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# Design, immunogenicity, and efficacy of a pan-sarbecovirus dendritic-cell targeting vaccine

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### 40 Abstract (211 words)

41 **Background:** There is an urgent need of a new generation of vaccine that are able to 42 enhance protection against SARS-CoV-2 and related variants of concern (VOC) and 43 emerging coronaviruses.

Methods: We identified conserved T- and B-cell epitopes from Spike (S) and Nucleocapsid
(N) highly homologous to 38 sarbecoviruses, including SARS-CoV-2 VOCs, to design a
protein subunit vaccine targeting antigens to Dendritic Cells (DC) via CD40 surface receptor
(CD40.CoV2).

Findings: CD40.CoV2 immunization elicited high levels of cross-neutralizing antibodies 48 against SARS-CoV-2, VOCs, and SARS-CoV-1 in K18-hACE2 transgenic mice, associated 49 with improved viral control and survival after SARS-CoV-2 challenge. A direct comparison of 50 CD40.CoV2 with the mRNA BNT162b2 vaccine showed that the two vaccines were equally 51 immunogenic in mice. We demonstrated the potency of CD40.CoV2 to recall in vitro human 52 multi-epitope, functional, and cytotoxic SARS-CoV-2 S- and N-specific T-cell responses that 53 are unaffected by VOC mutations and cross-reactive with SARS-CoV-1 and, to a lesser 54 55 extent, MERS epitopes.

*Interpretation:* We report the immunogenicity and antiviral efficacy of the CD40.CoV2
 vaccine in a preclinical model providing a framework for a pan-sarbecovirus vaccine.

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62 Keywords: COVID-19, SARS-CoV-2, vaccine, pre-clinical model, sarbecoviruses

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64 Word count manuscript: 9173 words

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- 66

### 67 **Research in context**

### 68 Evidence before this study

Since the advent of effective vaccines against SARS-CoV-2 in late 2020, more than 900 69 70 publications are referenced in pubmed. In Europe, 5 vaccines are authorized: two mRNAs (Comirnaty, Spikevax), one adjuvanted protein (Nuvaxovid), two recombinant adenoviruses 71 72 (Janssen, Vaxzevria). These vaccines are well tolerated, confer a protection against severe 73 disease and hospitalization. However, all these vaccines trigger immune responses to the Spike (S) protein of SARS-CoV-2 with the aim to elicit neutralizing antibodies. Recent results 74 75 from large vaccination campaigns show a waning of neutralizing antibody levels and a 76 reduced efficacy against emergent variants of concern (VOC) characterized by the accumulation of mutations in S and Region Binding Domain (RBD) leading to escape the 77 78 vaccine responses. As it has been shown that cellular immunity is of importance for long term protection and less impacted by mutations as compare to the humoral immunity, there 79 is a global consensus for the development of a new generation of vaccines against SARS-80 CoV-2 and related variants and more globally against other members of the sarbecovirus 81 82 family in the context of the prepardness to the next pandemic.

### 83 Added value of this study

We have developed an in silico process to identify sequences from S, RBD and 84 Nucleocapsid (N) from SARS-CoV-2 highly conserved across 38 sarbecoviruses including 85 SARS-CoV-2, related VOCs, SARS-CoV-1 and other viruses described in bats and at high 86 risk for potential new zoonosis. We show that targeting these conserved sequences, 87 containing a large stretch of T and B cell epitopes, to Dendritic Cells (DC) through the CD40 88 receptor (CD40.CoV2 vaccine) induces cross neutralizing antibodies against SARS-CoV-2, 89 VOCs, SARS-CoV-1 and protect K18-hACE2 transgenic mice from SARS-CoV-2 challenge. 90 91 We demonstrate in vitro the potency of this polyepitope-based vaccine, which contained nucleocapsid (N) antigen, to elicit polyfunctional and strong cross-reactive T cells responses 92 unaffected by VOC mutations and to a lesser extent conserved against SARS-CoV-1. We 93 also show the potency of this vaccine to recall cytotoxic memory T cell responses. 94

### 95 Implications of all the available evidence

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96	We provide here the framework for a polyepitope-based vaccine platform. This vaccine will
97	enrich the current portfolio of vaccines as a boost of preexisting immunity with the aim to
98	extend the breadth of immune responses against current and emerging VOCs. In addition,
99	this innovative vaccine represents an important step in preparing for future pandemics.
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105 Introduction

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), which emerged in late 2019 in the Hubei province of China, has caused devastating human and economic losses worldwide. Unprecedented mobilization of the scientific community has led to the implementation of viral diagnostics, immunological monitoring tools, and the rapid development of protective vaccines.

111 The current landscape of COVID-19 vaccines is based on the delivery of SARS-CoV-2 Spike 112 (S) through various vaccine platforms that elicit neutralizing-antibody responses against the S protein, including the receptor binding domain (RBD). Most of these vaccines induce Th1 113 responses restricted to S epitopes, depending on the type of platform and variations in the S 114 protein <sup>1–9</sup>, but vary in their capacity to elicit CD8<sup>+</sup> T-cell responses, known to be an important 115 element for control of the infection.<sup>10</sup> Although neutralizing antibodies are a key component 116 of a broadly protective vaccine, several recent studies have suggested that the induction of 117 broad virus-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cells could greatly augment antibody-based protection 118 and the long-term durability of vaccine responses.<sup>11</sup> 119

Despite current progress, control of the ongoing SARS-CoV-2 pandemic is endangered by 120 121 the emergence of viral variants, called variants of concern (VOC). Among them, the B.1.1.7 Alpha,<sup>12</sup> B.1.351 Beta,<sup>13</sup> P.1 Gamma,<sup>14</sup> B.1.617.2 Delta,<sup>15</sup> and recently emerged B.1.1.529 122 Omicron variants <sup>16</sup> exhibit several specific or shared mutations within the S sequences, 123 raising substantial new concerns due to their increased transmissibility <sup>17</sup> and ability to 124 escape convalescent and vaccine-induced antibody responses.<sup>18-22</sup> Recent studies showing 125 a decrease in the effectiveness of mRNA vaccines against the new VOCs<sup>23</sup> report of 126 breakthrough infections,<sup>24</sup> and concerns of reduced efficacy of vaccination in older patients <sup>25</sup> 127 or immune-compromised individuals <sup>26</sup> highlight the need to develop a new and 128 complementary generation of vaccines as prophylaxis or boosters that include T- and B-cell 129 selected antigens that are potentially less affected by the mutations of VOCs. 130

Within the last 20 years, SARS-CoV-2 is the third major human infectious disease outbreak 131 caused by zoonotic coronaviruses after SARS-CoV-1 in 2002-2003 and Middle East 132 respiratory syndrome coronavirus (MERS-CoV) in 2012. The first available sequence of 133 SARS-CoV-2 identified this novel human pathogen as a member of the Sarbecovirus 134 subgenus of *Coronaviridae*<sup>27</sup>, the same subgenus as SARS-CoV-1. The high prevalence and 135 diversity of viruses in bats and the fact that all zoonotic sarbecoviruses identified to date use 136 hACE2 as their entry receptor raise major concerns about a future epidemic<sup>28-32</sup>. These 137 138 additional concerns underscore the urgent need for new vaccine candidates that are able to enhance protection against VOCs and emerging coronaviruses. 139

Dendritic cells (DCs) are immune system controllers that can deliver differential signals to 140 other immune cells through intercellular interactions and soluble factors, resulting in a variety 141 142 of host immune responses of varying quality. Targeting vaccine antigens to DCs via surface receptors represents an appealing strategy to improve subunit-vaccine efficacy while 143 reducing the amount of required antigen. This strategy, which allows the delivery of designed 144 and selected antigens, in addition to an activation signal, may also evoke a danger signal 145 that stimulates an immune response, with or without the need of additional immune 146 stimulants, such as adjuvants. Among the various DC receptors tested, including lectins and 147 scavenger receptors, we previously reported the superiority of vaccines targeting diverse 148 viral antigens to CD40-expressing antigen-presenting cells to evoke strong antigen-specific 149 T- and B-cell responses. 33-40 150

We have recently reported results on the efficacy of a new generation of subunit vaccines targeting the RBD of the SARS-CoV-2 spike protein to the CD40 receptor (aCD40.RBD). <sup>41</sup> We demonstrated that a single dose of the aCD40.RBD vaccine, injected without adjuvant, is sufficient to boost a rapid increase in neutralizing antibodies in convalescent non-human primates (NHPs) infected six months previously with SARS-CoV-2. Interestingly, the aCD40.RBD vaccine-elicited antibodies cross-neutralized D614G SARS-CoV-2 and the VOCs Alpha (B1.1.7) and, to a lesser extent, Beta (B1.351). This vaccination significantly

improved protection against a new high-dose virulent challenge versus that in non vaccinated convalescent animals.<sup>41</sup>

Drawing from this knowledge, we used *in silico* approaches to design a next-generation CD40-targeting vaccine, CD40.CoV2, including T- and B-cell epitopes spanning sequences from S and nucleocapsid (N) proteins from SARS-CoV-2 and highly homologous to 38 sarbecoviruses, including SARS-CoV-2 VOCs. We report here the immunogenicity and antiviral efficacy of this vaccine in a preclinical model.

166 Methods

#### 167 *Ethics*

Animal housing and experimental procedures were conducted according to the French and 168 European Regulations (Parlement Européen et du Conseil du 22 septembre 2010, Décret n° 169 170 2013-118 du 1er février 2013 relatif à la protection des animaux utilisés à des fins scientifiques) and the National Research Council Guide for the Care and Use of Laboratory 171 Animals (National Research Council (U.S.), Institute for Laboratory Animal Research (U.S.), 172 173 and National Academies Press (U.S.), Eds., Guide for the care and use of laboratory animals, 8th ed. Washington, D.C: National Academies Press, 2011). The animal BSL3 174 facility is authorized by the French authorities (Agreement N° B 13 014 07). All animal 175 procedures (including surgery, anesthesia, and euthanasia, as applicable) used in the 176 current study were submitted to the Institutional Animal Care and Use Committee either of 177 178 the CIPHE or Anses/ENVA/UPEC (CEEA-016) depending on the experiments and approved by the French authorities (CETEA DSV - APAFIS#26484-2020062213431976 v6 or 179 APAFIS#25329-2020051119073072 v4, respectively). All CIPHE BSL3 facility operations are 180 overseen by a biosecurity/biosafety officer and accredited by the Agence Nationale de 181 182 Sécurité du Médicament (ANSM).

For human samples, we enrolled a subgroup of COVID-19 patients of the prospective French COVID cohort (registered at clinicaltrials.gov NCT04262921). Ethics approval was given on February 5, 2020, by the French Ethics Committee CPP-IIe-de-France VI (ID RCB: 2020-A00256-33). The study was conducted with the understanding and consent of each participant or their surrogate covering the sampling, storage, and use of biological samples.

### 188 Animals

Heterozygous K18-hACE C57BL/6J mice (strain: 2B6.Cg-Tg (K18-ACE2)2Prlmn/J) were obtained from The Jackson Laboratory. The hCD40-OST transgenic mice expressed a human *Cd40* gene in place of the mouse *Cd40* gene. They were derived at CIPHE under CIPHE-Sanofi Research Collaborative program n° 171137A10 and kindly provided by Sanofi under the agreement MTA #209012. All breeding, genotyping, and production of hCD40/K18hACE2 was performed at the CIPHE. The sample size was based on previous articles
reporting the use of K18-hACE2 mice in SARS-CoV2 challenge experiments with 4-5
experimental units per group. Animals were housed in groups within cages and fed standard
chow diets.

### 198 COVID-19 convalescent patients

Eligible patients were those who were hospitalized between March and November 2020 with virologically confirmed COVID-19. Convalescent follow-up visits were performed between one, three, and six months after infection. Patients were not vaccinated against COVID-19 at this period.

### 203 Cloning and production of the CD40.CoV2 vaccine

The vaccine was produced using the expression plasmids described in materials availability via transient transfection (TransIT-PRO® Transfection Kit, Mirus) into mammalian CHO-S cells (ThermoFisher) followed by Protein A-affinity purification.<sup>35,42</sup> The eluted product (2.2 mg/ml, 0.3 ng LPS/mg) was stored at -80°C in PBS with 125 mM hydroxypropyl  $\beta$ cyclodextrin (Cavitron W7 HP5). CD40 binding was validated by ELISA as previously described.<sup>36</sup>

### 210 Wuhan/D614 SARS-CoV-2 virus production

Vero E6 cells (CRL-1586; American Type Culture Collection) were cultured at 37°C in 211 Dulbecco's modified Eagle's medium (DMEM) supplemented with 10% fetal bovine serum 212 (FBS), 10 mM HEPES (pH 7.3), 1 mM sodium pyruvate, 1X non-essential amino acids, and 213 214 100 U/ mL penicillin-streptomycin. The strain BetaCoV/France/IDF0372/2020 was supplied 215 by the National Reference Centre for Respiratory Viruses hosted by the Institut Pasteur (Paris, France). The human sample from which strain BetaCoV/France/IDF0372/2020 was 216 217 isolated was provided by the Bichat Hospital, Paris, France. Infectious stocks were grown by inoculating Vero E6 cells and collecting supernatants upon observation of the cytopathic 218

effect. Debris was removed by centrifugation and passage through a 0.22-µm filter.
Supernatants were stored at -80°C.

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### 222 Vaccination and infection of hCD40/K18-hACE2 transgenic mice

Mice of 8 to 12 weeks of age of both sexes received two intraperitoneal injections of the 223 CD40.CoV2 vaccine (10 µg) plus polyinosinic-polycytidylic acid (Poly-IC; Oncovir) (50 µg) or 224 225 poly(IC) alone three weeks apart. Mice were further infected with Wuhan/D614 SARS-CoV-2 226 at week 4. Vaccinated and mock-vaccinated mice were administered 2.5 x 10<sup>4</sup> PFU of SARS-CoV-2 via intranasal administration. Mice were monitored daily for morbidity (body 227 weight) and mortality (survival). During the monitoring period, mice were scored for clinical 228 symptoms (weight loss, eye closure, appearance of the fur, posture, and respiration). Mice 229 obtaining a clinical score defined as reaching the experimental end-point were humanely 230 euthanized. Blood was collected on day -2 (before vaccination), day 28 (before viral 231 232 infection), and day 40 post-vaccination. Only the experimenters who injected the vaccine or the adjuvant alone were aware of the group allocation during the conduct of the experiment. 233 The group allocation was determined according to data analysis and statistical test 234 relevance. Two independent experiments of CD40.CoV2 vaccination followed by SARS-CoV-235 236 2 inoculation were performed (for a total of 4-5 experimental units) to minimize confounders. No animals were excluded from the study. 237

The clinical and immunological monitoring of Experiment 1 are reported in the manuscript and principal figures. The antibody responses monitored in Experiment 2 to confirm the main results are presented in Supplemental Figure S3.

In an independent set of experiments, the immunogenicity of the CD40.CoV2 and mRNA
BNT162b2 vaccines was assessed. huCD40/K18-hACE2 and huCD40 transgenic mice
received two injections of CD40.CoV2 (10 μg corresponding to 1.33 μg of antigen + poly (IC),
50 μg) or mRNA BNT162b2 (1 μg, intramuscularly) three weeks apart, respectively. Sera

were collected before immunization and one week after the second injection. No animalswere excluded from the study.

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### 248 *Measurement of SARS-CoV-2 viral load by RT-qPCR and TCID50 (50% of tissue-culture* 249 *infective dose)*

For viral titration by RT-qPCR, tissues were homogenized with ceramic beads in a tissue 250 251 homogenizer (Precellys - Bertin Instruments) in 0.5 mL RLT buffer. RNA was extracted using 252 the RNeasy Mini Kit (QIAGEN) and reverse transcribed using the High-Capacity cDNA Reverse Transcription Kit (Thermo Fisher Scientific). Amplification was carried out using 253 OneGreen Fast qPCR Premix (OZYME) according to the manufacturer's recommendations. 254 The number of copies of the SARS-CoV-2 RNA-dependent RNA polymerase (RdRp) gene in 255 samples was determined using the following primers: forward primer - catgtgtggcggttcactat, 256 257 reverse primer – gttgtggcatctcctgatga. This region was included in a cDNA standard to allow the copy number determination down to ~100 copies per reaction. The copies of SARS-CoV-258 2 were compared and quantified using a standard curve and normalized to total RNA levels. 259 An external control (mock-infected wildtype animal, nondetectable in the assay) and a 260 positive control (SARS-CoV-2 cDNA containing the targeted region of the RdRp gene at a 261 concentration of 10<sup>4</sup> copies/µl [1.94 x 10<sup>4</sup> copies/µl detected in the assay]) were used in the 262 RT-qPCR analysis to validate the assay. The median tissue-culture infectious dose (TCID50) 263 264 represents the dilution of a virus-containing sample at which half of the inoculated cells show signs of infection. To perform the assay, lung tissue was weighed and homogenized using 265 ceramic beads in a tissue homogenizer (Precellys - Bertin Instruments) in 0.5 ml RPMI 266 media supplemented with 2% FCS and 25 mM HEPES. Tissue homogenates were then 267 268 clarified by centrifugation and stored at -80°C until use. Forty-thousand cells per well were seeded in 96-well plates containing 200 µl DMEM + 4% FCS and incubated for 24 h at 37°C. 269 Tissue homogenates were serially diluted (1:10) in RPMI media and 50 µl of each dilution 270 271 was transferred to the plate in six replicates for titration at five-days post-inoculation. Plates were read for the CPE (cytopathology effect) using microscopy reader and the data were
recorded. Viral titers were then calculated using the Spearman-Karber formula and
expressed as TCID50/mg of tissue.

### 275 Antibody measurement

Three multiplexed MesoScale Discovery immunoassays (V-PLEX Coronavirus Panel 3, V-276 PLEX SARS-CoV-2 Panel 11 and V-PLEX SARS-CoV-2 Panel 22 [IgG] Kits, MesoScale 277 Discovery, Rockville, MD, USA) were used on all available plasma samples to measure 278 plasma IgG antibodies to SARS-CoV-2, SARS-CoV, MERS-CoV, and HCoVs. Coronavirus 279 Panel 3 plates are MULTI-SPOT 96-well, 10 Spot, coated with three SARS-CoV-2 antigens 280 (spike, receptor binding domain [RBD], and nucleocapsid), and spike proteins from SARS-281 CoV, MERS-CoV, and seasonal HCoVs OC43, HKU1, 229E, and NL63. SARS-CoV-2 Panel 282 283 11 plates are MULTI-SPOT 96-well, 10 Spot, coated with RBD proteins from various SARS-CoV-2 lineages: Wuhan; Alpha; Beta and Botswana; Gamma; Delta sub-lineages and 284 Vietnam; Epsilon, California, and New York; Eta, Iota, India, Zeta, and Kentucky; New York; 285 U.K. and Philippines; Kappa and India. SARS-CoV-2 Panel 22 plates are MULTI-SPOT 96-286 well, 10 Spot, coated with RBD proteins from various SARS-CoV-2 lineages: Wuhan; Alpha; 287 Beta and Botswana; Gamma; Delta sub-lineages and Vietnam; Omicron sub-lineages. 288 Assays were performed according to the manufacturer's instructions, with samples diluted 289 1:50 000. The electro-chemiluminescence (ECL) signal was recorded and the results are 290 291 expressed as arbitrary units (AU).

Three alternative immunoassays (V-PLEX Coronavirus Panel 3, V-PLEX SARS-CoV-2 Panel 11 and V-PLEX SARS-CoV-2 Panel 22 [ACE-2] Kits, MesoScale Discovery) were used to measure the ability of mouse plasma samples to inhibit angiotensin-converting enzyme 2 (ACE2) binding to the Spike protein of different coronaviruses and different variants of SARS-CoV-2 RBD proteins. The assays were performed according to the manufacturer's instructions with samples diluted 1:33 to 1:3333. Antibody concentrations were quantified using a reference standard (ACE2 Calibration Reagent) and are expressed as units/mL (one

299 unit per mL concentration of ACE2 Calibration Reagent corresponds to neutralizing activity of 1 µg/mL monoclonal antibody to SARS-CoV-2 Spike protein) except for V-PLEX SARS-CoV-300 301 2 Panel 22 kit for which samples were diluted 1:200 and results were expressed in percentage of inhibition as no reference standard was included in this kit. For V-PLEX 302 Coronavirus Panel 3, the lower limit of quantification (LLOQ) was calculated to be between 303 0.68 and 0.82 unit/mL for Spike CoV-2 and between 3.10 and 3.24 units/mL for Spike CoV-1. 304 305 For V-PLEX SARS-CoV-2 Panel 11, the LLOQ was calculated to be between 2.83 and 2.94 306 units/mL for RBD Wuhan, 2.43 and 3.20 units/mL for RBD Alpha, 2.09 and 2.87 units/mL for RBD Beta, 2.56 and 2.99 units/mL for RBD Gamma, 2.01 and 3.02 units/mL for RBD Delta, 307 and between 2.51 and 3.12 units/mL for RBD Kappa. Values under the LLOQ were imputed 308 at the LLOQ. Thirty plasma samples from unvaccinated mice were used to determine the 309 threshold for positivity, defined as the whole units/mL value immediately above the 310 concentration of the highest sample for RBD (i.e., 8 units/mL) and Spike (i.e., 4 units/mL) 311 312 proteins.

### 313 Specific antigens

Various peptide pools from reference strain Human 2019-nCoV HKU-SZ-005b, from JPT 314 Peptide Technologies (Berlin, Germany) or BEI Resources, were used, as mentioned, for the 315 various assays. A set of four pools of 15-mer peptides, overlapping by 11 amino acids, 316 covering the four regions of S and N sequences of SARS-CoV-2 included in the CD40.CoV2 317 vaccine: vS1 (29 peptides), vRBD (54 peptides), vS2 (37 peptides), and vN2 (32 peptides). 318 In certain experiments, vS1, vRBD, vS2, and vN2 were pooled to provide a combination of all 319 320 sequences included in the CD40.CoV2 vaccine (vOLPmix). A pool of 54 peptides (15-mers overlapping by 11 amino acids) encompassing the three RBD mutations K417N (four 321 peptides), E484K (three peptides), and N501Y (four peptides): RBD SARS-CoV-2 322 beta/gamma. Three PepMix pools of RBD SARS-CoV-2 delta, RBD SARS-CoV-2 kappa and 323 RBD SARS-CoV-2 Omicron (15-mer peptides, overlapping by 11 amino acids). A set of two 324 pools of 15/20-mer peptides, overlapping by 10 amino acids, covering the two regions of 325

RBD and N sequences of SARS-CoV-1 corresponding to the CD40.CoV2 vaccine 326 sequences: vRBD-CoV-1 (30 peptides), vN2-CoV-1 (18 peptides). A pool of 15-mer peptides, 327 328 overlapping by 11 amino acids, covering the S1 region sequence of SARS-CoV-1: S1-CoV-1 (156 peptides). A pool of 15-mer peptides, overlapping by 11 amino acids, covering the S1 329 region sequence of MERS: S1-MERS (168 peptides). As controls (cont.OLP), we used a set 330 of two pools of 15-mer peptides, overlapping by 11 amino acids, of N and M sequences of 331 332 SARS-CoV-2 not included in the vaccine: N1-N2 (34 peptides) and M (53 peptides) or an 333 irrelevant pool of overlapping 15-mer peptides (11-amino acid overlaps) from the Ebola virus Mayinga variant glycoprotein (Gpz: 77 peptides). 334

### 335 Quantification of culture supernatant analytes

We quantified 25 analytes in supernatants from convalescent COVID-19 PBMCs on day 2 336 337 after CD40.CoV2 vaccine (1 nM), vOLP (equimolar concentration) or a control CD40 fused to the HIV Env glycoprotein 140 (strain ZM96) (CD40.Gp140z) (1nM) stimulation using the 338 Human XL Cytokine Luminex® Performance Panel Premixed Kit: CCL2/MCP-1, CCL3/MIP-339 1α, CCL4/MIP-1β, CCL5/RANTES, CD40 Ligand/TNFSF5, CXCL1/GROα, CXCL10/IP-10, 340 GCSF, Granzyme B, IFN-α, IFN-β, IFN-γ, IL-1β, IL-2, IL-4, IL-6, IL-7, IL-8/CXCL8, IL-10, IL-341 12 p70, IL-13, IL-17/IL-17A, PD-L1/B7-H1, TNF, and TRAIL/TNFSF10 (R&D Systems/Bio-342 Techne), according to the manufacturers' instructions. 343

# 344 Characterization of SARS-COV-2-specific immune responses in convalescent COVID 345 19 patients

Cellular responses to CD40.CoV2 vaccine were assessed using the Activation Induced Marker assay (AIM) and EpiMax technology.<sup>43</sup> For the AIM assay, PBMCs were stimulated *in vitro* with various concentrations of the CD40.CoV2 vaccine or an equimolar combination of 15-mer overlapping peptide pools covering the full-length sequence of vaccine antigens (vS1+vS2+ vRBD+vN2) referred to as vOLPmix. PBMCs (1 x 10<sup>6</sup>) were incubated in 300 µl RPMI supplemented with 10% human serum AB (SAB) for 24 h at 37°C in 5% CO<sub>2</sub>. T-cell activation was assessed by detection of the extracellular activation markers CD69 and

CD137, in addition to a viability marker and CD3, CD4, and CD8 to determine the T-cell 353 lineage. For the EpiMax technology, PBMCs were stimulated in vitro with 1 nM CD40.CoV2 354 355 vaccine on D0 and restimulated on D8 with 1 µg/ml of various vOLPs (vS1, vRBD, vS2, or vN2). Cell functionality was assessed by intracellular cytokine staining (ICS), with Boolean 356 gating. The flow cytometry panel included a viability marker, CD3, CD4, and CD8 to 357 determine the T-cell lineage, and IFN-y, TNF, and IL-2 antibodies. Distributions were plotted 358 using SPICE version 5.22, downloaded from http://exon.niaid.nih.gov/spice.44 T-cell 359 proliferation was evaluated using the CellTrace<sup>™</sup> CFSE Cell Proliferation Kit (Invitrogen) as 360 previously described.<sup>45</sup> PBMCs were stimulated *in vitro* with 1 nM CD40.CoV2 vaccine or an 361 equimolar amount of vOLPmix or 1nM CD40.Gp140z for seven days without IL-2. The 362 medium (RPMI 10% SAB) was changed 48 h after stimulation. 363

### 364 *Cytotoxicity assay*

PBMCs from convalescent COVID-19 patients exhibiting CD8<sup>+</sup> T cell responses in Epimax 365 assay were stimulated with 1 nM CD40.CoV2 vaccine (effector cells) or 2.5 µg/mL 366 phytohemagglutinin-L (PHA-L) (ThermoFischer Scientific) (target-Blast cells) in RPMI 10% 367 SAB medium replenished every 2 to 3 days with fresh medium supplemented with IL-2 (100 368 U/mL) (Myltenyi Biotec). After seven days, effector-CD8<sup>+</sup> T cells were isolated using a CD8<sup>+</sup> 369 T-cell isolation kit from Myltenyi Biotec following the manufacturers' instructions and target-370 Blast cells were pulsed for 1 h at 37°C in 5% CO<sub>2</sub>, either with 0.4% DMSO (control) or 2 371 µg/mL vOLP. After two washes, cells were labeled using various combinations of 372 carboxyfluorescein succinimidyl ester (CFSE) (0.1 µM), Cell Trace Violet (CTV) (0.5 µM), 373 and/or Cell Trace Far Red (CTFR) (0.02 µM) (Thermofisher Scientific) for 15 min at 37°C. 374 Target-Blast specific populations were then mixed at a 1:1 ratio and co-cultured with effector-375 376 CD8<sup>+</sup> T-cells at various ratios in triplicate. To measure basal apoptosis, three wells were seeded with target-Blast cells alone. After a 24 h-incubation, cells were stained with 377 LIVE/DEAD Fixable Near-IR stain (Thermofisher Scientific) and analyzed using an LSR II-3 378 laser flow cytometer (405, 488, and 640 nm) (Becton Dickinson). The percentage of specific 379

cytotoxicity among live cells was calculated as follows: specific lysis (%) = 100\*[(average
[count vOLP pulsed/count DMSO pulsed] target-Blast cells alone - [count vOLP
pulsed/count.DMSO pulsed]) target-Blast cells + CD8+ effector T cells / (average [count
vOLP pulsed/count DMSO pulsed] target-Blast cells alone)].

### 384 Statistics

Graphpad Prism software version 8 was used for nonparametric statistics and plots, as described in the figure legends. Heatmaps were generated using the heatmap function from package NMF in R software, version 4.0.0. R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. URL: https://www.Rproject.org. Statistical differences in the expression of standardized biomarkers were determined using the nonparametric Wilcoxon test, adjusting for multiple testing using the Benjamini & Hochberg correction.

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### 398 Results

# In silico down-selection of T- and B-cell polyepitope regions from SARS-CoV-2 for an improved dendritic cell-targeting vaccine platform

We first screened three structural proteins (S, N, and M) of SARS-CoV-2 for the identification of T-cell epitopes using NetMHC 4.0 <sup>46</sup> and NetMHCII 2.3 <sup>47</sup> software, which predict peptides that bind to a large panel of class-I and -II HLAs, respectively. Linear B-cell epitopes were predicted using BepiPred 2.0.<sup>48</sup> We mapped a set of 9-mer epitopes binding to 80 HLA-class I molecules and 15-mer epitopes binding to 54 HLA-class II molecules, as well as all linear B- 406 cell epitopes. We evaluated amino-acid (aa) regions encompassing both the highest number of predicted epitopes and the largest HLA coverage. Selected regions were further screened 407 408 for their sequence homology with other β coronaviruses, including SARS (designed thereafter as SARS-CoV-1), focusing on described T-cell epitopes and B-cell epitopes that 409 generate neutralizing antibodies. Down-selected regions containing epitopes were also 410 compared to early predicted and described SARS-CoV-2 T- and B-cell epitopes. Thus, 411 412 following all ahead mentioned criteria, four designated T-and B-cell "epitope-enriched regions" were selected as vaccine (v) regions, one from N: vN2 (aa 276-411) and three from 413 S: vS1 (aa 125-250), vRBD (aa 318-541), and vS2 (aa 1056-1209) (Figure 1a-b). These 414 epitope-enriched regions contain a total of 640 aa with 2,313 predicted CD8<sup>+</sup> T-cell epitopes 415 covering 100% of HLA-Class I haplotypes, 2,985 predicted CD4+ T-cell epitopes covering 416 100% of HLA-Class II haplotypes, and 17 predicted SARS-CoV-2 linear B-cell epitopes 417 (Figure 1 a-b, Table S1). Then, we examined whether selected vaccine sequences 418 significantly matched so far described SARS-CoV-2 T-cell epitopes reviewed by Grifoni et al. 419 420 <sup>49</sup> We found the vaccine sequences to contain 71/171 (42%) and 21/44 (48%) described CD8<sup>+</sup> T-cell epitopes for S and N, respectively (Table S2). These values were 57/123 (46%) 421 and 21/53 (40%) for described CD4<sup>+</sup> T-cell epitopes (Table S3). 422

423 We then used Cobalt (https://www.ncbi.nlm.nih.gov/tools/cobalt/re\_cobalt.cgi) to perform the alignment of sequences from SARS-CoV-2, four SARS-CoV-2 VOCs ( $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ ), SARS-CoV-424 1, and 32 recently described SARS-CoV-related coronaviruses <sup>50-52</sup>, which include 30 viruses 425 426 of bat origin and two of pangolin origin (all from the Sarbecovirus subgenus). Globally, the 427 mean [min-max] percentage of homology between these 38 sarbecoviruses for vS1, vRBD, vS2, and vN2 vaccine sequences was 53.5 [36.5 to 99.2], 73.6 [63.8 to 99.6], 94.3 [86.4 to 428 100], and 93.5 [89.7 to 100] %, respectively (Table S4). As expected, homology between 429 430 vaccine sequences and members of Embecovirus, Merbecovirus, Setracovirus, and Duvinacovirus subgenus coronaviruses was lower and varied from 6 to 38%. Beyond 431 sequence homology across sarbecoviruses, we observed the vaccine T-cell epitopes to be 432

highly conserved between SARS-CoV-2 and SARS-CoV-1 and the 32 sarbecoviruses, 433 reaching 75 to 100% homology (Tables S2 and S3). More in-depth analysis showed that 434 435 among all CD8<sup>+</sup> T-cell epitopes, 62% (n = 57) differed between SARS-CoV-2 and CoV-1 by at least one mutation, but these mutations did not affect HLA-Class I binding for a large 436 majority of them (81%), as predicted by NetMHC4.0 (Table S2). Moreover, two CD4<sup>+</sup> T-cell 437 epitopes included in the vN2 sequence (N301-315, WPQIAQFAPSASAFF and N306-320, 438 439 QFAPSASAFFGMSRI) and nine CD8<sup>+</sup> T-cell epitopes from the vS2 and vN2 sequences (S1056-1063, APHGVVFL; S1089-1096, FPREGVFV; S1137-1145, VYDPLQPEL) and vN2 440 (N305-314, AQFAPSASAF; N306-315, QFAPSASAF; N307-315, FAPSASAFF; 308-317, 441 APSASAFFGM; 310-319, SASAFFGMSR; 311-319, ASAFFGMSR) were 100% homologous 442 across all sarbecoviruses (Table S5). These results confirm that vaccine sequences, 443 particularly vS2 and vN2, are theoretically suitable for the design of a pan-sarbecovirus 444 vaccine aimed at eliciting broad cross-reactive specific T-cell responses. 445

Next, we engineered plasmids expressing the vaccine sequence vRBD fused to the Cterminus of the Heavy (H) chain of anti-human CD40 humanized 12E12 IgG4 antibody, whereas the vN2, vS1, and vS2, sequences were fused sequentially to the Light (L) chain Cterminus to generate the CD40.CoV2 vaccine (Figure 1c). We have previously shown that 12E12 anti-CD40 fused to various viral antigens enhances CD40-mediated internalization and antigen-presentation by mononuclear cells and *ex-vivo* generated monocyte-derived DCs. <sup>36,39</sup>

# The CD40.CoV2 vaccine induces protection after viral challenge and cross-neutralizing antibody responses in the hCD40/K18-hACE2 transgenic mouse model

Transgenic mice expressing both the human (h) ACE2 receptor, the receptor of SARS-CoV-2,<sup>53</sup> and hCD40 receptor (hCD40/K18-hACE2) were vaccinated with two intraperitoneal injections of CD40.CoV2 vaccine (10  $\mu$ g) supplemented with polyinosinic-polycytidylic acid (Poly(IC) (50  $\mu$ g) three weeks apart and challenged with Wuhan/D614G SARS-CoV-2 (Figure 2a). Poly(IC) was selected as an adjuvant due to its ability to increase antigen-

presenting cell maturation.<sup>54</sup> In contrast to the vaccinated animals, controls exhibited 460 significant weight loss from day 5 post-infection (pi), lasting until day 12 pi (Figure 2b). This 461 462 was associated with the development of clinical symptoms in the controls from day 5 pi (Figure S1), leading to death of 67% of the animals by day 12 pi, whereas the vaccinated 463 animals showed no symptoms and none died (Figures 2b and S1). Accordingly, the SARS-464 CoV-2 viral replication (genome equivalent/µg RNA) and viral infectious particles (PFU/mg of 465 466 tissue) were lower in the lungs of the vaccinated mice than the controls or, indeed, 467 undetectable (Figure 2c). We next assessed the antibody responses elicited in vivo by the 468 CD40.CoV2 vaccine. One week after the booster injection (d28 post-vaccination [dpv]), the SARS-CoV-2 RBD- and S-specific IgG (Wuhan strain) binding levels were significantly higher 469 in the vaccinated than mock-vaccinated mice (P = 0.0004 for both, Mann Whitney U test) 470 (Figure 2d-2e). The CD40.CoV2 vaccine was also able to elicit RBD-specific IgG with cross-471 reactivity against VOCs ( $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ ) or the variant of interest (VOI)  $\kappa$  (P = 0.0004 for all 472 comparisons between vaccinated and mock-vaccinated animals, Wilcoxon U test) (Figure 473 474 2d). Moreover, vaccine-elicited IgG highly cross-reacted with the SARS-CoV-1 spike protein 475 (Figure 2e), but not the S protein of MERS or common cold coronaviruses, of which the 476 sequences show less homology (Figure S2). By day 12 pi (corresponding to 40 dpv), crossreactive IgG levels had increased in the control animals, but the response remained 477 478 significantly lower than in the vaccinated animals (P = 0.0238 between vaccinated and mockvaccinated animals, Wilcoxon U test) (Figure 2d-2e). Overall, the CD40.CoV2 vaccine 479 elicited cross-neutralizing antibody responses against RBD from SARS-CoV-2 Wuhan and 480 VOCs (Figure 2f) and S from both SARS-CoV-2 and SARS-CoV-1 (Figure 2g). These results 481 482 were confirmed in a second replicate animal experiment (Figure S3).

In addition, the comparison of antibody responses in animals immunized either with the CD40.CoV2 and poly(IC) or mRNA BNT162b2 vaccine showed comparable levels of RBDbinding IgG (Figure 3a) and neutralizing activity (Figure 3b) against the ancestral strain (Wuhan) and  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$  VOCs. A retained activity against Omicron was observed, but with

7.2- and 9.4-fold lower binding for those raised by the CD40.CoV2 and BNT162b2 vaccines
respectively, and 3.1- and 3.3-fold lower neutralizing activity (Figure 3).

In conclusion, the vaccine-elicited immune responses provided protection against SARS-CoV-2 challenge, with 100% survival, no clinical symptoms, and significant viral load control *in vivo.* These results significantly add to our previous vaccine studies in various animal models (Hu-mice, or NHP), in which aCD40 targeting vaccines were able to induce potent humoral and cellular immune responses against Influenza virus, HIV, and, more recently, SARS-CoV-2 RBD.<sup>37,38,41,55</sup>

### 495 **The CD40.CoV2 vaccine recalls cross-reactive functional SARS-CoV-2 T-cell** 496 **responses** *in vitro*

We next investigated the potency of the CD40.CoV2 vaccine in recalling T-cell responses in 497 vitro using PBMCs collected from individuals who had experienced a viral infection, as 498 previously demonstrated. Peripheral blood mononuclear cells (PBMCs) from 39 convalescent 499 COVID-19 patients (between one to six months following infection) from the French COVID 500 cohort <sup>56</sup> were collected. The median [IQR] age of the patients was 56 [47-64], of whom 67% 501 502 were male. First, we evaluated the frequency of CD4+- and CD8+-specific T cells, as assessed by the expression of the activation markers CD69<sup>+</sup> and CD137<sup>+</sup> (Figure 4a-b). <sup>57</sup> 503 504 PBMCs (n = 5 donors) were stimulated with various doses of CD40.CoV2 vaccine, ranging from 10 to 10<sup>-4</sup> nM, or an equimolar concentration of a combination of overlapping peptides 505 506 (OLP) spanning the full-length sequence of the vaccine antigens (vS1+vS2+vRBD+vN2), referred as vOLPmix. The effective range of vaccine potency eliciting SARS-CoV-2-specific 507 CD4<sup>+</sup> T cells was between 1 to 10 nM, with maximal activity at 1 nM. At these concentrations, 508 509 the recall of specific CD4<sup>+</sup> T cells was 10-fold higher than with vOLPmix stimulation (Figure 510 4b) (P = 0.0062, Wilcoxon U test). We observed a similar activation profile for CD8<sup>+</sup> T cells, with the highest potency at 1 nM. Next, we confirmed the functionality of these cells, showing 511 that the CD40.CoV2 vaccine induced robust and significantly higher proliferation of specific 512 CD4<sup>+</sup> and CD8<sup>+</sup> T cells and CD19<sup>+</sup> B cells than cells stimulated with vOLPmix or a control 513

514 CD40 vaccine fused to the HIV Env glycoprotein 140 (strain ZM96) (CD40.Gp140z) (Figure 515 4c and 4d).

516 These responses were likely favored by the targeting of vaccine epitopes through the anti-CD40 vehicle, as demonstrated by the broad and high levels of secretion of soluble factors 517 produced by PBMCs from convalescent COVID-19 patients (n = 15) stimulated for two days 518 with either the CD40.CoV2 vaccine (1 nM) or vOLPmix (Figure 5 and Figure S4). Vaccine 519 stimulation induced the production of chemokines involved in monocyte, macrophage, and 520 521 DC chemotaxis (MCP-1, IP-10), as well as those associated with T-cell (CCL5) and neutrophil (IL-8) recruitment. Moreover, the level of cytokines produced by activated 522 monocytes/macrophages and DCs (TNF, MIP-1 $\alpha$ , MIP-1 $\beta$ , and IL-12p70) and those specific 523 to cytotoxic activity (Granzyme B) also increased. Interestingly, Th1 (IFN-γ, IL-2), Th2 (IL-4, 524 IL-13), and Th17 (IL-17A) cytokines were also detected. By contrast, stimulation with the 525 matched vOLPmix only significantly induced the production of IL-2 (Figure 5). Moreover, 526 coculture of PBMCs with CD40.Gp140z control vaccine did not stimulate the production of 527 528 cytokines excluding bystander and "non-specific" activation through CD40 receptor (Figure S5). Overall, these results demonstrate the potency of minute amounts of CD40.CoV2 529 vaccine to promote the recall of functional specific memory T and B cells. 530

## 531 The CD40.CoV2 vaccine elicits multiepitope and cross-reactive specific T-cell 532 responses against SARS-related sequences.

533 We next confirmed the potency of the CD40.CoV2 vaccine construct to elicit cross-reactive 534 functional memory T cells against individual vaccine regions from SARS-CoV-2 Wuhan or 535 those harboring VOC/VOI mutations within these regions and SARS-CoV-1 S1/RBD/N2 536 epitopes.

537 First, PBMCs from convalescent COVID-19 patients (M1-M6 post-infection, n = 14) were 538 stimulated with the CD40.CoV2 vaccine (1 nM) and restimulated on day 8 either with one of 539 the vOLPs (vRBD, vS1, vS2, or vN2) or control OLPs (cont.OLP), defined as SARS-CoV-2

regions either not contained in the CD40.CoV2 vaccine (SARS-CoV-2 Nucleocapsid [N1-N2] 540 or SARS-CoV-2 Matrix [M]) or irrelevant peptides, such as Ebola glycoprotein (Gpz). The 541 542 CD40.CoV2 vaccine recalled polyfunctional SARS-CoV-2-specific CD4+ T cells simultaneously producing up to three cytokines (IFN-y ± IL-2 ± TNF) (Figure 6a-c and Figure 543 S6). Specific CD4<sup>+</sup> and, to a lesser extent, CD8<sup>+</sup> T cells produced IFN-y against all vaccine 544 antigens but not against control antigens (non-significant P value for control antigens vs the 545 546 unstimulated condition, Wilcoxon U test). The CD40.CoV2 vaccine recalled polyepitope IFN $y^+$  responses ranked from vS1 > vRBD > vN2 > vS2 for CD4<sup>+</sup> T cells and vN2 > vRBD > vS1 547 for CD8<sup>+</sup> T cells (Figure 6c). We also observed significant specific TNF<sup>+</sup> and IL-2<sup>+</sup> CD4<sup>+</sup> T-548 cell responses against various vaccine antigens, whereas only the IL-2<sup>+</sup> CD8<sup>+</sup> T-cell 549 response was significant after stimulation with vRBD (Figure S6). Interestingly, the strongest 550 CD8<sup>+</sup> T-cell response was directed against vN2, known to be important for long-term 551 immunity<sup>58</sup> (Figure 6c). Finally, vaccine-expanded specific memory CD8<sup>+</sup> T cells from five 552 different patients showed cytotoxic activity against autologous cells pulsed with different 553 vOLPs (vN2 or vRBD), whereas there was no cytotoxic activity when target cells were pulsed 554 with cont.OLP (N1-N2 or M) (Figure 6d). 555

We also observed that CD40.CoV2 vaccine-expanded *in-vitro* CD4<sup>+</sup> and CD8<sup>+</sup> T-cell responses were not affected when the cells were stimulated with RBD OLPs containing common mutations of  $\beta/\gamma$ ,  $\delta$  (VOCs) and  $\kappa$  (VOI) (Figure 7a). Similar results were obtained when the cells were stimulated with RBD OLPs from Omicron VOC (Figure 7b).The crossreacting CD8<sup>+</sup> and CD4<sup>+</sup> T-cell responses were polyfunctional, simultaneously producing up to 2 or 3 cytokines, respectively, with no major differences between VOCs except for Omicron where we observed a decreased polyfunctionality (Figure 7c).

563 Finally, we re-stimulated CD40.CoV2-stimulated PBMCs from convalescent COVID-19 564 patients with OLPs covering the S1 (S1-CoV-1), vRBD (vRBD-CoV-1), and vN2 (vN2-CoV-1) 565 regions from SARS-CoV-1 and S1 from MERS. The vaccine elicited a high frequency of 566 cross-reactive SARS-CoV-1 CD4<sup>+</sup> and CD8<sup>+</sup> T cells and, to a lower extent, cross-reactive

567 MERS-S1 CD8<sup>+</sup> T cells (Figure 8). Interestingly, the CD40.CoV2 vaccine-induced SARS-568 CoV-1- and SARS-CoV-2-specific T-cell responses were highly correlated for all 569 corresponding antigen sequences (Figure S7). Overall, we confirm that the breadth of recall 570 responses induced by the CD40.CoV2 vaccine is not affected by RBD mutations from SARS-571 CoV-2 VOCs and fully recognizes SARS-CoV-1 epitopes.

572

### 574 **Discussion**

Despite the rapid development of several effective vaccines against SARS-CoV-2, recent 575 576 observations from vaccine campaigns in the general population have shown that the antibody response is waning, with reduced efficacy against VOCs. Most are characterized by 577 mutations found in areas that are likely targeted by neutralizing antibodies, leading to vaccine 578 escape and compromising the first line of immunological defense against SARS-CoV-2. 579 Moreover, whether the current first-generation vaccines based on the original virus strain 580 581 would still protect against emerging VOCs or pre-emergent coronaviruses, which may be responsible for future pandemics, is unknown. Thus, the development of new-generation 582 vaccines that can induce B- and T-cell responses to a broad range of epitopes, less prone to 583 variation, are warranted. 584

Here, we demonstrate the immunogenicity and anti-viral efficacy of a protein vaccine 585 composed of three regions from S (aa 125-250, 318-541, 1056-1209) and one from N (aa 586 276-411) of SARS-CoV-2, accumulating a large set of predicted CD4<sup>+</sup> and CD8<sup>+</sup> T- and B-587 cell epitopes that are highly homologous to those of SARS-CoV-1 and 32 recently described 588 SARS-CoV-2-related coronaviruses. The CD40.CoV2 vaccine elicited potent SARS-CoV-2-589 specific cross-reactive and neutralizing antibodies associated with anti-viral and protective 590 activity against SARS-CoV-2 challenge in the hCD40/K18-hACE2 mouse model. 591 Furthermore, vaccinated mice developed high neutralizing antibody levels against the RBD 592 region from not only SARS-CoV-2 Wuhan but also several SARS-CoV-2 VOCs/VOI ( $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ 593 and  $\kappa$ ) and S from SARS-CoV-1. These results confirm and extended our previous 594 observations of the antiviral efficacy of the CD40.RBD vaccine in convalescent macaques.<sup>41</sup> 595

596 Our vaccine design was also driven by the need to include conserved epitopes to generate 597 robust memory CD4<sup>+</sup> or CD8<sup>+</sup> T cells to provide early control of acute infection with a novel 598 SARS-CoV-2 VOC or closely related virus in the absence of pre-existing cross-protective 599 antibodies.<sup>9</sup> The *in-silico* definition of vaccine sequences was supported by several 600 observations. First, various CD40.CoV2 vaccine epitopes have already been shown by

601 others, through structure-based network analysis and assessment of HLA class-I peptide stability, to be structurally constrained, thus limiting genetic variation across SARS-CoV-2, 602 SARS-CoV-1, and sarbecoviruses.<sup>59</sup> For example, the CD40.CoV-2 vaccine contains 6/28 S 603 (S319-329, RVQPTESIVRF; S321-329, QPTESIVRF; S386-395, KLNDLCFTNV; S386-396, 604 KLNDLCFTNVY; S515-524, FELLHAPATV; S1093-1102, GVFVSNGTHW) and 6/11 N 605 (N276-286, RRGPEQTQGNF; N305-313, AQFAPSASA; N306-314, QFAPSASAF; N306-606 607 315, QFAPSASAFF; N308-315, APSASAFF; N308-317, APSASAFFGM) of highly networked constrained regions with stabilizing CD8<sup>+</sup> T-cell epitopes with global HLA coverage. <sup>59</sup> 608 Second, we showed the reactivity of CD4<sup>+</sup> and CD8<sup>+</sup> T cells to nanomolar concentrations of 609 the CD40.CoV2 vaccine using an approach combining the expression of activation markers, 610 cytokine production, T-cell proliferation, and cytotoxic function. These results demonstrate 611 the immunogenicity of vaccine epitopes and are consistent with those of prior studies 612 describing a number of them in several patient cohorts (Tables S3 and S4).<sup>49,60-62</sup> Third, 613 based on the recent review of all SARS-CoV-2 CD4<sup>+</sup> and CD8<sup>+</sup> T-cell epitopes reported in 25 614 615 studies,49 it appears that the CD40.CoV2 vaccine contains 42% of the described immunodominant CD8<sup>+</sup> T-cell epitopes for S and 39% for N. The respective values are 54% 616 (S) and 35% (N) for the immunodominant CD4<sup>+</sup>T-cell epitopes. Moreover, all vaccine regions 617 contain dominant epitopes. For example, the vS1 and vRBD regions of the CD40.CoV2 618 619 vaccine closely fit or overlap the two immunodominant S regions for CD4<sup>+</sup> T cells (S154-254, 620 S296-370). Similarly, the vN2 sequence overlaps the described CD4<sup>+</sup> and CD8<sup>+</sup> T-cell immunodominant region of the nucleocapsid (201-371).49 621

A crucial question for the development of vaccines to counteract the escape of the virus from neutralizing antibodies is whether SARS-CoV-2 VOCs can evade T-cell immunity. However, even if SARS-CoV-2 does mutate, analysis of mutations associated with the various VOCs shows the vast majority of CD40.CoV2 vaccine epitopes to be conserved in SARS-CoV-2 variants (Tables S2 and S3). Accordingly, we found that *in-vitro* stimulation with the CD40.CoV-2 vaccine elicited specific cross-reactive polyfunctional CD4<sup>+</sup> and CD8<sup>+</sup> T-cell responses against RBD from VOCs/VOI. Similarly, we found that the breadth of vaccineelicited CD4<sup>+</sup> and CD8<sup>+</sup> T-cell responses extended to S1, RBD, and N sequences from
SARS-CoV-1. Indeed, expanded CD8<sup>+</sup> T cells were even cross reactive to S1 peptides from
MERS, despite low homology with SARS-CoV-2 (15%).

While it is critical to determine to what extent VOCs may or may not be susceptible to 632 evading existing humoral responses, T-cell associated immunity is, in general, significantly 633 more difficult for viruses to overcome, due to the broad and adaptable response generated in 634 635 a given individual and because of the variety of HLA haplotypes. In this regard, the new SARS-CoV-2 B.1.1.529 (Omicron) VOC, which emerged in November 2021, is characterized 636 by the presence of 32 mutations in Spike, located mostly in the N-terminal domain (NTD) and 637 the RBD. Recent results have shown that this VOC significantly escapes from neutralizing 638 639 antibodies, either therapeutic or those from convalescent or vaccinated individuals at various levels.<sup>63–65</sup> However, preliminary studies have shown minimal cross-over between mutations 640 associated with the Omicron variant of SARS-CoV-2 and CD8+ T-cell epitopes identified in 641 convalescent COVID-19 individuals.<sup>66</sup> Of note, the vN2 vaccine sequence is 100% 642 homologous with that of most VOCs, including the Omicron variant. Overall, concerning the 643 objective to develop a vaccine with a broader range of protection, our results confirm 644 previous observations that single amino-acid substitutions or deletions across large 645 peptidomes do not significantly affect polyclonal memory T-cell responses.<sup>67</sup> 646

647 The design of the CD40.CoV-2 vaccine benefited from the high number of genetically conserved SARS-CoV-2 S and N sequences across VOCs, as well as those of SARS-CoV-648 related viruses, with the goal of inducing broad immune cross reactivity, a key component for 649 650 the development of a next-generation pan-sarbecovirus vaccine. We show that the vaccine 651 T-cell epitopes are highly conserved with those of SARS-CoV-2 VOCs, SARS-CoV-1, and, more generally, all 38 sarbecoviruses tested, with up to 80-100% homology for the most 652 highly conserved T-cell epitopes (Tables S2 and S3). Moreover, we show that nine CD8+ T-653 cell epitopes, from S and N, contained in the vaccine are 100% homologous among all 654

sarbecoviruses (Table S5). Globally, these results confirm that vaccine sequences,
particularly vS2 and vN2, are theoretically suitable for the design of a pan-sarbecovirus
vaccine aiming to elicit broad cross-reactive T-cell responses.

658 We further propose in this vaccination strategy to deliver the antigens through a DC-targeting platform, as the antigens were fused to a humanized anti-CD40 monoclonal antibody. This 659 platform has already been tested in vitro, in various preclinical animal models, and is 660 currently in phase I/II clinical development for a prophylactic HIV vaccine (NCT04842682). 661 Thus, by targeting epitopes of the S and N proteins, the CD40.CoV2 vaccine may represent 662 663 an excellent booster of pre-existing immunity, induced either by previous priming with available vaccines or by natural infection, as we recently demonstrated that a single dose of 664 the CD40.RBD vaccine, injected without adjuvant, is sufficient to elicit neutralizing antibodies 665 that protect macaques from a new viral challenge.<sup>41</sup> 666

667 Because SARS-CoV-2 humoral responses decline rapidly over time, repeated vaccinations 668 at short time intervals are required to maintain high neutralizing responses, at least with the currently available vaccines, which all target the S protein.<sup>68</sup> However, aside from antibody 669 responses, early induction of functional SARS-CoV-2-specific T cells is observed in patients 670 with mild disease and rapid viral clearance.<sup>69</sup> In addition, recent studies have highlighted the 671 672 significant cross-protective advantage of a heterologous boost, even if the vaccine antigens do not fully match the viral challenge <sup>70</sup> and the interest to target also conserved regions of 673 the spike protein, outside the RBD domain, for induction of cross-neutralizing antibodies.<sup>71</sup> 674 Thus, a significant advantage of our vaccine may be to extend the breadth of the responses 675 676 of current vaccines.

Our study had several limitations. Due to the limited availability of hCD40/K18-hACE2 mice, we did not evaluate the potency of the CD40.CoV2 vaccine against various SARS-CoV-2 related VOCs or other sarbecovirus strains in vaccinated mice. However, we showed that the CD40.CoV2 vaccine induced binding and neutralizing IgG responses very similar or equivalent to those induced by the mRNA BNT162b2 vaccine. One potential advantage of

our vaccine is its capacity to elicit functional cross-reactive T-cell responses. We favored the 682 analysis of T cell responses using samples from recovered individuals instead of in vivo 683 684 preclinical models. Taking account that these responses may be dependent on the "clinical history" of patients and their HLA haplotypes, they are less biased than those that would be 685 observed in an animal model. Our results show that the in-vitro vaccine responses are 686 directed against all vaccine proteins, which confirmed the broad HLA coverage of the vaccine 687 688 sequences. Although, we did not test responses against N sequences from VOC or other sarbecoviruses, because of the high homology (100%) between vaccine sequences and 689 VOCs including Omicron. 690

In conclusion, it is becoming urgent to develop a "pan-sarbecovirus vaccine". The 691 development of a new protein-based vaccine with expected improved tolerability suitable for 692 693 people with specific vulnerabilities and children would extend the portfolio of current vaccines and be instrumental in controlling the circulation of the virus and the emergence of new 694 variants. By selecting a narrow range of immunodominant epitopes, presented by a wide 695 696 variety of HLA alleles and less prone to genetic variations across sarbecoviruses, we provide a rationale for the development of a global T cell-based vaccine to counteract emerging 697 SARS-CoV-2 variants and future SARS-like coronaviruses. 698

### 700 Contributors

Y.L, V.G, and S.C conceived and designed the study. S.Co, A.W, M.S, C.L, A.Z, B.M, Q.B, 701 702 M.F, S.H, S.C, G.Z, S.Z, V.G, M.P, R.T and Y.L analyzed and interpreted the data. S.Co, M.S, L.D, F.P, A.S, C.P, Q.B, M.F, S.S, MEH and P.H performed the experiments. S.Z, Z.W, 703 J.E, S.C, C.L, M.S., and Y.L. designed and produced the CD40.CoV2 vaccine. A.Z, B.M, and 704 V.G supervised the animal studies. J.G and M.C.G participated in sample and clinical data 705 706 collection. M.C administered the project. Y.L, V.G, S.Co, AW, M.S, A.Z, S.Z, and G.Z drafted the first version and wrote the original version of the manuscript. S.Co, A.W, V.G, C.L and 707 Y.L verified the underlying data of the final submitted version. All authors approved the final 708 version. 709

### 710 **Declaration of interests**

The authors S.Z., G.Z., V.G., M.C., S.C., C.L., M.S., and Y.L., are named inventors on patent applications based on this work held by Inserm Transfert. The remaining authors declare no competing interests.

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### 739 Data sharing statement

The CD40.CoV2 vaccine generated in this study was deposited in GenBank: anti-human 740 CD40 12E12 antibody IgG4 H chain (GenBank ID: AJD85779.1 residues 20-467) fused to 741 742 SARS CoV 2RBD (GenBank ID: UEP92470.1 residues 17-240) followed by EPEA (C-tag) and the anti-human CD40 12E12 antibody kappa L chain (GenBank ID: AJD85780.1 743 residues 21-236) fused sequentially to a linker (GenBank ID: AJD85777.1 residues 699-725), 744 nucleocapsid phosphoprotein, partial [Severe acute respiratory syndrome coronavirus 2] 745 746 (GenBank ID: QWE63393.1 residues 95-230), linker residues AR, Chain A, Spike protein S1 [Severe acute respiratory syndrome coronavirus 2] (GenBank ID: 7M8J A residues 113-747 237), linker residues TR, and Sequence 12 from patent US 8518410 (Genbank ID: 748 749 AGU17682.1 residues 3-27), surface glycoprotein, partial [Severe acute respiratory 750 syndrome coronavirus 2] (GenBank ID: UET03776.1 residues 195-348). The authors declare that other data supporting the findings of this study are available from the corresponding 751 752 author upon request.

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### 940 Figure legends

941 Figure 1. Selection of SARS-CoV-2 T- and B-cell polyepitope regions for an improved dendritic cell-942 targeting vaccine platform. (a) Mapping of selected SARS-CoV-2 epitope-enriched regions. (1) Four selected 943 vaccine regions. (2) Predicted SARS-CoV-2 CD8<sup>+</sup> T-cell epitopes (NetMHC 4.0). (3) Described SARS-CoV-2 944 CD8<sup>+</sup> T-cell epitopes at the time of vaccine region selection. (4) Predicted SARS-CoV-2 CD4<sup>+</sup> T-cell epitopes 945 (NetMHCII 2.3). (5) Described SARS-CoV-2 CD4<sup>+</sup> T-cell epitopes at the time of vaccine region selection. (6) 946 Predicted linear B-cell epitopes (BepiPred 2.0). (7) Described SARS-CoV-2 IgM, IgA, and IgG epitopes at the 947 time of vaccine region selection. (b) Vaccine (v) regions (vS1, vRBD, vS2 and vN2) and control region not 948 included in the vaccine (N1-N2) and (c) CD40.CoV2 vaccine construct.

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950 Figure 2. CD40.CoV2-vaccinated animals survive SARS-CoV-2 infection and show neutralizing and cross-951 reactive antibody responses. (a) Design of the CD40.CoV2 vaccination strategy before SARS-CoV-2 infection. 952 (b) Relative weight and survival of mock-vaccinated (grey) and vaccinated (blue) hCD40/K18-hACE2 953 transgenic mice after SARS-CoV-2 inoculation. Both parameters were recorded from days 0 to 12 post infection 954 (pi). The mean ± SD is presented. A Mann-Whitney U test was conducted to compare differences in weight 955 between the two groups on day 12 (n = 9-12 animals per group) (\*\*P < 0.01). Kaplan-Meier survival curves 956 were generated (n = 6-9 animals per group) and the P value was calculated using the [log-rank (Mantel-Cox) 957 test] (\*P  $\leq$  0.05). (c) Viral load (genome equivalent/µg RNA) and viral infectious particle units (PFU/mg of 958 tissue) in the lungs of mock-vaccinated (grey) and vaccinated (blue) hCD40/K18-hACE2 transgenic mice (n = 3 959 animals per group) on day 5 pi with the median plotted as a line. (d) Levels of IgG antibodies (AU) binding to 960 Wuhan and VOCs SARS-CoV-2 RBD proteins before vaccination (baseline, -2 days post-vaccination (dpv), n = 961 9-12 animals per group), after the completion of the vaccination schedule (28 dpv, n = 9-12 animals per group), 962 and 40 dpv (i.e., day 12 pi time point, n = 3-5 animals per group). (e) Levels of IgG antibodies (AU) binding to 963 SARS-CoV-2 (circles) and SARS-CoV-1 (triangles) S proteins in mock-vaccinated (grey) and vaccinated (blue) 964 animals at -2, 28, and 40 dpv. Medians [Min-Max] are shown. The grey dashed lines represent prime and boost 965 vaccines. The red dashed line represents SARS-CoV-2 inoculation. Neutralizing activity of (f) anti-RBD 966 antibodies (units/mL) and (g) anti-S antibodies (units/mL) in mock-vaccinated (grey) and vaccinated (blue) 967 animals post-vaccination (open circles) and post-infection (solid circles). Medians ± Interquartile ranges (IQRs) 968 are shown. Thirty plasma samples from unvaccinated mice were used to determine the threshold for positivity, 969 defined as the whole units/mL value immediately above the concentration of the highest sample for RBD (i.e., 8 970 units/mL) and Spike (i.e., 4 units/mL) proteins. These results were reproduced in a second independent 971 experiment (Figure S3).

972Figure 3. CD40.CoV2 and mRNA BNT162b2 vaccines elicit similar cross-reactive and neutralizing973antibody responses. Levels of IgG antibodies (AU) binding to Wuhan and VOCs SARS-CoV-2 RBD proteins974( $\alpha, \beta, \gamma, \delta$ , Omicron) (a) and neutralizing activity of anti-RBD antibodies (percentage of inhibition relative to the975Wuhan RBD protein) (b) one week after completion of the vaccination schedule in BNT162b2 mRNA (red) or976CD40.CoV2 vaccinated animals (blue) (n=5 and 20 animals, respectively). Medians ± Interquartile ranges977(IQRs) are shown.

978 Figure 4. Determination of the optimal immunogenic CD40.CoV2 vaccine concentration and proliferation 979 of specific T cells induced by the CD40.CoV2 vaccine. (a) Gating strategy for specific T cells that upregulate 980 activation-induced markers (AIM). (b) Antigen specific activation of CD4<sup>+</sup> (blue) and CD8<sup>+</sup> (green) T cells from 981 COVID-19 convalescent patients (n = 5) stimulated with various concentrations of CD40.CoV2 vaccine or a 982 combination of OLPs covering the full-length sequence of the vaccine antigens (vOLPmix). Activation of 983 SARS-CoV-2-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cells is shown as the percentage of CD69<sup>+</sup> CD137<sup>+</sup> cells within the 984 CD4<sup>+</sup> or CD8<sup>+</sup> subset after background subtraction. Median values (solid line) ± interquartile ranges (IQRs) 985 (dashed lines) are shown. (c) Gating strategy for assessing the proliferation of specific T and B cells after seven 986 days of CD40.CoV2 stimulation. (d) Proliferation of CD4<sup>+</sup> T-cells, CD8<sup>+</sup> T-cells, and B-cells from COVID-19 987 convalescent patients (n = 10) induced by the CD40.CoV2 vaccine, an irrelevant vaccine (CD40 Gp140z [1 988 nM]), or an equimolar concentration of vOLPmix. Data are expressed as a proliferation index obtained by 989 dividing the frequency of proliferating cells after specific stimulation over background. Median values ± IQRs 990 are shown. [Friedman and Dunn's multiple comparison tests] were used for statistical analysis (\*\*P < 0.01, \*\*\*P 991 < 0.001). 992

Figure 5. Heatmap of standardized biomarker expression in culture supernatants induced by the
 CD40.CoV2 vaccine. Supernatants from convalescent COVID-19 patient PBMCs collected on day 2 after
 stimulation with the CD40.CoV2 vaccine (1 nM) or an equimolar concentration of vOLPmix (n = 15). The

colors represent standardized expression values centered around the mean, with variance equal to 1. Biomarker
 hierarchical clustering was computed using the Euclidean distance and Ward's method.<sup>72</sup>

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Figure 6. Polyfunctional and cytotoxic specific T-cell responses of convalescent COVID-19 patients after 999 1000 in-vitro stimulation with the CD40.CoV2 vaccine. (a) Representative dot plots of SARS-CoV-2-specific CD4+ 1001 and CD8<sup>+</sup> T-cell responses after in vitro stimulation of patient PBMCs with the CD40.CoV2 vaccine (1 nM) on 1002 D0 and re-stimulation with various vOLPs (vRBD, vS1, vS2 or vN2) (1 µg/ml) on D8. (b) Functional 1003 composition of SARS-CoV-2-specific CD4+ and CD8+ T-cell responses induced by the CD40.CoV2 vaccine and 1004 various vOLPs (vRBD, vS1, vS2 or vN2) (1 µg/ml). Responses are color coded according to the combination of 1005 cytokines produced. The arcs identify cytokine-producing subsets (IFN-y, IL-2, and TNF) within the CD4<sup>+</sup> or 1006 CD8<sup>+</sup> T cell populations. (c) Frequency and radar charts of the merged median of IFN- $\gamma^+$  SARS-CoV-2-specific 1007  $CD4^+$  (blue) or  $CD8^+$  (green) T cells from convalescent COVID-19 patients (n = 14) stimulated or not with the 1008 CD40.CoV2 vaccine (1 nM) on D0 and re-stimulated with various vOLPs (vRBD, vS1, vS2 or vN2), cont.OLP 1009 (SARS-CoV-2 N1-N2, or Ebola Gpz) (grey) on D8 (1 µg/mL). Median values ± IQRs are shown. [Friedman and 1010 Dunn's multiple comparison tests] were used for statistical analysis (\*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001, \*\*\*\*P1011 < 0.0001, ns: not significant). (d) Specific lysis of CD8<sup>+</sup> T cells stimulated with the CD40.CoV2 vaccine (1 nM) 1012 against autologous PHA-blasted PBMCs from five different convalescent COVID-19 patients, pulsed with either 1013 vRBD (light green), vN2 (dark green), or cont.OLP (SARS-CoV-2 N1-N2 or M) (grey). The means of triplicate 1014 values ± the standard deviation (SD) are shown. Each symbol represents a different patient.

1015 Figure 7. Polyfunctional specific T-cell responses against SARS-CoV-2 VOCs after stimulation with the 1016 **CD40.CoV2 vaccine.** Frequency of total cytokines (IFN- $\gamma \pm$  IL-2  $\pm$  TNF) produced by specific CD4<sup>+</sup> (blue) or 1017 CD8+ (green) T cells from convalescent COVID-9 patients (n = 18) after in-vitro stimulation with the 1018 CD40.CoV2 vaccine (1 nM) on D0 and re-stimulation with RBD OLP from various VOCs/VOI (a) or RBD 1019 OLP from Omicron VOC (n = 13) (1  $\mu$ g/mL) (b). Functional composition of SARS-CoV-2-specific CD4<sup>+</sup> and CD8<sup>+</sup> T-cell responses induced by the CD40.CoV2 vaccine against VOCs/VOI. Responses are color coded 1020 1021 according to the combination of cytokines produced. The arcs identify cytokine-producing subsets (IFN- $\gamma$ , IL-2, 1022 and TNF) within the CD4<sup>+</sup> and CD8<sup>+</sup> T-cell populations (c). Median values  $\pm$  IQRs are shown. [Friedman's test] and [Wilcoxon U test] were used for comparisons (\*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001, \*\*\*\*P < 0.0001). 1023

1024Figure 8. Cross-reactive specific T-cell responses against SARS-CoV-1 and MERS of convalescent1025COVID-19 patients after *in-vitro* stimulation with the CD40.CoV2 vaccine. Frequency of total cytokines1026(IFN- $\gamma \pm IL-2 \pm TNF$ ) produced by specific CD4<sup>+</sup> (blue) or CD8<sup>+</sup> (green) T cells after *in-vitro* stimulation with1027the CD40.CoV2 vaccine (1 nM) on D0 and re-stimulation with OLPs representing the sequences of S1, vRBD,1028and vN2 from (a) SARS-CoV-1 and (b) S1 from MERS (1 µg/mL). Median values ± IQRs are shown. The1029[Wilcoxon U test] was used for comparisons (\*\*P < 0.01, \*\*\*P < 0.001, ns: not significant).</td>











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• BNT162b2 mRNA • CD40.CoV2





#### Significative :

unstim. vs vOLPmix (1)unstim. vs CD40.Cov2 (18)

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TNF

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Stimulation D0: CD40.CoV2 (1nM) Re-stimulation D8: vRBD (1 µg/ml)

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С



vS1

vS2



JS2 JA2 GPL MIN





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CD4+ T cells CD8+ T cells



Pie Figure Arc Legend	Categories	IFNγ	IL2	TNF
IFN-γ+		+	+	+
		-	+	+
IL2+		+	-	+
TNF+		+	+	-
		-	-	+
		-	+	-
		+	-	-





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