

Notes on overt choice

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Abstract

Overt choice was recently introduced and thoroughly studied by de Brecht, Pauly and Schröder. They give estimates on the Weihrauch degree of overt choice on various spaces, and relate it to the topological properties of the space. In this article, we pursue this line of research, answering some of the questions that were left open. We show that overt choice on the rationals is not limit-computable. We identify the Weihrauch degree of overt choice on the space of natural numbers with the co-finite topology. We prove that the quasi-Polish spaces are the countably-based T_0 -spaces on which a variant of overt choice, called Π_2^0 overt choice, is continuous. It extends a previous result that holds in the class of T_1 -spaces. We also prove an effective version of this equivalence.

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1 Introduction

In this article, we build on the work of de Brecht, Pauly and Schröder presented in [dBPS20], in which the authors thoroughly study the computability and continuity of an operator on topological spaces, called overt choice. In a topological space (X, τ) , which will be countably-based in this article (although in [dBPS20] more general spaces are considered), the overt choice operator VC_X takes as input a closed set $A \subseteq X$ and outputs any point of A . The available information about A is an enumeration of the basic open sets intersecting A .

The article [dBPS20] contains many results on the continuity and computability of overt choice in several spaces. For instance, it is proved that among the countably-based T_1 -spaces, the quasi-Polish spaces are precisely the spaces on which overt choice is continuous. A particular consequence of this result is that overt choice is not continuous on the space \mathbb{Q} of rational numbers with the Euclidean topology, which was first proved by Brattka in [Bra02], or on the space \mathbb{N}_{cof} of natural numbers with the co-finite topology.

When a problem is not solvable, i.e. not continuous or not computable, a way to measure its complexity is using Weihrauch reducibility, which is a notion of reducibility between multi-valued functions, inducing a notion of Weihrauch degree. The article [dBPS20] contains estimates of the Weihrauch degree of overt choice on many spaces, and we contribute to this line of research, giving answers to some of the open questions raised in [dBPS20]. We also introduce a variant of overt choice, called \mathbb{I}_2^0 overt choice, that takes as input a \mathbb{I}_2^0 set together with the open sets intersecting it, and outputs any element of that set.

Our main results are:

- Overt choice on \mathbb{N}_{cof} is Weihrauch equivalent to deciding in the limit whether a subset of \mathbb{N} is infinite (Theorem 3.1), and it is strictly below overt choice on \mathbb{Q} (Theorem 4.2),
- Overt choice on \mathbb{Q} is not Weihrauch reducible to lim (Theorem 4.1),
- For a countably-based T_0 -space X , \mathbb{I}_2^0 overt choice on X is continuous iff X is quasi-Polish (Theorem 5.2), and we prove effective versions of this result,
- There exists a Σ_2^0 subspace of \mathcal{N} on which overt choice is not Weihrauch reducible to unique choice over \mathcal{N} (Proposition 6.1),

The article is organized as follows. Section 2 contains the basic definitions and background results. Section 3 is the study of overt choice on \mathbb{N}_{cof} . Section 4 contains results on overt choice on \mathbb{Q} . In Section 5 we introduce \mathbb{I}_2^0 overt choice and prove the characterization of quasi-Polish spaces, and its effective versions. We finish with Section 6, which contains a result about unique choice over \mathcal{N} .

2 Background

We briefly present the notions that are used throughout the article.

2.1 Represented spaces

Computations on various mathematical spaces are made possible by the use of representations. More details can be found in [Wei00, Pau16, Sch21].

The Baire space $\mathcal{N} = \mathbb{N}^{\mathbb{N}}$ is the space of infinite sequences of natural numbers, endowed with the product of the discrete topology on \mathbb{N} .

A **represented space** is a pair (X, δ) where X is a set and $\delta : \subseteq \mathcal{N} \rightarrow X$ is a partial surjective function. A **name** of $x \in X$ is any $p \in \text{dom}(\delta)$ such that $\delta(p) = x$. A **multi-valued function** $f : \subseteq X \rightrightarrows Y$ assigns to each $x \in \text{dom}(f)$ a non-empty subset of Y . If (X, δ_X) and (Y, δ_Y) are represented spaces, then a partial function $F : \subseteq \mathcal{N} \rightarrow \mathcal{N}$ is a **realizer** of $f : \subseteq X \rightrightarrows Y$ if F sends every name of every $x \in \text{dom}(f)$ to a name of some $y \in f(x)$. We say that $f : \subseteq X \rightrightarrows Y$ is **computable** if it has a computable realizer, and that f is **continuous** if it has a continuous realizer.

An **effectively countably-based T_0 -space** is a T_0 topological space (X, τ) coming with a countable basis $(B_i)_{i \in \mathbb{N}}$ and a c.e. set $E \subseteq \mathbb{N}^3$ such that $B_i \cap B_j = \bigcup_{(i,j,k) \in E} B_k$. An effectively countably-based T_0 -space comes with its **standard representation** δ defined as follows: $p \in \mathcal{N}$ is a name of $x \in X$ if p is an enumeration of the basic neighborhoods of x , i.e. if $\{i \in \mathbb{N} : x \in B_i\} = \{i \in \mathbb{N} : \exists n, p(n) = i + 1\}$.

A subset U of X is an **effectively open set** if there exists a c.e. set $W \subseteq \mathbb{N}$ such that $U = \bigcup_{i \in W} B_i$. A set $A \subseteq X$ is $\mathbf{\Pi}_2^0$ if it can be written as $A = \bigcap_{n \in \mathbb{N}} U_n \rightarrow V_n$, where U_n, V_n are open sets and $U_n \rightarrow V_n = U_n^c \cup V_n$, where U_n^c is the complement of U_n . A set A is $\mathbf{\Pi}_2^0$ if the sets U_n, V_n are uniformly effectively open sets.

2.2 Weihrauch reducibility

The notion of Weihrauch reducibility is central in this article. It enables one to measure the non-computability of multi-valued functions by comparing them. We give the definition and refer to [BGP21] for more details.

Definition 2.1. Let $f : \subseteq X \rightrightarrows Y$ and $g : \subseteq Z \rightrightarrows W$ be partial multi-valued functions between represented spaces. We say that f is **Weihrauch reducible** to g , written $f \leq_W g$, if there exist computable functions $K : \subseteq \mathcal{N} \times \mathcal{N} \rightarrow \mathcal{N}$ and $H : \subseteq \mathcal{N} \rightarrow \mathcal{N}$ such that for every realizer G of g , the function $p \mapsto K(p, G \circ H(p))$ is a realizer of f .

In particular, if $f \leq_W g$ and g is computable, then f is computable. We will use the important limit operator.

Definition 2.2. The limit operator $\lim : \subseteq \mathcal{N}^{\mathbb{N}} \rightarrow \mathcal{N}$ sends a converging sequence to its limit.

2.3 The Scott domain $\mathcal{P}(\mathbb{N})$

The space $\mathcal{P}(\mathbb{N})$ is the space of subsets of \mathbb{N} . It comes with the inclusion ordering, denoted by \leq . For $x \in \mathcal{P}(\mathbb{N})$, we define $\uparrow x = \{y \in \mathcal{P}(\mathbb{N}) : x \leq y\}$ and $\downarrow x = \{y \in \mathcal{P}(\mathbb{N}) : y \leq x\}$.

$\mathcal{P}(\mathbb{N})$ is endowed with the Scott topology generated by the sets $\uparrow F$, where F ranges over the finite subsets of \mathbb{N} . These basic open sets make $\mathcal{P}(\mathbb{N})$ an effectively countably-based T_0 -space. For $x \in \mathcal{P}(\mathbb{N})$, $\uparrow x$ is $\mathbf{\Pi}_2^0$ and $\downarrow x$ is closed.

Actually, the effectively countably-based T_0 -spaces are, up to computable homeomorphisms, the subspaces of $\mathcal{P}(\mathbb{N})$. The embedding of an effectively countably-based T_0 -space X in $\mathcal{P}(\mathbb{N})$ sends $x \in X$ to the index set of its neighborhood basis $\{i \in \mathbb{N} : x \in B_i\}$.

A space is **quasi-Polish** if it embeds as a $\mathbf{\Pi}_2^0$ subset of $\mathcal{P}(\mathbb{N})$. An effectively countably-based T_0 -space X is a **precomputable quasi-Polish space** if there is a computable homeomorphism between X and a $\mathbf{\Pi}_2^0$ subset of $\mathcal{P}(\mathbb{N})$. It is a **computable quasi-Polish space** if it is precomputable and the set $\{i \in \mathbb{N} : B_i^X \neq \emptyset\}$ is c.e., where $(B_i^X)_{i \in \mathbb{N}}$ is the basis of X . Equivalently, X is computable if it embeds as a $\mathbf{\Pi}_2^0$ subset $Y \subseteq \mathcal{P}(\mathbb{N})$ such that $\{i \in \mathbb{N} : B_i \cap Y \neq \emptyset\}$ is c.e., where $(B_i)_{i \in \mathbb{N}}$ is the basis of $\mathcal{P}(\mathbb{N})$.

We will use the next simple result, which is related to the fact that every quasi-Polish space is sober (see [dB13]). $\mathcal{P}(\mathbb{N})$ is endowed with the inclusion ordering, denoted \leq , and is a complete lattice.

Lemma 2.1. *Let X be a $\mathbf{\Pi}_2^0$ subset of $\mathcal{P}(\mathbb{N})$. If $(x_i)_{i \in \mathbb{N}}$ is a sequence in X satisfying $x_i \leq x_{i+1}$, then $\sup x_i \in X$.*

Proof. Let U_n, V_n be open subsets of $\mathcal{P}(\mathbb{N})$ such that $X = \bigcap_n U_n \rightarrow V_n$. Let $n \in \mathbb{N}$. If $x = \sup x_i \in U_n$, there exists i such that $x_i \in U_n$. As $x_i \in X$, one has $x_i \in V_n$. As $x_i \leq x$, $x \in V_n$ as well. \square

We will use the fact that the standard representation is precomplete [KW85].

Lemma 2.2. *If X is a represented space, Y is an effectively countably-based T_0 -space and $f : X \rightrightarrows Y$ is computable, then f has a total computable realizer $F : \mathcal{N} \rightarrow \mathcal{N}$.*

Proof. A proof can be found in [KW85]. The idea is simply that a partial realizer F can be made total by inserting 0's in the output sequence to make sure that it is always infinite. By definition of the standard representation of Y , inserting 0's in a name of a point y still yields a name of y . \square

We will use the following computable fixed-point theorem, similar to Kleene's second recursion theorem, and proved using a standard diagonalization. Let $\delta : \mathcal{N} \rightarrow \mathcal{P}(\mathbb{N})$ be the standard representation of $\mathcal{P}(\mathbb{N})$.

Theorem 2.1. *Let $H : \mathcal{N} \times \mathcal{N} \rightarrow \mathcal{N}$ be computable. There exists a computable function $K : \mathcal{N} \rightarrow \mathcal{N}$ such that for all $p \in \mathcal{N}$, $\delta(H(p, K(p))) = \delta(K(p))$.*

Intuitively, $K(p)$ is a fixed-point of the function $H(p, \cdot)$.

Proof. Let $\phi : \mathcal{N} \times \mathcal{N} \rightarrow \mathcal{P}(\mathbb{N})$ be a computable universal function, such that for every computable function $f : \mathcal{N} \times \mathcal{N} \rightarrow \mathcal{P}(\mathbb{N})$ there exists a computable function $s : \mathcal{N} \rightarrow \mathcal{N}$ satisfying $f(p, q) = \phi(s(p), q)$ for all $p, q \in \mathcal{N}$. Let $\Phi : \mathcal{N} \times \mathcal{N} \rightarrow \mathcal{N}$ be a computable realizer of ϕ , which means that $\phi = \delta \circ \Phi$.

Let $F : \mathcal{N} \times \mathcal{N} \rightarrow \mathcal{N}$ be defined by $F(p, q) = H(p, \Phi(q, q))$. There exists a computable function $s : \mathcal{N} \rightarrow \mathcal{N}$ such that $\delta \circ F(p, q) = \phi(s(p), q)$. Let $K(p) = \Phi(s(p), s(p))$. One has $\delta \circ H(p, K(p)) = \delta \circ F(p, s(p)) = \delta(K(p))$. \square

In some results, we will need extra computability assumptions about the basis of the space.

Definition 2.3. Let $(X, \tau, (B_i)_{i \in \mathbb{N}})$ be an effectively countably-based space. We say that its basis $(B_i)_{i \in \mathbb{N}}$ is **co-overt** if the sets $X \setminus B_i$ are computably overt, uniformly in i .

In other words, the basis is co-overt if the set $\{(i, j) \in \mathbb{N}^2 : B_i \setminus B_j \neq \emptyset\}$ is c.e. We show that this property is preserved when embedding the space in $\mathcal{P}(\mathbb{N})$.

Lemma 2.3. *Let $(X, \tau, (B_i^X)_{i \in \mathbb{N}})$ be an effectively countably-based space and $Y \subseteq \mathcal{P}(\mathbb{N})$ its canonical embedding. Let $(B_i)_{i \in \mathbb{N}}$ be the basis of $\mathcal{P}(\mathbb{N})$, defined as $B_i = \{A \subseteq \mathbb{N} : F_i \subseteq A\}$, where $(F_i)_{i \in \mathbb{N}}$ is a computable indexing of the finite subsets of \mathbb{N} . If the basis $(B_i^X)_{i \in \mathbb{N}}$ of X is co-overt, then the basis $(B_i \cap Y)_{i \in \mathbb{N}}$ of Y is co-overt as well.*

Proof. Note that $B_i \cap Y$ is the image of $\bigcap_{j \in F_i} B_j^X$ via the embedding. There is a c.e. set $E \subseteq \mathbb{N}^2$ such that $\bigcap_{j \in F_i} B_j^X = \bigcup_{(i,k) \in E} B_k^X$. Therefore,

$$\begin{aligned} (B_i \cap Y) \setminus (B_j \cap Y) \neq \emptyset &\iff \bigcup_{(i,k) \in E} B_k^X \setminus \bigcap_{l \in F_j} B_l^X \neq \emptyset \\ &\iff \bigcup_{(i,k) \in E} \bigcup_{l \in F_j} B_k^X \setminus B_l^X \neq \emptyset \\ &\iff \exists k, l \text{ such that } (i, k) \in E, l \in F_j \text{ and } B_k^X \setminus B_l^X \neq \emptyset, \end{aligned}$$

which is a c.e. condition. \square

2.4 Overt choice

To each space is associated a problem, introduced and thoroughly investigated in [dBPS20] and called overt choice. Overt choice takes as input a non-empty closed set A presented via an enumeration of the basic open sets intersecting A , and outputs any element of A . Let us define it more precisely for the class of effectively countably-based T_0 -spaces, although it can be defined with more generality in [dBPS20].

If X is an effectively countably-based T_0 -space, then $\mathcal{V}(X)$ is the topological space of closed subsets of X endowed with the topology generated by the sets

$$\{A \in \mathcal{V}(X) : B_i \cap A \neq \emptyset\},$$

where B_i is any basic open subset of X . These sets form a subbasis of the topology. The collection of finite intersections of these sets has a canonical effective numbering which makes $\mathcal{V}(X)$ an effectively countably-based T_0 -space.

An element $A \in \mathcal{V}(X)$ is called an **overt closed** set, and is represented by enumerating the basic open sets B_i intersecting A . Let $\mathcal{V}_+(X)$ be the subspace of non-empty sets.

Definition 2.4. If X is an effectively countably-based T_0 -space, then **overt choice** on X is $\text{VC}_X : \mathcal{V}_+(X) \rightrightarrows X$ defined by $x \in \text{VC}_X(A)$ iff $x \in A$.

Among the many results of [dBPS20], let us cite two results showing that overt choice behaves well on quasi-Polish spaces.

Theorem 2.2 (Theorem 20 in [dBPS20]). *If X is quasi-Polish, then VC_X is continuous. If X is precomputably quasi-Polish, then VC_X is computable.*

Theorem 2.3 (Theorem 22 in [dBPS20]). *Let X be a countably-based T_1 -space. The following statements are equivalent:*

- VC_X is continuous,
- X is quasi-Polish.

We will see in Section 5 that the equivalence breaks if one drops the T_1 assumption, but that it can be fixed by considering a stronger choice function, taking overt \mathbb{I}_2^0 sets rather than overt closed sets as inputs.

3 Overt choice on \mathbb{N}_{cof}

The space \mathbb{N}_{cof} is the set of natural number \mathbb{N} endowed with the co-finite topology, generated by the complements of the finite subsets of \mathbb{N} . The closed subsets of \mathbb{N}_{cof} are the finite sets and the whole set \mathbb{N} . In [dBPS20] it is observed that this space is T_1 but not quasi-Polish, so overt choice is not continuous on \mathbb{N}_{cof} . A more precise measure of its Weihrauch complexity is left open (Open Question 52 in [dBPS20]).

In this section, we identify the Weihrauch complexity of $\text{VC}_{\mathbb{N}_{\text{cof}}}$. Let us reformulate what the task of $\text{VC}_{\mathbb{N}_{\text{cof}}}$ is:

- $\text{VC}_{\mathbb{N}_{\text{cof}}}$ takes as input a non-empty set $V \subseteq \mathbb{N}$ which is either finite or all \mathbb{N} , and which is described by an enumeration of the finite sets that do not contain V ,
- $\text{VC}_{\mathbb{N}_{\text{cof}}}$ should enumerate all the natural numbers except one element of V .

We will show that $\text{VC}_{\mathbb{N}_{\text{cof}}}$ is Weihrauch equivalent to the following multifunction H .

Definition 3.1. Let $\text{H} : 2^\omega \rightrightarrows 2^\omega$ map an infinite binary sequence s to any converging infinite binary sequence t such that $\lim t_n = \limsup s_n \in \{0, 1\}$.

Said differently, \mathbf{H} takes the characteristic sequence of a set $A \subseteq \mathbb{N}$ and answers whether A is infinite, with finitely many mind changes; \mathbf{H} is Weihrauch equivalent to the operator taking an enumeration of a set $E \subseteq \mathbb{N}$ and answering whether $E = \mathbb{N}$, with finitely many mind changes. Intuitively, \mathbf{H} transforms an instance of a Π_2^0 problem into an instance of a Δ_2^0 problem. Another equivalent problem is the identity from the Sierpinski space to the 2 point discrete space, where each representation is replaced by its jump; the jump of a representation δ is a representation δ' such that a δ' -name of a point is a sequence converging to a δ -name of that point (see [dBM14]).

As pointed out by a referee, \mathbf{H} is reducible to \mathbf{Sort} but not to $\mathbf{C}_{\mathbb{N}}$ (the latter is proved by observing that \mathbf{H} is a non-computable closed fractal, and applying Theorem 2.4 in [RP15]).

Theorem 3.1. $\mathbf{VC}_{\mathbb{N}_{\text{cof}}}$ is Weihrauch equivalent to \mathbf{H} .

Proof. We first prove $\mathbf{VC}_{\mathbb{N}_{\text{cof}}} \leq_W \mathbf{H}$. We are given a non-empty set $V \subseteq \mathbb{N}$, which is either finite or \mathbb{N} , presented via an enumeration of all the finite sets that do not contain V . Using \mathbf{H} , we enumerate a set $S = \mathbb{N} \setminus \{a\}$ for some $a \in V$. S will be defined as the union of a growing sequence of finite sets S_i . By induction, we will make sure that each S_i does not contain V .

Whether $V = \mathbb{N}$ is equivalent to the condition that every finite set is enumerated in the overt presentation of V . Therefore, giving to \mathbf{H} the overt presentation of V , the output of \mathbf{H} tells us whether $V = \mathbb{N}$ with finitely many mind changes. More precisely, the output of \mathbf{H} is a binary sequence t converging to 1 if $V = \mathbb{N}$, and to 0 if $V \neq \mathbb{N}$. We now explain the algorithm.

We start with $S_0 = \emptyset$, which indeed does not contain V . Once S_i has been defined, let $a < b$ be the smallest two numbers that do not belong to S_i . We run the following two tests in parallel:

- (a) $V \not\subseteq S_i \cup \{a\}$ and $\exists j \geq i, t_j = 0$,
- (b) $V \not\subseteq S_i \cup \{b\}$.

One of them must succeed. Indeed, if (b) never succeeds, i.e. $V \subseteq S_i \cup \{b\}$, then $V \not\subseteq S_i \cup \{a\}$ (otherwise $V \subseteq S_i$, contradicting the induction hypothesis) and V is finite, so $\lim t_j = 0$; therefore, the test (a) must succeed.

If test (a) succeeds first, then let $S_{i+1} = S_i \cup \{a\}$, if test (b) succeeds first, then let $S_{i+1} = S_i \cup \{b\}$. Note that S_{i+1} does not contain V by construction.

Let $S = \bigcup_{i \in \mathbb{N}} S_i$. We first show that S does not contain V . If V is finite, as the sets S_i grow and do not contain V , their union does not contain V either. If V is infinite, then $\lim t_i = 1$ so there exists i_0 such that $t_j = 1$ for all $j \geq i_0$. For all $i \geq i_0$, test (a) does not succeed, so the minimal element outside S_{i_0} is never added to S_{i+1} . Therefore, S does not contain a , which belongs to $V = \mathbb{N}$.

We show that there is only one element outside S . If it is not so, then let $a < b$ be the two smallest such elements. Let i be such that all the numbers smaller than a or b belong to S_i . By construction, one must have $S_{i+1} = S_i \cup \{a\}$ or $S_i \cup \{b\}$, contradicting the assumption that a and b are outside S . Therefore,

we have shown that $S = \mathbb{N} \setminus \{a\}$ for some $a \in V$. It completes the proof of the Weihrauch reduction from $\text{VC}_{\mathbb{N}_{\text{cof}}}$ to H .

Conversely, we prove that $\text{H} \leq_W \text{VC}_{\mathbb{N}_{\text{cof}}}$. Given the characteristic function of a set $A \subseteq \mathbb{N}$, we first add 0 to A to make sure that it is non-empty and define

$$V = \begin{cases} \mathbb{N} & \text{if } A \text{ is infinite,} \\ \{\max A\} & \text{if } A \text{ is finite.} \end{cases}$$

Let us first show that from A , we can compute an overt presentation of V .

Claim 1. A finite set F does not contain V if and only if $F \cap A = \emptyset$ or there exists $n \in A$ such that $n > \max(F \cap A)$.

Proof of the claim. One has the following equivalences:

$$\begin{aligned} & F \text{ contains } V \\ \iff & A \text{ is finite and } \max A \in F \\ \iff & \text{there exists } k \in F \cap A \text{ such that there is no } n \in A \text{ satisfying } n > k \\ \iff & F \cap A \neq \emptyset \text{ and there is no } n \in A \text{ satisfying } n > \max(F \cap A). \quad \square \end{aligned}$$

The condition is c.e. relative to A , so one can enumerate the finite sets that do not contain V .

We give the overt presentation of V to $\text{VC}_{\mathbb{N}_{\text{cof}}}$, which produces $n \in V$ given via an enumeration of $\mathbb{N} \setminus \{n\}$. Using this enumeration, we show how to decide with finite many mind-changes whether A is finite or infinite. We can compute n with finitely many mind changes, by guessing at each stage that n is the smallest number that was not enumerated so far. Each time the value of n changes, we start testing whether A contains an element larger than n . As long as such an element is not found, we guess that A is finite; when such an element is found, we guess that A is infinite. Eventually, the value of $n \in V$ does not change any more so our guesses converge to the correct answer. \square

In particular, we easily obtain an upper bound on $\text{VC}_{\mathbb{N}_{\text{cof}}}$.

Corollary 3.1. $\text{VC}_{\mathbb{N}_{\text{cof}}}$ is Weihrauch reducible to lim .

Proof. It is easy to see that H is reducible to lim . Given a binary sequence s , we define the binary sequence t by $t_n = \sup_{p \geq n} s_p$. One has $\lim t_n = \limsup s_n$ so $t \in \text{H}(s)$, and t can be computed from s by applying lim . \square

4 Overt choice on \mathbb{Q}

Brattka [Bra02] proved that overt choice on the space \mathbb{Q} of rational numbers, endowed with the Euclidean topology, is not continuous. The results of [dBPS20] imply that the only subspaces of \mathbb{R} on which overt choice is continuous are the Polish subspaces of \mathbb{R} , i.e. the \mathbb{I}_2^0 subsets of \mathbb{R} .

A more precise estimation of the Weihrauch degree of $\text{VC}_{\mathbb{Q}}$ was investigated in [Bra02] and [dBPS20], and we contribute to this problem in this section.

4.1 Comparison with lim

Whether $\text{VC}_{\mathbb{Q}}$ is Weihrauch reducible to lim is left as an open question (Open Question 50) in [dBPS20]. We show that the answer is negative.

Theorem 4.1. $\text{VC}_{\mathbb{Q}}$ is not Weihrauch reducible to lim .

Proof. Similarly to [dBPS20], we work on the space \mathbb{F} of finitary sequences $w\bar{1}0$ where $w \in \{0,1\}^*$ (for some technical reason, we exclude the zero sequence). As $\mathbb{Q} \cap [0,1]$ and \mathbb{F} are easily computably homeomorphic, $\text{VC}_{\mathbb{Q}}$ is equivalent to $\text{VC}_{\mathbb{F}}$. We show that $\text{VC}_{\mathbb{F}}$ is not reducible to lim ($\text{VC}_{\mathbb{F}}$ is called ECP in [dBPS20]).

Observe that $\text{VC}_{\mathbb{F}}$ is reducible to lim iff $\text{VC}_{\mathbb{F}}$ is limit-computable, i.e. there is a computable procedure converting a name of a non-empty overt set $C \subseteq \mathbb{F}$ to a sequence of elements of \mathbb{F} converging to an element of C (the equivalence between reduction to lim and limit-computability can be found as Proposition 11.6.1 in [BGP21]). We assume that $\text{VC}_{\mathbb{F}}$ is limit-computable and derive a contradiction. We build a name of an overt set $C \subseteq \mathbb{F}$, feed $\text{VC}_{\mathbb{F}}$ with that name and make sure that corresponding output sequence does not converge to an element of C . Note that we need to build a valid name of C without assuming that the output sequence is well-defined and converges.

In other words, we are given a partial sequence $(x_p)_{p \in \mathbb{N}}$ of points of the Cantor space and build an overt set $C \subseteq \mathbb{F}$, such that if x_p is total and converges to a finitary sequence, then that sequence is outside C . The sequence is partial, which means that the bits $x_p(i)$ may be undefined.

Let u be a finite binary string. We say that x_p extends u , written $x_p \succeq u$, if the prefix of length $|u|$ of x_p is defined and coincides with u . We say that x_p is incompatible with u , written $x_p \perp u$, if x_p is defined at a position $i < |u|$ with $x_p(i) \neq u(i)$.

We introduce the following quantities, which can be infinite:

$$\begin{aligned}\varphi^+(u) &= \#\{p \in \mathbb{N} : x_p \succeq u\}, \\ \varphi^-(u) &= \#\{p \in \mathbb{N} : x_p \perp u\}.\end{aligned}$$

The general idea is that if we observe that x_p is more often extending u than incompatible with u , i.e. if $\varphi^+(u) > \varphi^-(u)$, then x_p might converge to $u\bar{0}$, so we remove $u\bar{0}$ from C . We need to do it carefully so that we can at the same time produce the overt information about C . We now give the details.

If u, v are finite strings, then we also write $u \perp v$ if they are incomparable for the prefix ordering. Observe that if $u \perp v$, then $\varphi^+(v) \leq \varphi^-(u)$, because each x_p that extends v is incompatible with u .

Claim 2. If $u \perp v$, then $\varphi^+(u) \leq \varphi^-(u)$ or $\varphi^+(v) \leq \varphi^-(v)$.

Proof. Indeed, if $\varphi^+(u) > \varphi^-(u)$, then $\varphi^+(v) \leq \varphi^-(u) < \varphi^+(u) \leq \varphi^-(v)$. \square

We define $\varphi_k^+(u) = \#\{p \in \mathbb{N} : x_p[k] \succeq u\}$, where $x_p[k]$ is the part of x_p computed at stage k . Note that $\varphi_k^+(u)$ is non-decreasing in k , and converges

to $\varphi^+(u)$. We now define C :

$$A = \{w10^{k+2} : w \in 2^*, k \in \mathbb{N}, \varphi_k^+(w1) > \varphi^-(w1)\},$$

$$C = \mathbb{F} \setminus \bigcup_{\sigma \in A} [\sigma],$$

where $[\sigma]$ is the set of infinite sequences extending σ .

By definition, C is closed. If $(x_p)_{p \in \mathbb{N}}$ is completely defined and converges to $w1\bar{0}$, then $\varphi^+(w1) = \infty$ and $\varphi^-(w1) < \infty$, so $w10^{k+2} \in A$ for some k , hence $w1\bar{0} \notin C$. It remains to show that we can compute an overt presentation of C .

Claim 3. Let σ be a finite string. The cylinder $[\sigma]$ intersects C iff no prefix of σ belongs to A .

Proof. Of course, if $[\sigma]$ intersects C , then no prefix of σ belongs to A . Conversely, assume that no prefix of σ belongs to A . Let $u = \sigma11$ and $v = \sigma101$. These two words are incomparable, so by Claim 2, $\varphi^+(u) \leq \varphi^-(u)$ or $\varphi^+(v) \leq \varphi^-(v)$. In the first case, $u\bar{0} \in C$ because no prefix of this sequence belongs to A ; in the second case, $v\bar{0} \in C$. In either case $[\sigma]$ intersects C . \square

Relative to the partial sequence $(x_p)_{p \in \mathbb{N}}$, the set A is co-c.e., so the set of cylinders intersecting C is c.e., which means that we can compute an overt presentation of C . \square

4.2 Lower bound on $\text{VC}_{\mathbb{Q}}$

We also compare overt choice on \mathbb{Q} with overt choice on \mathbb{N}_{cof} .

Theorem 4.2. $\text{VC}_{\mathbb{N}_{\text{cof}}}$ is strictly Weihrauch reducible to $\text{VC}_{\mathbb{Q}}$.

Proof. We saw in Theorem 3.1 that $\text{VC}_{\mathbb{N}_{\text{cof}}}$ is Weihrauch equivalent to H . We prove that H is reducible to $\text{VC}_{\mathbb{F}}$, which is equivalent to $\text{VC}_{\mathbb{Q}}$. Given a set $A \subseteq \mathbb{N}$, we build an overt set $V_A \subseteq \mathbb{F}$ such that from any element of V_A we can decide whether A is infinite, with finitely many mind changes. We first add 0 to A to make it non-empty.

If A is infinite, then V_A is the set of characteristic sequences of finite subsets of A . If A is finite, then V_A is the set of characteristic sequences of the (finite) subsets of A containing $\max A$.

We show that from A one can uniformly compute an overt presentation of V_A . Let σ be a finite binary string, $n = |\sigma|$ and F be the finite set of positions at which σ has a 1.

Claim 4. The cylinder $[\sigma]$ intersects V_A if and only if $F \subseteq A$ and $(\max(A \cap [0, n-1]) \in F$ or there exists $k \geq n$ such that $k \in A$).

Proof of the claim. One has the following equivalences:

$$\begin{aligned}
& [\sigma] \text{ does not intersect } V_A \\
\iff & F \not\subseteq A \text{ or } (A \text{ is finite, } \max(A) < n \text{ and } \max(A) \notin F) \\
\iff & F \not\subseteq A \text{ or } (\max(A \cap [0, n-1]) \notin F \text{ and there is no } k \geq n \text{ in } A). \quad \square
\end{aligned}$$

The condition in the claim is c.e. relative to A , so V_A is computably overt relative to A .

Given A , we feed $\text{VC}_{\mathbb{F}}$ with V_A which produces an element x of V_A . Note that x is the characteristic sequence of a finite set F . We show that using x , one can decide with finitely many mind changes whether A is infinite. Indeed, A is infinite iff there exists $n \in A$ such that $n > \max F$. From x , $\max F$ can be computed with finitely mind changes, and then the existence of such an n can be decided with one mind change.

We have shown that $\text{VC}_{\mathbb{N}_{\text{cof}}}$ is reducible to $\text{VC}_{\mathbb{Q}}$. Conversely, $\text{VC}_{\mathbb{Q}}$ is not reducible to $\text{VC}_{\mathbb{N}_{\text{cof}}}$ because $\text{VC}_{\mathbb{N}_{\text{cof}}}$ is reducible to \lim (Corollary 3.1) while $\text{VC}_{\mathbb{Q}}$ is not (Theorem 4.1). \square

5 Characterization of quasi-Polish spaces

In [dBPS20] it is proved that overt choice is continuous on quasi-Polish spaces, and among the T_1 countably-based spaces, the spaces on which overt choice is continuous are exactly the quasi-Polish spaces.

First, it is easy to see that the characterization fails for spaces that are not T_1 . The following example is not quasi-Polish, but overt choice is continuous (and even computable) on that space.

Example 5.1. The space \mathbb{N}_{\leq} is the set of natural numbers endowed with the lower topology, whose open sets are the sets $[n, +\infty)$. It is an effectively countably-based space which is not quasi-Polish. Indeed, it can be embedded in $\mathcal{P}(\mathbb{N})$ by sending n to the set $\{0, \dots, n\}$. The image of \mathbb{N}_{\leq} under this embedding is not $\underline{\Pi}_2^0$, because it does not satisfy Lemma 2.1.

However, overt choice on \mathbb{N}_{\leq} is computable. Indeed, every non-empty closed subset of \mathbb{N}_{\leq} contains 0, so the algorithm that immediately outputs 0 without even reading its input is a realizer of $\text{VC}_{\mathbb{N}_{\leq}}$.

We introduce a stronger variant of overt choice that does characterize the quasi-Polish spaces among the countably-based spaces. While overt choice only takes closed sets as inputs, this variant takes any $\underline{\Pi}_2^0$ set as input.

5.1 $\underline{\Pi}_2^0$ overt choice

Let X be an effectively countably-based T_0 -space.

Definition 5.1. $\mathcal{PV}(X)$ is the space of $\underline{\Pi}_2^0$ subsets of X . A name of $A \in \mathcal{PV}(X)$ consists of a $\underline{\Pi}_2^0$ presentation together with an overt presentation of A . $\mathcal{PV}_+(X)$ is the subspace of non-empty $\underline{\Pi}_2^0$ subsets of X .

More precisely, if $(B_i)_{i \in \mathbb{N}}$ is the basis of X , then a name of $A \in \mathcal{PV}(X)$ is an enumeration of three sets $E, F, G \subseteq \mathbb{N}$ such that:

- $A = \bigcap_{n \in \mathbb{N}} U_n \rightarrow V_n$, where $U_n = \bigcup_{(i,n) \in E} B_i$ and $V_n = \bigcup_{(i,n) \in F} B_i$,
- $G = \{i \in \mathbb{N} : B_i \cap A \neq \emptyset\}$.

Definition 5.2. $\text{PVC}_X : \mathcal{PV}_+(X) \rightrightarrows X$ sends a non-empty $\underline{\Pi}_2^0$ overt subset of X to any element of that set.

We start with a few elementary facts about this choice principle. First, every closed set is $\underline{\Pi}_2^0$, in a uniform computable way.

Proposition 5.1. *If X is an effectively countably-based T_0 -space, then the inclusion map $I : \mathcal{V}(X) \rightarrow \mathcal{PV}(X)$ is computable.*

Proof. If $A \subseteq X$ is closed, then $A = \bigcap_i B_i \rightarrow U_i$ where $U_i = \emptyset$ if $B_i \cap A = \emptyset$ and $U_i = B_i$ otherwise. The sets U_i are uniformly effectively open from the overt presentation of A . \square

Corollary 5.1. *If X is an effectively countably-based T_0 -space, then $\text{VC}_X \leq_W \text{PVC}_X$.*

Proof. One has $\text{VC}_X = \text{PVC}_X \circ I$ which is reducible to PVC_X as I is computable by Proposition 5.1. \square

Proposition 5.2. *Let Y be an effectively countably-based T_0 -space. If $X \in \underline{\Pi}_2^0(Y)$, then $\text{PVC}_X \leq_W \text{PVC}_Y$.*

Proof. Every $\underline{\Pi}_2^0$ subset of X is a $\underline{\Pi}_2^0$ subset of Y , and we show that the inclusion map $J : \mathcal{PV}(X) \rightarrow \mathcal{PV}(Y)$ is computable. First, a $\underline{\Pi}_2^0(X)$ presentation of $A \subseteq X$ is a set $B \in \underline{\Pi}_2^0(Y)$ such that $A = B \cap X$. Intersecting it with the $\underline{\Pi}_2^0(Y)$ presentation of X gives a $\underline{\Pi}_2^0(Y)$ presentation of A . The basis of X is given by $B_i^X = B_i^Y \cap X$, so the overt presentation of A is the same in X and in Y . As a result, $\text{PVC}_X = \text{PVC}_Y \circ J$ is reducible to PVC_Y . \square

Corollary 5.2. *If X is quasi-Polish then PVC_X is continuous. If X is a precomputable quasi-Polish space, then PVC_X is computable.*

Proof. If X is a precomputable quasi-Polish space, then X embeds as a $\underline{\Pi}_2^0$ subset of $\mathcal{P}(\mathbb{N})$. By Proposition 5.2, PVC_X is reducible to $\text{PVC}_{\mathcal{P}(\mathbb{N})}$ which is computable (which follows from [HRSS19] and [dBPS20]), so PVC_X is computable. The non-effective case is obtained by relativization. \square

We will see below that the first part of Corollary 5.2 is actually an equivalence: X is quasi-Polish if and only if PVC_X is continuous. In the next two sections, we give examples showing that the second part of Corollary 5.2 is *not* an equivalence, i.e. PVC_X can be computable even though X is not precomputable.

5.1.1 Subspaces of the lower reals

We give a class of quasi-Polish spaces on which Π_2^0 overt choice is computable, without any computability assumption on the space.

We work in the computable quasi-Polish space $[0, 1]_{\leq}$, which is the unit interval endowed with the lower topology whose open sets are \emptyset , $[0, 1]$ and $(x, 1]$ for $0 \leq x < 1$.

Proposition 5.3. *If $X \subseteq [0, 1]_{\leq}$ is quasi-Polish, then PVC_X is computable.*

Proof. As X is quasi-Polish, X is a Π_2^0 subset of $[0, 1]_{\leq}$. Given $A \in \mathcal{PV}(X)$ we can use the overt information about A to compute $\sup A$. Here, “computing” $\sup A$ means enumerating the rational numbers $q < \sup A$, which can be done because $q < \sup A$ if and only if $(q, 1]$ intersects A . The same argument as in Lemma 2.1 shows that because A is Π_2^0 , it indeed contains $\sup A$. \square

In particular, if X is a quasi-Polish space such that PVC_X is computable, then X need not be precomputable.

5.1.2 Removing compact points

We give another way of obtaining quasi-Polish spaces on which PVC is computable. It involves the *compact* points of the space, which play a particular role in the computability of PVC.

Definition 5.3. Let X be a topological space. We say that a point $x \in X$ is **compact** if the intersection of its neighborhoods is open.

In $\mathcal{P}(\mathbb{N})$, the compact points are the finite sets. In a T_1 -space, the compact points are the isolated points.

Proposition 5.4. *Let X be a countably-based T_0 -space. X contains countably many compact points, and for each compact $x \in X$, the singleton $\{x\}$ is a difference of two open sets. Therefore, any set of compact points of X is Σ_2^0 .*

Proof. To each compact point x we associate a basic neighborhood B_i of x which is the intersection of the neighborhoods of x . This correspondence is one-to-one, because the space is T_0 . For each compact x , one has $\{x\} = B_i \cap \text{cl}(x)$ which is a difference of two open sets. \square

We show that, assuming that the basis of the space Y is co-overt (Definition 2.3), if Π_2^0 overt choice is computable on Y then it is computable on any subspace X obtained by removing a collection of compact elements of Y , with no effectiveness assumption on that collection.

Theorem 5.1. *Let Y be an effectively countably-based T_0 -space whose basis is co-overt. Assume that Y is quasi-Polish and PVC_Y is computable.*

If $X \subseteq Y$ contains all the non-compact elements of Y , then PVC_X is computable.

The results of the next sections imply that the assumption that Y is quasi-Polish is actually implied by the computability of PVC_X . Note that X is automatically quasi-Polish, because it is a $\mathbf{\Pi}_2^0$ subset of Y (indeed, it is obtained from Y by removing a set of compact elements, which is $\mathbf{\Sigma}_2^0$ by Proposition 5.4).

Before presenting the proof of the theorem, let us formulate a particular case, which is another source of quasi-Polish spaces X which are not precomputable, but such that PVC_X is computable.

Corollary 5.3. *Let \mathcal{F} be a collection of finite subsets of \mathbb{N} , and let $X = \mathcal{P}(\mathbb{N}) \setminus \mathcal{F}$. PVC_X is computable, although the quasi-Polish space X need not be precomputable.*

Proof. The space $Y = \mathcal{P}(\mathbb{N})$ satisfies all the assumptions of Theorem 5.1, and its compact elements are the finite sets. If we fix a computable bijection between \mathbb{N} and the finite subsets of \mathbb{N} , and choose \mathcal{F} so that the corresponding index set is not a $\mathbf{\Sigma}_2^0$ subset of \mathbb{N} , then X is not a $\mathbf{\Pi}_2^0$ subset of $\mathcal{P}(\mathbb{N})$. \square

Proof of Theorem 5.1. We assume that $X \subseteq Y \subseteq \mathcal{P}(\mathbb{N})$, so Y is a $\mathbf{\Pi}_2^0$ subset of $\mathcal{P}(\mathbb{N})$. We are given a non-empty set $A \in \mathcal{PV}(X)$. The strategy is to define a non-empty set $B \subseteq A$ for which we can compute a description in $\mathcal{PV}(Y)$ (rather than $\mathcal{PV}(X)$). We then apply PVC_Y to B and compute an element of B , which will also belong to A . Let

$$K_A = \{x \in Y : x \text{ is a compact element of } Y \text{ and } \exists y \in A, y > x\}$$

and $B = A \setminus K_A$. In other words, B is obtained by removing from A the compact elements that are not isolated in A . An element $x \in A$ is isolated in A if there exists an open set U such that $U \cap A = \{x\}$. We show that one can compute a $\mathcal{PV}(Y)$ -name of B .

Claim 5. One can compute a $\mathbf{\Sigma}_2^0(Y)$ description of K_A from the overt information about A .

Proof. To each basic open set B_i^Y we associate the set V_i of elements of Y that are not lower bounds of B_i^Y . One has $V_i = \bigcup \{B_j^Y : B_i^Y \setminus B_j^Y \neq \emptyset\}$. Indeed,

$$\begin{aligned} & x \text{ is not a lower bound of } B_i^Y \\ \iff & \text{there exists } y \in B_i^Y \text{ such that } x \not\leq y \\ \iff & \text{there exists } y \in B_i^Y \text{ and } j \text{ such that } x \in B_j^Y \text{ and } y \notin B_j^Y \\ \iff & \text{there exists } j \text{ such that } x \in B_j^Y \text{ and } B_i^Y \setminus B_j^Y \neq \emptyset. \end{aligned}$$

Therefore, V_i is effectively open, uniformly in i . We show that $K_A = \bigcup_{i \in E} B_i^Y \setminus V_i$ where $E = \{i \in \mathbb{N} : B_i^Y \cap V_i \text{ intersects } A\}$. As E is c.e. relative to the overt description of A , it implies that K_A is $\mathbf{\Sigma}_2^0$ relative to A .

For each $i \in \mathbb{N}$, if B_i^Y has a minimal element x (i.e. an element which is also a lower bound of B_i^Y), then $B_i^Y \setminus V_i = \{x\}$ and x is compact, otherwise $B_i^Y \setminus V_i$ is empty. Conversely, every compact element is the minimal element of some B_i^Y . If $B_i^Y \setminus V_i = \{x\}$, then $B_i^Y \cap V_i = \{y \in Y : y > x\}$. Therefore, $B_i^Y \cap V_i$ intersects A iff there exists $y \in A, y > x$. \square

Note that $A \subseteq X \subseteq Y$, and from the overt information about A as a subset of X we can compute the overt information of A as a subset of Y : an open set $U \subseteq Y$ intersects A if and only if the $U \cap X$ intersects A .

From the overt information about A , which coincides with the overt information about $\text{cl}_Y(A)$, we can compute a $\mathbf{\Pi}_2^0(Y)$ description of $\text{cl}_Y(A)$. The description of $A \in \mathbf{\Pi}_2^0(X)$ is a set $G \in \mathbf{\Pi}_2^0(Y)$ such that $A = G \cap X$.

Claim 6. One has $B = G \cap \text{cl}_Y(A) \setminus K_A$.

Proof. B is clearly contained in the set on the right-hand side, because $B = A \setminus K_A \subseteq G \cap \text{cl}_Y(A) \setminus K_A$. The two sets coincide on the non-compact elements, because the non-compact elements belong to X , on which G coincides with A . It remains to show that every compact element of $G \cap \text{cl}_Y(A) \setminus K_A$ belongs to B .

Let x be a compact element of $\text{cl}_Y(A) \setminus K_A$ (we do not even need to assume $x \in G$). There exists i such that $\{x\} = B_i^Y \setminus V_i$. As $x \in \text{cl}_Y(A)$, B_i^Y intersects A . As $x \notin K_A$, $B_i^Y \cap V_i$ does not intersect A . As a result, B_i^Y can only intersect A at x , so $x \in A$. As $x \notin K_A$, $x \in B$. \square

Therefore, one can compute a $\mathbf{\Pi}_2^0(Y)$ -name of B .

Claim 7. B is dense in A .

Proof. Assume that some open set U intersects A but not B . As $U \cap A$ is contained in K_A , it contains only compact elements that are not isolated in A . If $x \in A$ is compact and not isolated in A , then there exists $y \in A$ such that $x < y$. We start from some $x_0 \in U \cap A$; there exists $x_1 \in A$ such that $x_0 < x_1$. It implies that $x_1 \in U \cap A$, so we can iterate and build a sequence $x_0 < x_1 < x_2 < \dots$ in $U \cap A$. Note that G is a $\mathbf{\Pi}_2^0$ subset of Y , which is a $\mathbf{\Pi}_2^0$ subset of $\mathcal{P}(\mathbb{N})$, so G is a $\mathbf{\Pi}_2^0$ subset of $\mathcal{P}(\mathbb{N})$. Each x_i belongs to G , so $\sup x_i \in G$ by Lemma 2.1. x is not compact because every neighborhood of x contains some $x_i < x$, so $x \in X$. Therefore $x \in U \cap G \cap X = U \cap A$. It contradicts the fact that $U \cap A$ only contains compact elements. Therefore, B is dense in A . \square

Therefore, B is non-empty and the overt description of A is also an overt description of B . All in all, we can compute a $\mathcal{PV}(Y)$ description of B from a $\mathcal{PV}(X)$ description of A , and the proof is complete. \square

5.2 Characterization of quasi-Polish spaces

We now state and prove the characterization of quasi-Polish spaces in terms of $\mathbf{\Pi}_2^0$ overt choice. The proofs will be given in the next section.

Theorem 5.2. *Let X be a countably-based T_0 -space. The following statements are equivalent:*

- PVC_X is continuous,
- X is quasi-Polish.

At the same time, we prove effective versions of this result. In all the effective results, we assume that X is computably overt, and that the complements of the basic open sets are uniformly computably overt.

The first result is the most general one, and implies Theorem 5.2 by relativization.

Theorem 5.3 (Effective result, general case). *Let X be an effectively countably-based T_0 -space whose basis is co-overt. The following statements are equivalent:*

- PVC_X is computable,
- X is contained in a Π_2^0 space Y whose basis is co-overt, and X contains all the non-compact elements of Y .

Under certain assumptions, the statement can be simplified. The first case is when the space has no compact element.

Theorem 5.4 (Effective result, no compact element). *Let X be an effectively countably-based T_0 -space whose basis is co-overt. If X has no compact element, then the following statements are equivalent:*

- PVC_X is computable,
- X is a computable quasi-Polish space.

The second case is when the space is a subspace of a precomputable quasi-Polish space which is T_1 . In that case, the compact elements need not be treated separately.

Corollary 5.4 (Effective result, T_1 -space). *Let Z be a precomputable quasi-Polish space that is T_1 . If $X \subseteq Z$ and the basis of X is co-overt, then the following statements are equivalent:*

- PVC_X is computable,
- X is a computable quasi-Polish space.

Proof. Let $X \subseteq Z \subseteq \mathcal{P}(\mathbb{N})$, where Z is Π_2^0 and T_1 . First, the closure $\text{cl}(X)$ of X in $\mathcal{P}(\mathbb{N})$ is Π_2^0 , because it is computably overt by assumption. Assume that PVC_X is computable. Let $Y \supseteq X$ be given by Theorem 5.3. The set $\text{cl}(X) \cap Y \cap Z$ is Π_2^0 and contains X . Let us show that it actually coincides with X , implying that X is Π_2^0 and therefore precomputable (it is then computable, as it is computably overt by assumption).

Assume that there exists $x \in \text{cl}(X) \cap Y \cap Z \setminus X$. As $x \in Y \setminus X$, x is a compact element of Y so there exists i such that $B_i \cap Y = B_i \cap \uparrow x$. As Y is T_1 and $x \in Y$, one actually has $B_i \cap Y = \{x\}$. As $x \in \text{cl}(X)$, B_i intersects X . The only possible element of $B_i \cap X$ is x , so $x \in X$ which is a contradiction. Therefore, there is no such x , and $\text{cl}(X) \cap Y \cap Z = X$. \square

The result applies in particular if X is a subspace of a computable Polish space.

5.3 The proof

We give the rather involved proof of Theorem 5.3 and explain how Theorems 5.2 and 5.4 can be derived.

One direction is already proved: if X and Y satisfy the conditions of the Theorem, then PVC_Y is computable (Corollary 5.1), so PVC_X is computable by Theorem 5.1. We prove the other direction.

We assume that X is embedded in $\mathcal{P}(\mathbb{N})$, and that PVC_X is computable. Instead of basis B_i^X we will use the basis induced by the basis $(B_i)_{i \in \mathbb{N}}$ of the Scott topology on $\mathcal{P}(\mathbb{N})$. Note that the set $\{(i, j) : B_i \setminus B_j \cap X \neq \emptyset\}$ is c.e. by Lemma 2.3. Let

$$N = \{x \in \mathcal{P}(\mathbb{N}) : x \in \text{cl}(X \setminus \uparrow x)\}.$$

In the definition of N , the closure is taken in $\mathcal{P}(\mathbb{N})$ rather than X . Observe that $X \cap N$ is the set of non-compact elements of X . We know that $X \cap N$ is a Π_2^0 subset of X (Proposition 5.4). Here we prove an effective version.

Claim 8. N is a Π_2^0 subset of $\mathcal{P}(\mathbb{N})$.

Proof. Let

$$V_i = \{x \in \mathcal{P}(\mathbb{N}) : x \text{ is not a lower bound of } X \cap B_i\}.$$

Let us show that $V_i = \bigcup \{B_j : B_i \setminus B_j \cap X \neq \emptyset\}$, which is effectively open by assumption about X . Indeed,

x is not a lower bound of $X \cap B_i$

\iff there exists $y \in X \cap B_i$ such that $x \not\leq y$

\iff there exist $y \in X \cap B_i$ and j such that $x \in B_j, y \notin B_j$

\iff there exists j such that $x \in B_j$ and $X \cap B_i \setminus B_j \neq \emptyset$.

One has $N = \bigcap_i B_i \rightarrow V_i$, which is Π_2^0 . Indeed,

$x \in \text{cl}(X \setminus \uparrow x) \iff [\forall i, x \in B_i \implies B_i \cap X \setminus \uparrow x \neq \emptyset]$

$\iff [\forall i, x \in B_i \implies X \cap B_i \not\subseteq \uparrow x]$

$\iff [\forall i, x \in B_i \implies x \text{ is not a lower bound of } X \cap B_i]$

$\iff [\forall i, x \in B_i \implies x \in V_i].$ \square

The construction of the set $Y \subseteq \mathcal{P}(\mathbb{N})$ works in two steps:

- We build a set $Z \in \Pi_2^0(\mathcal{P}(\mathbb{N}))$ containing X and such that $X \cap N = Z \cap N$,
- We then define $Y = Z \setminus \bigcup \{B_i \setminus B_j : B_i \setminus B_j \cap X = \emptyset\}$.

The construction of Z uses the assumption that PVC_X is computable. It may not be the case that X contains all the non-compact elements of Z , and the definition of Y fixes this problem. The core of the proof will be the construction of Z . Before, let us quickly explain how Theorems 5.4 and 5.2 follow.

Theorem 5.4 is a particular case: if X contains no compact element, then $X = X \cap N = Z \cap N$ is Π_2^0 , because Z and N are Π_2^0 .

Theorem 5.2 is obtained by relativization to an oracle $A \subseteq \mathbb{N}$ that makes $\{(i, j) : B_i^X \setminus B_j^X \neq \emptyset\}$ c.e. and PVC_X computable. The space Y is quasi-Polish, and any subspace of Y containing its non-compact elements is quasi-Polish as well.

Building the set Z . The set Z will be built using the next result. In order to improve the readability, we denote $\delta(p)$ by x_p .

Lemma 5.1. *Let A, B be two disjoint subsets of $\mathcal{P}(\mathbb{N})$. If there exists a computable function $K : \mathcal{N} \rightarrow \mathcal{N}$ such that*

$$\begin{aligned} x_{K(p)} &= x_p \text{ if } x_p \in A, \\ x_{K(p)} &\neq x_p \text{ if } x_p \notin B, \end{aligned}$$

then there exists a Π_2^0 set $Z \subseteq \mathcal{P}(\mathbb{N})$ containing A and disjoint from B .

Proof. Let $S = \{p \in \mathcal{N} : x_{K(p)} = x_p\}$. As K is computable and equality on $\mathcal{P}(\mathbb{N})$ is Π_2^0 , S is a Π_2^0 subset of \mathcal{N} which contains $\delta^{-1}(A)$ and is disjoint from $\delta^{-1}(B)$. A Π_2^0 subset of $\mathcal{P}(\mathbb{N})$ can be obtained by applying the Vaught transform to S : let

$$Z = \{x \in \mathcal{P}(\mathbb{N}) : S \text{ is co-meager in } \delta^{-1}(x)\},$$

which indeed contains A and is disjoint from B . This transform was defined by Vaught in [Vau74] and applied to representations of countably-based spaces in [dBY09, dB13, CH20]. Let us prove that Z is indeed Π_2^0 , for the purpose of giving a self-contained presentation. Let $S_n \subseteq \mathcal{N}$ be uniformly effectively open sets such that $S = \bigcap_n S_n$. For each $x \in \mathcal{P}(\mathbb{N})$, $\delta^{-1}(x)$ is Π_2^0 hence Polish, so S is co-meager in $\delta^{-1}(x)$ iff it is dense there, iff each S_n is dense in $\delta^{-1}(x)$. Therefore, $x \in Z \iff$ for every $n \in \mathbb{N}$ and every finite sequence $\sigma \in \mathbb{N}^*$, if $[\sigma]$ intersects $\delta^{-1}(x)$ then $[\sigma] \cap S_n$ intersects $\delta^{-1}(x)$. In other words,

$$Z = \bigcap_{n, \sigma} \delta([\sigma]) \rightarrow \delta([\sigma] \cap S_n),$$

which is indeed a Π_2^0 subset of $\mathcal{P}(\mathbb{N})$. □

We now show that the computability of PVC_X can be used to build a function K satisfying the assumptions of Lemma 5.1.

Lemma 5.2. *If PVC_X is computable, then there exists a computable function $K : \mathcal{N} \rightarrow \mathcal{N}$ such that for all $p \in \mathcal{N}$,*

$$x_{K(p)} = x_p \quad \text{if } x_p \in X, \tag{1}$$

$$x_{K(p)} \neq x_p \quad \text{if } x_p \in N \setminus X. \tag{2}$$

Lemma 5.1 then implies the existence of a Π_2^0 set $Z \subseteq \mathcal{P}(\mathbb{N})$ containing X and disjoint from $N \setminus X$, as wanted.

Proof of Lemma 5.2. Let us start with an intuitive explanation of how K works.

For $x \in X \cup N$, let $S_x = (X \cap \downarrow x) \cup \text{cl}_X(X \setminus \uparrow x)$. It is a closed subset of X , which is non-empty if $x \in X \cup N$. We build an algorithm that takes as input a name p of $x \in X \cup N$, tries to produce a $\mathcal{PV}_+(X)$ name of S_x , feeds the computable realizer of PVC_X with that name, observe the output and use it to guide the construction of the name of S_x . The purpose is to force the realizer of PVC_X to output a name of x , when $x \in X$. We then define $K(p)$ as the output of the realizer of PVC_X . If $x \in X$, then $x_{K(p)} = x_p$; if $x \in N \setminus X$, then $x_{K(p)} \neq x_p$ because $x_{K(p)}$ belongs to $S_x \subseteq X$.

Observe that the construction of the input of the realizer of PVC_X depends on its output, which itself depends on its input. We make the argument precise using the computable fixed-point theorem (Theorem 2.1).

We now give the details of how to build K .

Each $p \in \mathcal{N}$ induces a growing sequence $(x_p[n])_{n \in \mathbb{N}}$ of finite subsets of \mathbb{N} whose union is $x_p \in \mathcal{P}(\mathbb{N})$. In the sequel, it may help the reader to think of p as a name of $x \in X \cup N$ and of q as the output of a realizer of PVC_X (however, for the moment p and q are arbitrary elements of \mathcal{N}). We first define a computable function $\eta : \mathcal{N} \times \mathcal{N} \rightarrow \bar{\mathbb{N}}_<$ as follows:

$$\eta(p, q) = \sup\{n \in \mathbb{N} : x_p[n] \leq x_q \text{ and } x_q[n] \leq x_p\}. \quad (3)$$

It is an equality testing function in the sense that $\eta(p, q) = \infty$ if and only if $x_p = x_q$. This function is computable (which means that there is an algorithm taking p, q as inputs and computes a non-decreasing sequence of natural numbers converging to $\eta(p, q)$). Let

$$D = \{(p, q) \in \mathcal{N} \times \mathcal{N} : x_p \in X \text{ or } x_p = x_q \in N\}.$$

We then define a function $\psi : D \rightarrow \mathcal{PV}_+(X)$ as follows:

$$\psi(p, q) = \begin{cases} (X \cap \downarrow x_p \cap \uparrow x_p[n+1]) \cup (X \setminus \uparrow x_p[n]) & \text{if } n = \eta(p, q) < \infty, \\ (X \cap \downarrow x_p) \cup \text{cl}_X(X \setminus \uparrow x_p) & \text{if } \eta(p, q) = \infty. \end{cases}$$

Note that when $\eta(p, q) = \infty$, $\psi(p, q)$ is S_{x_p} . We are now going to use the following properties of ψ :

- If $(p, q) \in D$ then $\psi(p, q) \neq \emptyset$,
- The function $\psi : D \rightarrow \mathcal{PV}_+(X)$ is computable.

They will be proved in Lemma 5.3, let us assume them for the moment.

The multifunction $\text{PVC}_X \circ \psi : D \rightrightarrows X$ is computable. By Lemma 2.2, it has a *total* computable realizer $H : \mathcal{N} \times \mathcal{N} \rightarrow \mathcal{N}$. We write $H_p(q)$ for $H(p, q)$. By the computable fixed-point theorem (Theorem 2.1), a fixed-point of H_p can be uniformly computed from p , i.e. there is a computable function $K : \mathcal{N} \rightarrow \mathcal{N}$ such that

$$x_{H_p(K(p))} = x_{K(p)}.$$

We finally check that K satisfies the sought conditions of Lemma 5.2. To lighten the notations, let us fix $p \in \mathcal{N}$ and put $q = K(p)$.

Claim 9. If $(p, q) \in D$, then $x_q \in \psi(p, q)$.

Proof of Claim 9. As H is a realizer of $\text{PVC}_X \circ \psi$, $x_{H_p(q)} \in \psi(p, q)$. As q is a fixed-point of H_p , one has $x_q = x_{H_p(q)}$ so $x_q \in \psi(p, q)$. \square

Claim 10. If $x_p \in X$, then $x_q = x_p$.

Proof of Claim 10. As $x_p \in X$, one has $(p, q) \in D$, so $x_q \in \psi(p, q)$ by Claim 9.

Assume for a contradiction that $x_q \neq x_p$. It implies that $\eta(p, q)$ is a finite number n , so $x_q \in \uparrow x_p[n]$ by definition of η (3). As $x_q \in \psi(p, q)$, one must have $x_q \in \downarrow x_p \cap \uparrow x_p[n+1]$. It implies that $\eta(p, q) \geq n+1$ which is a contradiction. Therefore, $x_q = x_p$. \square

Claim 11. If $x_p \in N \setminus X$, then $x_q \neq x_p$.

Proof of Claim 11. Equivalently, we show that if $x_p \in N$ and $x_q = x_p$, then $x_p \in X$. If $x_q = x_p \in N$, then $(p, q) \in D$, so $x_q \in \psi(p, q)$ by Claim 9. As $\psi(p, q) \subseteq X$, it implies that $x_p = x_q \in X$. \square

We have proved that if $x_p \in X$, then $x_{K(p)} = x_p$, and if $x_p \in N \setminus X$, then $x_{K(p)} \neq x_p$, which completes the proof of Lemma 5.2. \square

We now prove the computability of ψ .

Lemma 5.3. *If $(p, q) \in D$ then $\psi(p, q) \neq \emptyset$, and the function $\psi : D \rightarrow \mathcal{PV}_+(X)$ is computable.*

Proof of Lemma 5.3. We split ψ into two parts and show that each part is computable.

Claim 12. The map $\psi_0 : D \rightarrow \mathbf{\Pi}_2^0(X)$ defined by

$$\psi_0(p, q) = \begin{cases} X \cap \downarrow x_p \cap \uparrow x_p[n+1] & \text{if } n = \eta(p, q) < \infty, \\ X \cap \downarrow x_p & \text{if } \eta(p, q) = \infty, \end{cases}$$

is computable.

Proof of Claim 12. We need to show that $\psi_0(p, q)$ is a Π_2^0 subset of X , relative to and uniformly in p, q .

First, $\downarrow x_p$ is a Π_2^0 subset of $\mathcal{P}(\mathbb{N})$, relative to and uniformly in p . Indeed, one has $\downarrow x_p = \bigcap_{i \in \mathbb{N}} B_i \rightarrow W_i(p)$ where

$$W_i(p) = \begin{cases} \mathcal{P}(\mathbb{N}) & \text{if } x_p \in B_i, \\ \emptyset & \text{if } x_p \notin B_i. \end{cases}$$

Next, let

$$\psi'_0(p, q) = \begin{cases} \uparrow x_p[n+1] & \text{if } n = \eta(p, q) < \infty, \\ \mathcal{P}(\mathbb{N}) & \text{if } \eta(p, q) = \infty. \end{cases}$$

One then has $\psi'_0(p, q) = \bigcap_{n \in \mathbb{N}} U_n(p, q) \rightarrow V_n(p, q)$, where

$$(U_n(p, q), V_n(p, q)) = \begin{cases} (\emptyset, \emptyset) & \text{if } \eta(p, q) < n, \\ (\mathcal{P}(\mathbb{N}), \uparrow x_p[n+1]) & \text{if } \eta(p, q) = n, \\ (\mathcal{P}(\mathbb{N}), \mathcal{P}(\mathbb{N})) & \text{if } \eta(p, q) > n. \end{cases}$$

The sets $U_n(p, q), V_n(p, q)$ are effectively open relative to and uniformly in n, p, q because they are increasing w.r.t. $\eta(p, q)$. Start with (\emptyset, \emptyset) , switch to $(\mathcal{P}(\mathbb{N}), \uparrow x_p[n+1])$ if the inequality $\eta(p, q) \geq n$ is eventually satisfied, and then switch to $(\mathcal{P}(\mathbb{N}), \mathcal{P}(\mathbb{N}))$ if $\eta(p, q) \geq n+1$ is eventually satisfied. \square

Claim 13. The map $\psi_1 : \mathcal{N} \times \mathcal{N} \rightarrow \mathcal{PV}(X)$ defined by

$$\psi_1(p, q) = \begin{cases} X \setminus \uparrow x_p[n] & \text{if } n = \eta(p, q) < \infty, \\ \text{cl}_X(X \setminus \uparrow x_p) & \text{if } \eta(p, q) = \infty, \end{cases}$$

is computable.

Proof of Claim 13. As it has closed images, it is sufficient to show that ψ_1 is computable when typed as $\psi_1 : \mathcal{N} \times \mathcal{N} \rightarrow \mathcal{V}(X)$. A basic open set B_i intersects $\psi_1(p, q)$ iff there exists a finite number $n \leq \eta(p, q)$ such that $B_i \setminus \uparrow x_p[n]$ intersects X , which is a c.e. condition by the co-overtness assumption about X . \square

We show that for $(p, q) \in D$, the closure in $\mathcal{P}(\mathbb{N})$ of $\psi(p, q)$ is $\downarrow x_p \cup \psi_1(p, q)$. It is clearly contained in that set. Let $U \subseteq \mathcal{P}(\mathbb{N})$ be an open set intersecting $\downarrow x_p$, i.e. containing x_p . First assume that $x_p \in X$. One has $x_p \in \psi_0(p, q)$ so U intersects $\psi(x)$. Now assume that $x \in N \setminus X$. As $(p, q) \in D$, one has $\eta(p, q) = \infty$ so $\psi_1(p, q) = \text{cl}_X(X \setminus \uparrow x_p)$. As $x_p \in N$, which means that $x_p \in \text{cl}(X \setminus \uparrow x_p)$, U intersects $X \setminus \uparrow x_p$ so U intersects $\psi_1(p, q)$ hence $\psi(p, q)$.

Therefore, $\psi(p, q) = \psi_0(p, q) \cup \psi_1(p, q)$ is Π_2^0 relative to and uniformly in $(p, q) \in D$. We need to show that $\psi(p, q)$ is computably overt, relative to and uniformly in $(p, q) \in D$, which is implied by the following result.

Claim 14. For $(p, q) \in D$, B_i intersects $\psi(p, q)$ if and only if B_i intersects $\psi_1(p, q)$ or $x_p \in B_i$.

Proof. If B_i intersects $\psi(p, q)$ but not $\psi_1(p, q)$, then B_i intersects $\psi_0(p, q)$ which is contained in $\downarrow x_p$, therefore $x_p \in B_i$.

Conversely, assume that $x_p \in B_i$. If $x_p \in X$, then $x_p \in \psi_0(p, q)$ so B_i intersects $\psi(x)$. If $x_p \in N \setminus X$, then $\eta(p, q) = \infty$ as $(p, q) \in D$, so $\psi_1(p, q) = \text{cl}_X(X \setminus \uparrow x_p)$. As $x_p \in N$, which means that $x_p \in \text{cl}(X \setminus \uparrow x_p)$, U intersects $X \setminus \uparrow x_p$ so U intersects $\psi_1(p, q)$ hence $\psi(p, q)$. \square

As a result, we have shown that the map $\psi : D \rightarrow \mathcal{PV}(X)$ is computable. Moreover, if $x_p \in X$ then $\psi_0(p, q) \neq \emptyset$, and if $x_p = x_q \in N$ then $\psi_1(p, q) \neq \emptyset$. Therefore, $\psi(p, q) \neq \emptyset$ for all $(p, q) \in D$. The proof of Lemma 5.3 is complete. \square

The set Y . As announced, we define $Y = Z \setminus \bigcup\{B_i \setminus B_j : B_i \setminus B_j \cap X = \emptyset\}$. By definition, Y contains X . We need to show that:

1. Y is Π_2^0 ,
2. $\{(i, j) : B_i^Y \setminus B_j^Y \neq \emptyset\}$ is c.e.,
3. X contains all the non-compact elements of Y .

1. As Z is Π_2^0 , it is sufficient to show that the set $\bigcup\{B_i \setminus B_j : B_i \setminus B_j \cap X = \emptyset\}$ is Σ_2^0 . This set is $\bigcup_{i,j} B_i \setminus W_{i,j}$, where $W_{i,j} = B_j$ if $B_i \setminus B_j \cap X = \emptyset$, and $W_{i,j} = \mathcal{P}(\mathbb{N})$ otherwise. W_j is effectively open, uniformly in i, j : we start enumerating B_j , and if we eventually see that $B_i \setminus B_j$ intersects X (a c.e. condition), then we enumerate $\mathcal{P}(\mathbb{N})$.

2. It is easy to see that $B_i \setminus B_j$ intersects Y if and only if it intersects X . One direction follows from the fact that Y contains X . In the other direction, if $B_i \setminus B_j$ intersects Y , then it was not removed from Z in the definition of Y , so it intersects X .

3. Let $x \in Y \setminus X$. We show that x is compact in Y . As $x \notin X$, there exists i such that $x \in B_i$ and $X \cap B_i = X \cap \uparrow x$. Let $y = \inf X \cap B_i$, we show that $x = y$. One has $x \leq y$ and for every j ,

$$\begin{aligned}
y \in B_j &\implies X \cap B_i \subseteq B_j && \text{because } X \cap B_i \subseteq \uparrow y \\
&\iff X \cap B_i \setminus B_j = \emptyset \\
&\implies x \notin B_i \setminus B_j && \text{because } x \in Y \\
&\implies x \in B_j && \text{because } x \in B_i
\end{aligned}$$

so $y \leq x$, therefore $y = x$.

Let $z \in Y \cap B_i$. If $z \in X$, then $x \leq z$. If $z \notin X$, then by the previous argument applied to z , there exists k such that $z \in B_k$ and $z = \inf X \cap B_k$. As $z \in B_i$, $X \cap B_k \subseteq X \cap B_i$ so $z = \inf X \cap B_k \geq \inf X \cap B_i = x$.

Therefore, $Y \cap B_i = Y \cap \uparrow x$, so x is compact in Y and the proof is complete.

All in all, we have built the space Y satisfying all the conditions listed in Theorem 5.3.

6 Unique choice over the Baire space

The problem $\text{UC}_{\mathcal{N}}$ is called unique choice over the Baire space and is defined as follows. It takes as input a singleton $\{p\} \subseteq \mathbb{N}$, given via an enumeration of a set E of finite sequences σ of natural numbers such that $\{p\} = \mathcal{N} \setminus \bigcup_{\sigma \in E} [\sigma]$, and outputs p .

In [dBPS20] it is asked whether there exists an effectively countably-based space X which is effectively analytic, and such that VC_X is not Weihrauch reducible to $\text{UC}_{\mathcal{N}}$ (Open Question 49 in [dBPS20]). We answer positively.

We use the following result, which is Corollary 3.4 in [KMP20]. We say that a point of a represented space is hyperarithmetical if it has a hyperarithmetical name.

Lemma 6.1. *Let X, Y be represented spaces and $f : X \rightrightarrows Y$. If $f \leq_W \text{UC}_{\mathcal{N}}$, then for every computable $x \in X$, $f(x)$ contains an hyperarithmetical element.*

Proof. A computable name of x is mapped to a Π_1^0 singleton in \mathcal{N} . Its unique element is hyperarithmetical and computes (a name of) an element of $f(x)$. \square

Proposition 6.1. *There exists a Σ_2^0 subspace $X \subseteq \mathcal{N}$ such that $\text{VC}_X \not\leq_W \text{UC}_{\mathcal{N}}$.*

Proof. We take X such that X is dense in \mathcal{N} and contains no hyperarithmetical element. It can be built as follows. Kleene [Kle55] prove the existence of a non-empty set $P \in \Pi_1^0(\mathcal{N})$ containing no hyperarithmetical element. Let $X = \{\sigma \cdot p : \sigma \in \mathbb{N}^* \text{ and } p \in P\}$. We apply Lemma 6.1 to $f = \text{VC}_X$. As X is dense in \mathcal{N} , X is a computable element of $\mathcal{V}(X)$. However, $\text{VC}_X(X) = X$ has no hyperarithmetical element, so $\text{VC}_X \not\leq_W \text{UC}_{\mathcal{N}}$. \square

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