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Do We Really Need to Drop Items with Missing Modalities in Multimodal Recommendation?

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ABSTRACT

Generally, items with missing modalities are dropped in multimodal recommendation. However, with this work, we question this procedure, highlighting that it would further damage the pipeline of any multimodal recommender system. First, we show that the lack of (some) modalities is, in fact, a widely-diffused phenomenon in multimodal recommendation. Second, we propose a pipeline that imputes missing multimodal features in recommendation by leveraging traditional imputation strategies in machine learning. Then, given the graph structure of the recommendation data, we also propose three more effective imputation solutions that leverage the item-item co-purchase graph and the multimodal similarities of cointeracted items. Our method can be plugged into any multimodal RSs in the literature working as an untrained pre-processing phase, showing (through extensive experiments) that any data pre-filtering is not only unnecessary but also harmful to the performance.

CCS CONCEPTS

- Information systems \rightarrow Multimedia and multimodal retrieval; Personalization.

KEYWORDS

Multimodal Recommendation, Missing Modalities, Graph Neural Networks

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1 INTRODUCTION AND MOTIVATION

Despite the large success of recommender systems (RSs) algorithms built around the paradigm of collaborative filtering [9] (CF), they still face several challenges. Among those, it is acknowledged that RSs struggle when learning from very *sparse* user-item data [12], a widely common setting in real-world applications. In such contexts, the literature suggests to enhance the information carried by the user-item data through additional *side information*, such as *multimodal* data (e.g., images, descriptions, audio tracks) accompanying items in several domains (e.g., fashion [1, 4, 8], music [6, 35, 45], food [18, 34, 49], and micro-video [3, 5, 54] recommendation). Multimodal RSs [28] leverage high-level features extracted from multimodal items' data to inject/process them into the recommendation pipeline, outperforming CF recommendation [12, 52–54, 59, 61, 62].

While the multimodal recommendation pipeline hugely relies on the *quality* of multimodal data to extract meaningful items' features [7, 27, 28], in real-world scenarios, such data may be *noisy* or (even worse) **missing** [29]. Concretely, if we consider the ecommerce scenario, it is not rare to observe inaccessible URLs of product images and unavailable descriptions/reviews on the product webpage. Even assuming that this phenomenon only applies to real-world recommendation data, a simple analysis of a popular dataset for multimodal recommendation (the Amazon Reviews data [33]) reveals that this is also true in academic research (Table 1).

In machine learning, the literature recognizes a whole research line addressing *missing information* [10, 21, 31]. The same applies to RSs, where works have focused on missing user-item interactions [19, 20, 32, 40, 41, 43, 44, 48, 51, 56, 60] and (in very few cases) users' and items' metadata [22, 42]. However, even acknowledging the extensive literature on **missing multimodal information** in deep learning [14, 17, 25, 26, 30, 46, 57, 58], to date, very limited effort has been devoted to this issue in multimodal recommendation. The only work is [47], an **end-to-end** framework that uses modalities correlations and multimodal dropout during training, and a reconstruction autoencoder for the inference.

Table 1: Datasets statistics, where we show items with missing visual (V) and textual (T) information, before and after they have been dropped (without and with the apex).

Datasets	$ \mathcal{U} / \mathcal{U}' $	I / I'	R / R'	Missing	
	1	1-171-1	1, 1, 1, 1, 1	V	Т
Office	4,905 / 4,891	2,420 / 1,746	53,258 / 35,185	0	674
Music	5,541 / 5,349	3,568 / 2,453	64,706 / 51,516	2	1,114
Beauty	22,363 / 22,293	12,101 / 11,124	198,502 / 165,772	7	977

Nevertheless, in most cases, multimodal RSs pre-process the data by dropping items with missing multimodal information. This procedure inevitably leads to losing further data regarding users and user-item interactions in the system (Table 1), which is completely *counteractive* to the idea of enhancing the *sparse* user-item recommendation data through multimodal information. Hence, the question is: **"Do we really need to drop items with missing modalities in multimodal recommendation?"**. The short answer is **no**, as we empirically demonstrate in this work (Table 2), showing that the performance improvement of multimodal RSs (e.g., VBPR [12]) over their pure CF versions (e.g., BPRMF [38]) can even widen when, as a **pre-processing**, we impute the items' missing modalities through **untrained** strategies instead of dropping them.

To this end, in this work, we provide the following contributions. (1) We formalize the understudied problem of *missing modalities* in multimodal recommendation. (2) We propose a pipeline that imputes the items' missing multimodal features acting as an *untrained pre-processing* procedure. (3) Alongside *traditional* machine learning imputations, we propose three novel **graph-aware** imputation methods that leverage the user-item graph, and take inspiration from **node features propagation** [39] and **diffusion** [15] in graph learning. (4) Through extensive experiments, we prove that dropping items with missing modalities in multimodal recommendation is **not only unnecessary but also harmful**; we further support our findings and proposal through an ablation study and hyper-parameter sensitivity analysis to assess the goodness of the proposed graph-aware imputation methods.

2 METHODOLOGY

In this section, we present our proposed pipeline where missing multimodal features are imputed in an *untrained pre-processing* manner. First, we formalize the missing modalities setting as observed in the collected recommendation datasets. Then, we describe the imputation methods to recover missing modalities, categorized as *traditional* and our proposed *graph-aware* ones.

2.1 Missing modalities in recommendation

Preliminaries. In a recommendation system, let \mathcal{U} and I be the sets of users and items, respectively. Then, we indicate with $\mathbf{R} \in \mathbb{R}^{|\mathcal{U}| \times |\mathcal{I}|}$ the user-item interaction matrix, where $\mathbf{R}_{ui} = 1$ if there exists a recorded implicit feedback of user $u \in \mathcal{U}$ over item $i \in I$, 0 otherwise. As in any latent factor-based recommendation approach [16], we denote with $\mathbf{E}_u \in \mathbb{R}^d$ and $\mathbf{E}_i \in \mathbb{R}^d$ the *d*-dimensional embeddings for user $u \in \mathcal{U}$ and item $i \in I$.

In a multimodal recommendation setting, items' representation may be suitably enriched through their multimodal features. Thus, we introduce \mathcal{M} as the set of modalities, and $\mathbf{F} \in \mathbb{R}^{|\mathcal{I}| \times |\mathcal{M}| \times c}$ as the multimodal feature tensor of all items, where $\mathbf{F}_{im} \in \mathbb{R}^{c}$ is the feature of item *i* accounting for the *m* modality.

Missing modalities. As already observed in Table 1, the problem of missing modalities in multimodal recommendation implies the unavailability of **some of (or the whole) multimodal feature vectors** for a specific subset of items. For instance, in a double modalities setting (e.g., visual and textual) we might have that item *i* is missing either the visual or textual features or both; in every such case, we say item *i* has some missing modalities, and indicate the missing multimodal features as $\mathbf{F}'_{im} \in \mathbb{R}^c$ for every $m \in \mathcal{M}$ that is missing. Note that the considered setting implies the presence/missingness of the whole feature vector $\mathbf{F}'_{im} \in \mathbb{R}^c$.

2.2 Modalities imputation methods

Traditional imputation. The problem of missing data information in machine learning has been historically discussed and addressed for decades. Following this research line, we adapt three simple and common solutions to impute missing modalities: (i) ZEROS, where a vector of all zero entries replaces missing multimodal features; (ii) RANDOM, where a vector of all random entries replaces missing multimodal features; (iii) GLOBALMEAN, where missing multimodal features are replaced by the mean of available multimodal features. **Graph-aware imputation.** Differently from other data types, recommendation data is a bipartite and undirected graph of user-item interactions. Thus, we leverage the topology of the user-item recommendation graph to propose more enhanced imputing strategies.

Specifically, we aim to impute missing multimodal features of an item through the existing (i.e., non-missing) multimodal features of the connected items in the **item-item co-purchase graph** at multiple hops. Indeed, our assumption (supported by several works [23, 24, 59, 61]) is that **co-interacted items are likely to present semantically-similar multimodal features**, aligning with the same principle behind collaborative filtering (users sharing similar preferences tend to interact with the same items). The item-item co-interaction matrix is obtained as $\mathbf{R}^{I} = \mathbf{R}^{\top}\mathbf{R}$, where $\mathbf{R}^{I} \in \mathbb{R}^{|I| \times |I|}$ and \mathbf{R}_{ij}^{I} is the number of users who interacted with both items *i* and *j*. We sparsify it through top-*k* sparsification [59] obtaining $\overline{\mathbf{R}}^{I}$ as the item-item sparsified matrix.

Then, inspired by recent works from graph learning [39], we propose to frame the imputation of missing modalities in multimodal recommendation as a process involving the **propagation of nodes multimodal features over the item-item graph**. Specifically, we aim to recover the nodes missing multimodal features through the propagation (at multiple hops) of the existing multimodal features of their neighborhood nodes in the item-item graph.

Let \mathbf{F}'_{im} be the missing multimodal features for item *i* and modality *m*, and $\mathcal{N}_i = \{j \mid \overline{\mathbf{R}}_{ij}^{T} = 1\}$ be the set of neighborhood nodes of *i* in the item-item graph. By leveraging feature propagation from the nearest neighborhood nodes in the item-item graph (i.e., one hop), we extend the GLOBALMEAN imputation through the mean of multimodal features from the one-hop neighborhood (NEIGHMEAN): $\mathbf{F}'_{im} = \frac{\sum_{j \in N_i} \mathbf{F}_{jm}}{|\mathcal{N}_i|}, \forall m \in \mathcal{M}$. Since item *i* may be linked to items with missing modalities, \mathbf{F}_{jm} would not be known in advance. For this reason, before running the propagation, we initialize all multimodal features of items with missing modalities to the zero vector.

As we leverage the item-item graph, we can also consider **multi-hops** relationships between items. Thus, our second graph-aware method **iteratively** performs feature propagation (MULTIHOP): $\mathbf{F}_{im}^{\prime(t)} = \frac{\sum_{j \in \mathcal{N}_i} \mathbf{F}_{jm}^{(t-1)}}{\sqrt{|\mathcal{N}_i|} \sqrt{|\mathcal{N}_j|}}, \forall m \in \mathcal{M}, \forall t \in \{1, \dots, T\}. \text{ Here, } \mathbf{F}_{jm}^{(0)} \text{ is again initialized to the zero vector for all items with missing modalities.}$ Then, we set $\mathbf{F}_{jm}^{(t-1)} = \mathbf{F}_{jm}^{(0)}$ for items with existing modalities as, at each new iteration $t \in \{1, \dots, T\}$, we want to re-initialize the multimodal features to their original values [39].

Above, we perform the symmetric Laplacian normalization of the item-item co-interaction matrix to smooth the noisy item-item connections we initially built. While this operation only accounts for the 1-hop neighborhoods, the recent literature has demonstrated that **graph diffusion at multiple hops** [15] may improve graph learning; the idea is to leverage an enhanced representation of the interaction matrix, as personalized PageRank [36].

Hence, we propose a third graph-aware imputation that modifies the MULTIHOP strategy by incorporating **personalized PageRank** as a normalization of the item-item co-interactions matrix. Let $\mathbf{B} \in \mathbb{R}^{|\mathcal{I}| \times |\mathcal{I}|}$ be a matrix such that $\mathbf{B}_{ij} = 1 - (1 - \alpha)/(\sqrt{|\mathcal{N}_i|}\sqrt{|\mathcal{N}_j|})$ if i = j, $\mathbf{B}_{ij} = -(1 - \alpha)/(\sqrt{|\mathcal{N}_i|}\sqrt{|\mathcal{N}_j|})$ otherwise. Then, we have (PERSPAGERANK): $\mathbf{F}'^{(t)}_{im} = \sum_{j \in \mathcal{N}_i} (\alpha \mathbf{B}^{-1})_{ij} \mathbf{F}^{(t-1)}_{jm}$, $\forall m \in \mathcal{M}, \forall t \in \{1, \ldots, T\}$, where $\alpha \in [0, 1]$ is the teleport probability of going from an item *i* to the 1-hop connected item *j* in the item-item graph.

3 EXPERIMENTS AND RESULTS

In this section, we report on the experiments and discuss the results. First, we describe the datasets, and baselines, and provide reproducibility details. Then, we answer four research questions: **RQ1.**) What is the performance improvement of multimodal recommender systems over their pure collaborative filtering versions in both the dropped and imputed dataset settings? **RQ2.**) What is the impact of missing modalities imputation on recent multimodal RSs? **RQ3.**) How does each imputation strategy contribute to the performance? **RQ4.**) What is the effect of top-*k* sparsification and the number of propagation hops on the performance?

3.1 Experimental settings

Datasets. We select three categories of the popular Amazon Reviews dataset [33], namely: Office Products (**Products**), Digital Music (**Music**), and **Beauty** (Table 1). For each of them, we consider two distinct versions: (i) **dropped**, where we remove items with at least one missing modality; (ii) **imputed**, where we impute the items' missing multimodal features. From the available items' multimodal data (i.e., product images and textual descriptions), we extract [2] visual [11] and textual embeddings [37].

Baselines. We select CF and multimodal models: BPRMF [38], NGCF [50], LightGCN [13], SGL [55], VBPR [12], NGCF-M, FREE-DOM [61], and BM3 [62]. We introduce NGCF-M as the multimodal version of NGCF where multimodal features are injected in the same manner as VBPR for BPRMF. For the imputation, we use three classical machine learning methods (i.e., ZEROS, RANDOM, GLOB-ALMEAN), and propose three novel *graph-aware* approaches (i.e., NEIGHMEAN, MULTIHOP, and PERSPAGERANK).

Reproducibility. We split the datasets into train and test sets (80%/20%) and run the experiments with [27]. We explore the learning rate in {0.0001, 0.0005, 0.001, 0.005, 0.01} and the regularization term in [1e-5, 1e-2], leaving the other parameters to the best values, and fix the batch size at 1024 and the epochs at 200. Then, we explore the top-*k* sparsification in [10, 20, ..., 100] and the propagation hops *T* in [1, 2, ..., 20]. We use the Recall@20 and the nDCG@20 as test recommendation metrics and retain 10% of the train set as validation, with Recall@20 as a validation metric. The code is at: https://github.com/sisinflab/Graph-Missing-Modalities.

3.2 Results and discussion

Multimodal improvement over CF (RQ1). Table 2 displays the performance improvement of VBPR and NGCF-M over their CF versions (i.e., BPRMF and NGCF) in the **dropped** and **imputed** recommendation settings. Note that we decide to consider VBPR and NGCF-M for the current analysis as, differently from the other multimodal baselines we will use later, they differ from their respective CF versions for the **sole** injection of items' multimodal features. Thus, we can precisely assess the impact of multimodal features imputation and avoid the risk that other factors (e.g., denoising procedures as in FREEDOM [61]) could inadvertently influence the results. Moreover, since the dropped and imputed versions of each dataset may largely differ (refer again to Table 1), we are mainly interested in the **performance improvement** between CF and multimodal RSs; we decide to report also the performance for all models/datasets pairs only for the sake of completeness.

In the vast majority of cases, we observe that the multimodal version outperforms the CF one. Nevertheless, while such improvement may sometimes not occur in the dropped setting (see Music), the imputed setting consistently shows an improvement from CF to multimodal RSs. Additionally, the imputed performance improvement is always quite higher in magnitude than the dropped one (refer to the asteriscs in the table). We assume that the imputed setting permits (i) retaining important information in the recommendation data (i.e., users, items, and interactions) and (ii) recovering missing multimodal features in a high-quality manner. Impact on recent multimodal RSs (RQ2). We test if the imputation of missing modalities in recent multimodal RSs can lead to performance trends that are aligned with the related literature. To this aim, we extend the set of RSs with LightGCN, SGL (pure CF), and FREEDOM, BM3 (multimodal). Table 3 displays the recommendation results in the imputed setting. Overall, we notice two trends aligned with the literature. On the one hand, more recent approaches (e.g., LightGCN and SGL on the CF side, FREEDOM and BM3 on the multimodal side) can often provide higher performance. On the other hand, the adoption of refined approaches leveraging multimodality (e.g., FREEDOM and BM3) can frequently lead to improved performance over pure CF (e.g., see Office and Beauty). Ablation study (RQ3). Table 4 shows the performance of FREE-DOM and BM3 on Music and Beauty, highlighting the various imputation methods. Overall, we note that our proposed graph-aware imputation methods (i.e., NEIGHMEAN, MULTIHOP, PERSPAGERANK)

Table 2: Performance impr	ovement of VBPR and	l NGCF-M over BPRMF :	nd NGCF in the	e dropped	d and impute	ed settings.

Models	Dropped					Imputed (Ours)						
	Office		Music		Bea	Beauty		Office		Music		Beauty
	Recall	nDCG	Recall	nDCG	Recall	nDCG	Recall	nDCG	Recall	nDCG	Recall	nDCG
BPRMF VBPR	7.74 9.99	3.65 4.82	26.50 25.22	14.24 13.71	9.81 11.12	5.00 5.86	8.51 10.09	4.55 5.51	24.59 26.25	13.69 14.58	9.43 10.93	4.85 5.74
Improvement (%)	+29.07%	+32.05%	-4.83%	-3.72%	+13.35%	+17.20%	+18.57%	+21.10%	+6.75%*	+6.50%*	+15.91%*	+18.35%
NGCF NGCF-M	9.90 13.10	4.49 6.36	24.39 24.12	12.61 12.73	8.64 9.68	4.28 4.94	8.18 11.65	3.91 5.85	24.12 25.18	13.06 13.64	8.92 11.00	4.56 5.76
Improvement (%)	+31.32%	+41.65%	-1.11%	+0.95%	+12.04%	+15.42%	+42.42%*	+49.62%*	+4.39%*	+4.44%*	+23.32%*	+26.32%

* The performance improvement is higher in our imputed setting than in the dropped one.

Table 3: Performance in the imputed setting. Boldface and underline are the best and second-best values.

Models	Of	fice	Мι	ısic	Beauty		
	Recall	nDCG	Recall	nDCG	Recall	nDCG	
BPRMF	8.51	4.55	24.59	13.69	9.43	4.85	
NGCF	8.18	3.91	24.12	13.06	8.92	4.56	
LightGCN	11.55	6.12	26.61	14.49	11.61	6.02	
SGL	10.28	5.81	27.59	15.86	12.20	6.72	
VBPR	10.09	5.51	26.25	14.58	10.93	5.74	
NGCF-M	11.65	5.85	25.18	13.64	11.00	5.76	
FREEDOM	12.79	6.76	27.18	14.91	13.28	7.00	
BM3	11.28	<u>6.15</u>	25.11	13.55	11.49	6.04	

Table 4: Ablation study with varying imputation methods.

Models	Imputation	Мι	ısic	Beauty		
mouels	imputation	Recall	nDCG	Recall	nDCG	
	+Zeros	25.60	13.78	10.99	5.76	
	+Random	26.81	14.46	13.00	6.73	
FREEDOM	+GlobalMean	26.62	14.61	13.02	6.80	
	+NeighMean	26.17	14.35	13.23	6.88	
	+MultiHop	27.09	14.91	13.26	6.96	
	+PersPageRank	27.18	14.88	13.28	7.00	
	+Zeros	24.64	13.00	11.31	5.96	
	+Random	24.29	12.93	11.35	5.88	
BM3	+GlobalMean	24.27	12.88	11.35	5.91	
	+NeighMean	24.46	12.95	11.48	<u>6.01</u>	
	+MultiHop	25.00	13.55	11.49	6.04	
	+PersPageRank	25.11	13.44	11.35	5.96	

always **outperform** traditional machine learning imputation (i.e., ZEROS, RANDOM, and GLOBALMEAN); as expected, we see MULTIHOP and PERSPAGERANK steadily settle as the superior solutions. Thus, we can effectively leverage the **graph structure** of the user-item interaction data to adopt more tailored and refined graph-aware imputation methods that exploit item-item co-interactions and semantic similarities, especially at multiple distance hops.

Hyper-parameter sensitivity (RQ4). Finally, we assess the impact of top-*k* sparsification and propagation layers for the best

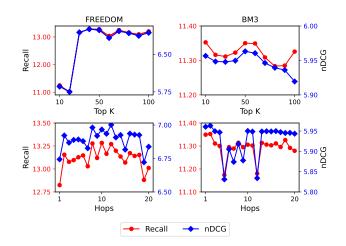


Figure 1: Impact of top-*k* sparsification (top) and propagation hops (bottom) on the Beauty dataset for PERSPAGERANK.

graph-aware imputation strategy, PERSPAGERANK. Figure 1 shows the performance changes when varying the top-k sparsification (top) and the propagation layers (bottom) on Beauty. Regarding top-k sparsification, we obtain the best results on FREEDOM for $k \ge 30$, while results on BM3 are better around k = 50. Regarding the propagation layers, the outcomes suggest that on FREEDOM we reach the highest metric values around T = 10, while on BM3 the trend is almost stable for $T \ge 10$. Conclusively, **high** top-k **sparsifications** and many propagation hops can improve the results on both FREEDOM and BM3, as they remove **noisy** item-item **interactions** and leverage **multiple hops** in the item-item graph.

4 CONCLUSION AND FUTURE WORK

Unlike the common practice of dropping items with missing modalities in multimodal recommendation, in this work, we proposed an untrained pre-processing pipeline to impute missing multimodal features through traditional methods and novel graph-aware strategies. First, we showed that imputing can preserve (or even improve) the performance gap between multimodal and pure collaborative recommender systems. Then, we demonstrated the superior efficacy of our proposed graph-aware imputation methods. We plan to Do We Really Need to Drop Items with Missing Modalities in Multimodal Recommendation?

further extend the experimental setting to additional datasets and techniques, as well as design new imputation solutions to integrate end-to-end in the recommendation pipeline [47].

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