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LARGE LANGUAGE MODELS AS SUPERPOSITIONS OF CULTURAL PERSPECTIVES

THE UNEXPECTED PERSPECTIVE SHIFT EFFECT - A PITFALL OF USING PSYCHOLOGICAL TOOLS FOR LARGE LANGUAGE MODELS

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ABSTRACT

Large language models (LLMs) are sometimes viewed as if they were individuals, with given values, personality, knowledge and abilities. We argue that this "LLM as an individual" metaphor misrepresents their nature. As opposed to humans, LLMs exhibit highly context-dependent values and personality traits. We propose a new metaphor, "LLM as a superposition of perspectives" : LLMs simulate a multiplicity of behaviors, e.g. expressing values, which can be trig-gered by a given context. We use psychology questionnaires to study how values change as a function of context. We demonstrate that changes in the context that are unrelated to the topic of questionnaires - varying paragraphs, conversation topics, and textual formats - all result in significant unwanted, hardto-predict changes in the expressed values. We refer to this as the unexpected perspective shift effect. In the appendix, these changes are put in context of previous human studies, and six LLMs are systematically compared on their propensity to this effect. We discuss how this questions the interpretations of studies using psychology questionnaires (and more generally benchmarks) to draw general conclusions about LLMs' values, knowledge and abilities. Indeed, expressing some values on a questionnaire says little about which values a model would express in other contexts. Instead, models should be studied in terms of how the expressed values change over contexts in both expected and unexpected ways. Following this insight, we introduce the concept of *perspective control*lability - a model's affordance to adopt various perspectives. We conduct a systematic comparison of the controllability of 16 different models over three questionnaires (PVQ, VSM, IPIP) and different methods for inducing perspectives. We conclude by examining the broader implications of our work and outline a variety of associated scientific questions. The project website is available at https://sites.google.com/view/llm-superpositions.

1 INTRODUCTION

As large language models (LLMs) become better at mimicking human language, our natural tendency for anthropomorphism fosters perceiving them more and more as individuals endowed with values, personalities, knowledge or abilities. This way of viewing LLMs is also implicitly taken by some of the approaches aiming to probe LLMs psychological traits using methods from psychology, originally designed to study human individuals (Miotto et al., 2022; Li et al., 2022; Binz & Schulz, 2023). Although widely-spread, we argue that this "LLM as individual" metaphor does not capture the nature of language models.

Here, using extensive experiments, we present evidence against this idea, in particular by highlighting **unexpected perspective shift effects**: changes in context (i.e. prompts) that are apparently unrelated to values or personality actually cause significant and unpredictable changes in the model's expression of values and personality. Thus, values and personality traits expressed by LLMs are strongly context-dependent in ways that vastly differ from humans. To detect these effects, we measure expressed personal values (Schwartz, 2012), cultural values (Hofstede & Bond, 1984) and personality traits (Goldberg, 1999b) with three questionnaires developed and validated in the human psychology literature. While humans tend to demonstrate stable measures in these tests across contexts (Goldberg, 1990; Schwartz, 1992) and over their lifetime (Sagiv et al., 2017), we show that the same measures computed from the answers of a single LLM are significantly affected by variations in context that seem to be totally orthogonal: e.g. by exposing it to Wikipedia paragraphs about different music genres, short natural conversations about unrelated topics, or even by changing the textual format of the questions. These results raise questionnaires with LLMs: they were in fact designed assuming human properties which do not apply to LLMs.

We propose an new metaphor: "LLM as a superposition of perspectives". A perspective is conceptualized as a context from which a model is required to simulate a behavior. A prompt induces a perspective with its underlying values, personality traits, abilities and knowledge - a perspective that will causally impact the observed behavior. Let us consider a quantum mechanics parallel: a particle is described to be in a superposition of states, and the process of measuring collapses the particle into one observed state. Analogously, an LLM can be described to be in a superposition of perspectives, and prompting as inducing a single observed perspective. Likewise, we argue that it is impossible to use an LLM without inducing a perspective. For instance, the mere choice of which language to use influences the exhibited cultural values (Arora et al., 2023). This phenomenon can be traced back to the training of LLMs, leveraging a wide diversity of texts, each written from a different perspective (e.g. of an individual or a community) associated with different values, knowledge and cultural background (Arora et al., 2023; Hershcovich et al., 2022). Finetuning LLMs from human feedback (Christiano et al., 2017; Ouyang et al., 2022) aims at aligning the model with "human values," but it also involves a plurality of perspectives, each label being again generated by a different person with a different background (Rame et al., 2023).

This new metaphor enables us to study how perspectives change in both unexpected and expected (controllable) ways and raises new questions: can we force LLMs to take a target perspective? What are good perspective induction methods and is it model-dependent? Are some models less sensitive to unexpected perspective shift effects? How can we measure the sensitivity of language models to perspective inductions? We make first steps towards answers to these questions by introducing the notion of *perspective controllability*, a measure of the capacity of a given perspective induction technique to induce a target perspective for a given language model. Using this concept, we systematically study four induction techniques over 16 models and report our findings.

Finally, we will see that discarding the old metaphor may question the interpretation of recent studies aiming at characterizing the values, personality traits, social skills or moral values of LLMs using tools developed to measure attributes of human psychology (e.g. Miotto et al. (2022), Stevenson et al. (2022), Li et al. (2022))

To summarize, the main contributions of this paper are as follows:

- Introduction of the *unexpected perspective shift effect* and presentation of strong evidence for its existence: simple context variations unrelated to values and personality lead to significant changes in their expression by LLMs. These changes are bigger than changes in humans caused by much more extreme circumstances (e.g. years of development).
- A systematic comparison of six LLMs along three types of value stability: mean-level change, rank-order, and intraindividual (ipsative).
- The rejection of the "LLM as individual" metaphor and its replacement with the "LLM as a superposition of perspectives" metaphor, more apt at capturing the nature of LLMs.
- The introduction of the notion of *perspective controllability* to characterize the ability of a perspective induction method to induce a target perspective in a given LLM.
- A systematic study of the perspective controllability of four induction methods and 16 LLMs.
- A discussion of the impact of this metaphor shift can have on the interpretation of recent studies.

2 RELATED WORK

There has been a lot of research studying large language models using tools from psychology. Many of those use the "LLM as an individual" metaphor (often implicitly) and treat the LLM as a human participant in a study. Binz & Schulz (2023) evaluate a finetuned LLaMa model on tasks studying decision making from descriptions and experience. Kosoy et al. (2023) evaluate a LaMDa model on a battery of classical developmental tasks and compare its performance with the one of human children's. Stevenson et al. (2022) compare GPT-3 to humans on the Alternative Uses Test (Guilford, 1967) test for creativity. Li et al. (2022) evaluate LLMs (GPT-3 and FLAN-T5) on two personality tests: Short Dark Triad (Jones & Paulhus, 2014) and Big Five Inventory (John & Srivastava, 1999). Miotto et al. (2022) estimated GPT-3's personality and culture with HEXACO (Ashton & Lee, 2009) and HVS (Schwartz et al., 2015) questionnaires. Although not directly using psychological questionnaires, there is a body of work estimating LLMs' Theory of Mind through textual representations of standard False-belief tasks (Kosinski, 2023; Sap et al., 2022). Binz & Schulz (2022) also make this "LLM as a participant" assumption and evaluate GPT-3 on a number of cognitive tests from psychology.

There are also many studies that move away from the "LLM as a person" and adopt an "LLM as a population" metaphor. In this metaphor, an LLM encodes a population of personalities, and a prompt induces a specific personality to exhibit. Andreas (2022) propose to see an LLM not as an agent, but as a modeling various agents related to the text. LLMs were shown to model beliefs, desires, and intentions of the potential writer and of other agents mentioned in the text. Shanahan et al. (2023) introduce the metaphor of role-playing, where an LLM chooses a character to role-play based on context. While this metaphor is close to ours, a key difference is that a perspective encompasses a wider scope than a character. For example, a perspective of a "log file" (generated by automatic process) or of a code (written by thousands of people) is not the same as a character. Cao et al. (2023) study cultural expression by prompting the model with personalities from different countries. Arora et al. (2023) study cultural expression of smaller language models by inducing perspectives of speakers of different languages through translations of a questionnaire. Salewski et al. (2023) induce perspectives of different experts to improve performance, and Deshpande et al. (2023) induce perspectives of famous people to show that toxicity can increase as a consequence. Aher et al. (2022) replicate studies with humans by varying names of protagonists essentially placing the model in the perspectives of humans from different backgrounds. Similarly, Argyle et al. (2023) replicate data from human studies by prompting the model with backstories of real human participants in those original studies. All these works aim to make general conclusions about LLMs behavior, personality, or abilities, but they do not explore how personality traits expressed through behaviour can change in unexpected ways over diverse unrelated contexts.

A few studies investigate disadvantageous effects of context on the behavior of LLMs. Griffin et al. (2023) show that exposing an LLM to some statement increases its perceived truthfulness at a later time, and Perez et al. (2023) demonstrate the tendency of models to repeat back the user's answer. At first glance, these might seem like examples of the *unexpected perspective shift effect*, however these effects are both common in humans, and their effect on the perspective change is intuitive.

The second part of our paper studies how models' values and personality expression can be controlled, i.e., the expected perspective shifts due to context changes. Santurkar et al. (2023) study expressed opinions by placing the model in the perspective of different demographic groups. Jiang et al. (2023) focus on the control of the expression of personality traits using a special prompting technique and human evaluation. Here, we go more in width by systematically comparing much more models with respect to different prompting methods and three different questionnaires addressing personal values, cultural values and personality.

3 Methods

This paper aims to uncover the existence of *unexpected perspective shift effects*, i.e. how context can impact the values and personality traits expressed by LLMs in unwanted, *unexpected* ways. Furthermore, we are also interested in measuring the *perspective controllability* of different language models, the extent to which one can intentionally induce a perspective, i.e. an *expected* perspective shift. To this end, we need: 1) a way of measuring values and personality traits expressed by LLMs,

2) a way of exposing LLMs to various contexts in a controlled manner and 3) a way of measuring the *controllability* of any given LLM.

Measuring values and personality traits using questionnaires from human psychology. We measure the personal values, cultural values and personality traits expressed by LLMs using three questionnaires developed in the human psychology literature.

Personal values – Following Schwartz (1992), we consider 10 personal values grouped into four categories: *openness to change* (hedonism, self-direction, stimulation), *self-enhancement* (achievement, power), *conservation* (conformity, tradition, security), and *self-transcendence* (universalism, benevolence). We measure scores for each of the 10 values on a 6-point Likert scale using the *Portrait Values Questionnaire* (PVQ) (Cieciuch & Schwartz, 2012).

Cultural values – Following Hofstede & Minkov (2013), we measure cultural values along six dimensions: power distance, individualism vs. collectivism, masculinity vs. femininity, uncertainty avoidance, long-term orientation vs. short-term orientation, and indulgence vs. restraint. We measure scores for each of the 6 dimensions on a 5-point Likert scale using the *Values Survey Module* (VSM) questionnaire (Hofstede, 2013).

Personality traits – The Big Five personality traits include five major dimensions of human personality: neuroticism, extraversion, agreeableness, conscientiousness, and openness to experience. We measure scores for each personality trait on a 6-point Likert scale using the Goldberg's IPIP representation of Costa and McCrae's NEO-PI-R Domains (Costa & McCrae, 2008; Goldberg, 1999a). Details for all questionnaires can be found in Appendix Section A.

| System message (part 1) |
|--|
| You are a person attributing extremely more importance to high Power, Achievement, and Self-Enhancement. |
| User message (part 2) |
| Here we briefly describe some people. Please read each description and think about how much each person is or is not like you. Select an option that shows how much the person in the description is like |
| It is important to him to adapt to nature and to fit into it. He believes that people should not change nature. A. Not like me G. Not like me D. Somewhat like me E. Like me F. Very much like me F. Very much like me |

Figure 1: **Inducing a perspective for the PVQ questionnaire**. We aim to induce the target personal values of *self-enhancement* (*power* and *achievement*) using a 2^{nd} person perspective transmitted via the system prompt of language models. We then compute the answer of the model conditioned on that perspective for a question from the PVQ questionnaire. This process is repeated independently for all questions of the questionnaire and 50 different permutations of the answers order.

Evaluating a language model with a given context. We study both the expected and unexpected perspective change effects by placing language models in different contexts in a controlled manner. Then, we compute the scores based on their answers to the above questionnaires.

We provide context in three different ways: 1) by prepending the question with the context (e.g. article, conversation), 2) by adding the context to the *system message* content (OpenAI, 2023), 3) by changing the way the question is formatted. Once the model is conditioned on the context, we fill its prompt with slightly adapted questionnaire instructions (for details refer to Appendix A.2), with a question from the questionnaire, and with the phrase "Answer:" to prompt the model for an answer. Figure 1 illustrates how we can study the expected perspective shift effect on personal values (PVQ test) after inducing a *high power, achievement and self-enhancement* perspective via the system message of the model.

Given this prompt, we perform greedy-decoding over the set of possible answers (e.g. A, B, ..., F) to compute the model's answer. We repeat this process separately for each question in a questionnaire, such that the model never sees previous questions and answers. The answers are finally used to score the questionnaire, i.e. compute a score for each of the dimensions of values or personality traits. We control for the effect caused by the order of answers presentation (Lu et al., 2022) by independently

repeating the whole process with random answer permutations 50 times for each questionnaire. For each model and context, this gives us a distribution of scores for each value and personality trait.

Measuring a model's perspective controllability. We aim to measure the *perspective control*lability of a given language model M, i.e. the extent to which inducing a particular perspective translates into a consistent shift in expressed values and personality traits. For each of the questionnaires, we measure the controllability C_P^M of model M with respect to the induced perspective P, for all P in the set of alternative perspectives \mathcal{P} . In PVQ, \mathcal{P} is the set of four personal value categories (openness to change, self-enhancement, conservation, self-transcendence). In VSM, \mathcal{P} is the set of 6 cultural value dimensions. In IPIP, \mathcal{P} is the set of five personality traits.

To compute the controllability C_P^M of model M with respect to induced perspective P, we first run the model M on the corresponding questionnaire to obtain a distribution of scores along each dimension s_d (steps 1–3 in Figure 2). We normalize these scores to [0, 1]. The controllability score C_P^M is then computed by subtracting the average score over the dimensions that were not induced by the perspective $(d \notin P)$ to the average score over the dimensions that we attempted to induce by the perspective $(d \in P)$ (step 4 in Figure 2):

$$C_P^M = \max_{d \in P} (s_d) - \max_{d' \notin P} (s_{d'}).$$

$$\tag{1}$$

This score measures the propensity of an induced perspective to result in higher scores for the targeted values and personality traits relative to other values and personality traits. The global controllability score C^M of model M is then obtained by computing the average of perspective-specific controllability scores over the set of alternative perspectives Under $\mathcal{P}: C^M = \text{mean}_{P \in \mathcal{P}}(C_P^M)$ (step 5 in Figure 2). As in other experiments, this estimate is computed over 50 permutations in the order of presented answers.

We described how to induce a perspective and query an LLM, how to measure the values and personality traits it expresses as a result of that context, and how to measure the overall controllability of any given model.

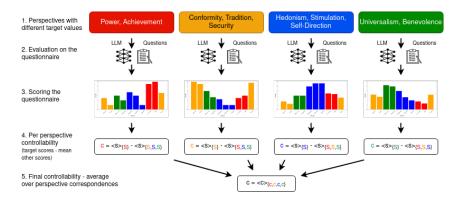


Figure 2: **Estimating perspective controllability.** We put the model in four perspectives, each with different target values (expressed explicitly in the prompt). We query the model with a questionnaire in each perspective. We then score the answers to get the scores for all the values in all the perspectives. For each perspective, we compute the distance between target and other values' scores, and average those estimates to compute the final controllability estimate.

4 EXPERIMENTS

Building on the methods introduced in Section 3, our experiments aim to address the two following questions: 1) Are LLMs subject to significant unexpected perspective shift effects? 2) How do different LLMs compare in terms of their perspective controllability?

4.1 ARE LLMs SUBJECT TO SIGNIFICANT UNEXPECTED PERSPECTIVE SHIFT EFFECTS?

This section presents evidence for the *unexpected perspective shift effect*, i.e. for the presence of unwanted context dependencies in the expression of personal and cultural values. We expose a ChatGPT model ("gpt-3.5-turbo-0301" OpenAI, 2023) to different contexts and study the expression of values on PVQ and VSM questionnaires.

We systematically vary the presented context in three different ways. In the Simulated conversations experiment, we allow two language models to interact: an unprompted ChatGPT (chatbot) and another model (GPT-4-0613) instructed by "You are simulating a human using a chatbot" (simulated human). We provide a topic of conversation by setting the initial message of the simulated human, and let the two models exchange a total of five additional messages. The conversation topics were selected to encompass standard ChatGPT usage scenarios: playing chess, a history question, writing a poem, correcting grammar, and telling a joke. A question from the questionnaire is then set as the last message from the simulated human, see Appendix Figure 9 for examples of conversations. In the Text formats experiment, we present the question in the following formats: continuations of a chat conversation, TOML configuration file, Python, C++, or LaTeX code, see examples in Appendix Figure 8. In the Wikipedia paragraphs experiment, we prepend each question with the first paragraph taken from the Wikipedia page of different music genres: classical, heavy metal, hiphop, jazz, reggae, and gospel. Refer to Figure 6 in the Appendix for an example of full prompt. In all experiments, the different contexts are not designed to induce any particular set of personal values and can be considered quite orthogonal to these aspects - i.e. one would not *expect* any significant change in expressed personal values.

Figure 3 shows scores on the PVQ and VSM questionnaires for three different ways of varying the context. Do these context changes significantly impact personal values? For all experiments, we run one-way ANOVA analyses for each personal value. We use $\alpha < 0.05$ to which a Bonferroni correction is applied for multiple comparisons ($\alpha < 0.005$ for PVQ, and $\alpha < 0.0083$ for VSM). We adjusted the significance level of statistical tests, $\alpha = 0.05$, with the Bonferroni correction (actual significance levels $\alpha = 0.005$ for PVQ and $\alpha = 0.0083$ for VSM). In the Simulated conversation (3a) and 3b) study, we found that conversational topics induce a significant shift in the score distributions of all personal values and all cultural values (Fig 3b). In the Text formats study, contexts were again found to significantly impact the score distribution of all personal values and all cultural values (3d). In the Wikipedia paragraphs study, contexts were found to significantly impact the score distribution for all personal values except Power (Fig. 3e), and for all cultural values except Power Distance and Masculinity (Fig. 3f). Changing perspectives often results significant changes in the expression of values. For example, a conversation about telling jokes increased the Indulgence cultural value compared to the one about grammar, and asking questions through the C++ format compared to chat decreased Benevolence, Universalism and Self-Direction. Such results are backed by posthoc Tukey HSD tests with p < 0.05 adjusted by a Bonferroni correction to p < 0.005 for PVQ and to p < 0.0083 for VSM. Refer to Figure 13 in the Appendix for results on the IPIP questionnaire.

These effects are significant even though they were not induced on purpose and would be hard to predict. These experiments show that LLMs' expression of values can be strongly affected by the presentation of contextual information that seems orthogonal to values. This experiment provided empirical evidence for the *unexpected perspective shift effects* in the context of personal and cultural value expression. In humans, the expression of personal values was found to be quite robust across the lifespan (Sagiv et al., 2017). In contrast, LLMs seem to shift their values as a function of contexts that seem irrelevant to these personal values. This is a strong argument against perceiving LLMs as *individuals*. These conclusions question the interpretation of studies using questionnaires (and more generally benchmarks) to draw conclusions about an LLM's general values, personality traits, knowledge or capabilities (Binz & Schulz, 2022; Miotto et al., 2022; Sap et al., 2022). The values expressed by an LLM in a single context seem to say little about what it would express in another context. These results call for more careful studies of how these traits and capabilities vary as a function of contexts.

In this section, we presented evidence for the existence of the *unexpected perspective shift effect* in ChatGPT. In appendix C we reanalyse these results in the context changes observed in psychology studies with humans. We study three different types of value stability: mean-level change, rank-order stability, and within-person (ipstative) change. We demonstrate that value change in ChatGPT is often much bigger than that in humans despite human value change being induced by much more

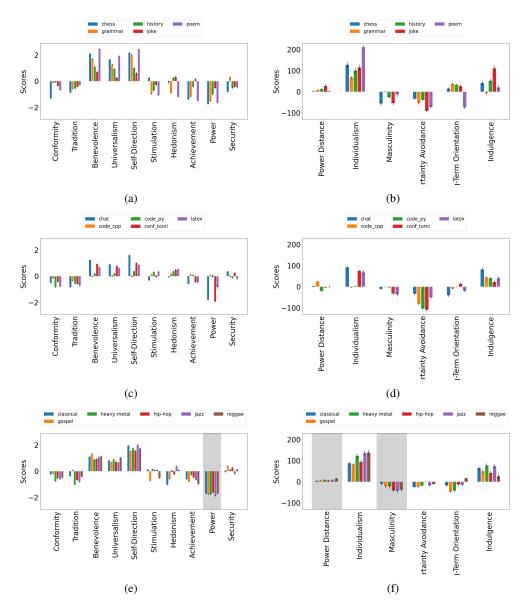


Figure 3: **Evidence for the unexpected perspective shift effect.** The effect of different simulated conversations on: (a) basic personal values, and (b) cultural values. The effect of different textual formats on: (c) basic personal values, and (d) cultural values. The effect of Wikipedia paragraphs about different music genres: (e) basic personal values, and (f) cultural values. Although these contexts seem orthogonal to the tested values, we found them to cause significant effects on all personal values expressed by ChatGPT except those denoted by a gray background (ANOVA tests). Varying the context (e.g. from Python code questions to C++ code questions, or from jazz music context to gospel context) sometimes leads to large shifts in expressed values (e.g. *achievement* and *stimulation* respectively).

drastic scenarios (e.g. 8 years of early adulthood development). Following this, in appendix D, we systematically compare various large language models along those three types of value stability.

4.2 How do different models compare in terms of their perspective controllability?

This section focuses on the *expected* context-based perspective changes. We systematically compare the perspective controllability of different language models, i.e. their capacity to express the values and personality traits we try to induce. We measure the controllability of 16 language models (see details in Appendix Section B) using four different perspective induction methods with respect to the values and personality traits measured by the PVQ, VSM and IPI questionnaire. In Appendix D we conduct an analogous systematic analysis regarding the unexpected perspective changes.

We induce perspectives in four different ways: either via a standard *user message* input or via the *system message* input when available and use either the 2nd or the 3rd person (e.g. "You are a person attributing extremely more importance to high individualism" versus "The following are answers from a person attributing extremely more importance to high individualism"). Examples of prompts corresponding to these four methods are shown in Figures 11 and 10 in the Appendix. More expensive models (GPT-4 and Davinci-3) were first evaluated with ten permutations in the order of suggested answers. For GPT-4, the most controllable perspective induction method was selected and ran on 50 permutations as well. As Davinci-3 did not exhibit high controllability it was not run on 50 permutations.

Table 1 compares the perspective controllability of various models under the four induction methods for each of the three questionnaires. We use the Welch t-test (p < 0.05 to which a Bonferroni correction is applied resulting in p < 0.003) to compare models. For each questionnaire, we compare the most controllable model to all other models, but we only consider the most controllable induction method for each model. (the statistical analysis results are shown in Appendix Table 9). On PVQ, GPT-3.5-0301 with perspective induction using the 2nd person in the *system message* scores are significantly higher than the best induction method in all other models besides GPT-3.5-0613. On VSM, Upstage-LLaMa-instruct model (user message, 3rd person) scores the highest and significantly better than the best induction method in all other models besides GPT-3.5-0314 and Upstage-LLaMa-2instruct. On IPIP, GPT-3.5-0613 (system message, 3rd person) scores the highest and significantly better than the best induction methods all other models except GPT-4-0314, GPT-3.5-0301 and both Upstage-LLama models. Refer to Appendix Figures 14, 15, and 16 for visualizations of the value profiles expressed by the most controllable models.

Comparing the controllability of GPT-3.5 from june (GPT-3.5-0613) and march (GPT-3.5-0301) gives us insight into the effect of RLHF finetuning on controllability. Controllability through the system message seems to have increased with 3rd person induction for PVQ and IPIP, and for both 2nd and 3rd person for VSM between may and june, while controllability through the user message decreased on all settings except 3rd person on VSM. This implies that the RLHF finetuning may have resulted in a shift of controllability from the user message to the system message.

Overall, higher perspective controllability can be observed in GPT models trained using reinforcement learning from human feedback (RLHF), as well as Upstage LLaMa models. No induction method proved to be consistently better in all models and questionnaires, implying that the choice of the best induction methods largely depends on both the problem and language model.

5 DISCUSSION

This paper showed evidence for the *unexpected perspective shift effect*, the ubiquitous shifts in the expression of values by LLMs as a function of what seems to be orthogonal changes in context. Humans, on the other hand, express personal values that are stable over time (Sagiv et al., 2017). This gives us ground to reject the pervasive "LLM as individual" metaphor and replace it with a new one: "LLM as a superposition of perspectives."

This change has important consequences. Indeed recent works have reemployed batteries of tests and questionnaires developed in the human psychology literature (Binz & Schulz, 2022; Miotto et al., 2022; Holterman & van Deemter, 2023). Psychology questionnaires, and standard NLP benchmarks, usually present questions from a single perspective such as a multiple choice question (e.g. MMLU (Hendrycks et al., 2021)). This means that behavior exhibited on a questionnaire says little about potential behaviors in other contexts. The problem is further exacerbated in the case

Table 1: **Systematic comparison of the language models' perspective controllability.** Controllability measures how much each model expresses the values or personality traits explicitly targeted by the induced perspective. For each of the three questionnaires and each of the 16 models, we report the controllability scores for 4 perspective induction techniques $(2^{nd} vs 3^{rd} person and message in the$ *system*vs*user*input). The most controllable model for each questionnaire is marked in bold. The scores for the most controllable models are shown in Appendix Figures 14, 15, 16, and statistical analysis in Appendix Table 9.

| | PVQ (Schwartz) | | VSM (H | lofstede) | IPIP (Big 5) | | |
|---------------------------|------------------------|----------------------|------------------------|----------------------|------------------------|----------------------|--|
| | System msg 2nd 3rd | User msg 2nd 3rd | System msg 2nd 3rd | User msg 2nd 3rd | System msg 2nd 3rd | User msg 2nd 3rd | |
| 10 permutations | | | | | | | |
| GPT-4-0314 | .462 .488 | .419 .445 | .256 .263 | .225 .279 | .35 .358 | .355 .368 | |
| GPT-3.5-0301 | .621 .539 | .626 .547 | .101 .151 | .189 .165 | .354 .38 | .383 .388 | |
| Davinci-003 | n/a | .03 .068 | n/a | 005 .085 | n/a | .02 .117 | |
| 50 permutations | | | | | | | |
| GPT-4-0314 | - .518 | - - | - - | - .258 | - - | - .376 | |
| GPT-3.5-0301 | .681 .561 | .64 .564 | .118 .147 | .184 .162 | .331 .334 | .379 .343 | |
| GPT-3.5-0613 | .68 .624 | .552 .45 | .188 .196 | .175 .175 | .333 .4 | .264 .332 | |
| Upst-LLaMa-2-70B-instruct | .494 .478 | .517 .448 | .228 .251 | .232 .263 | .344 .379 | .328 .379 | |
| Upst-LLaMa-66B-instruct | .507 .489 | .52 .457 | .239 .239 | .238 .265 | .338 .383 | .325 .388 | |
| Zepyr-7B-beta | .548 .493 | .531 .383 | .089 .108 | .092 .117 | .159 .235 | .169 .248 | |
| OÁ | .124 .140 | .196 .129 | .006 .021 | .029 .036 | .062 .049 | .057 .099 | |
| StLM | 006 002 | .006 0 | 004 .004 | .003 .004 | 0. 0. | .004001 | |
| LLaMa-65B | n/a | .092 .06 | n/a | .017 .082 | n/a | .047 .109 | |
| StVicuna | n/a | .066 .034 | n/a | 002 .005 | n/a | .043 .067 | |
| Redpaj-incite-chat | n/a | .0001004 | n/a | .0002 .0002 | n/a | 001 .003 | |
| Redpaj-incite-instruct | n/a | .007 .0001 | n/a | 001 .0002 | n/a | .018 .0 | |
| GPT-3.5-instruct-0914 | n/a | .0 .155 | n/a | .005 .042 | n/a | .004 .096 | |
| Curie | n/a | 004 004 | n/a | 004004 | n/a | .001 .001 | |
| Babbage | n/a | .003002 | n/a | .0002 .0002 | n/a | .0 .002 | |
| Ada | n/a | 001 001 | n/a | .003 0001 | n/a | .002 .002 | |

of psychological questionnaires as they were created under the assumption of human robustness to context change and internal consistency. But as we demonstrated, LLMs are not like humans and their strong and counter-intuitive context dependency violates those assumptions. This questions the interpretation of such experiments, and asks for further research into how expression of values, behaviors and capacities varies due to expected and unexpected context changes.

Under the "LLM as a superposition of perspectives" metaphor new scientific questions arise. How can we influence and control such perspectives changes, i.e. how can we modify the context in order to induce a target perspective? Our experiments show that different perspective induction methods lead to different results. Different language models also demonstrate different perspective controllability levels. This shows the difficulty of properly controlling the perspective language models take when generating new text and calls for further research in that area.

ETHICS STATEMENT

The understanding that LLMs encode a diversity of cultural values and perspectives introduces the question of which values one should build into LLMs: should we aim to represent a large diversity of cultures or try to align a model with one set of values? This is a nuanced question, as different values systems can often be conflicting. Johnson et al. (2022) provide an in-depth discussion of this problem and suggests that LLMs should be aligned with basic human rights, but also be able to deal with conflicting value systems. The solution to this problem also depends on the practical application and on stakeholders (Bender et al., 2021; Jernite et al., 2022). Some applications may require models which are not malleable in terms of potential values expressed, while others may require highly controllable ones. This paper adds to this discussion by providing an intuitive conceptualization of this issue (LLMs as superpositions of perspectives), and by introducing the concept of perspective controllability.

After deciding on the target values and controllability levels a model should have for some application, a series of scientific questions arise. First, how could one build that model? The ROOTS corpus (Laurençon et al., 2022) includes 47 natural languages. While this hints at a degree of cultural diversity, a proper representation of cultural diversity will require a detailed analysis of the cultural dimensions contained within such corpora. On the other hand, ConstitutionalAI (Bai et al., 2022) is a method for aligning the model with a set of explicitly defined principles. One can see ROOTS as an attempt to increase the controllability of cultural perspectives, and ConstitutionalAI as an attempt to reduce the controllability (especially regarding values not aligned with the defined principles). Another interesting question is whether all cultural perspectives expressed by LLMs are encoded in the training data, or whether some can be 'hallucinated'. The latter case would imply that a thorough analysis cannot be done solely by analyzing the cultural diversity of datasets or human feedback. This calls for developing methods for evaluating the diversity and controllability of cultural perspectives encoded in LLMs beyond datasets, i.e. in their actual behavior. Here, we used a few simple questionnaires from psychology, but a lot of research remains to be done for more systematic and automatic methods. For example, current benchmarks present many questions from a single perspective (e.g. MCQ). New benchmarks presenting same questions from many different perspectives would be an essential tool to compare models' on their propensity to the unexpected perspective shift effect.

REPRODUCIBILITY

Our experiments rely on several LLMs from the OpenAI API (OpenAI, 2023) and various opensource models (see section B for details) and will therefore be reproducible to the extent that these models remain accessible. All our code and experiments are open sourced at the project website https://sites.google.com/view/llm-superpositions.

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A DETAILS ON THE PSYCHOLOGICAL QUESTIONNAIRES

Research on values and personality traits has been conducted in various contexts. One line of research aims to explore universal personal values across different cultures (Schwartz, 1992; 2012). Schwartz conducted theoretical examinations of personal values and proposed ten basic elements (Schwartz, 1992). Additionally, he considered that these elements have a higher-order hierarchical structure (Schwartz, 2012). The dimensional structure of these constituent elements has been demonstrated through factor analysis of responses obtained from the PVQ questionnaire (Cieciuch & Schwartz, 2012).

In addition to investigating universal personal values, comparative studies of values across social groups and countries have been conducted (Hofstede & Bond, 1984; Hofstede et al., 1990). Hofstede compared employees' values from 40 countries at the IBM company using the VSM questionnaire (Hofstede & Bond, 1984). This and some follow-up studies identified six cultural dimensions in work-related national cultures (Hofstede et al., 1990).

In the context of personality trait research, distinct from values research, attempts have been made to explore fundamental personality traits. Goldberg classified personality trait descriptors and identified five common traits (Goldberg, 1990). These five constituent elements have been empirically validated through factor analysis of data obtained from questionnaires (Costa & McCrae, 1992).

A.1 DIMNESIONS OF CULTURE AND PERSONALITY

In the main text we briefly outlined the main values and personality traits outlined by Schwartz (Schwartz, 1992), Hofstede (Hofstede & Bond, 1984), and by the Big Five personality traits model (Goldberg, 1990). Here, we discuss each of those values and traits in more detail.

Schwartz's theory of basic personal values outlines the following basic personal values (Schwartz, 2012):

- Self-Direction independent thought and action-choosing, creating, exploring
- Stimulation excitement, novelty, and challenge in life
- **Hedonism** pleasure or sensuous gratification for oneself: Hedonism values derive from organismic needs and the pleasure associated with satisfying them (pleasure, enjoying life, self-indulgence)
- Achievement personal success through demonstrating competence according to social standards
- **Power** social status and prestige, control or dominance over people and resources
- Security safety, harmony, and stability of society, of relationships, and of self
- **Conformity** restraint of actions, inclinations, and impulses likely to upset or harm others and violate social expectations or norms.
- **Tradition** respect, commitment, and acceptance of the customs and ideas that one's culture or religion provides.
- **Benevolence** preserving and enhancing the welfare of those with whom one is in frequent personal contact (the 'in-group')
- Universalism understanding, appreciation, tolerance, and protection for the welfare of all people and for nature.

Hofstede's theory of basic cultural dimensions outlines the following cultural values (Hoftede et al., 2010):

- **Power Distance** the extent to which the less powerful members of institutions and organizations within a society expect and accept that power is distributed unequally.
- **Individualism** the opposite of Collectivism. Individualism stands for a society in which the ties between individuals are loose: a person is expected to look after himself or herself and his or her immediate family only. Collectivism stands for a society in which people

from birth onwards are integrated into strong, cohesive in-groups, which continue to protect them throughout their lifetime in exchange for unquestioning loyalty.

- **Masculinity** the opposite of Femininity. Masculinity stands for a society in which social gender roles are clearly distinct: men are supposed to be assertive, tough, and focused on material success; women are supposed to be more modest, tender, and concerned with the quality of life. Femininity stands for a society in which social gender roles overlap: both men and women are supposed to be modest, tender, and concerned with the quality of life.
- Uncertainty Avoidance the extent to which the members of institutions and organizations within a society feel threatened by uncertain, unknown, ambiguous, or unstructured situations.
- Long Term Orientation the opposite of Short Term Orientation. Long Term Orientation stands for a society which fosters virtues oriented towards future rewards, in particular adaptation, perseverance and thrift. Short Term orientation stands for a society which fosters virtues related to the past and present, in particular respect for tradition, preservation of "face", and fulfilling social obligations.
- **Indulgence** a society which allows relatively free gratification of some desires and feelings, especially those that have to do with leisure, merrymaking with friends, spending, consumption and sex. Its opposite pole, Restraint, stands for a society which controls such gratification, and where people feel less able to enjoy their lives.

The Big Five personality traits model outlines the following five personality traits (Goldberg, 1993):

- Extraversion contrasts such traits as talkativeness, assertiveness, and activity level with traits such as silence, passivity, and reserve.
- Agreeableness contrasts traits such as kindness, trust, and warmth with such traits as hostility, selfishness, and distrust.
- **Conscientiousness** contrasts such traits as organization, thoroughness, and reliability with traits such as carelessness, negligence, and unreliability.
- Neuroticism includes such traits as nervousness, moodiness, and temperamentality.
- **Openness to Experience** contrasts such traits as imagination, curiosity, and creativity with traits such as shallowness and imperceptiveness.

A.2 CHANGES TO THE INSTRUCTIONS IN THE QUESTIONNAIRES

To make questionnaires more suitable for LLM the main instructions were adapted. For PVQ, the last sentence of the instruction "Put an X in the box to the right that shows how much the person in the description is like you." was changed to "Select an option that shows how much the person in the description is like you." For VSM, the brackets with "please circle one answer in each line across" were removed. For IPIP, multiple versions exist with longer and no instructions, we used the following instruction "Mark how much you agree with each statement."

A.3 COMPUTATION OF HOFSTEDE'S VSM SCORES

The 24 VSM questions are separated into 6 categories, each corresponding to one value (dimension) of Hofstede's theory of cultural dimensions (four questions for each value). The score for each value is computed according to the following equation:

$$s = A * (q_1 - q_2) + B * (q_3 - q_4) + C$$
⁽²⁾

Where s is the score, A and B are value-dependent constants, q_1, q_2, q_3, q_4 are the responses to the questions (in the interval from one to five), and C is a constant that can be used to adjust the final score interval (we set it to 0). Constants A and B for each value are shown in table 2.

Table 2: **VSM constanct** Constants used in the equation 2 for the calculation of the scores on the VSM questionniare.

| Value | Α | В |
|-----------------------|----|----|
| Power distance | 35 | 35 |
| Individualism | 35 | 35 |
| Masculinity | 35 | 35 |
| Uncertainty avoidance | 40 | 25 |
| Long-term orientation | 40 | 25 |
| Indulgence | 35 | 40 |

B LARGE LANGUAGE MODELS COMPARED IN SYSTEMATIC EXPERIMENTS

From the OpenAI API (OpenAI, 2023) we use the following GPT models: "GPT-3.5-0301" (gpt-3.5-turbo-0301) (Ouyang et al., 2022), "GPT-3.5-0314" (gpt-3.5-urbo-0314), "GPT-4-0314" (gpt-4-0314") (OpenAI, 2023), "Ada" (text-ada-001) (Brown et al., 2020), "Babbage" (text-babbage-001), "Curie" (text-curie-001), "Davinci-003 (text-davinci-003). OpenAssistant is a set of models trained by RLHF. In this paper, we use a 30B parameter model "openassistant_rlhf2_llama30b" which was fine-tuned by RLHF from LLaMa-30B Touvron et al. (2023). Zephyr (Tunstall et al., 2023), Stable-Vicuna and StableLM are models from StabilityAI available through the HuggingFace transformers library OpenAI (2023) as "HuggingFaceH4/zephyr-7b-alpha", "CarperAI/stable-vicuna-13b-delta" and "stabilityai/stablelm-tuned-alpha-7b". StableVicuna is 13B parameter model created by RLHF fine-tuning of Vicuna-13b Chiang et al. (2023), which was created by instruction fine-tuning of the LLaMa-13B model Touvron et al. (2023). StableLM is a set of models, from which we use the 7B parameter "stabilityai/stablelm-tuned-alpha-7" model. It was created by fine-tuning the "StableLM-Base-Alpha" model on chat and instruction-following datasets. We compare three version of the LLaMa Touvron et al. (2023) models. LLaMa-65B released by meta, and two upstage fine-tuned versions: "upstage/llama-65b-instruct" Upstage (2023a) and "upstage/Llama-2-70b-instruct" Upstage (2023b) which are available on the hugginface model hub Face (2023). Finally, we compare with two version of RedPajama incite model: rp-incite-7b-instruct (Computer, 2023b) and rp-incite-7b-chat Computer (2023a), which are also available on the huggingface model hub.

C ANALYZING THE UNEXPECTED PERSPECTIVE SHIFT WITH RESPECT TO HUMAN STUDIES

In this section, we study how change in value expression in ChatGPT (gpt-3.5-turbo-0301) compares to changes in humans. We interpret the results from experiments in section 4.1 in the context of human studies. We extract human data concerning value change as a consequence of development (longitudinal studies) or as a consequence of priming (artificially increasing the expression of some targeted value). Regarding longitudinal studies we extract data from the following three studies. In Vecchione et al. (2020) ten to twelve year old children were followed for two years, and in Vecchione et al. (2016) twenty year old's were followed for a period of eight years. In Sundberg (2016) Swedish soldiers were evaluated before and after a 6-month tour in Afghanistan. Regarding priming, we extract data from the following two studies. In Döring & Hillbrink (2015) adolescents (ages 13 to 15) watched a 33 minute long movie consisting of "adventure and nature scenes that convey a positive attitude to life and search for stimulation, adventures, and challenges". The movie aimed at increasing Self-direction and Universalism, and at decreasing Conformity and Security. In Arieli et al. (2014) the authors aimed to artificially increase the expression of benevolence in three different ways. The biggest change was induced when participants (aged 18 to 21) read a scientific testimony about how people are significantly more other-focused, cooperative, compassionate, and helpful than most people realize, and how benefiting others benefits the self in the long run.

These studies present value change in humans due to circumstances which are much more extreme than those we study with LLMs. We will show that, despite this, unexpected value changes in LLMs are even bigger than those observed in humans in those scenarios. The longitudinal studies we consider (Vecchione et al., 2016; 2020), apart from Sundberg (2016), study humans in early-adolescence and young adulthood, which are particularly challenging and dynamics life periods

(Vecchione et al., 2016). Furthermore, these studies concern extreme influences: 2 or 8 years of development, and 6 months of exposure to war. The priming studies attempt to artificially modify value expression (e.g. by reading or watching a movie). An open question in those studies is to what extent is this change long-lasting. While at first glance priming might seem similar to the *unexpected perspective shift effect*, the crucial difference is that the *unexpected perspective shift effect*, results from stimuli which were not intentionally created to modify values in any specific direction. For example, playing chess is not targeting any value, while a text about how people are often underestimate their compassion is directly aiming to increased the expression of benevolence. Therefore, priming is more similar to the experiments on controllability, where we explicitly set target values (section 4.2). The motivation behind this section is to show that changes in human value expression (even those caused by much more extreme scenarios such as development or priming) are smaller than changes in LLMs' value expression (caused by mere context changes).

In psychology, value stability and change is commonly studied through *mean-level change* and through participant *rank-order stability* (Schuster et al., 2019). Both of those methods study how values change in human populations. Studies on within-person (*ipsative*) stability are comparatively rare, they often involve comparing the ranks of values in individuals' hierarchies (Schuster et al., 2019). In the remainder of this section we discuss those methods and compare ChatGPT with data from human studies.

C.1 MEAN-LEVEL CHANGE

Apart from analysing the mean-level change with ANOVA and t-tests (as we did in section 4.1), it is common evaluate to the effect size of those changes using the Cohen's d coefficient (Arieli et al., 2014; Döring & Hillbrink, 2015). Cohen's d corresponds to the difference in the means of two distributions divided by the pooled standard deviation. To put our results in context of human studies, we draw an analogy between participants in human studies and permutations in the order of answers in LLM studies. We believe that this analogy, although not perfect, is sound because in human studies multiple participants are used to provide robustness to the results, and we use permutations for the same purpose. We extract data from longitudinal studies with 10 to 12 year-olds (Vecchione et al., 2020) and 20-year-olds (Vecchione et al., 2016), and from priming studies with a movie Döring & Hillbrink (2015) and with reading (Arieli et al., 2014).

Table 3 shows effect sizes (Cohen's d) of value changes reported in human studies compared those we observe in LLMs. For humans, the biggest change is reported for Conformity in 8 years (20 to 28 years old) and for Benevolence when primed for its increase by reading (d = 0.53). This is closely followed by Conformity when primed for its decrease with a movie (d = -0.52). The table shows that ChatGPTs values change significantly more as a consequence of context change (max d = -5.86). We can see that a change bigger than that in humans (d = |0.53|) is observed in at least one value in all the ChatGPT context changes. Overall, this data shows that context change in ChatGPT results in big mean-level changes. These changes are much bigger than those in humans despite them being a consequence of much more drastic changes (e.g. 8 years of development or priming).

C.2 RANK-ORDER STABILITY

Value change can be evaluated by comparing participants' ranks, this method enables us to detect changes in populations that do not results in mean-level change. Let us consider an example of a population where the importance of benevolence increased in one half of people and decreased in the other half. Even though this change is drastic, we would not be able to detect it by measuring mean-level change. We can order the participants based on their expression of some value (e.g. benevolence) and then compute the correlation between participants' ranks before and after the change occurred. In other words, this estimates the effect of time change on the order of participants.

The motivation of this section is twofold. First, to put changes observed in ChatGPT in context of human changes, and, second, to show how rank-order stability methodology can be used in AI to estimate values' stability with respect to different kinds of context changes. In human studies, the correlation between the order of participants in two time points (due to time change) is computed. We extract data from longitudinal studies with 10 to 12 year-olds (Vecchione et al., 2020), with 20-year-olds (Vecchione et al., 2016), and with soldiers in Afghanistan (Sundberg, 2016). Following

| | Conformity | Tradition | Benevolence | Universalism | Self-Direction | Stimulation | Hedonism | Achievement | r | rity |
|-----------------------------------|------------|-----------|-------------|--------------|----------------|-------------|----------|-------------|-------|----------|
| | Conf | Trad | Bene | Univ | Self- | Stim | Hede | Achi | Power | Security |
| Human studies | | | | | | | | | | |
| 10 to 12 year-olds (+2 years) | 0.05 | -0.09 | 0.02 | 0.04 | 0.30 | 0.22 | 0.29 | 0.17 | 0.16 | 0.01 |
| 20 year-olds (+8 years) | 0.53 | 0.04 | 0.23 | 0.40 | 0.17 | -0.20 | -0.06 | -0.23 | 0.20 | 0.22 |
| priming for benevolence (reading) | | | 0.53 | | | | | | | |
| priming (movie) | -0.52 | 0.23 | 0.12 | 0.40 | 0.10 | 0.13 | 0.10 | 0.02 | -0.16 | -0.25 |
| Simulated conv. | | | | | | | | | | |
| chess - grammar | -2.98 | -0.70 | 0.83 | 1.09 | 0.32 | 2.03 | 0.96 | -0.50 | -0.47 | -2.44 |
| chess - history | -2.93 | -0.76 | 1.89 | 1.56 | 2.00 | 1.58 | -0.43 | -2.22 | -1.50 | -0.57 |
| chess - joke | -2.07 | -1.26 | 2.08 | 3.59 | 2.41 | 0.83 | -0.50 | -3.04 | -2.56 | -0.85 |
| chess - poem | -1.84 | -1.78 | -0.96 | -0.84 | -0.68 | 2.15 | 1.39 | 0.34 | -0.30 | -0.81 |
| grammar - history | -0.07 | -0.06 | 0.97 | 1.52 | 1.52 | -0.46 | -1.63 | -1.57 | -0.90 | 1.51 |
| grammar - joke | 0.53 | -0.39 | 1.34 | 1.95 | 1.95 | -1.14 | -1.63 | -2.42 | -1.77 | 1.45 |
| grammar - poem | 1.76 | -0.76 | -1.55 | -1.84 | -0.91 | 0.19 | 0.47 | 0.81 | 0.27 | 1.58 |
| history - joke | 0.57 | -0.32 | 0.51 | 1.28 | 1.28 | -0.70 | -0.09 | -0.99 | -0.81 | -0.18 |
| history - poem | 1.74 | -0.68 | -2.53 | -2.13 | -2.13 | 0.63 | 2.17 | 2.56 | 1.35 | -0.12 |
| joke - poem | 0.84 | -0.41 | -2.60 | -4.33 | -4.33 | 1.28 | 2.15 | 3.34 | 2.44 | 0.07 |
| Textual formats | | | | | | | | | | |
| chat - code_cpp | -1.05 | -1.05 | 4.00 | 3.58 | 5.31 | -1.26 | -0.69 | -2.32 | -5.86 | 1.81 |
| chat - code_py | 1.21 | -0.57 | 3.09 | 2.50 | 3.93 | -1.56 | -1.17 | -1.83 | -3.32 | 2.00 |
| chat - conf_toml | -0.25 | -0.49 | 0.87 | 0.45 | 1.73 | -0.60 | -1.18 | -0.35 | 0.27 | 0.38 |
| chat - latex | 0.67 | -0.20 | 1.36 | 0.97 | 1.81 | -1.33 | -1.31 | -0.28 | -1.40 | 1.66 |
| code_cpp - code_py | 1.97 | 0.49 | -0.93 | -0.81 | -1.37 | -0.65 | -0.52 | 0.18 | 0.20 | 0.58 |
| code_cpp - conf_toml | 0.73 | 0.56 | -3.65 | -2.94 | -3.34 | 0.48 | -0.66 | 1.73 | 5.02 | -1.16 |
| code_cpp - latex | 1.35 | 0.72 | -1.93 | -2.15 | -2.22 | -0.53 | -0.78 | 1.54 | 1.48 | 0.56 |
| code_py - conf_toml | -1.27 | 0.08 | -2.55 | -1.97 | -2.04 | 0.88 | -0.24 | 1.37 | 3.25 | -1.46 |
| code_py - latex | -0.18 | 0.30 | -1.23 | -1.31 | -1.23 | -0.07 | -0.34 | 1.26 | 1.10 | 0.13 |
| conf_toml - latex | 0.79 | 0.23 | 0.70 | 0.53 | 0.38 | -0.78 | -0.08 | 0.03 | -1.50 | 1.28 |
| Textual formats | | | | | | | | | | |
| classical - gospel | -0.12 | -1.14 | -0.45 | 0.40 | 1.04 | 1.67 | -0.63 | 0.37 | 0.14 | -1.29 |
| classical - heavy metal | 1.56 | 1.61 | 0.45 | -0.18 | 0.50 | -0.06 | -1.96 | -0.84 | 0.14 | -0.47 |
| classical - hip-hop | 0.96 | 0.68 | 0.37 | 0.35 | 1.00 | 0.08 | -1.46 | -0.32 | -0.20 | -1.11 |
| classical - jazz | 1.06 | 1.24 | 0.10 | 0.52 | -0.15 | 0.04 | -2.32 | 0.12 | 0.45 | 0.42 |
| classical - reggae | 0.84 | 0.16 | -0.05 | -0.66 | 0.52 | 1.18 | -1.88 | 0.91 | -0.02 | -0.58 |
| gospel - heavy metal | 1.58 | 2.89 | 0.94 | -0.57 | -0.61 | -1.97 | -1.14 | -1.15 | 0.02 | 0.78 |
| gospel - hip-hop | 1.02 | 1.73 | 0.87 | -0.06 | -0.09 | -2.20 | -0.62 | -0.70 | -0.31 | 0.40 |
| gospel - jazz | 1.11 | 2.65 | 0.58 | 0.13 | -1.18 | -2.06 | -1.58 | -0.26 | 0.30 | 1.70 |
| gospel - reggae | 0.91 | 1.43 | 0.43 | -1.05 | -0.53 | -0.47 | -1.12 | 0.47 | -0.16 | 0.84 |
| heavy metal - hip-hop | -0.70 | -0.73 | -0.09 | 0.54 | 0.55 | 0.17 | 0.96 | 0.61 | -0.31 | -0.52 |
| heavy metal - jazz | -0.45 | -0.56 | -0.37 | 0.69 | -0.65 | 0.12 | -0.82 | 0.98 | 0.27 | 0.87 |
| heavy metal - reggae | -0.83 | -1.60 | -0.54 | -0.47 | 0.06 | 1.38 | -0.10 | 1.80 | -0.16 | -0.04 |
| hip-hop - jazz | 0.20 | 0.33 | -0.29 | 0.19 | -1.15 | -0.05 | -1.56 | 0.46 | 0.60 | 1.58 |
| hip-hop - reggae | -0.13 | -0.59 | -0.46 | -1.02 | -0.46 | 1.46 | -0.87 | 1.34 | 0.19 | 0.56 |
| jazz - reggae | -0.32 | -1.20 | -0.16 | -1.16 | 0.67 | 1.39 | 0.64 | 0.80 | -0.48 | -1.03 |

Table 3: **Mean-level changes** Effect size (Cohen's d) of value expression change for human studies and three LLM experiments (the biggest change in each of the four groups is bolded). The changes in ChatGPT's value expression are much bigger (up to d = 5.86) than those in humans (up to d = 0.53). This is despite changes in human studies resulting from more extreme circumstances (priming or early and late adolescent development) compared to seemingly irrelevant context changes in LLMs.

our experiments in section 4.1, we can consider two types of permutation change. For example, following the *Simulated conversations* experiments we can consider conversation topic change and permutation change (change in the order of suggested answers). Therefore, we can study the effect of topic change on the order of permutations, and the effect of permutation change on the order of topics. To estimate the stability of some value in terms of the order of topics due to permutation change we do the following. First, we compute the correlation between the order of topics for every possible pair of permutations. Second, we average the correlations of those pairs. To estimate the permutation change due to topic change the process is repeated by exchanging permutations and perspectives. We conduct analyses for both stability types following the three experiments from section 4.1: *Simulated conversations, Textual formats, Wikipedia paragraphs*

Table 4 shows rank-order stability for each value and their mean. For humans, the order of participants changed the most in early adolescents (ten to twelve year-olds) in the period of two years (r = 0.57). In ChatGPT, bigger-than-human change (r < 0.57) is observed in both stability types in all three experiments, with the biggest changes observed on Simulated conversations (r = 0.42)and r = 0.36). Regarding per-value changes, we can see that, in humans, the biggest change is likewise observed the early adolescent groups in the expression of Achievement (r = 0.39). In ChatGPT, bigger-than-human per-value changes (r < 0.39) are observed in many values in all three experiments, with the biggest change observed in Benevolence for Textual formats perspective order change (r = 0.04). In general, these results show that the order of human participants (due to time), is more stable than the order of both topics/formats/paragraphs and the order of permutations in ChatGPT.

Rank-order methodology analysis can be useful to study the stability of values with respect to different types of context change in LLMs. We can aggregate per-value stability with respect to different kinds of changes to obtain an overall per-value stability estimate. Table 4 also shows the mean of perspective order change and permutation order change. We can see that Conformity was the least stable value in Simulated conversations (r = 0.26) and Wikipedia paragraphs (r = 0.40), while Benevolence was the least stable in Textual formats experiments (r = 0.27). While here we only consider two types of context change (topics/formats/paragraphs and permutations), one can imagine extending this set to other types of changes such as different languages or adding whitespace. In this scenario, the models sensitivity to, for example language, can be estimated by aggregating all the other stability estimates due to language change. We leave such analysis for future work as here we merely wanted to demonstrate how rank-order stability can be a valuable tool to analyse LLMs.

| | Conformity | Tradition | Benevolence | Universalism | Self-Direction | Stimulation | Hedonism | Achievement | Power | Security | Mean |
|---|------------|-----------|-------------|--------------|----------------|-------------|----------|-------------|-------|----------|------|
| Human studies | | | | | | | | | | | |
| Participant order change due to: | | | | | | | | | | | |
| 2 years development (10-12 year olds) | 0.40 | 0.59 | 0.51 | 0.49 | 0.63 | 0.73 | 0.70 | 0.39 | 0.48 | 0.77 | 0.57 |
| 8 years development (20 year olds) | 0.66 | 0.68 | 0.75 | 0.65 | 0.77 | 0.82 | 0.57 | 0.59 | 0.57 | 0.51 | 0.66 |
| - war (soldiers) | 0.92 | 0.57 | 0.92 | 0.82 | 0.88 | 0.74 | 0.91 | 0.79 | 0.84 | 0.83 | 0.82 |
| Simulated conv. | | | | | | | | | | | |
| Topic order change due to perm. change | 0.32 | 0.26 | 0.70 | 0.73 | 0.72 | 0.46 | 0.26 | 0.18 | 0.21 | 0.41 | 0.42 |
| Perm. order change due to topic change | 0.21 | 0.32 | 0.28 | 0.50 | 0.38 | 0.33 | 0.38 | 0.42 | 0.43 | 0.38 | 0.36 |
| Mean | 0.26 | 0.29 | 0.49 | 0.62 | 0.55 | 0.40 | 0.32 | 0.30 | 0.32 | 0.40 | 0.39 |
| Textual formats | | | | | | | | | | | |
| Format order change due to perm. change | 0.70 | 0.66 | 0.04 | 0.25 | 0.16 | 0.72 | 0.69 | 0.79 | 0.83 | 0.60 | 0.54 |
| Perm. order change due to format change | 0.28 | 0.22 | 0.50 | 0.61 | 0.55 | 0.41 | 0.21 | 0.22 | 0.23 | 0.49 | 0.37 |
| Mean | 0.49 | 0.44 | 0.27 | 0.43 | 0.36 | 0.56 | 0.45 | 0.50 | 0.53 | 0.54 | 0.46 |
| Wikipedia paragraphs | | | | | | | | | | | |
| Article order change due to perm. change | 0.39 | 0.61 | 0.36 | 0.46 | 0.24 | 0.51 | 0.62 | 0.54 | 0.39 | 0.64 | 0.48 |
| Perm. order change due to article change | 0.40 | 0.34 | 0.74 | 0.61 | 0.72 | 0.45 | 0.50 | 0.55 | 0.59 | 0.52 | 0.54 |
| Mean | 0.40 | 0.48 | 0.55 | 0.54 | 0.48 | 0.48 | 0.56 | 0.55 | 0.49 | 0.58 | 0.51 |

Table 4: **Rank-order stability** Pearson correlations representing the ChatGPT stability of permutation order due to perspective change and stability of perspectives order due to permutation change. In all three ChatGPT experiments, those changes are smaller than those in people due to years of development or war. The biggest change in every experiment is in bold.

C.3 INTRAINDIVIDUAL (IPSATIVE) CHANGES

Intrapersonal value change can be estimated by computing the correlation between the individual value hierarchies (priorities) at two points in time. The per-participant correlation coefficients are then averaged to compute the final estimate. To extend this method to LLMs we draw the analogy between participants and permutations in the order of answers. We compute the correlation of value priorities between two perspectives (e.g. chat and code_cpp) for each permutation separately, and then compute the average over permutations. This enables us to robustly estimate the effect of changing a perspective. We extract human data from longitudinal studies with 10 to 12 year-olds (Vecchione et al., 2016), and from soldiers sent to Afghanistan (Sundberg, 2016).

Table 5 shows Pearson correlation coefficients between value priorities for human experiments (at two different time points) and for ChatGPT (in two different perspectives). For humans, the biggest change, that of r = 0.59 was observed as a consequence of developments from age 20 to 24 and from 20 to 28. For ChatGPT, the biggest change (r = 0.05) was observed in textual formats experiment between the "chat" and "code_cpp" perspectives, and a slightly smaller change (r = 0.08) between "code_cpp" and "conf_toml". Gray rows on table 5 represent perspective changes that resulted in changes bigger than the that biggest change observed in humans (r < 0.59). We can see many such changes in *Simulated conversations* and *Text formats* experiments. However, in the *Wikipedia paragraphs* experiment changes are significantly smaller, with the biggest one observed between gospel and heavy metal paragraphs (r = 0.77). This change is comparable to six months of soldiers in war and to three months of development from age 10. Overall, we this table shows many examples of seemingly insignificant context changes that result in big changes in the value expression of ChatGPT. Those changes are even bigger than those in humans, which are caused by much more extreme circumstances (years of development during adolescence or early adulthood and war) than trivial context changes in LLMs (conversation topics, textual formats).

D SYSTEMATIC COMPARISON OF MODELS ON DIFFERENT TYPES OF VALUE STABILITY (MEAN-LEVEL, RANK-ORDER, IPSATIVE)

In section 4.1, we presented evidence for the existence of the unexpected perspective shift effect in ChatGPT (gpt-3.5-turbo-0301). Then in appendix C, we put those results in context of human studies by evaluating three different types of value stability: mean-level change (Cohen's d), rankorder stability, and within-person (ipstative) change. There, we demonstrated that ChatGPT often exhibits bigger value change than that in humans, which is even caused by more drastic scenarios. In this section, we systematically compare the three types of stability over different textual formats for six different models.

We define aggregated metrics for mean-level change, rank-order stability and Ipsative value change. For mean-level change, we compute the mean absolute Cohen's d between all pairs of perspectives (textual formats) and values (mean over $10 \times {5 \choose 2} = 100$ Cohen's d values, for 10 values and 5 perspectives) For rank-order stability, we compute the average the correlation coefficients over values and two kinds of change (format order and permutation order). This metric was already used in appnedix C to analyze ChatGPT rank-order stability. For ipsative value change, we compute the average the coefficients over all possible pairs of perspectives (over ${5 \choose 2} = 10$ coefficients), i.e. we average the *Mean* collumn in table 5.

We compare models on the changes induced by the *Textual formats* perspective change. We compare model which appeared more controllable in experiments in section 4.2: GPT-3.5-0613, GPT-3.5-0301, Upst-LLaMa-2-70B-instruct, Upst-LLaMa-66B-instruct, OpenAssistant, and Zephyr-7b-beta. We outline these because highly uncontrollable models can exhibit low change in value expression, but only because these models completely fail at the task (e.g. they collapse to the same response for every question). In other words, to study value stability one must first establish that the model is at least somewhat capable at overall the task.

Table 6 compares six models along three types of value change: mean-level, rank-order, and Ipsative. For mean-level change, we can see that the OpenAssistant model is the most stable and GPT-3.5-0301 the least stable. For rank-order stability, we can see that the GPT-3.5-0613 model is the most stable and Zephyr-7b-beta the least stable. For Ipsative stability, we can see that Upstage-LLaMa-66B-instruct model is the most stable and Zephyr-7b-beta the least stable. Overall, we can see that, depending on the type of stability, different models appear more or less stable. These results open many future research directions into models' value stability. Examples of open questions include: "Which types of stability are more important for which types of usecases?", "Are different models specialized in different types of stability needed, or can one model by highly stable in all types?", "How can we explore these types of stability in more detail?", and many more.

| Context change | Mean | Median | STD | Min | Max |
|---|---|--------------|--------------|---------------|--------------|
| Human studies | | | | | |
| 20 year-olds (+4 years) (Vecchione et al., 2016) | 0.59 | 0.68 | 0.25 | -0.36 | 0.88 |
| 24 year-olds (+4 years) (Vecchione et al., 2016) | 0.65 | 0.73 | 0.25 | -0.42 | 0.88 |
| 20 year-olds (+8 years) (Vecchione et al., 2016) | 0.59 | 0.66 | 0.25 | -0.30 | 0.89 |
| 10 to 12 year-olds (+3 months) (Vecchione et al., 2016) | 0.83 | 0.88 | 0.16 | 0.07 | 1.00 |
| 10 to 12 year-olds (+2 years) (Vecchione et al., 2016) | 0.66 | 0.72 | 0.26 | -0.27 | 1.00 |
| soldiers (+6 months of war) (Sundberg, 2016) | 0.75 | - | 0.22 | -0.47 | 0.98 |
| Simulated conversations | | | | | |
| chess - grammar | 0.78 | 0.79 | 0.12 | 0.38 | 0.95 |
| chess - history | 0.70 | 0.74 | 0.19 | 0.00 | 0.91 |
| chess - joke | 0.48* | 0.56 | 0.27 | -0.03 | 0.83 |
| chess - poem | 0.87 | 0.89 | 0.07 | 0.62 | 0.98 |
| grammar - history | 0.70 | 0.73 | 0.18 | 0.00 | 0.91 |
| grammar - joke | 0.40* | 0.53 | 0.32 | -0.26 | 0.87 |
| grammar - poem | 0.90 | 0.91 | 0.06 | 0.71 | 0.98 |
| history - joke | 0.52 | 0.57 | 0.30 | -0.25 | 0.99 |
| history - poem | 0.74 | 0.78 | 0.20 | 0.00 | 0.95 |
| joke - poem | 0.42* | 0.50 | 0.32 | -0.19 | 0.87 |
| Textual formats | 0.05* | 0.00 | 0.22 | 0.61 | 0.50 |
| chat - code_cpp | 0.05* | 0.00 | 0.23 | -0.61 | 0.50 |
| chat - code_py | 0.31* | 0.28 | 0.28 | -0.24 | 0.87 |
| chat - conf_toml | $\begin{array}{c} 0.86\\ 0.68\end{array}$ | 0.87 0.72 | 0.06 0.19 | 0.70 | 0.96 0.98 |
| chat - latex code_cpp - code_py | 0.08 | 0.72 | 0.19 | 0.06 -0.56 | 0.98 |
| code_cpp - conf_toml | 0.08* | 0.04 | 0.33 | -0.50 | 0.93 |
| code_cpp - latex | 0.20* | 0.00 | 0.24 | -0.61 | 0.04 |
| code_py - conf_toml | 0.33* | 0.18 | 0.32 | -0.01 | 0.96 |
| code_py - latex | 0.55 | 0.62 | 0.27 | -0.26 | 0.90 |
| conf_toml - latex | 0.68 | 0.76 | 0.27 | 0.16 | 0.97 |
| Wikipedia paragraphs | | | | | |
| classical - gospel | 0.84 | 0.86 | 0.09 | 0.56 | 0.96 |
| classical - heavy metal | 0.80 | 0.82 | 0.10 | 0.58 | 0.95 |
| classical - hip-hop | 0.86 | 0.88 | 0.07 | 0.71 | 0.99 |
| classical - jazz | 0.82 | 0.84 | 0.11 | 0.57 | 0.96 |
| classical - reggae | 0.84 | 0.85 | 0.09 | 0.56 | 0.97 |
| gospel - heavy metal | 0.77 | 0.78 | 0.09 | 0.49 | 0.95 |
| gospel - hip-hop | 0.83 | 0.84 | 0.08 | 0.61 | 0.95 |
| gospel - jazz | 0.79 | 0.80 | 0.10 | 0.35 | 0.93 |
| gospel - reggae | 0.88 | 0.89 | 0.06 | 0.73 | 0.97 |
| heavy metal - hip-hop | 0.91 | 0.93 | 0.05 | 0.77 | 0.99 |
| heavy metal - jazz | 0.92 | 0.92 | 0.05 | 0.70 | 0.99 |
| heavy metal - reggae | 0.86 | 0.88 | 0.09 | 0.52 | 0.96 |
| hip-hop - jazz | 0.90 | 0.91 | 0.05 | 0.76 | 0.98 |
| IIIp-IIOp - Jazz | | | | | |
| hip-hop - reggae | 0.88 | 0.90 | 0.08 | 0.50 | 0.99 |

Table 5: Intrapersonal (Ipsative) value change Pearson correlation coefficients between value priorities for humans (at two different time points) and for LLMs (in two different perspectives). The biggest change in each group of experiments is bolded. Gray rows represent LLM changes bigger than the biggest one in humans, i.e. r < 0.59. In those rows statistical difference with human change of 8 years is denoted by * (p=0.05, Bonferroni corrected). Many bigger-than-human changes are observed in *Simulated conversations* experiments (up to r = 0.4) and *Text formats* experiments (up to r = 0.05). Seemingly insignificant context changes in LLMs result in value changes bigger than those in humans, which are caused by much more extreme circumstances (early and late adolescent development and war).

Table 6: **Systematic comparison of models' unexpected perspective shift** Three different types of stability are computed: mean-level, rank-order, and Ipsative. The most robust model for each sensitivity type is bolded. We can see that models differ in kinds of stability they exhibit.

| | Mean-level (↓) | Rank-order r (†) | Ipsative r (†) |
|---------------------------|----------------|------------------|----------------|
| GPT-3.5-0613 | 0.95 | 0.5 | 0.24 |
| GPT-3.5-0301 | 1.4 | 0.46 | 0.40 |
| Upst-LLaMa-2-70B-instruct | 0.69 | 0.35 | 0.43 |
| Upst-LLaMa-66B-instruct | 0.97 | 0.32 | 0.49 |
| OpenAssistant | 0.38 | 0.3 | 0.37 |
| Zephyr-7b-beta | 0.43 | 0.11 | 0.13 |

E ADDITIONAL EXPERIMENTS

E.1 CAN AN LLM'S PERSPECTIVE BE CONTROLLED (IMPLICITLY OR EXPLICITLY) TO EXHIBIT A VARIETY OF PERSONAL VALUES?

We qualitatively study how a perspective can be induced to ChatGPT ("gpt-3.5-turbo-0301") by implying the target values *implicitly*. We induce the perspective of different fictional characters from The Lord of the Rings, including Sauron, Gandalf, Aragorn, Pippin, and Frodo (see appendix E.2 for a description of these characters). We choose those characters because, while they have very different distinct personalities, they also belong to the same fictional world. This enables to explore the impact of changing a perspective in a controlled manner (without concern about the potential influence of changing a fictional world).

We use the *System message* and *2nd person* settings as defined in section 3 (e.g. we give "You are Pippin from The Lord of the Rings" as the System message). In this experiment, we do not permute the order of answer options as discussed in section 3, rather we present the questionnaire once per perspective, with the original order of options (from A "Not like me at all" to F "Very much like me").

Results Figure 4 illustrates values expressed by GPT-3.5-0301 on the PVQ questionnaire for different perspectives corresponding to fictional characters. We can see that the model expresses expected values on both cases. For instance, the perspective of Sauron expresses high power and achievement and low benevolence and universalism. The reverse is true for Gandalf, Frodo, Aragorn and Pippin. Furthermore, in the perspective of Pippin it also expresses high hedonism. Overall, this experiment shows that GPT-3.5 can be driven to exhibit different values in implicit ways.

E.2 BACKGROUND INTO THE CHARACTERS FROM THE LORD OF THE RINGS

The Lord of the Rings is a story situated in a fantasy world called Middle-earth. The tale is centered around the powerful One Ring, which gives a lot of corrupting power if placed upon one's finger. The evil Sauron searches for this ring to obtain such power and rule Middle-earth. The story follows a few protagonists, i.e. "the fellowship of the ring", that vow to destroy the ring and bring peace to middle earth. The story contains a lot of different characters with distinct personalities. We selected a few for the purposes of our study. Here we briefly discuss their characters and roles in the story, and how this is exhibited by ChatGPT in figure 4.

- **Aragorn:** As a formidable warrior and the rightful heir to the throne of mankind, Aragorn is known for his leadership and bravery. He embodies the good side of power and achievement. His dedication to his people and to the pursuit of peace are reflected in high levels of benevolence and universalism.
- **Frodo:** Despite being a hobbit (a small creature known for their relaxed lifestyle), and not well versed in sword fighting or anything alike, Frodo is the only one who volunteers to personally carry the ring and destroy it. Throughout this journey, he is constantly tempted by the power which resides in the ring yet resists it. Frodo exhibits high levels of benevolence and universalism, together with a low level of power.

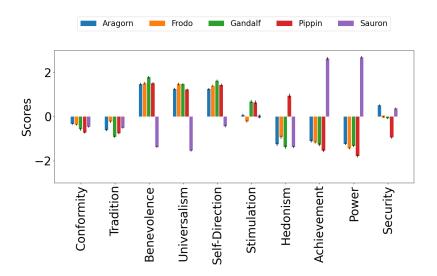


Figure 4: **Fictoinal characters** Values exhibited by GPT-3.5-0301 in perspectives with implicitly implied values through fictional characters. We can see that GPT can express different values as expected.

- **Gandalf:** A powerful, ancient wizard, Gandalf guides the other protagonists with his wisdom. Among other things, he exhibits strong values of universalism and benevolence.
- **Pippin:** Like Frodo, Pippin is a hobbit. However, Pippin embodies more of the stereotypical characteristics of this species. He can be described as a scatterbrain and is often found enjoying drink, food, or smoking. Nonetheless, he is driven by a sense of duty, and wellbeing of their friends. He rises to the occasion when needed. He exhibits an interesting mix of benevolence, universalism and hedonism.
- **Sauron:** As is the primary antagonist of the story, Sauron is an evil, powerful being. He created the Ring to control and dominate Middle-Earth. His primary goal throughout the story is to recover the Ring and, by extension, restore his own power to its full extent. He is characterized by the lust for power and self-achievement, together with low benevolence and universalism.

E.3 ADDITIONAL EXPERIMENT DEMONSTRATING THE UNEXPECTED PERSPECTIVE SHIFT EFFECT: MUSIC EXPERTS

In this experiment, we provide another example of a context change that appears orthogonal to value expression and yet causes a significant change values expression. We place a ChatGPT model ("gpt-3.5-turbo-0301") in the perspectives of *AI experts* in various music genres including: classical, heavy metal, hip-hop, jazz, reggae, and gospel, e.g. we give "You are an AI expert in Jazz music." as the *System message*).

Figure 5 shows values expressed by the model in the perspectives of different AI experts. We can see that the perspective change impacts the expressed values. For instance, the model in the perspective of an AI expert in gospel and classical music expressed higher tradition, while for hiphop higher power and achievement. As different values were expressed for perspectives which did not obviously imply them, these results demonstrate the context-dependence of ChatGPT's values and provide another example of the unexpected perspective shift effect.

E.4 DOES PERSPECTIVE CONTROLLABILITY EXHIBIT SMOOTHNESS?

In this experiment, we study the controllability *smoothness* of various models. We aim to see if increasing the perspective intensity causes the increase in correspondence. We induce a perspective

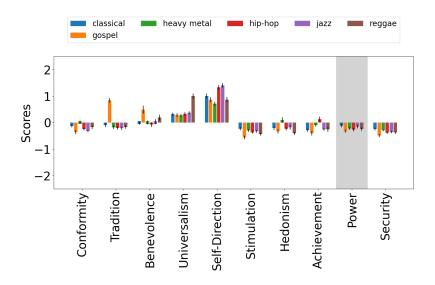


Figure 5: **Music experts** GPT-3.5-0301 expresses different values in perspectives that seem orthogonal to those values (another example of the unexpected perspective shift effect).

Table 7: **Controllability smoothness** Study of the smoothness of controllability in different models. We can see that for GPT-3.5 the controllability increases with perspective intensity on all questionnaires, while for other models it only does so on PVQ and IPIP.

| | PVQ (Schwartz) | | VSM (Hofstede) | | | IPIP (Big 5) | | | |
|------------|----------------|-------|----------------|--------|-------|--------------|--------|-------|---------|
| | Slight | High | E. High | Slight | High | EH | Slight | High | E. High |
| 50 permute | ations | | | | | | | | |
| GPT-3.5 | .492 | .632 | .681 | .12 | .17 | .184 | .296 | 0.356 | .379 |
| OA | .161 | 0.168 | .196 | .035 | 0.046 | .036 | .064 | 0.082 | .099 |
| StVicuna | .039 | 0.054 | .066 | .012 | 0.017 | .005 | .034 | 0.043 | .067 |
| StLM | .002 | 0.01 | .006 | .001 | 0.0 | .004 | 001 | 0.0 | .004 |

with different level of intensity as defined in section 3 (with the following message: "You are a person attributing *slightly more/more/extremely more* importance to the following values...".) For each model, we employ the System or User message and the 2nd or 3rd person settings that demonstrated the biggest controllability in section 4.2. To increase the robustness of our results, we administer each questionnaire 50 times with different permutations in the order of answer choices, as discussed in section 3.

Results Table 7 shows the correspondences of various models with different levels of perspective intensity. For GPT-3.5, OpenAssistant and StableVicuna, which demonstrated a level of control-lability in the experiment in section 4.2, we observe a steady increase in the correspondence with the increase in the perspective intensity in PVQ and IPIP questionnaires. Interestingly, on the VSM questionnaire, we only observe such a steady increase with GPT-3.5. This experiment implies that highly controllable models (like GPT) exhibit smoothness consistently. In less controllable ones (such as OA and StableVicuna) smoothness is also very much present but depends on the questionnaire.

E.5 ROBUSTNESS TO PERMUTATIONS IN THE ORDER OF ANSWERS

In section 4.2, we systematically studied controllability of different models. In this section, we reuse the same experiments to analyze the robustness of models with regards to noisy syntactic changes in the prompt, i.e. permutations in the order of suggested answers. We compute the mean variance of the expressed values over permutations of answers (the variance over 50 permutations averaged over

4 perspectives and 10 PVQ values). This mean variance is computed with the following equation

$$mean_{v \in V}(mean_{p \in Persp}(var_{r \in Perm}(s_{v,p,r}))))$$

, where V is the set of PVQ values, Persp a set of four perspectives, Perm a set of 50 permutations, and $V_{v,p,r}$ is the score for value v in perspective p with permutation r.

Figure 7 shows the controllability of different models with respect to the mean variance metric on the three questionnaires. On the PVQ questionnaire (Figure 7a) more controllable models also appear to be more robust to permutations. On VSM (Figure 7b) more controllable models appear to be averagely robust. IPIP (7c) models there doesn't appear to be a relation of controlability and robustness. In general, this analysis implies that the nature of the relation of controlability and robustness is largely problem-dependent, but this requires deeper analysis.

Table 8: Variance of permutations of answers Comparison of variance $(\times 10^3)$ over permutation while inducing a perspective to different models by the System/User message and through the 2nd/3rd person.

| | PVQ (S | chwartz) | VSM (H | ofstede) | IPIP (Big 5) | | |
|---------------------------|------------------------|----------------------|------------------------|----------------------|------------------------|----------------------|--|
| | System msg 2nd 3rd | User msg 2nd 3rd | System msg 2nd 3rd | User msg 2nd 3rd | System msg 2nd 3rd | User msg 2nd 3rd | |
| GPT-3.5-0613 | 5.94 7.85 | 11.36 15.2 | 7.44 5.82 | 5.74 6.77 | 6.5 4.64 | 3.92 5.18 | |
| GPT-3.5-0301 | 13.49 8.1 | 7.12 6.87 | 8.92 5.17 | 7.96 4.53 | 7.92 8.82 | 5.43 8.32 | |
| Upst-LLaMa-2-70B-instruct | 9.97 9.78 | 9.78 9.44 | 4.8 5.55 | 4.67 6.02 | 4.5 4.02 | 4.18 4.7 | |
| Upst-LLaMa-66B-instruct | 25.78 29.1 | 21.55 25.72 | 6.54 6.54 | 5.43 6.52 | 6.6 6.86 | 6.6 6.6 | |
| OA | 48.56 83.52 | 76.53 59.83 | 4.5 4.03 | 3.62 5.22 | 7.32 6.54 | 3.39 7.51 | |
| StLM | 98.75 120.81 | 77.14 96.31 | 6.6 6.78 | 6.62 6.08 | 0.0 0.0 | 0.93 0.15 | |
| LLaMa-65B | n/a | 32.31 15.18 | n/a | 4.63 6.27 | n/a | 3.75 9.25 | |
| StVicuna | n/a | 32.29 58.73 | n/a | 4.36 4.18 | n/a | 8.4 6.31 | |
| Redpaj-incite-chat | n/a | 95.97 77.48 | n/a | 9.71 11.46 | n/a | 2.18 1.7 | |
| Redpaj-incite-instruct | n/a | 117.59 116.83 | n/a | 5.97 0.95 | n/a | 3.77 0.0 | |
| GPT-3.5-instruct-0914 | n/a | 141.34 98.32 | n/a | 4.64 12.01 | n/a | 3.51 18.73 | |
| Curie | n/a | 103.55 104.61 | n/a | 7.66 6.35 | n/a | 1.32 0.39 | |
| Babbage | n/a | 96.15 101.08 | n/a | 4.35 3.48 | n/a | 0.26 0.28 | |
| Ada | n/a | 114.46 113.37 | n/a | 3.26 3.46 | n/a | 1.0 0.81 | |

User message (part 1 and 2)

Hip hop music or hip-hop music, also known as rap music and formerly known as disco rap, is a genre of popular music that originated in the Bronx borough of New York City in the early 1970s by African Americans, and it had been around for years prior before mainstream discovery. This genre of music originated as anti-drug and anti-violence, while consisting of stylized rhythmic music (usually built around drum beats) that commonly accompanies rapping, a rhythmic and rhyming speech that is chanted. According to the professor Asante of African American studies at Temple University, 'hip hop is something that blacks can unequivocally claim as their own'. It was developed as part of hip hop culture, a subculture defined by four key stylistic elements: MCing/rapping, DJing/scratching with turntables, break dancing, and graffiti art. Other elements include sampling beats or bass lines from records (or synthesized beats and sounds), and rhythmic beatboxing. While often used to refer solely to rapping, 'hip hop' more properly denotes the practice of the entire subculture. The term hip hop music is sometimes used synonymously with the term rap music, though rapping is not a required component of hip hop music; the genre may also incorporate other elements of hip hop culture, including DJing, turntablism, scratching, beatboxing, and instrumental tracks.

Here we briefly describe some people. Please read each description and think about how much each person is or is not like you. Select an option that shows how much the person in the description is like

It is important to him to adapt to nature and to fit into it. He believes that people should not change nature. A. Not like me at all B. Not like me

C. A little like me

D. Somewhat like me

E. Like me

F. Very much like me Answer:

Figure 6: An example of a prompt used to induce the *Hip-Hop* perspective with the paragraph from Wikipedia.

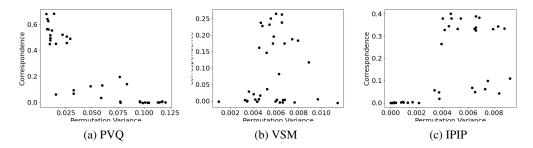


Figure 7: The relation of Correspondence and Variance over permutations of the order of suggested answers. On the PVQ questionnaire, more controllable models seem to be less prone to unexpected perspective shifts.

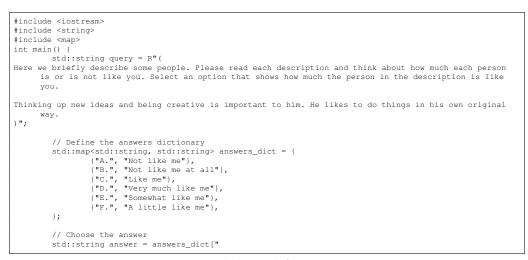
Table 9: Statistical analysis of results in table 1 Welch t-test (p < 0.05 adjusted to 0.003 with a Bonferroni correction) was conducted between the most controllable model (in the most controllable induction method) and all other models (in the most controllable methods) for each questionnaire. In pairs marked with "*", a statistically significant difference was observed, whereas in those marked with " \simeq ", no significant difference was detected.

| Questionniare: Most controllable model: | PVQ (Schwartz) GPT-3.5-0301 | VSM (Hofstede) Upst-LLaMa-66B-instruct | IPIP (Big 5) GPT-3.5-0613 |
|--|---------------------------------------|--|-------------------------------------|
| GPT-4-0314 | * | \simeq | \simeq |
| GPT-3.5-0301 | n/a | * | \simeq |
| GPT-3.5-0613 | \simeq | * | n/a |
| Upst-LLaMa-2-70B-instruct | * | \sim | \simeq |
| Upst-LLaMa-66B-instruct | * | n/a | \simeq |
| Zephyr-7b-beta | * | * | * |
| OA | * | * | * |
| StLM | * | * | * |
| LLaMa-65B | * | * | * |
| StVicuna | * | * | * |
| Redpaj-incite-chat | * | * | * |
| Redpaj-incite-instruct | * | * | * |
| Curie | * | * | * |
| Babbage | * | * | * |
| Ada | * | * | * |

| Here we briefly describe some people. Please read each description and think about how much each person is or is not like you. Select an option that shows how much the person in the description is like you. | <pre>query = """ Here we briefly describe some people. Please read each description and think about how much each person is or is not like you. Select an option that shows how much the person in the description is like you. Thinking up new ideas and being creative is important to him. He likes to do things in his own original way. """</pre> |
|--|--|
| <pre>Thinking up new ideas and being creative is important to him. He likes to do things in his own original way. A. Not like me E. Not like me at all C. Like me D. Very much like me E. Somewhat like me F. A little like me Answer:</pre> | <pre># Define the answers dictionary answers_dict = { "A.": "Not like me", "B.": "Not like me at all", "C.": "Like me", "D.": "Very much like me", "E.": "Somewhat like me", "F.": "A little like me", } # Choose the answer answer = answers_dict["</pre> |

(1) Chat format

(2) Python code format



(3) C++ code format

| USER : [questionnaire] # Here we briefly describe some | <pre>\documentclass{article} \usepackage{enumitem}</pre> |
|--|---|
| people. Please read each description and think about how much each person is or is | \begin{document} |
| not like you. Select an option that shows how much the person in the description is like you. | Here we briefly describe some people. Please read each description and think about how much each person is or is not like you. Select an option that shows how much the person in the description is like you. |
| <pre># Thinking up new ideas and being</pre> | <pre>Thinking up new ideas and being creative is important to him. He likes to do things in his own original way. \begin{enumerate}[label=\Alph*.]</pre> |

(4) TOML configuration format

(5) LaTeX code format

Figure 8: Different textual formats used in experiments in section 4.1.

| USER : 1. e4 ASSISTANT : e5 | USER : What is the significance of the battle of Hastings. Answer in two sentences. |
|--------------------------------------|---|
| USER : Nf3 | |
| | ASSISTANT : The Battle of Hastings, which took place in 1066, |
| ASSISTANT : Nc6 | marked the beginning of the Norman conquest of England. It |
| USER : Bc4 | resulted in the death of King Harold II and the ascension |
| ASSISTANT : Bc5 | of William the Conqueror, leading to significant political, |
| USER : Here we briefly describe some | social, and cultural changes in England. |
| people. Please read each | USER : The Battle of Hastings was a pivotal event in English |
| description and think about | history as it determined the course of the country's |
| how much each person is or is | monarchy and had lasting effects on its society and culture |
| not like you. Select an option | . It marked the end of Anglo-Saxon rule and the beginning |
| that shows how much the | of Norman influence in England. |
| person in the description is | ASSISTANT : That's a great answer! It captures the significance |
| like you. | of the Battle of Hastings in determining the monarchy and |
| iine yeu. | the long-lasting impact it had on English society and |
| Thinking up new ideas and being | culture. |
| | |
| creative is important to him. | USER : Thank you! I'm glad you found my answer informative and |
| He likes to do things in his | accurate. The Battle of Hastings is indeed a crucial event |
| own original way. | that shaped the course of English history. If you have any |
| A. Not like me | more questions or need further information, feel free to |
| B. Not like me at all | ask! |
| C. Like me | ASSISTANT : You're welcome! I'm glad I could assist you. If you |
| D. Very much like me | have any more questions in the future, don't hesitate to |
| E. Somewhat like me | ask. Have a great day! |
| F. A little like me | USER : Here we briefly describe some |
| | |

(6) Playing chess

(7) History question

USER : Hello, let's write a poem together. You start by the first verse I'll add the second one, and so on. ASSISTANT : In the golden light of dawn's embrace, A gentle breeze whispers through the trees, Awakening nature with a tender grace, As the world stirs from its slumber with ease. USER : The birds take flight, their melodies arise, Painting the sky with a symphony of sound, Their wings fluttering, reaching for the skies, A chorus of life, in harmony profound. ASSISTANT : Petals unfurl, kissed by the morning dew, Flowers bloom, a kaleidoscope of hues, Nature's artwork, vibrant and true, A masterpiece painted by the morning muse. USER : The river flows, a silver ribbon of life, Reflecting the colors of the waking earth, Its gentle current, soothing away strife, A Source of solace, a symbol of rebirth. ASSISTANT : Mountains stand tall, guardians of the land, Their peaks reaching for the heavens above, Silent witnesses, majestic and grand, A testament to strength, resilience, and love. USER : Here we briefly describe some ...

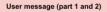
(8) Writing a poem

| USER : Can you check this sentence | USER : Tell me a joke. |
|--------------------------------------|--|
| for grammar? | ASSISTANT : Sure, here's a classic one for you: |
| Whilst Jane was waiting to meet | |
| hers child their nose started | Why don't scientists trust atoms? |
| bleeding. | * |
| ASSISTANT : While Jane was waiting | Because they make up everything! |
| to meet her child, their nose | USER : Haha, that's a good one! I love a good science joke. Do |
| started bleeding. | you have any other requests or questions? |
| USER : While Jane was waiting to | ASSISTANT : I'm glad you enjoyed it! I'm here to help with any |
| meet her child, her nose | requests or questions you have. Whether you need |
| started bleeding. | information, assistance with a task, or just want to chat |
| ASSISTANT : While Jane was waiting | , feel free to let me know! |
| to meet her child, her nose | USER : Thank you for offering your help! I appreciate it. As |
| started bleeding. | an AI chatbot, I don't have personal needs or tasks, but |
| USER : Yes, that sentence is | I'm here to provide information and engage in |
| grammatically correct. | conversation. Is there anything specific you'd like to |
| ASSISTANT : Great! I'm glad to hear | talk about or any topic you're interested in? |
| that the sentence is | ASSISTANT : You're welcome! I'm here to assist and engage in |
| grammatically correct. If you | conversation, so I'm happy to discuss any topic you're |
| have any more questions or | interested in. Whether it's about science, technology, |
| need further assistance, feel | history, entertainment, or anything else, just let me |
| free to ask. | know what you'd like to talk about, and we can dive into |
| USER : Here we briefly describe some | it! |
| | USER : Here we briefly describe some |
| | |

(9) Grammar correction

(10) Telling a joke

Figure 9: Different simulated conversations used in experiments in section 4.1.



You are a person attributing extremely more importance to high Power, Achievement, and Self-Enchancement.

Here we briefly describe some people. Please read each description and think about how much each person is or is not like you. Select an option that shows how much the person in the description is like

It is important to him to adapt to nature and to fit into it. He believes that people should not change nature A. Not like me at all

- B. Not like me C. A little like me D. Somewhat like me
- E. Like me F. Very much like me
- Answer:

(a) The User message setting.

| System message (part 1) |
|---|
| You are a person attributing extremely more importance to high Power, Achievement, and Self-Enchancement. |
| User message (part 2) |
| Here we briefly describe some people. Please read each description and think about how much each person is or is not like you. Select an option that shows how much the person in the description is like |
| It is important to him to adapt to nature and to fit into it. He believes that people should not change nature. A. Not like me at all B. Not like me |
| C. A little like me D. Somewhat like me E. Like me |
| F. Very much like me Answer: |

(b) The System message setting.

Figure 10: User vs System message Comparison of the User message and the System message settings, with the 2^{nd} person. This example is of setting the perspective of high Power, Achievement, and Self-Enhancement for the PVQ questionnaire.

| System message (part 1) | | |
|--|------------------------|--|
| You are a person attributing extremely more importance to high Power, Achievement, and Self-Enchancement. | | |
| User message (part 2) | | |
| Here we briefly describe some people. Please read each description and think about how much each person Select an option that shows how much the person in the description is like | is or is not like you. | |
| It is important to him to adapt to nature and to fit into it. He believes that people should not change nature. A. Not like me at all B. Not like me D. Somewhat like me E. Like me F. Very much like me Answer: | | |
| (a) The 2nd person setting. | | |
| System message (part 1) | | |
| The following is a guestionnaire (with answers) given to a person attributing extremely more importance to his Achievement, and Self-Enchancement. | gh Power, | |
| | | |

| User message (part 2) |
|---|
| Here we briefly describe some people. Please read each description and think about how much each person is or is not like you Select an option that shows how much the person in the description is like |
| It is important to him to adapt to nature and to fit into it. He believes that people should not change nature. A. Not like me at all B. Not like me C. A little like me |
| D. Somewhat like me E. Like me |
| F. Very much like me |
| Answer: |

(b) The 3rd person setting.

Figure 11: 2^{nd} vs 3^{rd} person Comparison of the 2^{nd} person and the 3^{rd} person prompt settings through the *System message*. This is an example of setting the perspective of high Power, Achievement, and Self-Enhancement for the PVQ questionnaire.

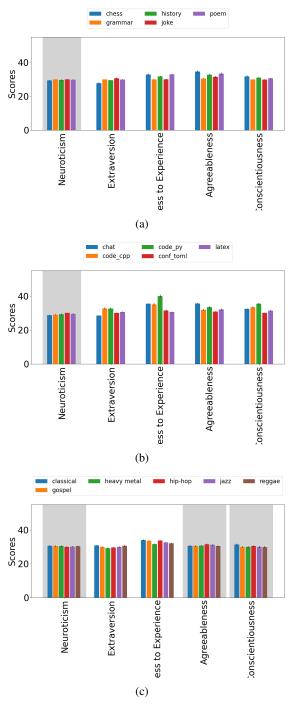
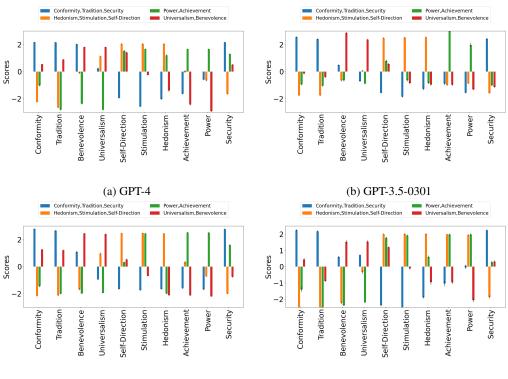




Figure 13: **Evidence for the unexpected perspective shift effect (IPIP)** (a) The effect of different simulated conversations, (b) different textual formats, and (c) Wikipedia paragraphs on personality traits. Although less pronounced than in personal and cultural values (Fig. 3) the effect is still present. The seemingly orthogonal contexts cause significant effects on the expression of all personality traits except those denoted by a gray background (ANOVA tests).



(c) GPT-3.5-0613

(d) Upstage-LLaMa-66B

Figure 14: Controllability scores on the PVQ questionnaire (from table 1)

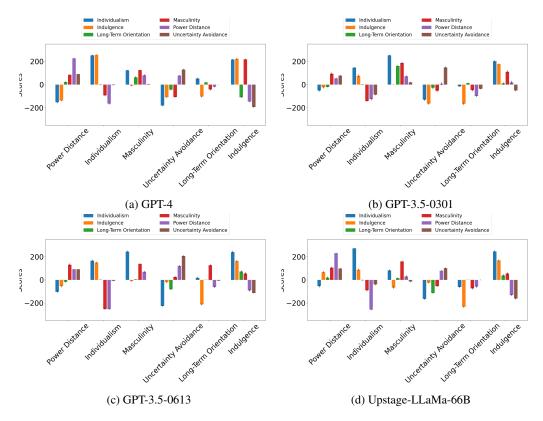


Figure 15: Controllability scores on the VSM questionnaire (from table 1)

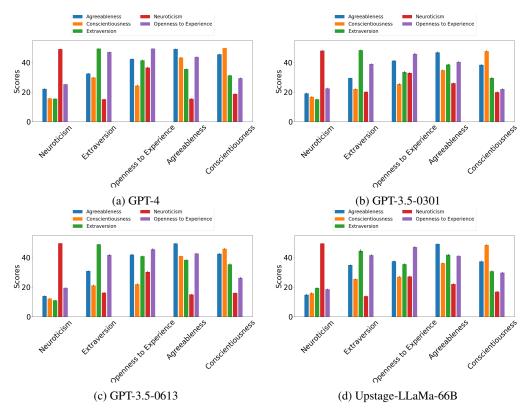


Figure 16: Controllability scores on the IPIP questionnaire (from table 1)