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INSTITUT NATIONAL DE RECHERCHE EN INFORMATIQUE ET EN AUTOMATIQUE

# Choosability of bipartite graphs with maximum degree $\Delta$

Stéphane Bessy — Frédéric Havet — Jérôme Palaysi

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\_ THÈME 1 \_

apport de recherche



## Choosability of bipartite graphs with maximum degree $\Delta$

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Abstract: Let G=(V(G),E(G)) be a graph. A list assignment is an assignment of a set L(v) of integers to every vertex v of G. An L-colouring is an application C from V(G) into the set of integers such that  $C(v) \in L(v)$  for all  $v \in V(G)$  and  $C(u) \neq C(v)$  if u and v are joined by an edge. A (k,k')-list assignment of a bipartite graph G with bipartition (A,B) is a list assignment L such that |L(v)| = k if  $v \in A$  and |L(v)| = k' if  $v \in B$ . A bipartite graph is (k,k')-choosable if it admits an L-colouring for every (k,k')-list assignment L. In this paper, we study the (k,k')-choosability of graphs. Alon and Tarsi [2] proved in an algebraic and non-constructive way, that every bipartite graph with maximum degree  $\Delta$  is  $(\lceil \Delta/2 \rceil + 1, \lfloor \Delta/2 \rfloor + 1)$ -choosable. In this paper, we give an alternative and constructive proof to this result. We conjecture that this result is sharp (i.e. there is a bipartite graph with maximum degree  $\Delta$  that is not  $(\lceil \Delta/2 \rceil, \lfloor \Delta/2 \rfloor + 1)$ -choosable) and prove it for  $\Delta \leq 5$ . Moreover, for a fixed  $\Delta \in \{4,5\}$ , we show that given a bipartite graph with maximum degree  $\Delta$  and a  $(\lceil \Delta/2 \rceil, \lfloor \Delta/2 \rfloor + 1)$ -list assignment L, it is NP-complete to decide if G is L-colourable. At last, we give upper bounds for the minimum size  $n_3(\Delta)$  of a non (3,3)-choosable bipartite graph with maximum degree  $\Delta$ :  $n_3(5) \leq 846$  and  $n_3(6) \leq 128$ .

Key-words: list colouring, choosability, bipartite graph, NP-complete

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### Choisissabilité des graphes bipartis de degré maximum $\Delta$

**Résumé**: Soit G = (V(G), E(G)) un graphe. Une affectation de listes est l'affectation à chaque sommet v de G d'un ensemble d'entiers L(v). Une L-coloration est une application C de V(G) dans l'ensemble des entiers telle que  $C(v) \in L(v)$  pour tout  $v \in V(G)$  et  $C(u) \neq C(v)$  si u et v sont reliés par une arête. Une (k,k')-affectation de listes d'un graphe biparti G de bipartition (A,B) est une affectation de listes l telle que |L(v)|=ksi  $v \in A$  et |L(v)| = k' si  $v \in B$ . Un graphe biparti est (k, k')-choisissable s'il admet une L-coloration pour toute (k, k')-affectation de listes L. Dans ce rapport, nous étudions la (k,k')-choisissabilité des graphes. Alon et Tarsi ont prouvé, de manière algébrique et non constructive, que tout graphe biparti de degré maximum  $\Delta$  est  $(\lceil \Delta/2 \rceil + 1, |\Delta/2| + 1)$ choisissable. Nous donnons une preuve constructive de ce résultat. Nous conjecturons que ce résultat est le meilleur possible (i.e. il y a des graphes bipartis de degré maximum  $\Delta$  qui ne sont pas  $([\Delta/2], |\Delta/2| + 1)$ -choisissables). Nous montrons ceci pour  $\Delta \leq 5$ . De plus, pour  $\Delta \in \{4,5\}$  fixé, nous montrons qu'étant donnés un graphe biparti de degré maximum  $\Delta$  et une  $([\Delta/2], |\Delta/2)| + 1)$ -affectation de listes L, il est NP-complet de décider si G est L-colorable. Enfin, nous donnons des bornes supérieures du nombre minimum de sommets  $n_3(\Delta)$  d'un graphe biparti de degré maximum  $\Delta$  non (3,3)-choisissable:  $n_3(5) \leq 846$  et  $n_3(6) \le 128.$ 

Mots-clés: coloration sur liste, choisissabilité, graphe biparti, NP-complet

The list colouring problem is a variation and generalization of the well-known problem of colouring the vertices of a graph with as few colours as possible so that adjacent vertices get distinct colours. The additionnal requirement in this concept is that every vertex v has to be coloured with a colour from a set L(v) of allowed colours which is assigned to every vertex of the graph.

List colouring is well motivated by various practical or theoretical problems. It provides a natural interpretation for scheduling problems [3, 4], extendability of partial Latin squares [1] and frequency assignment problems [11, 16]. Other interesting problems which lead to list colourings may be found in [15].

Let G be a graph with vertex set V(G) and edge set E(G). The degree d(v) of a vertex v is the number of edges incident to it. The maximum degree of G is  $\Delta(G) := \max\{d(v)|v \in V(G)\}$ . A list assignment L is an assignment of a set L(v), called the list of v, of integers to every vertex  $v \in V(G)$ . If all lists L(v) have the same number k of elements then L is called a k-list assignment and k the length of L.

Let L be a list assignment. An L-colouring is a application C from V(G) into the integers set such that  $C(v) \in L(v)$  for all v in V(G) and  $C(u) \neq C(v)$  if u and v are joined by an edge. A graph is L-colourable if it admits an L-colouring. Notice that these definitions lead to the problem of k-colourability of G if all lists are identical with  $L(v) = \{1, 2, \ldots, k\}$ .

A graph is k-choosable if it is L-colourable for all k-list assignment L. Of course, it is interesting to ask about the shortest length k of list assignment such that the graph is always list colourable. The choice number ch(G) of G is the smallest integer such that G is k-choosable. Obviously, the choice number is at least as big as the chromatic number  $\chi(G)$ , that is the smallest integer such that G is k-colourable. Furthermore, the ratio  $ch(G)/\chi(G)$  may be arbitrarily large. In particular, Erdős, Rubin and Taylor [6] established that for arbitrary k there are bipartite (i. e. 2-colourable) graphs that are not k-choosable. Moreover, Gravier [8] proved that every non-k-choosable graphs may be constructed from non-k-choosable bipartite graphs using three operations. This theorem is an analogue of Hajós's Theorem on colourings [10] stating that every non-k-colourable graphs can be obtained from the complete graph  $K_{k+1}$  by three operations (almost identical to those of Gravier). Hence, bipartite graphs play a special role in investigations of list colourings and choosability, more or less the same as complete graphs do in colourability. And since they have a simple structure in relation to ordinary vertex colourings, it seems to be reasonable to consider first the choosability of bipartite graphs. Rubin[6] characterized all 2-choosable graphs. Mahadev, Roberts and Santhanakrishnan [13] started to characterize complete bipartite 3-choosable graphs and Shende and Tesman [17] and O'Donnell [14] completed this characterization. In particular,  $K_{7,7}$  is not 3-choosable.

In this paper, we investigate the choosability of bipartite graphs with maximum degree  $\Delta$  and the complexity of the corresponding problem. More precisely, we study the (k, k')-choosability of bipartite graphs. A bipartite graph with bipartition (A, B) is (k, k')-choosable if it is L-colourable for every (k, k')-list assignment L, i. e. such that |L(v)| = k if  $v \in A$  and |L(v)| = k' if  $v \in B$ .

Alon and Tarsi [2] proved the following:

**Theorem 1 (Alon et Tarsi [2])** Every bipartite graph with maximum degree  $\Delta$  is  $(\lceil \Delta/2 \rceil + 1, \lfloor \Delta/2 \rfloor + 1)$ -choosable.

However, the proof given by Alon and Tarsi is not constructive. In the first section, we give a constructive proof of this result. We conjecture that the lower bound  $(\lceil \Delta/2 \rceil + 1, \lfloor \Delta/2 \rfloor + 1)$  of Theorem 1 is sharp.

Conjecture 1 For any  $\Delta$ , there is a bipartite graph with maximum degree  $\Delta$  that is not  $(\lceil \Delta/2 \rceil, |\Delta/2 | + 1)$ -choosable.

We also consider the complexity of the corresponding list colouring problem:

#### $\Delta$ Bipartite graph List Colouring Problem ( $\Delta$ -BLCP):

Instance: A bipartite graph G with maximum degree  $\Delta$  and a  $(\lceil \Delta/2 \rceil, \lfloor \Delta/2 \rfloor + 1)$ -list assignment.

Question: Is G L-colourable?

For  $\Delta \leq 3$ , Conjecture 1 holds and  $\Delta$ -BLCP is polynomial since the 2-List Colouring problem is easy to solve as observed in both early papers [18] and [6]. In Section 2 and 3, we prove that Conjecture 1 holds and that  $\Delta$ -BLCP is NP-complete when  $\Delta$  is 4 and 5, respectively.

In particular, we exhibit a non 3-choosable bipartite graph  $G_5$  with maximum degree 5 which has a thousand of vertices. A natural question is to ask for the minimum number of vertices  $n_3(\Delta)$  of a non-3-choosable bipartite graphs with maximum degree at most  $\Delta$ . Our example give us  $n_3(5) \leq 846$ . The complete bipartite  $K_{7,7}$  is not 3-choosable. And there is no non-3-choosable bipartite graph with less than 14 vertices (see [12]). Hence,  $n_3(\Delta) = 14$  if  $\Delta \geq 7$ . In the last section, we show that  $n_3(6) \leq 128$ .

## 1 Constructive proof of Theorem 1.

The aim of this section is to give a constructive proof of the following theorem due to Alon and Tarsi.

Let D be a digraph with vertex set V(D) and arc set A(D). The outneighbourhood of a vertex v is the set  $N^+(v) := \{x | (v, x) \in A(D)\}$ . Its cardinality, called the outdegree of v is denoted by  $d^+(v)$ . If  $v \in N^+(v)$ , we say that v dominates v. A stable set v of v is a set of vertices such that there is no arc v with v is and v is a stable set v such that every vertex is either in v or dominated by a vertex of v.

**Lemma 1 (Bondy, Boppana, Siegel [7])** Let D be a digraph. Let L be a list assignment such that  $d^+(v) + 1 = |L(v)|$  for each vertex v. If every induced subdigraph of D has a kernel then D is L-colourable.

Moreover the proof of this lemma is constructive and give the following algorithm to find an L-colouring of D.

```
Let \bigcup_{v \in V(D)} L(v) = \{c_1, c_2, \dots, c_p\}.
For i = 1 to n do

Find a kernel K_i of the graph induced on G by the vertices whose list contains c_i.

Assign the colour c_i to every vertex of K_i.

Set G = G - K_i.
```

Lemma 2 Every bipartite digraph has a kernel.

**Proof.** Let D be a bipartite digraph. One can find one of its kernel K with following algorithm:

```
Step 0: Initialize K to the empty set.
Step 1: If there is a vertex x with no inneighbour then, add x to K, set V(D) := V(D) \setminus (N^+(x) \cup \{x\}) and go to Step 1.
Step 2: Add one of the vertex classes of the bipartition of D to K.
```

Let us prove that the set K is a kernel. Let x and y be two vertices of K. Suppose for contradiction that (x,y) is an arc of D. Then x and y have not been put in K by Step 2. If x has been put in K before y then  $y \notin N^+(x)$ , which contradicts that (x,y) is an arc. And y has been put in K before x, then x is not an inneighbour of y which is again a contradiction. Hence K is a stable. Now let y be a vertex of  $Y(D) \setminus K$ . If it has been deleted from Y(D) at Step 1 and it belongs to  $X^+(x)$  for some  $x \in K$ . If not, it was in D at Step 2 thus it has an inneighbour y that is in the other vertex class of the bipartition. And  $y \in K$  since  $x \notin K$ . So, every vertex of  $Y(D) \setminus K$  is dominated by a vertex of X. Hence X is a kernel of X.

**Proof.** (of Theorem 1) By König's Theorem, the edges of G may be partitionned in  $\Delta$  matchings  $M_1, M_2, \ldots, M_{\Delta}$ . Let D be the orientation of G such that every edge is oriented from A to B if and only if it is in  $\bigcup_{i=1}^{\lceil \Delta/2 \rceil} M_i$ . Then every vertex of A has outdegree at most  $\lceil \Delta/2 \rceil$  and every vertex of B has outdegree at most  $\lfloor \Delta/2 \rfloor$ . Then Lemmas 1 and 2 yield the result.

# 2 Bipartite graphs with maximum degree 4

Let H and  $L_H[1]$  be the graph and its list assignment depicted Figure 1. For any integer i, let  $L_H[i]$  be the list assignment obtained from  $L_H[1]$  by applying a permutation  $\sigma$  on the integers which satisfies  $\sigma(1) = i$ .

**Proposition 1** For any i, H is not  $L_H[i]$ -colourable.

**Proof.** It suffices to prove it for i = 1. Suppose that there is a  $L_H[1]$ -colouring C. Then C(u) = 4 thus d, e and f are not coloured 4. Since the complete bipartite  $K_{3,3}$  is not list colourable with lists  $\{1,2\}, \{1,3\}, \{2,3\}$  on each vertex class, we get a contradiction.

Corollary 1 There is a bipartite graph with maximum degree 4 that is not (3,2)-choosable.

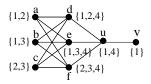


Figure 1: The graph H and its list assignment  $L_H[1]$ 

**Proof.** Let G be the graph consisting of three copies  $H_i$ ,  $1 \le i \le 3$  of H whose vertices v are identified. Let L be the list assignment such that  $L(v) = \{1, 2, 3\}$  and that L coincides with  $L_H[i]$  on  $V(H_i) \setminus v$  for  $1 \le i \le 3$ . Then G is not L-colourable. Indeed if v is coloured  $i \in \{1, 2, 3\}$  then Proposition 1 give a contradiction in  $H_i$ .

To prove the NP-completeness of 4-BLCP, we need to prove the NP-completeness of the following problem.

#### Auxiliary Bipartite List Colouring Problem (ABLCP)

Instance: A bipartite graph G with bipartition (A,B) such that each vertex has degree 2 or 3 and a list assignment L such that |L(v)|=2 if  $v\in A$  and |L(v)|=d(v) if  $v\in B$ . Question: Is G L-colourable?

#### Theorem 2 (Gravier [9]) ABLCP is NP-complete.

**Proof.** Given a Boolean formula  $\Phi$  in conjunctive normal form, with a set C of clauses over the set X of variables. Let us define the graph  $G_{\Phi}$  as follows: Its vertex set is  $C \cup \{(c,x)|x \in c \in C\} \cup \{(c,\bar{x})|x \in c \in C\}$ . For all  $x \in c \in C$ , the vertex (c,x) is joined to the vertex c and  $(c,\bar{x})$ . For each  $x \in X$ , the subgraph induced by the vertices (c,x) and  $(c,\bar{x})$  for  $x \in c$  is a cycle.

The symbols x and  $\bar{x}$  will be taken for the colours, and the list assignment L is defined as follows:

$$L(c) := \{ \bar{x} | x \in c \} \cup \{ x | \neg x \in c \} \quad \forall c \in C$$

and

$$L((c,x)) = L((c,\bar{x})) := \{x,\bar{x}\} \ \forall x \in c \in C$$
.

With  $A = \{(c, x) | x \in c \in C\}$  and  $B = C \cup \{(c, \overline{x}) | x \in c \in C\}$ , (A, B) is a bipartition of  $G_{\Phi}$  such that |L(v)| = 2 if  $v \in A$  and |L(v)| = d(v) if  $v \in B$ .

In an L-colouring, for each  $x \in X$ , the vertices of  $\{(c,x)|x \in c \in C\}$  must get the same colour because the subgraph induced by the vertices (c,x) and  $(c,\bar{x})$  for  $x \in c$  is a cycle. It can be seen that there is a one-to-one correspondance between the satisfying truth assignments of  $\Phi$  and the L-colourings. Then the NP-completeness of 3-SAT yield the result.

**Theorem 3** 4-BLCP is NP-complete.

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#### Proof.

Let (G, L) be an instance of the ABLCP. An equivalent instance (G', L') may be obtained for the 4-BLCP: For each vertex  $x \in A$  whose list L(x) has cardinality 2, set  $L'(x) = L(x) \cup \{e\}$  for some  $e \notin L(v)$ , identify x to the vertex v of a copy  $H_x$  of H and set  $L'(u) = L_H[e](u)$  for  $u \in V(H_x) \setminus x$ . It is easy to check that G' has degree at most four.

# 3 Non 3-choosable bipartite graph with maximum degree 5

In this section, we construct a non-3-choosable bipartite graph with maximum degree 5. For that, we need four intermediary graphs.

Let M and  $L_M[4,5]$  be the graph and its list assignment depicted Figure 2. Note that M is bipartite and that the vertices a and b lie in different parts of the bipartition. For any two integers  $i \neq j$ , let  $L_M[i,j]$  be the list assignment obtained from  $L_M[4,5]$  by applying a permutation  $\sigma$  on the integers which satisfies  $\sigma(4) = i$  and  $\sigma(5) = j$ .

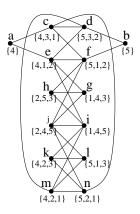


Figure 2: The graph M and its list assignment  $L_M[4,5]$ .

**Proposition 2** For any  $i \neq j$ , M is not  $L_M[i,j]$ -colourable.

**Proof.** Without loss of generality, it suffices to prove it for  $L_M[4,5]$ . Suppose that M admits a  $L_M[4,5]$ -colouring C: colour 4 is forbidden on c and e, and 5 is forbidden on d and f. If C(f) = 1, we force C(c) = 3 and C(e) = 2, and then d may not be coloured. So, we have C(f) = 2 and, consequently, C(e) = 1. The same reasonnement applied on vertices g, h, i and j shows that C(i) = 4 and C(j) = 5, and on the vertices k, l, m and n, it gives that C(m) = 2 and C(n) = 1. Hence colours 4 and 1 are forbidden on c and colours 5 and 2 are forbidden on d, and we must have C(c) = C(d) = 3, which is impossible.

Let N and  $L_N[4,5]$  be the graph and its list-assignment depicted Figure 3. The vertices  $a_1$  and  $b_1$ , and  $a_2$  and  $b_2$  are identified with the vertices a and b of the two copies of M,  $M_1$  and  $M_2$  respectively. And  $L_N[4,5]$  coincides with  $L_M[5,1]$  (resp.  $L_M[5,2]$ ) on  $V(M_1) \setminus \{a_1,b_1\}$  (resp.  $V(M_2) \setminus \{a_2,b_2\}$ ). Note that the graphs N is bipartite and that s and w lie in the same part of the bipartition. For any two integers  $i \neq j$ , let  $L_N[i,j]$  be the list assignment obtained from  $L_N[4,5]$  by applying a permutation  $\sigma$  on the colours  $\{1,\cdots,5\}$  which satisfies  $\sigma(4)=i$  and  $\sigma(5)=j$ .

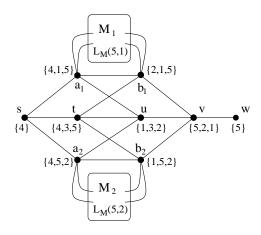


Figure 3: The graph N and its list assignment  $L_N[4, 5]$ .

**Proposition 3** For any  $i \neq j$ , N is not  $L_N[i, j]$ -colourable.

**Proof.** Suppose that N admits a  $L_N[4,5]$ -colouring C. Colour 4 is forbidden on vertices  $a_1$ ,  $a_2$  and t and colour 5 is forbidden on vertex v. If C(v) = 1, then  $C(b_2) \neq 1$  and  $C(u) \neq 1$ . Moreover,  $C(b_2)$  can not be 5, otherwise C(t) = 3 and  $C(a_2) = 2$  and u can not be coloured. Then  $C(b_2) = 2$  and  $C(a_2) = 5$  but this contradicts Proposition 2. Consequently, v may not be coloured 1. Analogously, by symmetry, v can not be coloured 2. So, v is not v is not v in v in

Let P and  $L_P[4,5]$  be the graph and its list assignment depicted Figure 4. The vertices  $a_3$  and  $b_3$  are identified with the vertices a and b of a copy of M,  $M_3$ . And  $L_P[4,5]$  coincides with  $L_M[2,1]$  (resp.  $L_N[3,1]$ ) on  $V(M_3) \setminus \{a_3,b_3\}$  (resp.  $V(N) \setminus \{s,w\}$ ). The graph P is bipartite and s and w lie in different part of the bipartition. For  $i \neq j$ , let  $L_P[i,j]$  be the list assignment obtained from  $L_P(4,5)$  by applying a permutation  $\sigma$  on the integers which satisfies  $\sigma(4) = i$  and  $\sigma(5) = j$ .

**Proposition 4** For any  $i \neq j$ , P is not  $L_P[i,j]$ -colourable.

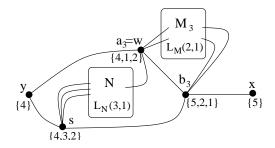


Figure 4: The graph P and its list assignment  $L_P[4,5]$ .

**Proof.** Let C be an  $L_P[4,5]$ -colouring of P. Then  $C(s) \neq 4$ ,  $C(a_3) \neq 4$  and  $C(b_3) \neq 5$ . Furthermore  $C(b_3) = 2$ . Otherwise,  $C(b_3) = 1$  so  $C(a_3) = 2$  which contradicts Proposition 2. Hence C(w) = 1 and C(s) = 3. This contradicts Proposition 2. So P is not  $L_P[4,5]$ -colourable.

Let Q be the graph obtained from two copies  $P_1$  and  $P_2$  by identifying the vertices coresponding to x and y and adding an edge between them. See Figure 5. And let  $L_Q[4]$  be the list assignment coinciding with  $L_P[1,4]$  (resp.  $L_P[2,4]$ ) on  $V(P_1) \setminus y$  (resp.  $V(P_2) \setminus y$ ) and  $L_Q[4](y) = \{1,2,4\}$ .

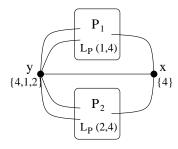


Figure 5: The graph Q and its list assignment  $L_Q[4]$ .

**Proposition 5** Q is not  $L_Q[4]$ -colourable.

**Proof.** Clearly, Q is not  $L_Q[4]$ -colourable. Indeed y may not be coloured because of the edge  $\{y,x\}$  and by Proposition 4 it can not be coloured 1 or 2 because of  $P_1$  and  $P_2$ .

Let  $G_5$  and  $L_5$  be the graph depicted Figure 6: Each  $Q_i$ ,  $1 \le i \le 10$ , is a copy of Q and L coincides with  $L_Q(4)$  on each  $Q_i \setminus x_i$ . Obviously,  $G_5$  is bipartite and has maximum degree 5.

**Proposition 6** G is not  $L_5$ -colourable, so it is not 5-choosable.

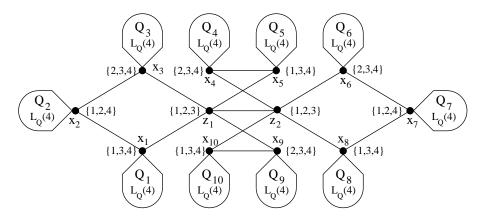


Figure 6: The graph  $G_5$  and its list assignment  $L_5$ .

**Proof.** Suppose that  $G_5$  admits a  $L_5$ -colouring C. Every  $x_i$ ,  $1 \le i \le 10$ , is not coloured 4 by Proposition 5. If  $C(z_1) = 3$ , then  $C(x_1) = 3$  and  $C(x_3) = 2$  and we can not colour  $x_2$ . Analogously  $C(z_2) = 3$  leads to a contradiction. If  $C(z_1) = 1$  then  $C(z_2) = 2$ , so  $C(x_4) = C(x_5) = 3$  which is a contradiction. And if  $C(z_1) = 1$  then  $C(z_2) = 2$  thus  $C(x_9) = C(x_{10}) = 3$  which is a contradiction.

Remark 3.1 In the proof of Proposition 6, the non colourable list assignment uses five different colours. This is the minimum number of colour for a counterexample. Indeed if a list assignment L uses only four colour then it is always L-colourable as observed in [5].

**Theorem 4** 5-BLCP is NP-complete.

**Proof.** Let R be the subgraph of  $G_5$  induced by the vertices of  $V(G_5) \setminus (V(Q_1) \setminus \{x_1\})$  and let  $L_R[1]$  be the list assignment defined by  $L_R[4](v) = L_5(v)$  if  $v \in V(R)$ . For any integer i, let  $L_R[i]$  be the list assignment obtained from  $L_R[4]$  by applying a permutation  $\sigma$  on the integers which satisfies  $\sigma(4) = i$ . From the proof of Proposition 6, we deduce that every  $L_R[4]$ -colouring C of R satisfies  $C(x_1) = 4$ . Thus for any integer i, every  $L_R[i]$ -colouring C of R satisfies  $C(x_1) = i$ . Let (G, L) be an instance of the ABLCP. An equivalent instance (G'', L'') may be obtained for the 5-BLCP: For each vertex  $x \in V(G)$  whose list L(x) has cardinality 2, set  $L''(x) = L(x) \cup \{e\}$  for some  $e \notin L(v)$ , join x to the vertex v of a copy  $R_x$  of R and set  $L''(u) = L_R[e](u)$  for  $u \in V(R_x)$ . It is easy to check that G'' has degree at most five.

# 4 Upper bounds for $n_3(\Delta)$

The graph  $G_5$  has 942 vertices, so  $n_3(5) \leq 942$ . However, one can get a slightly better bound:

Proposition 7  $n_3(5) \leq 846$ .

**Proof.** Let G be the graph obtained from  $G_5$  by doing the following: For  $4 \le i \le 6$ , (resp. i = 3) identify the vertices h, g, k and l of each subgraph M of  $Q_i$  with the vertices h, g, k and l of the identical M in  $Q_{14-i}$  (resp.  $Q_1$ ). It is easy to check that G is bipartite, because identified vertices lied in the same part of the bipartition, and G has maximal degree 5. Moreover, for two identified vertices u and v,  $L_5(u) = L_5(v)$ . Hence,  $L_5$  is still well-defined and G is not  $L_5$ -colourable. The graph G has 846 vertices (24 less per pair of  $Q_i$ ). Hence  $n_3(5) \le 846$ .

Let us now construct a small non 3-choosable bipartite graph with maximum degree 6. As in the previous section, we need some intermediary graphs.

**Proposition 8** Let  $(\{b,c,d\},\{b',c',d'\})$  be the bipartition of  $K_{3,3}$ . And let L be the following list assignment:  $L(b) = \{1,2\} = L(b')$ ,  $L(d) = L(c') = \{1,3\}$ ,  $L(c) = \{2,3,4\}$  and  $L(d') = \{2,3\}$ . Then any L-colouring C of  $K_{3,3}$  (of the two) satisfies C(c) = 4, C(b) = C(d) = 1, C(b') = 2 and C(c') = 3.

**Proof.** Let C be an L-colouring of  $K_{3,3}$ . Since  $K_{3,3}$  is not list colourable with lists  $\{1,2\}$ ,  $\{1,3\}$ ,  $\{2,3\}$  on each vertex class, C(c)=4. Moreover C(b')=2 otherwise C(b)=2 and C(d)=3 and d' can not be coloured. In the same way, C(c')=3. It follows that C(b)=C(d)=1.

**Proposition 9** Let F be the graph and  $L_F$  the list assignment depicted Figure 7. Then F is not  $L_F$ -colourable.

**Proof.** Suppose that F admits a  $L_F$ -colouring C. Then C(v) = 4, thus C(v') is 2 or 5.

Suppose that it is 5. Then b', c' and d' may not be coloured 5. Moreover b and d may not be coloured 4. Thus by Proposition 8, C(c) = 4, C(b) = 1 and C(b') = 2 and C(c') = 3. Hence C(a') = 5 = C(a) which is a contradiction.

Analogously, if C(v') = 2, we get the contradiction C(g') = 2 = C(g)

Let  $G_6$  and  $L_6$  be the graph and its list-assignment depicted Figure 8. The vertex  $v_i$ ,  $1 \le i \le 9$ ,  $b_1$ , is identified with the vertex v of the copy  $F_i$  of F. And  $L_6$  coincides with  $L_F$  on  $F_i \setminus v_i$  for 1 < i < 9.

**Proposition 10**  $G_6$  is not  $L_6$ -colourable. So it is not 3-choosable.

#### Proof.

Let us show that  $G_6$  is not L-colourable. By Proposition 9, none of the  $v_i$  may be coloured with 4. Suppose now that x is coloured with 1 then  $v_1$  must be coloured 2 and  $v_3$  coloured 3 then  $v_2$  may not be coloured which is a contradiction. Analogously, if x is coloured 2 (resp. 3), we obtain a contradiction along the 4-cycle  $(x, v_4, v_5, v_6)$  (resp.  $(x, v_7, v_8, v_9)$ ).

The graph  $G_6$  has 145 vertices, so  $n_3(6) \le 145$ . Once again, one can get a better bound:

**Proposition 11**  $n_3(6) \le 128$ .

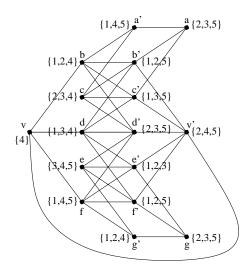


Figure 7: The graph F and the list assignment  $L_F$ 

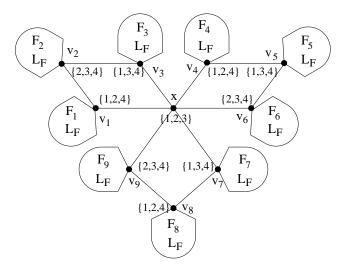


Figure 8: The graph  $G_6$ 

**Proof.** Let G be the graph obtained from  $G_6$  by doing the following: For  $i \in \{1,4,7\}$  (resp. i=2), identify the vertices a', a, g' and g of  $F_i$  with the vertices a', a, g' and g of  $F_{i+2}$  (resp.  $F_5$ ). And identify the vertex a of  $F_8$  with the vertex g of  $F_8$ . It is easy to check

that G is bipartite, because identified vertices lied in the same part of the bipartition, and G has maximal degree 6. Moreover, for two identified vertices u and v,  $L_6(u) = L_6(v)$ . Hence, G is not  $L_6$ -colourable and has 128 vertices. Hence  $n_3(6) \leq 128$ .

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