

Manu McFrench, from zero to hero Impact of using a generic handwriting recognition model for smaller datasets

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July 12 2023

DH2023, Graz, Austria





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Overall, the problem 1 Introduction

- OCR and HTR are great opportunities to access collections of documents and create textual corpora
- but transcription models are costly to produce because they require training examples
- Iuckily, we can rely on pre-existing models and pre-existing data!



The CREMMA Project

- initiated in 2021 with a 50 000 € funding from DIM MAP
- objectives:
 - build a server to offer eScriptorium to researchers and students in the Paris area (42 000€)
 - produce training data (9th to 21st centuries) + generic model(s) for French and Latin mss (8 000 €)
- complemented by CREMMALab
 - more data for medieval French + a reference dataset (CREMMA Medieval)
 - a seminar gathering experts to agree on good practices and guidelines for medieval HTR





The issue

- One of the objectives of CREMMA was the "creation of a generic model that would allow users to not start their transcription from zero";
- But the budget alloted to this part was only 8 000 € (which is both plenty and not a lot);
- AND it had to be split between middle ages and modern / contemporary times;

With a budget of 8 000 €, and non-expert transcribers, what can you do ?



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Making the best out of a low resource situation 2 Datasets behind Manu McFrench

3 ways to optimize collecting training data

- Reuse existing datasets (few of them, but luckily we had HTR-United)^a);
- Reuse existing public digital or printed edition to create new training data;
- Create our own data but optimizing the transcription time (we explain more later)



Figure: Looking for solutions and datasets

^aSome researchers identified an issue with the portability of Transkribus data to Kraken. *Cf.* 3.2 of A. Pinche, *Generic HTR Models for Medieval Manuscripts*, 2023



Reusing datasets: our transcription rules 2 Datasets behind Manu McFrench

The CREMMA guidelines are diplomatic: they respect the specificities of the document, as much as possible:

- no correction of the text;
- no abbreviation revolution;
- reproduction of some typographic phenomenons;
- no text normalization.

So: we can't massively align datasets with images because most printed and digitized edition do at least one of the above.



Reusing dataset: three level of reusable datasets 2 Datasets behind Manu McFrench

Outside of rights (of course), we can grade editions or transcriptions according to their (im)portability:

- 1. Abbreviation kept, no spelling correction, images available online (Extremely rare);
- 2. Abbreviation resolved but no spelling correction, images avail. (scarce);
- 3. Abbreviation resolved, spelling correction, images avail. (common);
- 4. Same as the above but no images :sadface:
- 5. Images, but no transcription

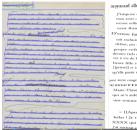


Level 1 dataset: the *Testaments de poilus* 2 Datasets behind Manu McFrench

Emmanuelle de Champs, Florence Clavaud, Pauline Charbonnier, Christine Nougaret, et al., "Testaments de Poilus", 2022. https://edition-testaments-de-poilus.huma-num.fr/

- 1. Extract *batches* using metadata (dpt. of birth) to ensure diversity
- 2. Export plain text and images
- 3. Import into eScriptorium for segmentation and alignment
- 4. Adapt to CREMMA's guidelines

Easy to harvest because the metadata is stable, the transcriptions are clean and it's open (thus licensed)!



aralle breunere

l'impose et pour laquelle on prélévera XXXX somme suffisante XXXX XXXX XXXX être inférieur à cent france par poole. cent francs par mois. N 1 mot ray⁴ D'entre fait, j'entends par mon fils Georg

soit exclusivement élevé par ma mère et à [[notre]]

défaut, par ma chère Femme, que l'aime comme mopropre enfant et n'oubliera d'ailleurs jamais la devoirs (tels que le droit de visite) qu'elle doit remalir vis à vis de la mère de cet enfant - celle-ci est une brave fille vis à vis de laquelle je demande à une [[prière]] et à ma Femme d'écrire d'affectueux armient ga'elle parait mériter.^s 1 mot

une autre rangéll Je pris enfin une prière de bien vouloir emettre à Mademoiselle Marie-Thérèse III.aussucull. 56, rue La Bochefinien mui m'a aidé dans les circonstances très difficiles une somme de cinq mille francs.

- [[Apres] cela fait, je désire peu de chose hélas ! Je tiens à dire peu à peu j'ai XXXX la XXXX qui m'avait été légué à moi-même, si

Github: HTR-United/CREMMA-AN-TestamentDePoilus



Level 2-3: Abrégé des Desc. des Artes 2 Datasets behind Manu McFrench

CREMMA-MSS-18 includes data reused from an American crowdsourcing campaign (Smithonian, using what looks like FromThePage software)

- 1. 179X Manuscript, single hand
- 2. Random selection of 20 pages
- 3. Manually downloaded images and copies transcription
- 4. Import into eScriptorium for segmentation and alignment
- 5. Adapt to CREMMA's guidelines



la longeur et la largeur q'il doit avôir on le lisse secher, il est mis sous presse et enfine mbaile. les draps se teignent aprés avoir été fabriqués et au 'fortir du foulon. d'autres sont teints en laine c'est a dire que les laines sont déjá teintes avant d'etre employées a la fabrication.//.

L'art du Chapelier

Quiconque n'aura pas vù faire des chapeaux, ou n'aura point appris comment on les fabrique, nimaginera jamais par combien de mains La matiére qu'on y employe doit passer ni le travail qu'elle exige de chaque quorier, pour devenir cette espèce. de colfiture dont Le chapeller fait

Github: HTR-United/CREMMA-MSS-18

In this case the transcription practices can change from one to contributor to another.



Others ? 2 Datasets behind Manu McFrench

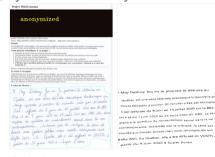
- We focused on French manuscripts $-> \operatorname{not}$ many already existing data to transform at the time
- We found a way to create more data at a lower cost



Low-cost and diverse: the CREMMA Wiki dataset 2 Datasets behind Manu McFrench

CREMMA-Wikipedia is a dataset made from scratch, by us and some of you!

- 1. Random pages selected from Wikipedia
- 2. Turned into a form that we ask volunteers to copy
- 3. Anonymized then imported in eScriptorium for segmentation and transcription
- 4. Published along with metadata



Github: HTR-United/CREMMA-Wikipedia

No reuse limitation, no transcription problem or ambiguity, a varied vocabulary and varied handwritings.



Recap on datasets 2 Datasets behind Manu McFrench

Dataset name	Project or company	Century	Language	Lines	Characters	Hands
CREMMA Manuscrits du 17e	CREMMA	17	French	2,245	81,909	Few
CREMMA Manuscrits du 18e	CREMMA	18	French	4,017	141,747	Few
Notaires de Paris - Bronod	LECTAUREP	18	French	3,708	359,676	Few
CREMMA Manuscrits du 19e	CREMMA	19	French	1,807	55,581	Few
Projet Correspondance Berlioz	ENC - BPDC	19	French	367	13,474	Few
Projet Notre-Dame	ENC - BPDC	19	French	735	29,286	Few
TIMEUS Corpus	ANR TIME US	19	French	7,701	746,997	Many
Notaires de Paris - Mariages et Divorces	LECTAUREP	19-20	French	20,305	1,969,585	Many
Notaires de Paris - Répertoires	LECTAUREP	19-20	French	29,410	525,619	Many
CREMMA Manuscrits du 20e	CREMMA	20	French	224	5,764	Few
CREMMA-AN Testaments de Poilus	CREMMA	20	French	1,353	33,652	Many
CREMMA Wikipedia	CREMMA	21	French	1,353	33,652	Many
Araucania	Araucania	19	Spanish	3,932	117,155	Few
Memorials for Jane Lathrop Stanford	ENC - BPDC	20	English	770	18,063	Few
Total manuscript				77,927	4,132,160	
"Données imprimés du 16e siècle"	Gallicorpora	16	French	4,918	186,202	N/A



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Datasets behind Manu McFrench

► Training Manu McFrench

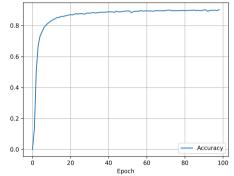
► Fine-tuning Manu McFrench

Conclusion



Training Manu McFrench ³ Training Manu McFrench

- 73,964 lines for train; 8,881 lines for dev (5%)
- Batch: 16, Padding: 16
- Augmentation (image distortion, etc.)
- Unicode norm.: NFKD
- Learning rate $1e^{-4}$
- Lag (Patience): 10
- Accuracy: 90.32%



[1,120,0,1 Cr4,2,32,4,2 Gn32 Cr4,2,64,1,1 Gn32 Mp4,2,4,2 Cr3,3,128,1,1 Gn32 Mp1,2,1,2 S1(1x0)1,3 Lbx256 Do0.5 Lbx256 Do0.5 Lbx256 Do0.5]



Raw results: the example of Quicherat manuscript ³ Training Manu McFrench



Croix du pijulbé a CSe. Pierre d. Louvain. Elle est du XV^re Siècle, en bois, fleuronnee ton>t<s >< de quaturefecuilles .. et de fleieurs de lavs et engreâlée sur daes contours, de tour porte dsurt un picolt qui lui-même repos-e sutr un petist rocher et uenne- grandeu travearse par dessous, d'oun Ls'élève à chaque bous une figure .: d'eun côté la vierge et de l'autre S. Jean, JSours la otimême traverses, un tableau à trois nyoolets, avec deux pieceds qui descendent poceusr faire pa>r< l'aoffice de pociontes d'appui Ssutr lae jube. d es feuillures du tableau deu côté de la nef sont occupéues Mpar Ttrois figures sculptéues. Dans les bfleurons de la croitx sont les animaux symbeoleigues.

CER
13.629
13.629
13.312
13.311
12.479
11.764



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All paths lead to a model

4 Fine-tuning Manu McFrench

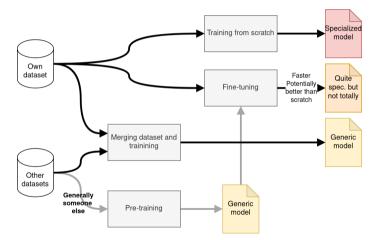


Figure: Possibilities regarding training a model for a specific project



Experimental set-up 4 Fine-tuning Manu McFrench

We tested finetuning on 3 different datasets

- We split dataset in evenly(ish) subsets. We keep one of those subset for testing.
- We train a model on the first subset 1, with and without Manu McFrench as base (we use the same configuration for patience, learning rate, etc.)
- We train a model accumulating subset 1 until subset n.
- We keep track of the accuracy on the test set
- We evaluate a best scenario by training test (90/10) on itself and testing (unbeatable score).



Experiments: Peraire 4 Fine-tuning Manu McFrench

- Dataset:
 - Peraire's travel notes
 - 1 hand, French, blue on school paper
 - Same guideline, "same" language as Manu McFrench



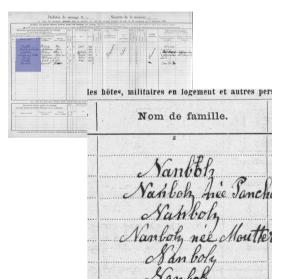


Experiments: Archives du Valais

4 Fine-tuning Manu McFrench

Dataset:

- Census form of 1880
- 1 hand / form
- 90% French, 10% German
- Mostly proper names, some job names, and numbers
- Same guideline, "same" language as Manu McFrench





Experiments: e-Notre Dame de Paris 4 Fine-tuning Manu McFrench

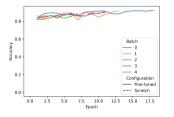
Dataset:

- Administrative documents (Middle Age)
- Many hands
- Mostly Latin, some Old/Middle French
- Very repetitive
- Different language, but also different guideline: normalization and abbreviation resolution embedded in the transcription





Conclusion of the experiments: Speed 4 Fine-tuning Manu McFrench



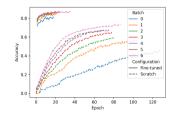




Figure: Peraire

Figure: Valais

Figure: e-NdP data was lost for this metric



Conclusion of the experiments: Accuracy 4 Fine-tuning Manu McFrench

0.87 0.88 0.88 0.88 0.90 0.90 0.8 0.8 0.9 0.83 0.84 0.82 0.81 0.91 0.80 0.6 0.6 0.8 0.76 Accuracy 6.0 Accuracy --- No pre-training ---- With pre-training Accuracy --- Test on test 0.62 0.63 0.59 0.6 0.5/ 0.2 0.2 0.5 --- No pre-training No pre-training With pre-training 0.00 0.00 0.00 ---- With pre-training 0.00 0.0 --- Test on test 0.0 0.4 --- Test on test ò ÷ 14 21 28 128 192 256 64 320 384 50 100 150 200 250 300 350 Images Images Images

Figure: Peraire

Figure: Valais

Figure: e-NdP



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Conclusion 5 Conclusion

- Open-source data means open-source and "massive" models are possible;
- Generic models provide healthy bases for training things, and speed-up the training for better results (in general);
- It can even speed-up training on what a human reader would consider a very different dataset using the same character ranges (but a different writing style).
- Other initiatives currently on-going: HTRomance & HTRogène



Q & A

Thank you for listening! Your feedback will be highly appreciated!