tau} \cdot (w \circ \uparrow_{\tau,\Gamma\vdash\Gamma})
(\beta)
                     (\lambda u)v \to u[v \cdot id_{\Gamma \vdash \Gamma}]
                                                                                                              (\eta \uparrow)
                     where v:\Gamma \vdash \tau
([id])
                    u[id_{\Gamma \vdash \Gamma}] \to u
                                                                                                                                      1_{\tau,\Gamma\vdash\tau}\cdot\uparrow_{\tau,\Gamma\vdash\Gamma}\to id_{\tau,\Gamma\vdash\tau,\Gamma}
                                                                                                              (\eta \cdot)
                    u \circ id_{\Gamma \vdash \Gamma} \to u
(\circ id)
                                                                                                              (\eta \cdot \circ)
                                                                                                                                      (1_{\tau,\Gamma\vdash\tau}[u])\cdot(\uparrow_{\tau,\Gamma\vdash\Gamma}\circ u)\to u
                    id_{\Gamma \vdash \Gamma} \circ u \to u
                                                                                                                                      1_{\tau,\Delta\vdash\tau}[(\uparrow u)_{\tau,\Gamma\vdash\tau,\Delta}] \to 1_{\tau,\Gamma\vdash\tau}
(id \circ)
                                                                                                              (1 \uparrow)
                     (u[v])[w] \to u[v \circ w]
                                                                                                              (1 \uparrow \! \uparrow \circ) \quad 1_{\tau, \Delta \vdash \tau} [(\uparrow \! \uparrow u)_{\tau, \Gamma \vdash \tau, \Delta} \circ v] \to 1_{\tau, \Gamma \vdash \tau} [v]
([])
                     (u \circ v) \circ w \to u \circ (v \circ w)
(0)
                                                                                                                                      \uparrow_{\tau,\Delta\vdash\Delta} \circ (\uparrow u)_{\tau,\Gamma\vdash\tau,\Delta} \to u \circ \uparrow_{\tau,\Gamma\vdash\Gamma}
                                                                                                              (↑↑)
                                                                                                                                      \uparrow_{\tau,\Delta\vdash\Delta}\circ((\Uparrow u)_{\tau,\Gamma\vdash\tau,\Delta}\circ v)\to u\circ(\uparrow_{\tau,\Gamma\vdash\Gamma}\circ v)
                     1_{\Gamma \vdash \tau}[u \cdot v] \to u
(1)
                                                                                                              (↑↑ ∘)
                    \uparrow_{\Gamma \vdash \Delta} \circ (u \cdot v) \to v
                                                                                                                                      (\uparrow u)_{\tau,\Lambda\vdash\tau,\Delta}\circ(\uparrow v)_{\tau,\Gamma\vdash\tau,\Lambda}\to(\uparrow (u\circ v))_{\tau,\Gamma\vdash\tau,\Delta}
(\uparrow)
                                                                                                              (介介)
                                                                                                                                       (\Uparrow u)_{\tau,\Lambda\vdash\tau,\Delta}\circ((\Uparrow v)_{\tau,\Gamma\vdash\tau,\Lambda}\circ w)\to(\Uparrow (u\circ v))_{\tau,\Gamma\vdash\tau,\Delta}\circ w
                     (u \cdot v) \circ w \to (u[w]) \cdot (v \circ w)
(\cdot)
                                                                                                              (介介 )
                                                                                                                                       (\uparrow u)_{\tau \ \Gamma \vdash \tau \ \Delta} \circ (v \cdot w) \to v \cdot (u \circ w)
(\lambda)
                     (\lambda u)[w] \to \lambda(u[(\uparrow w)_{\tau,\Gamma\vdash\tau,\Delta}])
                                                                                                              (↑·)
                     where w: \Gamma \vdash \Delta, \ u: \tau, \Delta \vdash \tau'
                                                                                                                                      (\uparrow id_{\Gamma \vdash \Gamma})_{\tau,\Gamma \vdash \tau,\Gamma} \to id_{\tau,\Gamma \vdash \tau,\Gamma}
                    (uv)[w] \rightarrow (u[w])(v[w])
(app)
                                                                                                              (\uparrow id)
```

Figure 3: The typed reduction rules

#### 2 Other Preliminaries

**Lemma 1**  $\sigma$  is a terminating rewrite system.

**Proof:** We use Zantema's distribution elimination technique [Zan94]. (Refer to this paper for notations and concepts; our  $\sigma$  is slightly more complicated than the  $\sigma$  that Zantema considers, but the argument is similar.) We actually show that  $\sigma$  is totally terminating, i.e. that the reduction relation for  $\sigma$  can actually be extended to a *total* well-founded quasi-ordering on terms. Total termination implies *simple* termination, which is the fact that  $\sigma$  plus all rules of the form  $f(\ldots, u, \ldots) \to u$ , for every function symbol f, terminates.

Now consider the following rewrite system  $\sigma'$ , which is obtained from  $\sigma$  by replacing u[v] by  $u \circ v$ , uv by  $u \cdot v$ , id by  $1 \uparrow$ , merging duplicate rules, eliminating rule  $(\eta \cdot)$  and adding rule  $(d \uparrow)$ :

```
(d \uparrow) \quad \uparrow u \circ (v \cdot w) \to (\uparrow u \circ v) \cdot (\uparrow u \circ w) \quad (\eta \uparrow)
(\circ id) u \circ (1 \cdot \uparrow) \to u
                                                                                                    (\eta \cdot \circ)
                                                                                                                         (1 \circ u) \cdot (\uparrow \circ u) \to u
(id\circ)
               (1 \cdot \uparrow) \circ u \to u
                                                                                                     (1 \uparrow)
                                                                                                                         1 \circ \uparrow u \rightarrow 1
                                                                                                    (1 \uparrow \uparrow \circ) \quad 1 \circ (\uparrow u \circ v) \to 1 \circ v
                (u \circ v) \circ w \to u \circ (v \circ w)
                                                                                                                         \uparrow \circ \uparrow u \rightarrow u \circ \uparrow
(0)
                                                                                                    (介介)
                                                                                                                        \uparrow \circ (\uparrow u \circ v) \to u \circ (\uparrow \circ v)
                1 \circ (u \cdot v) \to u
(1)
                                                                                                    (↑↑ ∘)
(\uparrow)
                \uparrow \circ (u \cdot v) \to v
                                                                                                    (介介)
                                                                                                                         \uparrow u \circ \uparrow v \to \uparrow (u \circ v)
(\cdot)
                (u \cdot v) \circ w \to (u \circ w) \cdot (v \circ w)
                                                                                                                         \uparrow u \circ (\uparrow v \circ w) \to \uparrow (u \circ v) \circ w
                                                                                                    (介介 )
                (\lambda u) \circ w \to \lambda (u \circ \uparrow w)
                                                                                                                         \uparrow u \circ (v \cdot w) \to v \cdot (u \circ w)
(\lambda)
                                                                                                    ( † · )
                                                                                                                         \uparrow (1 \cdot \uparrow) \rightarrow (1 \cdot \uparrow)
                                                                                                    (\uparrow id)
```

If  $\sigma'$  is totally terminating, then it is clear that  $\sigma$  is totally terminating as well. Let indeed > be any total well-founded quasi-ordering extending the rewrite relation of  $\sigma'$ . This induces a total well-founded quasi-ordering on  $\lambda \sigma$ -terms that extends all the rules in  $\sigma$  except  $(\eta \cdot)$  (for which both sides are equivalent). Let >' be the quasi-ordering such that u >' v if and only if u has more occurrences of  $\cdot$  than v: then the lexicographic product of > and >' is a well-founded total quasi-ordering extending the rewrite relation of  $\sigma$ .

Now call a rule *embedding* if and only if its right-hand side is homeomorphically embedded in its left-hand side (i.e., it can be simulated by a sequence of rewrite steps of the form  $f(\ldots, u, \ldots) \to u$ ). The rules  $(\circ id)$ ,  $(id\circ)$ , (1),  $(\uparrow)$ ,  $(\eta \cdot \circ)$ ,  $(1 \uparrow)$ ,  $(1 \uparrow)$ ,  $(\uparrow id)$  are embedding. Since total termination implies simple termination,  $\sigma'$  is totally terminating if and only if  $\sigma'$  minus these rules is. Moreover,  $(\uparrow \cdot)$  can be simulated by  $(d \uparrow)$  plus embedding, so we can ignore the former for purposes of total termination. To sum up,  $\sigma'$  is totally terminating if and only if the following system  $\sigma''$  is totally terminating:

Now, in  $\sigma''$  the rules  $(d \uparrow)$  and  $(\cdot)$  are distribution rules for  $\cdot$ , and no other rule features  $\cdot$  on the left-hand side. Zantema's theorem [Zan94] then states that the rewrite system  $\sigma'''$  obtained from  $\sigma''$  by dropping these distribution rules and replacing each rule with some subterm  $u \cdot v$  on the right-hand side by two rules, one with  $u \cdot v$  replaced by u, the other with  $u \cdot v$  replaced by v, is totally terminating if and only if  $\sigma''$  is. Here is  $\sigma'''$ :

```
\begin{array}{lll} \Uparrow w \to 1 & \qquad & \Uparrow w \to w \circ \uparrow \\ (u \circ v) \circ w \to u \circ (v \circ w) & \qquad \uparrow \circ \Uparrow u \to u \circ \uparrow \\ \uparrow \circ (\Uparrow u \circ v) \to u \circ (\uparrow \circ v) & \qquad \Uparrow u \circ \Uparrow v \to \Uparrow (u \circ v) \\ \Uparrow u \circ (\Uparrow v \circ w) \to \Uparrow (u \circ v) \circ w & (\lambda u) \circ w \to \lambda (u \circ \Uparrow w) \end{array}
```

 $\sigma'''$  is then proved totally terminating by using two polynomial interpretations  $P_1$  and  $P_2$ , ordered lexico-

graphically, as in [HL89]; we let:

$$\begin{array}{cccc} & P_1 & P_2 \\ u \circ v & uv & u(v+1) \\ \uparrow u & u & 3u \\ 1 & 2 & 1 \\ \uparrow & 2 & 1 \\ \lambda u & u+1 & u \end{array}$$

Using the fact that  $P_1(u) \geq 2$  for every term u, and  $P_2(u) \geq 1$ , a quick calculation shows that for every rule  $l \to r$  but the last one (i.e.,  $(\lambda)$ ),  $P_1(l) \geq P_1(r)$  and  $P_2(l) > P_2(r)$ , while in the case of  $(\lambda)$   $P_1(l) > P_1(r)$ . It follows that  $\sigma$  is totally terminating.  $\square$ 

In fact,  $\sigma$  is locally confluent, as a Knuth-Bendix test shows, whether as the subsystem of Figure 1 or Figure 3, so it is convergent; but we shall not be interested in this property here.

#### 3 Reduction Under Safe Contexts Terminates

We translate each  $\lambda \sigma$ -term with Church-style typing into a family of  $\lambda$ -terms, typed à la Church as well. Let  $\top$  be a given arbitrary type. We assume that we have infinitely many variables of each type.

With each term variable  $x_{\tau_1,\ldots,\tau_n,\vdash\tau}$  of  $\lambda\sigma$ , we associate a variable  $\hat{x}_{\tau_1,\ldots,\tau_n,\to\tau}$  of the  $\lambda$ -calculus of the indicated type. Similarly, we map each stack variable  $X_{\tau_1,\ldots,\tau_n,\vdash\tau'_1,\ldots,\tau'_n'}$ , to a list  $\hat{X}_1$   $\tau_1,\ldots,\tau_n,\to\tau_n,\tau_n,\tau_n'$  ::  $\hat{X}_{n'}$   $\tau_1,\ldots,\tau_n,\tau_n,\tau_n,\tau_n'$  of  $\lambda$ -variables of the indicated types. (The cons operator :: is just a meta-linguistic way of forming lists; it is assumed to be right-associative.) We do not assume the mapping to be injective in any sense.

Our translation maps every  $\lambda \sigma$ -term u of type  $\tau_1, \ldots, \tau_n, \cdot \vdash \tau$  to a function  $\llbracket u \rrbracket$  taking a list of n+1  $\lambda$ -terms  $t_1 :: \ldots :: t_n :: t_{n+1}$  of respective types  $\tau_1, \ldots, \tau_n$  and  $\top$ , and returns a  $\lambda$ -term  $\llbracket u \rrbracket (t_1 :: \ldots :: t_n :: t_{n+1})$  of type  $\tau$ . Similarly, our translation maps every  $\lambda \sigma$ -term u of type  $\tau_1, \ldots, \tau_n, \cdot \vdash \tau'_1, \ldots, \tau'_{n'}$ ,  $\cdot$  to a function  $\llbracket u \rrbracket$  taking a list of n+1  $\lambda$ -terms  $t_1 :: \ldots :: t_n :: t_{n+1}$  of respective types  $\tau_1, \ldots, \tau_n$  and  $\top$ , and returns a list of n'+1  $\lambda$ -terms of respective types  $\tau'_1, \ldots, \tau'_{n'}$  and  $\top$ . The translation is given in Figure 4.

Figure 4: Translation to the simply-typed  $\lambda$ -calculus

The  $\beta$  rule  $(\lambda x \cdot t)t' \to t[t'/x]$  defines a rewrite relation on  $\lambda$ -terms that we again write  $\longrightarrow$ . Recall that the simply-typed  $\lambda$ -calculus terminates [Kri92]. We write  $\longrightarrow^+$ , resp.  $\longrightarrow^*$ , its (resp. reflexive) transitive closure. These notions are extended to lists:  $t_1 :: \ldots :: t_n :: t_{n+1} \longrightarrow^* t'_1 :: \ldots :: t'_n :: t'_{n+1}$  if and only if  $t_i \longrightarrow^* t'_i$  for every  $i, 1 \le i \le n+1$ ; and  $t_1 :: \ldots :: t_n :: t_{n+1} \longrightarrow^+ t'_1 :: \ldots :: t'_n :: t'_{n+1}$  if in addition  $t_i \longrightarrow^+ t'_i$  for some  $i, 1 \le i \le n+1$ .

We define the quasi-ordering  $\succeq$  and the strict ordering  $\succ$  on typed  $\lambda \sigma$ -terms by:  $u \succeq v$  if and only if u and v have the same type and  $\llbracket u \rrbracket(s) \longrightarrow^* \llbracket v \rrbracket(s)$  for every list s of  $\lambda$ -terms of the right types. (Observe that  $\succ$  is an ordering, in particular it is irreflexive precisely because there are  $\lambda$ -terms of any given type, namely variables.) Similarly,  $u \succ v$  if and only if u and v have the same type and  $\llbracket u \rrbracket(s) \longrightarrow^+ \llbracket v \rrbracket(s)$  for every list s of  $\lambda$ -terms of the right types. We also let  $u \approx v$  if and only if  $\llbracket u \rrbracket(s) = \llbracket v \rrbracket(s)$  for every list s of the right types.

The interpretation is monotonic in the following sense:

```
Lemma 2 If s \longrightarrow^* s', then \llbracket u \rrbracket(s) \longrightarrow^* \llbracket u \rrbracket(s').
```

**Proof:** We claim that for any terms  $t_1, \ldots, t_n, t_{n+1}$  of the right types, for any fresh variables  $x_1, \ldots, x_n, x_{n+1}$  of the right types:

$$[\![u]\!](t_1 :: \ldots :: t_n :: t_{n+1}) = [\![u]\!](x_1 :: \ldots :: x_n :: x_{n+1})[t_1/x_1, \ldots, t_n/x_n, t_{n+1}/x_{n+1}]$$

where substitution is extended componentwise when u is a stack. The lemma follows immediately from the claim, while the claim is proved by structural induction on u.

If u = v[w], then  $\llbracket u \rrbracket (t_1 :: \ldots :: t_n :: t_{n+1}) = \llbracket v \rrbracket (t'_1 :: \ldots :: t'_{n'} :: t'_{n'+1})$ , where  $t'_1 :: \ldots :: t'_{n'} :: t'_{n'+1} = \llbracket w \rrbracket (t_1 :: \ldots :: t_n :: t_{n+1})$ . By induction hypothesis,  $t'_1 :: \ldots :: t'_{n'} :: t'_{n'+1} = \llbracket w \rrbracket (x_1 :: \ldots :: x_n :: x_{n+1})[t_1/x_1, \ldots, t_n/x_n, t_{n+1}/x_{n+1}]$ . Let: (1)  $t''_1 :: \ldots :: t''_{n'} :: t''_{n'+1}$  be  $\llbracket w \rrbracket (x_1 :: \ldots :: x_n :: x_{n+1})$ , then for each i',  $1 \le i' \le n' + 1$ : (2)  $t'_{i'} = t''_{i'}[t_1/x_1, \ldots, t_n/x_n]$ .

Then:

If  $u = v \circ w$ , then the argument is identical, while all other cases are trivial.  $\square$ 

Recall that a context  $\mathcal{C}$  is any term with a distinguished occurrence  $\underline{\phantom{a}}$ , which we call the hole. For any term t,  $\mathcal{C}\{t\}$  denotes  $\mathcal{C}$  with the hole replaced by t. Similarly if t is itself a context, in which case we get a new context.

The interpretation is then also monotonic in the following sense:

**Lemma 3** If  $u \succeq v$ , then  $\mathcal{C}\{u\} \succeq \mathcal{C}\{v\}$  for any context  $\mathcal{C}$ . If  $u \approx v$ , then  $\mathcal{C}\{u\} \approx \mathcal{C}\{v\}$  for any context  $\mathcal{C}$ .

**Proof:** The case of  $\approx$  is trivial, because our definition of  $\llbracket \_ \rrbracket$  is compositional. That is, for any context  $\mathcal{C}$ , there is a functional  $\llbracket \mathcal{C} \rrbracket$  such that, for every u,  $\llbracket \mathcal{C} \{u\} \rrbracket = \llbracket \mathcal{C} \rrbracket (\llbracket u \rrbracket)$ : this is an easy structural induction on  $\mathcal{C}$ .

We prove the first claim by structural induction on the context  $\mathcal{C}$  as well. The base case, when  $\mathcal{C}$  is the empty context  $\underline{\ }$ , is clear. Otherwise, the induction case reduces to the elementary cases that whenever  $u \succeq v$ , then  $f(\ldots, u, \ldots) \succeq f(\ldots, v, \ldots)$  for each function symbol f in the language of  $\lambda \sigma$  and each argument position.

For example, if  $f = \lambda$ :  $[\![\lambda u]\!](s) = \lambda z \cdot [\![u]\!](z :: s) \longrightarrow^* \lambda z \cdot [\![v]\!](z :: s)$  (since  $u \succeq v$ ) =  $[\![\lambda v]\!](s)$ . Since s is arbitrary,  $\lambda u \succ \lambda v$ .

The cases when f is the application symbol or the  $\cdot$  pair operator (whatever the argument position), or the  $\uparrow$  lift operator are equally easy.

When f is the  $\_[\_]$  operator, we have two cases. At argument position 1, we must show that  $u \succeq v$  entails  $u[w] \succeq v[w]$ . But this is trivial, since  $\llbracket u[w] \rrbracket(s) = \llbracket u \rrbracket(\llbracket w \rrbracket(s)) \longrightarrow^* \llbracket v \rrbracket(\llbracket w \rrbracket(s))$  (by assumption)  $= \llbracket v[w] \rrbracket(s)$ . At argument position 2, we must show that  $u \succeq v$  entails  $w[u] \succeq w[v]$ . But  $\llbracket w[u] \rrbracket(s) = \llbracket w \rrbracket(\llbracket u \rrbracket(s)) \longrightarrow^* \llbracket w \rrbracket(\llbracket v \rrbracket(s))$  (since  $u \succeq v$  and by Lemma 2)  $= \llbracket w[v] \rrbracket(s)$ . The case of  $\circ$  is entirely analogous.  $\square$ 

Observe that the interpretation is not strictly monotonic, in that  $u \succ v$  does not imply  $\mathcal{C}\{u\} \succ \mathcal{C}\{v\}$ , only  $\mathcal{C}\{u\} \succeq \mathcal{C}\{v\}$ : consider the context  $\mathcal{C} = \uparrow \circ (\_\cdot w)$  for some w. This leads to the following definition:

**Definition 1** A context C is called safe if and only if  $u \succ v$  implies  $C\{u\} \succ C\{v\}$  for every terms u and v of the same type such that  $C\{u\}$  and  $C\{v\}$  are typable.

We shall see in Section 4 that there are enough safe contexts to all typed  $\lambda \sigma$ -terms to be reduced to normal form by reductions under safe contexts.

**Lemma 4** For each rule  $l \to r$  except  $(\beta)$  in Figure 3,  $l \approx r$ . For rule  $(\beta)$ ,  $l \succ r$ .

**Proof:** We omit all type information unless strictly necessary.

Rule  $(\beta)$ :

```
\begin{aligned}
& [\![l]\!](s) \\
&= ([\![\lambda u]\!](s))([\![v]\!](s)) \\
&= (\lambda z \cdot [\![u]\!](z :: s))([\![v]\!](s)) \\
&\longrightarrow ([\![u]\!](z :: s))([\![v]\!](s)/z] \\
&= [\![u]\!]([\![v]\!](s) :: s)
\end{aligned}
```

by Lemma 3, while  $[r](s) = [u]([v \cdot id](s)) = [u]([v](s) :: s)$ .

The cases of rules ([id]),  $(\circ id)$  and  $(id\circ)$  are obvious: [l](s) = [r](s) = [u](s) in all three cases.

Rule ([]):  $[\![l]\!](s) = [\![u[\![v]\!]([\![w]\!](s)) = [\![u]\!]([\![v]\!]([\![w]\!](s))) = [\![u]\!]([\![v \circ w]\!](s)) = [\![u[\![v \circ w]\!](s), \text{ and similarly for rule } (\circ).$ 

Rule (1):  $[l](s) = [1]([u \cdot v](s)) = [1]([u](s) :: [v](s)) = [u](s) = [r](s)$ .

Rule  $(\uparrow): [\![l]\!](s) = [\![\uparrow]\!]([\![u \cdot v]\!](s)) = [\![\uparrow]\!]([\![u]\!](s) :: [\![v]\!](s)) = [\![v]\!](s) = [\![v]\!](s).$ 

 $\text{Rule } (\cdot) \colon \ [\![l]\!](s) \ = \ [\![u \cdot v]\!]([\![w]\!](s)) \ = \ [\![u]\!]([\![w]\!](s)) \ :: \ [\![v]\!]([\![w]\!](s)) \ = \ [\![u[w]\!](s) \ :: \ [\![v \circ w]\!](s) \ = \ [\![u[w]\!](s) \ :: \ [\![v \circ w]\!](s) \ = \ [\![u[w]\!](s) \ :: \ [\![v \circ w]\!](s) \ = \ [\![v]\!](s).$ 

Rule  $(\lambda)$ :  $\llbracket l \rrbracket(s) = \llbracket \lambda u \rrbracket(\llbracket w \rrbracket(s)) = \lambda z_{\tau} \cdot \llbracket u \rrbracket(z :: \llbracket w \rrbracket(s))$ , while  $\llbracket r \rrbracket(s) = \lambda z_{\tau} \cdot \llbracket u \llbracket \uparrow w \rrbracket \rrbracket(z :: s) = \lambda z_{\tau} \cdot \llbracket u \rrbracket(z :: s) = \lambda z_{\tau} \cdot \llbracket u \rrbracket(z :: s) = \lambda z_{\tau} \cdot \llbracket u \rrbracket(z :: s)$ 

Rule (app): similar argument as for rule  $(\cdot)$ .

Rule  $(\eta \uparrow)$ :  $\llbracket l \rrbracket(t :: s) = t :: \llbracket w \rrbracket(s)$ , while  $\llbracket r \rrbracket(t :: s) = \llbracket 1 \rrbracket(t :: s) :: \llbracket w \circ \uparrow \rrbracket(t :: s) = t :: \llbracket w \circ \uparrow$ 

Rule  $(\eta \cdot)$ :  $[\![t]\!](t :: s) = [\![1]\!](t :: s) :: [\![\uparrow]\!](t :: s) = t :: s = [\![r]\!](t :: s)$ ; and t :: s is the most general form of argument to  $[\![t]\!]$  by typing, so  $t \approx r$ .

Rule  $(\eta \cdot \circ)$ :  $l = (1[u]) \cdot (\uparrow \circ u) \approx (1 \cdot \uparrow) \circ u$  (by case ([]))  $\approx id \circ u$  (by case  $(\eta \cdot)$ )  $\approx u = r$  (by case  $(id \circ)$ ). Observe that we have used Lemma 3 (the  $\approx$  part) implicitly throughout. All the other rules are dealt with similarly:

Rule  $(1 \uparrow)$ :  $l = 1 [\uparrow u] \approx 1 [1 \cdot (u \circ \uparrow)]$  (by  $(\eta \uparrow)$ )  $\approx 1 = r$  (by (1)).

Rule  $(1 \uparrow \circ)$ :  $l = 1 [\uparrow u \circ v] \approx 1 [(1 \cdot (u \circ \uparrow)) \circ v]$  (by  $(\eta \uparrow)$ )  $\approx 1 [(1[v]) \cdot ((u \circ \uparrow) \circ v)]$  (by  $(\cdot)$ )  $\approx 1[v] = r$  (by (1)).

Rule  $(\uparrow \uparrow)$ :  $l = \uparrow \circ \uparrow u \approx \uparrow \circ (1 \cdot (u \circ \uparrow))$  (by  $(\eta \uparrow)$ )  $\approx u \circ \uparrow = r$  (by  $(\uparrow)$ ).

Rule  $(\uparrow \uparrow \uparrow \circ)$ :  $l = \uparrow \circ (\uparrow u \circ v) \approx \uparrow \circ ((1 \cdot (u \circ \uparrow)) \circ v)$  (by  $(\eta \uparrow \uparrow)) \approx \uparrow \circ ((1[v]) \cdot ((u \circ \uparrow) \circ v))$  (by  $( \cdot )) \approx (u \circ \uparrow) \circ v$  (by  $( \uparrow )) \approx u \circ (\uparrow \circ v) = r$  (by  $( \circ ))$ .

Rule  $(\uparrow \uparrow)$ :  $l = \uparrow u \circ \uparrow v \approx (1 \cdot (u \circ \uparrow)) \circ \uparrow v$  (by  $(\eta \uparrow)) \approx (1[\uparrow v]) \cdot ((u \circ \uparrow) \circ \uparrow v)$  (by  $(\cdot)) \approx 1 \cdot ((u \circ \uparrow) \circ \uparrow v)$  (by  $(1 \uparrow)) \approx 1 \cdot (u \circ (\uparrow \circ \uparrow v))$  (by  $(\circ)) \approx 1 \cdot (u \circ (v \circ \uparrow))$  (by  $(\uparrow \uparrow)) \approx 1 \cdot ((u \circ v) \circ \uparrow)$  (by  $(\circ)$  used in the opposite direction)  $\approx \uparrow (u \circ v) = r$  (by  $(\eta \uparrow)$  used in the opposite direction).

Rule  $(\uparrow \uparrow \uparrow \circ)$ :  $l = \uparrow u \circ (\uparrow v \circ w) \approx (\uparrow u \circ \uparrow v) \circ w$  (by  $(\circ)$  used in the opposite direction)  $\approx \uparrow (u \circ v) \circ w = r$  (by  $(\uparrow \uparrow \uparrow)$ ).

Rule  $(\uparrow \cdot)$ :  $l = \uparrow u \circ (v \cdot w) \approx (1 \cdot (u \circ \uparrow)) \circ (v \cdot w)$  (by  $(\eta \uparrow)) \approx (1[v \cdot w]) \cdot ((u \circ \uparrow) \circ (v \cdot w))$  (by  $(\cdot)$ )  $\approx v \cdot ((u \circ \uparrow) \circ (v \cdot w))$  (by (1))  $\approx v \cdot (u \circ (\uparrow \circ (v \cdot w)))$  (by  $(\circ)$ )  $\approx v \cdot (u \circ w) = r$  (by  $(\uparrow)$ ).

Rule  $(\uparrow id)$ :  $l = \uparrow id \approx 1 \cdot (id \circ \uparrow)$  (by  $(\eta \uparrow)$ )  $\approx 1 \cdot \uparrow$  (by  $(id \circ)$ )  $\approx id = r$  (by  $(\eta \cdot)$ ).  $\Box$ 

It follows:

**Theorem 5 (Main Theorem)** Every reduction in the typed  $\lambda \sigma$ -calculus, where every contracted  $(\beta)$ -redex occurs under a safe context, is finite.

**Proof:** Any step in such a reduction is either a  $(\beta)$ -contraction  $\mathcal{C}\{(\lambda u)v\} \longrightarrow \mathcal{C}\{u[v \cdot id]\}$ , then  $\mathcal{C}\{(\lambda u)v\} \hookrightarrow \mathcal{C}\{u[v \cdot id]\}$  by Lemma 4 and the fact that  $\mathcal{C}$  is safe; or a  $\sigma$ -contraction  $u \longrightarrow_{\sigma} v$ , where  $u \approx v$  by Lemma 4 and Lemma 3. Therefore each rewrite step is strictly decreasing in the lexicographic product of  $\succ$  and  $\longrightarrow_{\sigma}^+$ , which is well-founded since the typed  $\lambda$ -calculus terminates (for  $\succ$ ) and  $\sigma$  terminates (by Lemma 1).  $\square$ 

#### 4 Some Safe Contexts

It remains to produce some non-trivial safe contexts:

**Definition 2** Let the syntactically safe contexts be defined as those in  $S_T \cup S_S$ , where:

```
\begin{array}{ll} \mathcal{S}_{T} & ::= \_ \mid \lambda \mathcal{S}_{T} \mid \mathcal{S}_{T}T \mid T\mathcal{S}_{T} \mid \mathcal{S}_{T}[S] \mid \mathcal{V}_{T}[\mathcal{S}_{S}] \mid 1[\mathcal{S}_{S}'] \\ \mathcal{S}_{S} & ::= \mathcal{S}_{S}' \mid \mathcal{S}_{T} \cdot S \mid T \cdot \mathcal{S}_{S} \mid \Uparrow \mathcal{S}_{S} \mid \Uparrow S \circ \mathcal{S}_{S}' \mid \mathcal{S}_{S} \circ S \\ \mathcal{S}_{S}' & ::= \mathcal{V}_{S} \circ \mathcal{S}_{S} \mid \uparrow \circ \mathcal{S}_{S}' \mid \mathcal{S}_{S}' \circ S \end{array}
```

Before we show Lemma 7, we need the following technical lemma. Let tl be defined by tl(t::s) = s, and let  $tl^n$  be defined by:  $tl^0(s) = s$ ,  $tl^{n+1} = tl \circ tl^n$ .

**Lemma 6** For every context C' in  $S'_S$ , there is a stack variable X, a proper subcontext C of C' in  $S_S$  and an integer  $m \in \mathbb{N}$  such that, for every term u such that  $C'\{u\}$  is well-typed, for every s' of the right type, for some s,  $[\![C'\{u\}]\!](s') = tl^m([\![X]\!]([\![C\{u\}]\!](s)))$ .

**Proof:** By structural induction on  $\mathcal{C}'$ . This is obvious if  $\mathcal{C}'$  has the form  $X \circ \mathcal{C}$ , with  $\mathcal{C} \in \mathcal{S}_S$ : m = 0 and s = s'.

If  $\mathcal{C}' = \uparrow \circ \mathcal{C}''$  with  $\mathcal{C}'' \in \mathcal{S}'_S$ , then for every u such that  $\mathcal{C}'\{u\}$  is well-typed, in particular  $\mathcal{C}''\{u\}$  is well-typed, so by induction hypothesis  $[\![\mathcal{C}''\{u\}]\!](s') = tl^m([\![X]\!]([\![\mathcal{C}\{u\}]\!](s)))$  for some  $m \geq 0$  and some s. Then  $[\![\mathcal{C}''\{u\}]\!](s') = [\![\uparrow]\!]([\![\mathcal{C}''\{u\}]\!](s')) = tl([\![\mathcal{C}''\{u\}]\!](s')) = tl^{m+1}([\![X]\!]([\![\mathcal{C}\{u\}]\!](s)))$ .

If  $\mathcal{C}' = \mathcal{C}'' \circ w$ , where  $\mathcal{C}'' \in \mathcal{S}'_S$ , then for every u such that  $\mathcal{C}'\{u\}$  is well-typed, in particular  $\mathcal{C}''\{u\}$  is well-typed, so by induction hypothesis, for every  $s'_1$  of the right type,  $[\![\mathcal{C}''\{u\}]\!](s'_1) = tl^m([\![X]\!]([\![\mathcal{C}\{u\}]\!](s_1)))$  for some  $m \geq 0$  and some  $s_1$ . Then  $[\![\mathcal{C}'\{u\}]\!](s') = [\![\mathcal{C}''\{u\}]\!]([\![w]\!](s'))$ ; letting  $s'_1$  be  $[\![w]\!](s')$ ,  $[\![\mathcal{C}'\{u\}]\!](s') = [\![\mathcal{C}''\{u\}]\!](s'_1) = tl^m([\![X]\!]([\![\mathcal{C}\{u\}]\!](s_1)))$ .  $\square$ 

Lemma 7 Every syntactically safe context is safe.

**Proof:** We have to show that if  $u \succ v$ , then  $\mathcal{C}\{u\} \succ \mathcal{C}\{v\}$  for any syntactically safe context  $\mathcal{C}$ . This is by structural induction on  $\mathcal{C}$ , using Definition 1. The base case, when  $\mathcal{C}$  is the empty context  $\bot$ , is clear. Otherwise:

If  $C = \lambda C_1$ , where  $C_1 \in \mathcal{S}_T$ , then  $[\![C\{u\}]\!](s) = \lambda z \cdot [\![C_1\{u\}]\!](z :: s) \longrightarrow^+ \lambda z \cdot [\![C_1\{v\}]\!](z :: s) = [\![C\{v\}]\!](s)$  by induction hypothesis. Similarly when  $C = C_1 w$  or  $C = wC_1$ , or  $C = C_1 \cdot w$  for some term w and some  $C_1 \in \mathcal{S}_T$ , or  $C = \uparrow\!\!\!\uparrow C_1$  or  $C = w \cdot C_1$  for some term w and some  $C_1 \in \mathcal{S}_S$ .

If  $\mathcal{C} = \mathcal{C}_1[w]$  for some  $\mathcal{C}_1 \in \mathcal{S}_T$  and some stack w, then  $[\![\mathcal{C}_1\{u\}]\!](s) = [\![\mathcal{C}_1\{u\}]\!]([\![w]\!](s)) \longrightarrow^+$   $[\![\mathcal{C}_1\{v\}]\!]([\![w]\!](s)) = [\![\mathcal{C}_1\{v\}]\!](s)$  by induction hypothesis. Similarly when  $\mathcal{C} = \mathcal{C}_1 \circ w$  for some  $\mathcal{C}_1$  in  $\mathcal{S}_S$  and some stack w.

If  $\mathcal{C} = x[\mathcal{C}_1]$  where x is an term variable and  $\mathcal{C}_1 \in \mathcal{S}_S$ , then  $[\![\mathcal{C}_1\{u\}]\!](s) = \hat{x}t_1 \dots t_n t_{n+1}$  where  $t_1 \dots \dots t_n \dots t_n \dots t_{n+1} = [\![\mathcal{C}_1\{u\}]\!](s) \longrightarrow^+ [\![\mathcal{C}_1\{v\}]\!](s)$  by induction hypothesis. Let  $(t'_1, \dots, t'_n, t'_{n+1})$  be  $[\![\mathcal{C}_1\{v\}]\!](s)$ : this means that  $t_i \longrightarrow^+ t'_i$  for every  $i, 1 \leq i \leq n+1$ , and that  $t_i \longrightarrow^+ t'_i$  for some i. So  $\hat{x}t_1 \dots t_n t_{n+1} \longrightarrow^+ \hat{x}t'_1 \dots t'_n t'_{n+1} = [\![\mathcal{C}_1\{v\}]\!](s)$ .

It remains to deal with the cases when  $\mathcal{C}$  is in  $\mathcal{S}'_S$ , and when  $\mathcal{C}$  is of the form  $\mathbb{I}[\mathcal{C}']$  or  $\uparrow w \circ \mathcal{C}'$  with  $\mathcal{C}' \in \mathcal{S}'_S$ . Consider first the case where  $\mathcal{C}$  is some context  $\mathcal{C}'$  in  $\mathcal{S}'_S$ . By Lemma 6, there is a stack variable X, a proper subcontext  $\mathcal{C}_1$  in  $\mathcal{S}_S$  and an integer  $m \geq 0$  such that for every u of the right type, for every s' of the right type, there is a  $\lambda$ -term s such that  $[\![\mathcal{C}'\{u\}]\!](s') = tl^m([\![X]\!]([\![\mathcal{C}_1\{u\}]\!](s)))$ . Let X be  $X_{\Gamma\vdash \tau_1,\ldots,\tau_p}$ , where

by typing  $p \geq m$ . Let also  $t_1 :: \ldots :: t_n :: t_{n+1}$  be  $\llbracket \mathcal{C}_1\{u\} \rrbracket(s)$ , and  $t'_1 :: \ldots :: t'_n :: t'_{n+1}$  be  $\llbracket \mathcal{C}_1\{v\} \rrbracket(s)$ . Then:

$$\begin{split}
& [\![\mathcal{C}'\{u\}]\!](s') \\
&= tl^m \left( (\hat{X}_1t_1 \dots t_nt_{n+1}) :: \dots :: (\hat{X}_mt_1 \dots t_nt_{n+1}) :: (\hat{X}_{m+1}t_1 \dots t_nt_{n+1}) :: \dots :: (\hat{X}_{p+1}t_1 \dots t_nt_{n+1}) \right) \\
&= (\hat{X}_{m+1}t_1 \dots t_nt_{n+1}) :: \dots :: (\hat{X}_{p+1}t_1 \dots t_nt_{n+1}) \\
&\longrightarrow^+ (\hat{X}_{m+1}t'_1 \dots t'_nt'_{n+1}) :: \dots :: (\hat{X}_{p+1}t'_1 \dots t'_nt'_{n+1}) \quad \text{(by induction hypothesis)} \\
&= tl^m \left( (\hat{X}_1t'_1 \dots t'_nt'_{n+1}) :: \dots :: (\hat{X}_mt'_1 \dots t'_nt'_{n+1}) :: (\hat{X}_{m+1}t'_1 \dots t'_nt'_{n+1}) :: \dots :: (\hat{X}_{p+1}t'_1 \dots t'_nt'_{n+1}) \right) \\
&= [\![\mathcal{C}'\{v\}]\!](s')
\end{split}$$

so  $C\{u\} \succ C\{v\}$ .

If C = 1[C'], then taking the same notation as above:

$$\begin{aligned}
& [\mathcal{C}\{u\}](s') \\
&= \hat{X}_{m+1}t_1 \dots t_n t_{n+1} \\
&\longrightarrow^+ \hat{X}_{m+1}t_1' \dots t_n' t_{n+1}' \quad \text{(by induction hypothesis)} \\
&= [\mathcal{C}\{v\}](s')
\end{aligned}$$

(observe that now p > m by typing) so again  $\mathcal{C}\{u\} \succ \mathcal{C}\{v\}$ . And if  $\mathcal{C} = \uparrow w \circ \mathcal{C}'$ , then:

$$\begin{split} & [\![\mathcal{C}\{u\}]\!](s') \\ &= (\hat{X}_{m+1}t_1 \dots t_n t_{n+1}) :: [\![w]\!](tl([\![\mathcal{C}'\{u\}]\!](s')) \\ &\longrightarrow^* (\hat{X}_{m+1}t_1 \dots t_n t_{n+1}) :: [\![w]\!](tl([\![\mathcal{C}'\{v\}]\!](s')) \quad \text{(by Lemma 3)} \\ &\longrightarrow^+ (\hat{X}_{m+1}t_1' \dots t_n' t_{n+1}') :: [\![w]\!](tl([\![\mathcal{C}'\{v\}]\!](s')) \quad \text{(by induction hypothesis)} \\ &= [\![\mathcal{C}\{v\}]\!](s') \end{split}$$

so  $\mathcal{C}\{u\} \succ \mathcal{C}\{v\}$ .  $\square$ 

**Lemma 8** Let  $\mathcal{C}\{u\}$  be a  $\sigma$ -normal simply-typed term, where  $u \in T$ . Then  $\mathcal{C}$  is a syntactically safe context.

**Proof:** Recall that the  $\sigma$ -normal forms ([Río93], p.76) are all elements of the languages described by the following grammar:

In 
$$T$$
:  $t ::= 1 \mid \lambda t \mid tt \mid \mathcal{V}_T \mid \mathcal{V}_T[s] \mid 1[s']$   
In  $S$ :  $s ::= s' \mid id \mid t \cdot s$   
 $s' ::= \uparrow \mid \mathcal{V}_S \mid \mathcal{V}_S \circ s \mid \uparrow \circ s'$ 

(Although our  $\sigma$  is different from Ríos', it is easy to see that it has exactly the same normal forms.) Therefore the contexts having a hole accepting terms of T are elements of the languages defined by the grammar:

In 
$$T$$
:  $C_t ::= \_ | \lambda C_t | C_t t | t C_t | C_t [s] | \mathcal{V}_T[C_s] | 1[C'_s]$   
In  $S$ :  $C_s ::= C'_s | C_t \cdot s | t \cdot C_s$   
 $C'_s ::= \mathcal{V}_S \circ C_s | \uparrow \circ C'_s$ 

which clearly defines sublanguages of  $\mathcal{S}_T$ ,  $\mathcal{S}_S$  and  $\mathcal{S}_S'$  respectively, proving the claim.  $\square$ 

Corollary 9 In the simply-typed  $\lambda \sigma$ -calculus, every  $\sigma$ -eager rewrite is finite.

**Proof:** In every  $\sigma$ -eager rewrite, every  $(\beta)$  is performed under a syntactically safe context by Lemma 8. This context is safe by Lemma 7, so Theorem 5 applies.  $\square$ 

We can do the same thing with the  $\lambda \sigma_{\hat{\Pi}}$ -calculus and  $\sigma_{\hat{\Pi}}$ -eager rewrites:

**Lemma 10** Let  $C\{u\}$  be a  $\sigma_{\Omega}$ -normal simply-typed term, where  $u \in T$ . Then C is a syntactically safe context.

**Proof:** An easy argument, similar to those found in [Río93], shows that the  $\sigma_{\uparrow\uparrow}$ -normal forms are all in the languages described by the following grammar:

In 
$$T$$
:  $t := 1 \mid \lambda t \mid tt \mid \mathcal{V}_T \mid \mathcal{V}_T[s] \mid 1[s']$   
In  $S$ :  $s := s' \mid id \mid t \cdot s \mid \uparrow s \mid \uparrow s \circ s'$   
 $s' := \uparrow \mid \mathcal{V}_S \mid \mathcal{V}_S \circ s \mid \uparrow \circ s'$ 

Indeed, we show by structural induction on the  $\sigma_{\uparrow\uparrow}$ -normal term u that: (1) if u is in T, then u is in language t, (2) if u is a stack, then u is in language s, (3) if u is a stack and 1[u] is  $\sigma_{\uparrow\uparrow}$ -normal, then u is in language s', (4) if u is a stack and  $\uparrow \circ u$  is  $\sigma_{\uparrow\uparrow}$ -normal, then u is in language s', and (5) if u is a stack and  $\uparrow v \circ u$  is  $\sigma_{\uparrow\uparrow}$ -normal, then u is in language s'.

First, observe that (2) implies (3): since 1[u] is  $\sigma_{\uparrow\uparrow}$ -normal, u cannot be id (by rule ([id])), a cons  $v \cdot w$  (rule (1) would be applicable) or a lift  $\uparrow v$  (rule (1  $\uparrow \uparrow$ ) would be applicable), or of the form  $\uparrow v \circ w$  (rule (1  $\uparrow \uparrow \uparrow v$ ) would be applicable).

Similarly, (2) implies (4), because of rules  $(\diamond id)$ ,  $(\uparrow)$ ,  $(\uparrow\uparrow)$  and  $(\uparrow\uparrow\uparrow \diamond)$ . And (2) implies (5) because of rules  $(\diamond id)$ ,  $(\uparrow\uparrow)$ ,  $(\uparrow\uparrow\uparrow)$  and  $(\uparrow\uparrow\uparrow \diamond)$ .

We now show (2). Let u be a  $\sigma_{\uparrow\uparrow}$ -normal stack. If u is in  $\mathcal{V}_S$  or is  $\uparrow$ , then u is clearly in s', hence in s. If u=id or if u is a cons  $v\cdot w$  or a lift  $\uparrow v$ , then u is also in s. Finally, if u is a composition  $v\circ w$ , then in particular v is in s; but v cannot be id (rule  $(id\circ)$  would apply), or a cons  $v_1\cdot v_2$  (rule  $(\cdot)$  would apply), or a composition  $v_1\circ v_2$  (rule  $(\circ)$  would apply); so v must be of the form  $\uparrow v_1$  (then u has the form  $\uparrow v_1\circ w$  with  $v_1\in s$  and  $w\in s$  by induction hypothesis; since (2) implies (5), w is actually in s', so u is in s, or  $v=\uparrow$  (then  $u=\uparrow \circ w$ , where  $w\in s$  by induction hypothesis; since (2) implies (4), w is actually in s', so u is in s', hence in s), or v is a variable v in v (then v is a with v is a positive v in v is a variable v in v in

We now show (1). Let u be a  $\sigma_{\widehat{\Pi}}$ -normal term in T. If u is a variable in  $\mathcal{V}_T$ , an abstraction  $\lambda v$ , an application vw or 1, then u is in t. Finally, if u is of the form v[w], then v must be a variable in  $\mathcal{V}_T$  or be equal to 1: the other cases would be reducible by rules  $(\lambda)$ , (app) or ([]). Moreover, by induction hypothesis w is in s. If v is a variable x in  $\mathcal{V}_T$ , then u = x[w] is in t. If v = 1, then u = 1[w] where w is in s, and since (2) implies (3), w is actually in s'; so u is in t again.

It follows that the contexts having a hole accepting terms in T are in the languages defined by the grammar:

In 
$$T$$
:  $C_t ::= \_ | \lambda C_t | C_t t | t C_t | C_t [s] | \mathcal{V}_T[C_s] | 1[C_s']$   
In  $S$ :  $C_s ::= C_s' | C_t \cdot s | t \cdot C_s | \uparrow C_s | \uparrow C_s \circ s' | \uparrow s \circ C_s'$   
 $C_s' ::= \mathcal{V}_S \circ C_s | \uparrow \circ C_s'$ 

and it is easy to see that  $C_t$ ,  $C_s$ ,  $C_s'$  are respective sublanguages of  $\mathcal{S}_T$ ,  $\mathcal{S}_S$  and  $\mathcal{S}_S'$ .  $\square$ 

It follows:

Corollary 11 In the simply-typed  $\lambda \sigma_{\uparrow}$ -calculus, every  $\sigma_{\uparrow}$ -eager rewrite is finite.

**Proof:** In every  $\sigma_{\uparrow}$ -eager rewrite, every  $(\beta)$  is performed under a syntactically safe context by Lemma 10. This context is safe by Lemma 7, so Theorem 5 applies.  $\square$ 

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