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Gulf Stream separation through Brinkman penalization

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Abstract

The advantage of a smooth representation of bathymetry in terrain-following σ -coordinate ocean models is compromised by the need to avoid numerical errors on steep slopes associated with pressure gradient discretization or spurious diapycnal diffusion. Geopotential z -coordinate models avoid these errors, but greatly underrepresent the interaction of flow with a topographic slope, especially when the bathymetry is underresolved. Hybrid coordinate models are also deficient because it is difficult to find a satisfactory compromise between z and σ coordinates. With volume penalization, we do not seek a compromise, but rather a correction to the usual coordinate systems that realistically recovers continuous and steep bathymetry. The Brinkman volume penalization method studied here is a modified version of the one introduced in Debreu et al. (2020) that simplifies the numerical implementation of the penalization, increases robustness and improves its computational performance for realistic long-term simulations, while preserving accuracy. We apply this penalization method to the Gulf Stream separation problem that has puzzled modelers for decades. The method improves the representation of the flow-topography interaction and achieves realistic separation of the Gulf Stream at resolutions as coarse as $1/8^\circ$. In addition, it provides a tool to separate the effect of eddy activity and topographic slope when changing grid resolution. This has never before been possible because at coarse resolution none of the usual coordinate systems can properly represent a steep continental slope. Our results show that realistic bathymetry is more important than eddy activity in ensuring realistic Gulf Stream separation, even though many recent studies tend to focus on the eddy activity. A steep slope can exert a stabilizing influence that promotes a strong mean slope current with strong inertia that helps it separate from the coast at the topographic curvature of Cape Hatteras. We anticipate that a successful topographic slope correction will be very valuable to climate models, as their current resolution is far from sufficient to represent western boundary currents (WBCs) using traditional coordinate systems. Our results suggest that a climate model with a $1/4^\circ$ resolution using volume penalization — and perhaps also some

parameterization of the eddy-mean flow interaction to energize the WBCs — would represent ocean circulation much more realistically.

7 *Keywords:* algorithms, penalization, bathymetry, Gulf Stream, ocean modeling

8 **1. Introduction**

9 *1.1. The conundrum of Gulf Stream separation*

10 The Gulf Stream (GS), an example of a Western Boundary Current (WBC), is one of the strongest ocean currents
11 on Earth and a major feature of the global ocean circulation that largely controls the Earth's climate (Lee et al., 2018).
12 There are iconic features of WBCs, for which the mechanisms and modeling capability are still highly debated. One
13 of these is the GS separation from the coast, which was associated early on with the curvature of the coast and the
14 inertia required to overcome the topographic steering (Dengo, 1993; Couvelard et al., 2008; Ezer, 2016; Schoonover
15 et al., 2016). More recently, WBCs have been linked in ocean models to the resolution of mesoscale activity through
16 eddy-mean flow interaction (McWilliams, 2008; Bryan et al., 2007; Chassignet and Marshall, 2008). A mechanistic
17 description of eddies interacting with bathymetry has also emerged (Gula et al., 2015), showing that large isobath
18 curvatures tend to maximize eddy energy transfer, and thus flow-bathymetry interaction. The influence of eddies is
19 somewhat mitigated by dissipation mechanisms, including those provided by surface drag on the atmosphere in air-
20 sea coupled models (Renault et al., 2019). However, flow-bathymetry interaction near isobath curvature remains the
21 primary mechanism.

22 Failing to properly represent the effect of bottom bathymetry results, in many numerical models, in the GS over-
23 shooting Cape Hatteras, i.e., separating from the coast further north than observed (Chassignet and Marshall, 2008;
24 Schoonover et al., 2016; Ezer, 2016). This is because the bottom pressure torque (not the wind-stress curl) is the main
25 component balancing the planetary vorticity advection (beta term) in the local vorticity budget (e.g., Myers et al. 1996;
26 Couvelard et al. 2008; Schoonover et al. 2016). Therefore, GS separation is related to local, rather than basin-scale,
27 wind-driven dynamics. The implication is that misrepresenting the local interactions between flow and bathymetry

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28 can have an impact on the entire basin and beyond. It is now accepted that GS separation is more realistic in models
29 using terrain-following σ - or s -coordinates rather than the geopotential z -coordinates (see the model intercomparison
30 study by Schoonover et al. 2016). However, topographic slopes are limited in these models by numerical constraints
31 and a resolution finer than $1/10^\circ$ is required to avoid excessively smooth bathymetry that results in an unrealistically
32 low bottom pressure torque — high resolution also allows for realistic generation of mesoscale eddies. However,
33 resolutions as fine as $1/50^\circ$ are recommended for a realistic GS separation (Chassignet and Xu, 2017; Hurlburt and
34 Hogan, 2000). We will demonstrate that penalization permits a similar result at $1/8^\circ$ resolution.

35 The advantage of a smooth representation of bathymetry in terrain-following models is impaired by the need to
36 avoid numerical errors over steep bathymetry associated with the pressure gradient discretization (Shchepetkin, 2003)
37 and spurious diapycnal diffusion (Marchesiello et al., 2009; Lemarié et al., 2012). For this reason, some attempts
38 have been made to use hybrid coordinates that transition from terrain following coordinates in shallow regions to
39 z -coordinates at depth (e.g., Ezer and Mellor 2004; Chassignet and Xu 2017). However, these models show the usual
40 deficiencies in GS simulations. Arguably, it is difficult to find a satisfactory compromise that would get the best of
41 each coordinate system over their preferred regions, i.e., the continental shelf, slope, or deep ocean. Here, we are
42 not looking for a compromise, but rather a correction to the classical coordinate systems that realistically recovers a
43 continuous, steep bathymetry (i.e., without the step-like features that produce unrealistic vorticity perturbations). A
44 more accurate and robust vertical coordinate system would be very valuable for climate models, as their resolution
45 is far from sufficient to represent WBCs using z -coordinates. The volume penalization method that performs such a
46 correction is presented below.

47 1.2. Penalization of bathymetry in ocean models

48 Volume penalization is a simple and elegant way to implicitly impose Neumann (no penetration) and Dirichlet
49 (no slip) boundary conditions in numerical methods for partial differential equations (PDEs) (Arquis and Caltagirone,
50 1984). In a penalization approach the PDE is solved on a computational domain with simple geometry (e.g., a
51 rectangular domain with a regular grid) and the boundary conditions are imposed approximately by *penalizing* the
52 solution outside the actual solution domain. Volume penalization has been particularly effective over the past two
53 decades for approximating no-slip boundary conditions in fluid–structure interaction problems (e.g. Angot, 1999;

54 Angot et al., 1999; Kevlahan and Ghidaglia, 2001; Kevlahan and Vasilyev, 2005), but its application to realistic three-
55 dimensional oceanic problems is new.

56 In Debreu et al. (2020) we introduced a version of volume penalization based on the notion of flow through a
57 porous medium to approximate the complex multi-scale geometry of ocean bathymetry in realistic ocean models.
58 This method is an extension of a previous work by Kevlahan et al. (2015) to approximate the no-slip lateral boundary
59 conditions in a global shallow water model. In this version, the accuracy of the penalization is controlled by two
60 parameters: the porosity α and the permeability ϵ . The solid regions are defined by a (smoothed) mask. To approach
61 no-slip boundary conditions, both the porosity and permeability are set very small in the solid regions. To approximate
62 non-penetrating conditions, only porosity is used and the friction term associated with permeability is not included.
63 Kevlahan et al. (2015) showed that the error of the penalization approximation of no-slip boundary conditions is
64 $O(\alpha\epsilon^{1/2})$.

65 Volume penalization has several advantages for representing the bottom bathymetry in ocean models. First, be-
66 cause the penalized region does not suffer from the topographic slope limitations imposed by pressure gradient er-
67 rors, it is possible to use more realistic bathymetry at a given computational grid resolution than with the usual
68 σ -coordinates terrain-following grid. Secondly, because the solid regions are represented implicitly by a mask, it is
69 not necessary to describe the geometry of the solid-fluid interface in detail. Finally, the permeability and porosity
70 parameters can be tuned to model the subgrid-scale structure of bathymetry (Adcroft, 2013), or the composition of
71 the seafloor substrate (*e.g.*, deltas, marshes, flooded urban areas Guinot et al. 2018). Penalization methods are also
72 ideal for dynamically adaptive codes (Hejazialhosseini et al., 2010), which was the original motivation of Kevlahan
73 and Vasilyev (2005) and (Kevlahan et al., 2015).

74 In §3, we describe the penalization method in detail, and propose several improvements to our previous approach.
75 The penalization is then implemented in the Coastal and Regional Ocean COmmunity model (CROCO; Shchepetkin
76 and McWilliams 2005; Debreu et al. 2012) and its performance is validated in realistic simulations of the GS.

77 2. Standard model configuration

78 CROCO is a free-surface, terrain-following coordinate regional ocean model with barotropic–baroclinic mode
79 split-explicit time stepping (Shchepetkin and McWilliams, 2005; Debreu et al., 2012; Soufflet et al., 2016). Here,
80 we use the Boussinesq and hydrostatic approximations, and although a non-Boussinesq and non-hydrostatic solver is
81 also available (Hilt et al., 2020; Marchesiello et al., 2021), it is not required for the mesoscale resolutions used in this
82 paper.

83 2.1. Model configuration

84 The North Atlantic regional domain is a subset of that presented in Renault et al. (2019). The grid encompasses the
85 Florida and GS currents in the western North Atlantic, from 82° W to 45° W and 22° N to 47° N, with three horizontal
86 spatial resolutions: 1/4°, 1/8° and 1/12°. We use 32 generalized σ -coordinate vertical levels (Lemarié et al., 2012)
87 with stretching parameters $h_{cline} = 200$ m, $\theta_b = 2$, and $\theta_s = 7$. The bathymetry is constructed from the Shuttle Radar
88 Topography Mission (SRTM30 plus) dataset based on the 1-min Sandwell and Smith (1997) global dataset and higher-
89 resolution data where available. To avoid aliasing at higher resolution than the computational grid and to ensure the
90 smoothness of topography at the grid scale, a Gaussian smoothing kernel with a width four times the topographic
91 grid spacing is used. In the non-penalized σ -coordinate (or s -coordinate) configuration, pressure gradient errors
92 caused by steep slopes are kept at acceptable levels by applying a local smoothing of the bottom topography so that
93 the r-factor (Beckmann and Haidvogel, 1993) does not exceed 0.25. In addition to the three spatial resolutions, we
94 present a 1/12° simulation with smoother bathymetry interpolated from the 1/4° configuration. This test will allow us
95 to evaluate the effect of resolution that is not associated with a change in topography.

96 In each case, the model is run for 15 years, using a monthly climatology of atmospheric surface fluxes from
97 COADS (freshwater and heat fluxes) and from QuikSCAT (momentum fluxes). Surface current feedback (CFB) is
98 applied using a stress correction approach for momentum fluxes (Renault et al., 2020). Vertical mixing of tracers and
99 momentum is done with a K-profile parameterization (KPP; Large et al. 1994). At oceanic open boundaries, boundary
100 data of temperature, salinity, surface elevation, and horizontal velocities are taken from the year 2000 monthly-mean
101 Simple Ocean Data Assimilation (SODA) product (Carton and Giese, 2008). The open boundary condition algorithms

102 consist of an active-passive 2D radiation scheme for the tracers and baroclinic mode and a modified Flather-type
 103 scheme for the barotropic mode (Marchesiello et al., 2001). The bottom drag τ_b is computed assuming that the flow
 104 in the bottom boundary layer has a vertical logarithmic profile, defined by a bottom roughness length z_{0b} (m), which
 105 takes a constant value of 10^{-2} m in our simulations:

$$(\tau_{bx}, \tau_{by}) = \left(\frac{\kappa}{\log \frac{z}{z_{0b}}} \right)^2 \sqrt{u_b^2 + v_b^2} (u_b, v_b), \quad (1)$$

106 where u_b and v_b are the bottom currents in the log layer at a height z above the ocean floor (here corresponding to the
 107 first vertical grid level) and $\kappa = 0.41$ the von Karman constant. The formulation of the bottom stress given here will
 108 be revisited in the penalization section.

109 2.2. Vorticity budget

110 WBC dynamics can be diagnosed by the barotropic vorticity budget, which is an extension of Sverdrup balance.
 111 Following, *e.g.*, Couvelard et al. (2008); Schoonover et al. (2016), the barotropic vorticity budget is computed by
 112 taking the rotational of the vertically integrated lateral momentum equations

$$\frac{\partial \bar{\zeta}}{\partial t} = \frac{J(P_b, h)}{\rho_0} - A - \nabla \cdot (f\mathbf{U}) + \frac{\nabla \times \boldsymbol{\tau}}{\rho_0} - \frac{\nabla \times \boldsymbol{\tau}_b}{\rho_0} + D, \quad (2)$$

113 where $\partial \bar{\zeta} = (\nabla \times \mathbf{U}) \cdot \hat{\mathbf{z}}$ is the barotropic vorticity, \mathbf{U} the barotropic current, J is the Jacobian operator, P_b is the bottom
 114 pressure, h the bathymetry, A the advection torque, f is the Coriolis force, $\boldsymbol{\tau}_b$ is the bottom stress, and D is the viscous
 115 torque. In the following, the right-hand-side terms of Eq. 2 are referred to as the bottom pressure torque ($\frac{J(P_b, h)}{\rho_0}$),
 116 the advection torque ($-A$), the planetary vorticity advection ($-\nabla \cdot (f\mathbf{U})$), the wind stress curl ($\frac{\nabla \times \boldsymbol{\tau}}{\rho_0}$), the bottom stress
 117 curl ($-\frac{\nabla \times \boldsymbol{\tau}_b}{\rho_0}$), and the viscous torque (D). The bottom pressure torque is a measure of the topographic steering of the
 118 flow (Couvelard et al., 2008). The nonlinear torque represents the advection of vorticity by the mean and eddy flow.
 119 The planetary vorticity advection is due to the combined effects of the earth's curvature and rotation (β term in the
 120 Sverdrup balance). The surface wind stress curl is a top drag curl and can be both a source or dissipation of vorticity.
 121 The bottom stress curl is the drag effect of unresolved topography. Finally, the viscous torque represents the vorticity
 122 dissipation due to turbulent viscosity. In a regional simulation it has large values only within the numerical sponge
 123 layers that implement the open boundaries.

124 **3. Description of the penalization method**

125 *3.1. Penalization in the primitive equations of the ocean*

126 The volume penalization method investigated here is a modified version of the one introduced in Debreu et al.
127 (2020). The improvements simplify its numerical implementation, but do not affect its accuracy or theoretical basis.

128 The prognostic equations are written in conservation form using the generalized vertical coordinate system $s(x, z, t)$,
129 with $h = \partial z / \partial s$, as

$$\frac{\partial \tilde{h}u}{\partial t} + \frac{\partial \tilde{h}u^2}{\partial x} + \frac{\partial \tilde{h}u\Omega}{\partial s} = -\tilde{h} \left(g \frac{\partial \eta}{\partial x} + \frac{1}{\rho_0} \frac{\partial p_h}{\partial x} + \frac{\rho}{\rho_0} g \frac{\partial z}{\partial x} \right) - \frac{1}{\epsilon} \mathbb{1}(x, z) \tilde{h}u, \quad (3)$$

$$\frac{\partial \tilde{h}}{\partial t} + \frac{\partial \tilde{h}u}{\partial x} + \frac{\partial \tilde{h}\Omega}{\partial s} = 0, \quad (4)$$

$$\frac{\partial p_h}{\partial s} = -h\rho g, \quad (5)$$

$$\frac{\partial \tilde{h}\rho}{\partial t} + \frac{\partial \tilde{h}u\rho}{\partial x} + \frac{\partial \tilde{h}\Omega\rho}{\partial s} = 0, \quad (6)$$

130 where the penalized height $\tilde{h} = \phi h$, and ϕ is the porosity

$$\phi(x, z) = \begin{cases} \alpha & \text{in the penalized solid regions,} \\ 1 & \text{in the fluid regions.} \end{cases} \quad (7)$$

131 Setting $\alpha \ll 1$ approximates a Neumann no-penetration boundary condition at solid horizontal and vertical boundaries.

132 The last term on the right hand side of equation (3) is the drag due to the permeability of the porous medium, where
133 the mask function $\mathbb{1}$ defining the penalized solid regions is given by

$$\mathbb{1}(x, z) = \begin{cases} 1 & \text{in the interior of the penalized solid regions,} \\ 0 & \text{in the interior of the fluid regions.} \end{cases} \quad (8)$$

134 Setting $\epsilon \ll 1$ in addition to $\alpha \ll 1$ approximates a no-slip Dirichlet boundary condition at solid horizontal and
135 vertical boundaries.

136 To avoid numerical oscillations, a smoothed mask is defined starting from a hyperbolic tangent profile in the
137 vertical direction (see 15).

138 The volume penalization can therefore approximate either a Neumann no-penetration boundary condition (if the
139 permeability term is not included), or a no-slip Dirichlet boundary condition (using both the porosity and permeability

140 terms). When a no-penetration boundary condition is desired, it is sufficient to take $\alpha = O(10^{-6})$ since the accuracy
 141 of the approximation is $O(\alpha)$. In this case the penalization scheme imposes no stability constraint on the time step.
 142 In contrast, when a no-slip boundary condition is implemented, the boundary condition is imposed with an accuracy
 143 $O(\alpha\epsilon^{1/2})$. Therefore, both parameters α and ϵ can be varied to control the accuracy of the penalization. However, the
 144 penalization term is stiff and therefore imposes a stability constraint $\Delta t \leq \epsilon$ for an explicit Euler method in time. The
 145 temporal discretization of the penalization term is discussed in §3.2.2.

146 3.2. Improvements to the original algorithm

147 In this section we propose some modifications of the discrete implementation of the penalization method to sim-
 148 plify it and improve computational performance compared with the original implementation described in Debreu
 149 et al. (2020). The principal changes concern the computation of layer thicknesses and the temporal discretization of
 150 the penalization term. In addition, we describe a modification to the traditional barotropic–baroclinic mode splitting
 151 that accounts for variable porosity ϕ .

152 3.2.1. Computation of layer thicknesses

153 In Debreu et al. (2020), we showed that in order for the method to conserve total energy and, more importantly,
 154 to represent correctly the transfer between kinetic and potential energy, the following commutation property must be
 155 satisfied,

$$\frac{\partial}{\partial t} \left(\phi(x, s, t) \frac{\partial z}{\partial s} \right) = \frac{\partial}{\partial s} \left(\phi(x, s, t) \frac{\partial z}{\partial t} \right), \quad (9)$$

156 where s is the generalized vertical coordinate. Eq. (9) can be rewritten to give an equation for the change in porous
 157 layer thicknesses,

$$\frac{\partial \tilde{h}}{\partial t} = \frac{\partial}{\partial s} \left(\phi(x, s, t) \frac{\partial z}{\partial t} \right). \quad (10)$$

158 In Debreu et al. (2020), Eq. (10) was implemented at the discrete level. However, this imposes a computational
 159 overhead by requiring:

- 160 (a) Computation of a new porosity function $\phi(x, s, t)$ at each time step (since in 10, the vertical coordinate s depends
 161 on time).

162 (b) Storage of layer thicknesses for diagnostic purposes.

163 In practice, it is more efficient to compute layer thicknesses using the definition of porosity in the z vertical coordinate
 164 system. The thickness of layer k , between two s levels, $(s_{k-1/2}, s_{k+1/2})$ is given by

$$\tilde{h}_k(x, t) = \int_{s_{k-1/2}}^{s_{k+1/2}} \phi(x, s, t) h(x, s, t) ds = \int_{s_{k-1/2}}^{s_{k+1/2}} \phi(x, s, t) \frac{\partial z}{\partial s} ds = \int_{z_{k-1/2}}^{z_{k+1/2}} \phi(x, z, t) dz.$$

165 As shown in Debreu et al. (2020), property (9) simply expresses the fact that the porosity ϕ at a fixed z does not depend
 166 on time: $\partial\phi(x, z, t)/\partial t|_z = 0$ or $\phi(x, z, t) = \phi^0(x, z)$. This naturally leads to:

$$\frac{\partial \tilde{h}_k(x, t)}{\partial t} = \phi^0(x, z_{k+1/2}(x, t)) \frac{\partial z_{k+1/2}(x, t)}{\partial t} - \phi^0(x, z_{k-1/2}(x, t)) \frac{\partial z_{k-1/2}(x, t)}{\partial t}$$

167 which is the discrete version of (10). As in Debreu et al. (2020), the following two important relations follow.

$$\tilde{H}(x, t) = \sum_{k=1}^N \tilde{h}_k(x) = \int_{-H(x)}^{\eta(x, t)} \phi^0(x, z) dz,$$

168 and

$$\frac{\partial \tilde{H}(x, t)}{\partial t} = \phi^0(x, \eta(x, t)) \frac{\partial \eta(x, t)}{\partial t} = \frac{\partial \eta(x, t)}{\partial t},$$

169 where at the free surface $z = \eta$, we have $\phi^0(x, \eta(x, t)) = 1$.

170 In the proposed simplification of the penalization method, at each time step, once the layer interfaces $z_{k+1/2}$ have
 171 been computed in the generalized vertical coordinate system, the layer thicknesses are diagnosed using

$$\tilde{h}_k(x, t) = \int_{z_{k-1/2}(x, t)}^{z_{k+1/2}(x, t)} \phi^0(x, z) dz. \quad (11)$$

172 This avoids having to integrate the discrete time evolution equation (10). The new kinematic relation (11) simply
 173 requires the specification of the time-independent porosity $\phi^0(x, z)$ defining the solid regions (which is based on the
 174 mask $\mathbb{1}(x, z)$ and the porosity parameter α).

175 As in Guinot et al. (2018), the porosity $\phi^0(x, z)$ is first tabulated on a vertical grid $G^0(z_{k+1/2}^0(x))$ with a much
 176 vertical higher resolution than the actual computational grid (see Fig. 1). Then, the model porosity is found by
 177 integrating the high resolution porosity conservatively between two interfaces of coarser computational grid. In this
 178 paper, for simplicity we assume that ϕ^0 is constant over each layer of the high resolution vertical grid G_0 : $\phi^0(x, z) =$
 179 $\phi_k^0(x)$, $z_{k-1/2}^0(x) < z < z_{k+1/2}^0(x)$. The details of computation of ϕ^0 are given in Appendix A. Note that the porosity

180 on the computational grid includes information about subgrid scale bathymetry encoded as an intermediate value of
 181 the porosity $0 < \alpha < 1$ near the fluid–structure interface. This is a kind of homogenization of fine-scale bathymetry
 182 on the scale of the computational grid. Note, however, that in this paper, the representation of subgrid bathymetry is
 183 not fully exploited. In particular, at the discrete level, the porosity and permeability at the cell interfaces are deduced
 184 (by simple averaging) from their cell-averaged values. It would be interesting to consider the ideas of Guinot (2012);
 Adcroft (2013) in future work.

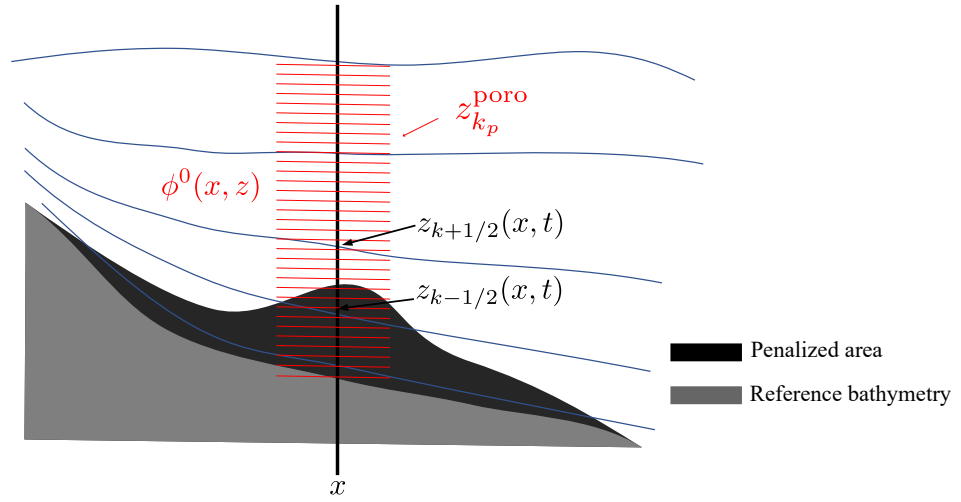


Figure 1: Porosity $\phi^0(x, z)$ defining a higher resolution of the bathymetry. The red lines indicate the high resolution vertical grid G_0 , the blue lines indicate the actual computational vertical grid. The reference bathymetry is smooth enough to ensure an acceptable pressure gradient error, while penalization is used to provide a more accurate representation of the true bathymetry. Note that we impose the constraint that the penalized area must always lie above the reference bathymetry.

185

186 3.2.2. Temporal discretization of the penalization term

187 In Debreu et al. (2020), a simple explicit formulation of the penalization term was used. For simplicity, barotropic–
 188 baroclinic mode splitting was avoided (see subsection 3.2.4) which required using a small time step to satisfy the
 189 stability condition based on the external wave propagation speed $c = \sqrt{gH}$. This small time step allowed the use of

190 a similarly small (and highly accurate) penalization coefficient in comparison with the time scale of other physical
 191 processes, since $\epsilon \leq \Delta t$.

192 Considering only the vertical direction, the one-dimensional penalized equation is

$$\frac{\partial u}{\partial t} = F(u) - \frac{1}{\epsilon} \mathbb{1}(z)u. \quad (12)$$

193 A splitting method can be used to integrate (12) in two steps,

$$1) \frac{\partial u}{\partial t} = F(u), \quad 2) \frac{\partial u}{\partial t} = -\frac{1}{\epsilon} \mathbb{1}(z)u.$$

194 A provisional value of u at time $(n + 1)$ is first obtained:

$$u^{n+1,\star} = u^n + \Delta t F(u),$$

195 and then the penalization term is included, either explicitly,

$$u^{n+1} = \left(1 - \frac{\Delta t}{\epsilon} \mathbb{1}(z)\right) u^{n+1,\star}, \quad (13)$$

196 or implicitly,

$$u^{n+1} = \frac{1}{1 + \frac{\Delta t}{\epsilon} \mathbb{1}(z)} u^{n+1,\star},$$

197 or with exact time integration,

$$u^{n+1} = e^{-\frac{\Delta t}{\epsilon} \mathbb{1}(z)} u^{n+1,\star}.$$

198 The interpolating penalization (Rasmussen et al., 2011) consists in starting from the explicit version (13) with the
 199 specific choice of ϵ such that $\mu = \Delta t/\epsilon = 1$ (i.e. the minimum stable ϵ) which simply leads to,

$$u^{n+1} = (1 - \mathbb{1}(z))u^{n+1,\star}. \quad (14)$$

200 This implementation choice will be made in the following.

201 In practice, the smoothed version of the mask function is defined as a function of r , the signed distance to the
 202 solid–fluid interface scaled by the local grid size. r is defined by $r = -(z - z_{\text{bottom}})/\Delta z$ and is positive in the solid
 203 region and negative in the fluid region. As an example, in Debreu et al. (2020), the smoothed mask function $\mathbb{1}_S(r)$
 204 was given by an hyperbolic tangent:

$$\mathbb{1}_S(r) = \frac{1}{2} (1 + \tanh \lambda(r - r_0)), \quad (15)$$

205 where the values of λ and r_0 were such that $\mathbb{1}_S(-1/2) = 1/25$ and $\mathbb{1}_S(1/2) = 2/5$. Following Rasmussen et al. (2011),
 206 Figure (2) shows $u^{n+1}/u^{n+1,*}$ for different values of μ and for different temporal discretizations (explicit, implicit,
 207 interpolating). The main advantage of an explicit scheme with $\mu = 1$ (i.e. the above interpolating penalization) is
 208 full control of the profile of the penalized velocity near the fluid-solid boundary, which is directly linked to the shape
 209 of the smoothed mask function. On the contrary, an implicit scheme requires adjusting both the value of μ and the
 210 shape of the original mask function in order to obtain the desired velocity profile. In Figure (2), large values of μ are
 211 required for the solid region to be fully penalized (i.e. zero velocity). This advantage, however, comes at the price of
 212 an extension of the penalized domain to the fluid region (i.e. the effective position of the boundary is shifted slightly
 inwards). This could be corrected by increasing the value of λ in the definition of the smooth mask function (15).

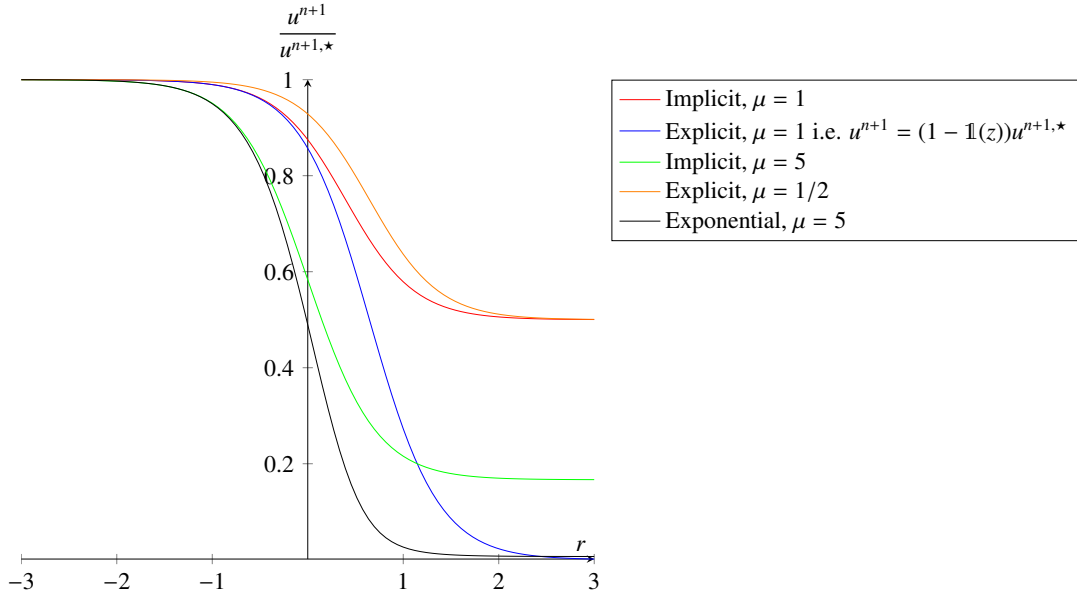


Figure 2: Different time discretizations of the penalization term for various values of the parameter $\mu = \Delta t/\epsilon$. $r = 1$ corresponds to only one vertical grid spacing Δz . The exact solution u^{n+1} would be a Heaviside (step) function $H(-r)$.

213

214 3.2.3. Penalization and bottom stress parameterization

215 With the interpolating penalization given by (14), choosing the shape of smoothed mask function is identical to
 216 the choice of the penalization itself. Therefore, in the following, we do not differentiate between the two. The shape
 217 of the smoothed mask function (15) and its coefficients λ, r_0 are somewhat arbitrary. This is particularly true for the

218 induced penalization value in the first cell above the ocean bottom. For realistic applications, a reasonable choice
 219 could be for this value to coincide with the one given by the vertical logarithmic profile and associated bottom stress
 220 (see subsection 2.1). Doing this, we ensure that in the limiting case where the penalization domain is empty (the
 221 bottom of the discrete domain matches the true ocean bottom), we recover the solution of the unpenalized equations.

222 At the discrete level, assuming a basic Euler time stepping, the bottom friction is implemented in the numerical
 223 model as

$$(\tilde{h}u)^{n+1} = (\tilde{h}u)^n - \Delta t \tau_{bx}, \quad (16)$$

224 while the penalization term is implemented as described above by

$$(\tilde{h}u)^{n+1} = (\tilde{h}u)^n (1 - \mathbb{1}(z)). \quad (17)$$

225 The procedure is then as follows: the bottom stress τ_{bx} is computed using the velocity at the last ocean level and at an
 226 altitude $z = z_b$ (or $r = r_b$) corresponding to this last ocean level. Then, in order for the two formulations (16) and (17)
 227 to match, we impose

$$\mathbb{1}_S(r_b) = \min\left(\frac{\Delta t}{(\tilde{h}u)^n} \tau_{bx}, 1\right), \quad (18)$$

228 where τ is given by (1). Note that this includes a clipping of the penalization coefficient to ensure that its value is less
 229 than one. In the original unpenalized equations, the same clipping is applied to the bottom stress τ_{bx} . The general
 230 expression of the penalization is then given by:

$$\begin{aligned} \mathbb{1}_S(r) &= \mathbb{1}_S(r_b) + (1 - \mathbb{1}_S(r_b)) \tanh(\lambda(r - r_b)) && \text{for } r \geq r_b \\ &= 0 && \text{for } r < r_b \end{aligned} \quad (19)$$

231 where, by construction, $r_b = -(z_b - z_{\text{bottom}})/\Delta z \in] -1, 0]$. The penalization coefficient thus changes from $\mathbb{1}(z_b)$ at the
 232 last ocean level to 1 inside the solid region. The maximum slope of the smooth mask function is given by $\lambda(1 - \mathbb{1}(r_b))$,
 233 and naturally decreases when the bottom stress (and thus the penalization term) is already large (close to 1) at the last
 234 ocean level. In the realistic experiments of Section 4 λ will be taken as in Debreu et al. (2020), $\lambda \approx 1.38629$.

235 3.2.4. Mode splitting and barotropic–baroclinic correction with porosity

236 In contrast to the initial idealized experiments of Debreu et al. (2020), the present realistic numerical experiments
 237 take advantage of barotropic–baroclinic mode splitting. We first recall the natural definition of the penalized barotropic

238 velocity component

$$\bar{u}(x, t) = \frac{1}{\bar{H}(x, t)} \int_{z=-H(x)}^{z=\eta(x, t)} \phi(x, z) u(x, z, t) dz, \quad \bar{H}(x, t) = \int_{z=-H(x)}^{z=\eta(x, t)} \phi(x, z) dz,$$

239 or in s coordinates by

$$\bar{u}(x, t) = \frac{1}{\bar{H}(x, t)} \int_{s=-1}^{s=0} \tilde{h}(x, s, t) u(x, s, t) ds, \quad \bar{H}(x, t) = \int_{s=-1}^{s=0} \tilde{h}(x, s, t) ds.$$

240 In discrete form, the penalized barotropic velocity is

$$\bar{u}(x, t) = \frac{1}{\bar{H}(x, t)} \sum_{k=1}^N \tilde{h}_k(x, t) u_k(x, t), \quad \bar{H}(x, t) = \sum_{k=1}^N \tilde{h}_k(x, t).$$

241 In a standard primitive equation implementation of barotropic–baroclinic mode splitting, the two-dimensional
 242 barotropic velocity field, which is derived from the time integration of the depth averaged equations, is used to correct
 243 the three-dimensional baroclinic velocity field. Because the vertical dependence of the error between the barotropic
 244 velocity and the vertically integrated baroclinic velocities is not known, this is usually done by adding a vertically
 245 constant correction to the three-dimensional velocity. The corrected velocity u_k^{n+1} is then derived from the provisional
 246 velocity $u_k^{n+1, \star}$ as

$$u_k^{n+1} = u_k^{n+1, \star} + \left[\bar{u}^{n+1} - \frac{1}{\bar{H}} \sum_k \tilde{h}_k u_k^{n+1, \star} \right].$$

247 When a volume penalization method is used, this is of course not efficient since the correction is applied even
 248 in the fully penalized solid regions (where the mask is equal to one), leading to spurious non-zero velocities. We
 249 therefore propose to take into account the mask of the penalized domain by modifying the correction according to the
 250 following relation

$$u_k^{n+1} = u_k^{n+1, \star} + \beta_k (1 - \mathbb{1}_k) \left[\bar{u}^{n+1} - \frac{1}{\bar{H}} \sum_{k=1}^N \tilde{h}_k u_k^{n+1, \star} \right].$$

251 The coefficient β_k is chosen to ensure that the corrected depth-integrated three-dimensional velocity is, as required,
 252 equal to the barotropic velocity \bar{u}^{n+1} . This leads to the following constraint

$$\frac{1}{\bar{H}} \sum_{k=1}^N \beta_k (1 - \mathbb{1}_k) \tilde{h}_k = 1. \quad (20)$$

253 We then simply compute a set of weights β_k which minimizes $\sum_k (\beta_k - 1)^2$ under the constraint (20). This leads to the
 254 following computation of β_k :

$$\beta_k = 1 + \frac{\sum_{k=1}^N \mathbb{1}_k \tilde{h}_k}{\sum_{k=1}^N [(1 - \mathbb{1}_k) \tilde{h}_k]^2} (1 - \mathbb{1}_k) \tilde{h}_k. \quad (21)$$

255 In practice, this method slightly enhances the barotropic-baroclinic correction in the fluid region $(1 - \mathbb{1}_k)\beta_k = 1 + \epsilon$, $\epsilon \ll$
256 1, but does not alter the 3D velocities in the solid region $(1 - \mathbb{1}_k)\beta_k \approx 0$.

257 4. Results: comparing σ -coordinates and penalized simulations

258 In this section we assess the qualitative and quantitative accuracy of the penalization algorithm by comparing
259 simulations of the GS using penalized (denoted σ_p in the following) and σ vertical coordinates at resolutions $1/4^\circ$,
260 $1/8^\circ$ and $1/12^\circ$. We also present results computed at resolution $1/12^\circ$ using smoother bathymetry interpolated from
261 the $1/4^\circ$ standard configuration. This provides a basis for discussing the sensitivity of the penalization method to the
262 choice of reference bathymetry, independent of grid resolution. When available, observational AVISO satellite data
263 products are also included.

264 4.1. Penalized configurations

265 To construct the penalization we need to define the base (or reference) bathymetry (h_{base}) and “true” bathymetry
266 (h_{true}). At a given resolution, the base bathymetry is the smooth σ -coordinate bathymetry ($r_{\text{max}} = 0.25$), while the
267 “true” bathymetry is the base bathymetry, but without smoothing. (To avoid aliasing and ensure smoothness at the grid
268 scale, Gaussian smoothing is applied to the true bathymetry to obtain the base bathymetry used in the σ -coordinate
269 simulations.) The penalized area is the difference between the true and base bathymetries. We impose the constraint
270 that $h_{\text{base}} \geq h_{\text{true}}$. This is ensured by increasing h_{base} where needed.

271 4.2. Sensitivity to resolution

272 Figures 3 and 4 presents the 5-year mean sea surface height (SSH) and sea surface temperature (SST) for simula-
273 tions at different resolutions in the standard σ -coordinate and penalized cases. In the standard σ -coordinate case, an
274 overshooting of the GS is clearly evident at $1/4^\circ$ resolution, but improves with resolution $1/8^\circ$ and is accurate only at
275 $1/12^\circ$. The $1/12^\circ$ solution is similar to observations, and correctly shows a clear separation at Cape Hatteras (Renault
276 et al., 2019). We note also a loop over the Charleston Bump in the upstream region between the Florida straight and
277 Cape Hatteras. This loop is described in the literature (Gula et al., 2015), but not represented in the AVISO product
278 because it is too coarse. The GS path and its recirculation are also realistic at this resolution.

279 The above results are typical for terrain-following coordinate models applied to the GS system (Schoonover
280 et al., 2016). An important question raised by the present study is whether penalization can improve the solu-
281 tion at a resolution coarser than $1/12^\circ$. Penalization does indeed appear to improve realism at lower resolutions
282 since the $1/4^\circ$ penalized run is significantly closer to the $1/12^\circ$ σ -coordinate run than the $1/4^\circ$ σ -coordinate run. The
283 $1/8^\circ$ penalized run is very close to the $1/12^\circ$ σ -coordinate run, with a realistic GS separation. On the other hand, the
284 $1/12^\circ$ penalized run is similar to the $1/12^\circ$ σ -coordinate run, which is expected if resolution convergence has been
285 achieved. Nevertheless, the penalized run produces a more realistic GS: finer and stronger than the σ -coordinate run.

286 The results for the mean SST are consistent with those of the mean SSH. In the $1/4^\circ$ penalized run, the GS
287 appears to have two temperature signatures, one of which overshoots, while the other separates at Cape Hatteras. The
288 separation is not present at all in the $1/8^\circ$ σ -coordinate run. The $1/12^\circ$ σ -coordinate run appears to have converged,
289 although the penalized run again produces a better defined SST signature in the mean.

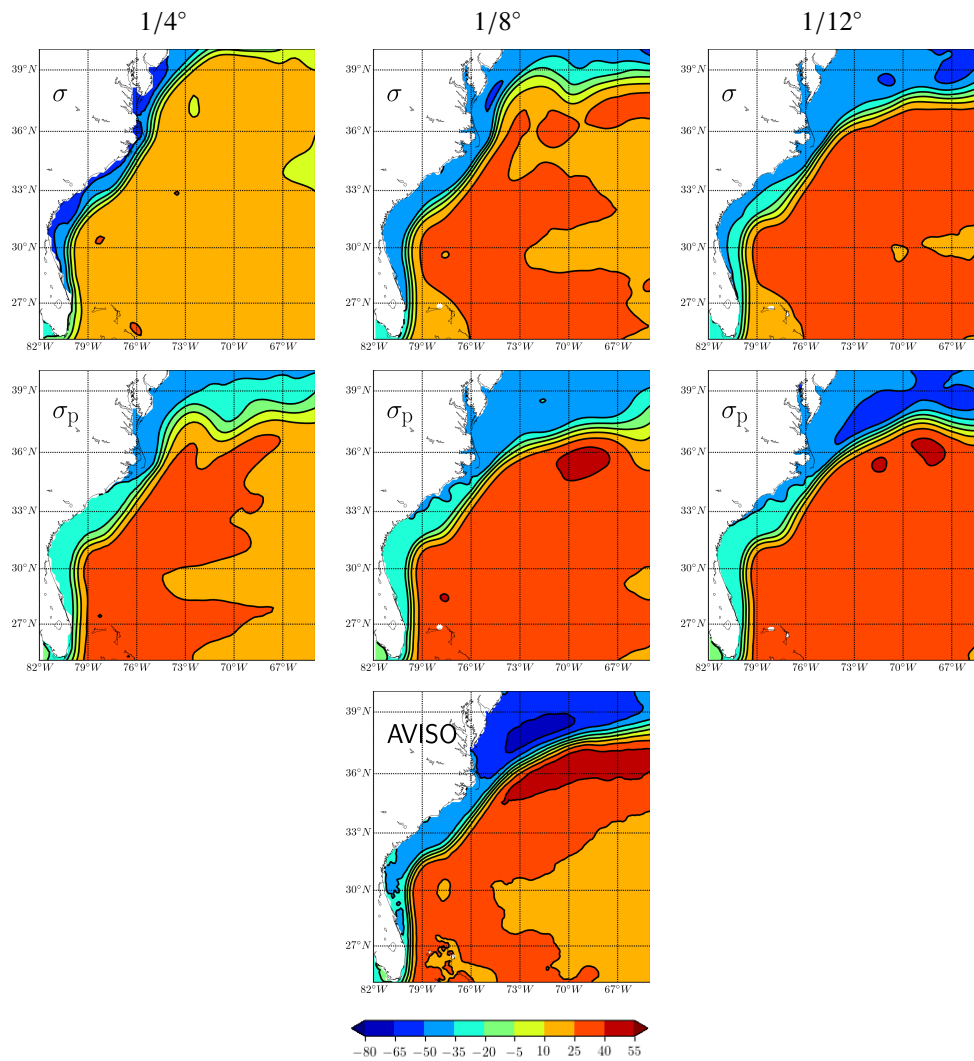


Figure 3: Mean sea surface height in CROCO simulations at different resolutions for the standard case with terrain-following (σ) coordinates (top) and penalization (σ_p) (below). The third row shows the observational AVISO product for comparison.

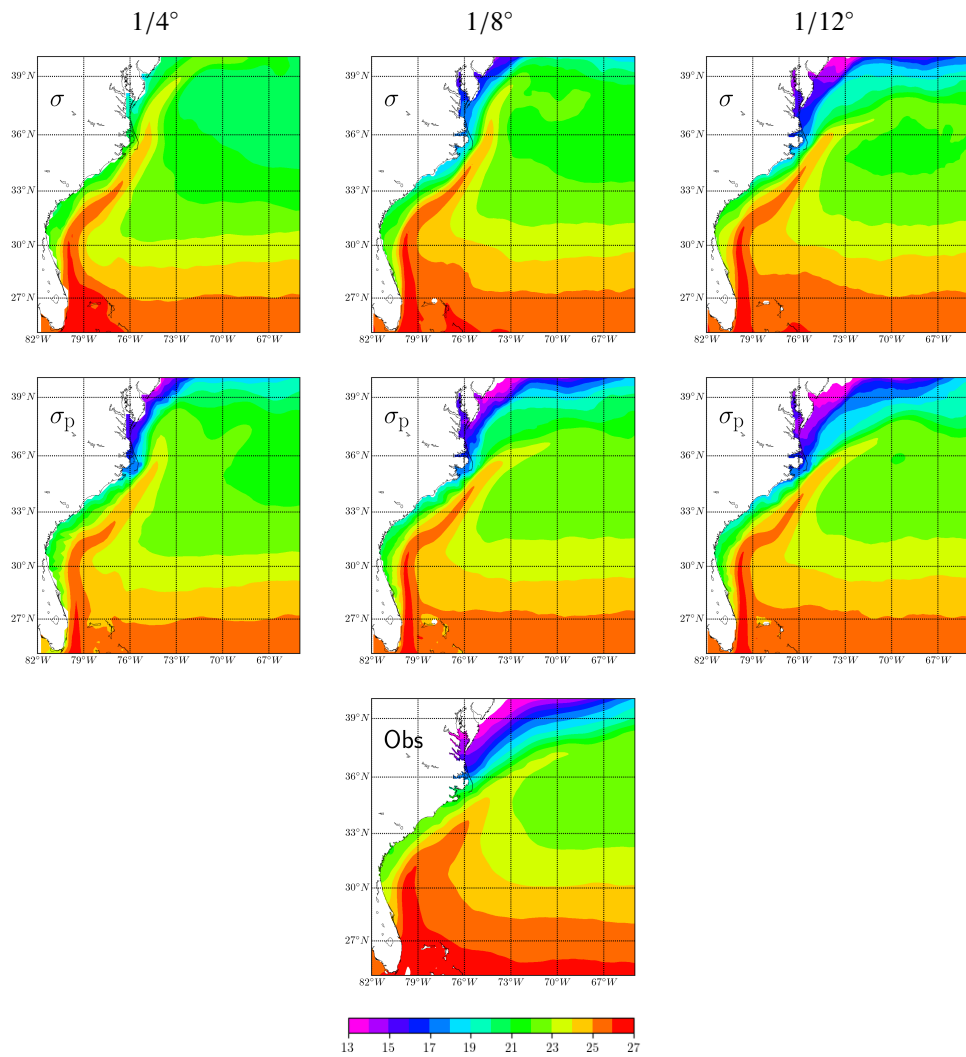


Figure 4: Mean sea surface temperature for the standard case with standard σ -coordinates (top) and penalization (σ_p) (below). The bottom figure shows the Satellite-based 5-km climatology (1985-2013) from NOAA Coral Reef Watch (<https://coralreefwatch.noaa.gov>).

290 Figure 5 shows the resolution sensitivity of the model for the mean eddy kinetic energy (EKE) with respect to a
291 gridded EKE Drifter AVISO product (Laurindo et al., 2017). Since mesoscale eddies arise from the mixed barotropic
292 and baroclinic instability of the mean flow (Gula et al., 2015), it is not surprising to find maximum EKE values along
293 the mean GS path. At $1/4^\circ$ the σ -coordinate shows a weak overshooting of the GS. It provides very little EKE and
294 the EKE maximum is located too far north. The solution is improved at $1/8^\circ$ and, in particular, at $1/12^\circ$ where a
295 relatively narrow region of EKE extends from Cape Hatteras. The penalized simulations already demonstrate clear
296 improvement at $1/4^\circ$. The offshore EKE patch is still weak, but stronger and much better positioned than in the
297 $1/4^\circ$ σ -coordinates run. The $1/8^\circ$ penalized run is close to the $1/12^\circ$ σ -coordinate run, while the $1/12^\circ$ penalized run
298 shows an interesting narrowing of the EKE patch off Cape Hatteras. This is consistent with the well-known high
299 stability of the GS trajectory at this location (Renault et al., 2019), and thus appears to be an improvement over the
300 $1/12^\circ$ σ -coordinate run.

301 Of particular interest is that, in the $1/8^\circ$ σ -coordinate run, the GS overshoot is associated with an excess of
302 EKE along the US east coast prior to separation. The excess energy is reduced in the $1/12^\circ$ run and in the $1/8^\circ$ and
303 $1/12^\circ$ penalized runs. Our interpretation is that the smoothing of the continental slope in the σ -coordinate runs releases
304 the steering effect of bathymetry on the slope current, which can then more easily develop instabilities. Therefore, the
305 steep slope has the effect of trapping a strong, but more stable, current which retains more inertia when it reaches the
306 Cape Hatteras curvature. Gula et al. (2015) showed that submesoscale eddies can increase the sensitivity of the GS
307 to topographic curvature, but this effect may not be strong enough to compensate for the loss of topographic strain.
308 Therefore, smooth bathymetry produces a weaker mean current that can still produce excess EKE, but will overshoot
309 due to lack of inertia. Penalization can effectively rectify the process unless the resolution is too coarse for an effective
310 interaction between the eddies and the mean flow to produce a strong GS.

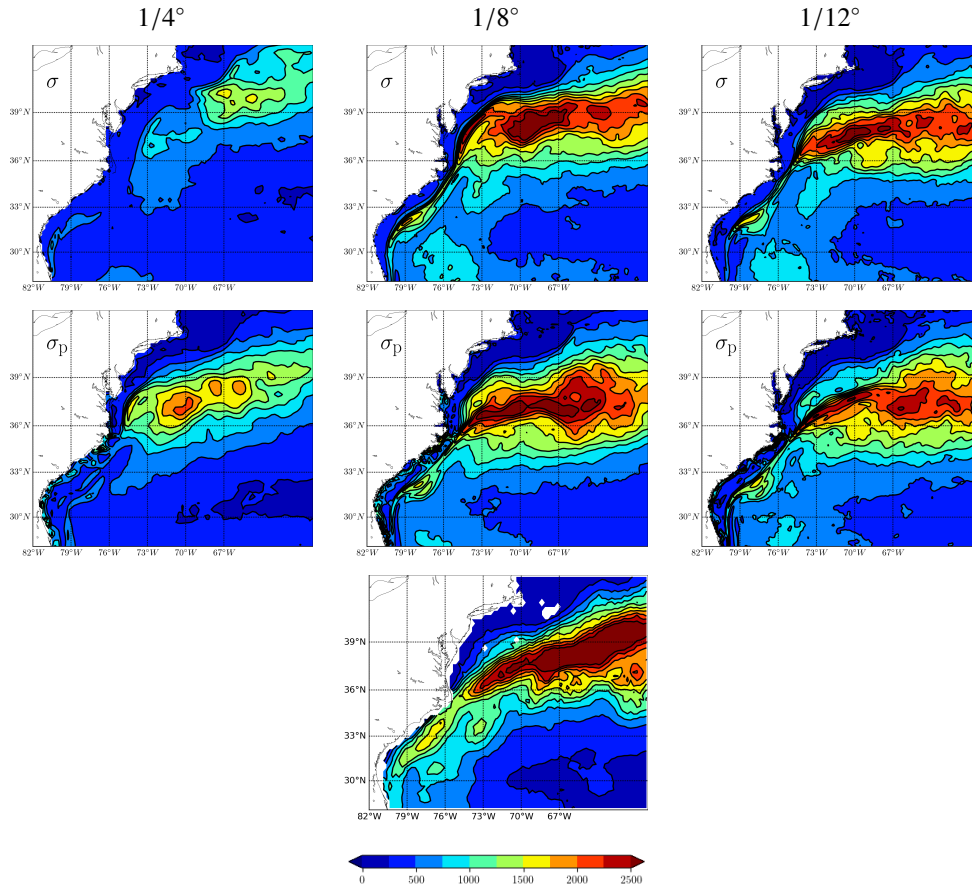


Figure 5: Mean eddy kinetic energy (EKE) in CROCO simulations at different resolutions for the standard case with terrain-following (σ) coordinates (top) and penalization (σ_p) (below). The bottom row shows the observational Drifter EKE product for comparison.

311 Figure 6 shows the model sensitivity to resolution for surface currents past the separation point, compared with a
 312 20-year climatology (1993-2012) along the Oleander transect (Rossby et al., 2014). This comparison with observa-
 313 tional climate data underlines the alternating currents between the north-eastward GS and the south-westward slope
 314 current. In the σ -coordinate model, only the $1/12^\circ$ run can reproduce the transect structure. In contrast, the position
 315 of the GS is already realistic in the penalized run at $1/4^\circ$. At this resolution in the σ -coordinate run (and to a large
 316 extent also at $1/8^\circ$), the GS is stuck to the coastal margin.

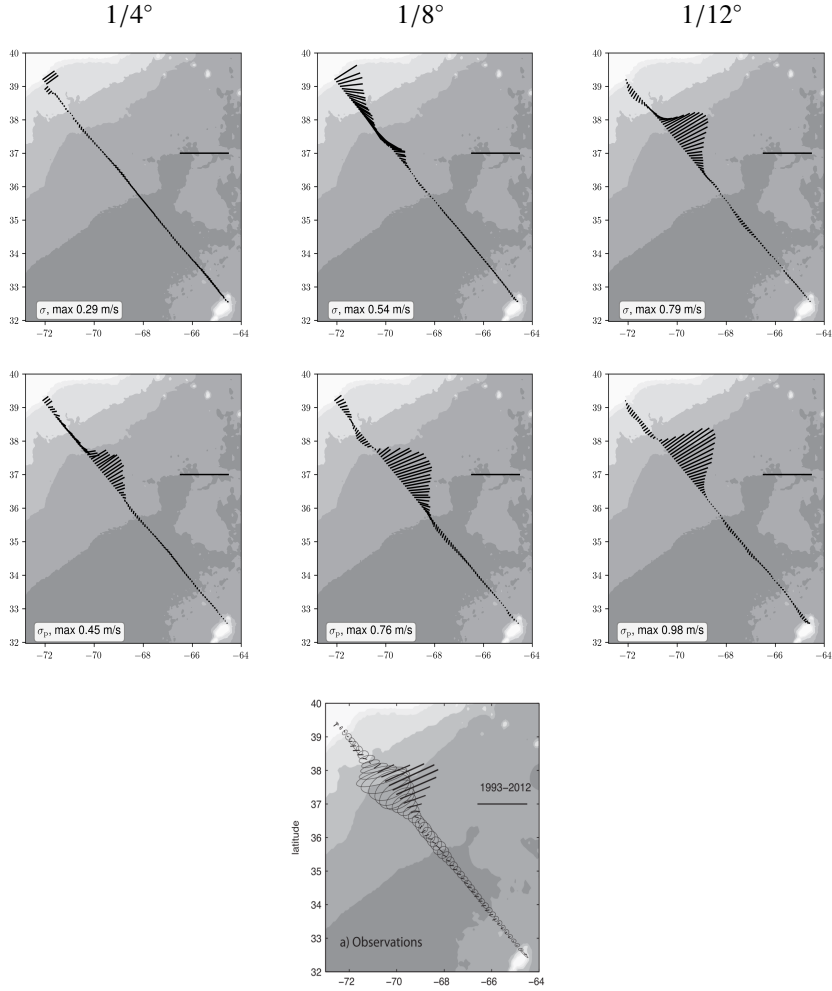


Figure 6: Mean surface velocity across the Oleander transect for the standard case with terrain-following (σ) coordinates (top) and penalization (σ_p) (middle). The bottom figure shows the mean near-surface velocity as measured by the Oleander (1993-2012) with shipboard ADCP (from Rossby et al. 2014).

317 4.3. Sensitivity to bathymetry smoothing

318 In this section we attempt to separate the effect of resolution on eddy-mean flow interaction from its effect on flow-
 319 bathymetry interaction. We compare results for the σ -coordinate and penalized runs using standard $1/12^\circ$ bathymetry
 320 and bathymetry interpolated from the $1/4^\circ$ σ -coordinate configuration. For the penalized run, the reference bathymetry
 321 h_{base} is interpolated from the $1/4^\circ$ σ -coordinate configuration. In this way we separate the effect of simulation res-
 322 olution from the effect of bathymetry resolution. At the same time, this experiment illustrates the sensitivity of the

323 penalization procedure to the reference bathymetry.

324 Figure 7 (top rows) compares SSH from a standard $1/12^\circ$ σ -coordinate run with a similar $1/12^\circ$ run using bathymetry
325 interpolated from the $1/4^\circ$ configuration. The difference between the two $1/12^\circ$ simulations is due to bathymetry
326 smoothing, while the difference between the $1/12^\circ$ run using interpolated $1/4^\circ$ bathymetry and the $1/4^\circ$ resolution run
327 (Figure 3) is due to the effect of better mesoscale resolution. Comparing the $1/12^\circ$ run using interpolated $1/4^\circ$ bathymetry
328 and the $1/4^\circ$ run, we see that better mesoscale resolution considerably improves the GS path, but is not sufficient to
329 prevent overshooting. In other words, the flow-bathymetry interaction is improved by the feedback of eddies on the
330 mean flow, but using smooth $1/4^\circ$ bathymetry in a $1/12^\circ$ run still leads to an underestimation of the topographic strain.

331 We now consider similar results for penalized runs with smoother reference bathymetry interpolated from the
332 $1/4^\circ$ configuration. The results compare well with the penalized run using steeper $1/12^\circ$ bathymetry. The GS is a bit
333 stronger past the separation point, but no other difference is visible for the mean SSH. This suggests that the penalized
334 results are relatively insensitive to the size of the penalized area. This result increases our confidence in the accuracy
335 and performance of the penalization.

336 A similar analysis of EKE (not shown) confirms the previous results. The $1/12^\circ$ runs using interpolated $1/4^\circ$ bathymetry
337 are closer to the standard $1/8^\circ$ runs. Therefore, the improvement generally observed in the models when increasing
338 the resolution is not due only to stronger eddy activity, but at least equally to a more realistic representation of the
339 continental slope. This is true for both the σ -coordinate and penalized runs, but for the penalized run a resolution of
340 $1/8^\circ$ is sufficient for a realistic GS separation.

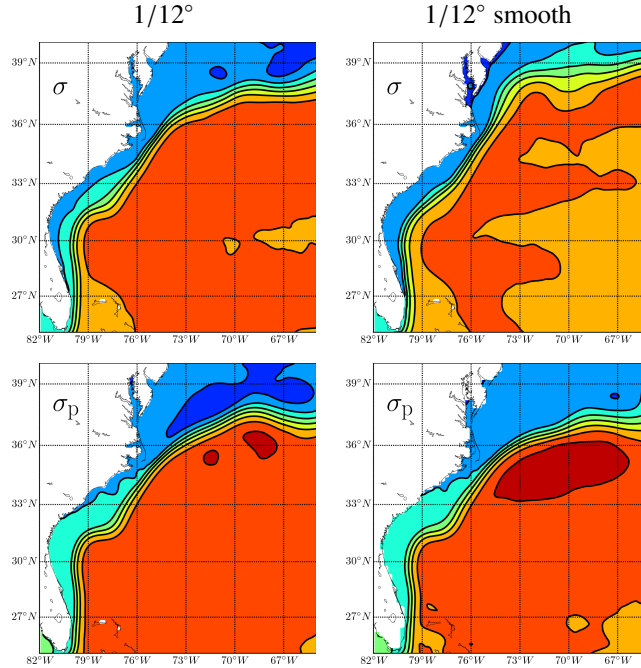


Figure 7: Mean SSH for the for terrain-following (σ) coordinates (top) and penalization (σ_p) (bottom). The left column shows results using the usual $1/12^\circ$ bathymetry for each case and the right column shows results using smooth bathymetry interpolated from the respective $1/4^\circ$ configurations.

341 4.4. Vorticity diagnostics

342 The terms in the right hand side of the barotropic vorticity budget (Eq. 2) are the bottom pressure torque (BPT),
 343 nonlinear torque (ADV), planetary vorticity advection (BETA), wind stress curl (WSC), bottom drag curl (BSC), and
 344 viscous torque (D). The latter is zero outside of sponge layers in CROCO.

345 In the ocean interior, away from steep slopes, the Sverdrup vorticity balance between the wind stress curl and
 346 BETA term would prevail. However, with steep bathymetry near coastal margins or elsewhere, topographic stretching
 347 expressed by BPT becomes dominant in balancing BETA (Couvelard et al., 2008) — The Munk viscous torque is an
 348 avatar of BPT in simple models for closing the basin-scale vorticity budget. This balance between BPT and BETA is
 349 particularly appropriate in the GS region and the vorticity budget presented in Table 1 confirms others (Schoonover
 350 et al., 2016; Ezer, 2016).

351 The budget terms are integrated over the GS region, defined as in (Schoonover et al., 2016) between the 1- and
 352 30-Sv contours, upstream of the separation. It shows that the wind stress curl and the bottom stress curl play only a

	BPT	BETA	WSC	BSC	ADV
σ , 1/8°	0.93	-1.78	0.05	0.24	0.54
σ , 1/12°	1.83	-2.48	0.09	0.27	0.29
σ , 1/12° smooth	1.13	-2.44	0.05	0.30	0.96
penalized, 1/8°	1.77	-2.65	0.08	0.48	0.26
penalized, 1/12°	2.24	-2.75	0.08	0.45	0.04
penalized, 1/12° smooth	2.16	-2.90	0.07	0.34	0.09

Table 1: The GS barotropic vorticity budget ($10^{12} \text{m}^3/\text{s}^2$) averaged over the GS region, defined between the 1- and 30-Sv contours, upstream of the separation.

353 minor role, while the nonlinear torque is a secondary, but significant, player. The sensitivity to resolution is particularly
354 interesting (we do not present the 1/4° results as the GS region is more difficult to define in this case). The topographic
355 effect grows with increasing numerical resolution from 1/8° to 1/12°. There is no sign of convergence at 1/12°, but
356 the topographic slope is steep enough at this resolution to drive a realistic GS separation.

357 When penalization is used, the vorticity budget is generally similar, but BPT is boosted. At 1/8°, BPT is already
358 very close to the 1/12° standard model budget. Also, the rate of increase in BPT between 1/8° and 1/12° is lower
359 than for the σ -coordinates run. This suggests the topographic effect converges faster with decreasing resolution when
360 using penalization. These numbers confirm quantitatively the interpretation drawn from the SSH maps: penalization
361 produces a physically correct GS separation at lower resolutions than σ -coordinates. With penalization, only a slight
362 overshoot is observed at a 1/4° resolution, and this is entirely corrected at 1/8°. Ocean circulation is less sensitive to
363 resolution when using penalization for vertical coordinates than when using σ -coordinates. The remaining sensitivity
364 is due to more active mesoscale activity at higher resolutions.

365 The planetary vorticity advection (BETA) term in the penalized runs is almost as strong at 1/8° as it is at 1/12°.
366 This is consistent with the fact that the mean slope current has more inertia at 1/8° than in the standard case, which
367 favors its separation from the coast. The increased inertia of the slope current probably results from the steering and
368 stabilizing effect of steeper bathymetry. This stabilizing effect, already suggested by the EKE maps (Section 4.2), is
369 confirmed by the ADV term (mostly representing mean eddy advection over the slope region), which tends to decrease

370 with resolution and is similar in the penalized $1/8^\circ$ run and the σ -coordinate $1/12^\circ$ run.

371 When using interpolated $1/4^\circ$ bathymetry in the $1/12^\circ$ σ -coordinate run, the result is largely degraded, with BPT
372 losing about 40% of its value. In addition, the GS overshoots even more than in the $1/4^\circ$ penalized run, despite stronger
373 mesoscale activity. On the contrary, using a smoother base bathymetry h_{base} in the penalized $1/12^\circ$ simulation does
374 not significantly change the value of BPT, the structure of GS, or its separation.

375 Note that the bottom friction appears higher in the penalized run than in the standard run. This may be an artefact,
376 as the value of the bottom friction term is particularly sensitive to the definition of the penalized solid region using
377 smooth mask functions (see Sec. 3.2.2). The exact diagnosis of bottom friction is not essential to the purpose of this
378 paper, but it should be addressed in the future.

379 5. Conclusion

380 The advantage of a smooth representation of bathymetry in terrain-following models is compromised by the need
381 to avoid numerical errors on steep slopes associated with pressure gradient discretization or spurious diapycnal diffu-
382 sion. Geopotential coordinate models avoid these types of numerical errors, but greatly under-represent the interaction
383 of flow with a topographic slope, especially when the resolution of the slope is insufficient. Hybrid σ - z coordinate
384 models are also deficient because it is difficult to achieve a satisfactory compromise between these two errors.

385 With volume penalization, we do not seek a compromise between the usual vertical coordinate systems. Instead,
386 we seek a correction to these systems that realistically recovers a continuous and steep bathymetry and avoids step-like
387 features that lead to unrealistic small-scale vorticity perturbations. Moreover, since the penalization approximates flow
388 through a porous medium, the associated permeability and porosity parameters can be tuned to model the intensity of
389 bottom drag, the structure of the bathymetry at the subgrid scale, or the composition of the seafloor substrate. Thus,
390 the penalization approach to modeling bathymetry increases the realism of many aspects of ocean modeling.

391 The volume penalization method studied here is a modified version of the one introduced in Debreu et al. (2020).
392 The main changes concern the calculation of the sublayer thicknesses in the penalized region and the temporal dis-
393 cretization of the penalization term in the momentum equations. In addition, the traditional splitting of barotropic
394 and baroclinic modes is modified to account for the variable porosity. These modifications generally simplify the

395 numerical implementation of the penalization, bring more robustness and improve its computational performance for
396 a realistic long-term simulation, while preserving its accuracy.

397 In this study we applied the volume penalization method to the Gulf Stream (GS) separation problem that has
398 perplexed ocean modelers for decades. Penalization improves the representation of the flow–bathymetry interaction,
399 allowing realistic separation of the GS even at relatively coarse resolutions. In addition, it provides a tool to separate
400 the effects of eddy activity and topographic slope when changing grid resolution. This has never before been possible,
401 because at coarse resolution none of the usual coordinate systems can properly represent a steep continental slope
402 (*e.g.*, neither z -level systems nor the terrain following σ -coordinates). Our results show that realistic bathymetry is
403 more important than eddy activity in ensuring a realistic GS separation. This is in contrast to many recent studies,
404 which tend to focus on eddy activity as the most important factor. A steep slope can exert a stabilizing influence that
405 promotes a strong mean slope current with strong inertia that helps its separation from the coast at the topographic
406 curvature of Cape Hatteras. Therefore, with penalization, the resolution required for a correct representation of the GS
407 trajectory can be coarser than the usual recommendation for resolutions as fine as $1/50^\circ$ (Chassignet and Xu, 2017).
408 We present penalized results that show a realistic GS structure at $1/8^\circ$ and a separation that already occurs at $1/4^\circ$.

409 We anticipate that a successful topographic slope correction will be especially valuable to climate models, as
410 their current resolution is far from sufficient to represent western boundary currents (WBCs) using z -level coordinate
411 systems. The error of climate models in the Gulf Stream separation and its path plays an important role in affecting
412 the atmospheric circulation in the North Atlantic (Keeley et al., 2012), and also in remote regions via a planetary
413 Rossby wave response (Lee et al., 2018). Our results suggest that a climate model with a $1/4^\circ$ resolution using volume
414 penalization — and perhaps also some parameterization of the eddy-mean flow interaction to energize the WBCs —
415 would produce much more realistic simulations of ocean circulation. The associated transport of heat and salt through
416 the global ocean would benefit greatly from a better representation of these large currents. Another area of application
417 in the coastal ocean is more realistic representation of the seafloor substrate, for example, the vegetation in deltas
418 and marshes, or the structures of roads and buildings in flooded urban areas. This could be achieved by carefully
419 optimizing the porosity and permeability parameters of the penalization model.

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511 **Appendix A. Computation of high resolution discrete porosity $\phi_{k_p}^0$**

512 We first define a high resolution vertical grid with $N^{\text{poro}} \gg N$ layers, between $z = -h$ and $z = 0$.

$$z_{k_p}^{\text{poro}} = -h + h \frac{p}{N^{\text{poro}}}.$$

Then for each high resolution level $k_p \in [1, \dots, N^{\text{poro}}]$, we find the layer k of the computational grid which includes

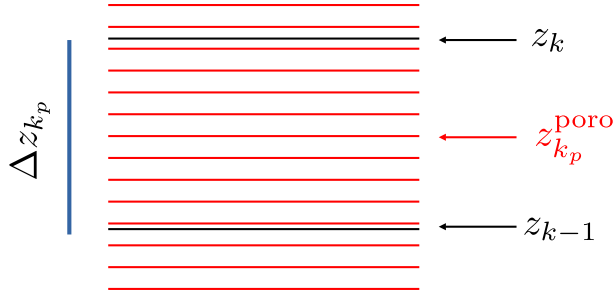


Figure A.8: The computational level k containing the high resolution interface $z_{k_p}^{\text{poro}}$. The mask function associated with high resolution level k_p is smoothed on the scale of computational level k where it is located.

513
 514 $z_{k_p}^{\text{poro}}$ (see Figure A.8), and compute its thickness Δz_{k_p} . We can then compute the smoothed mask function associated
 515 with the high resolution layer k_p as

$$\mathbb{1}_{k_p} = 1 - \frac{1}{2} \left(1 + \tanh \left(\frac{1}{2} \lambda (r_{k_p} - r_0) \right) \right),$$

516 where

$$r_{k_p} = -\frac{\frac{1}{2}(z_{k_p-1} + z_{k_p}) + h_{\text{true}}}{\Delta z_{k_p}}.$$

517 Note that the smoothing scale is the thickness of the computational grid Δz_{k_p} . Finally, the porosity of layer k_p is

$$\phi_{k_p}^0 = 1 + \mathbb{1}_{k_p}(\alpha - 1).$$

518 The porosity of the computational layer k is found by integrating the high resolution porosities $\phi_{k_p}^0$ included in the
 519 computational layer of thickness Δz_{k_p} .