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# Adaptive Finite-time and Fixed-time Control Design using Output Stability Conditions<sup>†</sup>

Konstantin Zimenko\*<sup>1</sup> | Denis Efimov<sup>2,1</sup> | Andrey Polyakov<sup>2,1</sup>

<sup>1</sup>Faculty of Control Systems and Robotics,  
ITMO University, 49 Kronverkskiy av.,  
197101 Saint Petersburg, Russia

<sup>2</sup>Inria, Univ. Lille, CNRS, UMR 9189 -  
CRISTAL, F-59000 Lille, France

## Correspondence

\*Konstantin Zimenko Email:  
kostyazimenko@gmail.com

## Summary

The present paper provides a sufficient condition to ensure output finite-time and fixed-time stability. Comparing with analogous researches the proposed result is less restrictive and obtained for a wider class of systems. The presented output stability condition is used for adaptive control design, where the state vector of a plant is extended by adjustable control parameters.

## KEYWORDS:

Adaptive finite-time control, adaptive fixed-time control, output finite-time stability

## 1 | INTRODUCTION

Frequently, the control practice needs regulation algorithms, which ensure output (in particular, a part of states) convergence in a finite time (i.e., the output  $Y(t, x_0) = 0$  for all  $t \geq T(x_0)$  and some  $0 \leq T(x_0) < +\infty$  dependent on the initial conditions  $x(0) = x_0$ ) or in a fixed time (i.e.,  $T(x_0) \leq T_{\max}$  for all initial conditions  $x_0$ ). Such problem statements usually appear in mechanical and robotic systems, aerospace applications, particle collision systems (see, for example, <sup>2,3,4,5,6,7,8</sup>).

The output stabilization is a rather common control issue, certain classes of identification problems and adaptive control systems may be considered in the context of output stability. For example<sup>9</sup>, in the case of adaptive control design a closed-loop system has a state vector extended with adjustable control parameters:  $[x^T \ \omega^T]^T$ , where  $x \in \mathbb{R}^n$  is the state vector of the plant and  $\omega \in \mathbb{R}^r$  is the adjustable control parameters vector. Thus, a standard control goal is to guarantee output (partial) stability: the states of the plant should be stabilized at the origin asymptotically or in a finite time, while the adjustable parameters may remain just bounded. A similar output stabilization problem arises in state observer design, where the common dynamics of the system includes the plant and the observer states,  $x$  and  $\hat{x}$ , respectively, while it is necessary to ensure the convergence of the state estimation error  $e = x - \hat{x}$  (in nonlinear case the dynamics of  $e$  may be dependent on  $x$ )<sup>10,11</sup>.

There are a number of results devoted to output finite-time stability (OFTS) analysis. Most of them are about partial stability analysis that is a particular case of output stability (see, for example, <sup>8,12,13,37</sup>). In<sup>14</sup> necessary and sufficient conditions for output finite-time stability are given using Lyapunov functions. However, most of these results are obtained for special classes of systems, and/or particular control problems. The present paper provides a relaxed sufficient condition to analyze OFTS or OFxTS of a wider class of models than in<sup>14</sup>.

A finite-time (fixed-time) control (e.g., homogeneity based) can ensure useful properties such as faster convergence, higher accuracy, and better disturbance rejection (see, for example, <sup>38,23,24</sup>). Adaptive finite/fixed-time control is one of the rapidly developing areas of control theory providing an appealing performance for systems with uncertainties (see, for example, <sup>33,35,34,36,37</sup> and references therein). Despite a number of available results, the basic problem of adaptive finite/fixed-time regulation still has no solution. In this paper, based on the new OFTS and output fixed-time stability (OFxTS) conditions, a scheme of adaptive finite/fixed-time control design is presented. The proposed scheme allows to combine with an adaptive term different finite-time

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(fixed-time) control algorithms  $u_{FTS}$  ( $u_{FxTS}$ ) designed for systems without parametric uncertainties. The developed adaptive control scheme guarantees that for the state of uncertain systems the desired non-asymptotic convergence can be recovered. There is no requirement on persistence of excitation.

The paper is organized in the following way. Notation used in the paper is given in Section 2. Section 3 recalls basics on output global asymptotic stability (oGAS), OFTS, OFxTS and homogeneity property. Section 4 presents the main results on sufficient condition of OFTS/OFxTS and adaptive control design with numerical examples. Finally, concluding remarks are given in Section 5.

## 2 | NOTATION

- $\mathbb{R}^n$  denotes the  $n$  dimensional Euclidean space with vector norm  $|\cdot|$ ;
- $\mathbb{R}_+ = \{x \in \mathbb{R} : x > 0\}$ , where  $\mathbb{R}$  is the field of real numbers, and  $\mathbb{N}$  is the set of natural numbers;
- The symbol  $\overline{1, m}$  is used to denote a sequence of integers  $1, \dots, m$ ;
- A continuous function  $\sigma : \mathbb{R}_+ \cup \{0\} \rightarrow \mathbb{R}_+ \cup \{0\}$  belongs to class  $\mathcal{K}$  if it is strictly increasing and  $\sigma(0) = 0$ . It belongs to class  $\mathcal{K}_\infty$  if it is also unbounded;
- A continuous function  $\beta : \mathbb{R}_+ \cup \{0\} \times \mathbb{R}_+ \cup \{0\} \rightarrow \mathbb{R}_+ \cup \{0\}$  belongs to class  $\mathcal{KL}$  if  $\beta(\cdot, r) \in \mathcal{K}$  and  $\beta(r, \cdot)$  is decreasing to zero for any fixed  $r \in \mathbb{R}_+$ ;
- By  $DV(x)f(x)$  we denote the derivative of the function  $V$ , if differentiable, in the line of the vector field  $f$  and the upper Dini derivative for a locally Lipschitz continuous function  $V$ :

$$DV(x)f(x) = \limsup_{t \rightarrow 0^+} \frac{V[x + tf] - V(x)}{t}.$$

## 3 | PRELIMINARIES

Consider a system in the form

$$\dot{x} = f(x), \quad y = h(x) \quad (1)$$

with states  $x \in \mathbb{R}^n$  and outputs  $y \in \mathbb{R}^p$ . Let the system satisfy the following assumptions:

**(A.1)** The vector field  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$  ensures forward existence and uniqueness of the system solutions at least locally in time,  $f(0) = 0$ .

**(A.2)** The function  $h : \mathbb{R}^n \rightarrow \mathbb{R}^p$  is continuously differentiable,  $h(0) = 0$ .

**(A.3)** The vector field  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$  is locally Lipschitz continuous on  $\mathbb{R}^n \setminus \mathcal{Y}$ , where  $\mathcal{Y} = \{x \in \mathbb{R}^n : h(x) = 0\}$ .

For the initial conditions  $x_0 \in \mathbb{R}^n$ , let  $\Phi(t, x_0)$  be a unique maximal solution of the system (1) defined over an interval  $[0, T_s(x_0))$  with some  $T_s(x_0) \in \mathbb{R}_+ \cup \{+\infty\}$  (the solutions are understood in the Filippov sense<sup>38</sup>),  $Y(t, x_0) = h(\Phi(t, x_0))$ .

Below we study only global stability and attractivity properties of the system (1). The local counterparts can be obtained by a direct restriction of the domain of validity for the presented conditions. Note that the preliminaries in this subsection are based on theoretical framework of Input-to-Output Stability and uniform oGAS presented for locally Lipschitz continuous systems in<sup>15,16,17,18,19,20</sup>. In<sup>14</sup> the results on oGAS were extended for a wider class of dynamics, where the Lipschitz continuity may be violated on  $\mathcal{Y}$  (see Assumption A.3).

**Definition 1**<sup>16,17</sup> *The system (1) is forward complete if for each  $x_0 \in \mathbb{R}^n$  it produces a solution  $\Phi(t, x_0)$  which is defined on  $[0, +\infty)$ , i.e.,  $T_s(x_0) = +\infty$ .*

**Definition 2**<sup>15</sup> *The system (1) has the unboundedness observability (UO) property if, for each  $x_0$  such that  $T_s(x_0) < +\infty$ , necessarily*

$$\limsup_{t \rightarrow T_s(x_0)} |Y(t, x_0)| = +\infty. \quad (2)$$

In other words, any unboundedness of the state vector can be observed using the output  $y$ . Hence, if the output is known to be bounded (which is the case under the output stability properties described below), then the UO property is equivalent to forward completeness<sup>17</sup>. Note, that any system has UO property in the output  $h(x) = x$ .

**Definition 3**<sup>18,19</sup> *A system (1) is oGAS if*

- it is forward complete, and
- there exists a  $\mathcal{KL}$ -function  $\beta$  such that

$$|Y(t, x_0)| \leq \beta(|x_0|, t) \quad \forall t \geq 0 \quad (3)$$

holds for all  $x_0 \in \mathbb{R}^n$ .

If, in addition, there exists  $\sigma \in \mathcal{K}$  such that

$$|Y(t, x_0)| \leq \sigma(|h(x_0)|) \quad \forall t \geq 0 \quad (4)$$

holds for all  $x_0 \in \mathbb{R}^n$ , then the system is output-Lagrange output globally asymptotically stable (OLoGAS). Finally, if one strengthens (3) to

$$|Y(t, x_0)| \leq \beta(|h(x_0)|, t), \quad \forall t \geq 0 \quad (5)$$

for all  $x_0 \in \mathbb{R}^n$ , then the system is state-independent output globally asymptotically stable (SIoGAS).

**Lemma 1**<sup>20</sup> For system (1) having the UO property, the following relations are valid:

$$SIoGAS \Rightarrow OLoGAS \Rightarrow oGAS.$$

In the general case, all inverse relations are not satisfied.

Let us present definitions for corresponded Lyapunov functions.

**Definition 4**<sup>16,17</sup> For the system (1), a smooth function  $V$  and a function  $\lambda : \mathbb{R}^n \rightarrow \mathbb{R}_+ \cup \{0\}$  are called respectively an oGAS-Lyapunov function and an auxiliary modulus if there exist  $\xi_1, \xi_2 \in \mathcal{K}_\infty$  such that

$$\xi_1(|h(x)|) \leq V(x) \leq \xi_2(|x|) \quad \forall x \in \mathbb{R}^n \quad (6)$$

holds and there exists  $\xi_3 \in \mathcal{KL}$  such that

$$DV(x)f(x) \leq -\xi_3(V(x), \lambda(x)) \quad (7)$$

for all  $x \in \mathcal{X}$ , where  $\mathcal{X} = \{x \in \mathbb{R}^n : V(x) > 0\}$ , and  $\lambda$  satisfies the following conditions, either

(a)  $0 \leq \lambda(x) \leq |x|$  for all  $x \in \mathbb{R}^n$ ,  $\lambda$  is locally Lipschitz on the set  $\mathcal{X}$  and satisfies

$$D\lambda(x)f(x) \leq 0 \quad (8)$$

for almost all  $x \in \mathcal{X}$ ,

or

(b) there exists some  $\theta \in \mathcal{K}$  such that

$$\lambda(\Phi(t, x_0)) \leq \theta(|x_0|) \quad (9)$$

for all  $t \geq 0$  and  $x \in \mathcal{X}$ .

The function  $V$  is called an OLoGAS-Lyapunov function if it is an oGAS-Lyapunov function, and in addition, inequality (6) can be strengthened to

$$\xi_1(|h(x)|) \leq V(x) \leq \xi_2(|h(x)|), \quad \forall x \in \mathbb{R}^n. \quad (10)$$

The function  $V$  is called the SIoGAS-Lyapunov function if the inequality (10) is satisfied and there exists  $\xi_3 \in \mathcal{K}$  such that for all  $x \in \mathcal{X}$ :

$$DV(x)f(x) \leq -\xi_3(V(x)). \quad (11)$$

An auxiliary modulus  $\lambda$  satisfying property (a) is called a strong auxiliary modulus, and one satisfying property (b) is a weak auxiliary modulus<sup>17</sup>. A strong modulus is a weak modulus with  $\theta(s) = s$  (see<sup>17</sup>).

Note that in the case of OLoGAS- or SIoGAS-Lyapunov function we have  $\mathcal{X} = \mathbb{R}^n \setminus \mathcal{Y}$ .

**Remark 1** In<sup>15,16,17,18,19,20</sup> all given above definitions are presented in the sense of uniform stability with respect to inputs  $u$  for the system  $\dot{x} = f(x, u)$ ,  $y = h(x)$ .

The following theorem gives the necessary and sufficient Lyapunov characterizations of output stability for the system (1).

**Theorem 1**<sup>14</sup> Suppose the system (1) is UO.

(1) The following claims are equivalent for the system:

- (a) it is OLoGAS;
- (b) it admits an OLoGAS-Lyapunov function with a weak auxiliary modulus;
- (c) it admits an OLoGAS-Lyapunov function with a strong auxiliary modulus.

(2) The following claims are equivalent for the system:

- (a) it is SLoGAS;
- (b) it admits a SLoGAS-Lyapunov function.

### 3.1 | Output Finite-Time Stability

Let us present the definition of the output finite-time stability.

**Definition 5** The system (1) is said to be OFTS if it is oGAS and for any  $x_0 \in \mathbb{R}^n$  there exists  $0 \leq T_0 < +\infty$  such that  $Y(t, x_0) = 0$  for all  $t > T_0$ . The function  $T(x_0) = \inf \{T_0 \geq 0 : Y(t, x_0) = 0 \forall t \geq T_0\}$  is called the settling-time function.

**Definition 6** The system (1) is said to be OFxTS if it is OFTS and  $\sup_{x_0 \in \mathbb{R}^n} T(x_0) < +\infty$ .

**Definition 7** The set  $M$  is said to be finite-time attractive for (1) if any solution  $\Phi(t, x_0)$  of (1) reaches  $M$  in a finite instant of time  $t = T_M(x_0)$  and remains there  $\forall t \geq T_M(x_0)$ . As before,  $T_M : \mathbb{R}^n \rightarrow \mathbb{R}_+ \cup \{0\}$  is a settling-time function. The set  $M$  is fixed-time attractive if  $\sup_{x_0 \in \mathbb{R}^n} T(x_0) < +\infty$ .

The paper<sup>8</sup> deals with partial finite-time stability that is a particular case of OFTS:

**Theorem 2**<sup>8</sup> Consider the system

$$\begin{aligned} \dot{x}_1 &= f_1(x_1, x_2), & x_1(0) &= x_{10}, \\ \dot{x}_2 &= f_2(x_1, x_2), & x_2(0) &= x_{20}, \end{aligned} \quad (12)$$

where  $x_1 \in \mathbb{R}^{n_1}$ ,  $x_2 \in \mathbb{R}^{n_2}$  are the states,  $f_1 : \mathbb{R}^{n_1} \times \mathbb{R}^{n_2} \rightarrow \mathbb{R}^{n_1}$  and  $f_2 : \mathbb{R}^{n_1} \times \mathbb{R}^{n_2} \rightarrow \mathbb{R}^{n_2}$  are such that, for every  $(x_1, x_2) \in \mathbb{R}^{n_1} \times \mathbb{R}^{n_2}$ ,  $f_1(0, x_2) = 0$  and  $f_1(\cdot, \cdot)$ ,  $f_2(\cdot, \cdot)$  are jointly continuous in  $x_1$  and  $x_2$ . If there exist a continuously differentiable function  $V : \mathbb{R}^{n_1} \times \mathbb{R}^{n_2} \rightarrow \mathbb{R}$ , a class  $\mathcal{K}$  functions  $\alpha$  and  $\beta$ , a continuous function  $k : \mathbb{R}_+ \cup \{0\} \rightarrow \mathbb{R}_+$ , a real number  $\mu \in (0, 1)$  such that for  $(x_1, x_2) \in \mathbb{R}^{n_1} \times \mathbb{R}^{n_2}$

$$\alpha(|x_1|) \leq V(x_1, x_2) \leq \beta(|x_1|), \quad (13)$$

$$DV(x_1, x_2) \begin{bmatrix} f_1(x_1, x_2) \\ f_2(x_1, x_2) \end{bmatrix} \leq -k(|x_2|)V(x_1, x_2)^\mu \quad (14)$$

then for  $y = x_1$  the system (12) is OFTS uniformly in  $x_{20}$ . Moreover, there exists a settling-time function  $T : \mathbb{R}^{n_1} \times \mathbb{R}^{n_2} \rightarrow [0, \infty)$  such that

$$T(x_{10}, x_{20}) \leq q^{-1} \left( \frac{V(x_{10}, x_{20})^{1-\mu}}{1-\mu} \right), \quad (x_{10}, x_{20}) \in \mathbb{R}^{n_1} \times \mathbb{R}^{n_2},$$

where  $q : [0, \infty) \rightarrow \mathbb{R}$  is continuously differentiable and satisfies

$$\dot{q}(t) = k(|x_2(t)|), \quad q(0) = 0, \quad t \geq 0,$$

and  $T(\cdot, \cdot)$  is jointly continuous on  $\mathbb{R}^{n_1} \times \mathbb{R}^{n_2}$ .

In the paper<sup>14</sup> necessary and sufficient Lyapunov characterizations of output finite-time stability are presented for the class of OLoGAS and SLoGAS systems (1). The following lemma on OFTS property is used in the paper.

**Lemma 2**<sup>14</sup> Consider a forward complete system (1). Let a SLoGAS-Lyapunov function satisfies the inequality

$$DV(x)f(x) \leq -cV(x)^\mu \quad (15)$$

for some  $c \in \mathbb{R}_+$ ,  $\mu \in (0, 1)$  and all  $x \in \mathbb{R}^n \setminus \mathcal{Y}$ . Then the system (1) is SLoGAS and OFTS. Moreover, the settling-time function satisfies  $T(x) \leq \frac{1}{c(1-\mu)}V(x)^{1-\mu}$ .

Similarly, the result on fixed-time attractivity of a set can be presented:

**Lemma 3** Consider a forward complete system (1). Let a SLoGAS-Lyapunov function satisfies the inequality (15) for some  $c \in \mathbb{R}_+$ ,  $\mu > 1$  and all  $x \in \mathbb{R}^n \setminus \mathcal{Y}$ . Then the system (1) is SLoGAS and, for every  $\varepsilon \in \mathbb{R}_+$ , the set  $B = \{x \in \mathbb{R}^n : V(x) < \varepsilon\}$  is fixed-time attractive with  $T_{\max} = \frac{1}{c(\mu-1)\varepsilon^{\mu-1}}$ .

*Proof.* Since  $V(x)$  is a SIoGAS-Lyapunov function we have that  $V(x) > 0$  for  $h(x) \neq 0$  and  $V(0) = 0$ . Then the claim is straightforward from (15) with  $\mu > 1$ .  $\square$

Based on Lemmas 2, 3 and<sup>29</sup> the following result extends the output Lyapunov function method providing the background for OFxTS analysis (in<sup>37</sup> partial fixed-time stability is studied using similar arguments):

**Corollary 1** *Consider a forward complete system (1). Let a SIoGAS-Lyapunov function satisfies the inequality*

$$DV(x)f(x) \leq -k_1V(x)^\mu - k_2V(x)^\nu \quad (16)$$

for some  $k_1, k_2 \in \mathbb{R}_+$ ,  $\mu \in (0, 1)$ ,  $\nu > 1$  and all  $x \in \mathbb{R}^n \setminus \mathcal{Y}$ . Then the system (1) is SIoGAS and OFxTS with

$$T(x_0) \leq \frac{1}{k_1(1-\mu)} + \frac{1}{k_2(\nu-1)}.$$

*Proof.* Due to (16) we have

$$\dot{V}(x) \leq \begin{cases} -k_1V(x)^\mu & \text{for } V(x) \leq 1 \\ -k_2V(x)^\nu & \text{for } V(x) > 1 \end{cases}.$$

Hence, for any  $x_0$  such that  $V(x_0) > 1$  the last inequality guarantees the set  $\{x \in \mathbb{R}^n : V(x) \leq 1\}$  will be reached in a time  $t_0 \leq \frac{1}{k_2(\nu-1)}$ . For  $V(x_0) \leq 1$  by Lemma 2 we derive  $y(t, x_0) = 0$  for  $t \geq \frac{1}{k_1(1-\mu)}$ . Therefore, the system (1) is OFxTS and  $y(t, x_0) = 0$  for all  $t \geq \frac{1}{k_1(1-\mu)} + \frac{1}{k_2(\nu-1)}$  and  $\forall x_0 \in \mathbb{R}^n$ .  $\square$

## 3.2 | Homogeneity

Homogeneity<sup>21,38</sup> is an intrinsic property of an object, which remains consistent with respect to some scaling. This property provides many advantages to analysis and design of nonlinear control system (including finite-time stability studies).

For  $r_i \in \mathbb{R}_+$ ,  $i = 1, n$ ,  $\rho \in \mathbb{R}_+$  and  $\lambda \in \mathbb{R}_+$  define vector of weights  $r = [r_1, \dots, r_n]^T$ , dilation matrix  $D_r(\lambda) = \text{diag}\{\lambda^{r_i}\}_{i=1}^n$  and homogeneous norm

$$\|x\|_r = \left( \sum_{i=1}^n |x_i|^{\frac{\rho}{r_i}} \right)^{\frac{1}{\rho}}. \quad (17)$$

**Definition 8**<sup>21</sup> *A function  $g : \mathbb{R}^n \rightarrow \mathbb{R}$  (vector field  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$ ) is said to be  $r$ -homogeneous of degree  $d \in \mathbb{R}$  if*

$$\begin{aligned} g(D_r(\lambda)x) &= \lambda^d g(x) \\ (f(D_r(\lambda)x)) &= \lambda^d D_r(\lambda)f(x) \end{aligned}$$

for fixed  $r$ , all  $\lambda > 0$  and  $x \in \mathbb{R}^n$ .

Introduce the following compact set (homogeneous sphere)  $\mathbb{S}_r = \{x \in \mathbb{R}^n : \|x\|_r = 1\}$ , then for any  $x \in \mathbb{R}^n$  there is  $z \in \mathbb{S}_r$  such that  $x = D_r(\lambda)z$  for  $\lambda = \|x\|_r$ .

**Theorem 3**<sup>22,23</sup> *Let  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$  be defined on  $\mathbb{R}^n$  and be a continuous  $r$ -homogeneous vector field with degree  $\nu$  ( $\nu < 0$ ). If the origin of the system  $\dot{x} = f(x)$  is locally asymptotically stable then it is globally asymptotically stable (globally finite-time stable) and for any  $\mu > \max\{0, -\nu\}$  there exists a continuously differentiable Lyapunov function  $V$  which is  $r$ -homogeneous with the degree  $\mu$ . As a direct consequence, the derivative  $DV(x)f(x)$  is  $r$ -homogeneous of degree  $\mu + \nu$ .*

According to<sup>21,23</sup> for such a  $V$  there exist constants  $c_1, c_2, \bar{a}, b \in \mathbb{R}_+$ , such that

$$c_1 \|x\|_r^\mu \leq V(x) \leq c_2 \|x\|_r^\mu \quad \forall x \in \mathbb{R}^n, \quad (18)$$

$$\frac{\partial V(z)}{\partial z} f(z) \leq -\bar{a}, \quad \left| \frac{\partial V(z)}{\partial z} \right| \leq b \quad \forall z \in \mathbb{S}_r. \quad (19)$$

A nonlinear system  $\dot{x} = f(x, u)$  is homogeneously stabilizable with degree  $\nu \in \mathbb{R}$  if there exists a feedback  $u(x)$  such that the closed-loop system is homogeneous of degree  $\nu$  and globally asymptotically stable. In this case the feedback  $u(x)$  is called homogenizing of degree  $\nu$ .

## 4 | MAIN RESULT

### 4.1 | On Sufficient Condition for Output Finite-Time Stability

Consider the system in the form

$$\dot{x} = f(x), \quad y = h(x) \quad (20)$$

where  $x \in \mathbb{R}^n$  is state vector,  $y \in \mathbb{R}^p$  is output, the vector field  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$  ensures forward existence and uniqueness of the system solutions at least locally in time, the function  $h : \mathbb{R}^n \rightarrow \mathbb{R}^p$  is continuous,  $f(0) = 0$  and  $h(0) = 0$ .

**Remark 2** Note that assumptions A.2 and A.3 are relaxed for the system (20). Thus, the system under consideration is of a wider class than in <sup>14,15,16,17,18,19,20</sup>.

The following theorem provides a sufficient condition for output finite-time stability of the system (20). In contrast to all previously given results, which utilize OLoGAS and SIoGAS Lyapunov functions, here a more general oGAS scenario is considered.

**Theorem 4** *Let there exist differentiable on  $\mathbb{R}^n \setminus \mathcal{Y}$  functions  $U : \mathbb{R}^n \rightarrow \mathbb{R}_+ \cup \{0\}$  and  $W : \mathbb{R}^n \rightarrow \mathbb{R}_+ \cup \{0\}$  such that for  $\xi_1, \xi_2 \in \mathcal{K}_\infty$  the following conditions are satisfied*

$$\xi_1(|h(x)|) \leq U(x) \leq \xi_2(|h(x)|), \quad \forall x \in \mathbb{R}^n, \quad (21)$$

$$V(x) = U(x) + W(x), \quad (22)$$

$$DV(x)f(x) \leq -aU(x)^\alpha, \quad \forall x \in \mathbb{R}^n \setminus \mathcal{Y}, \quad (23)$$

$$|DW(x)f(x)| \leq \sum_{i=1}^N b_i U(x)^{\beta_i}, \quad \forall x \in \mathbb{R}^n \setminus \mathcal{Y}, \quad (24)$$

where  $a, b_i \in \mathbb{R}_+$ ,  $\beta_i > \alpha$ ,  $\alpha \in (0, 1)$ ,  $i = \overline{1, N}$ ,  $N \in \mathbb{N}$ . Then the system (20) is OFTS provided that it is UO.

*Proof.* Since the inequality (23) is satisfied, then  $DV(x)f(x) \leq 0$  that due to non-negative definiteness of  $W$  and (21) implies boundedness of the output  $y$ . Hence, by introduced assumptions the trajectories of the system are unique and defined for all  $t \geq 0$ .

For some sufficiently small  $\vartheta \in \mathbb{R}_+$  the inequalities (22)-(24) imply that

$$\begin{aligned} DU(x)f(x) &\leq -aU(x)^\alpha - DW(x)f(x) \\ &\leq -aU(x)^\alpha + \sum_{i=1}^N b_i U(x)^{\beta_i} \\ &< 0 \end{aligned} \quad (25)$$

for all  $x \in \mathcal{A} = \{\mathbb{R}^n \setminus \mathcal{Y} : U(x) \leq \vartheta\}$  due to  $\alpha < \beta_i$ ,  $i = \overline{1, N}$ , and due to (21) the set  $\mathcal{A}$  is forward invariant. On the other hand, due to (23) we have  $DV(x)f(x) \leq -a\vartheta^\alpha$  for all  $x \in \mathbb{R}^n \setminus (\mathcal{A} \cup \mathcal{Y})$ , and due to (22) the set  $\mathcal{A}$  will be reached in a finite time. Hence, we have that  $\lim_{t \rightarrow +\infty} U(x(t)) = 0$ . Moreover, it has been shown that for any  $\varepsilon > 0$  and  $\delta > 0$  there is  $T(\varepsilon, \delta) > 0$  such that  $U(x(t)) \leq \varepsilon$  for all  $t \geq T(\varepsilon, \delta)$  provided that  $|x(0)| \leq \delta$  and, consequently, the system (20) is oGAS.

Since  $\lim_{t \rightarrow +\infty} U(x(t)) = 0$ , there exists an instant of time  $\tau > 0$  such that

$$-aU(x(t))^\alpha + \sum_{i=1}^N b_i U(x(t))^{\beta_i} \leq -0.5aU(x(t))^\alpha \quad (26)$$

for all  $t \geq \tau$  due to  $\alpha < \beta_i$ . Therefore, by Lemma 2 the function  $U(x)$  converges to 0 in a finite time. Then the system (20) is OFTS and the settling-time is bounded as follows:

$$T(x_0) \leq \tau + \frac{U_\tau^{1-\alpha}}{0.5a(1-\alpha)},$$

where  $U_\tau = U(x(\tau))$ . □

Note that choosing  $W(x) = 0$  the conditions of Theorem 4 became similar to Theorem 2.

The following theorem provides a sufficient condition for output fixed-time stability of the system (20).

**Theorem 5** *Let the conditions of Theorem 4 are satisfied with (23) replaced by*

$$DV(x)f(x) \leq -a_1 U(x)^{\alpha_1} - a_2 U(x)^{\alpha_2}, \quad \forall x \in \mathbb{R}^n \setminus \mathcal{Y}, \quad (27)$$

and

$$W(x) \leq \sigma(\rho + |h(x)|), \quad (28)$$

where  $a_1, a_2 \in \mathbb{R}_+$ ,  $\alpha_1 \in (0, 1)$ ,  $\alpha_2 > 1$ , and  $\beta_i$ ,  $i = \overline{1, N}$  in (24) satisfies  $\beta_i \in (\alpha_1, \alpha_2)$ ,  $\sigma \in \mathcal{K}_\infty$  and  $\rho \in \mathbb{R}_+ \cup \{0\}$ . Then the system (20) is OFxTS provided that it is UO.

*Proof.* Analogously to the proof of Theorem 4 one can show that  $\lim_{t \rightarrow +\infty} U(x(t)) = 0$ , and the system (20) is oGAS. Then due to (27) we have

$$\begin{aligned} DU(x)f(x) &\leq -a_1U(x)^{\alpha_1} - a_2U(x)^{\alpha_2} - DW(x)f(x) \\ &\leq -a_1U(x)^{\alpha_1} - a_2U(x)^{\alpha_2} + \sum_{i=1}^N b_iU(x)^{\beta_i} \end{aligned} \quad (29)$$

for all  $x \in \mathbb{R}^n \setminus \mathcal{Y}$ . Since  $\alpha_2 > \beta_i$  then there exists a constant  $U_{\tau_1} > 0$  such that

$$-a_1U(x)^{\alpha_1} - a_2U(x)^{\alpha_2} + \sum_{i=1}^N b_iU(x)^{\beta_i} < -0.5a_2U(x)^{\alpha_2}$$

for all  $x \in \mathbb{R}^n \setminus \{M\}$ , where  $M = \{x \in \mathbb{R}^n : U(x) \leq U_{\tau_1}\}$ . Therefore,

$$DU(x)f(x) \leq -\frac{a_2}{2}U(x)^{\alpha_2} \quad \text{for } x \in \mathbb{R}^n \setminus \{M\}.$$

Hence, due to Lemma 3 the set  $M$  is fixed-time attractive with the following settling-time estimate

$$T_M(x_0) \leq \frac{1}{0.5a_2(\alpha_2 - 1)U_{\tau_1}^{\alpha_2 - 1}}.$$

By the same arguments, there exists  $U_{\tau_2} \leq U_{\tau_1}$  such that

$$-a_1U(x)^{\alpha_1} - a_2U(x)^{\alpha_2} + \sum_{i=1}^N b_iU(x)^{\beta_i} \leq -0.5a_1U(x)^{\alpha_1} \quad (30)$$

for all  $x \in B = \{x \in \mathbb{R}^n : U(x) \leq U_{\tau_2}\}$ . The function  $V$  is uniformly bounded on  $M \setminus B$  due to (28) and its time derivative is separated from zero due to (27). On  $M \setminus B$  we have

$$U_{\tau_2} \leq V(x) \leq k_1, \quad DV(x)f(x) \leq -k_2,$$

where  $k_1 = U_{\tau_1} + \sigma(\rho + \xi_1^{-1}(U_{\tau_1}))$ ,  $k_2 = a_1U_{\tau_2}^{\alpha_1} + a_2U_{\tau_2}^{\alpha_2}$ . Hence, the set  $B$  will be reached in a finite time  $t \leq \tau_2$ , where

$$\tau_2 \leq \frac{1}{0.5a_2(\alpha_2 - 1)U_{\tau_1}^{\alpha_2 - 1}} + \frac{k_1 - U_{\tau_2}}{k_2}.$$

Finally, due to (30), the system (20) is OFxTS and the settling-time is bounded as follows (note that  $U_{\tau_1}$ ,  $U_{\tau_2}$ ,  $k_1$  and  $k_2$  do not depend on initial conditions, their values are completely predefined by the properties of  $V$ ,  $U$ ,  $W$  and the system dynamics):

$$T(x_0) \leq \frac{1}{0.5a_2(\alpha_2 - 1)U_{\tau_1}^{\alpha_2 - 1}} + \frac{U_{\tau_2}^{1 - \alpha_1}}{0.5a_1(1 - \alpha_1)} + \frac{k_1 - U_{\tau_2}}{k_2}.$$

□

In Theorem 4, the values of  $b_i$  and  $\beta_i$  for  $i = \overline{1, N}$  may depend on  $V(x(0))$ . In Theorem 5, such a dependence is admitted for  $\beta_i$ ,  $i = \overline{1, N}$  since they belong to a bounded interval  $(\alpha_1, \alpha_2)$ , while for  $b_i$ ,  $i = \overline{1, N}$  a dependence on  $V(x(0))$  or  $W(x(0))$  is allowed if there exists a uniform upper bound.

**Remark 3** In general, none of the functions  $U(x)$ ,  $W(x)$ ,  $V(x)$  is an output Lyapunov function. However, with respect to Definition 4 we have:

- if  $V(x)$  is smooth and  $W(x) \leq \xi_3(|h(x)|)$  for  $\xi_3 \in \mathcal{K}$ , then  $V(x)$  is SIOGAS-Lyapunov function;
- if  $U(x)$  is smooth and  $DW(x)f(x) \geq 0$ , then  $U(x)$  is SIOGAS-Lyapunov function and the settling-time function in Theorem 4 is bounded by  $T(x_0) \leq \frac{U_0^{1-\alpha}}{a(1-\alpha)}$ , where  $U_0 = U(x_0)$ .
- Due to (25) and (26) ((29) and (30) for Theorem 5)  $U(x)$  can be considered as local SIOGAS-Lyapunov function for  $x_0 \in \mathcal{A}$ .



**Example 1** Consider the system (20) with

$$x = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}, \quad f(x) = \begin{bmatrix} -\text{sign}(x_1)|x_1|^{0.5} + x_2^2 x_1 \\ -|x_1|^{1.5} x_2 \end{bmatrix}, \quad y = x_1.$$

The system admits UO property, and for  $U(x) = |x_1|^{1.5}$  and  $W(x) = 0.75x_2^2$  the conditions (21)-(24) are satisfied with  $DV(x)f(x) \leq -1.5U(x)^{2/3}$  and  $|DW(x)f(x)| \leq 1.5x_2(0)^2U(x) \leq 2V(x(0))U(x)$ . Then the system is OFTS.

**Example 2** Consider the system

$$\begin{aligned} \dot{x}_1 &= -\text{sign}(x_1)|x_1|^{0.5} + 2x_1 \sin(x_2) - \text{sign}(x_1)x_1^2, \\ \dot{x}_2 &= |x_1|^{1.5} + \sin(x_2) \sin^2(x_1), \\ y &= x_1. \end{aligned}$$

The system admits UO property (the right-hand side is bounded for a bounded value of  $y$ ). For  $U(x) = |x_1|^{1.5}$  and  $W(x) = 3(1 + \cos(x_2))$  the conditions of Theorem 5 are satisfied with  $a_1 = a_2 = 1.5$ ,  $b_1 = 6$ ,  $\alpha_1 = 2/3$ ,  $\alpha_2 = 5/3$  and  $\beta_1 = 1$ . Then the system is OFxTS. Note also that for this system there is no Lyapunov function purely dependent on  $x_1$  guaranteeing a fixed-time convergence for this coordinate as in<sup>37</sup>.

## 4.2 | Adaptive Control Design

The presented result can be utilized for adaptive finite-time or fixed-time control design. Consider the system

$$\dot{x}(t) = f(x(t), u(t), \theta), \quad x(0) = x_0, \quad t \geq 0, \quad (31)$$

where  $x(t) \in \mathbb{R}^n$  is the measurable state vector,  $u(t) \in \mathbb{R}^m$  is the control input,  $\theta \in \mathbb{R}^q$  is the vector of unknown parameters and  $f : \mathbb{R}^n \times \mathbb{R}^m \times \mathbb{R}^q \rightarrow \mathbb{R}^n$ . An adaptive control for the system (31) can be presented in the form<sup>9</sup>

$$\begin{aligned} u(t) &= g(x(t), \omega(t)), \\ \dot{\omega}(t) &= \kappa(x(t)), \end{aligned} \quad (32)$$

where  $\omega \in \mathbb{R}^q$  is the vector of adjustable control parameters,  $g$  and  $\kappa$  are mappings defined as  $g : \mathbb{R}^n \times \mathbb{R}^q \rightarrow \mathbb{R}^m$  and  $\kappa : \mathbb{R}^n \rightarrow \mathbb{R}^q$  (in contrast to<sup>33,37</sup> we will not assume that  $q = 1$  and  $\kappa(x)$  is a nonnegative function). Then the problem of adaptive control design considered in this work is to provide output finite-time stability (finite-time partial stability) of the system (31), (32) with the output  $y = x$  and extended state vector  $\tilde{x} = [x^T \ \omega^T]^T$  (i.e., we are interested only in convergence of  $x$  to the origin).

To demonstrate how the result of Theorem 4 can be utilized for adaptive finite-time control design let us consider the system (31) in the form

$$\dot{x} = Ax + B(\phi(x)^T \theta + u), \quad (33)$$

where  $x \in \mathbb{R}^n$ ,  $u \in \mathbb{R}$ , the pair of system matrix  $A \in \mathbb{R}^{n \times n}$  and control gain matrix  $B \in \mathbb{R}^{n \times 1}$  is controllable and  $\phi : \mathbb{R}^n \rightarrow \mathbb{R}^q$  is known. Then following Theorem 4 one may obtain:

**Theorem 6** Let  $u_{FTS} : \mathbb{R}^n \rightarrow \mathbb{R}$  be a continuous feedback control such that the system

$$\dot{x} = Ax + Bu_{FTS}(x), \quad (34)$$

is finite-time stable and  $r$ -homogeneous of degree  $\nu < 0$ . Let  $V_{FTS} : \mathbb{R}^n \rightarrow \mathbb{R}_+ \cup \{0\}$  be a continuously differentiable  $r$ -homogeneous of degree  $\mu$  ( $\mu > -\nu$ ) Lyapunov function for (34). Let  $|\phi(x)| < c\|x\|_r^\delta$  for some  $c \in \mathbb{R}_+$  and  $\delta > \nu + r_{\max}$ ,  $r_{\max} = \max_{1 \leq j \leq n} r_j$ . Then the system (33) with adaptive control

$$\begin{aligned} u(x, \omega) &= u_{FTS}(x) - \phi(x)^T \omega \\ \dot{\omega} &= \gamma \phi(x) \left( \frac{\partial V_{FTS}(x)}{\partial x} B \right)^T \end{aligned} \quad (35)$$

for any  $\gamma \in \mathbb{R}_+$  is finite-time stable at the origin and the variable  $\omega$  remains bounded.

*Proof.* According to Theorem 3  $DV_{FTS}(x)(Ax + Bu_{FTS}(x))$  is  $r$ -homogeneous of degree  $\mu + \nu$ . Then, using the homogeneity property and (18), (19) one can obtain

$$\begin{aligned} DV_{FTS}(x)(Ax + Bu_{FTS}(x)) &= \|x\|_r^{\mu+\nu} \frac{\partial V_{FTS}(z)}{\partial z} (Az + Bu_{FTS}(z)) \\ &\leq -aV_{FTS}(x)^\alpha \end{aligned}$$

with  $z \in \mathbb{S}_r$ ,  $0 < \alpha = \frac{\mu+\nu}{\mu} < 1$  and some  $a \in \mathbb{R}_+$ . Then choosing a candidate Lyapunov function for the system (33), (35) with extended state vector  $\tilde{x} = [x^T \ \omega^T]^T$  as

$$V(\tilde{x}) = V_{FTS}(x) + 0.5\gamma^{-1}(|\theta - \omega|)^2$$

we obtain

$$\begin{aligned} DV(\tilde{x}) (Ax + B(\phi(x)^T \theta + u(\tilde{x}))) &\leq -aV_{FTS}(x)^\alpha + \frac{\partial V_{FTS}(x)}{\partial x} B \phi(x)^T (\theta - \omega) - \gamma^{-1} \dot{\omega}^T (\theta - \omega) \\ &= -aV_{FTS}(x)^\alpha. \end{aligned}$$

Thus, the conditions (21)-(23) are satisfied with  $U(\tilde{x}) = V_{FTS}(x)$ ,  $W(\tilde{x}) = 0.5\gamma^{-1}(|\theta - \omega|)^2$  and according to the proof of Theorem 4 the system is oGAS with  $y = x$ .

Since  $DV(\tilde{x}) (Ax + B(\phi(x)^T \theta + u(\tilde{x}))) \leq 0$  and the amplitudes of  $x$  and  $\omega$  are bounded by the corresponding functions of initial conditions, we have

$$\begin{aligned} \left| DW(\tilde{x}) (Ax + B(\phi(x)^T \theta + u(\tilde{x}))) \right| &= \left| \frac{\partial V_{FTS}(x)}{\partial x} B \phi(x)^T (\theta - \omega) \right| \\ &\leq \epsilon \left| \frac{\partial V_{FTS}(x)}{\partial x} B \right| |\phi(x)|, \end{aligned} \quad (36)$$

for some  $\epsilon \in \mathbb{R}_+$  dependent on initial conditions. Finally, with the use of<sup>22</sup> and (18), (19) we obtain

$$\begin{aligned} \left| DW(\tilde{x}) (Ax + B(\phi(x)^T \theta + u(\tilde{x}))) \right| &\leq c e \left| \frac{\partial V_{FTS}(x)}{\partial x} B \right| \|x\|_r^\delta \\ &\leq c e \left| \frac{\partial V_{FTS}(z)}{\partial z} \right| |B| |D_r^{-1}(\|x\|_r)| \|x\|_r^{\delta+\mu} \\ &\leq c e b |B| \max \left\{ \|x\|_r^{\delta+\mu-r_{\min}}, \|x\|_r^{\delta+\mu-r_{\max}} \right\} \\ &\leq c e b |B| \max \left\{ c_1^{-\beta_{\max}}, c_1^{-\beta_{\min}} \right\} \max \{ V_{FTS}(x)^{\beta_{\max}}, V_{FTS}(x)^{\beta_{\min}} \} \\ &= \tilde{b} \max \{ V_{FTS}(x)^{\beta_{\max}}, V_{FTS}(x)^{\beta_{\min}} \}, \end{aligned}$$

where  $z \in \mathbb{S}_r$ ,  $D_r(\cdot)$  is the dilation matrix,  $\tilde{b} = c e b |B| \max \left\{ c_1^{-\beta_{\max}}, c_1^{-\beta_{\min}} \right\}$  and  $\beta_{\min} = \frac{\mu-r_{\max}+\delta}{\mu} > \alpha$ ,  $\beta_{\max} = \frac{\mu-r_{\min}+\delta}{\mu}$  with  $r_{\min} = \min_{1 \leq j \leq n} r_j$ . Thus, all conditions of Theorem 4 are verified.  $\square$

**Example 3** To illustrate the application of the proposed adaptive scheme, let us consider a plant defined by

$$\begin{aligned} \dot{x}_1 &= x_2, \\ \dot{x}_2 &= \theta_1 \sin(x_1 x_2) + \theta_2 x_2^2 + u, \end{aligned}$$

where  $\theta = [\theta_1 \ \theta_2]^T$  is the vector of unknown constant parameters and  $\phi(x) = [\sin(x_1 x_2) \ x_2^2]^T$ .

In this case (34) is the double integrator system. According to<sup>26</sup> we choose the finite-time control  $u_{FTS}$  in the form

$$u_{FTS}(x) = -[x_2]^\alpha - [\chi_\alpha]^{\frac{\alpha}{2-\alpha}},$$

where  $\chi_\alpha = x_1 + \frac{1}{2-\alpha} [x_2]^{2-\alpha}$ ,  $\alpha \in (0, 1)$  and  $[x]^\beta = |x|^\beta \text{sign}(x)$ . This finite-time control homogenizes the double integrator system of degree  $\alpha - 1 < 0$  with the vector of weights  $r = [2 - \alpha, 1]^T$ , and the corresponding homogeneous Lyapunov function can be chosen in the form

$$V_{FTS}(x) = \frac{2-\alpha}{3-\alpha} |\chi_\alpha|^{\frac{3-\alpha}{2-\alpha}} + s x_2 \chi_\alpha + \frac{l}{3-\alpha} |x_2|^{3-\alpha},$$

where  $l$  and  $s$  are positive reals. Then for  $\alpha = 0.5$ ,  $\delta = \rho = 2$  and  $c = 1.5$  the condition  $|\phi(x)| < c \|x\|_r^\delta$  is satisfied, and according to Theorem 6 the system is finite-time stable with the use of adaptive control (35) in the form

$$\begin{aligned} u(x, \omega) &= -[x_2]^\alpha - [\chi_\alpha]^{\frac{\alpha}{2-\alpha}} - \phi(x)^T \omega, \\ \dot{\omega} &= \gamma \phi(x) \left( [\chi_\alpha]^{\frac{1}{2-\alpha}} |x_2|^{1-\alpha} + s \chi_\alpha + (s+r)x_2 |x_2|^{1-\alpha} \right). \end{aligned}$$

The results of simulation are shown in Fig. 1 for  $\theta = [3 \ -2]^T$ ,  $\gamma = l = s = 1$ . The results of simulation with using the logarithmic scale are shown in Fig. 2 in order to demonstrate finite-time convergence rate of  $|x|$ . The transients for the control  $u(x) = u_{FTS}(x)$  are shown in Fig. 3 indicating that the control without adaptive term may not guarantee stability of the system.

**Remark 4** In<sup>27</sup> it was shown that for a stable homogeneous system  $\dot{x} = f(x)$  there exists an implicitly defined homogeneous Lyapunov function  $Q(V_{FTS}, x) = 0$ , where

$$Q(V_{FTS}, x) = \Psi(x)^T D_r(V_{FTS}^{-1}) P D_r(V_{FTS}^{-1}) \Psi(x) - 1,$$

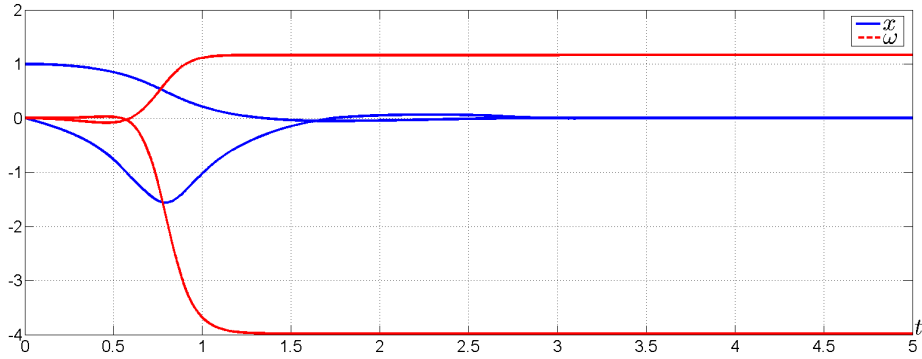


FIGURE 1 System states  $x$ ,  $\omega$  versus time

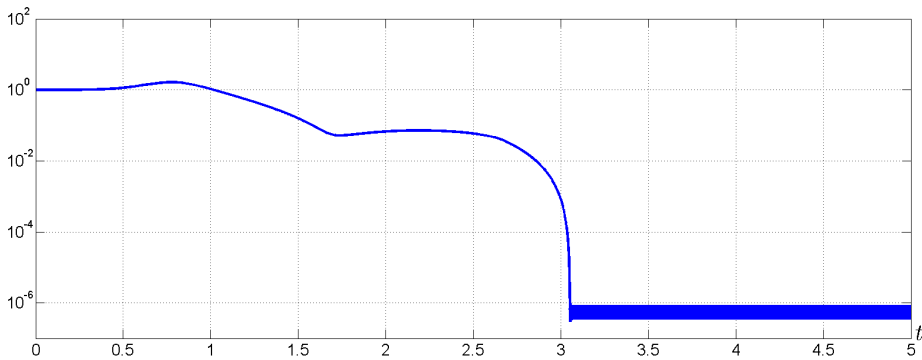


FIGURE 2 Simulation plot of  $|x|$

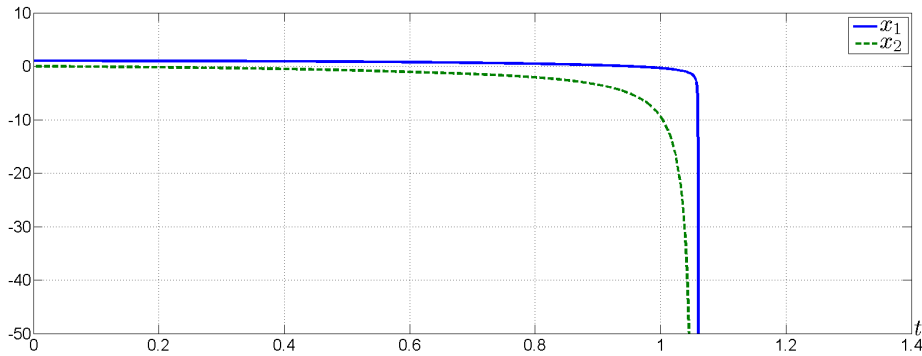


FIGURE 3 System states  $x$  for the control without adaptive term

$\Psi$  is diffeomorphism on  $\mathbb{R}^n \setminus \{0\}$ , the homeomorphism on  $\mathbb{R}^n$ ,  $\Psi(0) = 0$ . In this case by means of the implicit function theorem<sup>28</sup> we have

$$\frac{\partial V_{FTS}}{\partial x} = - \left[ \frac{\partial Q}{\partial V_{FTS}} \right]^{-1} \frac{\partial Q}{\partial x} \quad \text{for } Q(V_{FTS}, x) = 0.$$

The implicit Lyapunov function method is well established for homogenizing finite-time control design. For example, in order to choose homogenizing control for the system (34) the results of<sup>24,25</sup> may be used.

The presented results can be extended to linear geometric homogeneous systems using their equivalence to standard homogeneous ones<sup>27</sup>.

**Remark 5** The control scheme (35) presented in Theorem 6 can be applied with not necessary homogenising control laws  $u_{FTS}$ . Since for finite-time stable system there exists a Lyapunov function that  $DV_{FTS}(x)(Ax + Bu_{FTS}(x)) \leq -aV_{FTS}(x)^\alpha$ ,  $a \in \mathbb{R}_+$ ,  $\alpha \in (0, 1)$  then the main condition for applying the scheme (35) is

$$\left| \frac{\partial V_{FTS}(x)}{\partial x} B \right| |\phi(x)| \leq \sum_{i=1}^N b_i V_{FTS}(x)^{\beta_i}, \quad (37)$$

where  $b_i \in \mathbb{R}_+$ ,  $\beta_i > \alpha$ ,  $i = \overline{1, N}$  and  $N \in \mathbb{N}$ .

Let the system (33) satisfy the following assumption:

**(A.4)** The unknown parameters are from a compact set, i.e.,  $|\theta| \leq \theta_{\max}$  for a known  $\theta_{\max} \in \mathbb{R}_+$ .

Then the results similar to Theorem 6 can be obtained for Theorem 5 by replacing a finite-time stabilizing control  $u_{FTS}$  with a fixed-time one:

**Theorem 7** Let assumption A.4 be satisfied and  $u_{FxTS} : \mathbb{R}^n \rightarrow \mathbb{R}$  be stabilizing in a fixed time control law for the system

$$\dot{x} = Ax + Bu_{FxTS}(x) \quad (38)$$

and  $V_{FxTS}(x)$  be the corresponding Lyapunov function satisfying

$$DV_{FxTS}(x)(Ax + Bu_{FxTS}(x)) \leq -a_1 V_{FxTS}(x)^{\alpha_1} - a_2 V_{FxTS}(x)^{\alpha_2},$$

$$\xi_1(|x|) \leq V_{FxTS}(x) \leq \xi_2(|x|)$$

with  $a_1, a_2 \in \mathbb{R}_+$ ,  $\alpha_1 \in (0, 1)$ ,  $\alpha_2 > 1$  and  $\xi_1, \xi_2 \in \mathcal{K}_\infty$ . Then the system (33) with adaptive control

$$\begin{aligned} u(x, \omega) &= u_{FxTS}(x) - \theta_{\max} \phi(x)^T [\arctan(\omega_1), \dots, \arctan(\omega_q)]^T \\ \dot{\omega} &= \gamma \theta_{\max}^{-1} \text{diag}\{1 + \omega_i^2\}_{i=1}^q \phi(x) \left( \frac{\partial V_{FxTS}(x)}{\partial x} B \right)^T \end{aligned} \quad (39)$$

for any  $\gamma \in \mathbb{R}_+$  is fixed-time stable at the origin if

$$\left| \frac{\partial V_{FxTS}(x)}{\partial x} B \right| |\phi(x)| \leq \sum_{i=1}^N b_i V_{FxTS}(x)^{\beta_i} \quad (40)$$

is satisfied for  $b_i \in \mathbb{R}_+$ ,  $\beta_i \in (\alpha_1, \alpha_2)$ ,  $i = \overline{1, N}$  and  $N \in \mathbb{N}$ .

*Proof.* Choose a candidate Lyapunov function for the system (33), (35) with extended state vector  $\tilde{x} = [x^T \ \omega^T]^T$  in the form

$$V(\tilde{x}) = V_{FxTS}(x) + 0.5\gamma^{-1} |\tilde{\theta}|^2,$$

where  $\tilde{\theta} = \theta - \theta_{\max} [\arctan(\omega_1), \dots, \arctan(\omega_q)]^T$ . Then we obtain

$$\begin{aligned} DV(\tilde{x})(Ax + B(\phi(x)^T \theta + u(\tilde{x}))) &\leq -a_1 V_{FxTS}(x)^{\alpha_1} - a_2 V_{FxTS}(x)^{\alpha_2} + \frac{\partial V_{FxTS}(x)}{\partial x} B \phi(x)^T \tilde{\theta} \\ &\quad - \gamma^{-1} \theta_{\max} \dot{\omega}^T \text{diag}\left\{ \frac{1}{1 + \omega_i^2} \right\}_{i=1}^q \tilde{\theta} \\ &= -a_1 V_{FxTS}(x)^{\alpha_1} - a_2 V_{FxTS}(x)^{\alpha_2}. \end{aligned}$$

Thus, the conditions (21), (22), (27) are satisfied with  $U(\tilde{x}) = V_{FxTS}(x)$ ,  $W(\tilde{x}) = 0.5\gamma^{-1} |\tilde{\theta}|^2$  and the system is oGAS. Due to assumption A.4 is satisfied,  $W(\tilde{x}) \leq 0.5\gamma^{-1} \theta_{\max}^2 (1 + 0.5\sqrt{q}\pi)^2$  is globally bounded, i.e., the condition (28) is satisfied. Finally, by (40) the inequality (24) holds and all conditions of Theorem 5 are satisfied.  $\square$

**Remark 6** The result of Theorem 7 can be applied for the case of time-varying parameter  $\theta(t)$  under the assumption  $|\theta(t)| \leq \theta_{\max}$  for all  $t \geq 0$ . Let  $\theta^*$  be the mean value of  $\theta(t)$  and  $|\theta(t) - \theta^*| \leq \epsilon^*$  for some  $\epsilon^* \in (0, \theta_{\max})$ . Let the condition

$$\epsilon^* \sum_{i=1}^N b_i < \min\{a_1, a_2\}$$

is satisfied, then replacing  $\theta$  by  $\theta^*$  in the expression of  $V$  and taking into account (40) we obtain

$$\begin{aligned} DV(\tilde{x})(Ax + B(\phi(x)^T \theta + u(\tilde{x}))) &\leq -a_1 V_{FxTS}(x)^{\alpha_1} - a_2 V_{FxTS}(x)^{\alpha_2} + \frac{\partial V_{FxTS}(x)}{\partial x} B \phi(x)^T (\theta(t) - \theta^*) \\ &\leq -(a_1 - \epsilon^* \sum_{i=1}^N b_i) V_{FxTS}(x)^{\alpha_1} - (a_2 - \epsilon^* \sum_{i=1}^N b_i) V_{FxTS}(x)^{\alpha_2} \end{aligned}$$

for  $V(\tilde{x}) = U(\tilde{x}) + W(\tilde{x})$ ,  $U(\tilde{x}) = V_{FxTS}(x)$ ,  $W(\tilde{x}) = 0.5\gamma^{-1} \left( \left| \theta^* - \theta_{\max} [\arctan(\omega_1), \dots, \arctan(\omega_q)]^T \right| \right)^2$ , i.e., the condition (27) is satisfied. The rest conditions of Theorem 5 are also valid according to the proof of Theorem 7. Thus, for sufficiently

small variations of  $\theta(t)$  ( $\epsilon^*$  is sufficiently small) the result of Theorem 7 remains relevant. Similarly, the result of Theorem 6 remains relevant for the case of time-varying parameter  $\theta(t)$  under additional condition:

$$\epsilon^* \left| \frac{\partial V_{FTS}(x)}{\partial x} B \right| |\phi(x)| \leq a^* V_{FTS}^\alpha,$$

where  $a^* < a$ ,  $\epsilon^* \in \mathbb{R}_+$  :  $|\theta(t) - \theta^*| \leq \epsilon^*$ .

The homogeneity property can be used for fixed-time control design. For example, the concept of homogeneity in bi-limit introduced in<sup>30</sup> provides that an asymptotically stable system is fixed-time stable if it is homogeneous of negative degree in 0-limit and homogeneous of positive degree in  $\infty$ -limit. Based on this the fixed-time convergence can be achieved by changing the homogeneity degree in hybrid algorithms (see, for example,<sup>24,31,32</sup>).

**Corollary 2** Let  $u_{FxTS} : \mathbb{R}^n \rightarrow \mathbb{R}$  be such that  $u_{FxTS}(x) = u_1(x)$  for  $x \in \Omega$  and  $u_{FxTS}(x) = u_2(x)$  for  $x \in \mathbb{R}^n \setminus \Omega$ , where  $\Omega$  is neighborhood of the origin,  $u_i : \mathbb{R}^n \rightarrow \mathbb{R}$  are  $r_i$ -homogeneous functions such that  $u_1(x) = u_2(x)$  for  $x \in \partial\Omega$  (boundary of  $\Omega$ ). Let the system (38) be fixed-time stable and its continuously differentiable FxTS Lyapunov function  $V_{FxTS}$  be such that it is  $r_1$ -homogeneous of degree  $\mu_1$  for  $x \in \Omega$  and  $r_2$ -homogeneous of degree  $\mu_2$  for  $x \in \mathbb{R}^n \setminus \Omega$ . Let

$$|\phi(x)| < \begin{cases} \eta_1 \|x\|_{r_1}^{\delta_1} & \text{for } x \in \Omega, \\ \eta_2 \|x\|_{r_2}^{\delta_2} & \text{for } x \in \mathbb{R}^n \setminus \Omega \end{cases}$$

for some  $\eta_1, \eta_2 \in \mathbb{R}_+$  and  $\delta_1 > \nu_1 + r_{1\max}$ ,  $\delta_2 < \nu_2 + r_{2\min}$ ,  $r_{1\max} = \max_{1 \leq j \leq n} r_{1j}$ ,  $r_{2\min} = \min_{1 \leq j \leq n} r_{2j}$ . Then with adaptive control in the form (39) the system (33) is fixed-time stable at the origin.

*Proof.* The proof is a direct consequence of Theorem 7 and the homogeneity property.  $\square$

**Remark 7** As it is shown in the following example the given result can be extended for the case when  $V_{FxTS}$  is continuously differentiable for  $x \notin \{0\} \cup \partial\Omega$ .

**Example 4** Consider the system

$$\begin{aligned} \dot{x}_1 &= x_2, \\ \dot{x}_2 &= \theta_1 \sin(x_1 x_2) + \theta_2 x_2 + u, \end{aligned}$$

where  $\theta = [\theta_1 \ \theta_2]^T$  is the vector of unknown constant parameters. According to<sup>24</sup> choose  $u_{FxTS}$  in the form

$$u_{FxTS} = \begin{cases} V_{FxTS}^{1+\nu_1} k D_{r_1}(V_{FxTS}^{-1})x & \text{for } x^T X^{-1}x < 1 \\ V_{FxTS}^{1+2\nu_2} k D_{r_2}(V_{FxTS}^{-1})x & \text{for } x^T X^{-1}x \geq 1 \end{cases}$$

with

- $\nu_1 \in (-1, 0)$ ,  $\nu_2 \in \mathbb{R}_+$ ,  $r_1 = [1 - \nu_1 \ 1]^T$ ,  $r_2 = [1 \ 1 + \nu_2]^T$ ;
- $k = YX^{-1}$ , where  $Y \in \mathbb{R}^{2 \times 1}$ ,  $X \in \mathbb{R}^{2 \times 2}$  is a solution of linear matrix inequalities

$$\begin{aligned} AX + XA^T + BY + Y^T B^T + \zeta_1 X &< 0, \quad X > 0, \\ \zeta_2 X &\geq X \text{diag}\{r_{1i}\}_{i=1}^2 + \text{diag}\{r_{1i}\}_{i=1}^2 X > 0, \\ \zeta_3 X &\geq X \text{diag}\{r_{2i}\}_{i=1}^2 + \text{diag}\{r_{2i}\}_{i=1}^2 X > 0, \end{aligned}$$

for some  $\zeta_1, \zeta_2, \zeta_3 \in \mathbb{R}_+$ ;

- $V_{FxTS} \in \mathbb{R}_+$  is defined implicitly by

$$\begin{cases} Q_1(V_{FxTS}, x) = 0 & \text{for } x^T X^{-1}x < 1 \\ Q_2(V_{FxTS}, x) = 0 & \text{for } x^T X^{-1}x \geq 1 \end{cases},$$

where

$$\begin{aligned} Q_1(V_{FxTS}, x) &= x^T D_{r_1}(V_{FxTS}^{-1})X^{-1}D_{r_1}(V_{FxTS}^{-1})x - 1, \\ Q_2(V_{FxTS}, x) &= x^T D_{r_2}(V_{FxTS}^{-1})X^{-1}D_{r_2}(V_{FxTS}^{-1})x - 1. \end{aligned}$$

In order to find  $V_{FxTS}$  the numerical procedures can be used (for example, the bisection method may be utilized (see, e.g.<sup>25</sup>).

The control  $u_{F_{xT}S}$  homogenizes the system (38) of degree  $v_1 < 0$  for  $x^T X^{-1}x < 1$  and  $v_2 > 0$  for  $x^T X^{-1}x \geq 1$ . Note that  $V_{F_{xT}S}$  is continuously differentiable for  $x \notin \{0\} \cup \{x \in \mathbb{R}^n : V(x) = 1\}$ . According to<sup>24</sup> the inequality

$$DV_{F_{xT}S}(x)(Ax + Bu_{F_{xT}S}(x)) \leq \begin{cases} -\frac{\zeta_1}{\zeta_2} V_{F_{xT}S}^{1+v_1}(x) & \text{for } V_{F_{xT}S}(x) < 1, \\ -\frac{\zeta_1}{\zeta_3} V_{F_{xT}S}^{1+v_2}(x) & \text{for } V_{F_{xT}S}(x) > 1, \\ -\min\{\frac{\zeta_1}{\zeta_2}, \frac{\zeta_1}{\zeta_3}\} & \text{for } V_{F_{xT}S}(x) = 1, \end{cases}$$

holds for almost all  $t$  such that  $x(t) \neq 0$ . Then according to Theorem 7 the system is fixed-time stable with the use of adaptive control in the form (39)

$$\begin{aligned} u(x, \omega) &= u_{F_{xT}S}(x) - \theta_{\max} \phi(x)^T [\arctan(\omega_1) \arctan(\omega_2)]^T \\ \dot{\omega} &= \gamma \theta_{\max}^{-1} \text{diag}\{1 + \omega_i^2\}_{i=1}^2 \phi(x) \left( \frac{\partial V_{F_{xT}S}(x)}{\partial x} B \right)^T, \end{aligned}$$

where according to Remark 4

$$\frac{\partial V_{F_{xT}S}(x)}{\partial x} = -2V_{F_{xT}S} \begin{cases} \zeta_1(x) & \text{for } x^T X^{-1}x < 1 \\ \zeta_2(x) & \text{for } x^T X^{-1}x \geq 1 \end{cases}$$

with

$$\begin{aligned} \zeta_1(x) &= x^T D_{r_1}(V_{F_{xT}S}^{-1}) (\text{diag}\{r_{1i}\}_{i=1}^2 X^{-1} + X^{-1} \text{diag}\{r_{1i}\}_{i=1}^2) D_{r_1}(V_{F_{xT}S}^{-1}) x x^T D_{r_1}(V_{F_{xT}S}^{-1}) X^{-1} D_{r_1}(V_{F_{xT}S}^{-1}), \\ \zeta_2(x) &= x^T D_{r_2}(V_{F_{xT}S}^{-1}) (\text{diag}\{r_{2i}\}_{i=1}^2 X^{-1} + X^{-1} \text{diag}\{r_{2i}\}_{i=1}^2) D_{r_2}(V_{F_{xT}S}^{-1}) x x^T D_{r_2}(V_{F_{xT}S}^{-1}) X^{-1} D_{r_2}(V_{F_{xT}S}^{-1}). \end{aligned}$$

The results of simulation are shown in Fig. 4 for  $\theta = [3 \ 2]^T$  and  $x_0 = [0 \ 1]^T$ . The results of simulation with using the logarithmic scale are shown in Fig. 5 for different initial conditions. They show uniformity of the convergence time on the initial conditions. The transients for the control  $u(x) = u_{F_{xT}S}(x)$  are shown in Fig. 6.

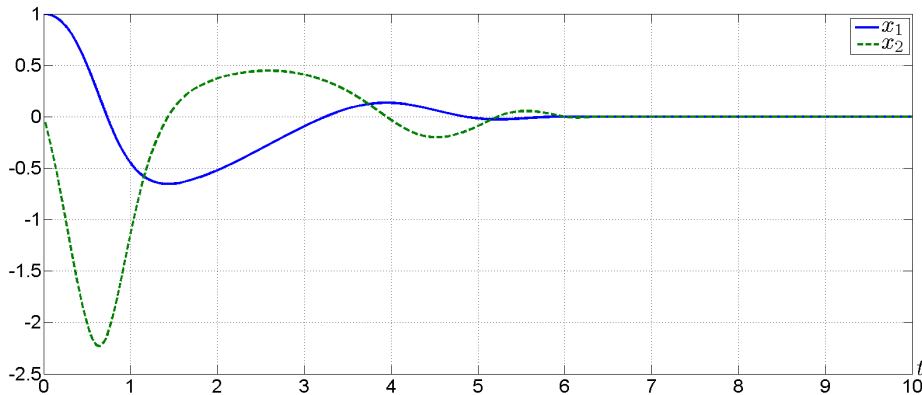


FIGURE 4 Simulation plot for  $x_0 = [0 \ 1]^T$

**Remark 8** One of the main advantages of the proposed adaptive scheme is that it allows to combine with an adaptive algorithm different finite-time (fixed-time) controls  $u_{F_{xT}S}$  ( $u_{F_{xT}S}$ ) designed for nominal systems without uncertainties. This variability allows to assign some additional robustness properties to the closed-loop system that are inherent to  $u_{F_{xT}S}$  ( $u_{F_{xT}S}$ ). For example, with the use of homogeneity based algorithms the presented control scheme can cancel a wide class of disturbances, including non-Lipschitz ones (see, for example,<sup>38</sup>).

## 5 | CONCLUSIONS

In the paper a sufficient condition of output finite-time and fixed-time stability is presented. Comparing with existing results the presented approach is less restrictive and/or obtained for a wider class of systems. Based on the provided sufficient condition, a simple scheme of adaptive finite/fixed-time control design is presented. Possible directions for future research include control and observer design based on the use of the presented OFTS/OFTS condition, and an extension of the approach guaranteeing the parameter convergence also.

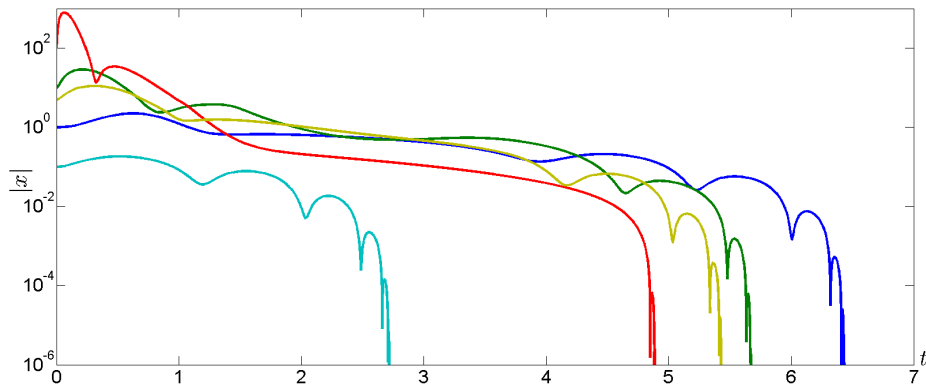


FIGURE 5 Simulation plot for different initial conditions  $x_0$

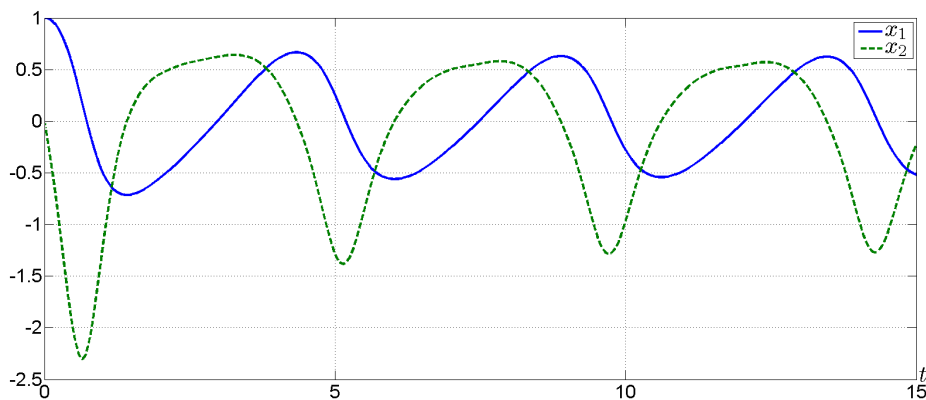


FIGURE 6 Simulation plot for the control  $u(x) = u_{F_x T_S}(x)$

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