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Moireau

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# A time-domain spectral finite element method for acoustoelasticity: modeling the effect of mechanical loading on guided wave propagation

<sup>5</sup> André Dalmora<sup>1,2,3</sup>, Alexandre Imperiale<sup>1  $\boxtimes$ </sup>, Sebastien Imperiale<sup>2,3</sup>,

- 6 Philippe Moireau<sup>© 2,3</sup>
- <sup>7</sup> <sup>1</sup>Université Paris-Saclay, CEA, List, F-91120, Palaiseau, France; <sup>2</sup>Inria,
- <sup>8</sup> Team-MEDISIM, Inria-Saclay Ile de France 91120 Palaiseau, France; <sup>3</sup>LMS,
- <sup>9</sup> Ecole Polytechnique, CNRS Institut Polytechnique de Paris

For correspondence: alexandre.imperiale@cea. fr

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**Present address:** CEA Saclay, LIST - DIN, Bât. 565 – PC 120, 91191 Gif-sur-Yvette Cedex – France

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#### Abstract

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Ultrasonic testing techniques such as guided wave-based structural health monitoring 12 aim to evaluate the integrity of a material with sensors and actuators that operate in 13 situ, i.e. while the material is in use. Since ultrasonic wave propagation is sensitive to 14 environmental conditions such as pre-deformation of the structure, the design and 15 performance evaluation of monitoring systems in this context is a complicated task 16 that requires quantitative data and the associated modeling effort. In our work, we 17 propose a set of numerical tools to solve the problem of mechanical wave propagation 18 in materials subjected to pre-deformation. This type of configuration is usually 19 treated in the domain of acoustoelasticity. A relevant modeling approach is to consider 20 two different problems: a quasi-static nonlinear problem for the large displacement 21 field of the structure and a linearized time-domain wave propagation problem. After 22 carefully reviewing the modeling ingredients to represent the configurations of interest. 23 we propose an original combination of numerical tools that leads to a computationally 24 efficient algorithm. More specifically, we use 3D shell elements for the quasi-static 25 nonlinear problem and the time-domain spectral finite element method to numerically 26 solve the wave propagation problem. Our approach can represent any type of material 27 constitutive law, geometry or mechanical solicitation. We present realistic numerical 28 results on 3D cases related to the monitoring of both isotropic and anisotropic 29 materials, illustrating the genericity and efficiency of our method. We also validate 30 our approach by comparing it to experimental data from the literature. 31

#### 33 1 Introduction

In many high-end industries, safety regulations include assessments of the condition of 34 structures and materials used for operations. Typical examples of application areas 35 are nuclear energy, petrochemical industry, transportation, or aeronautics. In fact, 36 any industry where the safety of critical components is of paramount importance. 37 Over time, the need to ensure or assess the health of these components has led 38 to the development of advanced Nondestructive Testing (NDT) techniques such as 39 Structural Health Monitoring (SHM). SHM systems aim to evaluate the structural 40 integrity of the material in question using sensors and processing units in situ. In 41

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other words, the monitoring systems are attached to the structure once and for all, so 42 that they can continuously generate relevant field data. One means of implementing 43 such systems is to rely on ultrasonic Guided Waves (GW) [50, 65]. These waves have 44 the advantage of propagating over longer distances – compared to bulk waves, which 45 are arguably more common in the NDT community – and thus probe a larger volume 46 of material. Nonetheless, GW propagation is potentially more complex. Namely, it 47 involves dispersion effects that vary from one propagating mode to another, and in 48 the particular case of SHM systems with *in situ* control processes, it is known to 49 be affected by Environmental and Operational Conditions (EOCs) [61]. One of the 50 most general types of EOC that we consider in our work is mechanical solicitations 51 sustained by the structure during its use. These mechanical conditions lead to potential 52 deformations and internal stresses that affect GW propagation. This is the so-called 53 acoustoelastic effect [39, 40]. To provide meaningful assistance in the development 54 and evaluation of SHM system performance, our work addresses the challenge of 55 incorporating acoustoelastic effects into a wave propagation modeling tool that is both 56 generic -i.e. applicable to any geometry, constitutive law or mechanical solicitation – 57 and efficient. 58

There are numerous previous works in the literature that address this issue but, to 59 our knowledge, they are mainly based on semi-analytical approaches. For instance, in 60 [41, 48, 51, 52, 53, 56, 55], analytical formulations are proposed and can be solved at 61 low cost. Nevertheless, due to assumptions regarding the geometry or the deformation, 62 such formulations can be limited when precisely modeling complex and realistic cases. 63 For complex shapes and loading configurations, in [32, 42, 57, 60, 62] the authors 64 propose semi-analytical methods that encompass stress inhomogeneity in one or two 65 dimensions. These methods treat the problem in the frequency domain and efficiently 66 compute dispersion curves of guided waves in specified wave guides, given the stress 67 profile through the thickness. In our work, we aim at proposing a time-domain 68 approach for this problem, while considering arbitrary geometries, hyperelastic laws, 69 and inhomogeneous stress profiles caused by mechanical loading. 70

Traditionally, due to the different characteristic times and amplitudes of the 71 structural strain, one can identify two (unidirectionally coupled) problems: (1) a 72 quasi-static nonlinear mechanical problem for the structural deformation; (2) a time-73 dependent wave problem resulting from a linearization procedure around the quasi-74 static deformation. In this work, we aim to extend the reach of acoustoelastic GW 75 propagation modeling by combining a set of generic numerical tools to solve both 76 problems. For the first problem, the main challenge (apart from mastering the inherent 77 nonlinearities) is that classical finite element methods (FEMs) give inadequate results 78 for thin, elongated geometries due to numerical locking [30, 38]. To overcome this 79 difficulty we use 3D shell elements [38]. They are based on an asymptotic expansion 80 of the displacement field in the thickness direction and, combined with the so-called 81 Mixed Interpolation of Tensorial Components (MITC) method [14, 20, 24] they are 82 locking-free. Moreover, they are readily available for any kind of constitutive law. The 83 output of this first computational stage is the (large and quasi-static) displacement 84 field sustained by the structure. This output is then used as an input for the wave 85 propagation problem. For this second problem, we use a different type of discretization. 86 namely the Spectral Finite Element Method (SFEM) in the time domain [23, 25, 33]. 87 Since the unknown of each problem are fundamentally different in nature, it is natural 88 to resort to different discretization schemes, in particular different meshes. This entails 89 the use of an interpolation operator from the first problem's mesh onto the second 90 one. The SFEM is based on high-order Lagrangian elements defined on Gauss-Lobatto 91 points. This avoids the Runge phenomenon and achieves spectral-like convergence. 92

<sup>93</sup> Using a consistent mass lumping procedure, they lead to a very efficient explicit
<sup>94</sup> fully discrete scheme – with a stability condition that depends on the mechanical
<sup>95</sup> deformation of the structure. To illustrate the validity and efficiency of our approach,
<sup>96</sup> we provide meaningful 3D simulation results associated with SHM configurations, as
<sup>97</sup> well as experimental validation results with data from the literature [41].

This article is divided into two main sections. In section 2 we explain the 98 methodology we use in our work. In particular, we first recall the general formalism 90 of nonlinear elastodynamics, followed by the linearization procedure around a quasi-100 static displacement field. In this way, we can accurately define the wave propagation 101 problem involving the acoustoelastic effects. After comparing our modeling approach 102 with the relevant previous work on acoustoelasticity, we conclude this section with 103 the dedicated time and space numerical schemes applied in order to solve the one-104 way coupled problems, namely the quasi-static nonlinear problem and the linearized 105 wave propagation problem. The section 3 is devoted to the detailed 3D numerical 106 illustrations of our approach. First, we provide illuminating examples addressing the 107 potential issue of stability of the fully discrete wave propagation model as a function 108 of the type of deformation and the constitutive law. Then we provide illustrations 109 of SHM configurations, namely a tube of isotropic material subjected to a four-point 110 bending test and a stratified anisotropic plate subjected to torsion. These examples 111 illustrate the performances and versatility of our approach, while in the last part of 112 the section we present experimental validations showing the reliability of our modeling 113 toolchain. 114

# <sup>115</sup> 2 Methodology for modeling the effect of mechanical load <sup>116</sup> ing on elastic wave propagation

# 2.1 Nonlinear elastodynamics with quasi-static surface traction & time dependent ultrasonic actuator

This section is dedicated to deriving a model representing the propagation of "low 119 amplitude - high frequency" waves, within a material subject to a "high amplitude -120 (very) low frequency" mechanical loading. This model enters the traditional framework 121 of finite deformations of hyperelastic solids. Readers may refer to the reference 122 textbooks [12, 17, 21] and references therein for an exhaustive presentation of this 123 generic formalism. We do not seek here to deviate from the very well-understood 124 formalism of hyperelasticity. Rather, we aim at specifying the corresponding modeling 125 elements, in particular the various forces that act on the material of interest. This 126 aspect is of paramount importance through our work, since it leads to a linearization 127 procedure and its subsequent wave propagation model. 128

Let us denote by  $t \ge 0$  the time variable with T > 0 the maximal time of interest, and by  $\Omega(t) \subset \mathbb{R}^3$  the volume occupied by the material during its deformation, with boundary  $\Gamma(t)$ . We assume that there exists  $\Gamma_D \subset \Gamma(t)$  a clamped part of the boundary. In the following, we consider the functional space  $\mathcal{V}(\Omega(t))$  of admissible virtual displacements vanishing on  $\Gamma_D$ .

#### 134 Quasi-static surface traction.

We consider a sub-part of the boundary  $\Gamma_0(t) \subset \Gamma$  that is the area of action of a high amplitude and quasi-static force, and such that  $\Gamma_0(t) \cap \Gamma_D = \emptyset$ . More precisely, let us denote by  $f_0$  a prescribed scalar-valued function representing the amplitude of a surface traction. The associated virtual work amounts to

$$\int_{\Gamma_0(t)} f_0(\boldsymbol{x}) \boldsymbol{n}_0(\boldsymbol{x}, t) \cdot \boldsymbol{w}(\boldsymbol{x}) \,\mathrm{d}\Gamma, \qquad \forall \boldsymbol{w} \in \mathcal{V}(\Omega(t)),$$

- where  $n_0$  is the outgoing unit normal vector field of  $\Gamma_0$ . The quasi-static hypothesis represents the fact that the time variation of this surface traction is assumed to be significantly lower than the ultrasonic source term described subsequently.
- <sup>138</sup> Time dependent ultrasonic actuator.
- <sup>139</sup> We also consider a third part of the boundary  $\Gamma_a(t) \subset \Gamma(t)$  where a low amplitude
- <sup>140</sup> and time-dependent ultrasonic actuator is vibrating, thus generating propagating wave
- 141 packets. We assume that  $\Gamma_a(t) \cap \Gamma_0(t) = \emptyset$  and  $\Gamma_a(t) \cap \Gamma_D = \emptyset$ . We denote by  $f_a^{\delta}$  a
- $_{142}$  given source term representing this ultrasonic actuator. The superscript  $\delta$  represents
- the amplitude ratio of the ultrasonic source term compared to  $f_0$ . In the following, we
- extensively use the assumption that this ratio is small, *i.e.* i.e.

$$\delta = \frac{\sup_{(\boldsymbol{x},t)\in\Omega\times[0;T]} \|\boldsymbol{f}_a^o(\boldsymbol{x},t)\|_3}{\sup_{\boldsymbol{x}\in\Omega} |f_0(\boldsymbol{x})|} \ll 1.$$
(1)

In the following, we assume – without loss of generality – that there exists a vector field  $\boldsymbol{f}_a$  that corresponds to a rescaled source term, namely  $\boldsymbol{f}_a^{\delta} = \delta \boldsymbol{f}_a$ . The associated virtual work becomes

$$\delta \int_{\Gamma_a(t)} \boldsymbol{f}_a(\boldsymbol{x}, t) \cdot \boldsymbol{w}(\boldsymbol{x}) \, \mathrm{d}\Gamma, \qquad \forall \boldsymbol{w} \in \mathcal{V}(\Omega(t)), \qquad \forall t \in [0; T].$$

<sup>145</sup> Weak formulations of the nonlinear elastodynamic problem.

Let us introduce  $\rho$  the mass density of the material. With these notations and the previous definitions of the various boundary conditions, the principle of virtual works states that the velocity field  $\boldsymbol{v}(t)$  and the Cauchy stress tensor  $\boldsymbol{\sigma}(t)$  satisfy, for any  $\boldsymbol{w} \in \mathcal{V}(\Omega(t))$ 

$$\int_{\Omega(t)} \rho(\partial_t \boldsymbol{v} + (\boldsymbol{\nabla}_{\!\!\boldsymbol{x}} \boldsymbol{v}) \cdot \boldsymbol{v}) \cdot \boldsymbol{w} \, \mathrm{d}\Omega + \int_{\Omega(t)} \boldsymbol{\sigma} : \boldsymbol{\nabla}_{\!\!\boldsymbol{x}} \boldsymbol{w} \, \mathrm{d}\Omega$$
$$= \int_{\Gamma_0(t)} f_0 \boldsymbol{n}_0 \cdot \boldsymbol{w} \, \mathrm{d}\Gamma + \delta \int_{\Gamma_a(t)} \boldsymbol{f}_a \cdot \boldsymbol{w} \, \mathrm{d}\Gamma, \quad (2)$$

with given initial conditions  $v|_{t=0}$  and  $\sigma|_{t=0}$ . Albeit being the standard weak form of 150 the equilibrium relation, relation (2) deserves some comments. It is not an explicit form 151 because the deformed domain  $\Omega$  is unknown, nor is it a closed form since it lacks the 152 constitutive law linking the Cauchy stress tensor with the kinematic unknown. It should 153 be noted that this constitutive law is rarely written on a deformed configuration, but 154 rather on a so-called reference configuration. Also, from a purely practical viewpoint, 155 the definition of the source term  $f_a$  representing the ultrasonic actuator is more likely 156 to be available on this reference configuration. 157

From this line of arguments, it is natural to derive a formulation written on a reference coordinate system. Let us denote by  $\hat{\Omega}$  the volume occupied by the material in this reference setting, and by  $\boldsymbol{\xi} \in \hat{\Omega}$  the associated coordinates. For any time t > 0, the deformation is represented by the bijective mapping,

$$\begin{split} \boldsymbol{\phi}(t) &: \widehat{\Omega} &\longmapsto \Omega(t) \\ \boldsymbol{\xi} &\longrightarrow \boldsymbol{x}(\boldsymbol{\xi}, t) = \boldsymbol{\phi}(\boldsymbol{\xi}, t), \end{split}$$

and we define the displacement field  $\boldsymbol{u}(t)$  as

$$\boldsymbol{u}(\boldsymbol{\xi},t) = \boldsymbol{x}(\boldsymbol{\xi},t) - \boldsymbol{\xi}, \qquad \forall \boldsymbol{\xi} \in \Omega.$$

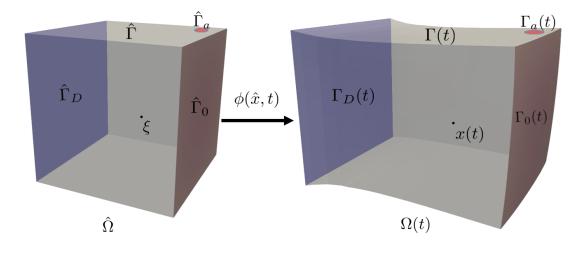


Figure 1. Reference and deformed configurations and their associated notations.

We denote by  $\widehat{\Gamma}$  the boundary of  $\widehat{\Omega}$ , and  $\widehat{\Gamma}_D$ ,  $\widehat{\Gamma}_0$ , and  $\widehat{\Gamma}_a$  the sub-parts of the boundary corresponding to  $\Gamma_D$ ,  $\Gamma_0(t)$ , and  $\Gamma_a(t)$  respectively. See *Figure 1* for an illustration of both coordinate systems and domains.

The deformation gradient and its determinant are denoted by

$$\boldsymbol{F}(\boldsymbol{\xi},t) = \boldsymbol{\nabla}_{\!\!\boldsymbol{\xi}} \boldsymbol{\phi}(\boldsymbol{\xi},t) = \boldsymbol{I} + \boldsymbol{\nabla}_{\!\!\boldsymbol{\xi}} \boldsymbol{u}(\boldsymbol{\xi},t) \quad \text{ and } \quad J(\boldsymbol{\xi},t) = \det \boldsymbol{F}(\boldsymbol{\xi},t), \qquad \forall \boldsymbol{\xi} \in \widehat{\Omega},$$

respectively. With these notations, we derive the equivalent of (2) set on the reference configuration, thus explicitly showing the dependency of the displacement field – which is the chosen kinematic unknown in the following. To do so, we apply a standard change of variables from  $\Omega$  to  $\hat{\Omega}$  – see [21] and references therein for more details. Upon the straightforward relation  $\boldsymbol{v} \circ \boldsymbol{\phi} = \partial_t \boldsymbol{u}$ , we start with the inertial terms, namely, for all  $\boldsymbol{w} \in \mathcal{V}(\hat{\Omega})$ ,

$$\int_{\Omega(t)} \varrho(\partial_t \boldsymbol{v} + (\boldsymbol{\nabla}_{\!\!\boldsymbol{x}} \boldsymbol{v}) \cdot \boldsymbol{v}) \cdot (\boldsymbol{w} \circ \boldsymbol{\phi}^{-1}) \,\mathrm{d}\Omega = \int_{\widehat{\Omega}} J\left(\varrho \circ \boldsymbol{\phi}\right) \partial_{tt}^2 \boldsymbol{u} \cdot \boldsymbol{w} \,\mathrm{d}\widehat{\Omega} = \int_{\widehat{\Omega}} \widehat{\varrho} \,\partial_{tt}^2 \boldsymbol{u} \cdot \boldsymbol{w} \,\mathrm{d}\widehat{\Omega},$$

where  $\hat{\varrho} = (\varrho \circ \phi) J$  is the reference mass density. Concerning the stiffness term, we have, for all  $\boldsymbol{w} \in \mathcal{V}(\hat{\Omega})$ ,

$$\int_{\Omega(t)} \boldsymbol{\sigma} : \boldsymbol{\nabla}_{\boldsymbol{x}}(\boldsymbol{w} \circ \boldsymbol{\phi}^{-1}) \, \mathrm{d}\Omega = \int_{\widehat{\Omega}} (\boldsymbol{\sigma} \circ \boldsymbol{\phi}) : (\boldsymbol{\nabla}_{\boldsymbol{\xi}} \boldsymbol{w} \cdot \boldsymbol{F}^{-1}) \, J \mathrm{d}\widehat{\Omega} = \int_{\widehat{\Omega}} \boldsymbol{T} : \boldsymbol{\nabla}_{\boldsymbol{\xi}} \boldsymbol{w} \, \mathrm{d}\widehat{\Omega},$$

where  $\mathbf{T} = (\boldsymbol{\sigma} \circ \boldsymbol{\phi}) \cdot \mathbf{F}^{-\intercal} J$  is the first Piola-Kirchhoff tensor. Upon the assumption of hyperelasticity [17, 21] there exists a potential function  $\mathscr{W}(\mathbf{F})$  that depends exclusively on the deformation gradient and such that

$$T(F) = D_F \mathscr{W}(F)$$

Note that prescribing a closed form of this energy potential function actually defines the constitutive relation of the material – a matter addressed in the subsequent section. The first Piola-Kirchhoff tensor is non-symmetric, and in the following, we resort to its symmetric counterpart, which can be introduced as follows,

$$\int_{\widehat{\Omega}} \boldsymbol{T} : \boldsymbol{\nabla}_{\boldsymbol{\xi}} \boldsymbol{w} \, \mathrm{d}\widehat{\Omega} = \int_{\widehat{\Omega}} (\boldsymbol{F}^{-1} \cdot \boldsymbol{T}) : (\boldsymbol{F}^{\mathsf{T}} \cdot \boldsymbol{\nabla}_{\boldsymbol{\xi}} \boldsymbol{w}) \, \mathrm{d}\widehat{\Omega} = \int_{\widehat{\Omega}} \boldsymbol{\Sigma} : \mathrm{D}_{\boldsymbol{u}} \boldsymbol{e}(\boldsymbol{u}) \boldsymbol{w} \, \mathrm{d}\widehat{\Omega},$$

with  $\boldsymbol{\Sigma} = \boldsymbol{F}^{-1} \cdot \boldsymbol{T}$  the (symmetric) second Piola-Kirchhoff tensor, and

$$\boldsymbol{e}(\boldsymbol{u}) = \frac{1}{2} \Big( \boldsymbol{\nabla}_{\!\!\boldsymbol{\xi}} \boldsymbol{u} + \boldsymbol{\nabla}_{\!\!\boldsymbol{\xi}} \boldsymbol{u}^{\mathsf{T}} + \boldsymbol{\nabla}_{\!\!\boldsymbol{\xi}} \boldsymbol{u}^{\mathsf{T}} \cdot \boldsymbol{\nabla}_{\!\!\boldsymbol{\xi}} \boldsymbol{u} \Big), \qquad \mathrm{D}_{\!\boldsymbol{u}} \boldsymbol{e}(\boldsymbol{u}) \boldsymbol{w} = \frac{1}{2} \Big( \boldsymbol{F}^{\mathsf{T}} \cdot \boldsymbol{\nabla}_{\!\!\boldsymbol{\xi}} \boldsymbol{w} + \boldsymbol{\nabla}_{\!\!\boldsymbol{\xi}} \boldsymbol{w}^{\mathsf{T}} \cdot \boldsymbol{F} \Big),$$

the Green-Lagrange tensor and its differential w.r.t. the displacement field. For hyperelastic materials, the second Piola-Kirchhoff tensor is usually defined exclusively as a function of the Green-Lagrange tensor, namely  $\Sigma = \Sigma(e(u))$  in the previous expression, and

$$\Sigma(e) = D_e \mathscr{W}(e).$$

For the quasi-static surface traction, one resorts to the formula for transport of normal vector field to derive the following relations

$$\forall \, \boldsymbol{w} \in \mathcal{V}(\widehat{\Omega}), \quad \int_{\Gamma_0(t)} f_0 \boldsymbol{n}_0 \cdot (\boldsymbol{w} \circ \boldsymbol{\phi}^{-1}) \, \mathrm{d}\Gamma = \int_{\widehat{\Gamma}_0} \widehat{f}_0 \left( \mathrm{co} \boldsymbol{F} \cdot \widehat{\boldsymbol{n}}_0 \right) \cdot \boldsymbol{w} \, \mathrm{d}\widehat{\Gamma},$$

where  $\hat{f}_0 = f_0 \circ \phi$ ,  $\hat{n}_0$  is the outgoing unit normal vector field of  $\hat{\Gamma}_0$ , and  $\cos F = J F^{-\intercal}$  is the co-factor of the deformation gradient. Finally, concerning the ultrasonic source term, we have

$$\forall \, \boldsymbol{w} \in \mathcal{V}(\widehat{\Omega}), \quad \int_{\Gamma_a(t)} \boldsymbol{f}_a \cdot (\boldsymbol{w} \circ \boldsymbol{\phi}^{-1}) \, \mathrm{d}\Gamma = \int_{\widehat{\Gamma}_a} \widehat{\boldsymbol{f}}_a \cdot \boldsymbol{w} \, \widehat{\gamma} \, \mathrm{d}\widehat{\Gamma},$$

where  $\hat{\gamma} = \| \operatorname{co} \boldsymbol{F} \cdot \hat{\boldsymbol{n}}_a \|_3$  with  $\hat{\boldsymbol{n}}_a$  the unit normal vector field of  $\hat{\Gamma}_a$ . This term represents the effect of the deformation on the surface measure. In the previous relation,  $\hat{\boldsymbol{f}}_a$  is the time and space dependency of the actuator on the reference configuration. Its expression depends on the chosen modeling of the actuator. Without being completely exhaustive, we can at least provide three main cases of interest:

- The ultrasonic source is independent of the deformation of the material. For instance, one could imagine configurations where a hydrophone is emitting an ultrasonic beam toward the immersed specimen of interest, subject to the deformation. In that case, we simply have  $\hat{f}_a = f_a \circ \phi$ .
  - The actuator is considered to be generating a time-dependent source term with polarization in the normal direction of the material. This corresponds to the case where there exists a scalar-valued function  $f_a$  such that  $\mathbf{f}_a = f_a \mathbf{n}_a$ , where  $\mathbf{n}_a$  is the outgoing unit normal vector field of  $\Gamma_a$ . In that case, we define

$$\widehat{\boldsymbol{f}}_a = (f_a \circ \boldsymbol{\phi}) \, rac{\mathrm{co} \boldsymbol{F} \cdot \widehat{\boldsymbol{n}}_a}{\widehat{\gamma}} \, .$$

• The polarization lies within the local tangent plane of the surface  $\Gamma_a$ . More precisely if we denote by  $(\tau_{1,a}, \tau_{2,a})$  two vectors field generating this tangent plane, then there exists two scalar-valued functions  $f_{1,a}$  and  $f_{2,a}$  such that  $f_a = f_{1,a}\tau_{1,a} + f_{2,a}\tau_{2,a}$ , entailing

$$\widehat{\boldsymbol{f}}_{a} = \sum_{i=1,2} (f_{i,a} \circ \boldsymbol{\phi}) \, \frac{\boldsymbol{F} \cdot \widehat{\boldsymbol{\tau}}_{i,a}}{\|\boldsymbol{F} \cdot \widehat{\boldsymbol{\tau}}_{i,a}\|_{3}}, \qquad \boldsymbol{\tau}_{i,a} \circ \boldsymbol{\phi} = \boldsymbol{F} \cdot \widehat{\boldsymbol{\tau}}_{i,a}, \quad \forall i \in \{1,2\}.$$

We can now give the weak formulation of the problem of interest written in the reference configuration: for any time  $t \in [0; T]$ , we aim at finding the displacement field  $\boldsymbol{u}(t)$ such that, for any test function  $\boldsymbol{w} \in \mathcal{V}(\widehat{\Omega})$ , we have

$$\int_{\widehat{\Omega}} \widehat{\varrho} \,\partial_{tt}^2 \boldsymbol{u} \cdot \boldsymbol{w} \,\mathrm{d}\widehat{\Omega} + \int_{\widehat{\Omega}} \boldsymbol{\Sigma}(\boldsymbol{e}(\boldsymbol{u})) : \mathrm{D}_{\boldsymbol{u}} \boldsymbol{e}(\boldsymbol{u}) \boldsymbol{w} \,\mathrm{d}\widehat{\Omega} = \int_{\widehat{\Gamma}_0} \widehat{f}_0 \left(\mathrm{co} \boldsymbol{F} \cdot \widehat{\boldsymbol{n}}_0\right) \cdot \boldsymbol{w} \,\mathrm{d}\widehat{\Gamma} + \delta \int_{\widehat{\Gamma}_a} \widehat{\boldsymbol{f}}_a \cdot \boldsymbol{w} \,\widehat{\gamma} \,\mathrm{d}\widehat{\Gamma}, \quad (3)$$

<sup>173</sup> with given initial conditions  $\boldsymbol{u}|_{t=0}$  and  $\partial_t \boldsymbol{u}|_{t=0}$ .

#### 174 2.2 Linearization around a quasi-static displacement field

<sup>175</sup> Upon the assumption of a quasi-static mechanical loading and a low amplitude time-

176 dependent ultrasonic source term – as depicted in (1) – we propose to decompose the

<sup>177</sup> solution of (3) following the Ansatz

$$\boldsymbol{u}(\boldsymbol{\xi},t) = \boldsymbol{u}_0(\boldsymbol{\xi}) + \delta \, \boldsymbol{u}_1(\boldsymbol{\xi},t) + O(\delta^2), \qquad \forall \boldsymbol{\xi} \in \widehat{\Omega}, \qquad \forall t \in [0;T]. \tag{4}$$

This (natural) decomposition amounts to seeking a large quasi-static deformation  $u_0$  generated by the surface traction term, and a low amplitude time-dependent contribution  $u_1$  emanating from the ultrasonic source term. Intuitively, one would expect  $u_0$  to satisfy a nonlinear static problem, and  $u_1$  a wave equation. In this section, we follow standard linearization arguments to derive these two problems. Moreover, following the existence and uniqueness results given in [17], we define the space

$$\mathcal{V}(\widehat{\Omega}) = \Big\{ \boldsymbol{w} \in [H^1(\widehat{\Omega})]^3 \mid \boldsymbol{w}|_{\Gamma_D} = 0 \Big\},\$$

and the space  $\mathcal{W}(\widehat{\Omega})$  of admissible displacements in the reference configuration

$$\mathcal{W}(\widehat{\Omega}) = W^{2,p}(\widehat{\Omega})^3 \cap \mathcal{V}(\widehat{\Omega}), \text{ for some } p > 3.$$
(5)

<sup>179</sup> We now comment briefly this choice. Imbeddings results (see [27, 17]) show that, for <sup>180</sup> any  $\boldsymbol{w}^{\star} \in \mathcal{W}(\widehat{\Omega})$ , first,  $\nabla_{\boldsymbol{\xi}} \boldsymbol{w}^{\star}$  belongs to  $W^{1,p}(\widehat{\Omega})^{3\times 3}$  that is an algebra (in particular <sup>181</sup> products of functions in this space do belong to the same space), second,  $\nabla_{\boldsymbol{\xi}} \boldsymbol{w}^{\star}$  is <sup>182</sup> continuous and bounded up to the boundary. We deduce that

$$oldsymbol{e}(oldsymbol{w}^{\star})\in \mathrm{W}^{1,p}(\widehat{\Omega})^{3 imes 3} \quad ext{ and } \quad \forall oldsymbol{w}\in\mathcal{V}(\widehat{\Omega}), \ \ \mathrm{D}_{oldsymbol{u}}oldsymbol{e}(oldsymbol{w}^{\star})oldsymbol{w}\in\mathrm{L}^2(\widehat{\Omega})^{3 imes 3}.$$

Finally, assuming sufficient smoothness of the potential function  $\mathscr{W}(\mathbf{F})$  it can be inferred that  $\Sigma(\mathbf{e}(\mathbf{w}^*)) \in \mathrm{W}^{1,p}(\widehat{\Omega})^{3\times 3}$  hence, it is continuous and bounded. A similar smoothness property holds for the fourth order tensor  $\mathrm{D}_{e}\Sigma(\mathbf{e}(\mathbf{w}^*))$ . The properties given above are implicitly used to give meanings to the integrals introduced in the section below.

Stiffness and surface traction operators and associated differentials.
 Let us denote by

$$\begin{array}{ccc} \mathbf{A} & : \mathcal{W}(\Omega) & \longmapsto \mathcal{V}(\Omega)' \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & &$$

the nonlinear stiffness operator such that, for any  $\boldsymbol{w} \in \mathcal{V}(\widehat{\Omega})$ ,

$$\langle \mathbf{A}(\boldsymbol{w}^{\star}), \boldsymbol{w} \rangle = \int_{\widehat{\Omega}} \boldsymbol{\Sigma}(\boldsymbol{e}(\boldsymbol{w}^{\star})) : \mathbf{D}_{\boldsymbol{u}} \boldsymbol{e}(\boldsymbol{w}^{\star}) \boldsymbol{w} \, \mathrm{d}\widehat{\Omega},$$

where  $\langle \cdot, \cdot \rangle$  denotes the duality product in  $\mathcal{V}(\widehat{\Omega})$ . The Gâteaux differential of A at  $\boldsymbol{w}^*$ is denoted by  $D_{\boldsymbol{u}}A(\boldsymbol{w}^*) \in \mathcal{L}(\mathcal{V}(\widehat{\Omega}), \mathcal{V}(\widehat{\Omega})')$ , and reads, for any  $\boldsymbol{v}, \boldsymbol{w} \in \mathcal{V}(\widehat{\Omega})$ 

$$\langle \mathbf{D}_{\boldsymbol{u}} \mathbf{A}(\boldsymbol{w}^{\star}) \boldsymbol{v}, \boldsymbol{w} \rangle = \int_{\widehat{\Omega}} \mathbf{D}_{\boldsymbol{u}} \boldsymbol{e}(\boldsymbol{w}^{\star}) \boldsymbol{v} : \mathbf{D}_{\boldsymbol{e}} \boldsymbol{\Sigma}(\boldsymbol{e}(\boldsymbol{w}^{\star})) : \mathbf{D}_{\boldsymbol{u}} \boldsymbol{e}(\boldsymbol{w}^{\star}) \boldsymbol{w} \, \mathrm{d}\widehat{\Omega} + \int_{\widehat{\Omega}} \boldsymbol{\Sigma}(\boldsymbol{e}(\boldsymbol{w}^{\star})) : \mathbf{D}_{\boldsymbol{u}}^{2} \boldsymbol{e}(\boldsymbol{v}, \boldsymbol{w}) \, \mathrm{d}\widehat{\Omega},$$
(6)

where

$$\mathrm{D}_{u}^{2}\boldsymbol{e}(\boldsymbol{v},\boldsymbol{w}) = \frac{1}{2} \Big( \nabla_{\!\boldsymbol{\xi}} \boldsymbol{v}^{\mathsf{T}} \cdot \nabla_{\!\boldsymbol{\xi}} \boldsymbol{w} + \nabla_{\!\boldsymbol{\xi}} \boldsymbol{w}^{\mathsf{T}} \cdot \nabla_{\!\boldsymbol{\xi}} \boldsymbol{v} \Big).$$

Note that the differential (6) is obtained from the differential of a product combined with a chain rule, and the definition of the Green-Lagrange tensor. It actually defines a bilinear form of particular interest in the following. More precisely, for a given  $w^* \in \mathcal{W}(\hat{\Omega})$  defining

$$\begin{aligned} a(\boldsymbol{w}^{\star};\cdot,\cdot) &: \ \mathcal{V}(\widehat{\Omega}) \times \mathcal{V}(\widehat{\Omega}) &\longmapsto \mathbb{R} \\ (\boldsymbol{v},\boldsymbol{w}) &\longrightarrow a(\boldsymbol{w}^{\star};\boldsymbol{v},\boldsymbol{w}) = \langle \mathrm{D}_{\boldsymbol{u}} \mathrm{A}(\boldsymbol{w}^{\star})\boldsymbol{v},\boldsymbol{w} \rangle, \end{aligned} \tag{7}$$

then one can verify that  $a(\boldsymbol{w}^*;\cdot,\cdot)$  is a symmetric bilinear form. Note that  $D_uA$  is a contribution of two terms: the first one, involving  $D_e\Sigma$ , is related to constitutive nonlinearities since it involves second order derivatives of the potential  $\mathcal{W}$  with respect to the Green-Lagrange tensor  $\boldsymbol{e}$ ; the second one, involving  $\Sigma$ , is related to geometrical nonlinearities since it comes exclusively from the linearization of the Green-Lagrange tensor. Another more compact form – but less prone to interpretation – of the Gâteaux differential of A can be deduced from the expression

$$\langle \mathbf{A}(\boldsymbol{w}^{\star}), \boldsymbol{w} \rangle = \int_{\widehat{\Omega}} \boldsymbol{T}(\boldsymbol{F}(\boldsymbol{w}^{\star})) : \boldsymbol{\nabla}_{\boldsymbol{\xi}} \boldsymbol{w} \, \mathrm{d}\widehat{\Omega},$$

where  $F(w^*) = I + \nabla_{\varepsilon} w^*$ . Computing the Gâteaux differential of A at  $w^*$  gives the alternative expression

$$a(\boldsymbol{w}^{\star};\boldsymbol{v},\boldsymbol{w}) = \langle \mathbf{D}_{\boldsymbol{u}}\mathbf{A}(\boldsymbol{w}^{\star})\boldsymbol{v},\boldsymbol{w}\rangle = \int_{\widehat{\Omega}} \nabla_{\boldsymbol{\xi}}\boldsymbol{v} : \mathbf{D}_{\boldsymbol{F}}\boldsymbol{T}(\boldsymbol{F}(\boldsymbol{w}^{\star})) : \nabla_{\boldsymbol{\xi}}\boldsymbol{w} \,\mathrm{d}\widehat{\Omega}$$
$$= \int_{\widehat{\Omega}} \nabla_{\boldsymbol{\xi}}\boldsymbol{v} : \mathbf{D}_{\boldsymbol{F}}^{2}\mathscr{W}(\boldsymbol{F}(\boldsymbol{w}^{\star})) : \nabla_{\boldsymbol{\xi}}\boldsymbol{w} \,\mathrm{d}\widehat{\Omega}.$$
(8)

In the following, we also denote by

$$\begin{array}{rcl} \mathrm{B} & : \mathcal{W}(\widehat{\Omega}) & \longmapsto \mathcal{V}(\widehat{\Omega})' \\ & \boldsymbol{w}^{\star} & \longrightarrow \mathrm{B}(\boldsymbol{w}^{\star}), \end{array}$$

the nonlinear surface traction operator such that, for any  $\boldsymbol{w} \in \mathcal{V}(\widehat{\Omega})$ ,

$$\langle \mathbf{B}(\boldsymbol{w}^{\star}), \boldsymbol{w} \rangle = \int_{\widehat{\Gamma}_0} \widehat{f}_0 \left( \mathrm{co} \boldsymbol{F}(\boldsymbol{w}^{\star}) \cdot \widehat{\boldsymbol{n}}_0 \right) \cdot \boldsymbol{w} \, \mathrm{d}\widehat{\Gamma}.$$

The Gâteaux differential of B at  $\boldsymbol{w}^*$  is denoted by  $D_{\boldsymbol{u}}B(\boldsymbol{w}^*) \in \mathcal{L}(\mathcal{V}(\widehat{\Omega}), \mathcal{V}(\widehat{\Omega})')$ , and reads, for any  $\boldsymbol{v}, \boldsymbol{w} \in \mathcal{V}(\widehat{\Omega})$ 

$$\langle \mathbf{D}_{\boldsymbol{u}} \mathbf{B}(\boldsymbol{w}^{\star}) \boldsymbol{v}, \boldsymbol{w} \rangle = \int_{\widehat{\Gamma}_0} \widehat{f}_0 \left( (\mathbf{D}_{\boldsymbol{u}} \mathrm{co} \boldsymbol{F}(\boldsymbol{w}^{\star}) \boldsymbol{v}) \cdot \widehat{\boldsymbol{n}}_0 \right) \cdot \boldsymbol{w} \, \mathrm{d}\widehat{\Gamma}, \tag{9}$$

where

$$D_{\boldsymbol{u}} co \boldsymbol{F}(\boldsymbol{w}^{\star}) \boldsymbol{v} = \left( (\boldsymbol{F}^{-\intercal}(\boldsymbol{w}^{\star}) : \nabla_{\boldsymbol{\xi}} \boldsymbol{v}) \boldsymbol{I} - \boldsymbol{F}^{-\intercal}(\boldsymbol{w}^{\star}) \cdot \nabla_{\boldsymbol{\xi}} \boldsymbol{v} \right) \cdot co \boldsymbol{F}(\boldsymbol{w}^{\star}).$$

Note that the expression of the differential of the cofactor matrix derives from the following relations

$$D_{\boldsymbol{u}}J(\boldsymbol{w}^{\star})\boldsymbol{v} = co\boldsymbol{F}(\boldsymbol{w}^{\star}): \nabla_{\boldsymbol{\xi}}\boldsymbol{v}, \qquad D_{\boldsymbol{u}}\big(\boldsymbol{F}^{-\intercal}(\boldsymbol{w}^{\star})\big)\boldsymbol{v} = -\boldsymbol{F}^{-\intercal}(\boldsymbol{w}^{\star})\cdot\nabla_{\boldsymbol{\xi}}\boldsymbol{v}\cdot\boldsymbol{F}^{-\intercal}(\boldsymbol{w}^{\star}).$$

<sup>208</sup> Compared to (6), no particular property – such as symmetry – can be derived from the

bilinear form associated to the differential (9). In order to link with the definition of the weak formulation (3), we introduce the difference of these two nonlinear operators, namely

$$\begin{aligned} A_b : \mathcal{W}(\widehat{\Omega}) &\longmapsto \mathcal{V}(\widehat{\Omega})' \\ \boldsymbol{w}^{\star} &\longrightarrow A_b(\boldsymbol{w}^{\star}) = \mathcal{A}(\boldsymbol{w}^{\star}) - \mathcal{B}(\boldsymbol{w}^{\star}), \end{aligned} \tag{10}$$

such that its associated Gâteaux differential, for any  $\boldsymbol{w}^{\star} \in \mathcal{W}(\widehat{\Omega})$ , simply reads

$$D_{\boldsymbol{u}}A_b(\boldsymbol{w}^{\star}) = D_{\boldsymbol{u}}A(\boldsymbol{w}^{\star}) - D_{\boldsymbol{u}}B(\boldsymbol{w}^{\star}) \in \mathcal{L}(\mathcal{V}(\Omega), \mathcal{V}(\Omega)').$$

<sup>212</sup> Quasi-static and linearized wave problems.

Let us denote by  $\mathcal{H}(\widehat{\Omega}) = [L^2(\widehat{\Omega})]^3$  and the associated mass-weighted inner product by

$$(oldsymbol{v},oldsymbol{w})_{\mathcal{H}} = \int_{\widehat{\Omega}} \widehat{arrho} \,oldsymbol{v} \cdot oldsymbol{w} \,\mathrm{d}\widehat{\Omega}, \qquad orall oldsymbol{v},oldsymbol{w} \in \mathcal{H}.$$

We introduce the nonlinear operator

$$L(t,\cdot) : \mathcal{W}(\widehat{\Omega}) \longmapsto \mathcal{V}(\widehat{\Omega})'$$
$$\boldsymbol{w}^{\star} \longrightarrow L(t, \boldsymbol{w}^{\star}),$$

such that, for any  $\boldsymbol{w} \in \mathcal{V}(\widehat{\Omega})$ ,

$$\langle L(t, \boldsymbol{w}^{\star}), \boldsymbol{w} \rangle = \int_{\widehat{\Gamma}_{a}} \widehat{\boldsymbol{f}}_{a}(\boldsymbol{w}^{\star}, t) \cdot \boldsymbol{w} \,\widehat{\gamma}(\boldsymbol{w}^{\star}) \,\mathrm{d}\widehat{\Gamma}.$$
 (11)

From the definition of the operators (10) and (11), we remark that the weak formulation (3) can be written in the following form

$$\frac{\mathrm{d}^2}{\mathrm{d}t^2}(\boldsymbol{u},\boldsymbol{w})_{\mathcal{H}} + \langle A_b(\boldsymbol{u}),\boldsymbol{w}\rangle = \delta \langle L(t,\boldsymbol{u}),\boldsymbol{w}\rangle, \qquad \forall \boldsymbol{w} \in \mathcal{V}(\widehat{\Omega}).$$

Using the Ansatz (4), and the time invariance of  $u_0$  leads to

$$\langle A_b(\boldsymbol{u}_0), \boldsymbol{w} \rangle + \delta \Big( \frac{\mathrm{d}^2}{\mathrm{d}t^2} (\boldsymbol{u}_1, \boldsymbol{w})_{\mathcal{H}} + \langle \mathrm{D}_{\boldsymbol{u}} A_b(\boldsymbol{u}_0) \boldsymbol{u}_1, \boldsymbol{w} \rangle - \langle L(t, \boldsymbol{u}_0), \boldsymbol{w} \rangle \Big) = O(\delta^2).$$

Hence, satisfying the relation (3) up to second order terms  $w.r.t \delta$  amounts to satisfying the following two problems:

216

217 i) Find  $\boldsymbol{u}_0 \in \mathcal{W}(\widehat{\Omega})$  the solution, for any  $\boldsymbol{w} \in \mathcal{V}(\widehat{\Omega})$ , of

 $\langle A_b(\boldsymbol{u}_0), \boldsymbol{w} \rangle = 0.$ 

ii) Find  $(0,T) \ni t \mapsto u_1(t) \in \mathcal{V}(\widehat{\Omega})$  satisfying in  $\mathscr{D}'(0,T)$  – a.k.a in the sense of distribution:

$$\frac{\mathrm{d}^2}{\mathrm{d}t^2}(\boldsymbol{u}_1(\cdot), \boldsymbol{w})_{\mathcal{H}} + \langle \mathrm{D}_{\boldsymbol{u}} A_b(\boldsymbol{u}_0) \boldsymbol{u}_1(\cdot), \boldsymbol{w} \rangle = \langle L(\cdot, \boldsymbol{u}_0), \boldsymbol{w} \rangle, \quad \boldsymbol{w} \in \mathcal{V}(\widehat{\Omega})$$
(12)

220

with given initial conditions  $\boldsymbol{u}_1(0) \in \mathcal{H}((\widehat{\Omega}))$  and  $\frac{\mathrm{d}\boldsymbol{u}_1}{\mathrm{d}t}(0) \in \mathcal{V}(\widehat{\Omega})'$ .

<sup>221</sup> Approximated linearized wave problem.

A major drawback of the formulation (12) of the wave problem is that it contains 222 the tangent of the surface traction operator, namely  $D_{\boldsymbol{u}}B(\boldsymbol{u}_0)$ , defined in (9). It is 223 associated with a non-symmetric bilinear form, with an undefined sign and implying the 224 surface gradient of its first argument. In addition to these unfortunate mathematical 225 properties, one can interpret this term as the effect of the surface traction onto the wave 226 field. In the applications of interest, where the loading area is restricted compared to 227 the complete surface domain, we expect this term to bear little effect. Combining these 228 arguments, we neglect the contribution of the tangent of the surface traction operator 229 in the wave propagation problem, and we consider the following pair of problems: 230

i) Find 
$$\boldsymbol{u}_0 \in \mathcal{V}(\overline{\Omega})$$
 the solution, for any  $\boldsymbol{w} \in \mathcal{V}(\overline{\Omega})$ , of

$$\langle A_b(\boldsymbol{u}_0), \boldsymbol{w} \rangle = 0. \tag{13}$$

ii) Find  $(0,T) \ni t \mapsto \widetilde{u}(t) \in \mathcal{V}(\widehat{\Omega})$  satisfying in  $\mathscr{D}'(0,T)$ :

$$\frac{\mathrm{d}^2}{\mathrm{d}t^2}(\tilde{\boldsymbol{u}}(\cdot),\boldsymbol{w})_{\mathcal{H}} + a(\boldsymbol{u}_0;\tilde{\boldsymbol{u}}(\cdot),\boldsymbol{w}) = \langle L(\cdot,\boldsymbol{u}_0),\boldsymbol{w}\rangle, \quad \forall \boldsymbol{w} \in \mathcal{V}(\widehat{\Omega})$$
(14)

with given initial conditions  $\widetilde{\boldsymbol{u}}(0) \in \mathcal{H}((\widehat{\Omega}))$  and  $\frac{d\widetilde{\boldsymbol{u}}}{dt}(0) \in \mathcal{V}(\widehat{\Omega})'$ , and  $a(\boldsymbol{u}_0;\cdot,\cdot)$ defined in (7).

One important remark that can be made at this point is that the two problems previously defined can be addressed successively. From a practical viewpoint this means that one can first solve (13), extract the solution  $u_0$  to compute the tangent operator and the source term in (14) and solve the wave equation to obtain  $\tilde{u}$ .

<sup>239</sup> Well-posedness of the wave propagation problem and energy relation.

Since the focus of our work is on wave propagation modeling, we do not discuss 240 the questions of the existence and uniqueness of the nonlinear static problem (13). 241 Theoretical elements on this matter can be found for instance in [12, 17], they usually 242 entail a so-called "poly-convexity" property of  $\mathcal{W}$ . We assume in the following that 243 there exists at least one solution of (13), and rather discuss the well-posedness of the 244 wave propagation problem of interest (14). We rely on the standard abstract results 245 that can be found e.q. in the reference textbooks [9, 11, 19]. In particular, since the 246 symmetry of the bilinear form is easily verified from its definition given in (7), we only 247 need the following assumptions to apply Theorem 4.1 in [11]: 248

- The righ-hand side of (14) satisfies  $L(\cdot, \boldsymbol{u}_0) \in \mathcal{C}^1([0, T]; \mathcal{V}(\widehat{\Omega})')$ ,
- 250
- The bilinear form  $a(\mathbf{u}_0; \cdot, \cdot)$  defined in (7) is continuous and coercive, i.e. there exists two strictly positive constants  $C_0$  and  $\alpha_0$  such that

$$|a(\boldsymbol{u}_0;\boldsymbol{v},\boldsymbol{w})| \le C_0 \|\boldsymbol{v}\|_{\mathcal{V}} \|\boldsymbol{w}\|_{\mathcal{V}}, \quad a(\boldsymbol{u}_0;\boldsymbol{v},\boldsymbol{v}) \ge \alpha_0 \|\boldsymbol{v}\|_{\mathcal{V}}^2, \quad \forall \boldsymbol{v},\boldsymbol{w} \in \mathcal{V}(\widehat{\Omega}).$$
(15)

 $_{253}$  Upon these assumptions the problem (14) admits a unique solution such that

$$\widetilde{\boldsymbol{u}} \in \mathrm{L}^2((0,T); \mathcal{V}(\widehat{\Omega})), \quad \frac{\mathrm{d}\widetilde{\boldsymbol{u}}}{\mathrm{d}t} \in \mathrm{L}^2((0,T), \mathcal{H}), \quad \frac{\mathrm{d}^2\widetilde{\boldsymbol{u}}}{\mathrm{d}t^2} \in \mathrm{L}^2((0,T), \mathcal{V}(\widehat{\Omega})')$$

<sup>254</sup> with additionally, from continuous injection results,

$$\widetilde{\boldsymbol{u}} \in \mathcal{C}^0([0,T];\mathcal{H}(\widehat{\Omega})) \cap \mathcal{L}^\infty([0,T];\mathcal{V}(\widehat{\Omega})), \quad \frac{\mathrm{d}\widetilde{\boldsymbol{u}}}{\mathrm{d}t} \in \mathcal{C}^0([0,T];\mathcal{V}(\widehat{\Omega})') \cap \mathcal{L}^\infty([0,T];\mathcal{H}(\widehat{\Omega})).$$

Note that the coercivity assumption could be replaced by a weaker hypothesis, assuming the coercivity up to a term proportional to  $\|v\|_{\mathcal{H}}^2$ . It is clear from the expression of the bilinear form that the coercivity constant depends intricately on the choice of the potential function  $\mathscr{W}$  and on the linearization point  $u_0$ . In particular, in section 3 we provide illuminating examples of numerical solutions where, depending on the solution of the static problem, we observe a loss of coercivity leading to an ill-posed wave propagation problem. In addition to the previous well-posedness result, we recall the standard energy relation which is an important framework to devise a stable time discretization procedure for the problem (14). Let us denote the kinematic, potential and total energies associated with the unique solution of (14) by

$$\mathscr{K}(t) = \frac{1}{2} \left\| \frac{\mathrm{d}\widetilde{\boldsymbol{u}}}{\mathrm{d}t} \right\|_{\mathcal{H}}^2, \qquad \mathscr{P}(t) = \frac{1}{2} a(\boldsymbol{u}_0; \widetilde{\boldsymbol{u}}, \widetilde{\boldsymbol{u}}), \qquad \mathscr{E} = \mathscr{K} + \mathscr{P}_1$$

Assuming a null source term in (14), namely  $L(t; \boldsymbol{u}_0) = 0$ , then, by using formally the time derivative of the solution as a test function in (14), we recover the following energy conservation

 $rac{\mathrm{d}}{\mathrm{d}t}\mathscr{E}(t)=0.$ 

$$\frac{\mathrm{d}}{\mathrm{d}t}\mathscr{E}(t) = 0. \tag{16}$$

<sup>258</sup> Energy potentials.

- 259 Our numerical investigations mainly concern frame-indifferent hyperelastic material
- with no residual stress. The material being frame-indifferent the hyperelastic potential
- $_{261}$  assumed smooth is only dependent on the Green-Lagrange tensor e

$$\mathscr{W}(\boldsymbol{F}) \equiv \mathscr{W}(\boldsymbol{e}).$$

First, we consider isotropic materials. Since the material has no residual stress, we necessarily have (see [17]),

$$\mathscr{W}(\boldsymbol{e}) = \frac{\lambda}{2} (\operatorname{tr} \boldsymbol{e})^2 + \mu \operatorname{tr} \boldsymbol{e}^2 + \alpha_1 (\operatorname{tr} \boldsymbol{e})^3 + \alpha_2 (\operatorname{tr} \boldsymbol{e}) \operatorname{tr} \boldsymbol{e}^2 + \alpha_3 \operatorname{tr} \boldsymbol{e}^3 + o(|\boldsymbol{e}|^3).$$
(17)

Note that in the expression above, if the material is heterogeneous, the parameter  $\lambda, \mu$ and the  $\{\alpha_i\}_{i=1}^3$  may depend on  $\boldsymbol{\xi}$ . The coefficients associated with third-order terms, namely  $\{\alpha_i\}_{i=1}^3$ , are usually referred to as the Third Order Elastic Constants (TOECs). The two first terms of this expansion correspond to the so-called Saint-Venant-Kirchhoff (SVK) potential

$$\mathscr{W}^{\mathrm{SVK}}(\boldsymbol{e}) = \frac{\lambda}{2} (\operatorname{tr} \boldsymbol{e})^2 + \mu \operatorname{tr} \boldsymbol{e}^2,$$

<sup>269</sup> while, neglecting higher-order terms, yields the potential

$$\mathscr{W}^{3\mathrm{rd}}(\boldsymbol{e}) = \mathscr{W}^{\mathrm{SVK}}(\boldsymbol{e}) + \alpha_1(\mathrm{tr}\,\boldsymbol{e})^3 + \alpha_2(\mathrm{tr}\,\boldsymbol{e})\mathrm{tr}\,\boldsymbol{e}^2 + \alpha_3\mathrm{tr}\,\boldsymbol{e}^3,\tag{18}$$

such potential can be written using Landau's coefficients [15]

$$A = 3\alpha_3$$
,  $B = \alpha_2$  and  $C = 3\alpha_1$ .

270 Note that these two potentials are not poly-convex in general, hence stability of the

271 nonlinear mechanical problem – and therefore of its linearized version – may be an

<sup>272</sup> issue. The most simple elastic potential mitigating this problem is the Compressible

273 Neo-Hookean (CNH) potential,

$$\mathscr{W}^{\text{CNH}}(\boldsymbol{e}) = \frac{\lambda}{2} (\sqrt{\det(\boldsymbol{I}+2\boldsymbol{e})}-1)^2 + \mu \operatorname{tr} \boldsymbol{e} - \frac{\mu}{2} \log (\det(\boldsymbol{I}+2\boldsymbol{e})).$$

<sup>274</sup> The potential  $\mathscr{W}^{\text{CNH}}$  satisfies the following expansion,

$$\mathscr{W}^{\text{CNH}}(\boldsymbol{e}) = \frac{\lambda}{2} (\operatorname{tr} \boldsymbol{e})^2 + \mu \operatorname{tr} \boldsymbol{e}^2 + \frac{\lambda}{2} (\operatorname{tr} \boldsymbol{e})^3 - \lambda (\operatorname{tr} \boldsymbol{e}) \operatorname{tr} \boldsymbol{e}^2 - \frac{4\mu}{3} \operatorname{tr} \boldsymbol{e}^3 + o(|\boldsymbol{e}|^3),$$

showing that, up to third order term in  $|e|^3$  the CNH constitutive law corresponds to (18) with  $\alpha_1 = \lambda/2$ ,  $\alpha_2 = -\lambda$  and  $\alpha_3 = -4\mu/3$ . The hyperelastic potential of frameindifferent isotropic material can be re-written in terms of the first three invariants of the Cauchy-Green deformation tensor  $C = F^T F$ ,

$$I_1 = \operatorname{tr}(\boldsymbol{C}), \qquad \qquad I_2 = \frac{1}{2} \Big( \operatorname{tr}(\boldsymbol{C})^2 - \operatorname{tr}(\boldsymbol{C}^2) \Big), \qquad \qquad I_3 = \det \boldsymbol{C}.$$

279 It can be verified that

$$\mathscr{W}^{\text{SVK}} = \frac{(\lambda + 2\mu)}{8} I_1^2 - \frac{(3\lambda + 2\mu)}{4} I_1 - \frac{\mu}{2} I_2 + \frac{(9\lambda + 6\mu)}{8}$$
(19)

280 and

$$\mathscr{W}^{\text{CNH}} = \frac{\lambda}{2} (\sqrt{I_3} - 1)^2 + \frac{\mu}{2} (I_1 - 3 - \log(I_3)).$$
(20)

<sup>281</sup> Murnaghan [2] proposed different TOECs to characterize the second-order elastic <sup>282</sup> deformations, namely l, m and n,

$$\mathscr{W}^{3\mathrm{rd}} = \mathscr{W}^{\mathrm{SVK}} + \frac{l}{24}(I_1 - 3)^3 + \frac{m}{12}(I_1 - 3)(I_1^2 - 3I_2) + \frac{n}{8}(I_1 - I_2 + I_3 - 1).$$
(21)

In the context of acoustoelasticity, Hughes and Kelly related these TOECs with changes in bulk wave velocities for prestressed isotropic materials and experimentally assessed them in [3]. The relations between (l, m, n) and  $(\alpha_1, \alpha_2, \alpha_3)$  appearing in the expression (18) are given by

$$\alpha_1 = l - \frac{m}{3} + \frac{n}{6}, \quad \alpha_2 = m - \frac{n}{2}, \quad \alpha_3 = \frac{n}{3}$$

We also investigate an orthotropic configuration where one plane of symmetry is lost, orthogonal to a principal axis *a*, in that case, the material is said to be transversely isotropic. To fully describe its constitutive behavior we need to add two more invariants

$$I_4 = a \cdot C \cdot a, \qquad \qquad I_5 = a \cdot C^2 \cdot a.$$

One example of the hyperelastic potential for transversely isotropic materials is the one from [22],

$$\mathscr{W}^{\text{CNH-TI}} = \mathscr{W}^{\text{CNH}} + [\alpha + \beta \ln J + \gamma (I_4 - 1)](I_4 - 1) - \frac{\alpha}{2}(I_5 - 1),$$
 (22)

where  $\alpha$ ,  $\beta$  and  $\gamma$  are additional parameters that can be calibrated to match observed transversely isotropic behavior.

Acoustoelastic effects.

The change in the behavior of elastic waves when propagating in a deformed or 295 prestressed medium is known as acoustoelastic effect. This phenomenon is extensively 296 studied, see e.g. [1, 2, 3]. In [13], the author analyses the structure and properties of 297 incremental elastic deformations models, including the unicity and stability aspects 298 with respect to the constitutive law. As far as isotropic materials are considered, the 299 behavior of elastic waves – bulk wave velocities, in particular – has been mathematically 300 studied by the authors in [39]. We can relate their considerations to stability properties 301 and positivity of the bilinear form  $a(u_0; \cdot, \cdot)$ . Indeed, from the expression (8), assuming 302 that the solution behaves locally as a plane wave, with polarization m propagating in 303 the direction n, and assuming smoothness of the parameters and of  $u_0$ , we arrive at 304 the necessary condition for the positivity of  $a(u_0; \cdot, \cdot)$ , 305

$$\forall (\boldsymbol{m}, \boldsymbol{n}) \in \mathbb{R}^3 \times \mathbb{R}^3, \quad \boldsymbol{m} \otimes \boldsymbol{n} : \mathrm{D}_{\boldsymbol{F}}^2 \mathscr{W}(\boldsymbol{F}(\boldsymbol{u}_0)) : \boldsymbol{m} \otimes \boldsymbol{n} \ge 0. \tag{23}$$

Note that the inequality above should be verified for each  $\boldsymbol{\xi} \in \widehat{\Omega}$  since  $\boldsymbol{u}_0$  is a function of  $\boldsymbol{\xi}$  even when the material is homogeneous. Now, following [39], this amounts to checking the positivity of the so-called (symmetric) Christoffel tensor  $\boldsymbol{Q}(\boldsymbol{u}_0, \boldsymbol{n}) \in \mathbb{R}^{3\times 3}$ , indeed,

$$orall (oldsymbol{m},oldsymbol{n})\in \mathbb{R}^3 imes \mathbb{R}^3, \quad oldsymbol{m}\otimesoldsymbol{n}: \mathrm{D}_{\!F}^2\mathscr{W}ig(oldsymbol{F}(oldsymbol{u}_0)ig):oldsymbol{m}\otimesoldsymbol{n}=oldsymbol{m}\cdotoldsymbol{Q}(oldsymbol{u}_0,oldsymbol{n})\cdotoldsymbol{m}.$$

To further analyse it, we use the Christoffel tensor  $Q(u_0, n)$  given in [39] in the isotropic case and for the reference configuration. It depends on  $\mathscr{W}_i$  and  $\mathscr{W}_{ij}$ , the first and second-order derivatives of the potential with respect to the invariant  $I_i$  and  $I_j$ respectively. Note that the dependence in  $u_0$  of  $\mathscr{W}_i$  and  $\mathscr{W}_{ij}$  is temporarily omitted for the sake of clarity. In the case where

$$\mathscr{W}_{12} = \mathscr{W}_{22} = \mathscr{W}_{13} = \mathscr{W}_{23} = 0,$$

- <sup>315</sup> which is verified for the Saint-Venant-Kirchhoff and Compressible Neo-Hookean consti-
- tutive laws, the Christoffel tensor is given by

$$Q(u_0, n) = (4I_3^2 \mathscr{W}_{33} + 2I_3 \mathscr{W}_3)\hat{n} \otimes \hat{n} + 2(\mathscr{W}_1 + I_1 \mathscr{W}_2)I + (4\mathscr{W}_{11} + 2\mathscr{W}_2)(F(u_0) \cdot n) \otimes (F(u_0) \cdot n) - 2\mathscr{W}_2 \Big( \|F(u_0) \cdot n\|_3^2 I + B(u_0) \Big), \quad (24)$$

where  $B(u_0) = F(u_0)F^{\intercal}(u_0)$  and  $\hat{n} = F^{-\intercal}(u_0) \cdot n$ . The expression above takes a simple form when considering the CNH constitutive law

$$oldsymbol{Q}^{ ext{CNH}}(oldsymbol{u}_0,oldsymbol{n}) = ig(\lambda I_3(oldsymbol{u}_0)+\muig) \hat{oldsymbol{n}}\otimes \hat{oldsymbol{n}}+\muoldsymbol{I}.$$

<sup>319</sup> When considering the SVK constitutive law, we get

$$\boldsymbol{Q}^{\text{SVK}}(\boldsymbol{u}_0, \boldsymbol{n}) = \frac{1}{2} (I_1(\boldsymbol{u}_0)\lambda - 3\lambda - 2\mu + 2\mu \|\boldsymbol{F}(\boldsymbol{u}_0) \cdot \boldsymbol{n}\|^2) \boldsymbol{I} + (\lambda + \mu) (\boldsymbol{F}(\boldsymbol{u}_0) \cdot \boldsymbol{n}) \otimes (\boldsymbol{F}(\boldsymbol{u}_0) \cdot \boldsymbol{n}) + \mu \boldsymbol{B}(\boldsymbol{u}_0). \quad (25)$$

<sup>320</sup> Considering pure dilatation deformation, *i.e.*,  $u_0 = \boldsymbol{\xi}(d_0 - 1)$  and  $\boldsymbol{F}(u_0) = d_0 \boldsymbol{I}$  with <sup>321</sup>  $d_0 \geq 1$  we have,

$$\boldsymbol{Q}^{\text{SVK}}(\boldsymbol{\xi}(d_0-1),\boldsymbol{n}) = \frac{(d_0^2-1)}{2}(3\lambda+2\mu)\boldsymbol{I} + d_0^2 \Big[ (\lambda+\mu)\boldsymbol{n}\otimes\boldsymbol{n} + \mu \boldsymbol{I} \Big]$$

322 and

$$\boldsymbol{Q}^{\mathrm{CNH}}(\boldsymbol{\xi}(d_0-1),\boldsymbol{n}) = (\lambda d_0^4 + \mu d_0^{-2})\boldsymbol{n} \otimes \boldsymbol{n} + \mu \boldsymbol{I},$$

One can see that the eigenvalues of  $\boldsymbol{Q}^{\text{SVK}}(\boldsymbol{\xi}(d_0-1),\boldsymbol{n})$ , are increasing functions of  $d_0$ , 323 meaning that waves tend to propagate faster – at least locally. The CNH constitutive 324 law behaves similarly when  $2\lambda \geq \mu$ . This behavior contradicts observed experiments 325 [3] where velocities of the shear and pressure waves decrease for some materials – 326 aluminum typically – that undergoes an increase in volume. In this case, proper 327 behavior can be modeled using high-order elasticity models such as the Murnaghan's, 328 despite some expected and observed stability issues (see the numerical experiments 329 after). In an hypothetical case of pure stretching in one direction, we have locally 330

$$m{F}(m{u}_0) = egin{pmatrix} d_0 & 0 & 0 \ 0 & 1 & 0 \ 0 & 0 & 1 \end{pmatrix},$$

that is to say  $\boldsymbol{u}_0 = \boldsymbol{e}_1(\boldsymbol{e}_1 \cdot \boldsymbol{\xi})(d_0 - 1)$ , with  $d_0 \ge 1$ , we have

$$\boldsymbol{Q}^{\text{SVK}}(\boldsymbol{u}_0,\boldsymbol{e}_1) = \left(\frac{d_0^2 - 1}{2}(\lambda + 2\mu) + \mu\right)\boldsymbol{I} + \left(d_0^2(\lambda + 2\mu) - \mu\right)\boldsymbol{e}_1 \otimes \boldsymbol{e}_1,$$

332 and

$$oldsymbol{Q}^{\mathrm{CNH}}(oldsymbol{u}_0,oldsymbol{e}_1) = ig(\lambda + d_0^{-2}\muig)oldsymbol{e}_1\otimesoldsymbol{e}_1 + \muoldsymbol{I}$$

In this particular case, the behavior of SVK and CNH constitutive laws differs. Indeed one can observe that for increasing values of  $d_0$  the eigenvalues of  $\boldsymbol{Q}^{\text{SVK}}$  are all increasing while the eigenvalues of  $\boldsymbol{Q}^{\text{CNH}}$  associated to the eigenvector  $\boldsymbol{e}_1$  is given by  $\lambda + (d_0^{-2} + 1)\mu$ , hence it decreases with  $d_0$ . This behavior is observed in our numerical results. In the acoustoelasticity literature, a more general formulation comprising anisotropic behavior is usually presented. The expansion of the potential up to third-order terms are written in the indicial notation as

$$\mathscr{W}(e) \approx C_{ijkl} e_{ij} e_{kl} + C_{ijklmn} e_{ij} e_{kl} e_{mn}, \tag{26}$$

which is a generalization of the expansion (17). The fourth-order tensor  $C_{ijkl}$  is the traditional elasticity tensor, with components related to the *second order elastic constants* from Hooke's law, and the sixth-order tensor  $C_{ijklmn}$  has its components related to the *third-order elastic constants*. One may note that this description of the constitutive behavior complexifies significantly the study of poly-convexity.

Obtaining material parameters for suitable constitutive models is an essential and 346 difficult task. Many works concern the experimental assessment of these parameters. 347 For example, the seminal work presented in [3] gives the TOECs for polystyrene, iron, 348 and Pyrex glass. In [4, 5, 6, 8, 7, 10, 26, 36, 41, 44, 45], the TOECs are obtained for 349 aluminum and other metals. Transversely isotropic materials are specifically treated 350 in [18, 46, 49, 53]. Most of the presented methods rely on pitch-catch time of flight 351 measurements, which is potentially subject to poor robustness [36]. Alternatively, 352 more sophisticated methods based on laser vibrometer aided measurements and space-353 time Fourier Transform can be applied to enhance the quality of the parameteres 354 reconstruction [59]. Note that, in practice, it appears that experimental set up 355 uncertainties can have a large influence on the estimation of the parameters, as studied 356 in [36]. Additionally, fabrication processes may significantly modify the acoustoelastic 357 response of the material [10, 16]. 358

#### <sup>359</sup> 2.3 Dedicated time & space numerical schemes

We now move on to proposing a discretization strategy that addresses the problem of solving the one-way coupled problems "i)  $\Rightarrow$  ii)" defined in (13) and (14). Each problem, namely the nonlinear static problem and the wave propagation problem, presents specific numerical challenges – that have been tackled independently in the literature – and we propose here to aggregate the suitable numerical methods to derive a complete and efficient fully discrete algorithm.

<sup>366</sup> Solving the quasi-static problem using 3D shell elements.

The nonlinearities associated to problem (13) are handled using Newton-Raphson iterations: for a given  $\boldsymbol{u}_0^k$ , find  $\boldsymbol{u}_0^{k+1} \in \mathcal{W}(\widehat{\Omega})$  such that

$$\langle \mathsf{D}_{\boldsymbol{u}} A_b(\boldsymbol{u}_0^k)(\boldsymbol{u}_0^{k+1}-\boldsymbol{u}_0^k), \boldsymbol{w} \rangle = \langle A_b(\boldsymbol{u}_0^k), \boldsymbol{w} \rangle, \qquad \forall \boldsymbol{w} \in \mathcal{V}(\widehat{\Omega}),$$

until convergence. In some cases, typically when the maximal amplitude of the surface traction is large, this iterative process may not converge. To increase robustness in these cases, we apply a continuation algorithm -i.e. successive reductions of the loading amplitude, see [47] and references therein for more details. Writing the increment  $\boldsymbol{u} = \boldsymbol{u}_0^{k+1} - \boldsymbol{u}_0^k$ , we need to solve at each iteration a linearized elasticity problem in the form of

Find  $\boldsymbol{u} \in \mathcal{W}(\widehat{\Omega})$  the solution, for any  $\boldsymbol{w} \in \mathcal{V}(\widehat{\Omega})$  of

373

$$a_k(\boldsymbol{u}, \boldsymbol{w}) - b_k(\boldsymbol{u}, \boldsymbol{w}) = \ell_k(\boldsymbol{w}), \qquad (27)$$

where  $a_k(\cdot, \cdot) = a(\boldsymbol{u}_0^k; \cdot, \cdot)$  defined in (7), and

$$b_k(\boldsymbol{u}, \boldsymbol{w}) = \langle \mathrm{D}_{\boldsymbol{u}} \mathrm{B}(\boldsymbol{u}_0^k) \boldsymbol{u}, \boldsymbol{w} \rangle, \quad \ell_k(\boldsymbol{w}) = \langle A_b(\boldsymbol{u}_0^k), \boldsymbol{w} \rangle.$$

It is well-known that for configurations where  $\hat{\Omega}$  is a thin elongated geometry, standard 374 finite element procedures have very poor performances, or can even fail to provide 375 meaningful results due to locking phenomena [30, 38]. To circumvent this difficulty 376 we use 3D shell elements [38] that enter the framework of general shell elements [14, 377 20]. These elements possess desirable properties in the scope of our work: (1) they are 378 locking-free; (2) they rely on the full 3D variational formulations of the type of (27), 379 hence, they can be applied to any type of constitutive law; (3) they rely on a quadratic 380 expansion of the displacement unknown, involving nodes on the outer surface. This 381 makes them readily suitable for coupling with other elements or with themselves, *i.e.* 382 stacking of shell elements. This aspect is particularly useful to represent stratified 383 materials.

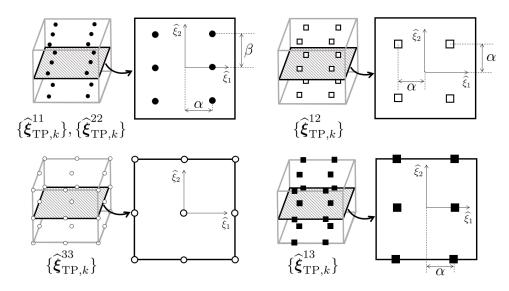


Figure 2. Definition of the MITC9 tying points on the reference hexahedron – extracted from [24] – with  $\alpha = 1/\sqrt{3}$  and  $\beta = \sqrt{3}/\sqrt{5}$ . The tying points for the remaining tensorial components are deduced by symmetry.

384

Let us assume for illustration purposes that the material at hand is homogeneous and that the domain of interest can be expressed as  $\hat{\Omega} = \hat{\omega} \times ] - \eta$ ;  $\eta$ [, where  $\hat{\omega} \subset \mathbb{R}^2$ is the mid-surface, and  $\eta > 0$  is the half-thickness of the domain. Since 3D shell elements rely on a quadratic expansion of the displacement unknown, after defining quadrangular quadratic finite elements on the mid-surface, they amount to considering standard  $Q^2$  Lagrange elements on the full 3D domain [38]. More precisely, let us define  $\mathcal{T}_H$ , a tesselation of  $\hat{\Omega}$  with mesh step H and composed of hexahedra with one element in the thickness. Denoting by  $\widehat{K} = [-1; 1]^3$  the reference element, any hexahedron  $K \in \mathcal{T}_H$  is the deformation of the reference element through a  $\mathcal{C}^1$ -diffeomorphism denoted by  $\mathcal{F}_K$ . We consider the following approximation space

$$V_H(\widehat{\Omega}) = \Big\{ w_H \in \mathcal{C}^0(\overline{\widehat{\Omega}}) \mid \forall K \in \mathscr{T}_H, \ \exists \widehat{w} \in Q^2(\widehat{K}), \ w_H|_K = \widehat{w} \circ \mathcal{F}_K^{-1} \Big\},\$$

and its vectorial counterpart including the relevant boundary conditions

$$\mathcal{V}_H(\widehat{\Omega}) = \left\{ \boldsymbol{w}_H \in [V_H(\widehat{\Omega})]^3, \ \boldsymbol{w}_H|_{\Gamma_D} = 0 
ight\} \subset \mathcal{V}(\widehat{\Omega}).$$

Considering a direct application of an approximation of problem (27) using  $\mathcal{V}_H(\hat{\Omega})$ would not alleviate the locking phenomena. To do so, one can resort to the so-called Mixed Interpolation of Tensorial Components (MITC) method [14, 20, 24]. In essence, this approach consists of interpolating the (discrete) Green-Lagrange tensor on so-called tying points. The location of these points is different from the Lagrange interpolation points defining the discrete displacement unknown and is also different from one component of the interpolated tensor to another. In our work, we use the MITC9 point distribution since we consider quadratic elements – see *Figure 2* for the positioning of these points. Let  $i, j \in \{1, 2, 3\}$  be indexes of the components of the Green-Lagrange tensor, and  $n_{\text{TP}}^{ij}$  the total number of the tying points denoted by

$$\{\widehat{\boldsymbol{\xi}}_{\mathrm{TP},k}^{ij}\}_{k=1}^{n_{\mathrm{TP}}^{ij}}\subset\widehat{K}$$

and defined on the reference hexahedron. The MITC process applied to a component  $e_{ij}$  of the Green-Lagrange tensor is denoted by  $\mathscr{I}_{\mathrm{TP}}(e_{ij})$  and reads

$$\forall K \in \mathscr{T}_{H}, \quad \forall \boldsymbol{\xi} \in K, \quad \mathscr{I}_{\mathrm{TP}}(e_{ij})|_{K}(\boldsymbol{\xi}) = \sum_{k=1}^{n_{\mathrm{TP}}^{ij}} \widehat{\lambda}_{\mathrm{TP},k}(\boldsymbol{\mathcal{F}}_{K}^{-1}(\boldsymbol{\xi})) e_{ij}(\boldsymbol{\mathcal{F}}_{K}(\widehat{\boldsymbol{\xi}}_{\mathrm{TP},k}^{ij})),$$

where  $\{\hat{\lambda}_{\text{TP},i}\}_{i=1}^{n_{\text{TP}}}$  are the Lagrange shape functions associated with the tying points. In the following, we denote by  $\bar{\boldsymbol{e}} = \mathscr{I}_{\text{TP}}(\boldsymbol{e})$  the MITC process applied to tensors, in the sense that each component is interpolated on the tying points, namely

$$\forall i, j \in \{1, 2, 3\}, \quad \overline{e}_{ij} = \mathscr{I}_{\mathrm{TP}}(e)_{ij} = \mathscr{I}_{\mathrm{TP}}(e_{ij}).$$

In the same fashion, considering a discrete linearization point  $\boldsymbol{w}_{H}^{\star} \in \mathcal{V}_{H}(\widehat{\Omega})$ , we introduce, for any  $\boldsymbol{v}_{H}, \boldsymbol{w}_{H} \in \mathcal{V}_{H}(\widehat{\Omega})$ ,

$$\mathbf{D}_{\boldsymbol{u}}\overline{\boldsymbol{e}}(\boldsymbol{w}_{H}^{\star})\cdot\boldsymbol{w}_{H}=\mathscr{I}_{\mathrm{TP}}(\mathbf{D}_{\boldsymbol{u}}\boldsymbol{e}(\boldsymbol{w}_{H}^{\star})\cdot\boldsymbol{w}_{H}),\quad \mathbf{D}_{\boldsymbol{u}}^{2}\overline{\boldsymbol{e}}(\boldsymbol{v}_{H},\boldsymbol{w}_{H})=\mathscr{I}_{\mathrm{TP}}(\mathbf{D}_{\boldsymbol{u}}^{2}\boldsymbol{e}(\boldsymbol{v}_{H},\boldsymbol{w}_{H})),$$

the interpolation on the tying points of the first and second differential of the Green-Lagrange tensor. With these notations, the discrete problem associated with (27) reads:

388

Find 
$$\boldsymbol{u}_H \in \mathcal{V}_H(\Omega)$$
 the solution, for any  $\boldsymbol{w}_H \in \mathcal{V}_H(\Omega)$ , of

$$\overline{a}_k(\boldsymbol{u}_H, \boldsymbol{w}_H) - b_k(\boldsymbol{u}_H, \boldsymbol{w}_H) = \ell_k(\boldsymbol{w}_H),$$

389 with

$$\begin{split} \overline{a}_k(\boldsymbol{u}_H, \boldsymbol{w}_H) &= \int_{\widehat{\Omega}} \mathrm{D}_{\boldsymbol{u}} \overline{\boldsymbol{e}}(\boldsymbol{u}_{0,H}^k) \boldsymbol{u}_H : \mathrm{D}_{\boldsymbol{e}} \boldsymbol{\Sigma}(\overline{\boldsymbol{e}}(\boldsymbol{u}_{0,H}^k)) : \mathrm{D}_{\boldsymbol{u}} \overline{\boldsymbol{e}}(\boldsymbol{u}_{0,H}^k) \boldsymbol{w}_H \, \mathrm{d}\widehat{\Omega} \\ &+ \int_{\widehat{\Omega}} \boldsymbol{\Sigma}(\overline{\boldsymbol{e}}(\boldsymbol{u}_{0,H}^k)) : \mathrm{D}_{\boldsymbol{u}}^2 \overline{\boldsymbol{e}}(\boldsymbol{u}_H, \boldsymbol{w}_H) \, \mathrm{d}\widehat{\Omega}. \end{split}$$

In the previous expression of the discrete problem,  $\boldsymbol{u}_{0,H}^k \in \mathcal{V}_H(\widehat{\Omega})$  represents the discrete displacement field obtained from the prior Newton-Raphson iteration, and is also used as a linearization point for the bilinear and linear forms  $b_k(\cdot, \cdot)$  and  $\ell_k(\cdot)$ .

<sup>393</sup> Spectral elements and explicit leap-frog scheme for the wave propagation problem.

We now provide key elements for efficient space and time discretization of the wave problem (14) relying on the combination of spectral finite elements, the mass-lumping technique and the explicit leapfrog scheme. Readers may refer to [23, 25, 33] for a more detailed description of these subjects.

To start with, let us denote by  $\mathbf{p} = (p_1, p_2, p_3)^{\mathsf{T}} \in \mathbb{N}^{*3}$  an integer triplet representing orders of polynomial on every direction of the reference hexahedron. We define the space of polynomial with "anisotropic orders" as

$$Q^{p}(\widehat{K}) = \text{span} \{ \widehat{s}_{1}^{i} \widehat{s}_{2}^{j} \widehat{s}_{3}^{k}, \quad \forall (i, j, k) \in \mathbb{N} : i \leq p_{1}, j \leq p_{2}, k \leq p_{3} \},\$$

where  $\hat{s} = (\hat{s}_1, \hat{s}_2, \hat{s}_3)^{\mathsf{T}}$  are the local coordinates on the reference element. From this local space, we can build the global conforming approximation space that supports the semi-discretization procedure of the wave problem (14). To do so, we consider  $\mathscr{T}_h$  a hexahedral mesh of  $\hat{\Omega}$  – different from  $\mathscr{T}_H$  – with mesh step h and such that any hexahedron  $K \in \mathscr{T}_h$  is the deformation of the reference element through a  $\mathcal{C}^1$ diffeomorphism denoted by  $\mathcal{G}_K$ . The scalar-valued approximation space reads

$$V_h(\widehat{\Omega}) = \Big\{ w_h \in \mathcal{C}^0(\overline{\widehat{\Omega}}) \mid \forall K \in \mathscr{T}_h, \ \exists \widehat{w} \in Q^p(\widehat{K}), \ w_h|_K = \widehat{w} \circ \mathcal{G}_K^{-1} \Big\},\$$

and, as previously, its vectorial counterpart including relevant boundary conditions is

$$\mathcal{V}_h(\widehat{\Omega}) = \left\{ \boldsymbol{w}_h \in [V_h(\widehat{\Omega})]^3, \ \boldsymbol{w}_h|_{\Gamma_D} = 0 \right\} \subset \mathcal{V}(\widehat{\Omega}).$$

The local Lagrange (polynomial) basis functions are defined on the reference element from Gauss-Lobatto points. This is a key point in order to avoid Runge's phenomenon, and to render spectral-like convergence as the order of the local polynomials increases. In practice, these points are set on the reference edge  $\hat{e} = [-1;1]$  for any order of approximation (see *e.g.* [33] for an explicit definition and related examples of these points), and the location of points are deduced in the reference cube by a simple extrusion process.

In addition to this specific choice of approximation space, we use a quadrature formula to numerically compute the integrals involved in the bilinear forms  $(\cdot, \cdot)_{\mathcal{H}}$  and  $a(u_0; \cdot, \cdot)$  appearing in (14). The formula is defined on the reference hexahedron. We denote the  $\hat{n}_q$  associated weights and points respectively by

$$(\{\widehat{\omega}_i\}_{i=1}^{\widehat{n}_q}, \{\widehat{s}_i\}_{i=1}^{\widehat{n}_q}).$$

For any function f at least continuous over each element in  $\mathscr{T}_h$ , the numerical integration process reads

$$\int_{\Omega} f \, \mathrm{d}\Omega \; \approx \sum_{K \in \mathscr{T}_h} \sum_{k=1}^{\widehat{n}_q} \widehat{\omega}_k \, f(\mathcal{G}_K(\widehat{s}_k)) \, \big| \, \mathrm{det} \, \nabla_{\widehat{s}} \mathcal{G}_K(\widehat{s}_k) \big|.$$

The quadrature points are identical to the Gauss-Lobatto points, and the quadrature 405 weights are the strictly positive Gauss-Lobatto weights. Matching the quadrature 406 points with the points associated with the local Lagrange basis functions is referred to 407 as "mass lumping" [23, 25, 33]. It leads to a diagonal approximated mass matrix. It 408 has been the subject of particular interest in the context of finite element methods 409 applied to time domain wave propagation problems since it enables fully explicit time 410 discretization schemes. To conserve stability and accuracy, specific conditions must be 411 enforced on the quadrature points and weights. For quadrangles and hexahedra, these 412 conditions are met at any order of approximations by the Gauss-Lobatto rules [33, 35]. 413 Following this numerical integration procedure, we denote by 414

$$(\cdot, \cdot)_{\mathcal{H}} \approx (\cdot, \cdot)_h, \qquad a(\boldsymbol{u}_0; \cdot, \cdot) \approx a_h(\boldsymbol{u}_0; \cdot, \cdot),$$

$$(28)$$

the approximated bilinear forms so that the semi-discrete formulation of the wave problem (14) reads

For any time 
$$t \in [0; T]$$
, find  $\widetilde{\boldsymbol{u}}_h(t) \in \mathcal{V}_h(\widehat{\Omega})$  the solution, for any  $\boldsymbol{w}_h \in \mathcal{V}_h(\widehat{\Omega})$ , of

$$\frac{\mathrm{d}^{2}}{\mathrm{d}t^{2}}(\widetilde{\boldsymbol{u}}_{h},\boldsymbol{w}_{h})_{h} + a_{h}(\boldsymbol{u}_{0,H};\widetilde{\boldsymbol{u}}_{h},\boldsymbol{w}_{h}) = \langle L(t,\boldsymbol{u}_{0,H}),\boldsymbol{w}_{h}\rangle.$$
(29)

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4

with given initial conditions  $\widetilde{\boldsymbol{u}}_h|_{t=0}$  and  $\partial_t \widetilde{\boldsymbol{u}}_h|_{t=0}$ .

42

Note that in (29)  $u_{0,H}$  is the discrete solution – after convergence of the Newton-Raphson iterations – of problem (13). Moving on to the time discretization of the wave propagation problem, we define  $\Delta t > 0$  as the time step. We denote by  $t^n = n\Delta t$ , and we assume that there exists  $N \in \mathbb{N}^*$  such that  $T = N\Delta t$ . To obtain the fully discrete scheme associated with (29), we apply an explicit second-order time scheme centered at  $t^n$ , *a.k.a.* the leapfrog scheme [25, 28]. Namely, denoting by  $\tilde{u}_h^n$  the approximation of  $\tilde{u}_h(t^n)$  solution of (29), we define the following fully discrete scheme:

For any 
$$n \in [\![1;N]\!]$$
, find  $\widetilde{\boldsymbol{u}}_h^{n+1} \in \mathcal{V}_h(\widehat{\Omega})$  the solution, for any  $\boldsymbol{w}_h \in \mathcal{V}_h(\widehat{\Omega})$ , of

$$\left(\frac{\widetilde{\boldsymbol{u}}_{h}^{n+1}-2\widetilde{\boldsymbol{u}}_{h}^{n}+\widetilde{\boldsymbol{u}}_{h}^{n-1}}{\Delta t^{2}},\boldsymbol{w}_{h}\right)_{h}+a_{h}(\boldsymbol{u}_{0,H};\widetilde{\boldsymbol{u}}_{h}^{n},\boldsymbol{w}_{h})=\langle L(t^{n},\boldsymbol{u}_{0,H}),\boldsymbol{w}_{h}\rangle.$$
 (30)

427 with given initial steps  $\widetilde{\boldsymbol{u}}_h^0$  and  $\widetilde{\boldsymbol{u}}_h^1$ .

From this expression of the fully discrete problem, it is possible to recover a discrete energy conservation property, which is the exact translation of (16). Denoting by  $\|\cdot\|_h$ the norm associated with the discrete inner-product  $(\cdot, \cdot)_h$ , we define the kinematic and potential discrete energies associated with the unique solution of (30) as

$$\mathscr{K}_h^{n+1/2} = \frac{1}{2} \left\| \frac{\widetilde{\boldsymbol{u}}_h^{n+1} - \widetilde{\boldsymbol{u}}_h^n}{\Delta t} \right\|_h^2, \qquad \mathscr{P}_h^{n+1/2} = \frac{1}{2} a_h(\boldsymbol{u}_{0,H}; \widetilde{\boldsymbol{u}}_h^{n+1}, \widetilde{\boldsymbol{u}}_h^n),$$

and the total energy as  $\mathscr{E}_{h}^{n+1/2} = \mathscr{K}_{h}^{n+1/2} + \mathscr{P}_{h}^{n+1/2}$ . Assuming a null source term in (30), namely  $L(t^{n}, \boldsymbol{u}_{0,H}) = 0$ , then, taking  $\boldsymbol{w}_{h} = \frac{1}{2\Delta t} (\widetilde{\boldsymbol{u}}_{h}^{n+1} - \widetilde{\boldsymbol{u}}_{h}^{n-1})$  as test function and using the symmetry of the stiffness bilinear form, we have the following energy conservation

$$\frac{\mathscr{E}_h^{n+1/2} - \mathscr{E}_h^{n-1/2}}{\Delta t} = 0.$$
(31)

This conservation property is critical since it leads to the conditions – on the stiffness
bilinear form and on the time step – for the fully discrete (30) to be stable. Indeed,
note that the total energy can be re-written in the following form

$$\mathscr{E}_{h}^{n+1/2} = \frac{1}{2} \left\| \frac{\widetilde{\boldsymbol{u}}_{h}^{n+1} - \widetilde{\boldsymbol{u}}_{h}^{n}}{\Delta t} \right\|_{h}^{2} - \frac{\Delta t^{2}}{8} a_{h} \Big( \boldsymbol{u}_{0,H}; \frac{\widetilde{\boldsymbol{u}}_{h}^{n+1} - \widetilde{\boldsymbol{u}}_{h}^{n}}{\Delta t}, \frac{\widetilde{\boldsymbol{u}}_{h}^{n+1} - \widetilde{\boldsymbol{u}}_{h}^{n}}{\Delta t} \Big) \\ + a_{h} \Big( \boldsymbol{u}_{0,H}; \frac{\widetilde{\boldsymbol{u}}_{h}^{n+1} + \widetilde{\boldsymbol{u}}_{h}^{n}}{2}, \frac{\widetilde{\boldsymbol{u}}_{h}^{n+1} + \widetilde{\boldsymbol{u}}_{h}^{n}}{2} \Big).$$

439 Hence, upon the assumption that

• The stiffness bilinear form in (30) satisfies the coercivity property (15),

• The time step satisfies

$$\Delta t \le 2 \left( \sup_{\boldsymbol{w}_h \in \mathcal{V}_h(\widehat{\Omega})} \frac{a_h(\boldsymbol{u}_{0,H}; \boldsymbol{w}_h, \boldsymbol{w}_h)}{\|\boldsymbol{w}_h\|_h^2} \right)^{-\frac{1}{2}},$$
(32)

we can ensure that the total energy is a positive functional and that it actually corresponds to an equivalent norm on the discrete solution. Following arguments provided *e.g.* in [28, 43, 63] one can obtain the following stability result: there exists a constant C > 0 that depends on the initial steps  $\tilde{u}_h^0$  and  $\tilde{u}_h^1$ , the source term  $L(t^n; u_{0,H})$ and the maximal time T, such that the solution of (30) satisfies

$$\|\widetilde{\boldsymbol{u}}_h^{n+1}\|_h \le C(T, L, \widetilde{\boldsymbol{u}}_h^0, \widetilde{\boldsymbol{u}}_h^1), \qquad \forall n \in [\![1; N]\!]$$

<sup>443</sup> Remarks on practical considerations.

Prior to giving substantiated numerical results proving the soundness of the presented
modeling strategy, let us gather some important remarks.

First, in the definition of the discrete space  $\mathcal{V}_h(\widehat{\Omega})$  we consider anisotropic orders of approximation. This aspect appears to be particularly convenient for thin and potentially stratified materials. Indeed, it allows for high-order elements in the midsurface of the domain – with low numerical dispersion or numerical anisotropy [25, 31, 34] – while maintaining a reasonable number of Degrees of Freedom (DoF) by using lower order in the thickness of the material.

Second, the use of approximated numerical integration as denoted in (28) implies 452 in particular a lumped, *i.e.* diagonal, mass matrix. This is an important aspect in 453 the context of high-frequency wave propagation since the leapfrog scheme (30) thus 454 becomes fully explicit. At each time step, only a diagonal matrix needs to be inverted. 455 Additionally, using numerical integration with points matching the ones defining 456 the local Lagrange polynomial leads to very sparse discrete gradient computations. 457 Hence, the approximation  $a(\boldsymbol{u}_0;\cdot,\cdot) \approx a_h(\boldsymbol{u}_0;\cdot,\cdot)$  also implies significant performance 458 gains – see e.q. [58] for more details on these practical aspects. 459

Moreover, in practice, the mesh step for the locking-free shell elements depends mostly on the geometrical characteristics of the domain and the material variations – typically one element per material layer in the case of stratified materials. For the wave problem, to these constraints is added the constraint of having enough discretization points per wavelength. With these qualitative meshing directives in mind, in the context of high-frequency wave propagation, one can expect that the  $\mathscr{T}_h$  is significantly more refined than  $\mathscr{T}_H$ , *i.e.*  $h \ll H$ .

In light of the previous remark, in order to solve the fully discrete one-way coupled problems, one needs to resort to a mean of interpolating  $\boldsymbol{u}_{0,H} \in \mathcal{V}_H(\widehat{\Omega})$  onto  $\mathcal{V}_h(\widehat{\Omega})$ . Let us denote by  $\mathscr{I}_h(\cdot)$  the nodal interpolator from  $\mathcal{V}_H(\widehat{\Omega})$  to  $\mathcal{V}_h(\widehat{\Omega})$ , without entering into the details of the exact expression of this interpolator, let us simply remark that the stiffness bilinear form actually reads

$$a_h(\boldsymbol{u}_{0,H};\cdot,\cdot) = a_h(\mathscr{I}_h(\boldsymbol{u}_{0,H});\cdot,\cdot).$$

Finally, the condition (32) on the time step is often referred to as the Courant Friedrichs – Lewy (CFL) condition of the fully discrete scheme. Note that in the particular cases of interest in our work, this CFL condition naturally depends on the linearization point, *i.e.* the displacement field  $u_{0,H}$ . Furthermore, in terms of stability, in addition to this CFL condition, the coercivity assumption is capital – a condition that is also required for the well-posedness of the continuous problem.

#### 473 **3** Numerical results and experimental validation

In this section, we apply our model and numerical methods for different configurations. First, we assess the stability issue caused by a potential failure to ensure coercivity. Second, we apply our methods to realistic cases in the SHM context. At last, we present how we validate our model with experimental data available in the literature. The computations were done using a laptop workstation equipped with a *Intel i9-9880H* CPU and 32Gb of RAM. The wave propagation simulations are performed within the SFEM kernel of the CIVA platform<sup>1</sup> developed at CEA.

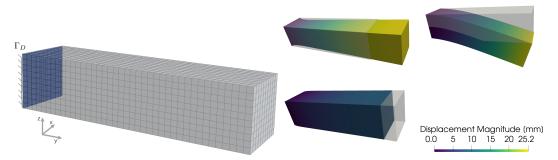
<sup>&</sup>lt;sup>1</sup>https://www.extende.com

	Material	$Density(g/cm^3)$	$\lambda$ (GPa)	$\mu$ (GPa)	l(GPa)	m(GPa)	n(GPa)	
	Aluminum	2.704	54.308	27.174	-181.0	-289.0	-336.0	
	Steel	7.850	111	82.1	-459.0	-461.0	-358.0	
Table 1 Material parameters for the metals used in this work								

 Table 1. Material parameters for the metals used in this work.

#### 481 3.1 Illustration of potential stability issues of the fully discrete scheme

As discussed previously, the tangent stiffness operator in (14) must satisfy the coercivity 482 assumption (15), otherwise the well-posedness of the problem cannot be guaranteed. 483 This assumption cannot be satisfied for any deformation or constitutive law. In this 484 section, we aim to illustrate this problem in a test model. At a discrete level, coercivity 485 is equivalent to positiveness of the operator, which can be assessed by computing 486 its eigenvalues. In the zero-deformation case, the presented model equates to linear 487 elasticity (Hooke's law), and  $a(0; \cdot, \cdot)$  is known to be coercive [17]. Here, we assess 488 stability for deformed cases by analyzing the evolution of the system energy and the 489 eigenvalues of the local stiffness matrices. 490



(a) Mesh and boundary conditions for the test case for model stability.(b) Deformations caused by body forces in three different directions.

Figure 3. Configuration and deformations for illustrating the potential stability issues.

If instability would appear by the deformation, it could be interpreted as a shift 491 of tangent stiffness operator eigenvalues towards negative values. In this case, the 492 negative eigenvalues that are related to the instability of the scheme are expected 493 to be among those of the smallest amplitude, *i.e.* low spatial frequency. Computing 494 the lowest eigenvalues for the stiffness operator may have prohibitive computational 495 cost due to the size of the problems at hand. To circumvent this computational 496 difficulty, we analyze instead the eigenvalues of the local stiffness matrix related to 497 each finite element. Although negative eigenvalues for the local stiffness matrices do 498 not necessarily mean negative eigenvalues for the global matrix, it does give an insight 490 into the problematic regions and related severity. In addition to the study of the lowest 500 eigenvalue, we analyze the evolution in time of the total system's kinetic and potential 501 energy for different initial conditions, showing the nature of such stability issues. 502

The model configuration used is an aluminum cuboid of  $25 \text{mm} \times 25 \text{mm} \times 100 \text{mm}$ depicted in *Figure 3a*. Dirichlet boundary condition is considered at x=0. The material parameters are present in *Table 1*. We analyze different constitutive laws and types of mechanical solicitations. The analysis is done using the aforementioned SVK, CNH, and Murnaghan's constitutive laws. For each case, three different types of mechanical solicitations as body forces will be considered:

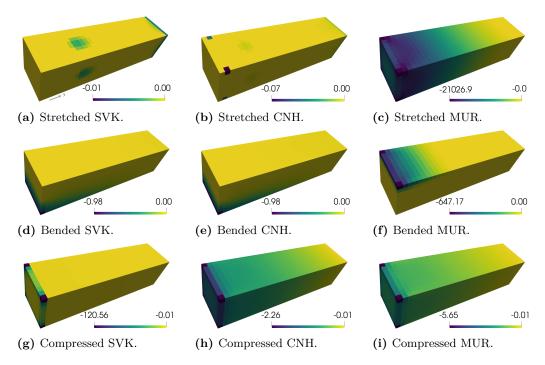
- Stretching: 300N/mm<sup>3</sup> (Y direction),
  - **Bending**: 7.5N/mm<sup>3</sup> (-Z direction),

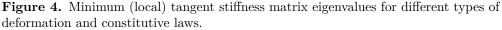
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#### • **Compresing**: 135N/mm<sup>3</sup> (-Y direction).

The associated deformations are illustrated in *Figure 3b* for the CNH case. The SVK law has slightly different deformation with the same nature and amplitudes. Computing the quasi-static deformation using Murnaghan's constitutive law did not converge due to its particularly ill-posed tangent operator.

After computing the deformations caused by these forces for each constitutive law, 516 the displacement field is given as input to our wave propagation solver. The same 517 constitutive law is used for the quasi-static problem and the wave propagation problem, 518 except in Murnaghan case. For the Murnaghan case, we compute the deformation 519 with CNH instead. The deformation field is interpolated from the quasi-static problem 520 mesh to the wave propagation problem mesh. After discretizing the functional space 521 using spectral finite elements, we assemble the local tangent stiffness matrices and 522 compute their eigenvalues. The minimum eigenvalues, for each element, are shown in 523 Figure 4 for different types of deformations and constitutive laws. 524

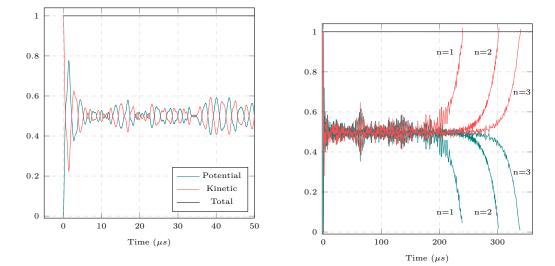




The CNH constitutive law presents less severity, *i.e.* lowest amplitude negative eigenvalues. The SVK and CNH cases are more unstable for compressed cases – cases associated with buckling phenomena. The Murnaghan's law presents the highest negative eigenvalues with critical behavior in the stretched case. The stability issue can be also assessed by observing the evolution of the system's potential and kinetic energy. We run the wave propagation problem, without source-term, from initial conditions with specific spatial frequency signature, namely for n = 1, 2, 3

$$\widetilde{\boldsymbol{u}}_{h}^{0} = -\left[\sin\left(\frac{25-x}{25}\,2\pi n\right)\sin\left(\frac{25-y}{25}\,2\pi n\right)\sin\left(\frac{25-z}{25}\,2\pi n\right)\right](\mathbf{e}_{x} + \mathbf{e}_{y} + \mathbf{e}_{z}),\\ \widetilde{\boldsymbol{u}}_{h}^{1} = -\widetilde{\boldsymbol{u}}_{h}^{0}.$$

It allows us to solicit the low-frequency modes and assess the instability, relating the frequency content to the issue. Running simulations with these initial conditions, we monitor the total, kinetic and potential energies as shown in *Figure 5*.



(a) Stable behavior for a stable case (Stretched;(b) Unstable case (Bended; CNH) for different initial conditions.

Figure 5. Evolution of total energies (a.u.) for the wave propagation problem.

As the total energy is conserved, if both kinetic and potential energies are positive. 535 the system's energy is bounded. However, if any of the energies become negative, the 536 solution may diverge. For the stable cases, the energy transfer between kinetic and 537 potential is kept throughout the simulation. For the unstable cases, as soon as the 538 potential energy presents negative values, the system starts to diverge. The excitation 539 of lower frequencies presented instability in fewer time steps as they excite lower 540 frequency modes, *i.e.* modes related to lower eigenvalues. For the presented forces, 541 the CNH case is unstable only under compression, SVK is unstable for bending and 542 compression and Murnaghan's is unstable for all presented deformations. In general, 543 the stability issues are not only dependent of buckling phenomena but also on the 544 choice of constitutive law. 545

#### 546 3.2 Illustrations related to Structural Health Monitoring

We now illustrate the generic aspect of our work by applying it to different cases. 547 The first case is a steel pipe subjected to the 4-point bending test, inspired by an 548 experiment done in [54]. We simulate ultrasonic propagation with different deformation 540 intensities and compare the acoustoelastic effects in the signal with ones caused by 550 a crack through the thickness of the pipe. In the second case, we model ultrasonic 551 wave propagation in a 16-layer stratified composite plate under torsion forces. For 552 such modeling, we use the transversely isotropic law (22) for each of the 16 layers 553 associated with a principal fiber direction. These examples illustrate the application of 554 our method to realistic and complex loading configurations, and the simulation results 555 could be used to assess the efficiency of a SHM system. 556

<sup>557</sup> Illustration on a pipe subject to a 4-point bending test.

We present here a case of wave propagation in a steel pipe subjected to a 4-point bending test. This configuration is usually used to perform fatigue tests in welded pipes. The experimental setup is inspired by the experiment done in [54]. We model and simulate the wave propagation in the pipe subjected to such bending forces with different intensity levels. We use Murnaghan's constitutive law with material parameters for steel (*Table 1*). For these levels of deformation and material parameters the wave propagation problem does not present stability issues. The surface force is

- <sup>565</sup> applied at two regions close to the pipe extremities. The configuration is depicted
- <sup>566</sup> in *Figure 6*, with a 2.94m long, 8mm thick pipe and an inner radius of 102.65mm.
- <sup>567</sup> The resulting deformation for a total force of 220kN is shown in *Figure 7*.

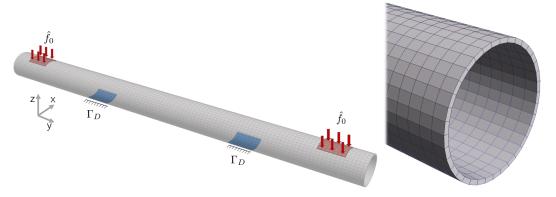


Figure 6. Numerical setup and mesh for the quasi-static problem.

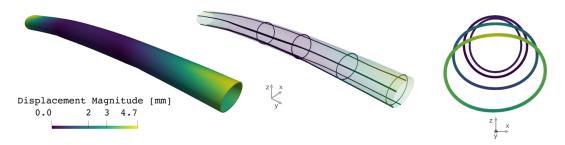


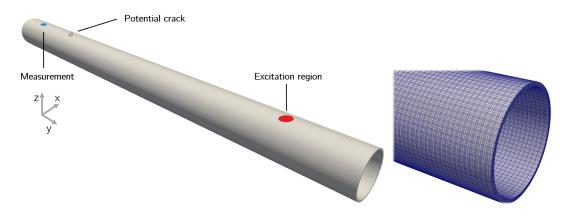
Figure 7. Deformation of the pipe for a 220kN loading. (Deformation scaled 20x for visualization).

Given the different deformations as input, we interpolate them in the wave propaga-568 tion problem mesh and compute the wavefield generated by a unique source term. The 569 wave problem mesh, source location and measurement point are depicted in *Figure 8*, 570 as well as the position of a potential crack. The ultrasonic waves are excited close 571 to one edge of the pipe and measured at the other edge. For a case with no cracks. 572 we simulate the wavefield and extract the signal from the modeled transducer at the 573 measurement point and plot in *Figure 9* for different levels of mechanical loadings. 574 Snapshots of the wavefield are shown in *Figure 10*. 575

In *Figure 9*, we can see that the effect of bending forces results in a change in time of flight, decreasing the wave velocity, and also a change in amplitude of the signals with higher forces.

To evaluate how the effect of mechanical loading on the signal compares with the one caused by a crack, we set up and simulate three numerical configurations:

- S: non deformed pipe (considered as baseline; no defects)
  Simulation is done considering no deformation with a pristine pipe,
  S<sub>p</sub>: deformed pipe (without defect)
  Simulation is done considering the pipe under bending forces of 220kN,
  S<sub>d</sub>: pipe with defect (without deformation)
  Simulation is done considering the undeformed pipe with a crack of 19mm wide
  through half the thickness.
- From each case, we extract the signal of a modeled transducer at the measurement point. The defect through half the thickness was modeled by disconnecting elements. By



**Figure 8.** Transducer, potential crack and measurement point positions for the wave propagation problem. On the right-hand side, the mesh for the wave propagation problem characterized by the Gauss-Lobatto points.

	Material	$Density(g/cm^3)$	$C_{11}$	$C_{22}$	$C_{12}$	$C_{23}$	$C_{66}$
	CFRP	1.6	155.17	13.22	5.34	6.98	5.77
Table 2. Material parameters for CFRP. [29]							

subtracting the signals from the baseline, we can isolate the individual contribution to the signal of the mechanical loading and the crack. The baseline signal S is subtracted from  $S_p$  and  $S_d$  and the results are plotted in *Figure 11*.

Regarding performances, the quasi-static problem is 148k DoFs and takes 3 minutes 593 to run 5 Newton-Raphson iterations while using 2.2Gb of RAM. The wave propagation 594 problem requires around 3.86M mesh points to ensure at least two elements of fourth-595 order per wavelength, resulting in 11.58M DoFs. The timestep is  $\Delta t = 0.11 \mu s$  to 596 comply with the CFL condition (32). Using an efficient implementation of the spectral 597 finite elements, the numerical solver uses 2.3Gb of RAM, 73 seconds for initialization 598 (starting the model, initial outputs and interpolating the structure displacement field) 599 and 40 minutes to compute approximately 4336 timesteps (including wavefield outputs). 600 The effect on the signal caused by the deformation alone is comparable to the 601 effect caused by a crack for such configuration and type of measurement. Thus, 602 not considering these effects when analysing wave propagation data for this level of 603

mechanical loading results in less reliable detection and evaluation of such type of

606 Illustration on a stratified anisotropic plate.

604

605

damages.

<sup>607</sup> Carbon fiber-reinforced polymers are largely employed in aerospace industries, where <sup>608</sup> ensuring safety is essential. They are made of a polymer matrix reinforced with <sup>609</sup> stacked layers of fibers. Usually, each fiber layer has a principal direction, presenting <sup>610</sup> a transversely isotropic response to mechanical solicitations. Here, we model the <sup>611</sup> wave propagation in a 16-layer Carbon Fiber Reinforced Polymer (CFRP) plate with <sup>612</sup> dimensions of 900 × 300 × 2 (16 × 0.125) mm<sup>3</sup> under torsion as illustrated in *Figure 6*.

Each layer is modeled using the hyperelastic potential (22) where the direction related to the invariants  $I_4$  and  $I_5$  were set accordingly to the fiber directions in *Figure 12*. The material parameters are shown in *Table 2*. The deformation was caused by setting the Dirichlet boundary condition at x = 0 and applying a body force with the following form

$$f = \frac{y - 150}{300} \ 10^{-3} \text{N/mm}^3, \quad \forall y \in [0, 300].$$

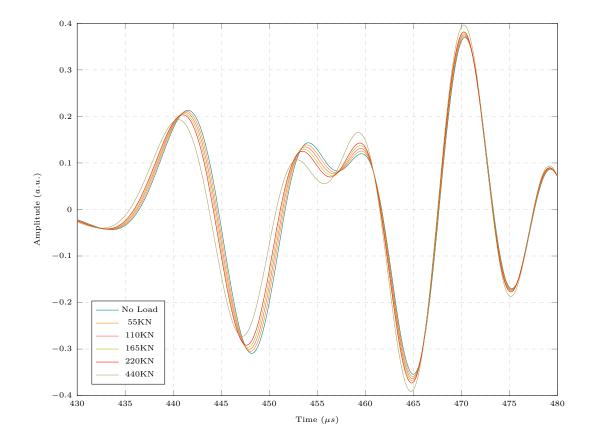


Figure 9. Transducer signal at the measurement point for different bending forces.

The resulting deformation by solving the quasi-static problem is shown in *Figure 13*. Snapshots of the wavefield computed solving the wave propagation problem can be seen in *Figure 14*.

The quasi-static problem has 270k DoFs and takes 50 minutes to run 10 Newton-616 Raphson iterations while using 12Gb of RAM. The wave propagation problem requires 617 around 8.3M mesh points, having at least two elements of fourth-order per wave-618 length, resulting in 25M DoFs. Satisfying the CFL condition (32), the timestep is 619  $\Delta t = 0.0159 \mu s$ . The wave propagation solver requires 4Gb of RAM, 9 minutes for 620 initialization (starting the model, initial outputs and interpolating the structure dis-621 placement field) and 2 hours and 54 minutes to compute approximately 18867 timesteps 622 (including wavefield outputs). This computation is particularly expensive as we need 623 to satisfy the CFL condition for the mesh step related to the thickness discretization 624 (16 elements for 2mm). This problem could be mitigated by using implicit-explicit 625 schemes as in [64]. 626

This illustration shows the capability of our simulation tools to model thin structures with the possibility of choosing a hyperelastic law that best suits the modeling objectives. As discussed in the paragraph about acoustoelasticity, the acoustoelastic effect is controlled by the TOECs. Here, we choose to use a hyperelastic law that matches only second-order elastic constants although the implementation of laws that include the TOECs can also be considered.

#### <sup>633</sup> 3.3 Experimental validation on an isotropic plate

<sup>634</sup> Finally, in this section, we model and simulate an experimental setup to validate our <sup>635</sup> model in the isotropic case. The experiment is presented in [41], where the authors



Figure 10. Snapshots of the wavefield computed in the steel pipe subjected to the 4-point bending test at t = 70, 140, 230, 300, 390 and  $480\mu$ s (left to right, top to bottom).

Material	Density $(g/cm^3)$	$\lambda$ (GPa)	$\mu$	1	m	n	
Aluminum (fitted)	2.704	54.308	27.174	-181.0	-289.0	-336.0	
Table 3. Material parameters for aluminum, fitted to experimental data.							

apply axial forces to an aluminum plate and measure the change in wave speed caused 636 by the load-induced deformation, for different directions and guided wave modes. 637 Using Murnaghan's constitutive law, the authors propose an eigenproblem to obtain 638 guided wave modes and their wave speeds in biaxially stressed isotropic materials. 639 By applying canonical deformations and measuring the change in wave speed for 640 different angles, the authors calibrated the TOECs of Murnaghan's constitutive law 641 using experimental data. The obtained parameters are presented in *Table 3*. Here, we 642 aim at modeling and simulating this experiment using the hyperelastic potential (21) 643 with these parameters. We compare the simulated results with their experimental data 644 to validate our model and numerical tools. The experiment is depicted in *Figure 15*. 645 In the experimental setup, eleven levels of axial loading are applied from 0MPa 646 to 57.5MPa and the ultrasonic wave speeds are measured for 9 different angles of 647 propagation with transducers positioned at 109mm from the center in the undeformed 648 configuration [37]. For the validation procedures, we use their measured changes in 649 wave speed for the S0 mode excited with a 250kHz 5-cycle Hanning windowed cosine. 650 The wave speed is obtained by retrieving the ToF between transducer pairs for the 651 S0 mode at a given load. To avoid effects of dispersion on the ToF measurement, we 652 identify the 4th zero-crossing of the signal as the least affected by dispersion. As our 653 solution is computed in the reference configuration, there is no need for correcting the 654 distance change between transducers caused by the deformation. The authors in [41] 655 fitted their parameters while neglecting this distance difference when computing the 656 wave speed using the ToF. The computed displacement between the axial pair (#1,#6)657

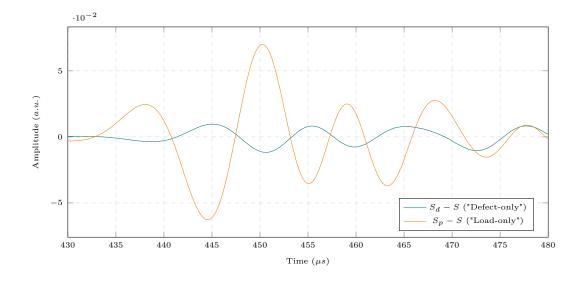


Figure 11. Transducer signal at measure point subtracted from the baseline for the case with defect-only and load-only.

has a maximum of 0.173mm at 57.5MPa. It represents a change in the total pair distance of around 0.08%, or, in terms of wave speed, around 4.2m/s when considering the mean wave speed of 5300m/s.

We simulate and obtain, for the different load levels, the changes in time of flight 661 (ToF) for the angles  $90^{\circ}$ ,  $45^{\circ}$ ,  $0^{\circ}$ ,  $-22.5^{\circ}$ ,  $-67.5^{\circ}$ , corresponding to the transducer pairs 662 (#1,#6), (#5,#10), (#4,#9), (#2,#7) and (#3,#8). The Y axis is considered to be 663 at an angle of  $90^{\circ}$ . The changes in wave speed in the loading axis with respect to 664 the load intensity are plotted in *Figure 16a*. Here, simulated results with different 665 constitutive laws (SVK, CNH and Murnaghan's) are compared to experimental data 666 and the analytical reference solution proposed in [41]. The behavior of SVK and CNH 667 constitutive laws reflect the analysis done in the paragraph about acoustoelastic effects. 668 With the SVK law, increasing axial load leads to increasing incremental wave speeds. 660 what is opposite to the observed effect. Although the CNH models the right tendency of 670 decreasing the wave speed, it underestimate its effects. Making use of the TOECs, the 671 Murnaghan's law is able to properly model the experimental data. For the maximum 672 load of 57.5MPa, the changes in wave speed with respect to the angle of propagation 673 are plotted in *Figure 16b*. Here, our method implemented with the Murnaghan's 674 law is also compared with the experimental data and the analytical proposition when 675 obtaining the angular-dependency of the changes in wave speed. With our simulation, 676 we retrieve the expected bell-shaped curve, effect of the load-induced anisotropy also 677 obtained from the ToF measurements from experimental and simulated data. 678

Summarizing our validation achievement, the experiment is modeled and simulated 679 starting from the mechanical loading that results in the deformation of the aluminum 680 plate. This deformation is used as input to our linearized wave propagation model. 681 and, using the proposed constitutive law and material parameters we are able to 682 extract the ultrasonic signals of the same nature as in the experiment. Our simulation 683 reliably matches the experimental results while computing the whole wave field. We 684 also implement different constitutive laws, obtaining their expected behavior. This 685 further validates each modeling component and solvers used. 686

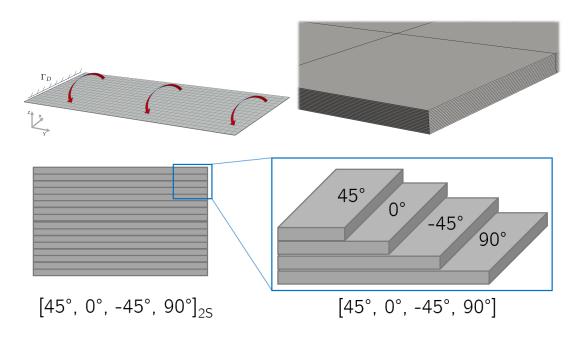


Figure 12. Configuration for the quasi-static problem (upper left). Zoom showing the stratified aspect (upper right). Ply configuration (bottom).

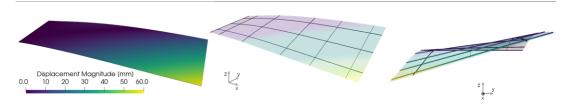


Figure 13. Deformation of the CFRP plate under torsion. (visualization scaled 2x).

#### <sup>687</sup> 4 Conclusion & perspectives

In our work, we have proposed a set of numerical tools addressing the problem of 688 ultrasonic guided-wave propagation in materials subject to pre-deformation. Modeling 689 of such configurations includes two different problems: a quasi-static (time-independent) 690 nonlinear problem satisfied by the large displacement field, and a linearized time-domain 691 wave propagation problem. Our approach is generic in the sense that it can represent 692 any type of constitutive law and geometries. For each problem, we have carefully 693 chosen a dedicated numerical method – namely the 3D shell elements for the first 694 problem and the time-domain SFEM for the second one – leading to computationally 695 efficient algorithms. We have presented numerical results on 3D cases related to SHM 696 configurations, thus illustrating the performances of our method for both isotropic 697 and anisotropic materials. We also have compared our results against experimental 698 data extracted from the literature, thus validating the overall modeling and numerical 699 toolchain. 700

Thanks to the presented approach, we were able to illustrate how the effects of a pre-deformation can alter the ultrasonic signals used to monitor a specimen under inspection. It makes this work in a good position to get closer to real applications in industrial contexts, and to provide meaningful modeling tools for potential industrial partners aiming at designing SHM systems. In order to enhance the attractiveness of these modeling tools, it would be interesting to include a mean of automatically removing the bias induced by the pre-deformation or the EOCs in general. This

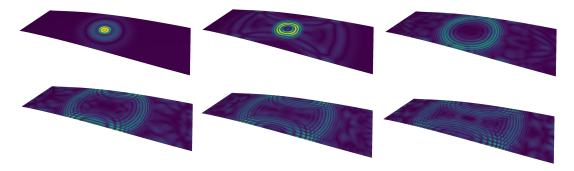


Figure 14. Wavefield computed in a 16 layers CFRP plate under torsion at t = 45, 90, 180, 240, 270 and  $300\mu$ s.

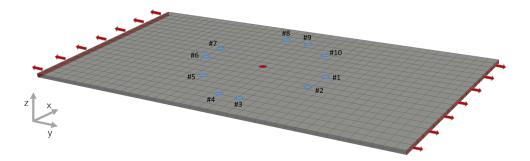


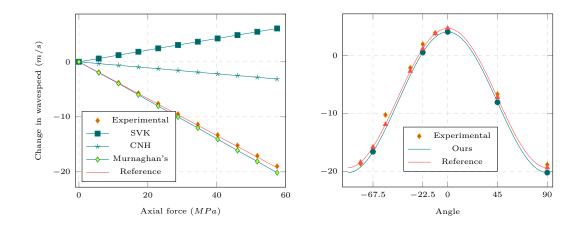
Figure 15. Experimental setup for calibrating the TOECs using the acoustoelastic effect.

<sup>708</sup> could typically be done by considering an inverse problem where we would aim at <sup>709</sup> reconstructing the pre-deformation sustained by the structure from the guided-wave

710 measurements.

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(a) Load-induced wavespeed changes for the S0 guided wave mode at 250kHz.

(b) Load-induced wavespeed changes for the S0 mode at 250kHz. (Murnaghan's law)

Figure 16. Comparison between simulated data (for different constitutive laws) and experimental data, validating our proposed model.

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