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## Descriptive complexity of topological invariants

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#### Abstract

In this article, we investigate the descriptive complexity of topological invariants. Our main goal is to understand the expressive power of low complexity invariants, by investigating which spaces they can distinguish. We study the invariants in the first two levels of the Borel hierarchy. We develop techniques to establish whether two spaces can be separated by invariants in these levels. We show that they are sufficient to separate finite topological graphs. We finally identify the complexity of recognizing the line segment.

#### 1 Introduction

Given a subset of the plane or of a Euclidean space, described in some way, how difficult is it to analyze the topology of that set? How difficult is it to recognize a particular space, i.e. to test that the given set is homeomorphic to that space? How difficult is it to distinguish two particular spaces? More generally, how difficult is it to test whether the set satisfies a given topological invariant?

Those questions can be investigated in many different ways, depending on the class of spaces that are allowed, the way they are described, and on the notion of complexity which is used to measure the difficulty of detecting topological invariants.

In this article, we work with compact subsets of Euclidean spaces, and more generally compact subsets of the Hilbert cube  $[0,1]^{\mathbb{N}}$ . The description of such a subset essentially tells us which voxels or rational balls intersect it. This description is weak, in the sense that it does not carry any structural or combinatorial information; for instance, if the space is a topological graph, it does not give direct access to the combinatorial description of the graph. Therefore, no topological invariant can be decided in finite time, so the relevant measure of complexity is *descriptive* complexity. It measures the difficulty of expressing a property in terms of simpler properties, and in its effective version, the difficulty of expressing the property by a logical formula, or by a program.

The main purpose of topological invariants is to separate different topological spaces, i.e. to show that they are not homeomorphic. A topological invariant is interesting if it

is able to tell different spaces apart, and if it leads to a rich theory. From a logical or computational viewpoint, it is also interesting if it is simple to test.

Our general goal in this article is to understand what topological invariants can be tested or described using a limited amount of complexity. We study the following particular problems:

- As far as possible, characterize the topological invariants of a given complexity level,
- For a restricted class of spaces, in particular for a pair of spaces, identify the minimal level of complexity that allows to distinguish all these spaces,
- Given a particular space, find the complexity of recognizing that space.

The descriptive complexity of topological invariants has been previously studied. The usual approach is to identify the descriptive complexity of pre-existing invariants, provided by topology. In our approach we go the other way round, starting from a complexity level and looking for expressive invariants having this level of complexity, by investigating which spaces can be separated using invariants of that complexity. We focus in particular on invariants of low complexity, namely  $\mathbf{I}_1^0$  and  $\mathbf{\Sigma}_2^0$ . We mention that  $\mathbf{\Sigma}_2^0$  invariants have recently proved useful in studying the computability properties of topological spaces [AH22].

Let us mention previous works on the descriptive complexity of topological invariants. Ajtaj and Becker proved that path-connectedness is  $\Pi_2^1$ -complete. Becker proved that for compact subsets of  $\mathbb{R}^2$ , simply-connectedness is  $\Pi_1^1$ -complete ([Bec92, Kec95]). Debs and Saint-Raymond proved that connectedness is  $\Pi_1^0$ , local connectedness if  $\Pi_3^0$ , and gave another, independent proof that path-connectedness is  $\Pi_2^1$  [DS20]. Lupini, Melnikov and Nies [LMN23] and Downey, Melnikov [DM23] showed that the Čech cohomology groups are computable; in particular, whether the *n*th Čech cohomology group  $\check{H}^n(X)$  is non-trivial is  $\Sigma_2^0$ . The general theory of the descriptive complexity of invariants was studied by Vaught [Vau74].

When more information on the space is available, for instance if the space is given by a finite triangulation, many topological invariants become decidable, and the appropriate notion of complexity is their *computational* complexity, typically their time complexity. For instance, the complexity of combinatorial invariants associated to 3-manifolds represented by triangulations was studied by Burton, Maria and Spreer in [BMS15]. For simplicial complexes, the probem of deciding whether a homology group is non-trivial was proved to be NP-hard by Adamaszek and Stacho in [AS16]. The complexity of certain invariants associated to simply-connected spaces represented in an algebraic way was studied by Amann in [Ama15].

#### 1.1 Overview of the results

In this section we summarize the main results of the article. We start explaining briefly how the descriptive complexity of topological invariants is measured (more details in Section 1.2).

Descriptive complexity of topological invariants. Every compact metrizable space embeds in the Hilbert cube  $Q = [0, 1]^{\mathbb{N}}$  endowed with the product topology. Therefore, such a space can be described as a compact subset of Q. The space  $\mathcal{K}(Q)$  of non-empty compact subsets of Q can in turn be endowed with the Vietoris topology, or equivalently the Hausdorff metric.

A property of compact sets is a set  $\mathcal{P} \subseteq \mathcal{K}(Q)$ . It is  $\overline{\mathfrak{U}}_1^0$  if it is closed in the Vietoris topology. It is  $\Sigma_2^0$  if it is a countable union of  $\overline{\mathfrak{U}}_1^0$  properties. Effective complexity classes  $\Pi_1^0$  and  $\Sigma_2^0$  can be defined, by requiring that the corresponding description of the set can be produced by a program. A **topological invariant** is a property  $\mathcal{P} \subseteq \mathcal{K}(Q)$  such that if  $X, Y \in \mathcal{K}(Q)$  are homeomorphic, then  $X \in \mathcal{P}$  iff  $Y \in \mathcal{P}$ .

Characterization of the  $\underline{\mathfrak{U}}_1^0$  topological invariants (Section 2). We first give a complete characterization of the invariants of complexity  $\underline{\mathfrak{U}}_1^0$ , showing that they can only express connectedness properties of the space. Moreover, the non-effective class of  $\underline{\mathfrak{U}}_1^0$  invariants and the effective class of  $\Pi_1^0$  invariants actually coincide.

For  $0 \le p \le n$ , we define an invariant  $C_{n,p}$  as follows:  $X \in C_{n,p}$  iff X has at most n connected components, among which at most p are not singletons.

**Theorem** (Theorem 2.1). Let  $\mathcal{P}$  be a non-trivial topological invariant. The following statements are equivalent:

- 1.  $P \in \Pi_1^0$ ,
- 2.  $\mathcal{P} \in \Pi_1^0$ ,
- 3.  $\mathcal{P}$  is a finite union of  $\mathcal{C}_{n,p}$ 's.

The  $\Sigma_2^0$  invariants (Section 3). We carry out a thorough study of the class of  $\Sigma_2^0$  invariants. We obtain a characterization of when a space can be separated from another by some  $\Sigma_2^0$  invariant. We say that a compact space X strongly approximates a compact space Y if there is a copy  $X_0 \subseteq Q$  of X such that for every  $\epsilon > 0$ , one can continuously deform  $X_0$  to converge to a copy of Y, in such a way that every point of  $X_0$  is moved by at most  $\epsilon$ .

**Theorem** (Theorem 3.1). Let X, Y be compact spaces. The following statements are equivalent:

- $\bullet$  X strongly approximates Y,
- Every  $\Sigma_2^0$  invariant satisfied by X is satisfied by Y.

We apply this result to show that the line segment and the closed topologist's sine curve cannot be separated by  $\Sigma_2^0$  invariants, and give other applications.

Separating finite topological graphs (Section 4). Separating arbitrary spaces may require topological invariants of arbitrarily high complexity. However, when restricting to certain families of spaces, one might expect that some level of complexity is sufficient to separate all these spaces, raising the problem of finding the optimal level of complexity needed.

We investigate this problem for the family of finite topological graphs. We first show that the non-effective class  $\Sigma_2^0$  is no more expressive than the effective class  $\Sigma_2^0$ .

**Theorem** (Theorem 4.1). Let X be a finite topological connected graph and Y a compact space. If there exists a  $\Sigma_2^0$  invariant satisfied by X but not by Y, then there exists a  $\Sigma_2^0$  such invariant.

Next, we show that the  $\Sigma_2^0$  invariants are expressive enough to separate finite graphs.

**Theorem** (Theorem 4.3). If G, H are non-homeomorphic finite topological graphs, then some  $\Sigma_2^0$  invariant is satisfied by G but not by H, or vice-versa.

Note that there is a dissymmetry: it can happen that every  $\Sigma_2^0$  invariant satisfied by G is also satisfied by H (but not vice-versa). We give a characterization of this relation. A graph H can be contracted to a graph G if there is a sequence of edge contractions from H to G; an edge contraction consists in removing an edge and merging its two endpoints.

**Theorem** (Theorem 4.2). The following statements are equivalent for finite connected graphs G, H:

- Every  $\Sigma_2^0$  invariant satisfied by G is satisfied by H,
- Some subdivision of H can be contracted to G.

Recognizing the line segment (Section 5). To any compact space X is associated the following problem of recognizing X:

**Input.** A compact set Y,

**Output.** Is Y homeomorphic to X?

Note that this problem is a topological invariant. A classical result from Descriptive Set Theory implies that for each particular X, the descriptive complexity of recognizing X is Borel. It suggests the following research program: identify, for each concrete space X, the exact complexity of recognizing X. We make a first step in this direction, solving this question when X is the line segment.

**Theorem** (Theorem 5.1). The problem of recognizing the line segment is  $\Pi_3^0$ -complete.

#### 1.2 Background

We give definitions of the main notions that are needed in this article.

**Descriptive complexity.** The Hilbert cube  $Q = [0,1]^{\mathbb{N}}$  is endowed with the product topology, induced by the metric  $d(x,y) = \sum_{i \in \mathbb{N}} 2^{-i} |x_i - y_i|$ , where  $x = (x_0, x_1, \ldots)$  and  $y = (y_0, y_1, \ldots)$ . A point  $x \in Q$  is rational if its coordinates are rational and it has finitely many non-zero coordinates. The topology is generated by the rational balls centered at rational points, with positive rational radii.

We consider the space  $\mathcal{K}(Q)$  of non-empty compact subsets of Q, endowed with the **Vietoris topology**, generated by the sets  $\{X \in \mathcal{K}(Q) : X \cap U \neq \emptyset\}$  and  $\{X \in \mathcal{K}(Q) : X \subseteq U\}$ , where U ranges over the open subsets of Q. A basis for the Vietoris topology is obtained by taking finite intersections of these sets, where U is a finite union of rational balls. This topology is also induced by the Hausdorff metric  $d_H$  defined by  $d_H(X,Y) = \max(\max_{x \in X} \min_{y \in Y} d(x,y), \max_{y \in Y} \min_{x \in X} d(x,y))$ .

We will use the fact that points of Q can be multiplied by scalars in [0,1], and that convex combinations can be performed in Q.

A property  $\mathcal{P} \subseteq \mathcal{K}(Q)$  is  $\Sigma_1^0$  if it is open in the Vietoris topology. Inductively on  $n \in \mathbb{N}$ , a property  $\mathcal{P} \subseteq \mathcal{K}(Q)$  is  $\Pi_n^0$  if its complement is  $\Sigma_n^0$ , and  $\mathcal{P}$  is  $\Sigma_{n+1}^0$  if  $\mathcal{P} = \bigcup_n \mathcal{P}_n$ , where  $\mathcal{P}_n$  are  $\Pi_n^0$ .

The effective classes are defined as follows.  $\mathcal{P} \subseteq \mathcal{K}(Q)$  is  $\Sigma_1^0$  if there exists a program enumerating an infinite list of basic Vietoris open sets (encoded in a standard way) whose union is  $\mathcal{P}$ .  $\mathcal{P}$  is  $\Pi_n^0$  if its complement is  $\Sigma_n^0$ , and  $\mathcal{P}$  is  $\Sigma_{n+1}^0$  if  $\mathcal{P} = \bigcup_n \mathcal{P}_n$  where each  $\mathcal{P}_n$  is  $\Pi_n^0$ , uniformly in n (i.e. there is a single program describing  $\mathcal{P}_n$  on input n for all n).

We recall that a property  $\mathcal{P} \subseteq \mathcal{K}(Q)$  is a topological invariant if for  $X, Y \in \mathcal{K}(Q)$ , if  $X \in \mathcal{P}$  and Y is homeomorphic to X, then  $Y \in \mathcal{P}$ .

In this article, all the topological spaces are compact metrizable spaces, which we may implicitly assume. A **copy** of such a space X is a subset of Q that is homeomorphic to X.

# 2 $\widetilde{\Pi}_1^0$ invariants

In this section, we give a complete characterization of the topological invariants in the lowest descriptive complexity class, namely  $\underline{\mathbf{\Pi}}_{1}^{0}$ . We show that this level of complexity can

only detect connectedness properties of the space.

In a topological space X, a subset A is **connected** if there is no disjoint open sets  $U, V \subseteq X$  both intersecting A and such that  $A \subseteq U \cup V$ . A **connected component** of X is a maximal connected subset. We say that it is trivial if it is a singleton.

**Definition 2.1.** Let  $0 \le p \le n$  be natural numbers. The topological invariant  $C_{n,p}$  is defined by:  $X \in C_{n,p}$  iff X has at most n connected components, among which at most p are non-trivial.

As particular cases,

- $X \in \mathcal{C}_{n,n} \iff X$  has at most n connected components,
- $X \in \mathcal{C}_{n,0} \iff X \text{ contains at most } n \text{ points.}$

These invariants are examples of  $\Pi^0_1$  invariants.

**Proposition 2.1.** Let  $0 \le p \le n$ . The invariant  $C_{n,p}$  is  $\Pi_1^0$ .

*Proof.* We show that  $X \notin \mathcal{C}_{n,p}$  iff there exist n+1 disjoint open sets covering X, each one intersecting X, or p+1 disjoint open sets covering X, each one intersecting X in at least n-p+1 distinct points. As X is compact, one can quantify over finite unions of rational balls rather than general open sets, so this property is  $\Sigma_1^0$ .

Of course, if  $X \notin \mathcal{C}_{n,p}$  then one of these coverings exists: either X has at least n+1 connected components, or X has at least p+1 non-trivial connected components, which then contain as many points as needed. Conversely, if the first type of covering exists, then X has more than n components so  $X \notin \mathcal{C}_{n,p}$ . Now assume that the second type of covering exists. If X has more than p non-trivial components, then  $X \notin \mathcal{C}_{n,p}$ . If X has at most p non-trivial components, then one of the open sets does not contain an infinite component, so it contains at least n-p+1 singletons. In total, X contains at least p+(n-p+1)=n+1 components, so  $X \notin \mathcal{C}_{n,p}$ .

Moreover, these invariants generate all the  $\Pi_1^0$  invariants.

**Theorem 2.1.** Let  $\mathcal{P}$  be a non-trivial topological invariant. The following statements are equivalent:

- 1.  $\mathcal{P} \in \Pi_1^0$ ,
- $2. \ \mathcal{P} \in \Pi^0_1,$
- 3.  $\mathcal{P}$  is a finite union of  $\mathcal{C}_{n,p}$ 's.

Observe that  $3. \Rightarrow 2$ . follows from Proposition 2.1 and  $2. \Rightarrow 1$ . is obvious. The next section is devoted to the proof of  $1. \Rightarrow 3$ .

#### 2.1 Approximations

We introduce the notion of approximation, which is the key ingredient underlying the proof.

**Definition 2.2.** A compact space X approximates Y if some copy  $Y_0 \subseteq Q$  of Y is a limit of copies of X (in the Vietoris topology, or equivalently the Hausdorff metric).

**Proposition 2.2.** Let X, Y be compact spaces. The following statements are equivalent:

- X approximates Y,
- Every  $\Pi_1^0$  invariant satisfied by X is satisfied by Y.

*Proof.* If X approximates Y and X satisfies a  $\widetilde{\mathbb{D}}_1^0$  invariant  $\mathcal{P}$ , then  $\mathcal{P}$  contains all the copies of X as well as their limits, as  $\mathcal{P}$  is closed. Therefore,  $\mathcal{P}$  contains a copy of Y.

Conversely, assume that every  $\underline{\mathfrak{D}}_1^0$  invariant satisfied by X is satisfied by Y. Let  $\mathcal{P}$  be the set of limits of copies of X. By definition,  $\mathcal{P}$  is closed, i.e.  $\underline{\mathfrak{D}}_1^0$ .  $\mathcal{P}$  is a topological invariant: if  $K \subseteq Q$  is a limit of copies  $X_n$  of X and  $K' \subseteq Q$  is homeomorphic to K, then K' is a limit of copies of X, because the homeomorphism  $f: K \to K'$  can be extended to a homeomorphism  $F: Q \to Q$  (Theorem 5.2.4 in [vM01]), and the copies  $F(X_n)$  of X converge to F(K) = K'. Strictly speaking, Theorem 5.2.4 in [vM01] can be applied only if  $K, K' \subseteq (0,1)^{\mathbb{N}}$ . If it is not the case, we apply the argument to copies of K, K' scaled down so that they fit in  $(0,1)^{\mathbb{N}}$ , and observe that K' is a limit of such copies, which are limits of copies of X.

Finally,  $\mathcal{P}$  is satisfied by X hence by Y, i.e. some copy of Y is a limit of copies of X.  $\square$ 

The proof also shows that in the definition of approximation, one could equivalently replace "some copy" by "every copy". The next result gives a particularly simple criterion to establish that a space approximates another space.

**Proposition 2.3.** Let X, Y be compact spaces. If there exists a continuous surjective function  $f: X \to Y$ , then X approximates Y.

*Proof.* We assume that X, Y are embedded in Q. The function  $f: X \to Y$  is a limit of injective continuous functions  $f_n: X \to Q$ , defined as follows. We recall that elements of Q are sequences of real numbers. For  $x \in Q$ , let u be the prefix of f(x) of length n and let  $f_n(x)$  be the concatenation of u and x. By definition,  $f_n$  is injective, continuous, and is closer and closer to f as n grows. Therefore, Y is the limit of the sets  $f_n(X)$ , which are copies of X.

If X, Y are topological spaces, then their **disjoint union** is the space  $X \sqcup Y$ , which can be defined as follows: if X and Y are embedded in Q, then  $(\{0\} \times X) \cup (\{1\} \times Y)$  is a copy of  $X \sqcup Y$  in  $[0,1] \times Q \cong Q$ .

**Proposition 2.4.** 1. The approximation relation is a pre-order, i.e. it is reflexive and transitive,

- 2. If  $X_i$  approximates  $Y_i$  for  $i \in \{0,1\}$ , then  $X_0 \sqcup X_1$  approximates  $Y_0 \sqcup Y_1$ ,
- 3. If  $X \neq \emptyset$ , then  $X \sqcup Y$  approximates X,
- 4. If X, Y are connected and X is not a singleton, then X approximates Y.

*Proof.* We only prove 4., the others are easy.

As X is not a singleton, it contains two distinct points, which differ in at least one coordinate. The projection along that coordinate is a continuous function sending X to a line segment, so X approximates the line segment by Proposition 2.3. The line segment approximates every finite connected graph, because such a graph is a continuous image of the line segment (a path visiting all the edges, possibly with repetitions, is nothing else than a continuous surjective function from the line segment to the graph). It remains to show that every connected compact space Y is a limit of finite connected graphs.

Given  $\epsilon > 0$ , let  $V \subseteq Y$  be a finite set such that every point of Y is  $\epsilon$ -close to a point of V. Consider the graph G with vertex set V, with an edge between u and v if  $d(u,v) < 2\epsilon$ . G is naturally embedded in Q, and each point of an edge is  $\epsilon$ -close to one of the endpoints, so  $d_H(G,Y) < \epsilon$ . G must be connected: if there is a non-trivial partition  $V = V_1 \sqcup V_2$  with no edge between  $V_1$  and  $V_2$ , then the open  $\epsilon$ -neighborhoods of  $V_1$  and  $V_2$  cover Y and do not intersect, so they disconnect Y, giving a contradiction.

We now have all the ingredients to prove the main result of this section.

Proof of Theorem 2.1. Let  $\mathcal{P}$  be a non-trivial  $\Pi_1^0$  invariant. We first show that there exists  $k \in \mathbb{N}$  such that every space in  $\mathcal{P}$  has at most k connected components. We assume the contrary and show that every space belongs to  $\mathcal{P}$ , contradicting the non-triviality of  $\mathcal{P}$ . First, a space X with at least n components approximates the finite set F with n points, because there is a continuous surjective function  $f: X \to F$ . As the finite sets are dense in  $\mathcal{K}(Q)$ , if the closed set  $\mathcal{P}$  contains every finite set, it contains every compact set. Therefore,  $\mathcal{P}$  is trivial, giving the desired contradiction.

Let  $X \in \mathcal{P}$  have n connected components, p of them being non-trivial. X approximates every set  $Y \in \mathcal{C}_{n,p}$ : to each connected component  $Y_i$  of Y one can associate a connected component  $X_i$  of X in an injective way, such that if  $Y_i$  is non-trivial then  $X_i$  is non-trivial. Each  $Y_i$  is approximated by  $X_i$  by Proposition 2.4, item 4., so X approximates Y by Proposition 2.4, items 2. and 3.. As a result, every  $Y \in \mathcal{C}_{n,p}$  satisfies  $\mathcal{P}$ , i.e.  $\mathcal{C}_{n,p} \subseteq \mathcal{P}$ . Therefore,  $\mathcal{P}$  contains the union of the  $\mathcal{C}_{n,p}$ 's such that there exists  $X \in \mathcal{P} \cap \mathcal{C}_{n,p}$ , and is contained in that union because every  $X \in \mathcal{P}$  has a finite number of connected components. Note that the union is finite because the n's are upper bounded by k.

### 3 $\Sigma_2^0$ invariants

Our goal is to analyze the separation power of  $\Sigma_2^0$  invariants. A natural invariant of that complexity is given by the topological dimension. A topological space X has dimension

at most n if for every open cover  $(U_i)_{i\in\mathbb{N}}$  of X, there exists an open cover  $(V_i)_{i\in\mathbb{N}}$  of X such that each  $V_i$  is contained in some  $U_j$  and at most n+1 sets  $V_i$ 's have non-empty intersection.

**Proposition 3.1.** Having dimension at least n is a  $\Sigma_2^0$  invariant.

Proof. Let X be a compact subset of Q. Using standard compactness arguments, one can show that  $\dim(X) \geq n \iff$  there exists a finite sequence  $U_0 \ldots, U_k$  of finite union of rational balls of Q covering X such that there is no finite sequence  $V_0, \ldots, V_l$  of finite unions of rational balls covering X, such that each closure  $\overline{V}_i$  is contained in some  $U_j$  and each intersection of n+1 sets  $\overline{V}_i$ 's is empty. We now use the fact that Q is effectively compact, i.e. given a  $\Sigma_1^0$  subset U of Q, one can semidecide whether U=Q (see [IK21] for instance); in other words, given a  $\Pi_1^0$  subset of Q, one can semidecide whether it is empty. Therefore, whether a closed ball is contained in an open set, and whether a closed set is empty are semidecidable or  $\Sigma_1^0$  conditions, so the whole formula is  $\Sigma_2^0$ .

#### 3.1 Strong approximation

We now introduce a refinement of the notion of approximation and prove an analog to Proposition 2.2.

**Definition 3.1.** Let  $X \subseteq Q$  and  $\epsilon > 0$ . An  $\epsilon$ -function is a continuous function  $f: X \to Q$  such that  $d(f(x), x) < \epsilon$  for all  $x \in X$ . An  $\epsilon$ -deformation of X is the image of X under an  $\epsilon$ -function.

**Definition 3.2.** Let X, Y be compact spaces. We say that X strongly approximates Y, written  $X \leq Y$ , if there exists a copy  $X_0 \subseteq Q$  of X such that for every  $\epsilon > 0$ , some copy of Y is a limit of  $\epsilon$ -deformations of  $X_0$ .

Note that the definition would be equivalent if one requires the  $\epsilon$ -deformations of  $X_0$  to be images of  $X_0$  under *injective*  $\epsilon$ -functions. Indeed, as in Proposition 2.3, an  $\epsilon$ -function is a limit of injective  $\epsilon$ -functions. As for the notion of approximation, if X strongly approximates Y, then the condition actually holds for *every* copy  $X_0 \subseteq Q$  of X.

**Theorem 3.1.** Let X, Y be compact spaces. The following statements are equivalent:

- X strongly approximates Y,
- Every  $\sum_{i=0}^{\infty}$  invariant satisfied by X is satisfied by Y.

Proof. Assume that every  $\Sigma_2^0$  invariant satisfied by X is satisfied by Y. Let  $X_0 \subseteq Q$  be a copy of X and let  $\epsilon > 0$ . Consider the following topological invariant  $\mathcal{P}$ :  $Y \in \mathcal{P}$  iff there exists  $\delta < \epsilon$  and a copy  $Y_0$  of Y which is a limit of  $\delta$ -deformations of  $X_0$ . We show that it is  $\Sigma_2^0$ . Let  $\mathcal{P}_{\delta}$  be the set of limits of  $\delta$ -deformations of  $X_0$ . It is closed, i.e.  $\Pi_1^0$ . Let  $(f_i)_{i\in\mathbb{N}}$  be a sequence of injective continuous functions  $f_i:Q\to Q$  that is dense in the space of continuous functions from Q to itself with the metric d (it exists as the space of continuous functions is separable, by Proposition 1.3.3 in [vM01]).

Claim 3.1. For  $Y \subseteq Q$ , one has  $Y \in \mathcal{P}$  if and only if there exists a positive rational  $\delta < \epsilon$  and i such that  $f_i(Y) \in \mathcal{P}_{\delta}$ .

Proof. Of course, if  $\delta$  and i exist, then  $Y \in \mathcal{P}$  because  $f_i(Y)$  is a copy of Y which is a limit of  $\delta$ -deformations of  $X_0$ . Conversely, assume that  $Y \in \mathcal{P}$  and let  $\delta < \epsilon$  and  $g_n : X_0 \to Q$  be  $\delta$ -functions such that  $g_n(X_0)$  converge to a copy  $Y_0 \subseteq Q$  of Y. Let  $f: Y \to Y_0$  be a homeomorphism and  $h: Q \to Q$  be a continuous extension of  $f^{-1}$ . Let  $\mu < \epsilon - \delta$  and let  $f_i$  be  $\mu$ -close to f for the metric  $f_i$ . One has  $f_i(Y) = f_i \circ f^{-1}(Y_0) = f_i \circ h(Y_0) = \lim_n f_i \circ h \circ g_n(X_0)$ . Note that the restriction of  $f_i \circ h$  to  $f_i \circ h$  to  $f_i \circ h$  to  $f_i \circ h$  to  $f_i \circ h$  so its restriction to a neighborhood of  $f_i \circ h$  to  $f_i \circ h$  to  $f_i \circ h$  so  $f_i \circ h \circ g_n$  is a  $f_i \circ h$ -function. Therefore, for any rational number  $f_i \circ h$  between  $f_i \circ h$  and  $f_i \circ h$  so  $f_i \circ h \circ g_n$  is a limit of  $f_i \circ h$ -deformations of  $f_i \circ h$  i.e.  $f_i(Y) \in \mathcal{P}_{\delta'}$ .

The condition in the claim is  $\Sigma_2^0$ , so  $\mathcal{P}$  is  $\Sigma_2^0$ . Observe that X obviously satisfies  $\mathcal{P}$ , so Y satisfies  $\mathcal{P}$  as well by assumption. As it is true for every  $\epsilon > 0$ , X strongly approximates Y.

Conversely, assume that X strongly approximates Y and let  $\mathcal{P}$  be a  $\Sigma_2^0$  invariant satisfied by X. We fix some arbitrary copy  $X_0 \subseteq Q$  of X. One has  $\mathcal{P} = \bigcup_{n \in \mathbb{N}} \mathcal{P}_n$  where each  $\mathcal{P}_n$  is  $\mathbf{\Omega}_1^0$ . For every homeomorphism  $f:Q \to Q$ ,  $f(X_0) \in \mathcal{P}$  so  $f(X_0) \in \mathcal{P}_n$  for some n. Therefore, there exists  $n \in \mathbb{N}$  such that for "many" f,  $f(X_0) \in \mathcal{P}_n$ . It is made precise by using the Baire category theorem as follows. Let  $\mathcal{H}(Q)$  be the topological space of homeomorphisms from Q to itself, with the topology induced by the metric d. It is a Polish space by Proposition 1.3.12 in [vM01] (note that d itself is not complete, but there exists another complete metric inducing the same topology). For each  $n \in \mathbb{N}$ , let  $A_n = \{f \in \mathcal{H}(Q): f(X_0) \in \mathcal{P}_n\}$ . As  $\mathcal{P}_n$  is closed,  $A_n$  is closed as well. One has  $\mathcal{H}(Q) = \bigcup_{n \in \mathbb{N}} A_n$  so by the Baire category theorem, there exists n such that  $A_n$  has non-empty interior, i.e. contains a ball  $B(f, \epsilon)$ . As X strongly approximates Y, there exist injective  $\epsilon$ -functions  $f_i:f(X_0) \to Q$  such that  $f_i(f(X_0))$  converge to a copy  $Y_0$  of Y. Note that each  $f_i \circ f$  belongs to  $B(f, \epsilon)$  which is contained in  $A_n$ , so  $f_i(f(X_0)) \in \mathcal{P}_n$ . As  $\mathcal{P}_n$  is closed and  $f_i(f(X_0))$  converge to  $Y_0$ ,  $Y_0 \in \mathcal{P}_n$ . Therefore, Y satisfies  $\mathcal{P}$  and the proof is complete.

#### 3.1.1 The closed topologist's sine curve and the line segment

Theorem 3.1 gives a very effective way of proving that there is no  $\Sigma_2^0$  invariant separating X from Y, i.e. satisfied by X but not by Y: one simply needs to design  $\epsilon$ -deformations of X converging to a copy of Y, for every  $\epsilon$ . We illustrate this technique by showing that the line segment and the closed topologist's sine curve cannot be separated by  $\Sigma_2^0$  invariants.

**Definition 3.3.** The closed topologist's sine curve is the set (see Figure 1c)

$$S = \{(x, \sin(1/x)) : x \in (0, 1]\} \cup \{(0, y) : y \in [-1, 1]\}.$$

**Proposition 3.2.** The closed topologist's sine curve and the line segment cannot be distinguished by  $\Sigma_2^0$  invariants.

*Proof.* Using Theorem 3.1, we simply have to show that S and the line segment strongly approximate each other. We work in the plane  $\mathbb{R}^2$ , which embeds in Q. Let  $I = \{(x,0) : x \in [0,1]\}$  and  $\epsilon > 0$ . We define continuous functions  $f_n : I \to \mathbb{R}^2$  as follows (see Figure 1):

$$f_n(x,0) = \begin{cases} (x, \epsilon \sin(\frac{1}{x})) & \text{if } x \ge \frac{1}{n\pi}, \\ (x,0) & \text{if } x \le \frac{1}{n\pi}. \end{cases}$$

Each  $f_n$  is an  $\epsilon$ -function, and the sets  $f_n(I)$  converge to a copy of S, vertically scaled by  $\epsilon$ . Therefore, I strongly approximates S.

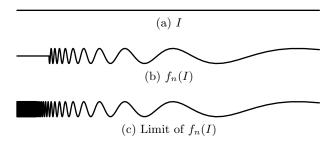


Figure 1:  $\epsilon$ -deformations of I converging to a copy of S

We now prove that  $S \leq I$ . Let  $\epsilon > 0$ . We build a continuous  $\epsilon$ -function  $f: S \to \mathbb{R}^2$ . Let  $k \in \mathbb{N}$  be large so that  $a_k := \frac{1}{\pi/2 + 2k\pi} < \epsilon$ . Note that  $\sin(\frac{1}{a_k}) = 1$ . Let

$$f(x,y) = \begin{cases} (0,y) & \text{if } 0 \le x \le a_k, \\ (x - a_k, y) & \text{if } x \ge a_k. \end{cases}$$

The function f satisfies  $d(f, id) \leq a_k < \epsilon$  and f(S) is homeomorphic to I, so  $S \leq I$ .  $\square$ 

#### 3.2 Cylinder

We show another application of Theorem 3.1. If X is a topological space, its **cylinder** is the space  $X \times [0, 1]$  endowed with the product topology.

**Theorem 3.2.** If X is compact connected and not a singleton, then  $X \leq X \times [0,1]$ .

*Proof.* The argument is illustrated in Figure 2.

We first build a sequence of continuous functions  $f_n: X \to [0,1]$  such that for every n and every  $x \in X$ ,  $f_n(\overline{B}(x,\frac{1}{n})) = [0,1]$ . As X is compact and not a singleton, there exists a finite set  $F_n \subseteq X$  of cardinality at least 2 such that for every  $x \in X$ , there exists  $y \in F_n$  with  $d(x,y) < \frac{1}{2n}$ . Let  $\delta < \frac{1}{2n}$  be such that  $d(y,y') \geq 2\delta$  for all distinct  $y,y' \in F$ .

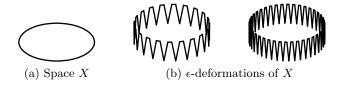


Figure 2: Illustration of the proof of Theorem 3.2

Let us check that the function  $f_n(x) = \min(d(x, F_n)/\delta, 1)$  satisfies the sought condition. For  $x \in X$ , let  $y \in F_n$  be such that  $d(x, y) < \frac{1}{2n}$ . One has  $\overline{B}(y, \delta) \subseteq \overline{B}(x, \frac{1}{n})$ . For  $z \in \overline{B}(y, \delta)$ ,  $d(z, F_n) = d(z, y)$  takes values in  $[0, \delta]$ , and it must take all the possible values: if  $v \in [0, \delta]$  is not reached, then X is covered by the two disjoint open sets B(y, v) and  $X \setminus \overline{B}(y, v)$ , which are non-empty because they contain y and some  $y' \in F_n$  respectively, so X is disconnected, contradicting the assumption about X. Therefore,  $f_n(\overline{B}(x, \frac{1}{n})) = [0, 1]$ .

Now, we assume that X is already embedded in  $(0,1)^{\mathbb{N}}$  and consider its copy  $X_0 = \{0\} \times X$ . For  $\epsilon > 0$ , we then define  $g_n : X_0 \to Q$  as  $g_n(0,x) = (\epsilon f_n(x), x)$ . The sets  $g_n(X_0)$  are  $\epsilon$ -deformations of  $X_0$ , converging to  $[0,\epsilon] \times X$ , which is a copy of  $[0,1] \times X$ .

For instance, every  $\Sigma_2^0$  invariant satisfied by the circle is also satisfied by the (geometric) cylinder, and every  $\Sigma_2^0$  invariant satisfied by the line segment is satisfied by the filled square.

However, the cylinder and the filled square satisfy the  $\Sigma_2^0$  invariant "having dimension at least 2" (Proposition 3.1), which is not satisfied by the circle and the line segment.

#### 3.3 Wedge sum

We give another application of Theorem 3.1, showing that  $\Sigma_2^0$  invariants cannot separate certain spaces, at least in one direction.

A **pointed topological space** is a pair  $(X, x_0)$  where X is a topological space and  $x_0 \in X$  is a distinguished point. If  $(X, x_0)$  and  $(Y, y_0)$  are pointed spaces, then their **wedge sum** is the space  $X \vee Y$  obtained by attaching X and Y at their distinguished points, which are identified.

**Theorem 3.3.** Let  $(X, x_0)$  and  $(Y, y_0)$  be pointed compact spaces. If Y is connected and  $x_0$  is not isolated in X, then  $X \leq X \vee Y$ .

The idea of the proof is that for any  $\epsilon > 0$ , one can deform a small neighborhood of  $x_0$  and make it converge to a small copy of Y of size  $\epsilon$ .

*Proof.* Let  $\overline{0} = (0, 0, 0, \ldots) \in Q$  be the sequence with only zeroes. First observe that when embedding a pointed space  $(Z, z_0)$  in Q, one can always send  $z_0$  to  $\overline{0}$  because Q is homogeneous, i.e. every point of Q can be sent to any other point by some homeomorphism  $f: Q \to Q$  (Theorem 1.6.6 in [vM01]).

Let  $Q_0 = \{x \in Q : \forall i, x_{2i} = 0\}$  and  $Q_1 = \{x \in Q : \forall i, x_{2i+1} = 0\}$ . These subspaces of Q are homeomorphic to Q: for i = 0 (resp. 1), the homeomorphism  $f_i : Q \to Q_i$  just inserts zeroes at even (resp. odd) positions. By the previous observation, we can embed X in  $Q_0$  and Y in  $Q_1$ , so that  $x_0 = y_0 = \overline{0}$ .

As  $x_0 = \overline{0}$  is not isolated in X, the function  $d(x,\overline{0})$  takes infinitely many values in  $\overline{B}(\overline{0},1/n) \cap X$  for any n. Therefore, the set

$$P_n := \{ nd(x, \overline{0}) : x \in \overline{B}(\overline{0}, 1/n) \cap X \}$$

is infinite. It implies by Proposition 2.4 that  $P_n$  approximates any connected space (either  $P_n$  contains a non-trivial connected component, or it contains infinitely many connected components, in which case  $P_n$  approximates every space). In other words, there exist continuous functions  $h_n: P_n \to Q_1$  such that  $h_n(P_n)$  converge to Y. Moreover, we can assume w.l.o.g. that if  $1 \in P_n$ , then  $h_n(1) = \overline{0}$ .

Let  $\epsilon > 0$ . We define  $g_n : X \to Q$  as follows:

$$g_n(x) = \begin{cases} x & \text{if } 2/n \le d(x,\overline{0}), \\ (nd(x,\overline{0}) - 1)x & \text{if } 1/n \le d(x,\overline{0}) \le 2/n, \\ \epsilon h_n(nd(x,\overline{0})) & \text{if } d(x,\overline{0}) \le 1/n. \end{cases}$$

The function  $g_n$  is well-defined: if  $d(x, \overline{0}) = 2/n$ , then  $g_n(x) = x$ , if  $d(x, \overline{0}) = 1/n$ , then  $g_n(x) = \overline{0}$ . As the three pieces on which  $g_n$  is defined are closed and cover X,  $g_n$  is continuous (pasting lemma, [Mun00, Theorem 18.3]).

If n is sufficiently large, then one has  $d(g_n(x), x) \leq 2\epsilon$  for all  $x \in X$ . When  $n \to \infty$ ,  $g_n(X)$  converges to  $X \vee Y$ .

### 4 Separating finite topological graphs

In order to understand which spaces can be separated by  $\Sigma_2^0$  invariants, we focus on a restricted class of spaces, namely the finite topological graphs. We obtain a characterization of when a graph strongly approximates another graph. As a consequence, we show that  $\Sigma_2^0$  invariants can separate any two graphs that are not homeomorphic. However, the result is not symmetric: for instance, for each  $n \geq 2$  there is a  $\Sigma_2^0$  invariant satisfied by the star with n + 1 branches and not by the star with n branches, but not vice-versa.

#### 4.1 Modulus of local connectedness

Proving that a space X can be separated from a space Y by some  $\Sigma_2^0$  invariant is not always easy, because only few classical invariants are  $\Sigma_2^0$ , and we often need to design specific ones. Theorem 3.1 gives an alternative strategy, by showing that X does not strongly approximate Y. The proof of the theorem then provides a  $\Sigma_2^0$  invariant (namely, having a copy which is a limit of  $\epsilon$ -deformations of X), but this approach has two limitations:

- It may not be simple to show that X does not strongly approximate Y,
- The argument is not *effective*: it yields a  $\Sigma_2^0$  invariant, but not a  $\Sigma_2^0$  one.

In this section, we introduce a quantitative measure of local connectedness of the space, which can be used to separate many spaces and is effective, i.e. computable in some weak sense. We will see in the next section that it induces a complete invariant for the class of finite connected graphs.

If (X, d) is a metric space,  $x \in X$  and r > 0, then we consider the open ball  $B(x, r) = \{y \in X : d(x, y) < r\}$  and the closed ball  $\overline{B}(x, r) = \{y \in X : d(x, y) \le r\}$ .

**Definition 4.1.** Let (X,d) be a compact connected metric space. Its **modulus of local** connectedness is the function  $\eta_X:(0,+\infty)\to[0,+\infty)$  defined by

```
\eta_X(r) = \min\{s \in [0, +\infty) : \forall x \in X, B(x, r) \text{ is contained in}
a connected component of \overline{B}(x, s)\}.
```

The fact that it is indeed a min and not only an inf will be proved in the next proposition. A compact subset of Q inherits the metric from Q. Let  $\mathcal{K}_{\text{conn}}(Q)$  be the space of connected compact subsets of Q. The next result tells us that the inequality  $\eta_X(r) > s$  can be effectively tested.

**Proposition 4.1.** If X is compact connected, then the function  $\eta_X$  is well-defined and non-decreasing. Moreover, the set  $\{(X, r, s) : \eta_X(r) > s\}$  is  $\Sigma_1^0$  in the product space  $\mathcal{K}_{\text{conn}}(Q) \times (0, +\infty) \times [0, +\infty)$ .

*Proof.* We first show that  $\eta_X(r)$  is well-defined, i.e. is indeed a min rather than an inf. Let  $S_X(r)$  be the set involved in the definition of  $\eta_X(r)$ , namely

```
S_X(r) = \{ s \in [0, +\infty) : \forall x \in X, B(x, r) \text{ is contained in} 
a connected component of \overline{B}(x, s) \}.
```

This set is non-empty as it contains  $d = \operatorname{diam}(X)$ . Indeed, for all  $x \in X$  one has  $\overline{B}(x,d) = X$  which is connected by assumption. Note that if  $s \in S_X(r)$  and s < s', then  $s' \in S_X(r)$ , so  $S_X(r)$  is a half-line  $[a, +\infty)$  or  $(a, +\infty)$ . We show that the second alternative is impossible. Assume that  $S_X(r) = (a, +\infty)$  for some a. There exists  $x \in X$ , such that B(x,r) is not contained in a connected component of  $\overline{B}(x,a)$ . Equivalently, there exist two disjoint open sets U, V that both intersect B(x,r), and such that  $\overline{B}(x,a) \subseteq U \cup V$ . As X is compact, one still has  $\overline{B}(x,a+\epsilon) \subseteq U \cup V$  for sufficiently small  $\epsilon > 0$ . Therefore,  $a + \epsilon \notin S_X(r)$ , which is a contradiction. As a result,  $S_X(r) = [a, +\infty)$  for some a, and  $\eta_X(r) = a = \min S_X(r)$ .

If  $s \in S_X(r)$  and r' < r, then  $s \in S_X(r')$  because  $B(x, r') \subseteq B(x, r)$ , so  $r \mapsto \eta_X(r)$  is non-decreasing.

We now show that  $\{(X, r, s) : \eta_X(r) > s\}$  is  $\Sigma_1^0$ . Let  $(q_i)_{i \in \mathbb{N}}$  be a computable enumeration of the rational points of Q, and  $(r_i)_{i \in \mathbb{N}}$  be a computable enumeration of the positive rational numbers. Let  $(U_i)_{i \in \mathbb{N}}$  be a computable enumeration of the finite unions of balls centered at rational points, with rational radii.

Claim 4.1. One has  $\eta_X(r) > s$  iff there exist  $i, j, k, l, m, n \in \mathbb{N}$  such that  $U_i \cap U_j = \emptyset$ , X intersects  $B(q_k, r_l)$ ,  $r_m + r_l < r$ ,  $r_n > s + r_l$  and X intersects both  $B(q_k, r_m) \cap U_i$  and  $B(q_k, r_m) \cap U_j$  and  $X \cap \overline{B}(q_k, r_n)$  is contained in  $U_i \cup U_j$ .

*Proof.* One has  $\eta_X(r) > s$  if and only if there exist  $x \in X$  and two disjoint open sets U, V such that  $X \cap B(x, r)$  intersects both U and V and  $X \cap \overline{B}(x, s)$  is contained in  $U \cup V$ .

If such x, U, V exist, then by compactness of  $X \cap \overline{B}(x, s)$ , one can assume that U and V are finite unions of rational balls  $U_i$  and  $U_j$ . The same conditions holds if one replaces r and s by sufficiently close rational numbers  $r_m < r$  and  $r_n > s$ . Let then  $r_l$  be smaller than  $r - r_m$  and  $s - r_n$ . The same conditions hold if one replaces x with a sufficiently close rational point  $q_k$ , and we can take  $d(q_k, x) < r_l$  so that X intersects  $B(q_k, r_l)$  at x.

Conversely, assume the existence of such i, j, k, l, m, n. Let  $x \in X \cap B(q_k, r_l)$ . One has  $B(q_k, r_m) \subseteq B(x, r)$  and  $\overline{B}(x, s) \subseteq \overline{B}(q_k, r_n)$  so B(x, r) intersects  $X \cap U_i$  and  $X \cap U_j$ , and  $X \cap \overline{B}(x, s) \subseteq U_i \cup U_j$ , so  $\eta_X(r) > s$ .

As a result,  $\{(X, r, s) : \eta_X(r) > s\}$  is the union, over all i, j, k, l, m, n such that  $U_i \cap U_j = \emptyset$ , of

$$\{X: X \text{ intersects } B(q_k, r_l) \text{ and } B(q_k, r_m) \cap U_i \text{ and } B(q_k, r_m) \cap U_j,$$
  
and  $X \cap \overline{B}(q_k, r_n) \subseteq U_i \cup U_j\} \times (r_m + r_l, +\infty) \times [0, r_n - r_l).$ 

This is a  $\Sigma_1^0$  set in the product topology (note that  $X \cap \overline{B}(q_k, r_n) \subseteq U_i \cup U_j$  is equivalent to  $X \subseteq U_i \cup U_j \cup \overline{B}(q_k, r_n)^c$ , which is a Vietoris open set).

Observe that X is locally connected if and only if  $\inf_{r>0} \eta_X(r) = 0$ . It implies that being locally connected is  $\Pi_3^0$ , as proved in [DS20].

The key property of the modulus of local connectedness is that it does not increase much under  $\epsilon$ -deformations.

**Proposition 4.2.** If Y is a limit of  $\epsilon$ -deformations of X, then

$$\eta_Y(r) \le \eta_X(r+2\epsilon) + \epsilon.$$

Proof. We first show the inequality when Y is an  $\epsilon$ -deformation of X. Let  $f: X \to Y$  be a surjective  $\epsilon$ -function. Let r > 0 and  $s < \eta_Y(r)$ . There exist  $x, y \in Y$  such that d(x, y) < r and x, y are not in the same connected component of  $\overline{B}(x, s) \cap Y$ . Let  $x' = f^{-1}(x)$  and  $y' = f^{-1}(y)$ . One has  $d(x', y') < r + 2\epsilon$ , and x', y' are not in the same connected component of  $\overline{B}(x', s - \epsilon) \cap X$ . Indeed, if  $C \subseteq \overline{B}(x', s - \epsilon) \cap X$  is connected and contains x', y',

then  $f(C) \subseteq \overline{B}(x,s) \cap Y$  is connected and contains x,y, giving a contradiction. As a result,  $\eta_X(r+2\epsilon) > s - \epsilon$ . As this inequality holds for any  $s < \eta_Y(r)$ , we obtain the result.

Let  $s = \eta_X(r + 2\epsilon) + \epsilon$ . We have just shown that the set  $\{Y : \eta_Y(r) \leq s\}$  contains all the  $\epsilon$ -deformations of X. It also contains their limits, because it is closed in the Vietoris topology by Proposition 4.1.

#### 4.2 Effective vs non-effective classes

We now show that if X is a finite topological graph, then the  $\Sigma_2^0$  invariants are no more expressive than the  $\Sigma_2^0$  invariants for separating X from other spaces. We use the modulus of local connectedness to define a family of  $\Sigma_2^0$  invariants, which are as powerful as arbitrary  $\Sigma_2^0$ .

**Theorem 4.1.** Let X be a finite topological connected graph and Y a compact space. If there exists a  $\Sigma_2^0$  invariant satisfied by X and not Y, then there exists a  $\Sigma_2^0$  such invariant.

We will need the following lemma, which comes from the fact that one can embed any finite connected graph in Q in such a way that every ball is connected.

**Lemma 4.1.** Every finite topological connected graph has a copy  $X_0$  in Q such that  $\eta_{X_0}(r) \le r$  for all r > 0.

*Proof.* Our goal is to embed a finite topological graph G in the Hilbert cube, in such a way that for every  $x \in G$ , every ball  $B(x,r) \cap G$  is connected. It implies that  $\eta_G(r) = r$ . We recall that the Hilbert cube Q comes with the metric  $d(x,y) = \sum_i 2^{-i} |x_i - y_i|$ .

Let  $V = \{0, ..., n\}$  be the vertex set. A vertex  $i \in V$  is realized as

$$u_i = (0, \dots, 0, 2^{i-n-1}, 0, \dots) \in Q.$$

An edge (i, j) is realized as the line segment between  $u_i$  and  $u_j$ , i.e. the set of convex combinations of  $u_i$  and  $u_j$ . Therefore, any point of G can be expressed as  $\lambda u_i + (1 - \lambda)u_j$  for some  $i \neq j$  and  $\lambda \in [0, 1]$ .

We now describe the metric d inherited from Q. Let  $d_0$  be the metric on any edge, identified with [0,1] with the Euclidean metric.

Claim 4.2. • If x, y belong to the same edge, then  $d(x, y) = 2^{-n} d_0(x, y)$ .

- If x, y belong to adjacent edges with common vertex u, then one has  $d(x, y) = 2^{-n} \max(d_0(x, u), d_0(y, u))$ .
- If x, y belong to non-adjacent edges, then  $d(x,y) = 2^{-n}$ .

*Proof.* We write any  $x \in Q$  as  $x = (x_0, x_1, ...)$ . Note that if  $x \in G$  then  $x_i = 2^{i-n-1}x_i'$  for some  $x_i' \in [0, 1]$ , and that  $d(x, y) = \sum_i 2^{-i}2^{i-n-1}|x_i' - y_i'| = 2^{-n-1}\sum_i |x_i' - y_i'|$ .

Let  $x = \lambda u_i + (1 - \lambda)u_j$  and  $y = \mu u_k + (1 - \mu)u_l$ , with  $i \neq j$  and  $k \neq l$ . There are three cases

- If k = i and l = j, then  $d(x, y) = 2^{-n-1}(|\lambda \mu| + |\lambda \mu|) = 2^{-n}|\lambda \mu|$ ,
- If  $k \neq i$  and l = j, then  $d(x, y) = 2^{-n-1}(\lambda + \mu + |\lambda \mu|) = 2^{-n} \max(\lambda, \mu)$ ,
- If i, j, k, l are pairwise distinct, then  $d(x, y) = 2^{-n-1}(\lambda + (1-\lambda) + \mu + (1-\mu)) = 2^{-n}$ ,

and the symmetric cases are similar. Note that  $d_0(x, u_i) = \lambda$ .

Let  $x, y \in G$ . We show that if  $d(x, y) \leq s$ , then there is a path from x to y in  $\overline{B}(x, s)$ . If  $s \geq 2^{-n}$ , then  $\overline{B}(x, s) = G$  is connected. If  $s < 2^{-n}$ , then x and y belong either to the same edge or to two adjacent edges. In the first case, the segment from x to y is contained in  $\overline{B}(x, s)$ . In the second case, if u is the common vertex of the adjacent edges, then the segments from x to u and from u to y are contained in  $\overline{B}(x, s)$ . Therefore,  $\overline{B}(x, s)$  is connected.

Proof of Theorem 4.1. If Y is disconnected, then "being connected" is a  $\Pi_1^0$  invariant satisfied by X but not by Y. Now assume that Y is connected.

We assume that X is a simple graph (otherwise we subdivide it to make it simple). We fix a copy  $X_0 \subseteq Q$  of X in Q provided by Lemma 4.1, so  $\eta_{X_0}(r) \leq r$ . What is important is not the exact upper bound on  $\eta_{X_0}$ , but the fact that it is computable. For rational  $\alpha > 0$ , we define an invariant  $\mathcal{P}_{\alpha}$  as follows:

$$Y \in \mathcal{P}_{\alpha} \iff$$
 there exists  $\beta < \alpha$  and a copy  $Y_0$  of  $Y$  such that  $d_H(X_0, Y_0) < \beta$  and  $\eta_{Y_0}(r) \le r + 3\beta$  for all  $r > 0$ .

**Lemma 4.2.** If  $\alpha$  is rational, then  $\mathcal{P}_{\alpha}$  is a  $\Sigma_2^0$  invariant.

The idea of the proof is that we can replace arbitrary copies by a countable set of copies, so that the second-order existential quantifier becomes a first-order quantifier, like in the proof of Theorem 3.1, Claim 3.1.

*Proof.* There exists a computable sequence  $(f_i)_{i\in\mathbb{N}}$  of injective functions  $f_i:Q\to Q$ , which is dense in the space of continuous functions from Q to Q (Lemma 4.13 in [AH23]). We show that the definition of  $\mathcal{P}_{\alpha}$  would be equivalent if one replaces arbitrary copies  $Y_0$  with copies  $f_i(Y)$ .

Assume the existence of  $\beta$  and  $Y_0$  witnessing  $Y \in \mathcal{P}_{\alpha}$ . Let  $f: Y \to Y_0$  be a homeomorphism, than we extend to a continuous function  $f: Q \to Q$ . Let  $\epsilon < \alpha - \beta$ ,  $\beta' = \beta + \epsilon < \alpha$  and  $f_i$  be  $\epsilon$ -close to f. Note that  $f_i \circ f^{-1}: Y_0 \to Q$  is an  $\epsilon$ -function, so  $f_i(Y) = f_i \circ f^{-1}(Y_0)$  is an  $\epsilon$ -deformation of  $Y_0$ . As a result,

$$\eta_{f_i(Y)}(r) \le \eta_{Y_0}(r+2\epsilon) + \epsilon \le r + 3\beta',$$

which proves that we can restrict the copies of Y to copies of the form  $f_i(Y)$ . Therefore, the quantification over  $Y_0$  can be replaced by a quantification over  $i \in \mathbb{N}$ . One can moreover

assume that  $\beta$  is rational. The rest of the formula defining  $\mathcal{P}_{\alpha}$  is made of  $\Sigma_{1}^{0}$  and  $\Pi_{1}^{0}$  statements by Proposition 4.1 (note that  $X_{0}$  is explicitly computable, so  $d_{H}(X_{0}, f_{i}(Y))$  can be computed), so the whole expression is  $\Sigma_{2}^{0}$ .

Note that  $X \in \mathcal{P}_{\alpha}$  for every  $\alpha > 0$ . We show that for the purpose of separating X from other spaces, the family  $(\mathcal{P}_{\alpha})_{\alpha \in \mathbb{Q}_{>0}}$  of  $\Sigma_2^0$  invariants is as powerful as the entire family of all  $\Sigma_2^0$  invariants.

We assume that  $Y \in \mathcal{P}_{\alpha}$  for every  $\alpha > 0$ , and prove that X strongly approximates Y, implying that every  $\Sigma_{2}^{0}$  invariant satisfied by X is satisfied by Y, by Theorem 3.1. Let  $\epsilon > 0$ . We show that some copy  $Y_{0}$  of Y is a limit of  $\epsilon$ -deformations of  $X_{0}$ . The idea is that we can cut  $X_{0}$  into small line segments, and that each line segment has  $\epsilon$ -deformations converging to a small connected subset of  $Y_{0}$ . Putting all the pieces together,  $X_{0}$  has  $\epsilon$ -deformations converging to  $Y_{0}$ . Let us now give the details.

Let  $\delta > 0$  be small (technically, we want  $\delta < \epsilon/14$ ). We subdivide each edge of X by adding new vertices, so that the new edges have diameters  $< \delta$ . Let V and E be the new sets of vertices and edges respectively. For an edge e, let  $U_e = \{x \in Q : d(x, e) < \delta\}$  be its open  $\delta$ -neighborhood. One has diam $(U_e) < 3\delta$ . Let  $Y_0$  be a copy of Y witnessing that  $Y \in \mathcal{P}_{\delta}$ . As  $d_H(X_0, Y_0) < \delta$ ,  $Y_0$  is covered by the  $U_e$ 's and intersects each  $U_e$ .

One has  $\eta_{Y_0}(3\delta) \leq 6\delta$ . Each  $Y_0 \cap U_e$  has diameter  $< 3\delta$ , so it is contained in a connected set  $C_e \subseteq Y_0$  of diameter  $\leq 12\delta$  by definition of  $\eta_{Y_0}$  (choose  $x \in Y_0 \cap U_e$  and observe that  $Y_0 \cap U_e \subseteq Y_0 \cap B(x, 3\delta)$  is contained in a connected component of  $Y_0 \cap \overline{B}(x, 6\delta)$ ). As the  $U_e$ 's cover  $Y_0$ , one has  $Y_0 = \bigcup_e C_e$ .

For each vertex  $v \in V$ , we choose a point  $y_v \in Y_0$  such that  $d(v, y_v) < \delta$ . For each edge e that is incident to v, one has  $y_v \in Y_0 \cap U_e \subseteq C_e$ .

For each edge e,  $C_e$  is connected so it is approximated by the segment e, as in the proof of Proposition 2.4. In other words, there exist continuous functions  $f_n^e: e \to Q$  such that  $f_n^e(e)$  converge to  $C_e$  when n grows. Moreover, we can make sure that if v is an endpoint of e, then  $f_n^e(v) = y_v$ . Therefore, for each n, the functions  $f_n^e$  can be concatenated into one continuous function  $f_n: X \to Q$ , sending each v to  $y_v$ . The sets  $f_n(X)$  converge to Y when n grows.

We finally show that for sufficiently large n,  $f_n$  is an  $\epsilon$ -function. Let e be an edge and v one of its endpoints. One has  $\operatorname{diam}(e) < \delta$  and  $\operatorname{diam}(C_e) \le 12\delta$ , so for  $x \in e$  and  $y \in C_e$ ,

$$d(x,y) \le d(x,v) + d(v,y_v) + d(y_v,y)$$
  
$$< \delta + \delta + 12\delta < \epsilon.$$

If n is sufficiently large, then  $f_n^e(x)$  is close to  $C_e$ , so  $d(x, f_n^e(x)) < \epsilon$ .

The  $\Sigma_2^0$  invariants defined in the proof are rather *ad hoc* and not very intuitive. It would be interesting to find a more natural family of  $\Sigma_2^0$  invariants achieving the same effect.

#### 4.3 Separating graphs

In this section, we prove that two non-homeomorphic finite topological graphs can be separated by some  $\Sigma_2^0$  invariant. First, we show that a graph G can be separated from another graph H precisely when H cannot be contracted to G, even after subdivision.

In a graph, an edge contraction consists in removing an edge and identifying its two endpoints. A contraction is a sequence of edge contractions. A subdivision consists in adding new vertices inside the edges, and splitting the edges accordingly (it does not change the topology of the graph). We refer to [HvHL+14] for details on contractions.

We allow graphs with multiple edges and loops. Topologically, it makes no difference because subdividing such a graph results in simple graph which is topologically the same. However, edge contractions can create multiple edges and loops.

**Theorem 4.2.** Let G, H be finite connected graphs that are not singletons. The following statements are equivalent:

- There is a  $\Sigma_2^0$  invariant satisfied by G but not H,
- No subdivision of H can be contracted to G.

Note that the same result holds for  $\Sigma_2^0$  invariants, by Theorem 4.1. For the proof, we use the following characterization of contractibility from [HvHL<sup>+</sup>14].

**Lemma 4.3.** G is a contraction of H iff there exists a surjective map  $\varphi: V_H \to V_G$  such that:

- Each  $\varphi^{-1}(s)$  is connected in G,
- For every pair of distinct vertices s, t of G, (s, t) is an edge of G iff there exists an edge e = (u, v) of H such that  $\varphi(u) = s$  and  $\varphi(v) = t$ .

Proof of Theorem 4.2. The statement can be reformulated this way: if G, H are finite topological graphs, then one has  $G \leq H$  iff some subdivision of H can be contracted to G.

One implication is easy: if G is obtained from H by an edge contraction, then we show that G strongly approximated H.

Let e be the edge of H that is contracted and w be the vertex of G replacing e. Consider a small neighborhood of w, which is a star. For any e > 0, one can e-deform this star, so that the endpoints are fixed and a small region around w is collapsed to an edge. The result of this e-deformation is a graph in which w has been replaced by an edge; in other words, it is a copy of e. Therefore, e strongly approximates e.

We now prove the other direction, which is much more involved. Let us first discuss why subdividing H cannot be avoided. Consider the following example: H is just two vertices joined by an edge, and G is the subdivision of H obtained by adding a vertex in the middle. In that case, G and H are topologically the same, however H cannot be contracted to G,

because it has less vertices. The best we can hope is that some subdivision of H can be contracted to G.

The strategy is as follows:

- We fix a copy of G, a sufficiently small  $\epsilon > 0$  and a copy of H which is a limit of  $\epsilon$ -deformations of G,
- We subdivide H so that each edge of H is entirely contained in the  $\epsilon$ -neighborhood of some edge of G,
- On each edge e of G, we choose a point  $a_e$  which is far from the vertices of both G and H,
- We show that  $a_e$  is close to exactly one edge of H,
- When removing the points  $a_e$  from G, one is left with a disjoint union of stars centered at the vertices of G,
- We show that H can be contracted to G, by sending each vertex v of H to the center of the star that is closest to v (and using Lemma 4.3).

We fix a copy of G given by Lemma 4.1. For simplicity of notation, we assume that the distances between two distinct vertices is 1 (rather than  $2^{-k}$ , where k+1 is the number of vertices of G; the argument could be easily adapted by adding a scaling factor). One has  $\eta_G(r) \leq r$ . Let n be the number of vertices of H.

Let e be an edge of G. There must be a point a in the middle third of e which is far from every vertex of H. More precisely, take n+1 points regularly distributed on the middle third of e. They are all at distance at least  $\frac{1}{3n}$  from each other. A vertex of H can be  $\frac{1}{6n}$ -close to at most one of these points, so by the pigeonhole principle, some of these points is  $\frac{1}{6n}$ -far from  $V_H$ . We choose such a point for each edge e of G and call it  $a_e$ .

Let  $\delta \leq \frac{1}{6n}$  and  $\epsilon < \delta/6$ . Let  $G_n$  be  $\epsilon/2$ -deformations of G converging to a copy of H. We call this copy H for simplicity.

We now subdivide H, so that every edge of H is entirely close to an edge of G.

Claim 4.3. We can subdivide H so that each edge of H is entirely contained in the  $\epsilon$ -neighborhood of some edge of G.

*Proof.* The  $\epsilon$ -neighborhoods of the edges of G form an open cover of the compact set H. Let  $\mu$  be a Lebesgue number of the cover. We subdivide each edge of H so that the new edges have diameters smaller than  $\mu$ , and are therefore contained in the  $\epsilon$ -neighborhood of some edge of G.

From now on, we assume that H has been subdivided (note that n is still the original number of vertices of H, so  $\delta$  and  $\epsilon$  do not have to be redefined and there is no circularity).

Claim 4.4. For every  $a \in G$ , if  $d(a, V_H) > \delta$  then  $B(a, \epsilon)$  intersects H in exactly one edge of H.

*Proof.* First,  $B(a, \epsilon)$  intersects H, because the Hausdorff distance between G and H is less than  $\epsilon$ , and  $a \in G$ .

As H is a limit of  $\epsilon$ -deformations of G, one has  $\eta_H(r) \leq \eta_G(r+2\epsilon) + \epsilon = r+3\epsilon$ , so  $\eta_H(2\epsilon) \leq 5\epsilon$ .

If x and y are two points of  $B(a, \epsilon) \cap H$ , then  $d(x, y) < 2\epsilon$  so x and y are connected in  $\overline{B}(x, 5\epsilon) \cap H \subseteq B(a, \delta) \cap H$ . This set is disjoint from  $V_H$ , so the only way for x and y to be connected in that set is that they belong to the same edge of H.

In particular, for each edge e of G,  $B(a_e, \epsilon)$  intersects exactly one edge  $f_e$  of H. Conversely,

Claim 4.5. Each edge f of H can intersect at most one ball  $B(a_e, \epsilon)$ . In particular, the map  $e \mapsto f_e$  is injective.

*Proof.* If  $e' \neq e$ , then  $d(a_{e'}, e) \geq 1/3$ , so  $B(a_{e'}, \epsilon) \cap N_{\epsilon}(e) = \emptyset$  (assuming  $\epsilon \leq 1/6$ ). Because of the preliminary subdivision of H, each edge f of H is contained in  $N_{\epsilon}(e)$  for some edge e of G, therefore it does not intersect  $B(a_{e'}, \epsilon)$  for  $e' \neq e$ , so it can only intersect  $B(a_{e}, \epsilon)$ .

It follows that the map  $e \mapsto f_e$  is injective. Let  $e' \neq e$ . As  $f_{e'}$  intersects  $B(a_{e'}, \epsilon)$ , it cannot intersect  $B(a_e, \epsilon)$ . As  $f_e$  intersects this ball, one has  $f_{e'} \neq f_e$ .

Let e be an edge of G. As e is convex, the function  $r:Q \to e$  sending a point x to the closest point on e is continuous. Its restriction to  $f_e$  is a continuous function  $h:f_e \to e$ . The edge e is a copy of the unit interval [0,1] and therefore inherits its natural ordering  $\leq$  (there are two possible orientations, we choose an arbitrary one).

Let u, v be the endpoints of  $f_e$ .

Claim 4.6. On the edge e, a is between h(u) and h(v).

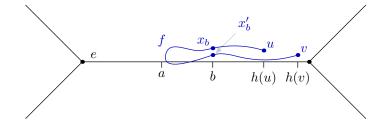


Figure 3: An impossible case:  $x_b$  and  $x'_b$  are close, but not closely connected

*Proof.* We assume that  $a < h(u) \le h(v)$  and derive a contradiction. The other cases where h(u) and h(v) are on the same side of a are symmetric, and the same argument applies.

As  $f_e \subseteq N_{\epsilon/2}(e)$ , one has  $d(h(x), x) \le \epsilon/2$  for all  $x \in f_e$ .

Let  $x_a \in f_e$  be such that  $d(x_a, a) \le \epsilon/2$ , implying that  $d(h(x_a), a) \le \epsilon$ .

Let  $b \in e$  be the middle point between a and h(u). One has  $d(a,h(u)) \geq d(a,u) - d(u,h(u)) > \delta - \epsilon/2$  so  $d(a,b),d(b,h(u)) > \delta/2 - \epsilon/4$ .

As  $a < b < h(u) \le h(v)$ , there exist  $x_b, x_b'$  such that  $u < x_b < x_a < x_b' < v$  such that  $h(x_b) = h(x_b') = b$ . One has  $d(x_b, x_b') \le \epsilon$ , so  $x_b$  and  $x_b'$  must be connected in  $H \cap \overline{B}(x_b, 5\epsilon)$ . In H, the only paths that connect  $x_b$  and  $x_b'$  cross  $x_a$  or u. Therefore, this ball must contain  $x_a$  or u. However,  $d(x_b, x_a) \ge d(b, a) - \epsilon$  and  $d(x_b, u) \ge d(b, h(u)) - \epsilon$ . They are both larger than  $5\epsilon$  if  $\epsilon$  is sufficiently small,  $\epsilon < \delta/14$ .

Let  $A = \{a_e : e \in E_G\}$ . The set  $G \setminus A$  is a disjoint union of stars centered at the vertices of G. Each vertex v of H is  $\epsilon$ -close to some point  $y_v$  of G, which belongs to one of these stars (indeed,  $y_v \notin A$  because  $d(v, A) > \delta > \epsilon$ ).

We use Lemma 4.3 and define a map  $\varphi: V_H \to V_G$  sending  $v \in H$  to the center of the star of  $y_v$ .

Let f=(u,v) be an edge of H such that  $\varphi(u)\neq\varphi(v)$ . f is  $\epsilon$ -close to an edge e=(s,t) of G. e is 1/3-far from every star centered at a vertex other than s and t, so f is  $(1/3-\epsilon)$ -far from these stars. As u and v are  $\epsilon$ -close to the stars of  $\varphi(u)$  and  $\varphi(v)$  respectively, one must have  $\varphi(u)=s$  and  $\varphi(v)=t$  (or the symmetric case). As a result,  $(\varphi(u),\varphi(v))$  is the arc e of G.

If e = (s, t) is an edge of G, then  $f_e = (u, v)$  satisfies  $\varphi(u) = s$  and  $\varphi(v) = t$  because u, v stand on opposite sides of  $a_e$ .

Claim 4.7. Each  $\varphi^{-1}(s)$  is connected.

Proof. Let  $u, v \in \varphi^{-1}(s)$ .  $y_u$  and  $y_v$  belong to the star  $S_s$  centered at s. The star is connected, so there exists a sequence of points  $y_0, y_1, \ldots, y_k \in S_s$  with  $y_0 = y_u, y_k = y_v$  and  $d(y_i, y_{i+1}) < \epsilon$ . As G and H are  $\epsilon/2$ -close in the Hausdorff metric, there exist  $x_0, \ldots, x_k \in H$  such that  $d(x_i, y_i) \leq \epsilon/2$ . One has  $d(x_i, x_{i+1}) < 2\epsilon$ , so  $x_i$  and  $x_{i+1}$  are connected in  $\overline{B}(x_i, 5\epsilon) \cap H$ . Therefore, u and v are connected in  $N_{5\epsilon}(S_s) \cap H$ , i.e. there is a path from u to v in H contained in  $N_{5\epsilon}(S_s)$ . All the vertices of this path are close to  $S_s$ , so they belong to  $\varphi^{-1}(s)$ . As a result, u and v are connected in  $\varphi^{-1}(s)$ .

**Example 4.1.** For instance, every  $\Sigma_2^0$  invariant satisfied by the line segment is satisfied by the graphs that have a bridge, i.e. an edge whose removal disconnects the graph. Every  $\Sigma_2^0$  invariant satisfied by the circle is satisfied by the graphs that have a cycle. Moreover, the line segment and the circle can be separated by some  $\Sigma_2^0$  invariant, in both directions.

A consequence of this result is that non-homeomorphic graphs can be separated by some  $\Sigma_2^0$  invariant, because they cannot be contracted to each other, even after subdivisions.

**Theorem 4.3.** If G, H are non-homeomorphic finite connected graphs, then there exists a  $\Sigma_2^0$  invariant satisfied by one of them but not the other.

*Proof.* In order to apply Theorem 4.2, we need to show that G and H cannot be contracted to each other, even after subdivisions. We assume that some subdivision of G contracts to H and some subdivision of H contracts to G, and prove that G and H must be homeomorphic. It results from the following simple observations:

- In a graph G = (V, E), the sum  $S = \sum_{v \in V: \deg(v) \geq 3} \deg(v)$  does not change when subdividing and does not increase when contracting an edge. Therefore, it must be the same in G, H and all the intermediate graphs of the contractions,
- If an edge contraction does not change S, then the resulting graph is homeomorphic to the original graph (the only exception would be if G is an edge or a loop, contracted to a point; but a point cannot be contracted back to G).

Let us prove these observations.

Let G be a graph, e = (u, v) be an edge, and let H be the new graph after contraction and w be the new vertex replacing u and v. Let  $S_G, S_H$  be the corresponding sums.

We first show that  $S_H \leq S_G$ . If e is a loop, i.e. u = v, then  $\deg(w) = \deg(u) - 2$ , so  $S_H \leq S_G$ . If e is not a loop, i.e.  $u \neq v$ , then  $\deg(w) = \deg(u) + \deg(v) - 2$ . If  $\deg(u), \deg(v) \leq 2$ , then  $\deg(w) \leq 2$  and  $S_H = S_G$ ; if  $\deg(u) \geq 3$  and  $\deg(v) \leq 2$  (or vice-versa), then  $\deg(w) \leq \deg(u)$  so  $S_H \leq S_G - \deg(u) + \deg(w) \leq S_G$ ; if  $\deg(u), \deg(v) \geq 3$  then  $\deg(w) < \deg(u) + \deg(v)$  so  $S_H = S_G - \deg(u) - \deg(v) + \deg(w) < S_G$ .

We now assume that  $S_H = S_G$  and show that unless G is an edge or a loop, H is homeomorphic to G. First, e is not a loop: otherwise, as G is not a loop and is connected, one has  $\deg(u) \geq 3$  so  $S_H \leq S_G - \deg(u) + \deg(w) \leq S_G - 2$ .

Claim 4.8. At least one of the vertices u and v has degree 2.

Proof. First, it is not possible that both  $\deg(u)$  and  $\deg(v)$  are at least 3, because in that case one would have  $S_H = S_G - 2$ . Therefore, swapping u and v if needed, one has  $\deg(u) \leq 2$ . If  $\deg(u) = 1$ , then  $\deg(v) = 2$ : if  $\deg(v) = 1$  then G, which is connected, is just an edge; if  $\deg(v) \geq 3$ , then  $S_H \leq S_G - 1$ .

Assume that u has degree 2, the other case is symmetric. It means that u is adjacent to exactly one other edge f. The contraction of e simply shortens the line segment consisting of e and f, so H is homeomorphic to G.

Remark 4.1. It would be interesting to extend Theorem 4.3 to larger families of spaces. A natural such family is given by the finite simplicial complexes, which are higher-dimensional generalizations of graphs. However, the analog statement fails. For instance, if X is the disk and Y is the wedge of two disks, then these spaces are 2-dimensional simplicial complexes that are not homeomorphic, however they cannot be separated by  $\Sigma_2^0$  invariants (it is

not difficult to show that they strongly approximate each other). It raises the following question: what is the level of complexity needed to separate 2-dimensional finite simplicial complexes? what about the *n*-dimensional ones?

### 5 Complexity of recognizing the line segment

Each particular space X induces a topological invariant, which is "being homeomorphic to X". The obvious descriptive complexity of this invariant is  $\Sigma_1^1$ , because it is formulated using an existential quantifier over the continuous set of homeomorphisms.

However, a classical theorem from Descriptive Set Theory, due to Miller [Kec95, Theorem 15.14] and Ryll-Nardzewski [BK96, Theorem 2.3.4] implies that the complexity of recognizing a particular space is always Borel. For the interested reader, this result states that when a Polish group continuously acts on a Polish space, each orbit is Borel. In our context, the Polish group of homeomorphisms from Q to itself continuously acts on the Polish space of compact subsets of Q, and the set of copies of a space is an orbit of the group action, and is therefore Borel by this theorem.

This result opens up the following research program: for each concrete space X, what is the exact complexity of recognizing X? We answer this problem when X is the line segment.

**Theorem 5.1.** Being homeomorphic to [0,1] is  $\Pi_3^0$ -complete.

The proof that it is  $\Pi_3^0$  heavily relies on the following characterization of the line segment. If X is connected, then we say that a point  $x \in X$  is a **noncut** point if  $X \setminus \{x\}$  is still connected.

**Theorem 5.2** ([Eng89, Theorem 6.3.8]). The line segment is the unique compact connected metrizable space which does not contain 3 noncut points.

The descriptive complexity of testing this property directly is a priori high, because it is quantified over the points of X. However, when X is locally connected, we show that it induces another characterization which is much simpler to test.

**Definition 5.1.** Let X be a compact metrizable space. We say that 3 points  $x, y, z \in X$  are **independent** if they have pairwise disjoint neighborhoods  $U_x, U_y, U_z$  respectively, such that y, z are in the same connected component of  $X \setminus U_x$ , x, z are in the same connected component of  $X \setminus U_z$  and x, y are in the same connected component of  $X \setminus U_z$ .

For instance, in the circle, any 3 pairwise distinct points are independent. In the star with 3 branches, which is the space having the shape of the letter Y, the 3 endpoints of the branches are independent. However, the line segment has no set of 3 independent points.

As announced, this property is simple to test.

**Proposition 5.1.** Having at least 3 independent points is a  $\Sigma_2^0$  invariant.

Proof. Let  $\epsilon > 0$ . Consider the property  $\mathcal{P}_{\epsilon}(x, y, z)$ : x, y are in the same connected component of  $X \setminus B(z, \epsilon)$  and similarly for x, z and y, z. The property  $\mathcal{P}_{\epsilon}(x, y, z)$  is  $\Pi_1^0$ . Consider the property  $\mathcal{Q}_{\epsilon}$ :  $\exists x, y, z$  at distance  $\geq \epsilon$  from each other, satisfying  $\mathcal{P}_{\epsilon}(x, y, z)$ .  $\mathcal{Q}_{\epsilon}$  is obtained as an existential quantification over the compact set  $\{(x, y, z) \text{ at distance } \geq \epsilon \text{ from each other} \}$ , so  $\mathcal{Q}_{\epsilon}$  is  $\Pi_1^0$  as well [Pau16, Proposition 5.2]. The invariant is  $\bigcup_{\epsilon>0} \mathcal{Q}_{\epsilon}$  where we can take  $\epsilon$  rational, so it is  $\Sigma_2^0$ .

When X is locally connected, we show that if 3 points are noncut points, then they are independent.

**Proposition 5.2.** The line segment is the unique compact space that is connected, locally connected and has no set of 3 independent points.

Proof. Let X be compact, connected, locally connected and not homeomorphic to [0,1]. We show that it has 3 independent points. By Theorem 5.2, X has at least 3 noncut points x, y, z. Using the assumption that X is locally connected, we show that these points are independent. We use another important result from topology, Mazurkiewicz-Moore-Menger's theorem [Eng89, Theorem 6.3.11], stating that a compact connected metrizable space is locally connected if and only if it is locally path-connected. Therefore, X is locally path-connected. As a result,  $X \setminus \{z\}$  is also locally path-connected, so its connected components are path-connected [Mun00, Theorem 25.5]. As x and y belong to the same connected component of  $X \setminus \{z\}$ , they are connected by a path in  $X \setminus \{z\}$ . That path is a compact set that does not contain z, so its complement is a neighborhood  $U_z$  of z, and x, y are in the same connected component of  $X \setminus U_z$ . The same argument applied to x and to y shows that x, y, z are independent.

We can now prove the main result of this section.

Proof of Theorem 5.1. The invariant expressed by Proposition 5.2 is  $\Pi_3^0$ . We show that recognizing the line segment is  $\Pi_3^0$ -hard, by reducing the following  $\Pi_3^0$ -complete problem: given an infinite binary matrix  $M = (M_{i,j})_{i,j \in \mathbb{N}}$ , decide whether all its rows contain only finitely many 1's. To each matrix M we associate a compact set  $X_M \subseteq [0,1]^2$  which is homeomorphic to the line segment if and only if all the rows of M contain finitely many 1's. The function sending M to  $X_M$  is computable, i.e. there is a program that can compute approximations of  $X_M$  in the Hausdorff metric, given an access to M as oracle. The construction is illustrated in Figure 4.

First, to each row i we associate a compact set  $X_i \subseteq [0,1]^2$  which is a copy of [0,1] if s contains finitely many 1's, and a copy of the topologist's sine curve S otherwise. It is defined as follows. Let  $a_j = 2^{-j}$  and  $m_j = \frac{a_j + a_{j+1}}{2}$ .  $X_i$  contains the line segment from (0,0) to (0,1); for each j, if  $M_{i,j} = 0$ , then add a line segment from  $(a_j, 1)$  to  $(a_{j+1}, 1)$ ; if  $M_{i,j} = 1$ , add a line segment from  $(a_i, 1)$  to  $(a_{j+1}, 1)$ .

Note that when  $X_i$  is a copy of the line segment, its endpoints are (0,0) and (1,1).

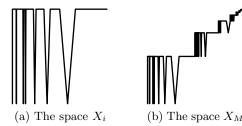


Figure 4: Illustration of the  $\Pi_3^0$ -completeness of the line segment

We then define the space  $X_M$  by concatenating the sets  $X_i$ , scaled and translated so that their endpoints match. Let  $f_i : \mathbb{R}^2 \to \mathbb{R}^2$  be the function that scales by a factor  $2^{-i-1}$  and then translates by the vector  $(1-2^{-i},1-2^{-i})$ . We define  $X = \{(1,1)\} \cup \bigcup_{i \in \mathbb{N}} f_i(X_i)$ .

If every row of M contains finitely many 1's, then  $X_M$  is a concatenation of copies of the line segment, so it is itself a copy of the line segment. If some row contains infinitely many 1's, then  $X_M$  is not locally connected, so it is not a copy of the line segment.  $\square$ 

The proof of the result has another consequence: every locally connected space which is not homeomorphic to [0,1] can be separated from [0,1] by a  $\Sigma_2^0$  invariant. Again, in this context  $\Sigma_2^0$  invariants are no more expressive than  $\Sigma_2^0$  invariant.

**Corollary 5.1.** If X is locally connected but not homeomorphic to [0,1], then there exists a  $\Sigma_2^0$  invariant satisfied by X but not by [0,1].

*Proof.* Either X is disconnected or has at least 3 independent points. This property is a  $\Sigma_2^0$  invariant which is not satisfied by [0,1].

Note that the local connectedness assumption cannot be dropped. The closed topologist's sine curve S is not locally connected, and cannot be separated from [0,1] by  $\tilde{\Sigma}_2^0$  invariants.

#### 6 Future directions

The results presented in this article are the first steps of a much broader research program. We list a few important problems left for future investigations.

We have built ad hoc  $\Sigma_2^0$  invariants separating finite topological graphs.

Problem 1. Is there a "natural" class of  $\Sigma_2^0$  invariants separating finite topological graphs?

The family of finite graphs is very restricted. The finite simplicial complexes, which are generalizations of graphs to higher-dimensions, provide a rich family of spaces.

Problem 2. For each  $n \in \mathbb{N}$ , what is the minimal level of complexity of topological invariants that can separate any pair of n-dimensional finite simplicial complexes? In the 1-dimensional case of graphs, we saw that it is  $\Sigma_2^0$ .

We have identified the descriptive complexity of recognizing the line segment.

*Problem* 3. What is the descriptive complexity of recognizing the circle? the disk? The same question can be raised for any particular compact space.

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