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THÈME 2



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de recherche*

The arithmetic of Jacobian groups of superelliptic cubics

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Abstract: We present two algorithms for the arithmetic of cubic curves with a totally ramified prime at infinity. The first algorithm, inspired by Cantor's reduction for hyperelliptic curves, is easily implemented with a few lines of code, making use of a polynomial arithmetic package. We prove explicit reducedness criteria for superelliptic curves of genus 3 and 4, which show the correctness of the algorithm. The second approach, quite general in nature and applicable to further classes of curves, uses the FGLM algorithm for switching between Gröbner bases for different orderings. Carrying out the computations symbolically, we obtain explicit reduction formulae in terms of the input data.

Key-words: superelliptic curve, C_{ab} curve, Jacobian, arithmetic, Gröbner basis.

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Arithmétique des jacobiniennes de courbes superelliptiques cubiques

Résumé : À partir d'une courbe plane C définie sur un corps K , on peut construire naturellement un groupe noté $J_K(C)$, appelé la jacobienne de C sur K . Pour une utilisation cryptographique de $J_K(C)$, il est nécessaire d'avoir une représentation unique des éléments de ce groupe et un algorithme, ou des formules explicites, pour réaliser la loi de groupe. Ceci est déjà bien étudié pour les courbes elliptiques et hyperelliptiques.

Pour C une courbe cubique superelliptique, nous donnons un critère de réduction explicite pour la représentation typique d'un élément de $J_K(C)$.

Nous présentons deux nouveaux algorithmes de calcul de la loi de groupe dans les courbes cubiques telles que le diviseur à l'infini est totalement ramifié. Le premier algorithme est inspiré de la réduction de Cantor dans le cadre des courbes hyperelliptiques. Il présente l'avantage de s'implanter efficacement en quelques lignes de code si on utilise une bibliothèque d'arithmétique de polynômes. La seconde approche fournit une méthode générale pour obtenir des formules explicites. Il est basé sur l'algorithme FGLM qui, à partir d'une base de Gröbner pour un ordre donné, trouve une base de Gröbner pour un autre ordre.

Mots-clés : courbes superelliptiques, courbes C_{ab} , jacobiniennes, arithmétique, bases de Gröbner.

1 Introduction

The success of elliptic curves in public key cryptography has created new interest in the arithmetic of other curves. Indeed, being able to properly represent elements of the associated group, the Jacobian, and to effectively realise the group law is the first prerequisite for implementing a cryptosystem based on a curve. The two simplest classes of curves, elliptic and hyperelliptic ones, which are quadratic covers of the projective line, are well studied. So the focus has shifted towards the next complex types of curves, cyclic Galois covers of the projective line, namely superelliptic curves, and more general curves, the C_{ab} curves [Miu98]. All these curves have a unique, rational point at infinity, so that the rational part of their Jacobian group is isomorphic to the ideal class group of the coordinate ring of the curve.

In a cryptographic context, one is interested in curves defined over a finite field \mathbb{F}_q . Curve based cryptosystems may only be secure if their associated discrete logarithm problem is intractable. This requires the Jacobian order $N \approx q^g$ (where g denote the genus of the curve) to be sufficiently large to be resistant against attacks with complexity $O(\sqrt{N})$. Using curves of genus greater than 1 allows to decrease the size of the ground field for the same order of magnitude. Another necessary condition is that N be explicitly known and have a large prime factor. Recent progress on point counting methods allows to obtain cryptographically suitable superelliptic curves over finite fields of small characteristic [GG01].

In the light of subexponential attacks on the discrete logarithm problem in hyperelliptic curves of large genus [ADH94, MST99, Eng02, EG02, Eng01b] and their analysis for small genus in [Gau00], it seems advisable to restrict the genus to at most 4 also in the case of other curves. As even genus 4 curves are probably less secure than elliptic curves for the same group order, curves of genus 3 are the most attractive ones from a cryptographic point of view. With superelliptic and C_{ab} curves, a genus of 3 or 4 can only be realised if the curve is a cubic cover of the projective line.

Jacobians can be seen as divisor class groups, which by their very nature do not admit a unique representation for their elements. In the case of Jacobians of superelliptic or C_{ab} curves, however, a divisor class is canonically given by the divisor of smallest degree it contains, the so-called *reduced* representative (this is explained in more detail in Section 2). The core of their arithmetic therefore consists of the *reduction* process, transforming any group element into its equivalent reduced representative. The associated decision problem is, given an element of the Jacobian, to decide whether it is already reduced. A necessary, but in general not sufficient condition for an ideal to be reduced is that its degree does not exceed the genus of the curve. In Section 3, we use the geometry of superelliptic curves to characterise reduced elements in genus 3 or 4. In particular, we show that for the type of ideals encountered most of the time, the constraint that their degrees do not exceed the genus is already a sufficient criterion for reducedness. We also prove that unfortunately, this is no more the case for superelliptic curves of higher genus.

There are several general purpose algorithms for Jacobian arithmetic via effective versions of the Riemann–Roch theorem [HI94, Vol94, Heß01]. For superelliptic and C_{ab} curves, specific, more efficient algorithms are described in [Ari99, GPS00, HS00, Bau01]. The closely

related arithmetic of cubic curves with several points at infinity is treated in [Sch01]. The algorithms use the representation of Jacobian elements by polynomials and rely on rather heavy techniques of symbolic computation like LLL, Hermite normal form and Gröbner basis computation. On a high level, these algorithms admit a unifying description, see Algorithm 13. In Section 5 of this article, we present two new reduction algorithms for cubic superelliptic and C_{ab} curves, which fit into this common framework. For the sake of conciseness, we limit the presentation to superelliptic cubics, indicating the necessary modifications for C_{ab} curves in Section 6.

Before developing the new algorithms, we exhibit a special class of ideals allowing a simplified polynomial representation, which is in fact not so special at all: assuming a uniform probability distribution over the elements of the Jacobian and that g is fixed and $q \rightarrow \infty$, then these “special” ideals occur with a probability of $1 - \frac{1}{q}O(1)$. Hence, we call these elements “typical”.

Our first algorithm is inspired by the similarity between the representation of typical superelliptic and of hyperelliptic ideals. It generalises Cantor’s reduction algorithm for hyperelliptic curves as described in [Can87] and, for characteristic 2, in [Eng01a]. The algorithm uses only basic polynomial arithmetic, on top of which it is easily implemented in a few lines of code. For general superelliptic cubics, it returns an ideal of degree at most g . In genus 3 and 4, this means that the output is indeed reduced according to our results of Section 3.

Our second approach is based on the FGLM algorithm of [FGLM93] for switching between Gröbner bases for different orderings. In our context, FGLM provides the link between the lexicographic and the C_{ab} order (cf. Definition 1). The technique we propose is in fact quite general and applies to arbitrary, also non-cubic C_{ab} curves. Carrying out all computations symbolically, one obtains explicit formulae for the reduced ideal in terms of the input ideal, operating on the polynomial representation. We derive such formulae for typical ideals of superelliptic curves of genus 3. Evidently, these formulae can be made completely explicit to obtain the coefficients of the output polynomials via straight line programmes from the coefficients of the input polynomials, very much as in the case of elliptic curves. This also yields the precise number of operations in the base field. However, the results depend heavily on the exact layout of the computations. We briefly report on this approach in Section 6, leaving the details to a forthcoming, more implementation oriented publication [BEFG02].

2 Jacobians of superelliptic cubics

2.1 Definitions and elementary properties

Let K be a field of characteristic different from 3 and \overline{K} its algebraic closure. A *superelliptic cubic*, as introduced in [GPS00], is an affine plane curve of the form

$$C = Y^3 - f$$

with $f \in K[X]$ of degree not divisible by 3 and at least 4 and without multiple roots in \overline{K} . The condition that the degree of f be not divisible by 3 implies that the curve is absolutely irreducible, the lack of multiple roots is equivalent with the non-singularity of C . Notice, that if the degree of f is larger than 4, then the projective closure of C has a singularity at infinity. However, it corresponds to a unique point ∞ on the non-singular projective model of C .

Superelliptic curves are special cases of C_{ab} curves, cf. [Miu98]. For coprime positive integers a and b , coprime to the characteristic of the ground field, a C_{ab} curve is defined by a non-singular affine equation of the form

$$C = Y^a + \sum_{ia+jb < ab} c_{ij} X^i Y^j - X^b.$$

The *coordinate ring* of C is defined by $K[C] = K[X, Y]/(C)$, its *function field* $K(C)$ by the field of fractions of $K[C]$. Since C is non-singular, $K[C]$ is the integral closure of $K[X]$ in $K(C)$.

We may define the same objects over the algebraic closure \overline{K} of K . Then for a superelliptic cubic C , the field extension $\overline{K}(C)/\overline{K}(X)$ is Galois and its Galois group is generated by $\sigma : Y \mapsto \zeta^{-1}Y$ for a fixed primitive third root of unity ζ . In geometric terms, C is a cyclic cover of degree 3 of the projective line. By Hurwitz's formula, its genus is given by $g = \deg f - 1$.

The arithmetic object associated to the curve C is the K -rational part of its Jacobian, or equivalently, its divisor class group. A rational *prime divisor* of C is given by an orbit of points on C with coordinates in \overline{K} under the action of $\text{Gal}(\overline{K}/K)$ or, equivalently, by a discrete valuation of $K(C)$, and its degree is the number of points in the orbit. The group of K -rational divisors is the free abelian group over the prime divisors, with the degree function extended naturally, and of special interest is its degree zero part $\text{Div}_K^0(C)$. Associating to a function in $K(C)$ its divisor of zeroes and poles with the appropriate multiplicities and noticing that it consists of orbits under $\text{Gal}(\overline{K}/K)$, one defines the subgroup of principal divisors $\text{Prin}_K(C)$ and finally the K -rational part of the Jacobian as $J_K(C) = \text{Div}_K^0(C)/\text{Prin}_K(C)$.

A C_{ab} curve has a unique infinite prime divisor ∞ , which is furthermore rational of degree 1. This leads to the following definition.

Definition 1 *Let C be a C_{ab} curve. The C_{ab} order of an element of $K(C)$ is given by the negative of its order at infinity. In particular, the C_{ab} order of X is a , that of Y is b , and that of an arbitrary polynomial can be obtained from these two values via the ultrametric triangle inequality.*

The multiplicity of ∞ in a divisor is completely determined by the finite part of the divisor, and there is a bijective map between $\text{Div}_K^0(C)$ and the divisors formed of only finite prime divisors, given by

$$\sum_{P \neq \infty} m_P P - \left(\sum_{P \neq \infty} m_P \right) \infty \leftrightarrow \sum_{P \neq \infty} m_P P.$$

To simplify the notation in the following, we always omit the multiplicity of ∞ . For instance, the following definition is readily formulated in terms of divisors omitting the infinite prime divisor, since the notions of positivity and degree given therein refer only to the finite part.

Definition 2 Let $D = \sum_{P \neq \infty} m_P P$ and $E = \sum_{P \neq \infty} n_P P$ be divisors. The coefficient $m_P = \text{ord}_P D$ is called the order of D at P . The greatest common divisor of D and E is defined by

$$\text{gcd} \left(\sum_{P \neq \infty} m_P P, \sum_{P \neq \infty} n_P P \right) = \sum_{P \neq \infty} \min(m_P, n_P) P.$$

We write $D \geq E$ if $m_P \geq n_P$ for all P and say that D is positive or effective if $D \geq 0$, i.e. all $m_P \geq 0$. If u, u_1, \dots, u_n are functions in $K(C)$, we denote by $\text{div } u$ the principal divisor generated by u and let $\deg u = \deg(\text{div } u)$ and $\text{ord}_P u = \text{ord}_P(\text{div } u)$. Finally, $\text{div}(u_1, \dots, u_n) = \text{gcd}(\text{div } u_1, \dots, \text{div } u_n)$.

Omitting ∞ in the notation of degree zero divisors we have gone the first step towards the equivalent representation of the K -rational part of the Jacobian of C as the ideal class group of $K[C]$. Being the integral closure of $K[X]$ in $K(C)$, the coordinate ring $K[C]$ is the intersection of all valuation rings of $K(C)$ not extending the infinite valuation of $K(X)$. Hence, there is a one-to-one correspondence between finite prime divisors P of C and prime ideals \mathfrak{p} of $K[C]$, which extends to degree zero divisors and the group of fractional ideals of $K[C]$ by homomorphism:

$$\begin{aligned} \sum m_P P &\leftrightarrow \prod \mathfrak{p}^{m_P} \\ \text{div}(u_1, \dots, u_n) &\leftrightarrow \langle u_1, \dots, u_n \rangle. \end{aligned}$$

As principal divisors correspond to principal ideals, this homomorphism yields in fact an isomorphism between the Jacobian $J_K(C)$ and the ideal class group $\mathfrak{H}_K(C)$ of $K[C]$.

In the following, we switch freely between the divisor and the ideal representation. When providing criteria for reducedness in Section 3, which is essentially a geometric notion, it is more convenient to speak of divisors; the algorithms realising the arithmetic given in Sections 4 and 5 are more readily formulated in terms of ideals.

Given a non-singular affine curve with a unique point at infinity, which is moreover rational, it is shown in [GPS00], Theorem 1, that each divisor class contains a unique positive divisor of minimal degree, which is at most g . The proof is based on the Riemann–Roch theorem. This minimal divisor and its corresponding ideal are called *reduced*, and the arithmetic in superelliptic Jacobians is realised by manipulating these reduced objects.

As mentioned in the introduction, we are mainly interested in curves of small genus, especially of genus 3 or 4. Thus, when giving asymptotic estimates in the following sections, it is understood that g is fixed and $q \rightarrow \infty$.

2.2 Representation of divisors

It is a well-known fact from the theory of Dedekind rings that any integral ideal of $K[C]$ is generated by a polynomial in $K[X]$ and a second polynomial in $K[C]$. Thus, any reduced K -rational divisor D can be written as

$$D = \operatorname{div}(u, Y - v) \text{ or } \operatorname{div}(u, Y^2 + vY + w)$$

with $u, v, w \in K[X]$, $\deg v, \deg w < \deg u \leq g$.

Remark. In the following, we are mainly interested in the first case, which is encountered with overwhelming probability. Following [GPS00], D can then be written as the $K[X]$ -module

$$D = [u, Y - v, Y^2 - (v^2 \bmod u)].$$

This is exactly the Gröbner basis of the ideal for the lexicographic order, or equivalently its Hermite normal form (HNF). In the general case, the Gröbner basis has the form $[u_0, u_1Y - v_1, Y^2 + v_2Y + w_2]$. We can define the degree of D to be the degree of X in the product of the diagonal elements of this basis, which coincides with Definition 2 and with the C_{ab} order of Definition 1. It turns out for $\alpha \in K(C)$, that $\deg \alpha = \deg_X N_{K(C)/K(X)}(\alpha)$. Writing $\alpha = rY^2 + sY + t$ with $r, s, t \in K[X]$ and using the definition of C_{ab} order we obtain

$$\deg \alpha = \max\{3 \deg_X r + 2(g + 1), 3 \deg_X s + (g + 1), 3 \deg_X t\}.$$

Recall that the isomorphisms of $K(C)/K(X)$ are given by $\{\operatorname{id}, \sigma, \sigma^2\}$ with $\sigma : Y \mapsto \zeta^{-1}Y$, which are extended to points and divisors via $(x, y)^\sigma = (x, \zeta y)$ so that $\operatorname{div}(f^\sigma) = (\operatorname{div} f)^\sigma$. The divisors D^σ and D^{σ^2} are called the *conjugates* of D . We have $D + D^\sigma + D^{\sigma^2} = \operatorname{div} u$ resp. $\operatorname{div}(u^2)$, so that $D^\sigma + D^{\sigma^2}$, which is a divisor in the opposite class of D , is K -rational even when K does not contain a primitive third root of unity and D^σ and D^{σ^2} are not rational themselves.

On hyperelliptic curves, which are degree 2 covers of the projective line, any reduced divisor can be written as $\operatorname{div}(u, Y - v)$. On superelliptic curves, such a simple form cannot always be obtained. For instance, if $P = (x, y)$, then $\operatorname{div}(X - x) = P + P^\sigma + P^{\sigma^2}$, and the prime divisor P of degree 1 is represented by $\operatorname{div}(X - x, Y - y)$, while

$$P^\sigma + P^{\sigma^2} = \operatorname{div}(X - x, (Y - \zeta y)(Y - \zeta^2 y)) = \operatorname{div}(X - x, Y^2 + yY + y^2)$$

does not equal any $\operatorname{div}(u, Y - v)$. However, the simpler representation is still the typical case.

Theorem 3 *Any K -rational reduced divisor which does not contain a pair of conjugate prime divisors can be written as $\operatorname{div}(u, Y - v)$ with $u, v \in K[X]$, $\deg v < \deg u \leq g$ and $u|v^3 - f$. We call these divisors typical, the reason for which is explained in Section 2.3.*

Proof: Let $D = \sum m_P P$ with distinct $P = (x_P, y_P) \in \overline{K} \times \overline{K}$ be as in the theorem. Then the x_P are all distinct, and u can be chosen as $\prod (X - x_P)^{m_P}$ of degree at most g and v of degree at most $\deg u - 1$ such that it interpolates the points P with the appropriate multiplicities m_P , which is equivalent to $u|v^3 - f$. The rationality of u and v follows easily from that of D . \square

2.3 Typical divisors

During this section, we assume that $K = \mathbb{F}_q$ is a finite field and examine more closely divisors of special forms. We show that all but a negligible proportion of the reduced divisors are of these (after all not so special) forms.

Theorem 4 *If $K = \mathbb{F}_q$ is finite and g is fixed, then the ratio of typical divisors, i.e. divisors of the form $\text{div}(u, Y - v)$ with $\deg v < \deg u \leq g$ and $u|v^3 - f$, in the rational part $J_K(C)$ of the Jacobian is in $1 - \frac{1}{q}O(1)$. More precisely, this assertion even holds for typical divisors with $\deg u = g$ and $\deg v = g - 1$ that do not contain a ramified prime divisor.*

Assume a uniform probability distribution on $J_K(C)$. Then with probability in $1 - \frac{1}{q}O(1)$, doubling a random reduced divisor or adding two independently chosen random reduced divisors yields a divisor of the form $\text{div}(u, Y - v)$ with $\deg u = 2g$, $\deg v = 2g - 1$ and $u|v^3 - f$.

Proof: The Riemann hypothesis for zeta functions of curves (i.e. Weil's theorem [Wei48]) implies that the Jacobian $J_K(C)$ contains $q^g \left(1 \pm \frac{1}{\sqrt{q}}O(1)\right)$ elements. (By this somewhat sloppy notation we mean that $||J_K(C)| - q^g| \in \frac{q^g}{\sqrt{q}}O(1)$.) Moreover, the number of points on C defined over \mathbb{F}_{q^k} for some natural number k is in $q^k \left(1 \pm \frac{1}{q^{k/2}}O(1)\right) \subseteq q^k \left(1 \pm \frac{1}{\sqrt{q}}O(1)\right)$. Using Möbius inversion, one readily deduces that the number of prime divisors of degree k is in $\frac{1}{k}q^k \left(1 \pm \frac{1}{q}O(1)\right) \subseteq O(q^k)$, that is, prime divisors of degree k behave basically like irreducible polynomials of degree k . As for polynomials, it thus follows that the number of divisors of degree at most k is in $q^k \left(1 \pm \frac{1}{\sqrt{q}}O(1)\right) \subseteq O(q^k)$.

We now count the divisors that do not have the required form. By the results of the previous paragraph, the number of divisors of degree at most g containing a pair of conjugate primes (or twice the same ramified prime) of degree i is in $O(q^i q^{g-2i}) \subseteq O(q^{g-1})$. Summing up over the $O(1)$ possible values for i , we obtain by Theorem 3 that the number of non-typical reduced divisors is in $O(q^{g-1})$. The same kind of argumentation shows that the number of divisors of degree less than g or containing a ramified prime is in $O(q^{g-1})$. Consider now typical divisors with $\deg v \leq g - 2$. For any given such v , there is a constant number of possible u , so that the total number of such divisors is again in $O(q^{g-1})$.

From the bound on the cardinality of the Jacobian we now deduce the desired ratio on well-behaved reduced divisors. The result on the sum of two divisors is obtained in a similar fashion, making moreover use of a trivial generalisation of Theorem 3 to higher degree divisors. \square

It is our aim in the present article to examine the arithmetic of typical divisors and to propose efficient algorithms for them. Whenever a non-typical divisor is encountered, which in the light of Theorem 4 happens with a negligible probability, one may have recourse at a (presumably slower) generic addition method as described in [Ari99, GPS00, HS00].

3 The geometry of reduced divisors

As for hyperelliptic curves, the addition in superelliptic Jacobians proceeds in two steps. In a first step, the two reduced divisors are simply added and yield a divisor of degree up to $2g$. In the second step, this divisor is reduced to the representative of minimal degree in its class.

Our new reduction algorithm of Section 5.1 outputs with high probability a typical divisor. Unlike for the result of the generic reduction of [GPS00, Ari99], however, there is a priori no guarantee that this divisor of degree at most g will be reduced. In this section, we thus examine the conditions under which a low degree divisor is reduced. To ease the presentation, we will henceforth consider all K -rational divisors as being decomposed over \overline{K} , so that instead of prime divisors we may speak of points. (The rationality of a divisor then means that it consists of complete orbits of points under $\text{Gal}(\overline{K}/K)$, and the notion of reducedness does not depend on the field, K or \overline{K} , over which the divisor is interpreted.)

In hyperelliptic Jacobians, all typical divisors are reduced. Unfortunately, this is no more the case for superelliptic cubics, but at least in genus 3 and 4 the non-reduced typical divisors can be recognised quite easily via the following main theorem of this section.

Theorem 5 *A positive divisor of degree at most 3 on a superelliptic cubic of genus 3 is not reduced if and only if it consists of three collinear points. A positive divisor of degree at most 4 on a superelliptic cubic of genus 4 is not reduced if and only if it satisfies one of the following conditions:*

- *it contains a triplet of conjugate points;*
- *it consists of two pairs of conjugate points;*
- *it consists of four collinear points;*
- *it consists of four points T, U, V, W lying simultaneously on a parabola $Y - v$ with $v \in K[X]$ of degree 2 and an elliptic curve. Furthermore, the elliptic curve intersects the superelliptic curve exactly in these four points, three collinear points P_1^σ, P_2^σ and P_3^σ and their conjugates $P_1^{\sigma^2}, P_2^{\sigma^2}$ and $P_3^{\sigma^2}$. (Hereby, points designated by different letters may coincide, in which case the assertions remain correct even with the appropriate multiplicities.)*

Proof of Theorem 5: We first show the necessity of the given conditions. Let thus $D \neq 0$ be a non-reduced positive divisor of degree at most g , and let D' with $\deg D' < \deg D$ be

its reduced representative. Write

$$D' = \sum m_i P_i + \sum n_j (Q_j^\sigma + Q_j^{\sigma^2}),$$

$\mu = \sum m_i$, $\nu = \sum n_j$, $\deg D' = \mu + 2\nu$, where the P_i and Q_j all have different X -coordinates. Let $\beta \in K(X)$ be monic such that

$$\operatorname{div} \beta = \sum m_i (P_i + P_i^\sigma + P_i^{\sigma^2}) + \sum n_j (Q_j + Q_j^\sigma + Q_j^{\sigma^2}).$$

Since $\operatorname{div} \beta$ is positive, we even have $\beta \in K[X]$. Then

$$D - D' + \operatorname{div} \beta = D + \sum m_i (P_i^\sigma + P_i^{\sigma^2}) + \sum n_j Q_j$$

is principal and positive, whence

$$D + \sum m_i (P_i^\sigma + P_i^{\sigma^2}) + \sum n_j Q_j = \operatorname{div} \alpha$$

for some monic polynomial α . Write

$$\alpha = rY^2 + sY + t$$

with $r, s, t \in K[X]$, so that

$$N(\alpha) = r^3 f^2 + (s^3 - 3rst)f + t^3. \quad (1)$$

By the remark on page 7,

$$\begin{aligned} \deg_X(N(\alpha)) &= \max\{3 \deg_X r + 2(g+1), 3 \deg_X s + (g+1), 3 \deg_X t\} \\ &= \deg D + 2\mu + \nu = \deg D + 2 \deg D' - 3\nu \\ &\leq \deg D + 2 \deg D'. \end{aligned}$$

1. If $\deg D \leq g - 1$, then $\deg D' \leq g - 2$ and $\deg_X(N(\alpha)) \leq 3g - 5$. Together with $\deg_X f = g + 1$ and $g \in \{3, 4\}$ this implies $r = 0$ and $\deg_X s \leq 0$, i.e., $s \in \{0, 1\}$. If $s = 1$, then $\operatorname{div} \alpha$ cannot contain a pair of conjugate points, whence $\mu = 0$, $\nu = \lfloor \frac{\deg D'}{2} \rfloor \leq \lfloor \frac{g-2}{2} \rfloor \leq 1$ and $\deg_X(N(\alpha)) \leq g - 1 + \lfloor \frac{g-2}{2} \rfloor \leq g < \deg_X f$, a contradiction. If $s = 0$, then $\alpha = t$ is an element of $K[X]$ with zeroes P_i^σ and Q_j , so that $\beta | \alpha$. Since $\deg D > \deg D'$ and $D = D' + \operatorname{div} \left(\frac{\alpha}{\beta} \right)$, we have $\deg_X \frac{\alpha}{\beta} \geq 1$, and D contains the divisor of a vertical line, i.e. a triplet of conjugate points.
2. Let now $\deg D = g$ and $r = 0$. We conclude from $\deg_X(N(\alpha)) \leq 3g - 2$ that $\deg s \leq 1$. If $s = 0$, then D contains the divisor of a vertical line as above. If $s = 1$, i.e. $\alpha = Y + t$, then as before $\operatorname{div} \alpha$ does not contain a pair of conjugate points, $\mu = 0$, $\nu \leq \lfloor \frac{g-1}{2} \rfloor = 1$, $\deg_X(N(\alpha)) \leq g + 1$ and $\deg_X t \leq 1$. If $\nu = 0$, then $\beta = 0$ and

$D = \operatorname{div} \alpha$, contradicting $\deg_X(N(\alpha)) = \max\{\deg_X f, 3 \deg_X t\} = \deg_X f = g + 1$. So $\nu = 1$, $D' = Q^\sigma + Q^{\sigma^2}$ for some $Q = (x_Q, y_Q)$, and $\operatorname{div}(Y + t) = \operatorname{div} \alpha = D + Q$, whence D contains g collinear points.

If $\deg_X s = 1$, the case $\mu = 0$ leads to $\deg_X(N(\alpha)) \leq g + 1$ as in the previous paragraph, contradicting $\deg_X(s^3 f + t^3) \geq g + 4$. Assume thus $\mu \geq 1$, and let $P = (x_P, y_P) \leq D'$. Then $s(x_P) = t(x_P) = 0$. (For unramified P , i.e. $y_P \neq 0$, this follows directly from $\alpha(P^\sigma) = \alpha(P^{\sigma^2}) = 0$. For ramified P , i.e. $y_P = 0$ and $P = P^\sigma$, recall that $\operatorname{ord}_P Y = 1$ and $\operatorname{ord}_P \alpha \geq 2$.) Hence $X - x_P | \alpha$ in $K[X, Y]$ and $P \leq D$. This shows that $D - P$ reduces to $D' - P$ and by Case 1, $D - P$ contains a triplet of conjugate points $Q + Q^\sigma + Q^{\sigma^2}$, so that $g = 4$, $D' = P$, $\beta = X - x_P$ and $\alpha = (X - x_P)(X - x_Q)$, a contradiction.

3. Let finally $\deg D = g$ and $r \neq 0$. Then $2g + 2 \leq 3 \deg_X r + 2(g + 1) \leq \deg_X(N(\alpha)) = \deg D + 2 \deg D' - 3\nu \leq \deg D + 2 \deg D' \leq 3g - 2$ implies $g = 4$, equality in the previous chain of inequalities, i.e. $\nu = 0$, $\mu = 3$, $r = 1$, $D' = P_1 + P_2 + P_3$ with $P_i = (x_i, y_i)$, not necessarily distinct, $\alpha = Y^2 + sY + t$ and $\beta = (X - x_1)(X - x_2)(X - x_3)$. It follows now from $10 = \deg_X(N(\alpha)) = \max\{10, 3 \deg_X s + 5, 3 \deg_X t\}$ that $\deg_X t \leq 3$ and $\deg_X s \leq 1$.

Let $m \geq 1$ such that $mP^\sigma + mP^{\sigma^2} \leq \operatorname{div} \alpha$ for $P = (x, y)$. If P is a ramification point, i.e. $y = 0$, then we deduce successively from $\operatorname{ord}_P Y = 1$ and $\operatorname{ord}_P \alpha \geq 2m \geq 2$ that $t(x) = 0$, $\operatorname{ord}_P t \geq 3$, $\operatorname{ord}_P(Y^2 + t) = 2$, $s(x) = 0$, $\operatorname{ord}_P \alpha = 2$ and $m = 1$. In particular, $s(x) = y$ and $t(x) = y^2$, so that $(X - x)^m | t - s^2$. Otherwise, $\operatorname{ord}_P Y = 0$, and $\operatorname{ord}_P(\alpha^\sigma) \geq m$, $\operatorname{ord}_P(\alpha^{\sigma^2}) \geq m$, which implies $m \leq \operatorname{ord}_P(\alpha^\sigma - \alpha^{\sigma^2}) = \operatorname{ord}_P(Y(Y - s)) = \operatorname{ord}_P(Y - s)$. Consider the function $\bar{\alpha} = (Y - s)^\sigma(Y - s)^{\sigma^2} = Y^2 + sY + s^2$. We have just shown that $mP^\sigma + mP^{\sigma^2} \leq \operatorname{div} \bar{\alpha}$, so that $mP^\sigma + mP^{\sigma^2} \leq \operatorname{div}(\alpha - \bar{\alpha}) = \operatorname{div}(t - s^2)$, which implies $(X - x)^m | t - s^2$ since P is unramified.

Hence, $(D')^\sigma + (D')^{\sigma^2} \leq \operatorname{div} \alpha$ leads to $\beta | t - s^2$. We have furthermore shown that $D' = P_1 + P_2 + P_3 \leq \operatorname{div}(Y - s)$. Bézout's theorem now implies that $\operatorname{div}(Y - s) = P_1 + P_2 + P_3 + Q + R = D' + Q + R$ for two further points Q and R , which are not necessarily distinct from the others and from each other. Consider two subcases.

- (a) If $\deg_X t \leq 2$, then $\beta | t - s^2$ implies $t = s^2$ and $\alpha = \bar{\alpha}$. This shows that $D = Q^\sigma + Q^{\sigma^2} + R^\sigma + R^{\sigma^2}$.

- (b) Let now $\deg_X t = 3$, so that $\alpha = Y^2 + sY + s^2 + c\beta$ for some $c \in K^\times$ is an elliptic curve. Then

$$\begin{aligned} D &= \operatorname{div} \alpha + D' - \operatorname{div} \beta \\ &= \operatorname{div} \alpha + (\operatorname{div}(Y - s) - Q - R) - \operatorname{div}(c\beta) \\ &\leq \operatorname{div} \left(\frac{\alpha(Y - s)}{c\beta} \right) \\ &= \operatorname{div} \left(Y - s + \frac{f - s^3}{c\beta} \right). \end{aligned}$$

Notice that β divides $f - s^3 = N(Y - s)$ in $K[X]$ since $\operatorname{div} \beta \leq \operatorname{div}(N(Y - s))$. Furthermore, the degree of $v = s - \frac{f - s^3}{c\beta}$ in X is 2, so that $Y - v$ defines a parabola containing the points in D (with the corresponding multiplicities).

Hereby, $\operatorname{div} \alpha = D + P_1^\sigma + P_2^\sigma + P_3^\sigma + P_1^{\sigma^2} + P_2^{\sigma^2} + P_3^{\sigma^2}$, and P_1, P_2 and P_3 lie on the line $Y - s$. Hence, we are indeed in the last case of the theorem.

This shows the necessity of the conditions given in Theorem 5. That they are sufficient is easily seen by constructing the reducing functions as above. \square

Corollary 6 *On a superelliptic cubic of genus 3 or 4, a typical divisor $\operatorname{div}(u, Y - v)$ is reduced whenever $\deg u < g$, or $\deg u = g$ and $\deg v = g - 1$.*

Proof: Theorem 5 shows that a non-reduced divisor of degree at most g either contains a pair of conjugate points, in which case it is not typical; or it is of degree g , but its points can be interpolated by a polynomial $Y - v$ with $\deg v \leq g - 2$. \square

The proof of Theorem 5 relies on the fact that between a non-reduced divisor of sufficiently low degree and its reduced representative of even lower degree, there is not enough “space” to squeeze the divisor of a higher degree rational function. As has also been seen in the theorem, the geometry becomes noticeably more intricate in genus 4 than in genus 3, with more possible functions between the two divisors. In higher genus, there are even more possibilities, and the corollary becomes wrong already in genus 6, which is the next largest case since the degree of f must not be divisible by 3.

Theorem 7 *If $g \geq 6$ and the field of definition K is sufficiently large, then on a superelliptic cubic of genus g over K there are rational non-reduced typical divisors $\operatorname{div}(u, Y - v)$ with $\deg u = g$ and $\deg v = g - 1$.*

Proof: Choose a line $Y - s$ with $s \in K[X]$ of degree 1, which by Bézout’s theorem intersects the superelliptic curve in $g + 1$ points P_1, \dots, P_{g+1} , none of which is infinite. Select an additional point Q on the curve with a different X -coordinate. Then there is no univariate polynomial of degree less than $g - 1$ interpolating P_1, \dots, P_{g-1} and Q , since the unique such polynomial interpolating P_1, \dots, P_{g-1} is s . Thus, $P_1 + \dots + P_{g-1} + Q$ is typical of the form

$\text{div}(u, Y - v)$ with $\deg u = g$ and $\deg v = g - 1$, but it is not reduced since it is equivalent to $P_g^\sigma + P_g^{\sigma^2} + P_{g+1}^\sigma + P_{g+1}^{\sigma^2} + Q$ of degree $5 < g$.

As a concrete example for genus 6 consider the curve

$$Y^3 - \left(X^7 - 3X^6 - \frac{257}{120}X^5 + 15X^4 - \frac{271}{24}X^3 - 3X^2 - \frac{467}{30}X + 27 \right)$$

over any field of characteristic different from 10756386655886922422490486020903, 1446474881, 587, 7, 5, 3 and 2. The divisor

$$\text{div} \left(\begin{array}{l} X^6 - 3X^5 - 5X^4 + 15X^3 + 4X^2 - 12X, \\ Y - \left(\frac{7}{120}X^5 - \frac{7}{24}X^3 - \frac{23}{30}X + 3 \right) \end{array} \right)$$

decomposes as $P_1 + \dots + P_5 + Q$ with $P_1 = (-2, 5)$, $P_2 = (-1, 4)$, $P_3 = (0, 3)$, $P_4 = (1, 2)$ and $P_5 = (2, 1)$ on the line $Y - (-X + 3)$ and $Q = (3, 7)$. The reduction of this divisor yields

$$Q + \text{div} \left(X^2 - 3X + \frac{343}{120}, Y^2 + (-X + 3)Y + \left(-3X + \frac{737}{120} \right) \right).$$

Over \mathbb{Q} , the second term is a prime divisor, but it decomposes as described above over some algebraic extension. \square

The divisors constructed in the proof of Theorem 7 are still special and close to the non-reduced cases of Theorem 5 since even though their points cannot be interpolated by a small degree polynomial, they contain a subdivisor with this property. However, while this special property eases a general description for all genus, it is not really necessary, as illustrated by the following example.

Example 8 Consider the curve $Y^3 - f$ where f is the polynomial:

$$X^7 - 133925X^6 - 389893X^5 + 722500X^4 + 897144X^3 + 1012596X - 1344397,$$

over some field of characteristic different from 2, 3 and 11 in which the polynomial in X does not have a double root and the divisor

$$\text{div} \left(\begin{array}{l} X^6 + 3X^5 - 5X^4 - 8X^3 + X^2 - 9X + 11, \\ Y - (2X^5 + 4X^4 - 14X^3 + 10X^2 - 5X + 1) \end{array} \right).$$

This divisor reduces to

$$\text{div} \left(\begin{array}{l} X^5 - 268141X^4 + 17974684859X^3 + 49468657057X^2 \\ + 46578236952X + 15407791040, \\ Y - \left(\frac{1}{88}X^3 - \frac{67299}{44}X^2 - \frac{251135}{88}X - \frac{15403}{11} \right) \end{array} \right),$$

but it does not contain a divisor which can be written in the form $\text{div}(u, Y - v)$ with $\deg v < \deg u - 1$.

This sporadic example has been found by constructing an FGLM matrix of lower than expected rank, cf. Section 5.2.

4 Composition

As in hyperelliptic Jacobians, two typical reduced ideals $\mathfrak{a}_1 = \langle u_1, Y - v_1 \rangle$ and $\mathfrak{a}_2 = \langle u_2, Y - v_2 \rangle$ are multiplied in two steps. The first step is the *composition*, in which a representation $\langle u, Y - v \rangle$ for the product $\mathfrak{a}_1 \mathfrak{a}_2$ is sought. The second step *reduces* this ideal, which corresponds to a divisor of degree up to $2g$, to one of degree at most g .

Composing two typical divisors does not necessarily result in a typical divisor again. When both divisors contain the same ramified prime, or one contains a split prime P and the other one its conjugate P^σ , then the composed divisor contains a pair of conjugate primes; Theorem 3 does not apply, and the standard representation by two polynomials will usually contain a polynomial of degree 2 in Y . In the light of Theorem 4, this event occurs, however, with negligible probability, so that we may content ourselves with describing the typical case. A multiplication algorithm for ideals in more general form, but with coprime norms, is described in [Sch01]; for the general case, see [Bau01].

Theorem 9 *Let $\mathfrak{a}_1 = \langle u_1, Y - v_1 \rangle$ and $\mathfrak{a}_2 = \langle u_2, Y - v_2 \rangle$ be typical reduced ideals of $K[C]$, i.e., $u_i, v_i \in K[X]$, $\deg v_i < \deg u_i \leq g$ and $v_i^3 - f = u_i w_i$ for some $w_i \in K[X]$. Suppose that $\gcd(u_1, u_2, v_1^2 + v_1 v_2 + v_2^2) = 1$, and let $s_1, s_2, s_3 \in K[X]$ be such that*

$$s_1 u_1 + s_2 u_2 + s_3 (v_1^2 + v_1 v_2 + v_2^2) = 1.$$

Let

$$\begin{aligned} u &= u_1 u_2 \\ \tilde{v} &= v_1 + s_1 u_1 (v_2 - v_1) - s_3 (v_1^3 - f) \\ v &= \tilde{v} \bmod u \\ \mathfrak{a} &= \langle u, Y - v \rangle. \end{aligned}$$

Then $\mathfrak{a}_1 \mathfrak{a}_2 = \mathfrak{a}$, and $u | v^3 - f$

Proof: Notice that the expression for \tilde{v} is in fact symmetric in v_1 and v_2 since

$$\begin{aligned} \tilde{v} &= (1 - s_1 u_1) v_1 + s_1 u_1 v_2 - s_3 (v_1^3 - f) \\ &= (s_2 u_2 + s_3 (v_1^2 + v_1 v_2 + v_2^2)) v_1 + s_1 u_1 v_2 - s_3 (v_1^3 - f) \\ &= s_2 u_2 v_1 + s_1 u_1 v_2 + s_3 (v_1^2 v_2 + v_1 v_2^2 + f) \\ &= v_2 + s_2 u_2 (v_1 - v_2) - s_3 (v_2^3 - f). \end{aligned}$$

We show first that $\mathfrak{a}_1\mathfrak{a}_2 \subseteq \mathfrak{a} = \langle u, Y - v \rangle$. The product $\mathfrak{a}_1\mathfrak{a}_2$ is given by $\langle u_1u_2, u_1(Y - v_2), u_2(Y - v_1), (Y - v_1)(Y - v_2) \rangle$, and it suffices to show that its four generators lie in \mathfrak{a} . This is trivial for $u_1u_2 = u$.

$$\begin{aligned} u_1(Y - v_2) &= u_1(Y - v) + u_1(v - v_2) \\ &= u_1(Y - v) + u_1(s_2u_2(v_1 - v_2) - s^3(v_2^3 - f)) \\ &= u_1(Y - v) + u(s_2(v_1 - v_2) - s_3w_2); \end{aligned}$$

thus, $u_1(Y - v_2) \in \mathfrak{a}$, and the same argumentation applies to $u_2(Y - v_1)$. Concerning the last generator,

$$\begin{aligned} (Y - v_1)(Y - v_2) &= (Y - v_2)(Y - v) + (Y - v_2)(v - v_1) \\ &= (Y - v_2)(Y - v) + (Y - v)(v - v_1) + (v - v_2)(v - v_1), \end{aligned}$$

and it suffices to show that $(v - v_1)(v - v_2) \in \mathfrak{a}$. This holds since $u_1|v - v_1$ and $u_2|v - v_2$, so that u divides the product.

We next show that $u|v^3 - f$. Let p be an irreducible polynomial and e_i such that $p^{e_i}|u_i$; thus $p^e|u$ for $e = e_1 + e_2$. If $e_1 = 0$, then $p^{e_2}|v_2^3 - f$ and $v \equiv v_2 \pmod{u_2}$ imply that $p^e|v^3 - f$; ditto for $e_2 = 0$. If $e_1, e_2 \geq 1$, assume without loss of generality that $e_2 \leq e_1$, so that $u_1^2 \equiv 0 \pmod{p^e}$. Furthermore, $v_1^3 \equiv f \equiv v_2^3 \pmod{p^{e_2}}$, and from $\gcd(u_1, u_2, \frac{v_1^3 - v_2^3}{v_1 - v_2}) = 1$ we deduce that $p^{e_2}|v_1 - v_2$. This in turn yields $1 \equiv s_3(v_1^2 + v_1v_2 + v_2^2) \equiv 3s_3v_1^2 \pmod{p^{e_2}}$. By the definition of v , we have

$$v \equiv v_1 - s_3u_1w_1 \pmod{p^e}.$$

Hence,

$$\begin{aligned} v^3 - f &\equiv v_1^3 - f - 3v_1^2s_3u_1w_1 \pmod{p^e} \\ &\equiv u_1w_1(1 - 3s_3v_1^2) \equiv 0 \pmod{p^e} \end{aligned}$$

since $p^{e_1}|u_1$ and $p^{e_2}|1 - 3s_3v_1^2$.

Notice now that the norms of \mathfrak{a}_1 , \mathfrak{a}_2 and \mathfrak{a} are given by u_1 , u_2 and $u = u_1u_2$, respectively; this follows from the divisibility by the u_* of the norms of the $Y - v_*$, which are given by $v_*^3 - f$. The unique decomposability of ideals in Dedekind domains into prime ideals and $\mathfrak{a}_1\mathfrak{a}_2 \subseteq \mathfrak{a}$ then imply $\mathfrak{a}_1\mathfrak{a}_2 = \mathfrak{a}$. \square

We may specialise Theorem 9 to the situations of squaring an ideal or of multiplying two distinct ideals. By the analysis of Theorem 4, there is an overwhelming probability that in the first case the corresponding divisor does not contain a ramified prime divisor, and that in the second case, if one divisor contains a prime P , then the other divisor contains none of its conjugates P, P^σ or P^{σ^2} . This leads to the following algorithms for composition.

Corollary 10 (Squaring/doubling) *Let $\mathfrak{a}_1 = \langle u_1, Y - v_1 \rangle$ be a typical reduced ideal with $v_1^3 - f = u_1w_1$, and suppose that $\gcd(u_1, v_1) = 1$. Write*

$$s_1u_1 + 3s_3v_1^2 = 1,$$

and let

$$\begin{aligned} u &= u_1 u_2 \\ t &= -s_3 w_1 \bmod u_1 \\ v &= v_1 + t u_1 \end{aligned}$$

Then $\mathfrak{a}_1^2 = \langle u, Y - v \rangle$.

Corollary 11 (Multiplying/adding) *Let $\mathfrak{a}_1 = \langle u_1, Y - v_1 \rangle$ and $\mathfrak{a}_2 = \langle u_2, Y - v_2 \rangle$ with $v_i^3 - f = u_i w_i$ be two typical reduced ideals such that $\gcd(u_1, u_2) = 1$. Write*

$$s_1 u_1 + s_2 u_2 = 1,$$

and let

$$\begin{aligned} u &= u_1 u_2 \\ t_1 &= s_1 v_2 \bmod u_2 \\ t_2 &= s_2 v_1 \bmod u_1 \\ v &= t_1 u_1 + t_2 u_2. \end{aligned}$$

Then $\mathfrak{a}_1 \mathfrak{a}_2 = \langle u, Y - v \rangle$.

Notice that the intermediate reductions of t , t_1 and t_2 imply directly that v is reduced modulo u .

In the next section we need an algorithm for inverting an ideal in the class group; the following algorithm solves this problem by multiplying the two conjugate ideals.

Proposition 12 (Inverting/negating) *Let $\mathfrak{a} = \langle u, Y - v \rangle$ with $v^3 - f = uw$ be a typical reduced ideal such that $\gcd(u, w) = 1$. Then*

$$\langle u \rangle \mathfrak{a}^{-1} = \langle u, Y^2 + vY + v^2 \rangle.$$

Proof: Carrying out similar computations as in the proof of Theorem 9 and using the fact that u and w are coprime, we obtain

$$\mathfrak{a}^\sigma \mathfrak{a}^{\sigma^2} = \langle u, \zeta^{-1}Y - v \rangle \langle u, \zeta^{-2}Y - v \rangle = \langle u, Y^2 + Yv + v^2 \rangle.$$

From $\mathfrak{a} \mathfrak{a}^\sigma \mathfrak{a}^{\sigma^2} = \langle u \rangle$ we then deduce the desired equality. \square

5 Reduction

Reduction algorithms have been proposed by Arita for C_{ab} curves [Ari99] and Galbraith, Paulus and Smart for superelliptic curves [GPS00]. Later, Harasawa and Suzuki noticed that these algorithms follow the same principle and generalised [GPS00] to C_{ab} curves. All these algorithms can be synthesised as follows.

Algorithm 13 (Reduction)**Input:** ideal \mathfrak{a} of $K[C]$ **Output:** reduced ideal $\text{Red}(\mathfrak{a})$ equivalent to \mathfrak{a}

1. Choose an integral ideal \mathfrak{b} in the class of \mathfrak{a}^{-1} , such that $\mathfrak{b} = u\mathfrak{a}^{-1}$ for some $u \in \mathfrak{a}$.
2. Let $e \neq 0$ be the minimum of \mathfrak{b} with respect to the C_{ab} order.
3. Put $\text{Red}(\mathfrak{a}) = e\mathfrak{b}^{-1} = \frac{e}{u}\mathfrak{a}$.

Arita represents ideals of $K[C]$ by their Gröbner bases with respect to the C_{ab} order, and chooses u as the C_{ab} minimum of \mathfrak{a} . His approach relies on Buchberger's algorithm, whose complexity in the C_{ab} setting is not quite clear.

In [GPS00] and [HS00], ideals are represented by their Hermite normal forms as $K[X]$ -modules, or equivalently by their Gröbner bases with respect to the lexicographic order. The natural choice for u is then the minimum with respect to this order. The minimum for the C_{ab} order can be computed via a variant of LLL for function fields due to Paulus [Pau98].

In this section, we describe two new algorithms for realising the arithmetic in the Jacobians of superelliptic cubics. We hereby concentrate on typical ideals as introduced in Theorem 3. Sometimes, further restrictions as examined in Section 2.3 are imposed. In the rare case that the input or output data do not match these assumptions, one may have recourse at the more general, but presumably slower algorithms of [Ari99, GPS00, HS00].

Our first algorithm is inspired by Cantor's reduction of ideals in hyperelliptic function fields [Can87]; it turns out, however, that it can also be stated in terms of Algorithm 13.

The second approach follows the framework of Algorithm 13. Representing ideals by their lexicographic Gröbner bases, we use the algorithm of [FGLM93] to find the C_{ab} minimum. This method applies in complete generality to any C_{ab} curve. We carry out the symbolic computations explicitly for typical divisors in superelliptic cubics of genus 3, thus obtaining closed formulae for the reduced ideal in this case.

5.1 Cantor reduction

Taking into account the similarities between the typical representation of reduced divisors in hyperelliptic and superelliptic Jacobians, one might be tempted to generalize the well-known reduction algorithms of the hyperelliptic case (see [Can87, Kob89, Eng01a]). However, this approach fails for Gauß reduction, which would amount to replacing $\text{div}(u, Y - v)$ by

$$\text{div} \left(\frac{f - v^3}{u}, Y - \left(v \bmod \frac{f - v^3}{u} \right) \right),$$

which is a divisor in the opposite class. Unfortunately, if we are in the typical case with $\deg u = 2g$ and $\deg v = 2g - 1$, the new divisor has a degree of $4g - 2$, which is even larger than $2g$. For polynomials v of unusually low degree, however, the approach does work.

The generalization of Cantor's algorithm as described in [Can87], and for the case of characteristic 2 in [Eng01a], is more successful due to an additional degree of freedom.

Theorem 14 *Let the sequences of polynomials r_i , s_i and t_i such that $r_i = s_i u + t_i v$ be obtained from applying the extended Euclidian algorithm to u and v . Assume that we have $\gcd(r_i, t_i) = 1$. Let*

$$\begin{aligned} u' &= \frac{t_i^3 f - r_i^3}{u} \\ v' &= r_i(t_i^{-1} \bmod u'). \end{aligned}$$

Then $\operatorname{div}(u', Y - v')$ is a divisor in the class opposite of $\operatorname{div}(u, Y - v)$.

Remark. Notice that by [Eng01a], Lemma 7, $\gcd(r_i, t_i) = 1$ happens with probability in $1 - \frac{1}{q}O(1)$ for any value of i . We then have

$$\gcd(t_i, u') \mid \gcd(t_i, t_i^3 f - r_i^3) \mid \gcd(t_i, r_i)^3 = 1,$$

so that the inverse of t_i modulo u' does indeed exist.

Proof of Theorem 14: Let \sim denote equivalence of divisors.

$$\begin{aligned} \operatorname{div}(u, Y - v) &= \operatorname{div}(u, t_i Y - t_i v) \text{ since } \gcd(t_i, u) \mid \gcd(t_i, r_i) = 1 \\ &= \operatorname{div}(u, t_i Y - r_i) \text{ since } t_i v \equiv r_i \pmod{u} \\ &\sim -(\operatorname{div}(t_i Y - r_i) - \operatorname{div}(u, t_i Y - r_i)) \\ &= -\operatorname{div}(u', t_i Y - r_i) \quad (*) \\ &= -\operatorname{div}(u', Y - r_i(t_i^{-1} \bmod u')). \end{aligned}$$

For the step marked by (*) notice that $N(t_i Y - r_i) = t_i^3 f - r_i^3 = uu'$, so that $\operatorname{div}(t_i Y - r_i) = \operatorname{div}(uu', t_i Y - r_i)$. Let $x \in \overline{K}$ be a root of uu' corresponding to some point $P = (x, y)$ in $\operatorname{div}(t_i Y - r_i)$. Then $t_i(x) \neq 0$, since otherwise $r_i(x) = 0$, contradicting $\gcd(t_i, r_i) = 1$. Thus, $y = \frac{r_i(x)}{t_i(x)}$. Assume first that $y \neq 0$, which implies that P^σ and P^{σ^2} are not contained in $\operatorname{div}(t_i Y - r_i)$. Hence, if $(X - x)^k \parallel uu'$, then the multiplicity of P^{σ^m} in $\operatorname{div}(t_i Y^{\sigma^n} - r_i)$ is k if $m = n$ and zero otherwise. On the other hand, if $y = 0$, then $r_i(x) = 0$ and $\operatorname{div}((X - x)^k) = 3kP$, whence the multiplicity of P in the $\operatorname{div}(t_i P^{\sigma^n} - r_i)$ is given by k regardless of n . This shows that indeed (*) holds. \square

To obtain a reduction, the degree of the new divisor must be smaller than that of the original one. This is the case if i can be chosen such that

$$\max\{3 \deg_X r_i, 3 \deg_X t_i + (g + 1)\} - \deg_X u < \deg_X u.$$

As in Cantor's algorithm, a suitable index i exists provided that the degrees of the remainder sequence in the Euclidian algorithm behave typically, i.e. decrease by 1 in each step. If there are larger jumps, then the algorithm may fail as in the case of hyperelliptic curves.

Theorem 15 *Let $\operatorname{div}(u, Y - v)$ be a divisor with $\deg_X u \geq g$, $\deg_X v = \deg_X u - 1$ and $u|v^3 - f$. Suppose that $r_i = s_i u + t_i v$ with $\deg_X r_i = \deg_X u - 1 - i$ and $\deg_X t_i = i$. Let i_0 be the closest integer to $\frac{3 \deg_X u - g - 4}{6}$. If u', v' are computed from i_0 as in Theorem 14, then $\operatorname{div}(u', Y - v')$ is a divisor in the opposite class of $\operatorname{div}(u, Y - v)$. If $\deg_X u \geq g + 2$, then $\deg u' < \deg u$; if $\deg_X u \in \{g, g + 1\}$, then $\deg u' = g$.*

Proof: Define the function d by

$$d(i) = \max\{2 \deg_X u - 3 - 3i, 3i + g + 1 - \deg_X u\},$$

that is by the degree of the divisor after one step of the reduction process in Theorem 14 with r_i and t_i . Since d is the maximum of a strictly decreasing and a strictly increasing linear function, it is minimized by $i_{\min} = \frac{3 \deg_X u - g - 4}{6}$, where the two linear functions admit the same value, if real arguments are permitted. The value in this point is given by $d(i_{\min}) = \frac{1}{2} \deg_X u + \frac{g}{2} - 1$, which satisfies $d(i_{\min}) = g - 1$ for $\deg_X u = g$, $d(i_{\min}) = g - \frac{1}{2}$ for $\deg_X u = g + 1$ and $d(i_{\min}) \leq \deg_X u - 2$ for $\deg_X u \geq g + 2$.

If only integral arguments are taken into account, then d takes its minimum in one of the neighbouring integers of i_{\min} . Letting i be one of them, the slopes -3 and $+3$ of the linear functions imply that $d(i) = d(i_{\min}) + 3|i - i_{\min}|$, so that the minimum is indeed attained for the closest integer i_0 to i_{\min} . Furthermore, since $|i_0 - i_{\min}| \leq \frac{1}{2}$, we obtain $d(i_0) \leq \lfloor g - 1 + \frac{3}{3} \rfloor = g$ for $\deg_X u = g$ and $d(i_0) \leq \lfloor \deg_X u - 2 + \frac{3}{2} \rfloor = \deg_X u - 1$ for $\deg_X u \geq g + 2$. For $\deg_X u = g + 1$, this argument yields only $d(i_0) \leq g + 1$. However, in this case, i_0 equals $\frac{2g-1}{6}$ which cannot be half-integral since g is different from -1 modulo 3, so that in fact $\frac{1}{6} \leq |i_0 - i_{\min}| < \frac{1}{2}$ and $d(i_0) = g$. A similar argument shows that $|i_0 - i_{\min}| = \frac{1}{3}$ and $d(i_0) = g$ if $\deg_X u = g$. \square

Theorem 16 *Let $g \in \{3, 4\}$, and assume the typical behavior of the remainder degrees of the Euclidian algorithm. Then two applications for $g = 3$ respectively four applications for $g = 4$ of the reduction process of Theorem 14 to a divisor $\operatorname{div}(u, Y - v)$ with $\deg u = 2g$ and $\deg v = 2g - 1$ yield the reduced divisor in the same class.*

Proof: If $g = 3$, then by Theorem 15, the first reduction step yields a divisor of degree 4 in the opposite class and the second one a divisor of degree 3 in the same divisor class. The first three steps in genus 4 transform the divisor of degree 8 to divisors of degree 6, 5 and 4, respectively, the latter of which lies in the opposite divisor class. So a fourth step is needed to obtain a divisor in the original class. By Corollary 6, these divisors are indeed reduced. \square

Remarks.

1. Proposition 12 provides a simple way of computing the negative of a divisor class. However, the output is neither in typical form nor reduced. Theorem 15 and Corollary 6 show that in genus 3 or 4, one application of the algorithm to a divisor suffices to obtain the reduced representative in the opposite class.

2. If the genus of the superelliptic curve is larger than 4, then Theorem 15 still applies, and the algorithm will (with overwhelming probability) output a divisor of degree g . However, as seen in Section 3, this divisor need not necessarily be the reduced representative of the class. Nevertheless, the algorithm may be used for the intermediate steps of the multiplication of a divisor by a scalar. To assure reducedness of the final output, one may then use another algorithm for the last reduction step.
3. The algorithm can be interpreted as a specialisation of Algorithm 13. All but the last reduction step correspond to the computation of \mathfrak{b} .

Example 17 Consider the curve $Y^3 - f$ where f is the polynomial

$$X^4 + 391X^3 + 1300X^2 + 1583X + 1905$$

over the field \mathbb{F}_{2003} and the ideal $\mathfrak{a} = \langle u, Y - v \rangle$ with

$$\begin{aligned} u &= X^6 + 420X^5 + 1293X^4 + 1420X^3 + 1149X^2 + 419X + 1538, \\ v &= 1559X^5 + 775X^4 + 1541X^3 + 162X^2 + 368X + 1864. \end{aligned}$$

The first step of the Cantor algorithm determines $\mathfrak{a}_1 = \langle u_1, Y - v_1 \rangle$ in the class of \mathfrak{a}^{-1} . Using Theorem 15, the polynomials u_1 and v_1 are computed with $i_0 = 2$ as

$$\begin{aligned} u_1 &= 1757 (X^4 + 294X^3 + 521X^2 + 374X + 1973), \\ v_1 &= 1768X^3 + 349X^2 + 274X + 972. \end{aligned}$$

We need a second step with $i_0 = 1$, which yields $\text{Red}(\mathfrak{a}) = \langle u', Y - v' \rangle$ with

$$\begin{aligned} u' &= 879 (X^3 + 1123X^2 + 428X + 1166), \\ v' &= 1543X^2 + 882X + 921. \end{aligned}$$

5.2 FGLM reduction

The FGLM algorithm of [FGLM93] is an efficient method for switching between Gröbner bases for different orders. Since the first element of a Gröbner basis is the minimum of the ideal, an early abort solves the second step of Algorithm 13. We explain the principles of the algorithm applied to curves, illustrating them by the concrete case of superelliptic cubics. All computations may be carried out symbolically, which can be used to obtain explicit formulae for the reduced ideal in terms of the coefficients of the input polynomials.

Let $K[C]$ be the coordinate ring of a curve and \mathfrak{b} an ideal of $K[C]$. Consider $K[C]/\mathfrak{b}$ as a K -vector space with the natural basis $B = (b_1, \dots, b_k)$ formed by reduced monomials modulo \mathfrak{b} , ascending with respect to the first order. It is understood that the monomials are also reduced modulo the curve equation C .

In the context of Algorithm 13, we have $\mathfrak{a} = \langle u, Y - v \rangle$ with $\deg u = 6$ and let $\mathfrak{b} = u\mathfrak{a}^{-1} = \langle u, Y^2 + vY + v^2 \rangle$ by Proposition 12. The Gröbner basis of \mathfrak{b} with respect to the lexicographic order is given by

$$[u, uY, Y^2 + vY + (v^2 \bmod u)],$$

whence

$$B = (1, X, X^2, X^3, X^4, X^5, Y, YX, YX^2, YX^3, YX^4, YX^5)$$

and $k = 12$.

Similarly, let $B' = (b'_1, \dots, b'_{k+1})$ be formed from the first $k+1$ monomials, again reduced modulo the curve equation and ascending with respect to the second order. In our case, we are interested in the $C_{3,4}$ order, so that

$$B' = (1, X, Y, X^2, XY, Y^2, X^3, YX^2, Y^2X, X^4, YX^3, Y^2X^2, X^5).$$

As $|B'| = |B| + 1$, the b'_i are K -linearly dependent in $K[C]/\mathfrak{b}$. Notice that any non-trivial linear relation $\sum \lambda_i b'_i = 0$ means that $b = \sum \lambda_i b'_i$ is an element of \mathfrak{b} . The ordering of B' implies that the second order of b equals that of the last b'_i with $\lambda_i \neq 0$. The minimum of \mathfrak{b} with respect to the second order can thus be obtained by constructing B' incrementally, that is adding the b'_i one by one, until the set becomes linearly dependent. This leads to the following algorithm.

Algorithm 18 (Minimum by FGLM)

Input: \mathfrak{b} , B and B'

Output: $i \leq |B'|$ and $\lambda_1, \dots, \lambda_{i-1}$ s.t. $b'_i - \sum_{j=1}^{i-1} \lambda_j b'_j$ is the minimum of \mathfrak{b} w.r.t. the second order

1. $M \leftarrow$ empty matrix, $i \leftarrow 0$
2. **while** $\text{rank } M = i$
 - $i \leftarrow i + 1$
 - write $b'_i = \sum_{j=1}^k \mu_{ij} b_j$
 - add $(\mu_{i1}, \dots, \mu_{ik})$ as a new row to M
3. compute $\lambda_1, \dots, \lambda_{i-1}$ s.t. $b'_i = \sum_{j=1}^{i-1} \lambda_j b'_j$

Before applying the algorithm to our case, we fix some notation which will be used throughout this and the following section.

Notation 19 For $u \in K[X]$ and $\alpha \in K[X, Y]$ we denote by $\delta_u(\alpha)$ the quotient and by $\varphi_u(\alpha)$ the remainder in $K[X, Y]$ of α divided by u . When no confusion is possible, we omit the subscript u .

We now apply Algorithm 18 symbolically to the ideal $\mathfrak{b} = \langle u, Y^2 + vY + \varphi(v^2) \rangle$ with $\deg u = 6$ of a superelliptic cubic of genus 3. After the first six iterations, the matrix looks as follows. A subscript i indicates the coefficient of X^i of a polynomial, an entry “*” stands for some element of K .

	1	X	X^2	X^3	X^4	X^5	Y	XY	X^2Y	X^3Y	X^4Y	X^5Y
1	1	0	0	0	0	0	0	0	0	0	0	0
X	0	1	0	0	0	0	0	0	0	0	0	0
Y	0	0	0	0	0	0	1	0	0	0	0	0
X^2	0	0	1	0	0	0	0	0	0	0	0	0
XY	0	0	0	0	0	0	0	1	0	0	0	0
Y^2	*	*	*	$-\varphi(v^2)_3$	$-\varphi(v^2)_4$	$-\varphi(v^2)_5$	*	*	$-v_2$	$-v_3$	$-v_4$	$-v_5$

If we had $\deg(v) \leq 1$, then we would obtain a linear dependency and $Y^2 + vY + \varphi(v^2)$ would be the minimum of \mathfrak{b} . In the typical case, where $\deg(v) = 5$, we continue. The next interesting situation arises after adding XY^2 , which is not an element of B .

	1	X	X^2	X^3	X^4	X^5	Y	XY	X^2Y	X^3Y	X^4Y	X^5Y
X^3	0	0	0	1	0	0	0	0	0	0	0	0
X^2Y	0	0	0	0	0	0	0	0	1	0	0	0
XY^2	*	*	*	*	$-\varphi(Xv^2)_4$	$-\varphi(Xv^2)_5$	*	*	*	$-\varphi(Xv)_3$	$-\varphi(Xv)_4$	$-\varphi(Xv)_5$

The algorithm could only stop here if (v_4, v_5) and $(\varphi(Xv)_4, \varphi(Xv)_5)$ were linearly dependent, i.e. if

$$\det \begin{pmatrix} v_4 & v_5 \\ \varphi(Xv)_4 & \varphi(Xv)_5 \end{pmatrix} = 0.$$

Notice that since $\varphi(Xv) = Xv - v_5u$, this determinant equals v_5^2 times the coefficient of X^4 of $u \bmod v$. For a typical remainder sequence in the Euclidian algorithm, however, we expect this coefficient to be different from zero. After three further iterations we obtain a square matrix, which we expect to be non-singular (we will see later that otherwise, the reduced ideal would have a norm of degree less than 3).

	1	X	X^2	X^3	X^4	X^5	Y	XY	X^2Y	X^3Y	X^4Y	X^5Y
X^4	0	0	0	0	1	0	0	0	0	0	0	0
X^3Y	0	0	0	0	0	0	0	0	0	1	0	0
X^2Y^2	*	*	*	*	*	$-\varphi(X^2v^2)_5$	*	*	*	*	$-\varphi(X^2v)_4$	$-\varphi(X^2v)_5$
X^5	0	0	0	0	0	1	0	0	0	0	0	0

Solving the linear system, we compute a quadratic polynomial $t = t_2X^2 + t_1X + t_0$ and the minimum

$$\begin{aligned} e &= tY^2 + (\varphi(tv)_3X^3 + \varphi(tv)_2X^2 + \varphi(tv)_1X + \varphi(tv)_0)Y + \varphi(tv^2) \\ &= tY^2 + (\varphi(tv) \bmod X^4)Y + \varphi(tv^2). \end{aligned}$$

We show now that in fact

$$e = tY^2 + \varphi(tv)Y + \varphi(tv^2),$$

or otherwise said, that $\varphi(tv)$ is of degree at most 3. As an element of $\mathfrak{b} = [u, uY, Y^2 + vY + \varphi(v^2)]$ with leading term tY^2 , e can be written as a linear combination $tY^2 + tvY + t\varphi(v^2) +$

$q_1uY + q_2u$. So its coefficient in Y is given by $tv + q_1u$. Computing the C_{ab} degrees of all terms, one finds that e can only be the minimum if its coefficient in Y equals $\varphi(tv)$.

The concrete value of t is given as follows. (Notice that t is only defined up to a constant factor; we choose it to be monic.)

$$\begin{aligned} t_1 &= \frac{v_4v_3 - v_2v_5 - u_5v_4^2 + v_4u_5^2v_5 - u_4u_5v_5^2 + u_3v_5^2}{v_5v_3 - u_4v_5^2 - v_4^2 + v_4u_5v_5} \\ t_0 &= \frac{v_5u_4 + u_5v_4 - v_3 - u_5^2v_5}{v_5} + \frac{v_5u_5 - v_4}{v_5} t_1. \end{aligned}$$

As a sidenote, t is (up to a constant factor) the multiplier of v obtained after applying two steps of the extended Euclidian algorithm to u and v , that is, $\beta u + tv = r$ with r of degree 4. This is specific to the case of genus 3.

Our aim is now to derive an expression for $\text{Red}(\mathbf{a})$ in the form $\langle u', Y - v' \rangle$. First, we show how to compute u' . By Algorithm 13, we have $\text{Red}(\mathbf{a}) = \frac{e}{u} \mathbf{a} = \langle e, \tilde{e} \rangle$ with $\tilde{e} = e(Y - v)/u$. We let u' be the norm of $\text{Red}(\mathbf{a})$, given by

$$\frac{N(e)N(\mathbf{a})}{N(u)} = \frac{N(e)}{u^2} = \frac{t^3f^2 + (\varphi(tv)^3 - 3t\varphi(tv)\varphi(tv^2))f + \varphi(tv^2)^3}{u^2},$$

where $N(e)$ is computed from (1). Recall that the degree of $N(e)$ equals the C_{ab} order of e , so that it is 15, and u' is of degree 3. If we succeed in finding an element $Y - v'$ of $\text{Red}(\mathbf{a})$ with $v' \in K[X]$, then $\langle u', Y - v' \rangle$ is an ideal contained in $\text{Red}(\mathbf{a})$ of the same norm, so that these two ideals are equal. Furthermore, u' divides automatically the norm $f - (v')^3$ of $Y - v'$.

Remark. If the FGLM algorithm 18 had found a minimum e before the last step, then the degree of $N(e)$ would be less than 15, and we would find an element u' in $\text{Red}(\mathbf{a})$ of degree less than 3. This shows that in the typical case, the matrix constructed by FGLM is of maximal rank, and the minimum is found in the last step only, an argumentation that easily generalises to other curves.

To find a polynomial in $\text{Red}(\mathbf{a})$ which is linear in Y , we define $w \in K[X]$ by $\frac{v^3 - f}{u}$ and develop

$$\tilde{e} = \delta(tv)Y^2 + (\delta(tv^2) - v\delta(tv))Y + (tw - v\delta(tv^2)).$$

A polynomial combination of e and \tilde{e} eliminates Y^2 and yields

$$\tilde{v} = t\tilde{e} - \delta(tv)e = \tilde{v}_1Y - \tilde{v}_0$$

with

$$\begin{aligned} \tilde{v}_1 &= t\delta(tv^2) - \delta(tv)(\varphi(tv) + tv) \\ \tilde{v}_0 &= -t^2w + tv\delta(tv^2) + \delta(tv)\varphi(tv^2). \end{aligned}$$

Substituting $\delta(tv)$ and $\delta(tv^2)$, we find the alternative expression

$$\tilde{v}_1 = \frac{\varphi(tv)^2 - t\varphi(tv^2)}{u},$$

which shows that \tilde{v}_1 is of degree (at most) 1. In the typical case, we expect it to be invertible modulo u , so that $v' = (\tilde{v}_1)^{-1}\tilde{v}_0$. Notice that $(\tilde{v}_1)^{-1}$ is easily computed symbolically.

Example 20 We resume Example 17, applying the explicit FGLM formulae. The minimum with respect to the C_{ab} order in \mathfrak{b} is given by

$$e = tY^2 + (tv \bmod u)Y + (tv^2 \bmod u),$$

where $t = 1328X^2 + 911X + 1446$. Then

$$\begin{aligned} \frac{N(e)}{u^2} &= 1513 (X^3 + 1123X^2 + 428X + 1166), \\ \tilde{v} &= (1465X + 536)Y + 1783X^2 + 1510X + 570, \\ v' &= 1543X^2 + 882X + 921. \end{aligned}$$

6 Further results

During this article we focus on the typical behaviour of divisors in superelliptic Jacobians, occurring virtually all the time. Of course, it is possible to adapt the algorithms to treat non-typical cases as well. The FGLM method, for instance, actually becomes simpler, since the matrix does not have full rank any more and the minimum is found earlier.

Carrying out the computations of the FGLM algorithm symbolically, we have obtained explicit reduction formulae relating the coefficients of the output polynomials to those of the input polynomials. In the following table, we provide the number of multiplications and inversions in the ground field needed by the different algorithms to reduce a typical ideal of degree 6 in the Jacobian of a genus 3 superelliptic curve. The numbers should not be taken literally, since they are subject to considerable variations depending on the polynomial arithmetic used and the exact arrangement of the computations. They are rather meant to convey a vague idea on how the algorithms behave. We describe our implementations of the new algorithms in more detail in the forthcoming article [BEFG02].

	formulae	Cantor	following [HS00]
mul.	150	200	510
inv.	6	10	10

The described algorithms generalise without difficulty to $C_{3,b}$ curves. Completing the cube, we can assume that such a curve is given by an equation of the form

$$C = Y^3 + hY - f$$

with $\deg f = b$ and $\deg h \leq \lfloor \frac{2b}{3} \rfloor$. The formulae for Cantor reduction (cf. Theorem 14) then become

$$\begin{aligned} u' &= \frac{t_i^3 f - t_i^2 r_i h - r_i^3}{u} \\ v' &= r_i(t_i^{-1} \bmod u) \end{aligned}$$

Notice that the degree restriction on h implies that Theorem 15 remains valid, whence the same number of reduction steps is needed as for superelliptic curves.

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