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## ► To cite this version:

Luc Lesoil, Mathieu Acher, Arnaud Blouin, Jean-Marc Jézéquel. The Interaction between Inputs and Configurations fed to Software Systems: an Empirical Study. *Journal of Systems and Software*, In press. hal-03476464v1

**HAL Id: hal-03476464**

**<https://inria.hal.science/hal-03476464v1>**

Submitted on 13 Dec 2021 (v1), last revised 16 Feb 2023 (v2)

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# The Interaction between Inputs and Configurations fed to Software Systems: an Empirical Study

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the date of receipt and acceptance should be inserted later

**Abstract** Widely used software systems such as video encoders are by necessity highly configurable, with hundreds or even thousands of options to choose from. Their users often have a hard time finding suitable values for these options (*i.e.*, finding a proper configuration of the software system) to meet their goals for the tasks at hand, *e.g.*, compress a video down to a certain size. One dimension of the problem is of course that performance depends on the input data: *e.g.*, a video as input to an encoder like *x264* or a file system fed to a tool like *xz*. To achieve good performance, users should therefore take into account both dimensions of (1) software variability and (2) input data. In this problem-statement paper, we conduct a large study over 8 configurable systems that quantifies the existing interactions between input data and configurations of software systems. The results exhibit that (1) **inputs fed to software systems interact with their configuration options in non monotonous ways**, significantly impacting their performance properties (2) tuning a software system for its input data makes it possible to multiply its performance by up to ten (3) input variability can jeopardize the relevance of performance predictive models for a field deployment.

**Keywords** Input Sensitivity, Software variability, Performance prediction

## 1 Introduction

Widely used software systems are by necessity highly configurable, with hundreds or even thousands of options to choose from. For example, a tool like *xz* offers multiple options such as `-threads` or `-format` for compressing a file. The same applies to *Linux* kernels or video encoders such as *x264*: they all provide configuration options through compilation options, feature toggles, and command-line parameters. Software engineers often have a hard time finding suitable values for those options (*i.e.*, finding a proper configuration of the

9 software system) to meet their goals for the tasks at hand, *e.g.*, compile a high-  
 10 performance binary or compress a video down to a certain size while keeping  
 11 its perceived quality. Since the number of possible configurations grows expo-  
 12 nentially with the number of options, even experts may end up recommending  
 13 sub-optimal configurations for such complex software [38].

14 However, there exist cases where inputs (*e.g.*, files fed to an archiver like  
 15 *xz* or SAT formulae provided as input to a solver like *lingeling*) can also  
 16 impact software variability [52, 98]. The *x264* encoder typifies this problem.  
 17 For example, Kate, an engineer working for a VOD company, wants *x264* to  
 18 compress input videos to the smallest possible size. As illustrated in Figure 1,  
 19 she executes *x264* with two configurations C (with options `--no-mbtree --ref 1`)  
 20 and C' (with options `--no-cabac --ref 16`) on the input video I1 and states that  
 21 C is more appropriate than C' in this case. But when trying it on a second  
 22 input video I2, she draws opposite conclusions; for I2, C' leads to a smaller  
 23 output size than C. Now, Kate wonders what configuration to choose for other  
 24 inputs, C or C'? More generally, do configuration options have the same effect  
 25 on the output size despite a different input? Do options interact in the same  
 26 way no matter the inputs? These are crucial practical issues: the diversity of  
 27 existing inputs can alter her knowledge of *x264*'s variability. If it does, Kate  
 28 would have to configure *x264* as many times as there are inputs, making her  
 29 work really tedious and difficult to automate for a field deployment.

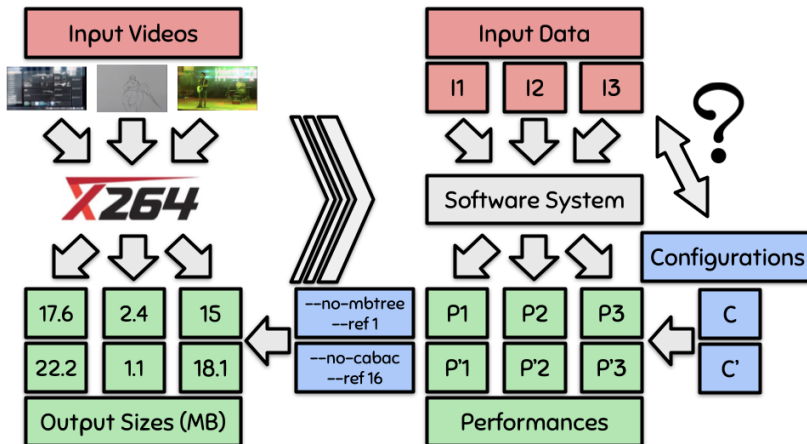


Fig. 1: This paper explores and quantifies how inputs fed to software systems interact with their configuration options.

30 In this work we conduct, to our best knowledge, the first in-depth empirical  
 31 study that measures how inputs individually interacts with software variabil-  
 32 ity. To do so, we systematically explore the impact of inputs and configuration  
 33 options on the performance properties of 8 software systems. This study re-  
 34 veals that inputs fed to software systems can indeed interact with their options  
 35 in non monotonous ways, thus significantly impacting their performance prop-

erties. This observation questions the applicability of performance predictive models trained on only one input: are they still useful for other inputs? We then survey state-of-the-art papers on configurable systems to assess whether they address this kind of input sensitivity issue.

In summary, the contributions of this paper are as follows:

- To our best knowledge, the first in-depth empirical study that investigates the interactions between input data and configurations of 8 software systems;
- We show that **inputs fed to software systems interact with their configuration options in non monotonous ways**, thus changing performance of configurable systems and making their predictions difficult to automate;
- An analysis of how 64 state-of-the-art research papers on configurable systems address this problem in practice;
- Open science: a replication bundle that contains docker images, produced datasets of measurements and code.<sup>1</sup>

The remainder of this paper is organized as follows: Section 2 explains the problem of input sensitivity and the research questions addressed in this paper. Section 3 presents the experimental protocol. Section 4 details the results. Section 5 shows how researchers address input sensitivity. Section 6 discusses the implications of our work. Section 7 details threats to validity. Section 8 presents related work. Section 9 summarizes key insights of our paper.

**Typographic Convention.** For this paper, we adopt the following typographic convention: *emphasized* will be relative to a software system, *slanted* to its configuration options and underlined to its performance properties.

## 2 Problem Statement

### 2.1 Sensitivity to Inputs of Configurable Systems

Configuration options of software systems can have different effects on performance (*e.g.*, runtime), but so can the input data. For example, a configurable video encoder like *x264* can process many kinds of inputs (videos) in addition to offering options on how to encode. Our hypothesis is that there is an interplay between configuration options and input data: some (combinations of) options may have different effects on performance depending on input.

This sensitivity to inputs may have a strong impact on engineering and research work. Developers of configurable systems that process input data should be aware of this phenomenon and test their systems on a wide variety of inputs [74]. Similarly, researchers who develop learning algorithms or optimization techniques may want to benchmark them on a realistic set of inputs

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<sup>1</sup> Available on Github:  
<https://anonymous.4open.science/r/df319578-8767-47b0-919d-a8e57eb67d25/>

74 to draw conclusions as general as possible on configuration spaces [13]. This  
75 notably concerns learning models that predict performance.

76 Researchers observed input sensitivity in multiple fields, such as SAT solvers  
77 [21, 98], compilation [16, 69], video encoding [57], data compression [46]. How-  
78 ever, existing studies either consider a limited set of configurations (*e.g.*, only  
79 default configurations), a limited set of performance properties, or a limited  
80 set of inputs [1, 11, 22, 26, 51, 71, 82]. It limits some key insights about the input  
81 sensitivity of configurable systems.

82 This work details, to the best of our knowledge, the first systematic empir-  
83 ical study that analyzes the interactions between input data and configuration  
84 options for different configurable systems. Through three research questions  
85 introduced in the next section, we characterise the input sensitivity problem  
86 and explore how this can alter our understanding of software variability.

## 87 2.2 Research Questions

88 When a developer provides a default configuration for its software system,  
89 one should ensure it will perform at best for a large panel of inputs. That is,  
90 this configuration will be near-optimal whatever the input. Hence, an hidden  
91 assumption is that two performance distributions over two different inputs  
92 are somehow related and close. In its simplest form, there could be a linear  
93 relationship between these two distributions: they simply increase or decrease  
94 with each other.

### **RQ<sub>1</sub> - Do software performance stay consistent across inputs?**

95 Are the performance distributions stable from one input to another? Are  
the rankings of performance the same for all inputs?

96 But software performance are influenced by the configuration options *e.g.*,  
97 the energy consumption [12]. An option is called influential for a performance  
98 when its values have a strong effect on this performance [17, 40]. For exam-  
99 ple, developers might wonder whether the option they add to a configurable  
100 software has an influence on its performance. However, is an option identified  
101 as influential for some inputs still influential for other inputs? If not, it would  
102 become both tedious and time-consuming to find influential options on a per-  
103 input basis. Besides, it is unclear whether activating an option is always worth  
104 it in terms of performance; an option could improve the overall performance  
105 while reducing it for few inputs. If so, users may wonder which options to  
106 enable to improve software performance based on their input data.

### **RQ<sub>2</sub> - Do configuration option's effects change with input data?**

107 Do the configuration options have the same effects for all inputs? Is an  
108 influential option influential for all inputs? Do the effects of configuration  
109 options vary with input data?

108 *RQ<sub>1</sub>* and *RQ<sub>2</sub>* study how inputs affect (1) performance distributions and  
109 (2) the effects of different configuration options. However, the performance

distributions could change in a negligible way, without affecting the software user’s experience. Before concluding on the real impact of the input sensitivity, it is necessary to quantify how much this performance changes from one input to another.

**RQ<sub>3</sub> - Can we ignore input sensitivity?** If we do, what is the loss in performance considering that all input data is the same and does not affect the software that processes it? Or, to put it more positively, what is the potential gain to tune a software system for its input data?

### 3 Experimental protocol

To answer these research questions, we have designed the following experimental protocol.

#### 3.1 Data Collection

We first collect performance data of configurable systems that process inputs.

**Protocol.** Figure 2 depicts the step-by-step protocol we respect to measure performance of software systems. Each line of Table 1 should be read following Figure 2: System and Domain with Step 1; Commit with Step 2; Configs #C with Step 3; Inputs I and #I with Step 4; #M with Step 5; Performance(s) P with Step 6; Docker links a container for executing all the steps; Dataset links the results of the protocol *i.e.*, the datasets containing the performance measurements. Figure 2 shows in beige an example with the *x264* encoder. Hereafter, we provide details for each step of the protocol.

**Steps 1 & 2 - Software Systems.** We consider 8 software systems, open-source and well-known in various fields, that the literature already studied: *gcc* [69], *ImageMagick* [83], *lingeling* [34], *nodeJS* [36], *poppler* [55], *SQLite* [85], *x264* [39] and *xz* [91]. We choose these systems because they handle different types of input data, allowing us to draw as general conclusions as possible. For each software system, we use a unique private server with the same configuration running over the same operating system.<sup>2</sup> We download and compile a unique version of the system, related to the git *Commit* in Table 1. All performance are measured with this version of the software.

**Step 3 - Configuration options C.** To select the configuration options, we read the documentation of each system. We manually extracted the options affecting the performance of the system according to the documentation. We then sampled #C configurations by using random sampling [68]. We checked the uniformity of the different option values with a Kolmogorov-Smirnov test [56] applied to each configuration option.<sup>3</sup>

<sup>2</sup> The configurations of the running environments are available at: <https://anonymous.4open.science/r/df319578-8767-47b0-919d-a8e57eb67d25/replication/Environments.md>

<sup>3</sup> Options and tests results are available at : <https://anonymous.4open.science/r/df319578-8767-47b0-919d-a8e57eb67d25/results/others/configs/sampling.md>

Table 1: Subject **Systems**. **Domain** the area of expertise using the system. **Commit** the git commit (*i.e.*, the version) of the system. **Configs #C** the number of configurations tested per system. **Inputs I** the type of input fed to the system. **#I** the number of inputs per system. **#M** the total number of measurements,  $\#M = \#I * \#C$ . **Performance(s) P** the performance properties measured per system. **Docker** the links to the containers to replicate the measurements. **Dataset** the links to the measurements.

System	Domain	Commit	Configs #C	Inputs I	#I
gcc	Compilation	ccb4e07	80	.c programs	30
ImageMagick	Image processing	5ee49d6	100	images	1000
lingeling	SAT solver	7d5db72	100	SAT formulae	351
nodeJS	JS runtime env.	78343bb	50	.js scripts	1939
poppler	PDF rendering	42dde68	16	.pdf files	1480
SQLite	DBMS	53fa025	50	databases	150
x264	Video encoding	e9a5903	201	videos	1397
xz	Data compression	e7da44d	30	system files	48

System	#M	Performance(s) P	Docker	Dataset
gcc	2400	size, ctime, exec	<a href="#">Link</a>	<a href="#">Link</a>
ImageMagick	100 000	size, time	<a href="#">Link</a>	<a href="#">Link</a>
lingeling	35 100	#confl.,#reduc.	<a href="#">Link</a>	<a href="#">Link</a>
nodeJS	96 950	#operations/s	<a href="#">Link</a>	<a href="#">Link</a>
poppler	23 680	size, time	<a href="#">Link</a>	<a href="#">Link</a>
SQLite	7500	15 query times q1-q15	<a href="#">Link</a>	<a href="#">Link</a>
x264	280 797	cpu, fps, kbs, size, time	<a href="#">Link</a>	<a href="#">Link</a>
xz	1440	size, time	<a href="#">Link</a>	<a href="#">Link</a>

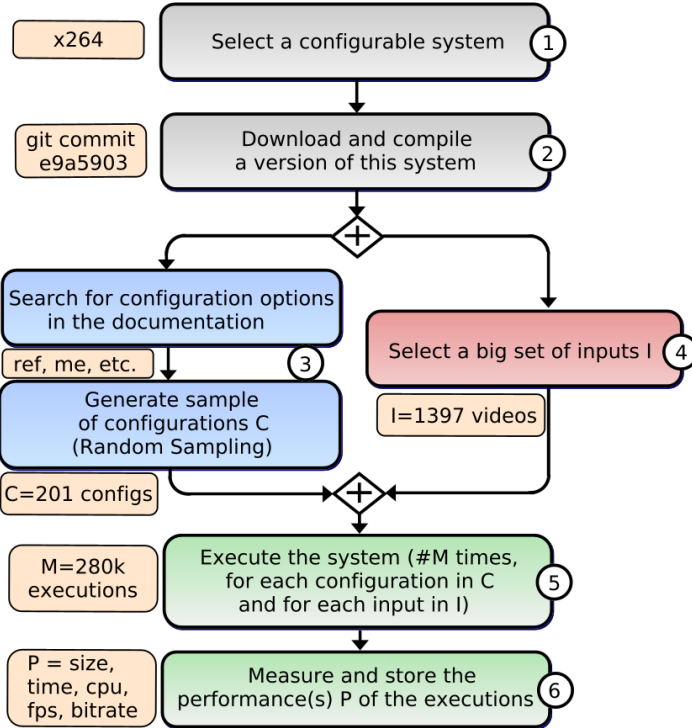


Fig. 2: Measuring performance - Protocol

**Step 4 - Inputs I.** For each system, we selected a different set of input data: for *gcc*, PolyBench v3.1 [73]; for *ImageMagick*, a sample of ImageNet [14] images (from 1.1 kB to 7.3 MB); for *lingeling*, the 2018 SAT competition’s benchmark [34]; for *nodeJS*, its test suite; for *poppler*, the Trent Nelson’s PDF Collection [64]; for *SQLite*, a set of generated TPC-H [70] databases (from 10 MB to 6 GB); for *x264*, the YouTube User General Content dataset [94] of videos (from 2.7 MB to 39.7 GB); for *xz*, the Silesia corpus [15]. These are large, well-known and freely available datasets of inputs.

**Steps 5 & 6 - Performance properties P.** For each system, we systematically executed all the configurations of C on all the inputs of I. For the #M resulting executions, we measured as many performance properties as possible: for *gcc*, ctime and exec the times needed to compile and execute a program and the size of the binary; for *ImageMagick*, the time to apply a Gaussian blur [35] to an image and the size of the resulting image; for *lingeling*, the number of reductions and conflicts found in 10 seconds of execution; for *nodeJS*, the number of operations per second (ops) executed by the script; for *poppler*, the time needed to extract the images of the pdf, and the size of the images; for *SQLite*, the time needed to answer 15 different queries q1-q15; for *x264*, the size of the compressed video, the elapsed time, the cpu usage (percentage), the bitrate (the average amount of data encoded per second) and the average number of frames encoded per second (fps); for *xz*, the size of the compressed file, and the time needed to compress it.

**Replication.** To allow researchers to easily replicate the measurement process, we provide a docker container for each system (see the links in the *Docker* column of Table 1). We also publish the resulting datasets online (see the links in the *Dataset* column) and in the companion repository with additional replication details.<sup>4</sup>

For the next research questions, our results are computed with Python v3.7.6 and specific versions of data science libraries.<sup>5</sup>

### 3.2 Performance Correlations ( $RQ_1$ )

Based on the analysis of the data collected in Section 3.1, we can now answer the first research question: **RQ<sub>1</sub> - Do software performance stay consistent across inputs?** To check this hypothesis, we compute, analyze and compare the Spearman’s rank-order correlation [45] of each couple of inputs for each system. It is appropriate in our case since all performance properties are quantitative variables measured on the same set of configurations.

**Spearman correlations.** The correlations are considered as a measure of similarity between the configurations’ performance over two inputs. We compute the related *p*-values: a correlation whose *p*-value is higher than the chosen threshold 0.05 is considered as null. We use the Evans rule [20] to

<sup>4</sup> Guidelines for replication are available at: <https://anonymous.4open.science/r/df319578-8767-47b0-919d-a8e57eb67d25/replication/README.md>

<sup>5</sup> The description of the python environment is available at: <https://anonymous.4open.science/r/df319578-8767-47b0-919d-a8e57eb67d25/replication/requirements.txt>



183 interpret these correlations. In absolute value, we refer to correlations by the  
184 following labels; very low: 0-0.19, low: 0.2-0.39, moderate: 0.4-0.59, strong: 0.6-  
185 0.79, very strong: 0.8-1.00. A negative score tends to reverse the ranking of  
186 configurations. Very low or negative scores have practical implications: a good  
187 configuration for an input can very well exhibit bad performance for another  
188 input.

### 189 3.3 Effects of Options ( $RQ_2$ )

190 To understand how a performance model can change based on a given input,  
191 we next study how input data interact with configuration options. **RQ<sub>2</sub> - Do  
192 configuration option’s effects change with input data?** To assess the  
193 relative significance and effect of options, we use two well-known statistical  
194 methods [8, 77].

195 **Random Forest Importances.** The tree structure provides insights about  
196 the most essential options for prediction, because such a tree first splits w.r.t.  
197 options that provide the highest information gain. We use random forests [8],  
198 a vote between multiple decision trees: we can derive, from the forests trained  
199 on the inputs, estimates of the options importance. The computation of option  
200 importance is realized through the observation of the effect on random forest  
201 accuracy when randomly shuffling each predictor variable [58]. For a random  
202 forest, we consider that an option is influential if the median (on all inputs) of  
203 its option importance is greater than  $\frac{1}{n_{opt}}$ , where  $n_{opt}$  is the number of options  
204 considered in the dataset. This threshold represents the theoretic importance  
205 of options for a software having equally important options -inspired by the  
206 Kaiser rule [102].

207 **Linear Regression Coefficients.** The coefficients of an ordinary least  
208 square regression [77] weight the effect of configuration options. These coef-  
209 ficients can be positive (resp. negative) if a bigger (resp. lower) option value  
210 results in a bigger performance. Ideally, the sign of the coefficients of a given  
211 option should remain the same for all inputs: it would suggest that the effect  
212 of an option onto performance is stable. We also provide details about coeffi-  
213 cients related to the interactions of options (*i.e.*, feature interactions [76, 89])  
214 in  $RQ_2$  results.

### 215 3.4 Impact of Input Sensitivity ( $RQ_3$ )

216 To complete this experimental protocol, we ask whether adapting the software  
217 to its input data is worth the cost of finding the right set of parameters *i.e.*,  
218 the concrete impact of input sensitivity. **RQ<sub>3</sub> - Can we ignore input sen-  
219 sitivity?** To estimate how much we can lose, we first define two scenarios  $S_1$   
220 and  $S_2$ :

221  $S_1$ : *Baseline.* In this scenario, we value input sensitivity and just train a simple  
222 performance model on an input - *i.e.*, the target input. We choose the best

configuration according to the model, configure the related software with it and execute it on the target input.

$S_2$ : *Ignoring input sensitivity*. In this scenario, let us pretend that we ignore the input sensitivity issue. We train a model related to a given input *i.e.*, the source input, and then predict the best configuration for this source input. If we ignore the issue of input sensitivity, we can easily reuse this model for any other input, including the target input defined in  $S_1$ . Finally, we execute the software with the configuration predicted by our model on the target input.

In this part, we systematically compare  $S_1$  and  $S_2$  in terms of performance for all inputs, all performance properties and all software systems. For  $S_1$ , we repeat the scenario ten times with different sources, uniformly chosen among other inputs and consider the average performance. For both scenarios, due to the imprecision of the learning procedure, the models can recommend sub-optimal configurations. Since this imprecision can alter the results, we consider an ideal case for both scenarios and assume that the performance models always recommend the best possible configuration.

**Performance ratio.** To compare  $S_1$  and  $S_2$ , we use a performance ratio *i.e.*, the performance obtained in  $S_1$  over the performance obtained in  $S_2$ . If the ratio is equal to 1, there is no difference between  $S_1$  and  $S_2$  and the input sensitivity does not exist. A ratio of 1.4 would suggest that the performance of  $S_1$  is worth 1.4 times the performance of  $S_2$ ; therefore, it is possible to gain up to  $(1.4 - 1) * 100 = 40\%$  performance by choosing  $S_1$  instead of  $S_2$ . We also report on the standard deviation of the performance ratio distribution. A standard deviation of 0 implies that for each input, we gain or lose the same proportion of performance when picking  $S_1$  over  $S_2$ .

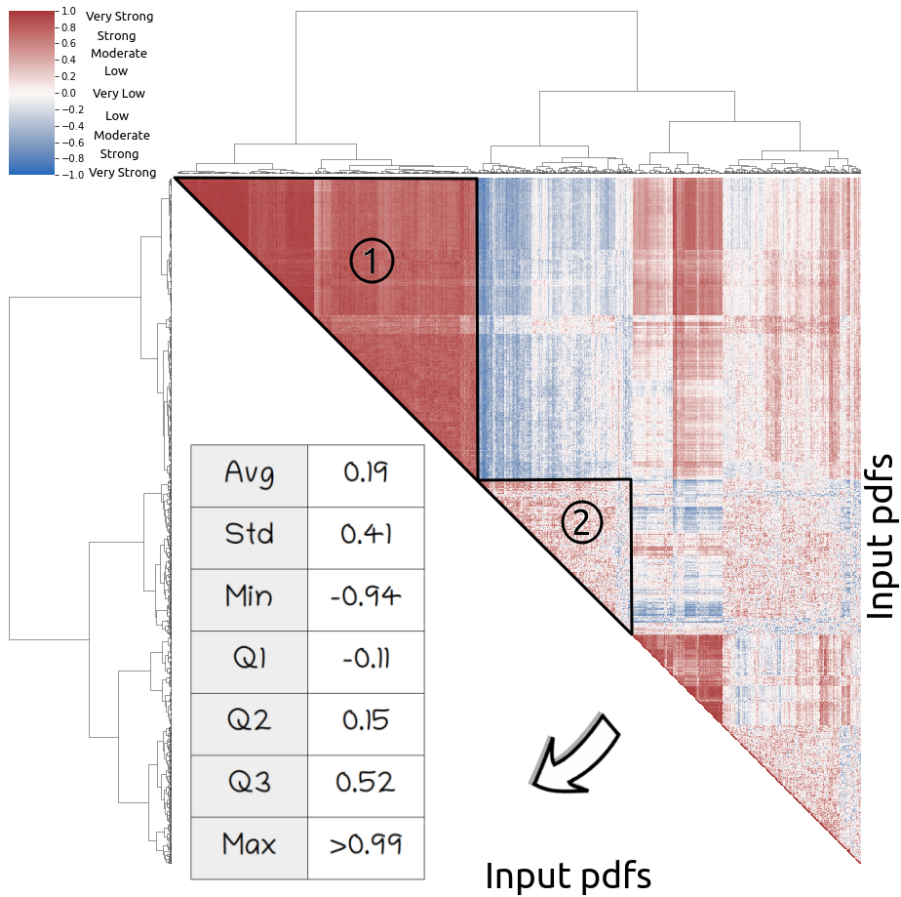
## 4 Results

We now present the results obtained by following the methodology defined in Section 3.

### 4.1 Performance Correlations ( $RQ_1$ )

We first explain the results of  $RQ_1$  and their consequences on the *poppler* use case *i.e.*, an extreme case of input sensitivity, and then generalize to our other software systems.

**Extract images of input pdfs with *poppler*.** The content of pdf files fed to *poppler* may vary; the input pdf can be a 2-page report with a simple figure, a 10-page article or a 300-page picture book. Depending on this content, extracting the images embedded in those files can be quick or slow. Moreover, a user can adapt different configurations for the report and not for the book (or conversely), leading to different rankings in terms of extraction time.



Each square $_{(i,j)}$  represents the Spearman correlation between the time needed to extract the images of pdfs  $i$  and  $j$ . The color of this square respects the top-left scale: high positive correlations are red; low in white; negative in blue. Because we cannot describe each correlation individually, we added a table describing their distribution.

Fig. 3: Spearman correlogram - *poppler*, time.

262 In Figure 3, we depict the Spearman rank-order correlations, in terms of  
 263 extraction time, between pairs of input pdfs fed to *poppler*. We also perform  
 264 hierarchical clustering [42] on *poppler* data to gather inputs having similar time  
 265 distributions and visually group correlated pdfs together.<sup>6</sup> Results suggest a  
 266 positive correlation (see dark red cells), though there are pairs of inputs with  
 267 lower (see white cells) and even negative (see dark blue cells) correlations.  
 268 More than a quarter of the correlations between input pdfs are positive and  
 269 at least moderate - third quartile Q3 greater than 0.52.

270 On the top-left part of the correlogram (see triangle ①), we even observe  
 271 a first group of input pdfs that are highly correlated with each other - posi-

<sup>6</sup> Detailed  $RQ_1$  results for other systems available at: <https://anonymous.4open.science/r/df319578-8767-47b0-919d-a8e57eb67d25/results/RQS/RQ1/RQ1.md>

tively, strong or very strong. In this first group, the input pdfs have similar time rankings; their performance react the same way to the same configurations. However, this group of pdfs is uncorrelated (very low, low) or negatively correlated (moderate, strong and very strong) with the second group of pdfs - see the triangle ②. In this case, a single configuration working for the group ① should not be reused directly on a pdf of the group ②.

**Meta-analysis.** Over the 8 systems, we observe different cases:

- There exist software systems not sensitive at all to inputs. In our experiment, *gcc*, *imagemagick* and *xz* present almost exclusively high and positive correlations between inputs *e.g.*,  $Q1 = 0.82$  for the compressed size and *xz*. For these, un- or negatively-correlated inputs are an exception more than a rule.
- In contrast, there are software systems, namely *lingeling*, *nodeJS*, *SQLite* and *poppler*, for which performance distributions completely change and depend on input data *e.g.*,  $Q2 = 0.09$  for *nodeJS* and ops,  $Q3 = 0.12$  for *lingeling* and conflicts. For these, we draw similar conclusions as in the *poppler* case.
- In between, *x264* is only input-sensitive w.r.t. a performance property; it is for bitrate and size but not for cpu, fps and time *e.g.*, 0.29 as standard deviation for size and bitrate but 0.08 for the time.

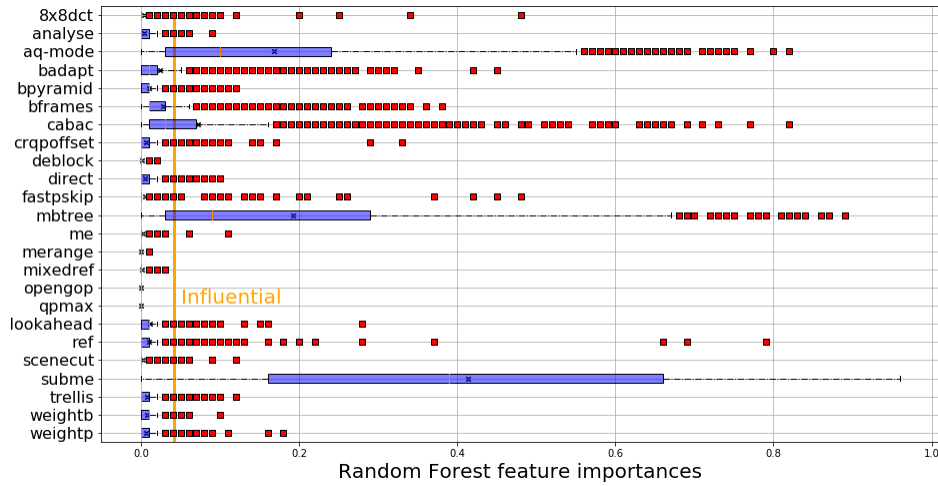
**RQ<sub>1</sub> - Do software performance stay consistent across inputs?**

Performance distributions can change depending on inputs. Our systematic empirical study shows evidences about the existence of input sensitivity: (1) input sensitivity does not affect all systems; (2) input sensitivity may affect not the whole systems but some specific performance properties. So, without having scrutinized the input sensitivity of a system, one cannot develop techniques sensitive to this phenomenon.

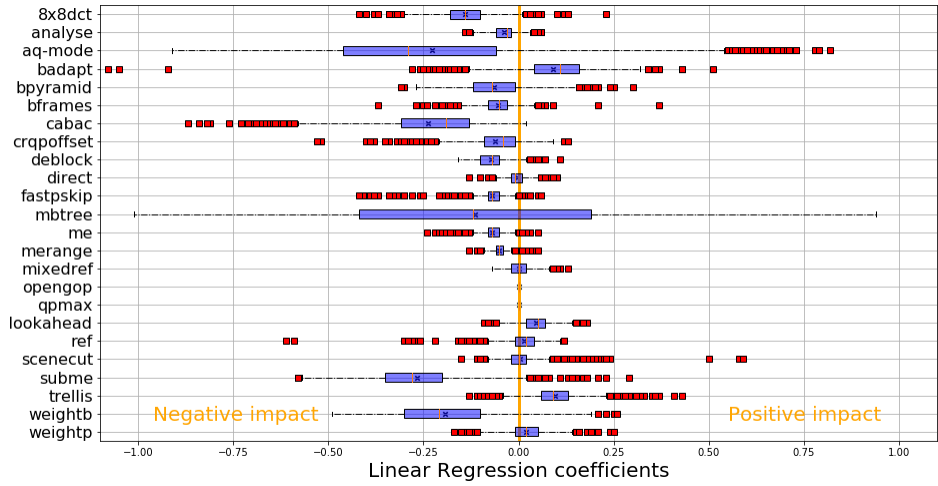
4.2 Effects of Options (*RQ<sub>2</sub>*)

We first explain the results of *RQ<sub>2</sub>* and their concrete consequences on the bitrate of *x264* - an input-sensitive case, to then generalize to other software systems.

**Encode input videos with *x264*.** *x264* can encode different kinds of videos, such as an animation movie with many details, or a soccer game with large and monochromatic areas of grass. When encoding the soccer game, *x264* can use those fixed green areas to reduce the amount of data encoded per second (*i.e.*, the bitrate). In other words, configuration options aggregating pixels (*e.g.*, macro-block tree estimation mbtree) could both reduce the bitrate for the soccer game and increase the bitrate for the animation movie where nothing can be aggregated.



(a) Importance



(b) Effect

Fig. 4: Importance and effect of configuration options -  $x264$ , bitrate

305 Figures 4a and 4b report on respectively the boxplots of configuration op-  
 306 tions' feature importances and effects when predicting  $x264$ 's bitrate for all  
 307 input videos.<sup>7</sup> Three options are strongly influential for a majority of videos  
 308 on Figure 4a: subme, mbtree and aq-mode, but their importance can differ  
 309 depending on input videos: for instance, the importance of subme is 0.83 for  
 310 video #1365 and only 0.01 for video #40. Because influential options vary  
 311 with input videos for  $x264$ , performance models and approaches based on *fea-*

<sup>7</sup> Detailed  $RQ_2$  results for other systems available at: <https://anonymous.4open.science/r/df319578-8767-47b0-919d-a8e57eb67d25/results/RQS/RQ2/RQ2.md>

ture selection [58] may not generalize well to all input videos. Most of the options have positive and negative coefficients on Figure 4b; thus, the specific effects of options heavily depend on input videos. It is also true for influential options: `mbtree` can have positive and negative (influential) effects on the `bitrate` *i.e.*, activating `mbtree` may be worth only for few input videos. The consequence is that one cannot reliably provide end-users with a unique `x264` default configuration whatever the input is.

Another interesting point is the link between  $RQ_1$  and  $RQ_2$  for `x264` and the `bitrate`; the more stable the effect of options in  $RQ_2$ , the more stable the distribution of performance in  $RQ_1$ . In fact, in a group of highly-correlated input videos (*e.g.*, like the group ① of pdfs in Figure 3, but for `x264`), the effect and importance of options are stable *i.e.*, the inputs all react the same way to the same options.<sup>8</sup> These different effects of influential options of `x264` may alter its encoding performance, thus explaining the different distributions pointed out in  $RQ_1$ . Under these circumstances, configuring the software system once per group of inputs is probably a reasonable solution for tackling input sensitivity.

**Meta-analysis.** For `gcc`, `imagemagick` and `xz`, the importances are quite stable. As an extreme case of stability, the importances of the compressed `size` for `xz` are exactly the same, except for two inputs. For these systems, the coefficients of linear regression mostly keep the same sign across inputs *i.e.*, the effects of options do not change with inputs. For input-sensitive software systems, we always observe high variations of options' effects (`lingeling`, `poppler` or `SQLite`), sometimes coupled to high variations of options' importances (`nodeJS`). For instance, the option `format` for `poppler` can have an importance of 0 or 1 depending on the input. For all software systems, there exists at least one performance property whose effects are not stable for all inputs *e.g.*, one input with negative coefficient and another with a positive coefficient. For `x264`, it depends on the performance property; for `cpu`, `fps` and `time`, the effect of influential options are stable for all inputs, while for the `bitrate` and the `size`, we can draw the conclusions previously presented in this section.

**RQ<sub>2</sub> - Do configuration option's effects change with input data?** Different inputs lead to different configuration options' significance and effects. A set of influential options with changing effects can alter the distribution of performance, thus explaining  $RQ_1$  results. Therefore, a configuration should not be fixed across inputs but evolve according to the input data fed to the system.

<sup>8</sup> See the detailed case of `x264` at: [https://anonymous.4open.science/r/df319578-8767-47b0-919d-a8e57eb67d25/results/others/x264\\_groups/x264\\_bitrate.md](https://anonymous.4open.science/r/df319578-8767-47b0-919d-a8e57eb67d25/results/others/x264_groups/x264_bitrate.md)

344 4.3 Impact of Input Sensitivity ( $RQ_3$ )

345 This section presents the evaluation of  $RQ_3$  w.r.t. the protocol of Section 3.4.  
 346 In Table 2, we computed the performance ratios for the different software  
 347 systems and their performance properties.<sup>9</sup>

Table 2: Performance ratio distributions across inputs, for different software systems and different performance properties. In lines, *Avg* the average performance ratio. *Std* the standard deviation.  $5^{th}$  the  $5^{th}$  percentile. *Q1* the first quartile. *Q2* the median. *Q3* the third quartile.  $95^{th}$  the  $95^{th}$  percentile. Due to space constraints, we arbitrarily select few performance properties.

<i>System</i>	<i>gcc</i>			<i>lingeling</i>		<i>nodeJS</i>	<i>poppler</i>	
Perf. P	ctime	exec	size	confl	reduc	ops	size	time
Avg	1.08	1.13	1.27	2.11	1.38	1.73	1.56	2.69
Std	0.07	0.07	0.36	2.6	0.79	1.88	1.27	3.72
$5^{th}$	1.0	1.05	1.01	1.02	1.0	1.01	1.0	1.03
Q1	1.01	1.11	1.04	1.05	1.04	1.08	1.0	1.14
Q2	1.08	1.12	1.16	1.14	1.11	1.16	1.07	1.38
Q3	1.11	1.14	1.32	1.47	1.25	1.54	1.51	2.22
$95^{th}$	1.2	1.2	1.97	8.05	2.79	4.22	3.85	10.11

<i>System</i>	<i>SQLite</i>			<i>x264</i>				<i>xz</i>		
Perf. P	q1	q12	q14	cpu	etime	fps	bitrate	size	size	time
Avg	1.03	1.08	1.07	1.42	1.43	1.1	1.11	1.11	1.0	1.08
Std	0.02	0.05	0.05	1.27	1.45	0.14	0.13	0.13	0.0	0.06
$5^{th}$	1.01	1.01	1.01	1.05	1.05	1.02	1.01	1.02	1.0	1.0
Q1	1.02	1.03	1.03	1.12	1.12	1.04	1.03	1.05	1.0	1.02
Q2	1.03	1.07	1.07	1.21	1.21	1.06	1.07	1.08	1.0	1.07
Q3	1.04	1.11	1.09	1.38	1.37	1.1	1.15	1.12	1.0	1.11
$95^{th}$	1.08	1.17	1.16	2.11	2.11	1.25	1.32	1.28	1.0	1.2

348 For software systems whose performance are stable across inputs (*gcc*, *im-*  
 349 *agemagick* and *xz*), there are few differences between inputs. For instance, for  
 350 the output size of *xz*, there is no variation between scenarios  $S_1$  (*i.e.*, using  
 351 the best configuration) and  $S_2$  (*i.e.*, reusing a the best configuration of a given  
 352 input for another input): all performance ratios (*i.e.*, performance  $S_1$  over  
 353 performance  $S_2$ ) are equals to 1 whatever the input.

354 For input-sensitive software systems (*lingeling*, *nodeJS*, *SQLite* and *pop-*  
 355 *pler*), changing the configuration can lead to a negligible change in a few cases.  
 356 For instance, for the time to answer the first query q1 with *SQLite*, the third  
 357 quartile is 1.04; in this case, *SQLite* is sensitive to inputs, but its variations  
 358 of performance -less than 4%- do not justify the complexity of tuning the  
 359 software. But it can also be a huge change; for *lingeling* and solved conflicts,  
 360 the  $95^{th}$  percentile ratio is equal to 8.05 *i.e.*, a factor of 8 between  $S_1$  and  
 361  $S_2$ . It goes up to a ratio of 10.11 for *poppler*'s extraction time: there exists an  
 362 input pdf for which extracting its images is ten times slower when reusing a  
 363 configuration, compared to the best one (*i.e.*, the fastest).

<sup>9</sup> Detailed  $RQ_3$  results for other performance properties available at: <https://anonymous.4open.science/r/df319578-8767-47b0-919d-a8e57eb67d25/results/RQS/RQ3/RQ3.md>

In between, *x264* is a complex case. For its low input-sensitive performance (e.g., `cpu` and `etime`), it moderately impacts the performance when reusing a configuration from one input to another - average ratios at resp. 1.42 and 1.43. In this case, the rankings of performance do not change a lot with inputs, but a small ranking change does make the difference in terms of performance.

On the contrary, for the input-sensitive performance (e.g., the `bitrate`), there are few variations of performance: we can lose  $1 - \frac{1}{1.11} \simeq 9\%$  of `bitrate` in average. In this case, it is up to the compression experts to decide; if losing up to  $1 - \frac{1}{1.32} \simeq 24\%$  of `bitrate` is acceptable, then we can ignore input sensitivity. Otherwise, we should consider tuning *x264* for its input video.

**RQ<sub>3</sub> - Can we ignore input sensitivity?** There exist input-sensitive cases for which the difference of performance does not justify to consider the input sensitivity e.g., 5% change is probably negligible. However, performance can be multiplied up to a ratio of 10 if we tune other systems for their input data: we cannot ignore it.

## 5 Sensitivity to Inputs in Research

In this section, we explore the significance of the input sensitivity problem in research. Do researchers know the issue of input sensitivity? How do they deal with inputs in their papers? Is the interaction between software configurations and input sensitivity a well-known issue?

### 5.1 Experimental Protocol

First, we aim at gathering research papers that actually predict performance of configurable systems *i.e.*, with a performance model [28].

**Gather research papers.** We focused on the publications of the last ten years. To do so, we analyzed the papers published (strictly) after 2011 from the survey of Pereira *et al.* [67] - published in 2019. We completed those papers with more recent papers (2019-2021), following the same procedure as in [67]. We have only kept research work that trained performance models on software systems.

**Search for input sensitivity.** We read each selected paper and answered four different questions: Q-A. Is there a software system processing input data in the study? If not, the impact of input sensitivity in the existing research work would be relatively low. The idea of this research question is to estimate the proportion of the performance models that could be affected by input sensitivity. Q-B. Does the experimental protocol include several inputs? If not, it would suggest that the performance model only captures a partial truth, and might not generalize for other inputs fed to the software system. Q-C. Is the problem of input sensitivity mentioned *e.g.*, in threat? This question



398 aims to state whether researchers are aware of the input sensitivity issue, and  
 399 estimate the proportion of the papers that mention it as a potential threat to  
 400 validity. Q-D. Does the paper propose a solution to generalize the performance  
 401 model across inputs? Finally, we check whether the paper proposes a solution  
 402 managing input sensitivity *i.e.*, if the proposed approach could be adapted  
 403 to our problem and predict a near-optimal configuration for any input. The  
 404 results were obtained by one author and validated by all other co-authors.

## 405 5.2 How do Research Papers address Input Sensitivity?

406 Table 3 lists the 64 research papers we identified following this protocol, as  
 407 well as their individual answers to Q-A→Q-D. A checked cell indicates that the  
 408 answer to the corresponding question (column) for the corresponding paper  
 409 (line) is *yes*. Since answering Q-B, Q-C or Q-D only makes sense if Q-A is  
 410 checked, we grayed and did not consider Q-B, Q-C and Q-D if the answer  
 411 of Q-A is *no*. We also provide full references and detailed justifications in  
 412 the companion repository.<sup>10</sup> We now comment the average results for each  
 413 question:

Table 3: Input sensitivity in research. Paper identifier *ID* in the list. *Authors* of the paper. *Conference* in which the paper was accepted. *Year* of publication of the paper. *Title* of the paper. *Q-A*. Is there a software system processing input data in the study? *Q-B*. Does the experimental protocol include several inputs? *Q-C*. Is the problem of input sensitivity mentioned *e.g.*, in threat? *Q-D*. Does the paper propose a solution to generalize the performance model across inputs? Due to space limitation, we do not justify the answers directly in the paper, see the companion repository (file [results/RQS/RQ4/RQ4.md](#)) for justifications.

<i>ID</i>	<i>Authors</i>	<i>Conference</i>	<i>Year</i>	<i>Title</i>	<i>Q-A</i>	<i>Q-B</i>	<i>Q-C</i>	<i>Q-D</i>
1	Guo <i>et al.</i> [29]	ESE	2017	Data-efficient performance learning for configurable systems	X			
2	Jamshidi <i>et al.</i> [41]	SEAMS	2017	Transfer learning for improving model predictions [...]	X	X	X	
3	Jamshidi <i>et al.</i> [39]	ASE	2017	Transfer learning for performance modeling of configurable [...]	X	X	X	X
4	Oh <i>al.</i> [65]	ESEC/FSE	2017	Finding near-optimal configurations in product lines by [...]	X			
5	Kolesnikov <i>et al.</i> [47]	SoSyM	2018	Tradeoffs in modeling performance of highly configurable [...]	X			
6	Nair <i>al.</i> [61]	ESEC/FSE	2017	Using bad learners to find good configurations	X	X		
7	Nair <i>al.</i> [63]	TSE	2018	Finding Faster Configurations using FLASH	X	X	X	
8	Murwantara <i>et al.</i> [59]	iiWAS	2014	Measuring Energy Consumption for Web Service Product [...]	X	X	X	X

<sup>10</sup> The list of papers can be consulted at <https://anonymous.4open.science/r/df319578-8767-47b0-919d-a8e57eb67d25/results/RQS/RQ4/RQ4.md>

ID	Authors	Conference	Year	Title	Q-A	Q-B	Q-C	Q-D
9	Temple <i>et al.</i> [87]	SPLC	2016	Using Machine Learning to Infer Constraints for Product Lines				
10	Temple <i>et al.</i> [85]	IEEE Soft.	2017	Learning Contextual-Variability Models	X		X	
11	Valov <i>et al.</i> [91]	ICPE	2017	Transferring performance prediction models across different [...]	X		X	X
12	Weckesser <i>et al.</i> [96]	SPLC	2018	Optimal reconfiguration of dynamic software product [...]				
13	Acher <i>et al.</i> [2]	VaMoS	2018	VaryLATEX: Learning Paper Variants That Meet Constraints	X	X		X
14	Sarkar <i>et al.</i> [76]	ASE	2015	Cost-Efficient Sampling for Performance Prediction of [...]	X			
15	Temple <i>et al.</i> [84]	Report	2018	Towards Adversarial Configurations for Software Product Lines				
16	Nair <i>et al.</i> [62]	ASE	2018	Faster Discovery of Faster System Configurations with [...]	X			
17	Siegmund <i>et al.</i> [79]	ESEC/FSE	2015	Performance-Influence Models for Highly Configurable Systems	X			
18	Valov <i>et al.</i> [89]	SPLC	2015	Empirical comparison of regression methods for [...]	X			
19	Zhang <i>et al.</i> [99]	ASE	2015	Performance Prediction of Configurable Software Systems [...]	X		X	
20	Kolesnikov <i>et al.</i> [48]	ESE	2019	On the relation of control-flow and performance feature [...]	X			
21	Couto <i>et al.</i> [12]	SPLC	2017	Products go Green: Worst-Case Energy Consumption [...]	X		X	
22	Van Aken <i>et al.</i> [92]	SIGMOD	2017	Automatic Database Management System Tuning Through [...]	X	X	X	X
23	Kaltenecker <i>et al.</i> [44]	ICSE	2019	Distance-based sampling of software configuration spaces	X			
24	Jamshidi <i>et al.</i> [40]	ESEC/FSE	2018	Learning to sample: exploiting similarities across [...]	X	X	X	X
25	Jamshidi <i>et al.</i> [38]	MASCOTS	2016	An Uncertainty-Aware Approach to Optimal Configuration of [...]	X	X	X	
26	Lillacka <i>et al.</i> [53]	Soft. Eng.	2013	Improved prediction of non-functional properties in Software [...]	X	X	X	X
27	Zuluaga <i>et al.</i> [101]	JMLR	2016	$\epsilon$ -pal: an active learning approach [...]	X	X		
28	Amand <i>et al.</i> [6]	VaMoS	2019	Towards Learning-Aided Configuration in 3D Printing [...]	X	X	X	
29	Alipourfard <i>et al.</i> [4]	NSDI	2017	CherryPick: Adaptively unearthing the best cloud [...]	X	X	X	
30	Saleem <i>et al.</i> [75]	TSC	2015	Personalized Decision-Strategy based Web Service Selection [...]	X	X		
31	Zhang <i>et al.</i> [100]	SPLC	2016	A mathematical model of performance-relevant [...]	X			
32	Ghamizi <i>et al.</i> [25]	SPLC	2019	Automated Search for Configurations of Deep Neural [...]	X	X	X	
33	Grebhahn <i>et al.</i> [27]	CPE	2017	Performance-influence models of multigrid methods [...]				
34	Bao <i>et al.</i> [7]	ASE	2018	AutoConfig: Automatic Configuration Tuning for Distributed [...]	X	X		
35	Guo <i>et al.</i> [28]	ASE	2013	Variability-aware performance prediction: A statistical [...]	X			
36	Švogor <i>et al.</i> [103]	IST	2019	An extensible framework for software configuration optim[...]	X	X		

<i>ID</i>	<i>Authors</i>	<i>Conference</i>	<i>Year</i>	<i>Title</i>	<i>Q-A</i>	<i>Q-B</i>	<i>Q-C</i>	<i>Q-D</i>
37	El Afia <i>et al.</i> [3]	CloudTech	2018	Performance prediction using support vector machine for the [...]	X	X		
38	Ding <i>et al.</i> [16]	PLDI	2015	Autotuning algorithmic choice for input sensitivity	X	X	X	X
39	Duarte <i>et al.</i> [19]	SEAMS	2018	Learning Non-Deterministic Impact Models for Adaptation	X	X	X	X
40	Thornton <i>et al.</i> [88]	KDD	2013	Auto-WEKA: Combined selection and hyperparameter [...]	X	X	X	
41	Siegmund <i>et al.</i> [80]	ICSE	2012	Predicting performance via automated feature-inter[...]	X	X	X	
42	Siegmund <i>et al.</i> [81]	SQJ	2012	SPL Conqueror: Toward optimization of non-functional [...]	X	X		
43	Westermann <i>et al.</i> [97]	ASE	2012	Automated inference of goal-oriented performance prediction [...]	X	X		
44	Velez <i>et al.</i> [93]	ICSE	2021	White-Box Analysis over Machine Learning: Modeling [...]	X	X		
45	Pereira <i>et al.</i> [5]	ICPE	2020	Sampling Effect on Performance Prediction of Configurable [...]	X	X	X	
46	Shu <i>et al.</i> [78]	ESEM	2020	Perf-AL: Performance prediction for configurable software [...]	X			
47	Dorn <i>et al.</i> [18]	ASE	2020	Mastering Uncertainty in Performance Estimations of [...]	X			
48	Kaltenecker <i>et al.</i> [43]	IEEE Soft.	2020	The Interplay of Sampling and Machine Learning for Software [...]	X			
49	Krishna <i>et al.</i> [49]	TSE	2020	Whence to Learn? Transferring Knowledge in Configurable [...]	X	X	X	X
50	Weber <i>et al.</i> [95]	ICSE	2021	White-Box Performance-Influence Models: A Profiling [...]	X	X		
51	Mühlbauer <i>et al.</i> [60]	ASE	2020	Identifying Software Performance Changes Across Variants [...]	X	X		
52	Han <i>et al.</i> [32]	Report	2020	Automated Performance Tuning for Highly-Configurable [...]	X	X		
53	Han <i>et al.</i> [33]	ICPE	2021	ConfProf: White-Box Performance Profiling of Configuration [...]	X		X	
54	Valov <i>et al.</i> [90]	ICPE	2020	Transferring Pareto Frontiers across Heterogeneous Hardware [...]	X			X
55	Liu <i>et al.</i> [54]	CF	2020	Deffe: a data-efficient framework for performance [...]	X	X	X	X
56	Fu <i>et al.</i> [23]	NSDI	2021	On the Use of ML for Blackbox System Performance Prediction	X	X	X	X
57	Larsson <i>et al.</i> [50]	IFIP	2021	Source Selection in Transfer Learning for Improved Service [...]	X	X	X	X
58	Chen <i>et al.</i> [9]	ICSE	2021	Efficient Compiler Autotuning via Bayesian Optimization	X	X	X	
59	Chen <i>et al.</i> [10]	SEAMS	2019	All Versus One: An Empirical Comparison on Retrained [...]	X	X		
60	Ha <i>et al.</i> [30]	ICSE	2019	DeepPerf: Performance Prediction for Configurable Software [...]	X			
61	Pei <i>et al.</i> [66]	Report	2019	DeepXplore: automated whitebox testing of deep learning systems	X	X		
62	Ha <i>et al.</i> [31]	ICSME	2019	Performance-Influence Model for Highly Configurable [...]	X			
63	Iorio <i>et al.</i> [37]	CloudCom	2019	Transfer Learning for Cross-Model Regression in Performance [...]	X	X	X	X
64	Koc <i>et al.</i> [72]	ASE	2021	SATune: A Study-Driven Auto-Tuning Approach for [...]	X	X	X	X
Total					60	38	28	16

**Q-A.** Is there a software system processing input data in the study? Of the 64 papers, 60 (94%) consider at least one configurable system processing inputs. This large proportion gives credits to input sensitivity and its potential impact on research work.

**Q-B.** Does the experimental protocol include several inputs? 63% of the research work answering *yes* to Q-A include different inputs in their protocol. But what about the other 37%? It is understandable not to consider several inputs because of the cost of measurements. However, if we reproduce all experiments of Table 3 using other input data, will we draw the same conclusions for each paper? Based on the results of  $RQ_1 \rightarrow RQ_3$ , we encourage all researchers to consider at least a set of well-chosen inputs in their protocol *e.g.*, an input per group, as shown in  $RQ_1$ . We give an example of such a set for  $x264$  in Section 6.

**Q-C.** Is the problem of input sensitivity mentioned *e.g.*, in threat? Only half (47%) of the papers mention the issue of input sensitivity, mostly without naming it or using a domain-specific keyword *e.g.*, workload variation [91]. For the other half, we cannot guarantee with certainty that input sensitivity concerns all papers. But we shed light on this issue: ignoring input sensitivity can prevent the generalization of performance models across inputs. This is especially true for the 37% of papers answering *no* to Q-B *i.e.*, considering one input per system: only 14% of these research works mention it in their publication.

**Q-D.** Does the paper propose a solution to generalize the performance model across inputs? We identified 16 papers [2, 16, 19, 23, 37, 39, 40, 49, 50, 53, 54, 59, 72, 90–92] proposing contributions that may help in better managing the input sensitivity problem, and that should be adapted and tested (*e.g.*, with our data) to evaluate their ability to support this problem.

**Conclusion.** While half of the research articles mention input sensitivity, few actually address it, and most often on a single system and domain. Input sensitivity can affect multiple research works and questions their practical relevance for a field deployment.

## 6 Impact for researchers and research opportunities

This section discusses the implications of our work.

**Impacts for researchers.** We warn researchers that the effectiveness of learning strategies for a given configurable system can be biased by the inputs and the performance property used. That is, a sampling strategy, a prediction or optimisation algorithm, or a transfer technique may well be highly accurate for an input and still inaccurate for others. Most of the studies neglect either inputs or configurations, which is perfectly understandable owing to the investments required. However, the scientific community should be extremely

451 careful with this input sensitivity issue. In view of the results of our study, new  
452 problems deserve to be tackled with associated challenges. We detail some of  
453 them hereafter.

454 **Sampling configurations.** With the promise to select a small and repre-  
455 sentative sample set of valid configurations, several sampling strategies have  
456 been devised in the last years [5, 43, 67] (*e.g.*, random sampling, *t*-wise sam-  
457 pling, distance-based sampling). As recently reported in other experimental  
458 settings [5, 43], finding the most effective combinations of sampling and learn-  
459 ing strategies is an open problem. Input sensitivity further exacerbates the  
460 problem. We conjecture that some strategies for sampling configurations might  
461 be effective for specific inputs and performance properties. Pereira *et al.* [5]  
462 actually provided preliminary evidence on *x264* for 19 input videos and two  
463 performance properties. Our results show and confirm that the importance of  
464 options and their interactions is indeed sensitive to the input (see *RQ<sub>2</sub>*), thus  
465 suggesting that some sampling strategies may not always capture them. An  
466 open issue is thus to find sampling strategies that are effective for any input.

467 **Tuning and performance prediction.** Numerous works aim to find op-  
468 timal configurations or predict the performance of an arbitrary configuration.  
469 However, our empirical results show that the best configuration can be differ-  
470 ently ranked (see *RQ<sub>1</sub>*) depending on an input. The tuning or the prediction  
471 cannot be reused as such (see *RQ<sub>3</sub>*) but should be redone or adapted whenever  
472 a system processes a new input. To illustrate this, we present a minimal ex-  
473 ample using SPLConqueror [81]: we train two performance models predicting  
474 the encoding *sizes* of two different input videos fed to *x264* and show that the  
475 two related models do not share any common (interaction of) option.<sup>11</sup> So, an  
476 open challenge is to deliver algorithms and practical tools capable of tuning  
477 a system whatever the input. Another issue is to reduce the cost of training  
478 models for each input (*e.g.*, through sampling or transfer learning).

479 **Understanding of configurable systems.** Understanding the effects of  
480 options and their interactions is hard for developers and users yet crucial for  
481 maintaining, debugging or configuring a software system. Some works (*e.g.*,  
482 [95]) have proposed to build performance models that are interpretable and  
483 capable of communicating the influence of individual options and interactions  
484 on performance (possibly back to the code). Our empirical results show that  
485 performance models, options and their interactions are sensitive to inputs (see  
486 *RQ<sub>2</sub>*). A first open issue is to communicate when and how options together  
487 with input data interact and influence performance. Another challenge is to  
488 identify a minimal set of representative inputs in such a way a configurable  
489 system can be observed and performance models learnt.

490 **Recommendations for researchers and practitioners.** Given the state  
491 of the art and the open problems to be addressed, there is no complete solu-  
492 tion that can be systematically employed. However, we can give two recom-  
493 mendations: (1) *Detecting input sensitivity.* As practitioners dealing with new  
494 inputs, we first have to determine whether the software under study is input-

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<sup>11</sup> See the performance models for [the first](#) and [the second](#) input videos.

sensitive w.r.t. the performance property of interest. If the input sensitivity is negligible (see  $RQ_3$ ), we can use a single model to predict the performance of the software system. If not, measurements over multiple inputs are needed. (2) *Selecting representative inputs.* To reduce the cost of measurements, the ideal would be to select a set of input data, both representative of the usage of the system and cheap to measure. We believe our work can be helpful here. On the  $x264$  case study, for the bitrate, we isolate four encoding groups of input videos (action movie - big resolution - still image - standard). Within a group, the videos share common properties, and  $x264$  processes them in the same way *i.e.*, same performance distributions ( $RQ_1$ ), same options' effects ( $RQ_2$ ) and a negligible effect of input sensitivity ( $RQ_3$ ). In the companion repository, we propose to reduce the dataset of 1397 input videos [94] to a subset of 8 videos, selecting 2 cheap videos in each group of performance.<sup>12</sup> Automating this grouping could drastically reduce the cost of measuring configurations' performance over inputs.

## 7 Threats to Validity

This section discusses the threats to validity related to our protocol.

**Construct validity.** Due to resource constraints, we did not include all the options of the configurable systems in the experimental protocol. We may have forgotten configuration options that matter when predicting the performance of our configurable systems. However, we consider features that impact the performance properties according to the documentation, which is sufficient to show the existence of the input sensitivity issue.

**Internal Validity.** First, our results can be subject to measurement bias. We alleviated this threat by making sure only our experiment was running on the server we used to measure the performance of software systems. It has several benefits: we can guarantee we use similar hardware (both in terms of CPU and disk) for all measurements; we can control the workload of each machine (basically we force the machine to be used only by us); we can avoid networking and I/O issues by placing inputs on local folders. But it could also represent a threat: our experiments may depend on the hardware and operating system. The measurement process is launched via docker containers. If this aims at making this work reproducible, this can also alter the results of our experiment. Because of the amount of resources needed to compute all the measures, we did not repeat the process of Figure 2 several times per system. We consider that the large number of inputs under test overcomes this threat. Moreover, related work (*e.g.*, [5] for  $x264$ ) has shown that inputs often maintain stable performance between different launches of the same configuration. Finally, the measurement process can also suffer from a lack of inputs. To limit this problem, we took relevant dataset of inputs produced and widely used in

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<sup>12</sup> See the resulting benchmark and its construction at: [https://anonymous.4open.science/r/df319578-8767-47b0-919d-a8e57eb67d25/results/others/x264\\_groups/x264\\_bitrate.md](https://anonymous.4open.science/r/df319578-8767-47b0-919d-a8e57eb67d25/results/others/x264_groups/x264_bitrate.md)

535 their field. For  $RQ_1$ - $RQ_3$ , executing our code with another python environ-  
536 ment may lead to slightly different conclusions. For  $RQ_3$ , we consider oracles  
537 when predicting the best configurations for both scenarios, thus neglecting the  
538 imprecision of performance models: these results might change on a real-world  
539 case. In Section 5, our results are subject to the selection of research papers:  
540 since we use and reproduce [67], we face the same threats to validity.

541 **External Validity.** A threat to external validity is related to the used case  
542 studies and the discussion of the results. Because we rely on specific systems  
543 and interesting performance properties, the results may be subject to these  
544 systems and properties. To reduce this bias, we selected multiple configurable  
545 systems, used for different purposes in different domains.

## 546 8 Related Work

547 In this section, we discuss other related work (see also Section 5).

548 **Workload Performance Analysis.** On the one hand some work have  
549 been addressing the performance analysis of software systems [11, 22, 26, 51,  
550 71, 82] depending on different input data (also called workloads or bench-  
551 marks), but all of them only considered a rather limited set of configurations.  
552 On the other hand, as already discussed in Section 5, works and studies on  
553 configurable systems usually neglect input data (*e.g.*, using a unique video  
554 for measuring the configurations of a video encoder). In this paper, we com-  
555 bined both dimensions by performing an in-depth, controlled study of several  
556 configurable systems to make it vary in the large, both in terms of configura-  
557 tions and inputs. In contrast to research papers considering multiple factors  
558 of the executing environment in the wild [40, 91], we concentrated on inputs  
559 and software configurations only, which allowed us to draw reliable conclusions  
560 regarding the specific impact of inputs on software variability.

561 **Performance Prediction.** Research work have shown that machine learn-  
562 ing could predict the performance of configurations [28, 76, 89, 99]. These works  
563 measure the performance of a configuration sample under specific settings to  
564 then build a model capable of predicting the performance of any other config-  
565 uration, *i.e.*, a performance model. Numerous works have proposed to model  
566 performance of software configurations, with several use-cases in mind for de-  
567 velopers and users of software systems: the maintenance and understanding  
568 of configuration options and their interactions [79], the selection of an op-  
569 timal configuration [24, 63, 65], the automated specialization of configurable  
570 systems [85, 86]. Input sensitivity complicates their task; since inputs affect  
571 software performance, it is yet a challenge to train reusable performance pre-  
572 diction models *i.e.*, that we could apply on multiple inputs.

573 **Input-aware tuning.** The input sensitivity issue has been partly consid-  
574 ered in some specific domains (SAT solvers [21, 98], compilation [16, 69], video  
575 encoding [57], data compression [46], *etc.*). It is unclear whether these ad hoc  
576 solutions are cost-effective. As future work, we plan to systematically assess  
577 domain-specific techniques as well as generic, domain-agnostic approach (*e.g.*,

transfer learning) using our dataset. Furthermore, the existence of a general solution applicable to all domains and software configurations is an open question. For example, is it always possible and effective to extract input properties for all kinds of inputs?

**Input Data and other Variability Factors.** Most of the studies support learning models restrictive to specific static settings (*e.g.*, inputs, hardware, and version) such that a new prediction model has to be learned from scratch once the environment change [67]. Jamshidi *et al.* [39] conducted an empirical study on four configurable systems (including *SQLite* and *x264*), varying software configurations and environmental conditions, such as hardware, input, and software versions. But without isolating the individual effect of input data on software configurations, it is challenging to understand the existing interplay between the inputs and any other variability factor *e.g.*, the hardware.

## 9 Conclusion

We conducted a large study over the inputs fed to 8 configurable systems that shows the significance of the input sensitivity problem on performance properties. We deliver one main message: **inputs interact with configuration options in non monotonous ways, thus making it difficult to (automatically) configure a system.** It appears that inputs can significantly change the performance of the configurable systems up to the point some options' values have an opposite effect depending on the input. Ignoring this lesson could lead to the learning of inaccurate performance prediction models and ineffective configuration recommendations for developers and end-users.

As future work, it is an open challenge to solve the issue of input sensitivity when predicting, optimising or understanding configurable systems. We encourage researchers to confront both existing methods of the literature and future ideas with our data.

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