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INSTITUT NATIONAL DE RECHERCHE EN INFORMATIQUE ET EN AUTOMATIQUE

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**N° 5671**

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Thème SYM

A large blue rectangle occupies the lower half of the page. Overlaid on it is a large, light gray 'R' and the text 'Rapport de recherche' in a white serif font. A horizontal gray brushstroke is positioned below the text.

**Rapport  
de recherche**





## On the Number of Maximal Free Line Segments Tangent to Arbitrary Three-dimensional Convex Polyhedra

Hervé Brönnimann<sup>\*</sup>, Olivier Devillers<sup>†</sup>, Vida Dujmović<sup>‡</sup>, Hazel Everett<sup>§</sup>, Marc Glisse<sup>§</sup>, Xavier Goaoc<sup>§</sup>, Sylvain Lazard<sup>§</sup>, Hyeon-Suk Na<sup>¶</sup>, Sue Whitesides<sup>||</sup>

Thème SYM — Systèmes symboliques  
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Rapport de recherche n° 5671 — Septembre 2005 — 28 pages

**Abstract:** We prove that the lines tangent to four possibly intersecting convex polyhedra in  $\mathbb{R}^3$  with  $n$  edges in total form  $\Theta(n^2)$  connected components in the worst case. In the generic case, each connected component is a single line, but our result still holds for arbitrary degenerate scenes. More generally, we show that a set of  $k$  possibly intersecting convex polyhedra with a total of  $n$  edges admits, in the worst case,  $\Theta(n^2 k^2)$  connected components of maximal free line segments tangent to any four of the polytopes. This bound also holds for the number of connected components of possibly occluded lines tangent to any four of the polytopes.

**Key-words:** Computational geometry, 3D visibility, visibility complex, visual events.

This research was initiated at the McGill-INRIA Workshop on Computational Geometry in Computer Graphics, February 9-15, 2002, co-organized by H. Everett, S. Lazard, and S. Whitesides, and held at the Bellairs Research Institute of McGill University. This research was supported by the McGill-ISA collaborative INRIA project, NSERC, FCAR and NSF. A preliminary version of this paper appeared in Proceedings of the 20th Annual ACM Symposium on Computational Geometry, New-York, USA, 2004, pp. 46–55.

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## Sur le nombre de segments libres maximaux tangents à des polyèdres convexes arbitraires en trois dimensions

**Résumé :** Nous montrons que l'ensemble des droites tangentes à quatre polyèdres non nécessairement disjoints et définis par  $n$  arêtes dans  $\mathbb{R}^3$  est formé de  $\Theta(n^2)$  composantes connexes dans le pire des cas. Dans le cas générique chaque composante connexe est réduite à une droite, mais le résultat est valide pour des scènes arbitraires. Plus généralement, nous montrons que  $k$  polyèdres convexes non nécessairement disjoints et contenant  $n$  arêtes au total admettent, dans le pire des cas,  $\Theta(n^2 k^2)$  composantes connexes de segments libre maximaux tangents à quatre des polyèdres. Cette borne correspond également au nombre de composantes connexes de droites possiblement obstruées tangentes aux quadruplets de polyèdres.

**Mots-clés :** Géométrie algorithmique, visibilité 3D, complexe de visibilité, événements visuels.

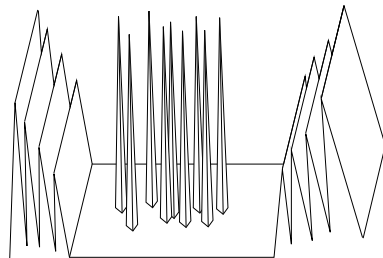


Figure 1: A terrain of size  $n$  with  $\Omega(n^4)$  maximal non-occluded line segments tangent in four points.

## 1 Introduction

Computing visibility relations in a 3D environment is a central problem of computer graphics and engineering tasks such as radio propagation simulation and fast prototyping. Examples of visibility computations include determining the view from a given point, and computing the umbra and penumbra cast by a light source. In many applications, visibility computations are well-known to account for a significant portion of the total computation cost. Consequently a large body of research is devoted to speeding up visibility computations through the use of data structures (see [11] for a survey).

One such structure, the visibility complex [13, 18], encodes visibility relations by partitioning the set of maximal free line segments. Its size is intimately related to the number of maximal non-occluded line segments tangent to four objects in the scene; for  $n$  triangles in  $\mathbb{R}^3$ , the complex can have size  $\Theta(n^4)$  in the worst case [13], even when the triangles form a terrain (see [7] or Figure 1). The complex is thus potentially enormous, which has hindered its application in practice. However, there is evidence, both theoretical and practical, that this estimation is pessimistic. The lower bound examples, which are carefully designed to exhibit the worst-case behavior, are unrealistic in practice. For realistic scenes, Durand et al. [12] observe a quadratic growth rate, albeit for rather small scenes. For random scenes, Devillers et al. [10] prove that the expected size of the visibility complex is much smaller; for uniformly distributed unit balls the expected size is linear and for polygons or polyhedra of bounded aspect ratio it is at most quadratic. Also, in 2D, while the worst-case complexity of the visibility complex is quadratic, experimental results strongly suggest that the size of the visibility complex of a scene consisting of scattered triangles is linear [6].

While these results are encouraging, most scenes are not random. In fact, most scenes have a lot of structure which we can exploit; a scene is typically represented by many triangles which form a much smaller number of convex patches. In particular, if a scene consists of  $k$  *disjoint* convex polyhedra with  $n$  edges in total then, under a strong general position assumption, the number of maximal non-occluded line segments tangent to four of the polyhedra is at most  $O(n^2 k^2)$ ; this follows directly from the bound proved in [14] on the number of combinatorial changes of the silhouette map viewed from a point moving along a straight line, and was also later proved in [4]. We present in this

paper a generalization of these results. After preliminary definitions, we give a detailed account of our results and then present related previous work.

**Preliminary definitions.** We consider a scene that consists of a finite number of polytopes, not necessarily disjoint, not necessarily fully dimensional, and in arbitrary position. The definitions below are standard, yet carefully phrased in a way that remains valid in those situations.

A *polytope* is the convex hull of a point set. A plane is *tangent* to a polytope if it intersects the polytope and bounds a closed half-space that contains the polytope. A line or segment is *tangent* to a polytope if it intersects the polytope and is contained in a tangent plane. A face, edge, or a vertex of a polytope in  $\mathbb{R}^3$  is the 2, 1 or 0-dimensional intersection of the polytope with a tangent plane. Note that, with this usual definition of polytopes, edges and faces are closed and they are not subdivided in any way.

The set of lines in  $\mathbb{R}^3$  has a natural topological structure, namely, that of Plücker space [20]. The set of lines tangent to at least four polytopes is a subspace, whose *connected components* correspond to lines that can be continuously moved one into the other while remaining tangent to at least four polytopes.<sup>1</sup> A line or line segment is *free* if it is tangent to each polytope that its relative interior intersects;<sup>2</sup> otherwise it is *occluded*. The space of line segments also has a natural topological structure and the *connected components* of maximal free line segments tangent to at least four among the  $k$  polytopes are defined similarly as for lines.

A *support vertex* of a line is a polytope vertex that lies on the line. A *support edge* of a line is a polytope edge that intersects the line but has no endpoint on it (a support edge intersects the line at only one point of its relative interior). A *support* of a line is one of its support vertices or support edges. The supports of a segment are defined similarly.

A line is *isolated with respect to* a set of edges and vertices if the line cannot be moved continuously while remaining a common transversal to these edges and vertices. Furthermore, we say that a set  $S$  of edges and vertices *admits an isolated transversal* if these edges and vertices admit a common transversal that is isolated with respect to  $S$ . Finally, a line is *isolated* if it is isolated with respect to a set of some, and hence all, of its supports.

**Our results.** In this paper, we generalize the result of [4, 14] in two ways. First, we consider polytopes that may **intersect**. We show that among  $k$  polytopes of total complexity  $n$ , the number of lines tangent to any four of them is in the worst case either infinite or  $\Theta(n^2 k^2)$ . The most surprising aspect of this result is that the bound (which is tight) is the same whether the polytopes intersect or not. This is in sharp contrast to the 2D case, where the number of tangents of two convex polygons is always 4 if disjoint, and could be linear in the size of the polygons if they intersect. Secondly we consider polytopes in **arbitrary position**: we drop all general position assumptions. The polytopes may intersect in any way; they may overlap or coincide. They may degenerate to polygons, segments or points. While four polytopes in general position (as defined in [4]) admit a finite number of common tangents, four polytopes in arbitrary position may admit an infinite number of common tangents which can be partitioned into connected components.

Our main results are the following.

<sup>1</sup>The set of polytopes the line is tangent to might change during the motion.

<sup>2</sup>When the polytopes are fully dimensional, a segment is free if it does not intersect the interior of any of them. Our definition ensures that a segment is free also when it intersects and is coplanar with a two-dimensional polytope.

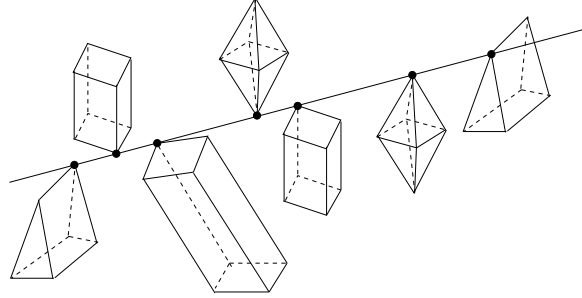


Figure 2: A line tangent at a vertex of each of  $k$  polytopes.

**Theorem 1** *Given  $k$  polytopes in  $\mathbb{R}^3$  with  $n$  edges in total, there are, in the worst case,  $\Theta(n^2 k^2)$  connected components of maximal free line segments tangent to at least four of the polytopes. This bound also holds for connected components of possibly occluded lines tangent to at least four of the polytopes.*

These results improve the trivial bound of  $O(n^4)$ . Note that, when  $k \neq 4$ , neither of the two results stated in Theorem 1 implies the other since a line tangent to at least four among  $k$  polytopes may contain many, but does not necessarily contain any, maximal free line segments tangent to four polytopes.

When  $k = 4$  Theorem 1 implies that there are  $\Theta(n^2)$  connected components of lines tangent to the four polytopes, an improvement on the previously known upper bound of  $O(n^3 \log n)$  which follows from the same bound on the complexity of the set of line transversals to a set of polyhedra (here four) with  $n$  edges in total [1]. Moreover, we prove a tighter bound when one of the four polytopes has few edges.

**Theorem 2** *Given 3 polytopes with  $n$  edges in total and one polytope with  $m$  edges, there are, in the worst case,  $\Theta(mn)$  connected components of lines tangent to the four polytopes.*

We also prove the following result which is more powerful, though more technical, than Theorem 1. Whereas Theorem 1 bounds the number of connected components of tangents, Theorem 3 bounds the number of isolated tangents with some notion of multiplicity. For example, in Figure 2, the tangent is counted  $\binom{k}{2}$  times which is the number of minimal sets of vertices that admit that line as an isolated transversal. Although neither theorem implies the other, we will prove in Proposition 22 that the upper bound of Theorem 1 is easily proved using Theorem 3.

**Theorem 3** *Given  $k$  polytopes in  $\mathbb{R}^3$  with  $n$  edges in total, there are, in the worst case,  $\Theta(n^2 k^2)$  minimal sets of open edges and vertices, chosen from some of the polytopes, that admit an isolated transversal that is tangent to these polytopes.*

To emphasize the importance of considering intersecting polytopes, observe that computer graphics scenes often contain non-convex objects. These objects, however, can be decomposed into sets



of convex polyhedra. Notice that simply decomposing these objects into convex polyhedra with disjoint interiors may induce a scene of much higher complexity than a decomposition into intersecting polytopes. Moreover, the decomposition of a polyhedron into interior-disjoint polytopes may yield new tangents which were not present in the original scene; indeed a line tangent to two polytopes along a shared face is not tangent to their union.

The importance of considering polytopes in arbitrary position comes from the fact that graphics scenes are full of degeneracies both in the sense that four polytopes may admit infinitely many tangents and that polytopes may share edges or faces. There may actually be more connected components of tangents when the objects are in degenerate position; this is, for instance, the case for line segments [5]. Also, we could not find a perturbation argument that guarantees the preservation of all (or at least a constant fraction of) the connected components of tangents and we do not believe it is a simple matter.

**Related results.** Previous results on this topic include those that bound the complexity of sets of free lines or free line segments among different sets of objects. They are summarized in Table 1.

Recently, Agarwal et al. [2] proved that the set of free lines among  $n$  unit balls has complexity  $O(n^{3+\epsilon})$ . Devillers et al. showed a simple bound of  $\Omega(n^2)$  [10] for this problem, and Koltun recently sketched a bound of  $\Omega(n^3)$  (personal communication, 2004).

The complexity of the set of free line segments among  $n$  balls is trivially  $O(n^4)$ . Devillers and Ramos showed that the set of free line segments can have complexity  $\Omega(n^3)$  (personal communication 2001, see also [10]). When the balls are unit size, the  $\Omega(n^2)$  lower bound for the set of free lines holds. A lower bound of  $\Omega(n^4)$  that applies to either case was recently sketched by Glisse (personal communication, 2004).

We mention two results for polyhedral environments. Halperin and Sharir [16], and Pellegrini [17], proved that, in a polyhedral terrain, the set of free lines with  $n$  edges has near-cubic complexity. De Berg, Everett and Guibas [8] showed a  $\Omega(n^3)$  lower bound on the complexity of the set of free lines (and thus free segments) among  $n$  disjoint homothetic convex polyhedra.

The paper is organized as follows. We prove the upper bounds of Theorems 1, 2, and 3 in Sections 2 and 3, and the lower bounds in Section 4.

## 2 Main lemma

We prove in this section a lemma which is fundamental for the proofs of the upper bounds of Theorems 1, 2, and 3. Consider four polytopes  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$ , and  $\mathbf{S}$  in  $\mathbb{R}^3$ , with  $p$ ,  $q$ ,  $r$ , and  $s \geq 1$  edges, respectively, and let  $e$  be an edge of  $\mathbf{S}$ .

**MAIN LEMMA.** *There are  $O(p + q + r)$  isolated lines intersecting  $e$  and tangent to  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$  and  $\mathbf{S}$  excluding those that lie in planes that contain  $e$  and are tangent to all four polytopes.*

The proof of the Main Lemma is rather complicated because it handles polytopes which may intersect as well as all the degenerate cases. To assist the reader, we first give an overview of the proof. We then state preliminaries and definitions in Section 2.2. In Sections 2.3 and 2.4, we bound the number of so-called “generic tangent lines”. In Section 2.5, we bound the number of “nongeneric

	Worst-case	Expected
free lines to a polyhedron	$\Theta(n^4)$ (trivial)	
free lines above a polyhedral terrain	$O(n^3 2^{c\sqrt{\log n}})$ [16, 17]	
free lines among disjoint homothetic polytopes	$\Omega(n^3)$ [8]	
free lines among unit balls	$\Omega(n^2)$ [10], $O(n^{3+\epsilon})$ [2]	$\Theta(n)$ [10]
max. free segments above a polyhedral terrain	$\Theta(n^4)$ [7]	
isolated maximal free segments among $k$ generic disjoint convex polyhedra	$\Theta(n^2 k^2)$ [14, 4]	
max. free segments among unit balls	$\Omega(n^2)$ [10], $O(n^4)$	$\Theta(n)$ [10]

Table 1: Published bounds on the complexity of the set of free lines or maximal free line segments among objects of total complexity  $n$ . The expected complexities are given for the uniform distribution of the balls centers.

tangent lines”. Finally, in Section 2.6, we pull these results together to conclude the proof of the Main Lemma.

## 2.1 Proof overview

The proof is inspired by a method which was, to our knowledge, first used in [3] (and later in [9, 14, 4]). We present here an overview of the proof in which we do not address most of the problems arising from degeneracies. In particular, some definitions and remarks will require more elaboration in the context of the complete proof.

We sweep the space with a plane  $\Pi_t$  rotating about the line containing  $e$ . The sweep plane intersects the three polytopes  $\mathbf{P}$ ,  $\mathbf{Q}$ , and  $\mathbf{R}$  in three, possibly degenerate or empty, convex polygons denoted  $P_t$ ,  $Q_t$ , and  $R_t$ , respectively (see Figure 3). During the sweep, we track the *bitangents*, that is, the lines tangent to  $P_t$  and  $Q_t$ , or to  $Q_t$  and  $R_t$ , in  $\Pi_t$ . As the sweep plane rotates, the three polygons deform and the bitangents move accordingly. Every time two bitangents become aligned during the sweep, the common line they form is tangent to  $\mathbf{P}$ ,  $\mathbf{Q}$ , and  $\mathbf{R}$ .

In any given instance of the sweep plane  $\Pi_t$ , we consider the pairs of bitangents (one involving  $P_t$  and  $Q_t$ , and the other  $Q_t$  and  $R_t$ ) that share a vertex of  $Q_t$  (see Figure 3). The isolated lines intersecting  $e$  and tangent to  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$  and  $\mathbf{S}$  are isolated transversals with respect to a tuple of supports that consists of  $e$  and the supports of two such bitangents. We consider all *candidate* such tuples of supports as the sweep plane rotates.

Such a tuple induced by an instance of the sweep plane changes as the plane rotates only when a support of a bitangent changes. We define *critical planes* in such a way that the supports of the bitangents do not change as the sweep plane rotates between two consecutive critical planes. As the sweep plane rotates, the supports of a bitangent change if a support starts or ceases to be swept, or if, during its motion, the bitangent becomes tangent to one of the polygons along an edge of that polygon (see Figure 4). In the latter case, this means that the bitangent crosses a face or contains an edge of one of the polytopes. We thus define two types of critical planes: an instance of the sweep plane is critical if it contains a vertex of one of the polytopes, or if it contains a line that lies in

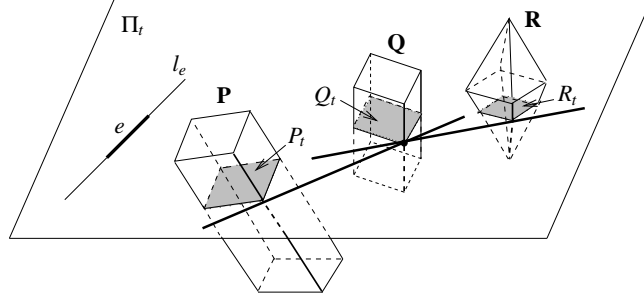


Figure 3: Plane  $\Pi_t$  contains edge  $e$  and intersects polytopes  $\mathbf{P}$ ,  $\mathbf{Q}$ , and  $\mathbf{R}$  in polygons  $P_t$ ,  $Q_t$ , and  $R_t$ .

the plane containing a face of one of the polytopes, and is tangent to another of the polytopes (see Figures 4 and 5). We will show that the number of critical planes is  $O(p + q + r)$ .

When the polytopes intersect there may exist a linear number of bitangents in an instance of the sweep plane (two intersecting convex polygons may admit a linear number of bitangents, as is the case for two regular  $n$ -gons where one is a rotation of the other about its center). Thus there can be a linear number of candidate tuples induced by any instance of the sweep plane, and the linear number of critical planes leads to a quadratic bound on the total number of distinct candidate tuples. In the detailed proof of the lemma, we amortize the count of candidate tuples over all the critical planes to get a linear bound on the number of distinct candidate tuples and thus on the number of isolated lines intersecting  $e$  and tangent to  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$  and  $\mathbf{S}$ ; this bound will however not hold for those isolated lines that lie in planes that contain  $e$  and are tangent to all four polytopes. Indeed, the number of such isolated tangent lines can be quadratic, in degenerate cases; for instance, four polytopes such that a plane contains edge  $e$  and a face of linear complexity from each other polytope may admit in this plane a quadratic number of such isolated tangent lines (one through each of a quadratic number of pairs of vertices).

## 2.2 Preliminaries and definitions

We can assume without loss of generality that  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$  and  $\mathbf{S}$  have *non-empty interior*. Indeed, since the set of isolated tangent lines to the four polytopes is zero-dimensional, there is always room to extend any polytope with empty interior in such a way that none of the original isolated tangent lines are lost.

We say that a line *properly* intersects a polygon if it intersects its relative interior. In the sequel, we use this definition only when the line and polygon are coplanar. Notice that a line that contains a segment is tangent to the segment as well as properly intersects it.

Let  $l_e$  be the line containing  $e$  and let  $\Pi_t$  denote the sweep plane parameterized by  $t \in [0, \pi]$  such that  $\Pi_t$  contains the line  $l_e$  for all  $t$  and  $\Pi_0 = \Pi_\pi$ . Each plane  $\Pi_t$  intersects the three polytopes  $\mathbf{P}$ ,  $\mathbf{Q}$ , and  $\mathbf{R}$  in three, possibly degenerate or empty, convex polygons,  $P_t$ ,  $Q_t$ , and  $R_t$ , respectively (see Figure 3).

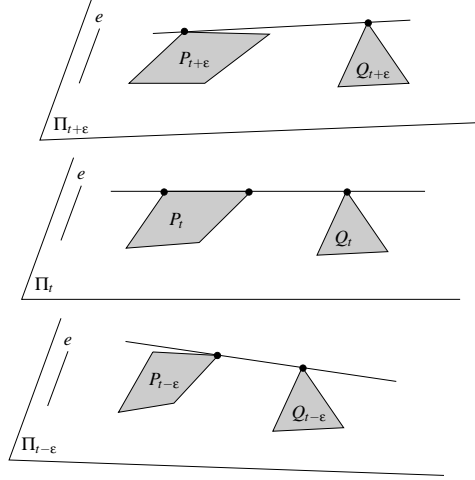


Figure 4: A bitangent to  $P_t$  and  $Q_t$  is tangent to  $P_t$  along an edge. The plane  $\Pi_t$  is F-critical.

For any  $t$ , a *bitangent* to polygons  $P_t$  and  $Q_t$  is a line tangent to  $P_t$  and  $Q_t$  in  $\Pi_t$  (the line may intersect the polygon  $R_t$  in any way, possibly not at all). For any  $t$ , let a  $(P_t, Q_t)$ -tuple be the unordered set of all supports in  $\mathbf{P}$  and  $\mathbf{Q}$  of one of the bitangents to polygons  $P_t$  and  $Q_t$ . Note that a support in  $\mathbf{P}$  may be identical to a support in  $\mathbf{Q}$ , in which case the  $(P_t, Q_t)$ -tuple does not maintain duplicates. Also note that a  $(P_t, Q_t)$ -tuple consists of exactly one support in  $\mathbf{P}$  and one support in  $\mathbf{Q}$  (possibly identical) except when the corresponding bitangent is tangent to  $\mathbf{P}$  (or  $\mathbf{Q}$ ) along a face (either intersecting the face properly or containing one of its edges); then the  $(P_t, Q_t)$ -tuple contains two supports in  $\mathbf{P}$  (or  $\mathbf{Q}$ ) instead of one. A **PQ**-tuple is a set of edges and vertices that is a  $(P_t, Q_t)$ -tuple for some  $t$ . We define similarly the  $(Q_t, R_t)$ -tuples and **QR**-tuples.

We say that a  $(P_t, Q_t)$ -tuple is *maximal for some  $t$*  if it is not contained in any other  $(P_t, Q_t)$ -tuple, for the same  $t$ . Note that a  $(P_t, Q_t)$ -tuple is non-maximal for some  $t$  if and only if all its supports intersect  $\Pi_t$  in one and the same point, and  $P_t$  and  $Q_t$  are not equal to one and the same point (see Figure 7(b)).

For any  $t$ , let a  $(P_t, Q_t, R_t)$ -tuple be the union of a  $(P_t, Q_t)$ -tuple and a  $(Q_t, R_t)$ -tuple that share at least one support in  $\mathbf{Q}$ . A  $(P_t, Q_t, R_t)$ -tuple is maximal for some  $t$  if it is not contained in any other  $(P_t, Q_t, R_t)$ -tuple, for the same  $t$ . A **PQR**-tuple is a set of edges and vertices that is a  $(P_t, Q_t, R_t)$ -tuple for some  $t$ . Note that a **PQR**-tuple typically consists of three supports, one from each polytope, and consists, in all cases, of at most two supports in  $\mathbf{P}$ , at most three supports in  $\mathbf{Q}$ , and at most two supports in  $\mathbf{R}$ .

A line intersecting  $e$  and tangent to  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$  and  $\mathbf{S}$  is called a *generic tangent line* if and only if it intersects  $\mathbf{S}$  only on  $e$  and is tangent to  $P_t$ ,  $Q_t$ , and  $R_t$  in some plane  $\Pi_t$ . Otherwise it is called a *nongeneric tangent line*. A nongeneric tangent line properly intersects a face of  $\mathbf{S}$  or properly intersects  $P_t$ ,  $Q_t$ , or  $R_t$  in some plane  $\Pi_t$ . In the latter case  $P_t$ ,  $Q_t$ , or  $R_t$  is a face or an edge of  $\mathbf{P}$ ,  $\mathbf{Q}$ ,

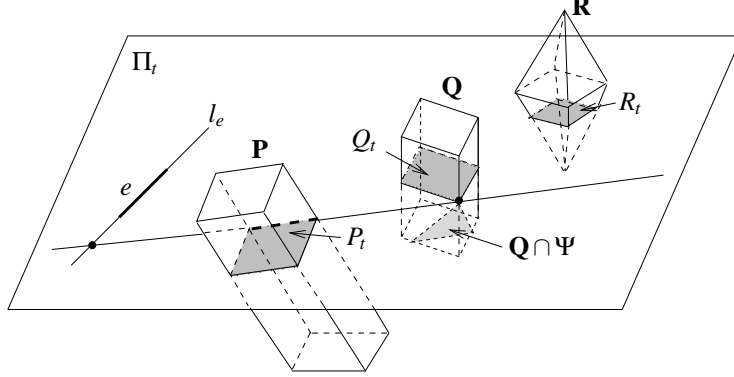


Figure 5: Plane  $\Pi_t$  is *F-critical*: it contains a line that lies in a plane  $\Psi$  containing a face of  $\mathbf{P}$  such that the line is tangent to  $\mathbf{Q} \cap \Psi$  at a point not on  $l_e$ .

or  $\mathbf{R}$  lying in  $\Pi_t$ ; thus a nongeneric tangent line is (in both cases) tangent to  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$  and  $\mathbf{S}$  in a plane containing a face or two edges of these polytopes, a degenerate situation.

In the following three subsections, we bound the number of generic and nongeneric tangent lines. It is helpful to keep in mind that, as observed earlier, two convex polygons in a plane  $\Pi_t$  (such as  $P_t$  and  $Q_t$ ) may admit a linear number of tangents if they intersect.

### 2.3 Generic tangent lines

**Lemma 4** *The set of supports in  $\mathbf{P}$ ,  $\mathbf{Q}$ , and  $\mathbf{R}$  of a generic tangent line is a  $\mathbf{PQR}$ -tuple.*

**Proof:** Any generic tangent line  $\ell$  is tangent in  $\Pi_t$  to  $P_t$ ,  $Q_t$ , and  $R_t$  for some value  $t$ . Thus the set of supports of  $\ell$  in  $\mathbf{P}$  and  $\mathbf{Q}$  (resp. in  $\mathbf{Q}$  and  $\mathbf{R}$ ) is a  $(P_t, Q_t)$ -tuple (resp. a  $(Q_t, R_t)$ -tuple). Moreover the  $(P_t, Q_t)$ -tuple and the  $(Q_t, R_t)$ -tuple contain the same supports in  $\mathbf{Q}$ , and thus their union is a  $(P_t, Q_t, R_t)$ -tuple, hence a  $\mathbf{PQR}$ -tuple.  $\square$

We now define the *critical planes*  $\Pi_t$  in such a way that, as we will later prove, the set of  $(P_t, Q_t, R_t)$ -tuples is invariant for  $t$  ranging strictly between two consecutive critical values. We introduce two types of critical planes: the *V-critical* and *F-critical planes*.

A plane  $\Pi_t$  is *V-critical* if it contains a vertex of  $\mathbf{P}$ ,  $\mathbf{Q}$ , or  $\mathbf{R}$ , not on  $l_e$ . (The constraint that the vertex does *not* lie on  $l_e$  ensures that the number of V-critical planes is finite even in degenerate configurations.) A plane  $\Pi_t$  is *F-critical* relative to an ordered pair of polytopes  $(\mathbf{P}, \mathbf{Q})$  if (see Figure 5) it contains a line  $\ell$  such that

- (i)  $\ell$  lies in a plane  $\Psi \neq \Pi_t$  containing a face of  $\mathbf{P}$ , and
- (ii)  $\ell$  is tangent in  $\Psi$  to polygon  $\mathbf{Q} \cap \Psi$  or  $\mathbf{P} \cap \Psi$ , at some point not on  $l_e$ .

For simplicity, we do not require that  $\ell$  is tangent to  $\mathbf{P}$ ; this leads to overestimating the number of common tangents to  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$ , and  $\mathbf{S}$  but only by an asymptotically negligible amount. Note that not all lines in  $\Psi$  tangent to  $\mathbf{Q}$  are tangent to the polygon  $\mathbf{Q} \cap \Psi$  when that polygon is a face or edge of  $\mathbf{Q}$  lying in  $\Psi$ . Note also that we define  $\Pi_t$  to be F-critical when  $\ell$  is tangent to  $\mathbf{P} \cap \Psi$  at some point not on  $l_e$  only for handling the very degenerate case where  $\mathbf{Q} \cap \Psi$  is an edge of  $\mathbf{Q}$  and there exists a line in  $\Psi$  that properly intersects  $\mathbf{Q} \cap \Psi$  and is tangent to  $\mathbf{P} \cap \Psi$  along an edge that has an endpoint on  $l_e$  (see Figure 6).

F-critical planes relative to  $(\mathbf{Q}, \mathbf{P})$ ,  $(\mathbf{Q}, \mathbf{R})$ , and  $(\mathbf{R}, \mathbf{Q})$  are defined similarly. A plane  $\Pi_t$  is *F-critical* if it is F-critical relative to polytopes  $(\mathbf{P}, \mathbf{Q})$ ,  $(\mathbf{Q}, \mathbf{P})$ ,  $(\mathbf{Q}, \mathbf{R})$ , or  $(\mathbf{R}, \mathbf{Q})$ .

The values of  $t$  corresponding to critical planes  $\Pi_t$  are called *critical values*. We call *V-critical* and *F-critical events* the ordered pairs  $(t, o)$  where  $t$  is a critical value and  $o$  is a vertex or line depending on the type of critical event. In a V-critical event,  $o$  is a vertex of  $\mathbf{P}$ ,  $\mathbf{Q}$ , or  $\mathbf{R}$  that belongs to  $\Pi_t \setminus l_e$ . In an F-critical event,  $o$  is a line lying in some plane  $\Pi_t$  and satisfying Conditions (i-ii) above. A *critical event* is a V-critical or F-critical event.

**Lemma 5** *There are at most  $\frac{2}{3}(p+q+r)$  V-critical events and  $\frac{8}{3}(p+2q+r)$  F-critical events.*

**Proof:** The number of V-critical events is at most the total number of vertices of  $\mathbf{P}$ ,  $\mathbf{Q}$ , and  $\mathbf{R}$ , and hence is less than two thirds the total number of edges of  $\mathbf{P}$ ,  $\mathbf{Q}$ , and  $\mathbf{R}$ . We now count the number of F-critical events relative to polytopes  $(\mathbf{P}, \mathbf{Q})$ . Let  $\Psi$  be a plane containing a face of  $\mathbf{P}$ , and suppose that for some plane  $\Pi_t$ , line  $\ell = \Pi_t \cap \Psi$  satisfies Conditions (i-ii). Plane  $\Psi$  does not contain  $l_e$  because otherwise both  $l_e$  and  $\ell$  lie in the two distinct planes  $\Psi$  and  $\Pi_t$ , so  $\ell = l_e$  but then  $\ell$  cannot satisfy Condition (ii). Furthermore  $\ell$  and  $l_e$  intersect or are parallel since they both lie in  $\Pi_t$ . Thus if  $\Psi \cap l_e$  is a point then  $\ell$  contains it, and otherwise  $\Psi \cap l_e = \emptyset$  and  $\ell$  is parallel to  $l_e$ .

If  $\Psi \cap l_e$  is a point, there are at most four candidates for a line  $\ell$  in plane  $\Psi$  going through  $\Psi \cap l_e$  and tangent to  $\mathbf{Q} \cap \Psi$  or  $\mathbf{P} \cap \Psi$  at some point not on  $l_e$ . Likewise, if  $\Psi \cap l_e$  is empty, there are at most four candidates for a line  $\ell$  in plane  $\Psi$  that is parallel to  $l_e$  and tangent to  $\mathbf{Q} \cap \Psi$  or  $\mathbf{P} \cap \Psi$ . In either case, each candidate line is contained in a unique plane  $\Pi_t$ , for  $t \in [0, \pi]$ , since  $\ell \neq l_e$  ( $\ell$  contains a point not on  $l_e$ ). Hence, a face of  $\mathbf{P}$  generates at most four F-critical events relative to  $(\mathbf{P}, \mathbf{Q})$ . Therefore the number of critical events relative to  $(\mathbf{P}, \mathbf{Q})$  is at most  $\frac{8}{3}p$  since the number of faces of a polytope is at most two thirds the number of its edges. Hence the number of critical events relative to  $(\mathbf{P}, \mathbf{Q})$ ,  $(\mathbf{Q}, \mathbf{P})$ ,  $(\mathbf{Q}, \mathbf{R})$  and  $(\mathbf{R}, \mathbf{Q})$  is at most  $\frac{8}{3}(p+2q+r)$ .  $\square$

The following lemma states that the critical planes have the desired property. Let  $u_e$  be the set of supports of  $l_e$  in  $\mathbf{P}$  and  $\mathbf{Q}$ .

**Lemma 6** *Let  $t^*$  be the endpoint of a maximal interval<sup>3</sup> throughout which  $u \neq u_e$  is a maximal  $(P_t, Q_t)$ -tuple. Then  $t^*$  is a critical value. Moreover, there exists a V-critical event  $(t^*, v)$  or a F-critical event  $(t^*, m)$  such that  $u$  contains  $v$  or an edge with endpoint  $v$ , or  $u$  is contained in the set of supports of  $m$ .*

The proof of this lemma is rather long and intricate; we postpone it to Section 2.4. Note that, as stated, this lemma only applies under the assumptions that  $u$  is maximal and distinct from  $u_e$ . These

<sup>3</sup>Such an interval could be open or closed, a single point or an interval of positive length.

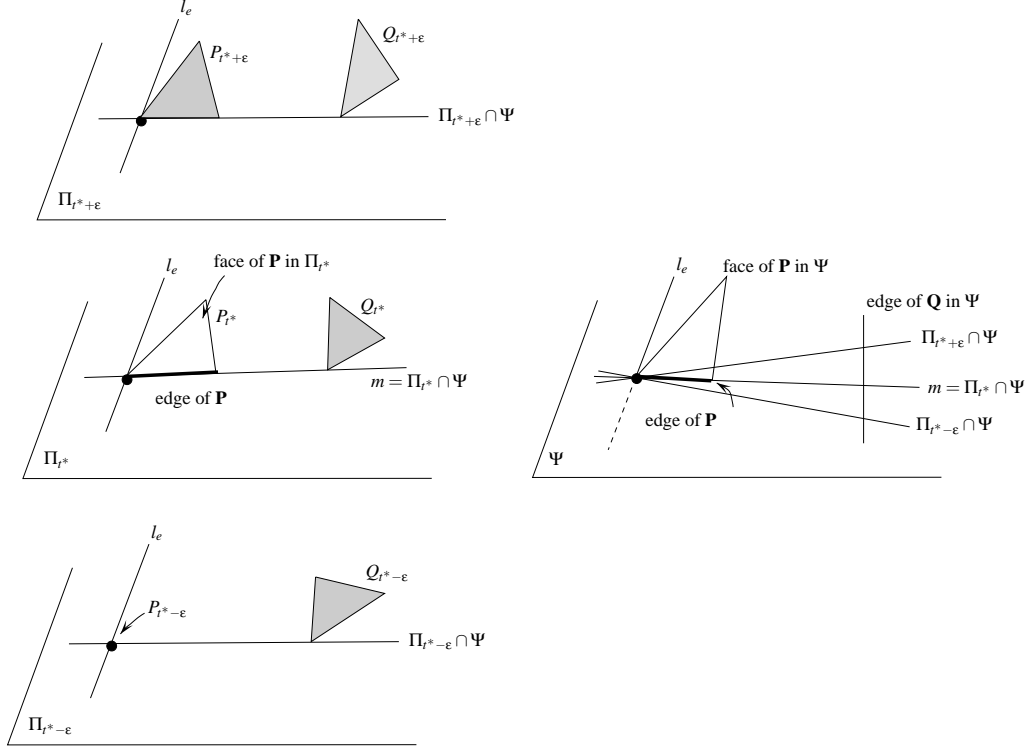
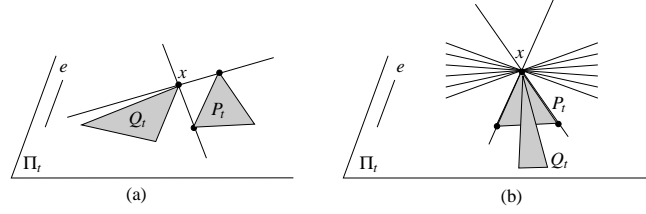


Figure 6: Plane  $\Pi_{t^*}$  contains a line  $m$  such that (i)  $m$  lies in a plane  $\Psi \neq \Pi_{t^*}$  containing a face of  $\mathbf{P}$ , and (ii)  $m$  is tangent to polygon  $\mathbf{P} \cap \Psi$  at some point not on  $l_e$ ; however  $m$  is not tangent to  $\mathbf{Q} \cap \Psi$ . If the definition of F-critical planes was not considering such plane  $\Pi_{t^*}$  to be F-critical then Lemma 6 would not hold. Indeed the set  $u$  of supports of line  $\Pi_{t^*-\epsilon} \cap \Psi$  is a maximal  $(P_t, Q_t)$ -tuple for some but not all  $t$  in any open neighborhood of  $t^*$ , and, although  $\Pi_{t^*}$  is V-critical, there exists no V-critical event  $(t^*, v)$  such that  $u$  contains  $v$  or an edge with endpoint  $v$ .

assumptions are made in order to simplify the proof of Lemma 6; we don't suggest that the lemma is false without them.

**Lemma 7** Any edge or vertex of  $\mathbf{P}$  or  $\mathbf{Q}$  is in at most 2  $\mathbf{PQ}$ -tuples that are maximal  $(P_t, Q_t)$ -tuples for all  $t$  in any given non-empty interval<sup>3</sup> of  $\mathbb{R}/\pi\mathbb{Z}$ .

**Proof:** Let  $\tilde{t}$  be an element of a non-empty interval  $I$  of  $\mathbb{R}/\pi\mathbb{Z}$  and  $x$  be an edge or vertex of  $\mathbf{P}$  or  $\mathbf{Q}$ . If  $x$  does not intersect  $\Pi_{\tilde{t}}$  then no  $(P_{\tilde{t}}, Q_{\tilde{t}})$ -tuple contains  $x$ . If  $x$  intersects  $\Pi_{\tilde{t}}$  in one point then there are, in general, at most two lines in  $\Pi_{\tilde{t}}$  going through  $x$  and tangent to  $P_{\tilde{t}}$  and  $Q_{\tilde{t}}$  (see Figure 7(a));

Figure 7: Lines through  $x$  in  $\Pi_t$  and tangent to  $P_t$  and  $Q_t$ .

in all cases there are at most 3  $(P_t, Q_t)$ -tuples containing  $x$  (see Figure 7(b)), however at most 2 of them are maximal. If  $x$  intersects  $\Pi_{\tilde{t}}$  in more than one point,  $x$  is an edge lying in  $\Pi_{\tilde{t}}$ . Then any line in  $\Pi_{\tilde{t}}$  intersecting  $x$  and tangent to  $P_{\tilde{t}}$  and  $Q_{\tilde{t}}$  contains an endpoint of  $x$  and thus  $x$  belongs to no  $(P_t, Q_t)$ -tuple.

Hence at most 2 **PQ**-tuples contain  $x$  and are maximal  $(P_t, Q_t)$ -tuples for  $t = \tilde{t}$ , and thus at most 2 **PQ**-tuples contain  $x$  and are maximal  $(P_t, Q_t)$ -tuples for all  $t$  in  $I$ .  $\square$

**Lemma 8** *There are at most  $O(p + q + r)$  **PQR**-tuples.*

**Proof:** In order to count the number of distinct  $(P_t, Q_t, R_t)$ -tuples, we charge each maximal  $(P_t, Q_t, R_t)$ -tuple to a critical event. We then show that each critical event is charged at most a constant number of times. It then follows from Lemma 5 that there are  $O(p + q + r)$  distinct maximal  $(P_t, Q_t, R_t)$ -tuples. A maximal  $(P_t, Q_t, R_t)$ -tuple consists of at most two supports in **P**, at most three supports in **Q**, and at most two supports in **R**, and thus contains at most  $(2^2 - 1)(2^3 - 1)(2^2 - 1)$  distinct subsets with at least one support in each of **P**, **Q** and **R**. Each maximal  $(P_t, Q_t, R_t)$ -tuple thus contains at most a constant number of distinct  $(P_t, Q_t, R_t)$ -tuples, which implies the result.

Let  $s$  be a maximal  $(P_t, Q_t, R_t)$ -tuple and let  $I$  be any maximal connected subset of  $\mathbb{R}/\pi\mathbb{Z}$  such that  $s$  is a maximal  $(P_t, Q_t, R_t)$ -tuple for all  $t \in I$ . Let  $u$  be a maximal  $(P_t, Q_t)$ -tuple and  $u'$  a maximal  $(Q_t, R_t)$ -tuple such that the union of  $u$  and  $u'$  is  $s$  and such that  $u$  and  $u'$  share at least one support in **Q**.

First, suppose that  $I = \mathbb{R}/\pi\mathbb{Z}$ . Then  $u$  is a maximal  $(P_t, Q_t)$ -tuple for all  $t \in \mathbb{R}/\pi\mathbb{Z}$ . Thus each support in  $u$  intersects  $\Pi_t$  for all  $t \in \mathbb{R}/\pi\mathbb{Z}$  and thus intersects  $l_e$ ; moreover each support in  $u$  intersects  $\Pi_t$  only on  $l_e$  for all  $t \in \mathbb{R}/\pi\mathbb{Z}$  except possibly for one value of  $t$ . Since **P** and **Q** have non-empty interior,  $P_t \cup Q_t$  is not reduced to a point for all  $t$  in some interval of positive length. For all  $t$  in such an interval, since  $u$  is maximal, the union of the supports in  $u$  intersects  $\Pi_t$  in at least two distinct points. These at least two distinct points lie on  $l_e$  for some values of  $t$  by the above argument. Thus, for these values of  $t$ ,  $l_e$  is the only line in  $\Pi_t$  whose set of supports contains  $u$ . Hence  $u$  is the set of supports of  $l_e$ . The same property holds for  $v$  and thus  $s$  is also the set of supports of  $l_e$ . We can thus assume in the following that  $I \neq \mathbb{R}/\pi\mathbb{Z}$ , and only count the maximal  $(P_t, Q_t, R_t)$ -tuples that are not the set of supports of  $l_e$ .

Interval  $I$  is thus a non-empty interval of  $\mathbb{R}/\pi\mathbb{Z}$ ; it can be open or closed, a single point or an interval of positive length. Let  $w_0$  and  $w_1$  denote the endpoints of  $I \neq \mathbb{R}/\pi\mathbb{Z}$ .



If  $s$  contains a vertex  $v$ , or an edge with endpoint  $v$ , such that  $v$  lies in  $\Pi_{w_i} \setminus l_e$ , for  $i = 0$  or  $1$ , then we charge  $s$  to the V-critical event  $(w_i, v)$ . Otherwise, we charge  $s$  to an F-critical event  $(w_i, m)$  where  $m$  is a line in  $\Pi_{w_i}$  whose set of supports contains  $u$  or  $u'$ . Such a V-critical or F-critical event exists by Lemma 6.

We now prove that each critical event is charged by at most a constant number of distinct maximal  $(P_t, Q_t, R_t)$ -tuples. As mentioned before, that will imply the result.

Consider a V-critical event  $(t^*, v)$  that is charged by a maximal  $(P_t, Q_t, R_t)$ -tuple  $s$ . By the charging scheme,  $s$  contains a support  $x$  that is  $v$  or an edge with endpoint  $v$ , and  $s$  is a maximal  $(P_t, Q_t, R_t)$ -tuple for all  $t$  in at least one of three intervals,  $\{t^*\}$  and two open intervals having  $t^*$  as endpoint; denote these intervals by  $I_1, I_2, I_3$ .

By Lemma 7, at most 2 **PQ**-tuples contain  $x$  and are maximal  $(P_t, Q_t)$ -tuples for all  $t$  in  $I_i$ . Moreover, each of these **PQ**-tuples contains at most 2 supports in **Q**, and each of these supports belongs to at most 2 **QR**-tuples that are maximal  $(Q_t, R_t)$ -tuples for all  $t$  in  $I_i$ . Thus at most 8 **PQR**-tuples contain  $x$  and are maximal  $(P_t, Q_t, R_t)$ -tuples for all  $t$  in  $I_i$ , for each  $i = 1, \dots, 3$ . Hence any V-critical event  $(t^*, v)$  is charged by at most 24 distinct maximal  $(P_t, Q_t, R_t)$ -tuples.

Consider now an F-critical event  $(t^*, m)$  that is charged by a maximal  $(P_t, Q_t, R_t)$ -tuple  $s$ , and define as before  $u$  and  $u'$ . By the charging scheme, the set of supports of  $m$  contains  $u$  or  $u'$  (or both); suppose without loss of generality that it contains  $u$ . The set of supports of  $m$  contains at most two supports in **P** and at most two supports in **Q**. Since  $u$  contains at least one support in **P** and at least one support in **Q**, there are at most  $3^2$  choices for  $u$ .

By the charging scheme,  $s$  is a maximal  $(P_t, Q_t, R_t)$ -tuple for all  $t$  in at least one of 3 intervals,  $\{t^*\}$  and two open intervals having  $t^*$  as endpoint; denote by  $I_1, I_2, I_3$  these intervals. It follows from Lemma 7 that, for each support  $x$  of **Q** in  $u$ , at most 2 **QR**-tuples contain  $x$  and are maximal  $(Q_t, R_t)$ -tuples for all  $t$  in  $I_i$ . There are at most  $3^2$  choices for  $u$  (as shown above), 2 for  $x$ , 3 for  $i$  and 2 for the **QR**-tuples containing  $x$ . Hence any F-critical event  $(t^*, m)$  is charged by at most  $2^2 \times 3^3$  distinct maximal  $(P_t, Q_t, R_t)$ -tuples.

Therefore each critical event is charged by at most a constant number of distinct maximal  $(P_t, Q_t, R_t)$ -tuples, which concludes the proof.  $\square$

**Corollary 9** *There are at most  $O(p+q)$  **PQ**-tuples.*

**Proof:** Replace **R** by a copy of **Q** in Lemma 8. Any **PQ**-tuple is also a **PQQ**-tuple, and there are at most  $O(p+q+q) = O(p+q)$  of these.  $\square$

**Proposition 10** *There are  $O(p+q+r)$  isolated generic tangent lines.*

**Proof:** A generic tangent line is transversal to  $e$  and to the edges and vertices of a **PQR**-tuple, by definition and Lemma 4. An isolated generic tangent line is thus an isolated transversal with respect to a set of edges and vertices that consists of a **PQR**-tuple and either edge  $e$  or one or both of its endpoints. The number of such sets is four times the number of **PQR**-tuples, which is in  $O(p+q+r)$  by Lemma 8. The result follows since each such set consists of at most eight edges and vertices (at most two supports from each of the four polytopes) and thus admits at most eight isolated transversals [5].

□

## 2.4 Proof of Lemma 6

Recall that  $u_e$  denotes the set of supports of  $l_e$  in  $\mathbf{P}$  and  $\mathbf{Q}$ , and that Lemma 6 states the following.

*Let  $t^*$  be the endpoint of a maximal interval throughout which  $u \neq u_e$  is a maximal  $(P_t, Q_t)$ -tuple. Then  $t^*$  is a critical value. Moreover, there exists a V-critical event  $(t^*, v)$  or a F-critical event  $(t^*, m)$  such that  $u$  contains  $v$  or an edge with endpoint  $v$ , or  $u$  is contained in the set of supports of  $m$ .*

We can assume that  $u$  contains no vertex  $v$  and no edge with endpoint  $v$ , such that  $v$  lies on  $\Pi_{t^*} \setminus l_e$  because otherwise  $(t^*, v)$  is a V-critical event such that  $u$  contains  $v$  or an edge with endpoint  $v$ , which concludes the proof.

We prove a series of lemmas that yields Lemma 6. Indeed, we prove the existence of a line  $m$  in  $\Pi_{t^*}$  whose set of supports contains  $u$  (Lemma 13) such that (i)  $m$  lies in a plane  $\Psi \neq \Pi_{t^*}$  containing a face of  $\mathbf{P}$  (Lemma 14), and (ii)  $m$  is tangent in  $\Psi$  to polygon  $\mathbf{Q} \cap \Psi$  or  $\mathbf{P} \cap \Psi$ , at some point not on  $l_e$  (Lemma 15). This proves that  $\Pi_{t^*}$  contains a line  $m$  whose set of supports contains  $u$  and such that  $(t^*, m)$  is an F-critical event, which concludes the proof.

By hypothesis, for any sufficiently small open neighborhood  $\mathcal{N}$  of  $t^*$  whose endpoints are denoted by  $t_0$  and  $t_1$ ,  $u$  is not a maximal  $(P_t, Q_t)$ -tuple for some  $t \in \mathcal{N}$  and  $u$  is a maximal  $(P_t, Q_t)$ -tuple for  $t = t^*$  or for all  $t \in (t^*, t_1)$  (or by symmetry for all  $t \in (t_0, t^*)$ ).

We only consider in the following supports in  $\mathbf{P}$  and in  $\mathbf{Q}$ ; polytope  $\mathbf{R}$  plays no role. We start by proving two preliminary lemmas.

**Lemma 11** *Each support in  $u$  intersects  $\Pi_t$  in exactly one point (possibly on  $l_e$ ), for all  $t$  in any sufficiently small open neighborhood  $\mathcal{N}$  of  $t^*$ .*

*Moreover, the union of all supports in  $u$  intersects  $\Pi_t$  in at least two distinct points for all  $t \neq t^*$  in  $\mathcal{N}$ . This property also holds for  $t = t^*$  if  $u$  is a maximal  $(P_{t^*}, Q_{t^*})$ -tuple.*

**Proof:** Since  $u$  is a  $(P_t, Q_t)$ -tuple for some  $t$  in every open neighborhood of  $t^*$ , each support in  $u$  intersects  $\Pi_t$  for some  $t$  in every open neighborhood of  $t^*$ . It thus follows from the assumption that  $u$  contains no vertex  $v$  and no edge with endpoint  $v$ , such that  $v$  lies on  $\Pi_{t^*} \setminus l_e$ , that each support in  $u$  intersects  $\Pi_t$  for all  $t$  in any sufficiently small open neighborhood  $\mathcal{N}$  of  $t^*$ . It follows that each support in  $u$  either lies in  $l_e$  or intersects  $\Pi_t$  in exactly one point for all  $t \in \mathcal{N}$ . However, no edge of  $u$  lies in  $l_e$  because otherwise, if  $x$  denotes such an edge of, say,  $\mathbf{P}$ , then any line tangent to  $P_t$  in  $\Pi_t$  and intersecting  $x$  contains an endpoint of  $x$  which is a vertex of  $\mathbf{P}$ ; thus, by definition,  $u$  does not contain  $x$  but one of its endpoints. Hence each support of  $u$  intersects  $\Pi_t$  in exactly one point for all  $t \in \mathcal{N}$ .

We now prove that the union of the supports in  $u$  intersects  $\Pi_t$  in at least two distinct points for any  $t \in \mathcal{N}$  such that  $u$  is a maximal  $(P_t, Q_t)$ -tuple. Suppose for a contradiction that the union of the supports in  $u$  intersects  $\Pi_t$  in one single point  $v$  for some  $t \in \mathcal{N}$  such that  $u$  is a maximal  $(P_t, Q_t)$ -tuple. Then polygons  $P_t$  and  $Q_t$  are both reduced to point  $v$  because otherwise  $u$  is not maximal

(otherwise, a line in  $\Pi_t$  tangent to  $P_t$  and  $Q_t$  at  $v$  can be rotated about  $v$  until it becomes tangent to  $P_t$  or  $Q_t$  at some other points). Thus  $v = P_t = Q_t$  is a vertex of  $\mathbf{P}$  and of  $\mathbf{Q}$  because the polytopes have non-empty interior. Hence  $u = \{v\}$  because each support in  $u$  contains  $v$ . It follows that  $v$  lies on  $l_e$  since each support in  $u$  intersects  $\Pi_t$  for all  $t \in \mathcal{N}$ . Moreover, since  $P_t$  and  $Q_t$  are both reduced to point  $v = l_e \cap \mathbf{P} = l_e \cap \mathbf{Q}$ , the set  $u_e$  of supports of  $l_e$  is  $u$ , contradicting the hypotheses of Lemma 6.

Thus, if  $u$  is a maximal  $(P_t, Q_t)$ -tuple for all  $t \in (t^*, t_1)$ , the union of the supports in  $u$  intersects  $\Pi_t$  in at least two distinct points for all  $t \in (t^*, t_1)$  and thus for all  $t \neq t^*$  in any sufficiently small open neighborhood of  $t^*$ . Also, if  $u$  is a maximal  $(P_t, Q_t)$ -tuple for  $t = t^*$ , the union of the supports in  $u$  intersects  $\Pi_t$  in at least two distinct points for  $t = t^*$  and thus for all  $t$  in any sufficiently small open neighborhood of  $t^*$ .  $\square$

**Lemma 12** *If  $u$  is a maximal  $(P_{t^*}, Q_{t^*})$ -tuple then  $u$  consists of at least three supports.*

**Proof:** Note that it follows from Lemma 11 that  $u$  contains at least two supports. Suppose for a contradiction that  $u$  consists of only two supports. By Lemma 11, they intersect  $\Pi_t$  in exactly two distinct points for all  $t$  in any sufficiently small open neighborhood  $\mathcal{N}$  of  $t^*$ . Thus there exists for all  $t \in \mathcal{N}$  a unique line  $m_t$  in  $\Pi_t$  whose set of supports contains  $u$ ; moreover  $m_t$  is continuous in terms of  $t$ . Since  $u$  is a maximal  $(P_{t^*}, Q_{t^*})$ -tuple, the set of supports of  $m_{t^*}$  is  $u$ . Thus, for all  $t$  in any sufficiently small  $\mathcal{N}$ , the set of supports of  $m_t$  is  $u$ . Thus the set of supports of  $m_t$  is invariant for  $t \in \mathcal{N}$  and since  $m_{t^*}$  is tangent to  $P_{t^*}$  and  $Q_{t^*}$ , line  $m_t$  is tangent to  $P_t$  and  $Q_t$  for all  $t \in \mathcal{N}$ .

Hence, for all  $t \in \mathcal{N}$ , line  $m_t$ , whose set of supports is  $u$ , is tangent to  $P_t$  and  $Q_t$  in  $\Pi_t$ . Thus  $u$  is a maximal  $(P_t, Q_t)$ -tuple for all  $t \in \mathcal{N}$ . Moreover,  $m_t$  is the unique line in  $\Pi_t$  whose set of supports contains  $u$ , thus  $u$  is a maximal  $(P_t, Q_t)$ -tuple for all  $t \in \mathcal{N}$ , contradicting the hypotheses of the lemma.  $\square$

**Lemma 13** *There exists a line  $m$  in  $\Pi_{t^*}$  whose set of supports contains  $u$  that is tangent to  $P_{t^*}$  and  $Q_{t^*}$  along an edge of one of them, say of  $P_{t^*}$ .*

**Proof:** Consider first the case where  $u$  is a maximal  $(P_{t^*}, Q_{t^*})$ -tuple. There exists in  $\Pi_{t^*}$  a line  $m$  tangent to  $P_{t^*}$  and  $Q_{t^*}$  whose set of supports is  $u$ . By Lemma 12, the set  $u$  of supports of  $m$  contains at least three supports, and hence at least two supports in  $\mathbf{P}$  (or in  $\mathbf{Q}$ ). Furthermore, the supports of  $m$  in one polytope intersect  $\Pi_{t^*}$  in distinct points (by definition of supports). Thus  $m$  intersects  $P_{t^*}$  (or  $Q_{t^*}$ ) in at least two distinct points and is tangent to  $P_{t^*}$  and  $Q_{t^*}$ . The result follows since  $P_{t^*}$  (and  $Q_{t^*}$ ) is convex.

Consider now the case where  $u$  is a maximal  $(P_t, Q_t)$ -tuple for all  $t \in (t^*, t_1)$ . Then, for all  $t \in (t^*, t_1)$ , there exists a line in  $\Pi_t$  tangent to  $P_t$  and  $Q_t$  and whose set of supports is  $u$ . Moreover, by Lemma 11, this line is unique for each  $t \in (t^*, t_1)$  and varies continuously in terms of  $t \in (t^*, t_1)$ . When  $t$  tends to  $t^*$ , the line tends to a line  $m_{t^*}$  in  $\Pi_{t^*}$  which is tangent to  $P_{t^*}$  and  $Q_{t^*}$  and whose set of supports contains  $u$ . If its set of supports strictly contains  $u$  then  $m_{t^*}$  is tangent to  $P_{t^*}$  and  $Q_{t^*}$  along an edge of one of them because the polygons are convex, and hence we can choose  $m = m_{t^*}$  to complete the proof. Otherwise,  $u$  is a  $(P_{t^*}, Q_{t^*})$ -tuple.

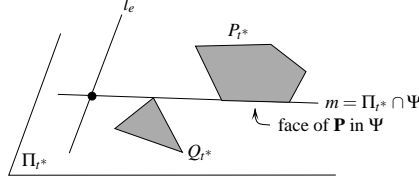


Figure 8: Line  $m$  is tangent to  $\mathbf{P}$  along a face in plane  $\Psi \neq \Pi_{t^*}$ .

We can suppose that  $u$  is a non-maximal  $(P_{t^*}, Q_{t^*})$ -tuple since we already treated the case where  $u$  is maximal. There exists in  $\Pi_{t^*}$  a line tangent to  $P_{t^*}$  and  $Q_{t^*}$  whose set of supports is  $u$ . Since  $u$  is non-maximal this line is tangent to  $P_{t^*}$  and  $Q_{t^*}$  at a shared vertex, and can be rotated about this vertex in  $\Pi_{t^*}$  until it becomes tangent to  $P_{t^*}$  and  $Q_{t^*}$  at some other points, which must occur because  $u$  is non-maximal; let  $m$  denote the resulting line. The set of supports of  $m$  contains  $u$  and  $m$  is tangent to  $P_{t^*}$  and  $Q_{t^*}$  along an edge of one of them because the polygons are convex.  $\square$

**Lemma 14** *Line  $m$  lies in a plane  $\Psi \neq \Pi_{t^*}$  containing a face of  $\mathbf{P}$ .*

**Proof:** By Lemma 13,  $m$  contains an edge of  $P_{t^*}$ ; see Figure 8. This edge either intersects the relative interior of some face of  $\mathbf{P}$  in which case we take  $\Psi$  to be the plane containing that face, or it is an edge of  $\mathbf{P}$  in which case we take  $\Psi$  to be a plane, different from  $\Pi_{t^*}$ , containing one of the two faces of  $\mathbf{P}$  incident to that edge.  $\square$

Let  $m_t$  be the line  $\Psi \cap \Pi_t$  for all  $t$  in any sufficiently small open neighborhood  $\mathcal{N}$  of  $t^*$ ; line  $m_t$  is well defined since  $\Psi \cap \Pi_{t^*}$  is line  $m$  by Lemmas 13 and 14.

**Lemma 15** *Line  $m$  is tangent to  $\mathbf{P} \cap \Psi$  or to  $\mathbf{Q} \cap \Psi$ , at some point not on  $l_e$ .*

**Proof:** We assume for a contradiction that line  $m$  does not satisfy the lemma, i.e.,  $m$  is not tangent to  $\mathbf{P} \cap \Psi$  or to  $\mathbf{Q} \cap \Psi$  at any point other than on  $l_e$ . We prove that the set of supports of  $m$  is  $u$  and is a maximal  $(P_t, Q_t)$ -tuple for all  $t$  in any sufficiently small neighborhood of  $t^*$ , contradicting the hypotheses of Lemma 6 and thus proving Lemma 15.

Since  $m$  is tangent to  $\mathbf{Q}$  (by Lemma 13),  $m$  is tangent to  $\mathbf{Q} \cap \Psi$  only on  $l_e$  (see Figure 9(a)), or  $m$  properly intersects  $\mathbf{Q} \cap \Psi$  which is then a face or an edge of  $\mathbf{Q}$  (see Figure 9(b))<sup>4</sup>. Similarly  $m$  is tangent to  $\mathbf{P} \cap \Psi$  only on  $l_e$ , or  $m$  properly intersects it; however  $\mathbf{P} \cap \Psi$  is necessarily a face of  $\mathbf{P}$  by Lemma 14.

The following Lemmas 16 and 17 imply that the set of supports of  $m_t$  is invariant and equal to  $u$  for all  $t$  in any sufficiently small open neighborhood  $\mathcal{N}$  of  $t^*$ . Moreover, since  $m_t$  varies continuously

<sup>4</sup>Note that in these two situations, two edges of two distinct polytopes are then coplanar (in the first case an edge of  $\mathbf{Q}$  and  $l_e$  are coplanar, and in the later case a face of  $\mathbf{P}$  is coplanar with a face or an edge of  $\mathbf{Q}$ ). Hence proving this lemma is straightforward under some general position assumption that excludes such situations.

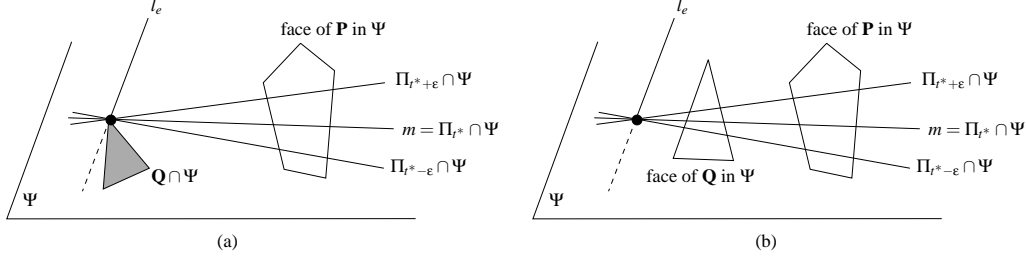


Figure 9:  $m$  is tangent to  $\mathbf{P}$  along a face in  $\Psi$  and (a) to  $\mathbf{Q} \cap \Psi$  only on  $l_e$  or (b) to  $\mathbf{Q}$  along a face in  $\Psi$ .

with  $t$  and  $m = m_{t^*}$  is tangent to  $P_{t^*}$  and  $Q_{t^*}$  (by Lemma 13), line  $m_t$  is tangent to  $P_t$  and  $Q_t$  for all  $t \in \mathcal{N}$ . Hence  $u$  is a  $(P_t, Q_t)$ -tuple for all  $t \in \mathcal{N}$ . We now prove that  $u$  is a maximal  $(P_t, Q_t)$ -tuple for all  $t \in \mathcal{N}$ .

As we have seen before,  $m = m_{t^*}$  is tangent to  $\mathbf{P}$  in at least two points (by Lemma 13), thus  $m_{t^*}$  intersects its supports in at least two distinct points. Moreover the set of supports of  $m_{t^*}$  is  $u$ . Thus there is a unique line in  $\Pi_{t^*}$  whose set of supports contains  $u$ . Hence  $u$  is a maximal  $(P_{t^*}, Q_{t^*})$ -tuple.

By Lemma 11,  $m_t$  is the unique line in  $\Pi_t$  whose set of supports contains  $u$  for all  $t \neq t^*$  in  $\mathcal{N}$ . Thus  $u$  is a maximal  $(P_t, Q_t)$ -tuple for all  $t \neq t^*$  in  $\mathcal{N}$ .

Hence  $u$  is a maximal  $(P_t, Q_t)$ -tuple for all  $t \in \mathcal{N}$ , contradicting the hypotheses of Lemma 6 and thus concluding the proof of Lemma 15.  $\square$

**Lemma 16** *The set of supports of  $m_t$  is  $u$  for some  $t$  in any sufficiently small open neighborhood  $\mathcal{N}$  of  $t^*$ .*

**Proof:** We first prove that the supports in  $u$  are supports of  $m_t$  for all  $t \in \mathcal{N}$ . A support vertex in  $u$  lies on  $l_e$  by Lemma 11 and thus lies in  $\Pi_t$  for all  $t$ . A support vertex in  $u$  also lies on  $m$  by Lemma 13 and thus lies in plane  $\Psi$  by Lemma 14. Hence, for all  $t \in \mathcal{N}$ , the support vertices in  $u$  lie on  $m_t$ , and thus are supports of  $m_t$ .

In order to prove that the support edges in  $u$  are supports of  $m_t$ , it is sufficient (by Lemma 13) to prove that the support edges of  $m$  are supports of  $m_t$ . The support edges of  $m$  in  $\mathbf{P}$  lie in plane  $\Psi$  (see Figure 9(b)) because  $\Psi$  contains  $m$  and a face of  $\mathbf{P}$  (indeed if  $m$  intersects an edge of  $\mathbf{P}$  not in  $\Psi$  then  $m$  contains one of its endpoints, and thus the edge is not a support). Thus all the support edges of  $m$  lie in  $\Psi$  and  $m$  contains none of their endpoints (by definition). Since  $m_t$  lies in  $\Psi$  for all  $t$  and  $m_{t^*} = m$ , line  $m_t$  intersects all the support edges of  $m$  and contains none of their endpoints for all  $t$  in any sufficiently small open neighborhood  $\mathcal{N}$  of  $t^*$ . Hence the support edges of  $m$  in  $\mathbf{P}$  are supports of  $m_t$  for all  $t \in \mathcal{N}$ .

Consider the case where  $\mathbf{Q} \cap \Psi$  is a face or an edge of  $\mathbf{Q}$ . Similarly as for  $\mathbf{P}$ , the support edges of  $m$  in  $\mathbf{Q}$  lie in plane  $\Psi$ , and thus are supports of  $m_t$  for all  $t \in \mathcal{N}$ .

Consider now the case where  $m$  is tangent to  $\mathbf{Q} \cap \Psi$  only on  $l_e$  at, say, point  $v$  (see Figure 9(a)). Then  $v$  lies in  $\Psi$  (since  $m \subset \Psi$  by Lemma 14) and also lies in  $\Pi_t$  for all  $t$  (since  $l_e \subset \Pi_t$  for all  $t$ ). Hence  $m_t$  contains  $v$  for all  $t \in \mathcal{N}$ . Moreover,  $m_t$  is tangent to  $\mathbf{Q} \cap \Psi$  only at  $v$  for all  $t$  in any sufficiently small open neighborhood  $\mathcal{N}$  of  $t^*$ . Hence the set of supports of  $m_t$  in  $\mathbf{Q}$  is invariant for all  $t \in \mathcal{N}$ .

We have so far proved that the set of supports of  $m_t$  contains  $u$  for all  $t \in \mathcal{N}$ .

We now prove that the set of supports of  $m_t$  is  $u$  for some  $t \in \mathcal{N}$ . Consider first the case where  $u$  is a maximal  $(P_{t^*}, Q_{t^*})$ -tuple. Then, by Lemma 11, the union of the supports in  $u$  intersects  $\Pi_{t^*}$  in at least two distinct points, thus  $m_{t^*} = m$  is the only line in  $\Pi_{t^*}$  whose set of supports contains  $u$ . Moreover, since  $u$  is a  $(P_{t^*}, Q_{t^*})$ -tuple, there exists a line in  $\Pi_{t^*}$  whose set of supports is  $u$ . Hence the set of supports of  $m_{t^*}$  is  $u$ .

Consider now the case where  $u$  is a maximal  $(P_t, Q_t)$ -tuple for all  $t \in (t^*, t_1)$ . By Lemma 11, for all  $t \in (t^*, t_1)$ , the union of the supports in  $u$  intersects  $\Pi_t$  in at least two distinct points, thus  $m_t$  is the only line in  $\Pi_t$  whose set of supports contains  $u$ . For all  $t \in (t^*, t_1)$ , since  $u$  is a  $(P_t, Q_t)$ -tuple there exists a line in  $\Pi_t$  whose set of supports is  $u$ . Hence the set of supports of  $m_t$  is  $u$  for all  $t \in (t^*, t_1)$ .  $\square$

**Lemma 17** *The set of supports of  $m_t$  is invariant for  $t$  ranging in any sufficiently small open neighborhood  $\mathcal{N}$  of  $t^*$ .*

**Proof:** First if  $m = l_e$  then  $m_t = l_e$  for all  $t \in \mathcal{N}$  because  $\Psi$  contains  $m = l_e$  (by Lemma 14) and  $\Pi_t$  contains  $l_e$  for all  $t$  (by definition). Thus the set of supports of  $m_t$  is invariant for all  $t \in \mathcal{N}$ . We now assume that  $m \neq l_e$ .

Line  $m$  is tangent to polygon  $P_{t^*}$  along an edge by Lemma 13. Thus  $m$  is tangent to  $\mathbf{P}$  in at least two points. Hence, since  $\mathbf{P} \cap \Psi$  is a face of  $\mathbf{P}$  and  $m$  lies in  $\Psi$ , either  $m$  properly intersects  $\mathbf{P} \cap \Psi$  or  $m$  is tangent to  $\mathbf{P} \cap \Psi$  along one of its edges. In the later case, the edge does not lie in  $l_e$  since  $m \neq l_e$ , thus  $m$  is tangent to  $\mathbf{P} \cap \Psi$  at some point not on  $l_e$ , contradicting our assumptions. Hence  $m$  properly intersects the face of  $\mathbf{P}$  in  $\Psi$ .

It follows that, if  $m$  contains a vertex of  $\mathbf{P}$ , then this vertex is an endpoint of a support edge of  $m_t$  for all  $t$  in any sufficiently small open neighborhood of  $t^*$  (indeed  $m_t$  lies in  $\Psi$  and tends to  $m$  when  $t$  tends to  $t^*$ ). By Lemma 16, the set of supports of  $m_t$  is  $u$  for some  $t$  in any sufficiently small open neighborhood of  $t^*$ . Hence, if  $m$  contains a vertex of  $\mathbf{P}$ , this vertex is an endpoint of a support edge in  $u$ . By assumption  $u$  contains no edge with endpoint on  $\Pi_{t^*} \setminus l_e$ , thus  $m$  contains no vertex of  $\mathbf{P}$  except possibly on  $l_e$  (since  $m$  lies in  $\Pi_{t^*}$ ). It thus follows that the set of supports of  $m_t$  in  $\mathbf{P}$  is invariant for  $t$  ranging in any sufficiently small open neighborhood of  $t^*$  (since  $m_t \subset \Psi$  tends to  $m$  when  $t$  tends to  $t^*$  and all supports of  $m$  lie in  $\Psi$ ).

Now consider the case where  $m$  properly intersects  $\mathbf{Q} \cap \Psi$  which is a face or an edge of  $\mathbf{Q}$ . Similarly as for  $\mathbf{P}$ ,  $m$  contains no vertex of  $\mathbf{Q}$  except possibly on  $l_e$  and thus the set of supports of  $m_t$  in  $\mathbf{Q}$  is invariant for  $t$  ranging in any sufficiently small open neighborhood of  $t^*$ .

Finally, consider the case where  $m$  is tangent to  $\mathbf{Q} \cap \Psi$  only on  $l_e$ . Then, as in the proof of Lemma 16, the set of supports of  $m_t$  in  $\mathbf{Q}$  is invariant for all  $t$  ranging in any sufficiently small open neighborhood of  $t^*$ , which concludes the proof.  $\square$

## 2.5 Nongeneric tangent lines

We count here the number of nongeneric tangent lines. Note that, as mentioned before, there are no such lines under some adequate general position assumption.

**Proposition 18** *There are at most  $O(p+q+r)$  isolated nongeneric tangent lines except possibly for those that lie in planes that contain  $e$  and are tangent to all four polytopes.*

**Proof:** An isolated nongeneric tangent line lies in plane  $\Pi_t$  for some  $t$  and contains (at least) two distinct points, each of which is a vertex of  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$ , or  $\mathbf{S}$ , or a point of tangency between the line and one of the polygons  $P_t$ ,  $Q_t$ , and  $R_t$ ; indeed, otherwise the line can be moved in  $\Pi_t$  while keeping the same supports.

We count first the isolated nongeneric tangent lines that contain two distinct points of tangency with two of the polygons  $P_t$ ,  $Q_t$ , and  $R_t$  in  $\Pi_t$  for some  $t$ . Consider such a line  $\ell$  tangent to, say,  $P_t$  and  $Q_t$  in  $\Pi_t$ . Line  $\ell$  is nongeneric and thus properly intersects a face of  $\mathbf{S}$  or a face or an edge of  $\mathbf{R}$  lying in  $\Pi_t$ . If  $\ell$  properly intersects a face of  $\mathbf{S}$  or a face or an edge of  $\mathbf{R}$  lying in  $\Pi_t$  but not entirely contained in  $l_e$ , then  $\Pi_t$  is one of the at most four planes tangent to  $\mathbf{R}$  or  $\mathbf{S}$ . There are  $O(p+q)$  lines tangent to  $P_t$  and  $Q_t$  in two distinct points in each of these planes and thus  $O(p+q)$  such lines in total. Otherwise,  $\Pi_t$  intersects each of  $\mathbf{R}$  and  $\mathbf{S}$  in an edge contained in  $l_e$ . The supports of  $\ell$  are thus the union of a  $\mathbf{PQ}$ -tuple, and of, in each of  $\mathbf{R}$  and  $\mathbf{S}$ , the edge lying in  $l_e$  or one (or both) of its endpoint. It follows that at most a constant number of such isolated nongeneric tangent lines contain a given  $\mathbf{PQ}$ -tuple in its set of supports. Hence the number of such lines is at most the number of  $\mathbf{PQ}$ -tuples, which is in  $O(p+q)$  by Corollary 9. It follows that there are at most  $O(p+q+r)$  isolated nongeneric tangent lines that contain two distinct points of tangency with two of the polygons  $P_t$ ,  $Q_t$ , and  $R_t$  in  $\Pi_t$  for some  $t$ . We obtain similarly that there are at most  $O(p+q+r)$  isolated nongeneric tangent lines that contain two distinct points of tangency with only one the polygons  $P_t$ ,  $Q_t$ , and  $R_t$ .

We now count the isolated nongeneric tangent lines that contain a unique vertex of  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$ , or  $\mathbf{S}$  and a unique point of tangency with the polygons  $P_t$ ,  $Q_t$ , and  $R_t$  in  $\Pi_t$  for some  $t$ . Each vertex  $v$  of  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$ , or  $\mathbf{S}$  that does not lie on  $l_e$  is contained in a unique plane  $\Pi_t$  and there are, in that plane, at most six lines through  $v$  and tangent to  $P_t$ ,  $Q_t$ , or  $R_t$ . There are thus  $O(p+q+r)$  such lines in total. Consider now a line  $\ell$  through a vertex  $v$  on  $l_e$  and tangent to  $P_t$  at  $w \neq v$  in  $\Pi_t$  for some  $t$ . We can suppose that each of  $Q_t$  and  $R_t$  is either tangent to  $\ell$  at  $w$  or is properly intersected by  $\ell$ ; indeed otherwise  $\ell$  is tangent to two polygons in two distinct points. If  $Q_t$  (or  $R_t$ ) is a face of  $\mathbf{Q}$  (resp.  $\mathbf{R}$ ) or an edge not contained in  $l_e$  then  $\Pi_t$  is one of the at most two planes tangent to  $\mathbf{Q}$  (resp.  $\mathbf{R}$ ) and, in each of these planes, there are at most two lines through  $v$  and tangent to  $P_t$ . If  $Q_t$  (or  $R_t$ ) is tangent to  $\ell$  at  $w$  such that the support edges of  $\ell$  in  $\mathbf{P}$  and in  $\mathbf{Q}$  (resp.  $\mathbf{R}$ ) are not collinear then  $\ell$  goes through a vertex of  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$ , or  $\mathbf{S}$  that lies on  $l_e$ , and through a vertex of the intersection of two of these polytopes. There are at most eight vertices of  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$ , and  $\mathbf{S}$  on  $l_e$  and  $O(p+q+r)$  vertices on the intersection of two of these polytopes. There are thus  $O(p+q+r)$  such lines in total. Otherwise,  $Q_t$  (and  $R_t$ ) is an edge contained in  $l_e$  or is tangent to  $\ell$  at  $w$  such that the support edges of  $\ell$  in  $\mathbf{P}$  and in  $\mathbf{Q}$  (resp.  $\mathbf{R}$ ) are collinear; then  $\ell$  is not isolated.

We finally bound the number of isolated nongeneric tangent lines that contain no point of tangency with the polygons  $P_t$ ,  $Q_t$ , and  $R_t$  in  $\Pi_t$  for any  $t$  (and thus contain at least two vertices of  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$ , and  $\mathbf{S}$ ). Consider such a line  $\ell$  that lies in plane  $\Pi_t$  for some  $t$ . Line  $\ell$  is tangent to  $\mathbf{P}$ ,  $\mathbf{Q}$ , and  $\mathbf{R}$

and thus properly intersect  $P_t$ ,  $Q_t$ , and  $R_t$  in plane  $\Pi_t$  which is tangent to  $\mathbf{P}$ ,  $\mathbf{Q}$ , and  $\mathbf{R}$ . If plane  $\Pi_t$  is not tangent to  $\mathbf{S}$ ,  $\ell$  goes through an endpoint of  $e$  (since  $\ell$  is tangent to  $\mathbf{S}$ ) and there are  $O(p+q+r)$  such lines  $\ell$  that go through an endpoint of  $e$  and at least another vertex of  $\mathbf{P}$ ,  $\mathbf{Q}$ , or  $\mathbf{R}$ . If plane  $\Pi_t$  is tangent to  $\mathbf{S}$ , line  $\ell$  lies in a plane  $\Pi_t$  tangent to  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$ , and  $\mathbf{S}$ , which concludes the proof.  $\square$

Note that there can be  $\Omega(n^2)$  isolated nongeneric tangent lines that lie in a plane tangent to all four polytopes. Consider, for instance, four polytopes that admit a common tangent plane containing edge  $e$ , an edge  $e'$  of  $\mathbf{P}$ , and two faces of  $\mathbf{Q}$  and  $\mathbf{R}$  of linear complexity such that all the lines through a vertex of each face intersect  $e$  and  $e'$ . All these lines are isolated nongeneric tangent lines.

## 2.6 Proof of the Main Lemma

Proposition 10, which handles the isolated generic tangent lines, and Proposition 18, which handles the isolated nongeneric tangent lines, directly yield the Main Lemma.

## 3 Upper bounds

We prove in this section the upper bounds of Theorems 1, 2, and 3. The lower bounds are proved in Section 4. Consider  $k$  pairwise distinct polytopes  $\mathbf{P}_1, \dots, \mathbf{P}_k$  with  $n_1, \dots, n_k$  edges, respectively, and  $n$  edges in total.

**Lemma 19** *For any edge  $e$  of  $\mathbf{P}_i$ , there are  $O(n_j + n_l + n_m)$  sets of open edges, chosen from  $\mathbf{P}_i$ ,  $\mathbf{P}_j$ ,  $\mathbf{P}_l$ , and  $\mathbf{P}_m$ , that admit an isolated transversal that intersects  $e$  and is tangent to these four polytopes.*

**Proof:** Any isolated transversal to a set of edges is isolated with respect to the set of all its supports. It is thus sufficient to bound the number of sets of open edges, chosen from  $\mathbf{P}_i$ ,  $\mathbf{P}_j$ ,  $\mathbf{P}_l$ , and  $\mathbf{P}_m$ , that are intersected by an isolated line that intersects  $e$  and is tangent to these four polytopes. The Main Lemma states that there are  $O(n_j + n_l + n_m)$  isolated lines intersecting  $e$  and tangent to  $\mathbf{P}_i$ ,  $\mathbf{P}_j$ ,  $\mathbf{P}_l$ , and  $\mathbf{P}_m$ , excluding those that lie in planes that contain  $e$  and are tangent to all four polytopes. Any of these  $O(n_j + n_l + n_m)$  isolated lines intersects at most two open edges in any polytope. Thus there are  $O(n_j + n_l + n_m)$  sets of open edges (chosen from  $\mathbf{P}_i$ ,  $\mathbf{P}_j$ ,  $\mathbf{P}_l$ , and  $\mathbf{P}_m$ ) that are intersected by one of these isolated lines. Now consider any isolated line that lies in a plane that contains  $e$  and is tangent to all four polytopes. This plane contains all the open edges that are intersected by the isolated line. Thus these edges (and any subset of them) admit no isolated transversal.  $\square$

**Lemma 20** *A minimal set of open edges and vertices that admit an isolated transversal consists of (i) two vertices, (ii) one vertex and one or two edges, or (iii) two, three, or four edges.*

**Proof:** Consider a minimal set of open edges and vertices that admits an isolated transversal. The elements are necessarily distinct because the set is minimal. If the set contains two vertices, it contains no other element since the two vertices admit a unique transversal.



Suppose now that the set contains one vertex. None of the open edges contain the vertex because otherwise such an edge would be redundant. Thus, the vertex and any segment define either a line, and thus admit an isolated transversal, or they define a plane. If none of the other edges intersect that plane in a unique point, the vertex and all open edges admit zero or infinitely many common transversals, a contradiction. Thus there exists an edge that intersects the plane in a unique point. Hence, the vertex and two open edges admit a unique transversal, and the minimal set contains no other element.

Suppose finally that the set only contains open edges. The characterization of the transversals to a set of line segments [5] shows that either two, three or four of these line segments admit at most two transversals, or that the set of common transversals to all the open line segments can be parameterized by an open set of parameters in  $\mathbb{R}^2$ ,  $\mathbb{R}$  or  $\mathbb{R}/\pi\mathbb{Z}$ . In the latter case, the edges admit no isolated transversal, a contradiction. Hence, the minimal set of edges consists of two, three or four edges. (Note that two or three edges may admit an isolated transversal if that transversal contains one or two of the edges.)  $\square$

We can now prove the upper bound of Theorem 3.

**Proposition 21** *There are  $O(n^2k^2)$  minimal sets of open edges and vertices, chosen from some polytopes, that admit an isolated transversal that is tangent to these polytopes.*

**Proof:** We bound the number of minimal sets depending of their type according to Lemma 20. First, there are  $O(n^2)$  pairs of vertices, pairs of edges, and sets of vertex and one edge.

Consider a minimal set of one vertex and two open edges, chosen from some polytopes, that admit an isolated transversal that is tangent to these polytopes. The open edges do not contain the vertex because otherwise they admit no isolated transversal. Thus the vertex and each edge define a plane. For each of the  $O(n^2)$  planes defined by a vertex and an open edge not containing it, there are  $O(k)$  lines in that plane that are tangent to one of the polytopes at some point other than the vertex. Hence there are  $O(n^2k)$  sets of one vertex and two edges, chosen from some polytopes, that admit an isolated transversal that is tangent to these polytopes.

It is straightforward to show that three open edges admit an isolated transversal only if the line containing one of the edges intersects the two other edges. Since any line intersects at most two open edges in any of the  $k$  polytopes, there are  $O(nk^2)$  sets of three open edges that admit an isolated transversal.

Consider now the case of four edges, chosen from at most three polytopes, that admit an isolated transversal that is tangent to these polytopes. The two edges chosen from the same polytope belong to the same face, and the isolated transversal lies in the plane containing that face. Each of the two other open edges intersects that plane in one point, because otherwise the four open edges admit zero or infinitely many transversals. For each of the  $O(n)$  planes containing a face of one of the polytopes, and each of the  $O(n)$  edges intersecting that plane in exactly one point, there are at most  $2k$  lines in that plane that contain this point and are tangent to one of the  $k$  polytopes at some other point. Hence there are  $O(n^2k)$  sets of four open edges, chosen from at most three polytopes, that admit an isolated transversal that is tangent to these polytopes.

We finally bound the number of sets of four edges, no two chosen from the same polytope. By Lemma 19 and by summing over all  $n$  edges  $e$  of the polytopes, the number  $T$  of sets of four open

edges, chosen from four polytopes, that admits an isolated transversal that is tangent to these four polytopes satisfies

$$T \leq n \sum_{j < l < m} C(n_j + n_l + n_m),$$

where  $C$  is some constant. Since each  $n_i$ ,  $1 \leq i \leq k$ , appears  $\binom{k-1}{2}$  times in the sum, it follows that

$$T \leq Cn \sum_{1 \leq i \leq k} n_i \binom{k-1}{2} = Cn^2 \binom{k-1}{2}$$

so  $T$  is in  $O(n^2k^2)$  as claimed.  $\square$

The above result implies the following upper bounds and in particular those of Theorem 1.

**Proposition 22** *There are  $O(n^2k^2)$  connected components of maximal free line segments tangent to at least four of the polytopes. This bound also holds for connected components of possibly occluded lines tangent to at least four of the polytopes. Furthermore, the same bound holds for isolated such segments or lines.*

**Proof:** We prove the proposition for possibly occluded lines tangent to at least four of the polytopes; the proof is similar for maximal free line segments. By Proposition 21, there are  $O(n^2k^2)$  minimal sets of open edges and vertices, chosen from some polytopes, that admit an isolated transversal that is tangent to these polytopes. The bound on the number of connected components thus follows from the fact that any connected component of lines tangent to four polytopes contains an isolated line. Indeed, any non-isolated line can be moved while keeping the same set of supports until (at the limit) the line intersects a new edge or vertex. During the motion, the line remains tangent to all four polytopes since it keeps the same supports (except at the limit); if the line has more than one degree of freedom, this can be repeated until the line becomes isolated.  $\square$

We now prove the upper bound of Theorem 2. We start by two preliminary lemmas.

**Lemma 23** *Four possibly intersecting convex polygons in  $\mathbb{R}^2$  admit at most a constant number of connected components of line transversals.*

**Proof:** Consider the usual geometric transform where a line in  $\mathbb{R}^2$  with equation  $y = ax + b$  is mapped to the point  $(-a, b)$  in the dual space (see e.g. [19, §8.2.1]). The transversals to a convex polygon are mapped to a region bounded from above by a convex  $x$ -monotone curve and from below by a concave  $x$ -monotone curve; such a region is called stabbing region, and the curves are referred to as the upper and lower boundaries of the stabbing region. The transversals to four polygons are mapped to the intersection of four stabbing regions. There exists no transversal of a given slope if and only if the lower boundary of a stabbing region lies above the upper boundary of another stabbing region at that slope. Two such boundaries intersect in at most two points, and thus the transversals to four polygons form at most a constant number of connected components of transversals.  $\square$

As in Section 2, let  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$ , and  $\mathbf{S}$  be four polytopes in  $\mathbb{R}^3$ , with  $p$ ,  $q$ ,  $r$ , and  $s \geq 1$  edges, respectively, and let  $e$  be a closed edge of  $\mathbf{S}$ .

**Lemma 24** *There are  $O(p + q + r)$  connected components of lines intersecting  $e$  and tangent to  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$  and  $\mathbf{S}$ .*

**Proof:** As in the proof of Proposition 22, any connected component of lines intersecting  $e$  and tangent to  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$ , and  $\mathbf{S}$  contains an isolated line. The Main Lemma thus yields that there are  $O(p + q + r)$  connected components of lines intersecting  $e$  and tangent to  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$  and  $\mathbf{S}$  except for the components that only contain isolated lines that lie in planes that contain  $e$  and are tangent to all four polytopes.

We show that there are at most a constant number of connected components of lines intersecting  $e$  and tangent to  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$  and  $\mathbf{S}$  that lie in planes that contain  $e$  and are tangent to all four polytopes. There may be infinitely many such planes that intersect  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$  and  $\mathbf{S}$  only on  $e$  but all the lines tangent to the four polytopes in all these planes belong to the same connected component. Besides these planes there are at most two planes containing  $e$  and tangent to all four polytopes. In any such plane, the lines tangent to the four polytopes are the transversals to the four polygons that are the faces, edges, or vertices of  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$ , and  $\mathbf{S}$  lying in the plane. Lemma 23 thus yields the result.  $\square$

We can now prove the upper bound of Theorem 2.

**Proposition 25** *Given 3 polytopes with  $n$  edges in total and one polytope with  $m$  edges, there are  $O(mn)$  connected components of lines tangent to the four polytopes.*

**Proof:** Let  $\mathbf{S}$  denote the polytope with  $m$  edges. First, if  $\mathbf{S}$  consists of a single point, it is straightforward to show that there are  $O(n)$  connected components of lines tangent to the four polytopes. Otherwise, by summing over all the edges of  $\mathbf{S}$ , Proposition 24 yields that the number of connected components of lines tangent to the four polytopes is  $O(mn)$ .  $\square$

## 4 Lower bounds

We provide in this section the lower-bound examples needed for Theorems 1, 2, and 3. The following proposition proves the lower bound of Theorem 2.

**Lemma 26** *There exist four disjoint polytopes of complexity  $n$  such that the number of common tangent lines is finite and  $\Omega(n^2)$ . There also exist two polytopes of complexity  $n$  and two polytopes of complexity  $m$  such that the number of common tangent lines is finite and  $\Omega(mn)$ .*

**Proof:** We consider four planar regular polygons  $P$ ,  $Q$ ,  $R$ , and  $S$ , each with  $n$  vertices, embedded in  $\mathbb{R}^3$ .  $P$  is centered at the origin and parallel to the  $yz$ -plane,  $Q$  is obtained from  $P$  by a rotation of angle  $\frac{\pi}{n}$  about the  $x$ -axis, and  $R$  and  $S$  are obtained from  $P$  and  $Q$ , respectively, by a translation of length 1 in the positive  $x$ -direction (see Figure 10). We transform the polygons  $P$  and  $Q$  into the

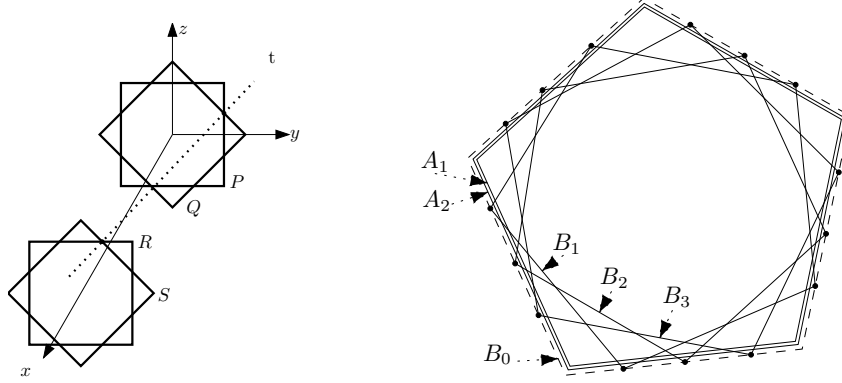


Figure 10: Lower bound examples for Lemmas 26 and 27.

polytopes  $\mathbf{P}$  and  $\mathbf{Q}$  by adding a vertex at coordinates  $(\epsilon, 0, 0)$ . Similarly, we transform the polygons  $R$  and  $S$  into the polytopes  $\mathbf{R}$  and  $\mathbf{S}$  by adding a vertex at coordinates  $(1 + \epsilon, 0, 0)$ .

For  $\epsilon$  sufficiently small, the lines tangent to  $\mathbf{P}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$  and  $\mathbf{S}$  are the lines through a vertex of  $P \cap Q$  and a vertex of  $R \cap S$ . Since  $P \cap Q$  and  $R \cap S$  have  $2n$  vertices each, there are  $4n^2$  tangent lines. Now, moving  $\mathbf{P}$  and  $\mathbf{S}$  by  $2\epsilon$  in the  $x$  direction ensures the disjointness of the polytopes while preserving the existence of the tangents if  $\epsilon$  is small enough.

Replacing  $R$  and  $S$  in the above construction by regular polygons each with  $m$  vertices yields the  $\Omega(mn)$  lower bound in the case of two polytopes of complexity  $n$  and two polytopes of complexity  $m$ .  $\square$

We now prove the lower bounds of Theorems 1 and 3. The following proposition directly yields these bounds since the number of isolated tangents to any four of the polytopes is less or equal to the number of sets of open edges and vertices in at most four polytopes that admit an isolated transversal that is tangent to these polytopes.

**Lemma 27** *There exist  $k$  disjoint polytopes of total complexity  $n$  such that the number of free maximal line segments tangent to four of them is finite and  $\Omega(n^2 k^2)$ . Moreover these segments lie in pairwise distinct lines.*

**Proof:** The lower bound example is similar to the one with four polyhedra. For simplicity suppose that  $n$  and  $k$  are such that  $\frac{n}{k}$  and  $\frac{k}{4}$  are integers. We first take a  $\frac{n}{k}$ -regular polygon  $A_1$  in the plane  $x = 0$ . Next we consider a copy,  $B_0$ , of  $A_1$  scaled by a factor of  $(1 + \epsilon)$ , and on each edge of  $B_0$  we place  $\frac{k}{4}$  points. Polygon  $B_i$ ,  $1 \leq i \leq \frac{k}{4}$ , is constructed by taking the  $i^{\text{th}}$  point on each edge of  $B_0$ . If  $\epsilon$  is small enough, the intersection points of  $A_1$  and  $B_i$  are outside the other polygons  $B_j$  for  $1 \leq j \leq \frac{k}{4}$  and  $i \neq j$ . Now the  $A_i$ , for  $2 \leq i \leq \frac{k}{4}$ , are constructed as copies of  $A_1$  scaled by a factor  $1 + \frac{i}{k}\epsilon$  (see Figure 10). For the moment, all polygons lie in plane  $x = 0$ . We now construct 4 families of  $\frac{k}{4}$  polygons each:

- $P_i$  is a copy of  $A_i$  translated by  $i\epsilon$  in the negative  $x$  direction
- $Q_i$  is a copy of  $B_i$  translated by  $i\epsilon$  in the positive  $x$  direction
- $R_i$  is a copy of  $B_i$  translated by  $1 - i\epsilon$  in the positive  $x$  direction
- $S_i$  is a copy of  $A_i$  translated by  $1 + i\epsilon$  in the positive  $x$  direction

Any choice of four polygons, one in each family  $P_i$ ,  $Q_j$ ,  $R_l$  and  $S_m$ , reproduces the quadratic example of Lemma 26 with polygons of size  $\frac{n}{k}$  and thus with total number of tangents larger than  $(\frac{k}{4})^4 4 (\frac{n}{k})^2 = \frac{n^2 k^2}{4}$ . Furthermore the lines tangent to  $P_i$ ,  $Q_j$ ,  $R_l$  and  $S_m$  are only occluded by  $P_{i'}$  and  $S_{m'}$  for  $i' > i$  and  $m' > m$ , that is, beyond the portion of the tangents containing the contact points. The  $k$  polygons can be transformed into  $k$  convex polyhedra as in Lemma 26.  $\square$

## 5 Conclusion

We have presented tight bounds on the number of lines and maximal free line segments that are tangent to any four among  $k$  possibly intersecting polytopes in arbitrary position. A problem that still remains is to bound the combinatorial complexity of the set of maximal free line segments among  $k$  polytopes; this is equivalent to bounding the size of the three-dimensional visibility complex (see, for instance, [13]). Another problem is to transform our proofs into an algorithm for computing all the maximal free line segments that are tangent to four among  $k$  polytopes; we refer to [15] for a solution to that problem for disjoint polytopes. We are currently working in these directions.

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