

# T-Modules: Translation Modules for Zero-Shot Cross-Modal Machine Translation

Paul-Ambroise Duquenne, Hongyu Gong, Benoît Sagot, Holger Schwenk

## ▶ To cite this version:

Paul-Ambroise Duquenne, Hongyu Gong, Benoît Sagot, Holger Schwenk. T-Modules: Translation Modules for Zero-Shot Cross-Modal Machine Translation. EMNLP 2022 - 2022 Conference on Empirical Methods in Natural Language Processing, Dec 2022, Abu Dhabi, United Arab Emirates. hal-03834732

# HAL Id: hal-03834732 https://inria.hal.science/hal-03834732v1

Submitted on 30 Oct 2022

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# T-Modules: Translation Modules for Zero-Shot Cross-Modal Machine Translation

Paul-Ambroise Duquenne Meta AI & Inria Hongyu Gong Meta AI Benoît Sagot Inria Holger Schwenk Meta AI

padqn@fb.com

hygong@fb.com

benoit.sagot@inria.fr

schwenk@fb.com

## **Abstract**

We present a new approach to perform zeroshot cross-modal transfer between speech and text for translation tasks. Multilingual speech and text are encoded in a joint fixed-size representation space. Then, we compare different approaches to decode these multimodal and multilingual fixed-size representations, enabling zero-shot translation between languages and modalities. All our models are trained without the need of cross-modal labeled translation data. Despite a fixed-size representation, we achieve very competitive results on several text and speech translation tasks. In particular, we outperform the state of the art for zero-shot speech translation on Must-C. We also introduce the first results for zero-shot direct speechto-speech and text-to-speech translation.

#### 1 Introduction

Most, if not all, current state-of-the-art text and speech translation systems are based on a sequenceto-sequence approach and an attention mechanism to connect the encoder and decoder. Such models require labeled data to be trained end-to-end. For text-to-text (T2T) translation, this labeled data, called bitexts, is available in large amounts for a number of language pairs, in particular since large-scale bitext mining initiatives like ParaCrawl (Bañón et al., 2020) and CCMatrix (Schwenk et al., 2021). Finding training data for speech-to-text (S2T) translation is more challenging, but several data collection efforts exist, like mTEDx (Salesky et al., 2021), CoVoST (Wang et al., 2020a,b), and Must-C (Di Gangi et al., 2019). Finally, speech-tospeech (S2S) translation suffers from scarcity of end-to-end labeled data and current S2S systems are limited to a very small number of language pairs. Very recent works start to consider mining labeled data for S2S, e.g. (Duquenne et al., 2021).

Unsupervised representation learning is very successfully used to initialize the encoder and/or decoder of a sequence-to-sequence model, thereby

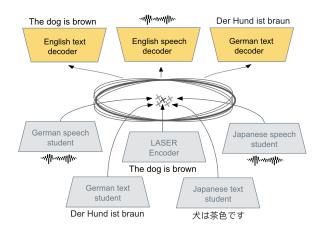


Figure 1: Summary of the model architecture.

lowering the amount of labeled data needed to train or fine-tune the model end-to-end. Approaches include for instance XLM (Conneau and Lample, 2019), XLSR (Conneau et al., 2020), wav2vec (Baevski et al., 2020), data2vec (Baevski et al., 2022) and mSLAM (Bapna et al., 2022).

In this work, we propose a new modular architecture for text and speech translation, which is based on a common fixed-size multilingual and multimodal internal representation, and encoders and decoders which are independently trained. We explore several variants of teacher-student training to learn text and speech encoders for multiple languages, which are compatible with the embedding space of the LASER encoder (Artetxe and Schwenk, 2019). In contrast to preceding works on multilingual and multimodal representations, we also train text decoders for multiple languages which are able to generate translations given the joint representation. Finally, we demonstrate that it is possible to train a speech decoder using raw audio only. Figure 1 visualizes the overall approach. We show that these encoders and decoders can be freely combined to achieve very competitive performance in T2T, S2T and (zero-shot) S2S translation.

In summary, our contributions are as follows.

- We apply a teacher-student approach to train multilingual text and speech encoders that are mutually compatible;
- We show that the fixed-size representation can be efficiently decoded into multiple languages;
- We are able to train a speech decoder with raw speech only, which can be paired with our text and speech encoders for multiple languages;
- We achieve very competitive results on several text and speech translation tasks, without any end-to-end labeled data and significantly improve the state of the art for zero-shot speech translation;
- To the best of our knowledge, we are the first to build zero-shot direct S2S translation systems.

#### 2 Related work

## Multilingual and multimodal representations

Building multilingual representation for text or speech is key to develop state-of-the-art models based on these modalities. Conneau and Lample (2019) introduce a multilingual pre-training method with good cross-lingual transfer capabilities. Conneau et al. (2020) extend the Wav2vec2 (Baevski et al., 2020) architecture to the multilingual setting introducing a multilingual pre-trained model for speech. More recently, Bapna et al. (2022) pre-train a multilingual encoder model handling both speech and text in order to benefit from cross-modal transfer between speech and text.

An important obstacle to good joint speech/text representations is the length mismatch between audio and text. On the other hand, several works have studied how to encode sentences in a fixedsize representation (Feng et al., 2020; Artetxe and Schwenk, 2019; Reimers and Gurevych, 2019). In the multilingual setting, these works highlight that paraphrases and translations are close in the sentence embedding space, enabling large-scale bitext mining. Recently, Duquenne et al. (2021) extended the existing LASER model (Artetxe and Schwenk, 2019) built for multilingual text to the speech modality for several spoken languages. They show that this joint speech/text fixed-size representation can be efficiently used for large-scale mining of speech against text and even speech against speech. **Zero-shot transfer in Machine Translation** In Machine Translation, cross-lingual transfer to improve low-resource language directions has been widely studied. One way to encourage crosslingual transfer is building a massively multilingual translation system as (Fan et al., 2021). Some other works such as (Zhang et al., 2022) make an efficient use of MT data involving a pivot language thanks to weight freezing strategies to force representations to be close to the pivot language representations. One extreme scenario of cross-lingual transfer learning is called zero-shot transfer, where you learn to translate one language and directly apply the decoding process to an unseen language. Several methods have been tried to improve zeroshot transfer. Arivazhagan et al. (2019); Pham et al. (2019) add language similarity regularization on pooled representations of encoders outputs as an auxiliary loss to a MT objective in order to improve zero-shot transfer. Liao et al. (2021); Vázquez et al. (2018); Lu et al. (2018) introduce shared weights between language-specific encoders and decoders, commonly called an interlingua that captures language-independent semantic information. Finally, Escolano et al. (2020a, 2021a, 2020b) focus on incremental learning of language-specific encoders-decoders using cross-entropy loss, alternately freezing parts of the model to ensure a shared representation between languages.

Zero-shot transfer in Speech Translation Recent research focuses on direct speech translation where an encoder-decoder model directly translates speech into text (Bérard et al., 2016; Bansal et al., 2017; Weiss et al., 2017). Direct speech translation models are closing the gap with their cascaded counterparts (Li et al., 2020; Babu et al., 2021; Bapna et al., 2022). Several works add MT data in S2T translation training, using an auxiliary loss to bridge the modality gap, like adversarial (Alinejad and Sarkar, 2020), or distance (Dong et al., 2021; Liu et al., 2020) regularization. (Xu et al., 2021) and (Li et al., 2020) use adaptor modules to address the length mismatch between audio and text representations. Several works studied how to efficiently perform zero-shot cross-modal transfer from text to speech in the frame of direct speech translation. Following (Escolano et al., 2020a, 2021a, 2020b) presented above for text, Escolano et al. learn a speech encoder compatible with decoders trained on text only, freezing the text decoder during training and using cross-entropy on the output of the decoder. This is the most similar work like ours, however they did not use any joint fixed-representation and their zero-shot results using only speech transcriptions lagged behind supervised setting by a large margin. Other works such as (Dinh et al., 2022; Dinh, 2021) studied zero-shot speech translation employing a cross-modal similarity regularization as an auxiliary loss. However, they obtained low zero-shot results possibly due to the mismatch in the encoder output lengths between speech and text.

**Direct speech-to-speech translation** Finally, there is a surge of research interest in direct speech-to-speech translation (Jia et al., 2019, 2021; Lee et al., 2022a). An encoder-decoder model directly translates speech in a language into speech in another language without the need to generate text as an intermediate step. Speech-to-speech translation research suffers from data scarcity of aligned speech with speech in different languages and often uses synthetic speech to overcome this issue.

Recently, Lee et al. (2022b) introduce the first direct speech-to-speech model based on real speech data as target. They propose a speech normalization technique in order to normalize the target speech with respect to speaker and prosody. Lee et al. (2022a,b) extract HuBERT units of target speech as targets for a unit decoder during training. At test time, a vocoder is used to transform output units into speech. To the best of our knowledge, no work has tried to develop a direct speech-to-speech translation system in a zero-shot setting.

## 3 Exploring training strategies

The purpose of this work is to build a common fixed-size representation for multilingual speech and multilingual text that can be decoded in text and speech in different languages. We want to build language-specific encoders and decoders compatible with this fixed-size representation. Plugging one encoder with one decoder from different modalities and/or different languages enables performing zero-shot cross-modal translation.

To this end, we first study how to efficiently decode fixed-size sentence representation for text. Second, we study how to improve similarity for sentence embeddings between languages. After an ablation study on the Japanese-English text translation direction, we extend the best training strategy to several other languages and a new modality, speech.

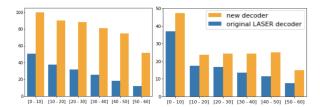


Figure 2: BLEU vs. sentence length on FLORES-devtest. English auto-encoding (left), German-to-English translation (right).

## 3.1 Better decoding of sentence embeddings

Motivations Multilingual sentence embeddings have been widely studied in the research community to perform bitext mining. For instance, LASER (Artetxe and Schwenk, 2019) is a multilingual sentence embedding space, where sentences are close in the embedding space if they are paraphrases or translations. LASER has been successfully used for large-scale bitext mining like in the CCMatrix project (Schwenk et al., 2021). LASER has been trained with a decoding objective, whereas other works like LaBSE (Feng et al., 2020) have been trained with a contrastive objective.

First, we studied how multilingual sentence embeddings can be efficiently decoded. We focused on LASER as it originally has a decoder, and we studied how we can improve the decoding of sentence embeddings. As an initial experiment, we evaluated auto-encoding of English sentences from FLORES (Goyal et al., 2022) in Figure 2 left, with the original LASER encoder and decoder, bucketing sentences by length, and reporting BLEU scores. The LASER encoder handles several languages: decoding these multilingual embeddings enables to translate the input sentence into English with the original LASER decoder. We report the BLEU scores for the different sentence lengths in Figure 2 right for the German-English translation direction from FLORES. We notice that BLEU scores are low for both auto-encoding and translation tasks and decrease with the sentence length. The fixed-size representation seems to be a bottleneck for decoding tasks, especially for long sentences. However, the original LASER decoder is really shallow (one LSTM decoder layer), an interesting question is: can we improve decoding by training a new deeper decoder?

**Training new decoders** We chose to train a new decoder to decode LASER sentence embeddings, with a transformer architecture and 12 layers. To

train this new decoder, we use an auto-encoding objective, feeding raw English sentences to the model: we use original LASER encoder, whose weights are not updated during training, and plug a new transformer decoder to decode the fixed-size sentence representation output by the LASER encoder (the decoder attends on the sentence embedding output by the encoder). We used 15B English sentences from CCnet (Wenzek et al., 2019) to train the decoder. We compare the new decoder with original LASER decoder on the auto-encoding task and the German-English translation task of FLO-RES in Figure 2.

Results First, we notice an important boost on the auto-encoding task with the new decoder, with high BLEU scores even for sentences with more than 50 words. Second, training a new decoder with an auto-encoding objective improves the decoding of sentence embeddings from another language, German. The new decoder can be directly applied to German sentence embeddings because German embeddings are supposed to be close to their English translations encoded with LASER.

## 3.2 Making languages closer

**Motivations** To get an idea of the closeness of translations in the LASER space, we inspected the L2 squared distances of sentence embeddings in different languages to their English translations sentence embeddings. A detailed analysis can be found in the appendix. We noticed that high resource languages are closer in the LASER space to English, compared to low resource languages.

We studied how our newly trained decoder is performing on a more distant language in LASER space, Japanese. We report the results of the jaen translation task using the original decoder and the new decoder in Table 1. We notice that both decoders performs poorly on the ja-en translation tasks, and that the original LASER decoder leads to better results. An hypothesis is that the new decoder has over-fitted English embeddings leading to bad generalization on distant Japanese embeddings.

**Teacher-student training of text encoders** To overcome this issue, we suggest to follow a method introduced by Reimers and Gurevych (2020), where new encoders are trained to fit an existing sentence embedding space. Here, we are trying to make the Japanese translations closer to English embeddings in our 1024 dimensional space.

	ja-en
Original encoder + original decoder	6.9
Original encoder + new decoder	5.5
Student - BOS pooling + new decoder	19.5
Student - max pooling + new decoder	22.5
Student - max pooling + original decoder	12.2
Student - max pooling & CE + new decoder	22.6

Table 1: BLEU scores for ja-en on FLORES devtest

The original LASER encoder is fixed during training to encode English translation, behaving as the teacher, while we train a new Japanese encoder as a student to fit English sentence embeddings. We use bitexts from CCMatrix for the ja-en pair to train the Japanese text student. Following (Reimers and Gurevych, 2020), we minimize the MSE loss (equivalent to L2 squared distance) between the generated Japanese sentence embedding and the target English sentence embedding.

The Japanese encoder is not trained from scratch, but we fine-tune XLM-R large. To extract the sentence embedding, we tested two methods: The classical output of the encoder corresponding to the beginning-of-sentence (BOS) token, a method widely used for text classification; or max-pooling of the encoder outputs, less common but LASER has been trained with such pooling method.

Finally, we tested another objective that is supposed to better match with our decoding task: we encode the Japanese sentence with the encoder being trained, decode the pooled sentence embedding with our new decoder which weights are not updated during training, and we compute the cross entropy loss of the output of the new decoder with the English target sentence. The training was unstable when using XLM-R weights as initialization. Therefore, instead of fine-tuning XLM-R, we fine-tune the encoder obtained from our previous method (trained with MSE loss), which leads to a stable training. We report all the results in Table 1. For text-to-text translation results, we use spBLEU of M2M-100 with the public checkpoint and script to evaluate on FLORES.

**Results** In Table 1, we first notice that learning a new Japanese student significantly improve the results for the ja-en translation task. The best pooling method seems to be max-pooling, maybe because LASER has been trained with max-pooling. The second step of fine-tuning with cross entropy loss does not improve the results for our ja-en translation task, despite of the significant decrease of

cross entropy valid loss during this second step fine-tuning. This validates the use a simple MSE loss which seems sufficient for future decoding purposes and is a lot cheaper in term of computation compared to cross entropy loss. We conclude that learning a new Japanese student with max-pooling and MSE loss leads to the best results. Using this new Japanese encoder, our new decoder significantly outperforms the original LASER encoder.

These experiments show that LASER sentence embeddings can be better decoded by training a new decoder on a large amount of raw text data. This new decoder can be used to decode sentence embeddings from other languages handled by LASER. However, translations are still more or less distant in the space, making them explicitly closer with a MSE loss objective significantly improves the results on a translation task. Therefore, we decide to extend this idea to other languages and a new modality, speech, to see if it can help performing cross-modal translation tasks.

#### 4 Overall architecture

Text student encoders We now want to train several text students for different languages, in order to plug, at test time, these encoders to different decoders to perform translation tasks. We decide to use LASER English embeddings as our teacher. This English space has proven to have good semantic properties: paraphrases are close in the embedding space, and makes it a good teacher for English translations. Moreover, most of MT data involve English translations that we will use to learn our text students. We focus on 7 languages, namely, German, French, Spanish, Catalan, Japanese, Turkish, and Mongolian. We use CCMatrix bi-texts to learn our text students, and bi-texts mined with LASER3 (Heffernan et al., 2022) for Mongolian.

Text decoders We saw above that we can train a new English decoder with raw English data, using a fixed encoder and an auto-encoding objective. However, such an approach can lead to over-fitting to English sentence embeddings and bad generalization on other languages. We made languages closer together in our 1024 dimensional space thanks to our new student encoders but translations are not perfectly mapped to a real English sentence embedding in this continuous space. Therefore, we explore different methods to make the decoders robust locally in the sentence embedding space in order to generalize better on unseen languages.

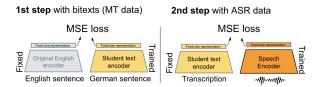


Figure 3: Incremental learning of a speech student.

First, we can improve our decoder training with an auto-encoding objective by adding synthetic noise in the sentence embedding space. We add noise to a sentence embedding by multiplying it by  $1+\epsilon$ , with  $\epsilon \sim \mathcal{N}(0,\alpha)$ . In our experiments, we took  $\alpha=0.25$ , which leads to an empirical average L2 squared distance of approx. 0.05. between the noisy embedding and the original embedding.

Second, we tested another approach to make our decoder robust to translations in the sentence embedding space: we added bi-texts from the de-en direction to the training of the English decoder.

Finally, we trained decoders for five non-English languages to see how it behaves for other languages. All text decoders are 12-layers transformer decoders.

**Speech student encoders** Duquenne et al. (2021) showed that it is possible to learn speech students compatible with the LASER text space. The training of speech students is similar to the one presented above for text. They fine-tune XLSR, a multilingual pretrained model for speech and minimized the cosine loss between the output of the speech encoder and the target LASER sentence embedding. We adapt this approach using a bigger XLSR model (Babu et al., 2021) with more than two billion parameters and extracting the fixed-size representation for speech with max-pooling to follow what we have done for text students. We minimize the MSE loss between the output of the speech encoder and the transcription/translation encoded by one of our text encoders. Unlike (Duquenne et al., 2021), we did not use the original LASER encoder to encode text transcripts but our newly trained text students which are supposed to be close to the LASER English embeddings. As in (Duquenne et al., 2021), we can use either transcriptions or written translations as teachers for our speech student. We used CoVoST 2, a speech translation dataset, as our training data. Figure 3 summarizes the process to train a speech student with transcriptions only: First, we train a text student for the language we want to cover, we will

	de	fr	es	ca	ja	tr	mn
This work - zero-shot except for de-en							
en-en decoder	40.7	41.9	30.4	36.7	22.5	32.8	13.0
en-en+noise decoder	39.5	40.6	29.4	35.8	23.7	33.2	16.4
en-en+de-en decoder	44.2	44.9	32.6	40.7	26.5	37.3	19.4
Previous works - supervised							
M2M-100 (12B - 48 layers) (Fan et al., 2021)	44.7	45.5	31.1	42.5	26.1	36.9	20.9
Deepnet (3.2B - 200 layers) (Wang et al., 2022)	48.0	49.9	35.2	46.2	32.7	44.2	23.9

Table 2: BLEU on FLORES devtest for text-to-text xx-en translation using different English decoders.

use this encoder to encode transcriptions. Then, we train a speech student to fit text embeddings output by our text student.

**Speech decoders** In this last part, we introduce a speech decoder in our framework, which can be learnt with raw speech data. We focus on English speech decoding but it could be extended to other languages. To learn to decode English speech, we follow the work done by Lee et al. (2022b), who learn to decode HuBERT units. At test time, the generated units are transformed into speech using a vocoder.

One method is to follow the same approach presented for raw text data to learn an English decoder. The English speech encoder previously trained to fit LASER text space on CoVoST 2 training set is used to encode raw speech, and its weights are not updated during training. We trained a unit decoder to decode sentence embeddings output by the speech encoder. The unit targets correspond to the one of the input speech as we are trying to auto-encode speech. We follow the recipe of Lee et al. (2022b) to prepare target units as we are dealing with real speech data: we extract HuBERT units from input speech, normalize the units with the speech normalizer used in Lee et al. (2022b). This preparation of target data is done unsupervisedly and any raw speech data can be processed with this method. We summarize the speech decoder training in Figure 4. Another method is to leverage English speech recognition data where English text transcripts are encoded through LASER

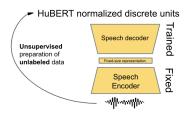


Figure 4: Speech decoder training.

encoder which weights are fixed during training and a decoder predicts the sequence of units of the corresponding speech.

Once the English speech decoder is trained, we can plug any text or speech encoder to perform direct text-to-speech or speech-to-speech translation in a zero-shot way.

#### 5 Results and discussion

**Text-to-text translation** As presented in section 4, we test different strategies to train an English decoder. When training a decoder with raw text data, we use 15 billion English sentences extracted from CCnet (Wenzek et al., 2019). When training with additional bi-text data, we use bi-texts from CCMatrix (Schwenk et al., 2021), and the English part of the bi-texts for the auxiliary auto-encoding loss in order to have a good balance between bitexts and raw data. We present the results for textto-text translation for xx-en directions in Table 2 for the different decoder training methods on FLO-RES devtest. en-en decoder corresponds to the decoder trained with an auto-encoding objective, en-en+noise decoder corresponds to the decoder trained with an auto-encoding objective and additional noise in the sentence embedding space, and en-en+de-en decoder corresponds to the decoder trained with a combination of de-en bitexts and english raw data. We compare our zero-shot text-to-text translation results with two supervised baselines: M2M-100 (Fan et al., 2021), a massively multilingual trained on many-to-many training data from different sources, with 24 encoder layers and 24 decoder layers; and Deepnet (Wang et al., 2022) a recent work trained on 1932 language directions from different sources with 100 encoder layers and 100 decoder layers. We put these results as a supervised reference but we recall that in our framework, we perform zero-shot text-to-text translation for most of the language pairs. Please note the crosslingual transfer we obtain thanks to our training

	de	fr	es	ca	tr	ja	mn
Speech training hours in CoVoST 2	184h	264h	113h	136h	4h	2h	3h
This work - zero-shot en-en decoder en-en+noise decoder en-en+de-en decoder	27.3 29.2 33.0	32.2 33.3 35.7	34.0 35.3 37.1	24.7 27.3 30.2	7.4 10.1 11.2	3.3 5.2 6.1	0.1 0.3 1.0
Previous work - zero-shot mSLAM (Bapna et al., 2022) cross-modal zero-shot	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Previous works - supervised XLSR (2B) (Babu et al., 2021) mSLAM (2B) (Bapna et al., 2022)	33.6 35.9	37.6 39.0	39.2 41.0	33.8 35.4	16.7 24.2	3.5 3.3	1.6 0.8

Table 3: BLEU on CoVoST 2 test set for zero-shot speech-to-text translation (xx  $\rightarrow$  en).

method: the English decoder has never seen Spanish embeddings before but is able to achieve competitive results compared to supervised baselines.

In Table 2, we see that adding synthetic noise to the sentence embeddings helps translating low resource languages unseen by the decoder. However, it slightly decreases the performance on high resource languages. Moreover, natural noise from deen translations leads to even better results for both high and low resource languages, getting closer to the state-of-the-art MT results which have been obtained with end-to-end training.

Finally, we trained decoders for German, French, Spanish, Turkish and Mongolian in order to be able to translate from any of our languages to any other. A detailed analysis of the translation tasks with these new decoders can be found in the appendix. Similar to what we noticed with our English decoder, we obtain excellent zero-shot crosslingual transfer: the German decoder has never seen Japanese embeddings before and Japanese has never been aligned to German. However, the ja-de results are competitive compared to state-of-the-art translation models trained in an end-to-end way with much more data.

Speech-to-text translation Then, we tried to plug the decoders trained on text data to our speech encoders in order to perform zero-shot speech-to-text translation. We trained independent speech student encoders for German, French, Turkish, Japanese and Mongolian spoken languages on the CoVoST 2 training set. For Catalan and Spanish, we trained a single speech student encoder for both languages as they have high language similarity. We report direct speech translation results in Table 3 for speech encoders trained with transcriptions as teachers. We have put several baselines for direct speech translation: two supervised baselines

Teacher mode:	Transcript.		Trans	lation	Both		
	de	ja	de	ja	de	ja	
en-en	27.3	3.3	27.9	3.5	28.1	3.1	
en-en+noise	29.2	5.2	28.8	4.4	30.2	5.2	
en-en+de-en	33.0	6.1	30.6	4.6	33.6	5.4	

Table 4: BLEU on CoVoST 2 test set for different teachers and decoders for zero-shot speech-to-text translation.

based on finetuning XLSR (Babu et al., 2021) or mSLAM (Bapna et al., 2022) with speech translation data. We also put the results on zero-shot cross-modal transfer from text to speech with the mSLAM pre-trained multimodal encoder, which is not working in this zero-shot setting.

In our framework, the de-en speech translation direction benefits from cross-modal transfer while all other directions benefit from both cross-modal and cross-lingual transfer as the decoder has been trained on text and has only seen English and German embeddings. In this zero-shot cross-modal setting, we notice that the results are really competitive compared to supervised baselines trained end-to-end. Moreover, the supervised baselines use speech translation data, whereas our approach does not need speech translation data but only transcriptions. Except for Turkish, which has a really different morphological structure compared to English, speech translation results are close to their supervised counterpart trained with XLSR. An interest-

	State of the art	Our models
en→de	6.77	23.78
$en \rightarrow fr$	10.85	32.71
en→es	6.75	27.43

Table 5: BLEU on Must-C test set for zero-shot speech translation, compared to the state of the art for zero-shot approaches by (Escolano et al., 2021b).

	es-en	fr-en
Zero-shot text-to-speech		
trained on raw speech from CoVoST	10.0	9.5
trained on raw speech from MLS + Common Voice	22.8	20.9
trained on en ASR data from MLS + Common Voice	24.4	23.5
Zero-shot speech-to-speech		
trained on raw speech from CoVoST	9.9	9.1
trained on raw speech from MLS + Common Voice	21.3	19.8
trained on en ASR data from MLS + Common Voice	22.4	21.1

(a) This work: zero-shot results

	es-en	fr-en
Supervised speech-to-speech translation	1	
trained on VP	9.2	9.6
trained on VP + mined data	15.1	15.9
Supervised speech-to-speech via text pi	vot	
trained on VP+EuroparlST+CoVoST	26.9	27.3

(b) Results from previous *supervised* models trained by Lee et al. (2022b) on real (non synthetic) data. The speech-to-speech via text pivot baseline relies on speech-to-text by Wang et al. (2021).

Table 6: BLEU on CoVoST 2 test set for text-to-speech and speech-to-speech translation

ing direction is ja-en, as we have a large amount of ja-en MT data but a really small amount of speech transcription data. For this task, we nearly doubled the BLEU score compared to supervised baselines without the need of ST data.

We tested the different possible teachers for speech encoder training, namely transcription teacher (already presented), translation teacher, and both transcription and translation teachers. When using translation teacher, we use English text as the written translations from CoVoST 2. We focus on two language directions, de-en (high resource) and ja-en (low resource). Results are shown in Table 4. We notice that a translation teacher is better if using the en-en decoder, which was expected as the decoder was trained on English embeddings. However, when using a decoder trained on noisy embeddings or with additional bi-texts, results are better for speech encoders trained with transcription teacher rather than translation teacher. It may come from the fact that there exists a one-to-one mapping between transcriptions and audios, but not for audio and written translation (there can be several possible translations). For our high resource direction de-en, the best results are achieved when using both transcriptions and translations as teacher, reaching same performance level as with the endto-end speech translation training of XLSR.

Finally, we trained an English speech student with transcriptions on the Must-C training set and compare our approach with the zero-shot approach by Escolano et al. (2021b). We report the results in Table 5. We notice significant improvements in the BLEU score compared to the previous SOTA for zero-shot speech translation on the Must-C dataset.

**Translation of text/speech into speech** As presented in the section 4, we trained English speech decoders with raw English speech only or English speech transcriptions. We present three training set-

tings: one decoder trained on raw English speech data from CoVoST (~400h), another trained on raw English speech data from both Common Voice (~2,000h) and Multilingual Librispeech (MLS) (~40,000h), and finally another trained on English speech transcription data from both Common Voice and Multilingual Librispeech. At test time, we can now plug these English speech decoders to any text or speech encoder. We focused on es-en and fren language directions that have previously been covered for direct speech-to-speech translation (see Table 6). We also present text-to-speech translation results, plugging text encoders to our speech decoders.

Following Lee et al. (2022a,b) the evaluation is done by transcribing the output speech with an open-sourced ASR system for English and evaluating the BLEU score of the transcribed speech with target text from CoVoST. We compare these results to a supervised baseline (Lee et al., 2022b) trained on real speech-to-speech translation data from Voxpopuli (Wang et al., 2021) and mined data from (Duquenne et al., 2021). We also provide a strong supervised baseline composed of a Speech-to-text translation model from (Wang et al., 2021) that is trained on a significant amount of speech translation data from Voxpopuli, EuroparlST and CoVoST, followed by a text-to-unit model.

In Table 6, we notice that our speech decoders achieve strong results for this zero-shot setting, even with a limited amount of raw speech data. Incorporating much more raw speech data in the training, significantly improves the results. Using textual representation as input helps in speech decoder training, leading to best results. To the best of our knowledge, these are the first results for zero-shot direct speech-to-speech translation.

This last experiment again highlights the compatibility between representations for different languages and modalities. Our approach enables to

efficiently leverage raw speech data for T2S and S2S tasks.

#### 6 Conclusion

In this work, we studied how to build a common fixed-size representation for text and speech in different languages, to perform zero-shot cross-modal translation. By imposing a fixed-size representation and aligning explicitly languages and modalities, we have overcome the sentence length mismatch between audio and text, and obtained multilingual and multimodal representations compatible with decoders trained on other languages and/or modalities in a zero-shot setting. We were able to build text and speech encoders for multiple languages compatible with text decoders for multiple languages as well as an English speech decoder. Our zero-shot cross-modal translation results for direct speech-totext, text-to-speech and speech-to-speech translation define a new zero-shot state-of-the-art baseline. To the best of our knowledge, this is the first work tackling zero-shot direct text-to-speech and speechto-speech translation.

Finally, we highlighted the modularity of our architecture; all type of data can be used to train decoders (unlabeled text or speech data; T2T, S2T, S2S translation data; speech transcription data). Using more types of training data may further enhance the robustness of the decoder to other languages or other modalities.

#### Limitations

We highlighted the modularity of our architecture, learning separately encoders and decoders. While it can be seen as a strength, as one does not need to retrain the whole system to add a new language to the framework, it can also be seen as a limitation as the number of modules increases linearly with the number of languages. Moreover, training multiple separate modules requires more time and computation than one multilingual model. Multilingual training of encoders or decoders is left for future work.

In machine translation, sequence-to-sequence models with fixed-size sentence representation were replaced by sequence-to-sequence models with attention that showed important performance boost for long sentences. Our work shows that competitive performance can still be achieved with fixed-size sentence representations and enables efficient compatibility between languages and modal-

ities. However, very long sequences, beyond usual sentence length, are expected to perform less well.

We showed that it is possible to learn an English speech decoder with raw speech data, it would be interesting to extend this to other languages as target speech, and see how our method performs for a low resource spoken language.

## References

Ashkan Alinejad and Anoop Sarkar. 2020. Effectively pretraining a speech translation decoder with machine translation data. In *Proceedings of the 2020 Conference on Empirical Methods in Natural Language Processing (EMNLP)*, pages 8014–8020.

Naveen Arivazhagan, Ankur Bapna, Orhan Firat, Roee Aharoni, Melvin Johnson, and Wolfgang Macherey. 2019. The missing ingredient in zero-shot neural machine translation. *arXiv preprint arXiv:1903.07091*.

Mikel Artetxe and Holger Schwenk. 2019. Massively multilingual sentence embeddings for zero-shot cross-lingual transfer and beyond. *TACL*, pages 597–610.

Arun Babu, Changhan Wang, Andros Tjandra, Kushal Lakhotia, Qiantong Xu, Naman Goyal, Kritika Singh, Patrick von Platen, Yatharth Saraf, Juan Pino, et al. 2021. Xls-r: Self-supervised cross-lingual speech representation learning at scale. *arXiv preprint arXiv:2111.09296*.

Alexei Baevski, Wei-Ning Hsu, Qiantong Xu, Arun Babu, Jiatao Gu, and Michael Auli. 2022. data2vec: A general framework for self-supervised learning in speech, vision and language. In https://arxiv.org/abs/2202.03555.

Alexei Baevski, Yuhao Zhou, Abdelrahman Mohamed, and Michael Auli. 2020. wav2vec 2.0: A framework for self-supervised learning of speech representations. *Advances in Neural Information Processing Systems*, 33:12449–12460.

Marta Bañón, Pinzhen Chen, Barry Haddow, Kenneth Heafield, Hieu Hoang, Miquel Esplà-Gomis, Mikel L. Forcada, Amir Kamran, Faheem Kirefu, Philipp Koehn, Sergio Ortiz Rojas, Leopoldo Pla Sempere, Gema Ramírez-Sánchez, Elsa Sarrías, Marek Strelec, Brian Thompson, William Waites, Dion Wiggins, and Jaume Zaragoza. 2020. ParaCrawl: Web-scale acquisition of parallel corpora. In *Proceedings of the 58th Annual Meeting of the Association for Computational Linguistics*, pages 4555–4567, Online. Association for Computational Linguistics.

Sameer Bansal, Herman Kamper, Adam Lopez, and Sharon Goldwater. 2017. Towards speech-to-text translation without speech recognition. *arXiv* preprint arXiv:1702.03856.

- Ankur Bapna, Colin Cherry, Yu Zhang, Ye Jia, Melvin Johnson, Yong Cheng, Simran Khanuja, Jason Riesa, and Alexis Conneau. 2022. mslam: Massively multilingual joint pre-training for speech and text. *arXiv* preprint arXiv:2202.01374.
- Alexandre Bérard, Olivier Pietquin, Christophe Servan, and Laurent Besacier. 2016. Listen and translate: A proof of concept for end-to-end speech-to-text translation. *arXiv* preprint arXiv:1612.01744.
- Alexis Conneau, Alexei Baevski, Ronan Collobert, Abdelrahman Mohamed, and Michael Auli. 2020. Unsupervised cross-lingual representation learning for speech recognition. *arXiv preprint arXiv:2006.13979*.
- Alexis Conneau and Guillaume Lample. 2019. Crosslingual language model pretraining. *Advances in neural information processing systems*, 32.
- Mattia A. Di Gangi, Roldano Cattoni, Luisa Bentivogli, Matteo Negri, and Marco Turchi. 2019. MuST-C: a Multilingual Speech Translation Corpus. In *NAACL*, pages 2012–2017.
- Tu Anh Dinh. 2021. Zero-shot speech translation. *arXiv* preprint arXiv:2107.06010.
- Tu Anh Dinh, Danni Liu, and Jan Niehues. 2022. Tackling data scarcity in speech translation using zeroshot multilingual machine translation techniques. *arXiv preprint arXiv:2201.11172*.
- Qianqian Dong, Rong Ye, Mingxuan Wang, Hao Zhou, Shuang Xu, Bo Xu, and Lei Li. 2021. Listen, understand and translate: Triple supervision decouples end-to-end speech-to-text translation. In *Proceedings of the AAAI Conference on Artificial Intelligence*, volume 35, pages 12749–12759.
- Paul-Ambroise Duquenne, Hongyu Gong, and Holger Schwenk. 2021. Multimodal and multilingual embeddings for large-scale speech mining. *Advances in Neural Information Processing Systems*, 34.
- Carlos Escolano, Marta R Costa-Jussà, and José AR Fonollosa. 2021a. From bilingual to multilingual neural-based machine translation by incremental training. *Journal of the Association for Information Science and Technology*, 72(2):190–203.
- Carlos Escolano, Marta R Costa-jussà, José AR Fonollosa, and Mikel Artetxe. 2020a. Multilingual machine translation: Closing the gap between shared and language-specific encoder-decoders. *arXiv* preprint arXiv:2004.06575.
- Carlos Escolano, Marta R Costa-jussà, José AR Fonollosa, and Mikel Artetxe. 2020b. Training multilingual machine translation by alternately freezing language-specific encoders-decoders. *arXiv preprint arXiv:2006.01594*.

- Carlos Escolano, Marta R Costa-jussà, José AR Fonollosa, and Carlos Segura. 2021b. Enabling zeroshot multilingual spoken language translation with language-specific encoders and decoders. In 2021 IEEE Automatic Speech Recognition and Understanding Workshop (ASRU), pages 694–701. IEEE.
- Angela Fan, Shruti Bhosale, Holger Schwenk, Zhiyi Ma, Ahmed El-Kishky, Siddharth Goyal, Mandeep Baines, Onur Celebi, Guillaume Wenzek, Vishrav Chaudhary, et al. 2021. Beyond english-centric multilingual machine translation. *Journal of Machine Learning Research*, 22(107):1–48.
- Fangxiaoyu Feng, Yinfei Yang, Daniel Cer, Naveen Arivazhagan, and Wei Wang. 2020. Language-agnostic bert sentence embedding. *arXiv* preprint *arXiv*:2007.01852.
- Naman Goyal, Cynthia Gao, Vishrav Chaudhary, Peng-Jen Chen, Guillaume Wenzek, Da Ju, Sanjana Krishnan, Marc'Aurelio Ranzato, Francisco Guzman, and Angela Fan. 2022. The flores-101 evaluation benchmark for low-resource and multilingual machine translation. *Transactions of the Association for Computational Linguistics*, 10:522–538.
- Kevin Heffernan, Onur Çelebi, and Holger Schwenk. 2022. Bitext mining using distilled sentence representations for low-resource languages. *arXiv* preprint *arXiv*:2205.12654.
- Ye Jia, Michelle Tadmor Ramanovich, Tal Remez, and Roi Pomerantz. 2021. Translatotron 2: Robust direct speech-to-speech translation. *arXiv preprint arXiv:2107.08661*.
- Ye Jia, Ron J Weiss, Fadi Biadsy, Wolfgang Macherey, Melvin Johnson, Zhifeng Chen, and Yonghui Wu. 2019. Direct speech-to-speech translation with a sequence-to-sequence model. *arXiv preprint arXiv:1904.06037*.
- Ann Lee, Peng-Jen Chen, Changhan Wang, Jiatao Gu, Sravya Popuri, Xutai Ma, Adam Polyak, Yossi Adi, Qing He, Yun Tang, Juan Pino, and Wei-Ning Hsu. 2022a. Direct speech-to-speech translation with discrete units. In *ACL*, pages 3327–3339.
- Ann Lee, Hongyu Gong, Paul-Ambroise Duquenne, Holger Schwenk, Peng-Jen Chen, Changhan Wang, Sravya Popuri, Yossi Adi, Juan Pino, Jiatao Gu, and Wei-Ning Hsu. 2022b. Textless speech-to-speech translation on real data. In *NAACL*, pages 860–872.
- Xian Li, Changhan Wang, Yun Tang, Chau Tran, Yuqing Tang, Juan Pino, Alexei Baevski, Alexis Conneau, and Michael Auli. 2020. Multilingual speech translation with efficient finetuning of pretrained models. arXiv preprint arXiv:2010.12829.
- Junwei Liao, Yu Shi, Ming Gong, Linjun Shou, Hong Qu, and Michael Zeng. 2021. Improving zeroshot neural machine translation on language-specific encoders-decoders. In 2021 International Joint Conference on Neural Networks (IJCNN), pages 1–8. IEEE.

- Yuchen Liu, Junnan Zhu, Jiajun Zhang, and Chengqing Zong. 2020. Bridging the modality gap for speech-to-text translation. *arXiv preprint arXiv:2010.14920*.
- Yichao Lu, Phillip Keung, Faisal Ladhak, Vikas Bhardwaj, Shaonan Zhang, and Jason Sun. 2018. A neural interlingua for multilingual machine translation. *arXiv preprint arXiv:1804.08198*.
- Ngoc-Quan Pham, Jan Niehues, Thanh-Le Ha, and Alex Waibel. 2019. Improving zero-shot translation with language-independent constraints. *arXiv preprint arXiv:1906.08584*.
- Nils Reimers and Iryna Gurevych. 2019. Sentence-bert: Sentence embeddings using siamese bert-networks. *arXiv preprint arXiv:1908.10084*.
- Nils Reimers and Iryna Gurevych. 2020. Making monolingual sentence embeddings multilingual using knowledge distillation. In *EMNLP*, pages 4512–4525.
- Elizabeth Salesky, Matthew Wiesner, Jacob Bremerman, Roldano Cattoni, Matteo Negri, Marco Turchi, Douglas W Oard, and Matt Post. 2021. The multilingual tedx corpus for speech recognition and translation. *arXiv preprint arXiv:2102.01757*.
- Holger Schwenk, Guillaume Wenzek, Sergey Edunov, Edouard Grave, Armand Joulin, and Angela Fan. 2021. CCMatrix: Mining billions of high-quality parallel sentences on the web. In *ACL*, page 6490–6500.
- Raúl Vázquez, Alessandro Raganato, Jörg Tiedemann, and Mathias Creutz. 2018. Multilingual nmt with a language-independent attention bridge. *arXiv* preprint arXiv:1811.00498.
- Changhan Wang, Juan Pino, Anne Wu, and Jiatao Gu. 2020a. CoVoST: A diverse multilingual speech-to-text translation corpus. In *LREC*, pages 4197–4203.
- Changhan Wang, Morgane Riviere, Ann Lee, Anne Wu, Chaitanya Talnikar, Daniel Haziza, Mary Williamson, Juan Pino, and Emmanuel Dupoux. 2021. VoxPopuli: A large-scale multilingual speech corpus for representation learning, semi-supervised learning and interpretation. In Proceedings of the 59th Annual Meeting of the Association for Computational Linguistics and the 11th International Joint Conference on Natural Language Processing (Volume 1: Long Papers), pages 993–1003, Online. Association for Computational Linguistics.
- Changhan Wang, Anne Wu, and Juan Pino. 2020b. CoVoST 2: A massively multilingual speech-to-text translation corpus.
- Hongyu Wang, Shuming Ma, Li Dong, Shaohan Huang, Dongdong Zhang, and Furu Wei. 2022. Deepnet: Scaling transformers to 1,000 layers. *arXiv preprint arXiv:2203.00555*.

- Ron J Weiss, Jan Chorowski, Navdeep Jaitly, Yonghui Wu, and Zhifeng Chen. 2017. Sequence-to-sequence models can directly translate foreign speech. *arXiv* preprint arXiv:1703.08581.
- Guillaume Wenzek, Marie-Anne Lachaux, Alexis Conneau, Vishrav Chaudhary, Francisco Guzmán, Armand Joulin, and Edouard Grave. 2019. Ccnet: Extracting high quality monolingual datasets from web crawl data. *arXiv preprint arXiv:1911.00359*.
- Chen Xu, Bojie Hu, Yanyang Li, Yuhao Zhang, Qi Ju, Tong Xiao, Jingbo Zhu, et al. 2021. Stacked acoustic-and-textual encoding: Integrating the pre-trained models into speech translation encoders. *arXiv* preprint arXiv:2105.05752.
- Meng Zhang, Liangyou Li, and Qun Liu. 2022. Triangular transfer: Freezing the pivot for triangular machine translation. *arXiv* preprint arXiv:2203.09027.

## A Appendix

## A.1 Distances in LASER text space

We report the L2 squared distances of sentence embeddings in different languages to their English translations sentence embeddings in LASER space.

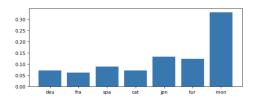


Figure 5: L2 squared distances to English embeddings in LASER space for translations from FLORES devtest

## A.2 Other text decoders

With the conclusion that bi-text data can help the decoder be robust to other unseen languages, we trained decoders for German, French, Spanish, Turkish and Mongolian. We use en-xx bitexts, in addition to raw xx data to train the decoders. For all decoder trainings, we use bi-texts from CCMatrix (Schwenk et al., 2021), for the auto-encoding loss we use one side of the bi-texts corresponding to the language that we are trying to decode, except for Mongolian where we take all the raw Mongolian text data from CCnet. (Wenzek et al., 2019). We present the results in Table 7.

## A.3 Training details

We use Fairseq to train our models. Text student encoders are trained on 32 Tesla V100 GPUs with a learning rate set to  $10^{-4}$ , maximum number of tokens by GPU is 1400, and update frequency is set to 4. Speech student encoders are trained on 48 Tesla V100 GPUs for a few days, with same learning rate as text students, maximum number of sentences is set to 32 by GPU. Text decoders are trained with the same configuration as mBART. Speech decoders are trained on 48 Tesla V100 GPUs with a learning rate set to  $3 \cdot 10^{-4}$ , maximum number of sentences is set to 32 by GPU and update frequency is set to 4.

	en	de	fr	es	ca	ja	tr	mn
Translation into German								
This work - zero-shot expect for en-de	20.4				•••	• • •		4.0
de-de+en-de decoder  Previous works - supervised	39.1	_	32.6	24.6	29.2	20.9	27.9	12.8
M2M-100 (12B - 48 layers) (Fan et al., 2021)	42.1		34.5	27.1	30.9	21.4	28.4	15.9
Deepnet (3.2B - 200 layers) (Wang et al., 2022)	46.0	_	36.2	29.2	32.5	24.7	31.9	21.7
Translation into Spanish								
This work - zero-shot expect for en-es								
es-es+en-es decoder	29.1	25.9	26.8	_	26.3	18.6	22.8	12.2
Previous works - supervised	20.2	27.2	20.2		26.6	10.4	24.0	140
M2M-100 (12B - 48 layers) (Fan et al., 2021) Deepnet (3.2B - 200 layers) (Wang et al., 2022)	30.3 32.2	27.2 28.3	28.2 28.8	_	26.6 26.9	19.4 21.5	24.0 25.9	14.9 18.8
	32.2	20.5	20.0		20.7	21.5	23.7	10.0
Translation into French This work - zero-shot expect for en-fr								
fr-fr+en-fr decoder	49.1	38.3		31.2	37.6	25.3	33.4	16.6
Previous works - supervised	.,,,	20.2		01.2	27.0	20.0		10.0
M2M-100 (12B - 48 layers) (Fan et al., 2021)	51.4	42		32.8	39.7	26.6	35.1	20.8
Deepnet (3.2B - 200 layers) (Wang et al., 2022)	54.7	43.4	_	35.2	41.6	29.9	38.2	26.6
Translation into Turkish								
This work - zero-shot expect for en-tr								
tr-tr+en-tr decoder	31.2	27.1	26.4	21.5	24.2	19.1		13.7
Previous works - supervised M2M-100 (12B - 48 layers) (Fan et al., 2021)	32.8	26.9	26.6	22.3	24.3	18.6		16.1
Deepnet (3.2B - 200 layers) (Wang et al., 2021)	39.5	32.0	31.6	26.2	28.2	23.2	_	21.0
		32.0	51.0	20.2	20.2			21.0
Translation into Mongolian  This work - zero-shot expect for en-mn								
mn-mn+en-mn decoder	15.7	15.8	15.2	13.6	15.2	13.5	15.4	_
Previous works - supervised	10.,	10.0	2	10.0		10.0		
M2M-100 (12B - 48 layers) (Fan et al., 2021)	12.0	10.7	10.9	9.2	10.8	9.3	11.0	_
Deepnet (3.2B - 200 layers) (Wang et al., 2022)	18.3	16.8	16.2	15.0	15.8	13.7	15.9	

Table 7: BLEU on FLORES devtest for text-to-text translation for de, es, fr, tr and mn decoders