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# On the complexity of overlaying a hypergraph with a graph with bounded maximum degree.

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#### Abstract

Let G and H be respectively a graph and a hypergraph defined on a same set of vertices, and let F be a graph. We say that G F-overlays a hyperedge S of H if the subgraph of G induced by S contains F as a spanning subgraph, and that G F-overlays H if it F-overlays every hyperedge of H. For a fixed graph F and a fixed integer k, the problem ( $\Delta \leq k$ )-F-OVERLAY consists in deciding whether there exists a graph with maximum degree at most k that F-overlays a given hypergraph H. In this paper, we prove that for any graph F which is neither complete nor anticomplete, there exists an integer np(F) such that ( $\Delta \leq k$ )-F-OVERLAY is NP-complete for all  $k \geq np(F)$ .

# 1 Introduction

In order to obtain the low resolution structure of molecule-macro assemblies the following problem arises : given a list of complexes, determine the plausible contacts between subunits of an assembly. A convenient way of modelling this uses graphs and hypergraphs: we are given a hypergraph H whose vertices represent the subunits and whose hyperedges represents complexes; the aim is then to find a graph G on the same vertex set whose edges represent contacts between subunits and satisfying some properties.

One of the properties is that the subgraph of G induced by each hyperedge must belong to a family  $\mathcal{F}$  of admissible graphs. Precisely, a graph G  $\mathcal{F}$ -overlays a hyperedge S if there exists  $F \in \mathcal{F}$  such that F is a spanning subgraph of G[S], and G  $\mathcal{F}$ -overlays H if G  $\mathcal{F}$ -overlays every hyperedge of H. In a typical example, the family  $\mathcal{F}$  is the set of trees (or equivalently connected graphs) and the goal is to minimize the number of edges in G. This was studied by Agarwal et al. [1] in the aforementioned context of structural biology, but also by several authors for various applications like the design of vacuum systems [6, 7], scalable overlay networks [4, 14], and reconfigurable interconnection networks [8, 9]. Some variants have also been considered in the contexts of inferring a most likely social network [2], determining winners of combinatorial auctions [5], as well as drawing hypergraphs [3, 13].

Motivated by the fact that a subunit (e.g. a protein) cannot be connected to many other subunits, Havet et al. [11] studied the problem in which the sought graph G must have bounded maximum degree. Therefore they introduced the following problem where  $\mathcal{F}$  is a fixed family of graphs, k a fixed integer and  $\Delta(G)$ denotes the maximum degree of G.

 $\begin{array}{l} (\Delta \leq k) - \mathcal{F} \text{-OVERLAY} \\ \hline \text{Input: A hypergraph } H. \\ \hline \text{Question: Does there exist a graph } G \ \mathcal{F} \text{-overlaying } H \ \text{such that } \Delta(G) \leq k \ ? \end{array}$ 

They studied the complexity of this problem and the associated maximization problem ( $\Delta \leq k$ )- $\mathcal{F}$ -OVERLAY and MAX ( $\Delta \leq k$ )- $\mathcal{F}$ -OVERLAY which, given a hypergraph H and an integer p, consists in deciding whether or not there exists a graph G of maximum degree at most k and F-overlays at least p hyperedges of H. Special attention was paid to the particular case when the family  $\mathcal{F}$  contains a unique graph F. In this case, we abbreviate  $(\Delta \leq k)$ -{F}-overlay into  $(\Delta \leq k)$ -F-overlay. For convenience, a graph F-ovelaying H and with maximum degree at most k is called an (F, H, k)-graph. Examples of (F, H, k)-graphs are given in Figure 1 when F is  $O_3$  or  $P_3$ , the graphs with three vertices and respectively one edge and two edges.

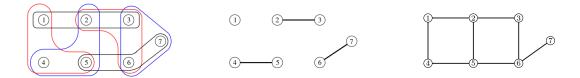


Figure 1: A hypergraph H (left), an  $(O_3, H, 1)$ -graph (middle) and a  $(P_3, H, 3)$ -graph(right).

Observe that if F is a graph with maximum degree greater than k, then solving  $(\Delta \leq k)$ -F-OVERLAY or MAX  $(\Delta \leq k)$ -F-OVERLAY is trivial as the answer is always 'No'. Havet et al. [11] proved a complete polynomial/NP-complete dichotomy for MAX  $(\Delta \leq k)$ -F-OVERLAY depending on the pairs (F, k). They proved that, except in a few exceptions, MAX  $(\Delta \leq k)$ -F-OVERLAY is NP-complete if and only if  $\Delta(F) \leq k$ . The exceptions are when F is either an anticomplete graph  $\overline{K}_p$  or the complete graph on two vertices  $K_2$  in which case MAX  $(\Delta \leq k)$ -F-OVERLAY is always polynomial-time solvable, or when F is the graph  $O_3$  with three vertices and one egde and k = 1 with MAX  $(\Delta \leq 1)$ - $O_3$ -OVERLAY being polynomial-time solvable.

Regarding  $(\Delta \leq k)$ -F-OVERLAY, establishing such a dichotomy seems more complicated. Indeed, Havet et al. [11] showed several pairs (F, k) (with  $\Delta(F) \leq k$ ) such that  $(\Delta \leq k)$ -F-OVERLAY is polynomial-time and some such that  $(\Delta \leq k)$ -F-OVERLAY is NP-complete, and posed the following problem.

**Problem 1** (Havet et al. [11]). Characterize the pairs (F, k) for which  $(\Delta \leq k)$ -F-OVERLAY is polynomialtime solvable and those for which it is NP-complete.

In order to attack this problem, they propose the following conjecture.

**Conjecture 2** (Havet et al. [11]). If  $(\Delta \leq k)$ -F-OVERLAY is  $\mathcal{NP}$ -complete, then  $(\Delta \leq k+1)$ -F-OVERLAY is also  $\mathcal{NP}$ -complete.

In this paper, we give some partial answers to Problem 1 and some evidences for Conjecture 2. We prove that except when F is complete or anticomplete, if k is large enough (with respect to F.), then  $(\Delta \leq k)$ -F-OVERLAY is NP-complete. Recall that a graph is **complete** (resp. **anticomplete**) if its vertices are pairwise adjacent (resp. non-adjacent). The complete (resp. **anticomplete**) graph on p vertices is denoted by  $K_p$ (resp.  $\overline{K}_p$ ).

We define np(F) as the minimum integer  $k_0$  such that  $(\Delta \leq k) - F$ -OVERLAY is NP-complete for all  $k \geq k_0$  or  $+\infty$  if no such  $k_0$  exists. The aim of this article is thus to prove the following theorem.

**Theorem 3.**  $np(F) = +\infty$  if and only if F is complete or anticomplete.

Let H be a p-uniform hypergraph. The anticomplete graph on V(H) vertices  $\overline{K}_p$ -overlays H. Thus, for any non-negative integer k, the answer to  $(\Delta \leq k) \cdot \overline{K}_p$ -OVERLAY is always affirmative, and so this problem can be trivially solved in polynomial time. Thus  $np(\overline{K}_p) = +\infty$  for all positive integer p.

If  $K_p$  is complete, then let G be te graph with vertex V(H) in which two vertices are adjacent if and only if they belong to a same hyperedge of H. Obviously, a graph  $K_p$ -overlays H if and only if it contains G as a subgraph. Hence, to solve  $(\Delta \leq k)$ - $K_p$ -OVERLAY it suffices to build G and to check whether  $\Delta(G) \leq k$ , which can be done in polynomial time. Thus  $np(K_p) = +\infty$  for all positive integer p.

**Theorem 4.** If F is neither a complete graph nor an anticomplete graph, then  $np(F) < +\infty$ .

Note that this theorem would follow from Conjecture 2 and the following theorem.

**Theorem 5.** Let F be a graph with no isolated vertex and which is not complete. There exists k such that  $(\Delta \leq k)$ -F-OVERLAY is NP-complete.

Unfortunately, we do not prove Conjecture 2. However, we prove a corollary of Conjecture 2, which, together with Theorem 5, is sufficient to imply Theorem 4.

We first show it is sufficient to prove Theorem 4 for F with no isolated vertices. Then we prove in Lemma 12 that for such a graph F, as soon as there exists k such that  $(\Delta \leq k)$ -F-OVERLAY is NP-complete, then np $(F) < +\infty$ . In particular, Lemma 12 implies Conjecture 2 for graphs F with  $\Delta(F) = 1$ . Thus to prove Theorem 4, it then remains to prove Theorem 5.

In Section 4, we first prove Theorem 5 when F is in some particular classes of graphs : F is **regular** (Theorem 13), and F is a **complete graph minus an edge**, denoted by  $K^-$  (Theorem 14) and F is the **complete bipartite graph**  $K_{a,a+1}$  (Theorem 15). Then, in Section 5, we prove Theorem 5 in full. Its proof requires the previously established particular cases and uses the techniques introduced in proving them. Finally, in Section 6, we give some final remarks and present some open questions for further research.

**Remark 6.** In all the paper, our aim is to prove that  $(\Delta \leq k)$ -*F*-OVERLAY is NP-complete under some assumptions on k and F. Observe that, given an graph G, we can easily check whether G *F*-overlays H or not in polynomial-time solvable, thus the problem is clearly in NP. Therefore, we only need to prove that the problem is NP-hard.

# 2 Notations and definitions

For a positive integer p, let  $[p] = \{1, \ldots, p\}$ .

#### Graphs

Let G be graph. We denote by V(G) and E(G) its sets of vertices and edges, respectively. The **neighbourhood** of a vertex v, denoted by  $N_G(v)$ , or simply N(v) when G is clear from the context, is the set of vertices adjacent to v and its **degree**, denoted by  $d_G(v)$  or simply d(v), is the cardinality of  $N_G(v)$ . A vertex is **isolated** in G if it has degree 0. The minimum and maximum degree of G are respectively denoted by  $\delta(G)$  and  $\Delta(G)$ . Hence a graph F has no isolated vertices if and only if  $\delta(F) \ge 1$ . We denote by  $V_i$  (resp.  $V_{\le i}, V_{\ge i}$ ) the set of vertices of G that has degree exactly (resp. at most, at least) i in G.

The **degree sequence** of a graph F is the non-decreasing sequence  $\mathbf{d} = \{d_1, d_2, \ldots, d_p\}$  such that there exists an ordering  $(v_1, \ldots, v_p)$  of the vertices of F such that  $d(v_i) = d_i$  for all  $i \in [p]$ . We denote by  $\lambda_1 < \lambda_2 < \cdots < \lambda_t$  the different values of  $\mathbf{d}$  (that are the integers  $\lambda$  in which there exists j such that  $d_j = \lambda$ ). We also denote by  $\alpha_i$  the **multiplicity** or number of occurrences of  $\lambda_i$  in  $\mathbf{d} : \alpha_i = |\{j \mid d_j = \lambda_i\}|$ . Observe that  $d_1 = \lambda_1 = \delta(F)$  and  $d_p = \lambda_t = \Delta(F)$ .

We denote by  $P_t$  the path on t vertices. We denote by  $G_1 + G_2$  the disjoint union of two graphs  $G_1, G_2$ .

### Hypergraphs

Let H be a hypergraph. We denote by V(H) and E(H) its sets of vertices and hyperedges (a hyperedge is a subset of vertices of V(H)), respectively.

A hypergraph is *p*-uniform for some  $p \in \mathbb{N}$ , if all its hyperedge have exactly *p* vertices. Observe that  $(\Delta \leq k)$ -*F*-OVERLAY only makes sense for |V(F)|-uniform hypergraphs. Therefore in the paper, we only work with hypergraphs that are uniform, often without specifying it.

The **degree** of a vertex v in H, denoted by  $d_H(v)$  or simply d(v) if H is clear from the context, is the number of hyperedges of H containing v. In a hypergraph H, a hyperedge S is **pendant** at a vertex x, if  $d_H(v) = 1$  for all  $v \in S \setminus \{x\}$ .

Let F be a graph, H a hypergraph, and G a graph F-overlaying H. For each hyperedge  $S \in E(H)$ , one can choose a copy  $F_S$  of F which is a subgraph of G[S]. We then say that v is a  $\lambda_i$ -vertex in S or has degree  $\lambda_i$  in S if v has degree  $\lambda_i$  in  $F_S$ .

#### **Reduction to Theorem 5** 3

#### Graphs with isolated vertices 3.1

**Lemma 7.** Let F be a graph. If  $(\Delta \leq k)$ -F-OVERLAY is NP-complete, then  $(\Delta \leq k)$ - $(F + \overline{K}_1)$ -OVERLAY is also NP-complete.

*Proof.* We shall give a reduction from  $(\Delta \leq k)$ -F-OVERLAY to  $(\Delta \leq k)$ - $(F + \overline{K_1})$ -OVERLAY. Let **d** be the (non-decreasing) degree sequence of F, and let  $\lambda^+$  be the first non-zero value in this sequence.  $(\lambda^+ = \lambda_1 \text{ if } F \text{ has no isolated vertex, and } \lambda^+ = \lambda_2 \text{ otherwise.})$ Let H be an |F|-uniform hypergraph. We construct an (|F| + 1)-uniform hypergraph H' as follows.

- Let  $H_1, \ldots, H_t$  be  $t = \lfloor \frac{k}{\lambda^+} \rfloor |E(H)| + 1$  disjoint copies of H. We add  $V(H_i)$  to V(H') for all  $i \in [t]$ .
- For any  $S \in E(H)$ , we add a new vertex  $v_S$  to V(H'). For all  $i \in [t]$ , denoting by  $S_i$  the copy of S in  $H_i$ , we add the hyperedge  $S'_i = S_i \cup \{v_S\}$  to H'.

We shall prove that there is an (F, H, k)-graph G if and only if there exists an  $(F + \overline{K}_1, H', k)$ -graph G'.

Assume first that there is an (F, H, k)-graph. We build a graph G' by taking  $G'[H_i] = G$  for any  $i \in [t]$ . Observe that  $G'[S'_i]$  is  $(F + \overline{K}_1)$ -overlaid since  $G[S_i]$  is F-overlaid and  $v_S$  is an isolated vertex. Furthermore G' has at most degree k. Thus, G' is an  $(F + \overline{K}_1, H', k)$ -graph.

Conversely, assume that there exists an  $(F + \overline{K}_1, H', k)$ -graph G'. We will prove that there exists a copy  $H_i$  of H such that  $G'[H_i]$  is an (F, H, k)-graph. Observe that, for any  $S \in E(H)$ , the vertex  $v_S$  is either isolated or has degree at least  $\lambda^+$  in each  $G'[S'_i]$  for  $i \in [t]$ . Thus,  $v_S$  is not a 0-vertex in at most  $\lfloor \frac{k}{\lambda^+} \rfloor$ hyperedges. Since there are |E(H)| such vertices, there exists a copy  $H_i$  of H such that for any  $S \in E(H)$ ,  $v_S$  is a 0-vertex in all hyperedges  $G'[S'_i]$ . Thus  $G'[H_i]$  is an (F, H, k)-graph. 

Applying the lemma several times, we get the following.

**Corollary 8.** Let F be a graph and q a positive integer. If  $(\Delta \leq k)$ -F-OVERLAY is NP-complete, then  $(\Delta \leq k) \cdot (F + \overline{K}_q)$ -OVERLAY is also NP-complete. Hence  $\operatorname{np}(F + \overline{K}_q) \leq \operatorname{np}(F)$ .

The family of graphs with isolated vertices to which this result does not apply is  $K_p + K_q$  because  $(\Delta \leq k)$ - $K_p$ -OVERLAY is in P. We then need the following.

**Theorem 9.**  $np(K_p + \overline{K}_1) \leq 2p - 2$  for all  $p \geq 2$ .

*Proof.* Let  $p \ge 2$  and  $k \ge 2p-2$ . We shall prove that  $(\Delta \le k) \cdot (K_p + \overline{K}_1) \cdot OVERLAY$  is NP-complete.

Let q and r be te integers such that k = (p-1)q + r with  $0 \le r < p-1$ . Note that  $q \ge 2$  since  $k \ge 2(p-1)$ .

We need the following gadget. Let u be a vertex. A (p-1)-gagdget at u is the hypergraph  $H_u$  constructed as follows. The vertex set of  $H_u$  is the disjoint union of  $\{u, v\}$  and q+1 sets  $U_1, \ldots, U_{q+1}$  of p-1 vertices, and its hyperedges are  $\{u, v\} \cup U_i$  for  $i \in [q+1]$ , see Figure 2.

Claim 9.1. Let  $H_u$  be a (p-1)-gadget at u.

- (i) u has degree at least p-1 in every  $(F, H_u, k)$ -graph.
- (ii) There is an  $(F, H_u, k)$ -graph in which u has degree p 1.

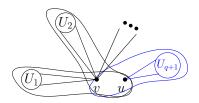


Figure 2: The gadget  $H_u$  at vertex u. An example of an  $(F, H_u, k)$ -graph where v has degree p-1 in each of hyperedges  $U_1, \ldots, U_q$  and u has degree p-1 in  $U_{q+1}$ .

Proof of Claim. (i) Let  $G_u$  be an  $(F, H_u, k)$ -graph. Assume for a contradiction that u has degree less than p-1. Then u must be a 0-vertex in each  $S_i$ ,  $i \in [q+1]$ . Hence v must be adjacent to the p-1 vertices of  $U_i$  in each  $S_i$ . Thus v as degree at least (p-1)(q+1) > k in  $G_u$ , a contradiction.

(ii) For  $i \in Q$ , let  $F_i$  be a copy of  $K_p + \overline{K}_1$  in which u is isolated, and let  $F_{q+1}$  be a copy of  $K_p + \overline{K}_1$  in which v is isolated, and let  $G_u = \bigcup_{B \in [q+1]} F_i$ . Clearly,  $G_u$  F-overlays  $H_u$ , v has degree  $q(p-1) \leq k$  in  $G_u$ and u has degree p-1 in  $G_u$ . So  $G_u$  is the desired  $(F, H_u, k)$ -graph.

We give a reduction from 3-COLORING on 4-regular graphs, which is NP-complete (Theorem ??).

Given a 4-regular graph G, we build a *p*-uniform hypergraph H as follows.

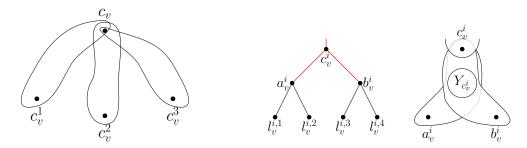


Figure 3: The construction in the reduction. The vertex gadget  $VG_v$  in the left with 3 hyperedges (left) in which at least one of  $c_v^1, c_v^2, c_v^3$  can be a (p-1)-vertex in its parent hyperedge. At each of  $c_v^i$ , we add to binary tree (middle) where every couple of red edges are replaced by an x-edge-gadget (right) which enforces vertex children having the same degree as its vertex parent in their parent hyperedges. A vertex  $c_{i_1}^i$ is a (p-1)-vertex in its parent hyperedge  $S_v^i$  if and only if v has color i in a proper coloring.

- For each vertex  $v \in V(G)$ , we create a vertex gadget  $VG_v$  with three hyperedges  $S_v^i = \{c_v, c_v^i\} \cup X_v^i$  for  $i \in [3]$  where  $|X_v^i| = p-2$ . We add q-2 (p-1)-gadgets at  $c_v$ . We say that  $S_v^i$  is the parent hyperedge of  $c_v^i$  for each  $i \in [3]$ .
- For each vertex v and each  $i \in [3]$ , we construct a color gadget  $CG_v^i$  for  $i \in [3]$  as follows.

  - We create a binary tree  $T_v^i$  with vertex set  $\{c_v^i, a_v^i, b_v^i, \ell_v^{i,1}, \ell_v^{i,2}, \ell_v^{i,3}, \ell_v^{i,4}\}$  and edge set  $\{c_v^i a_v^i, c_v^i b_v^i, c_v^i b_v^i, a_v^i \ell_v^{i,1}, a_v^i \ell_v^{i,2}, b_v^i \ell_v^{i,3}, b_v^i \ell_v^{i,4}\}$ , rooted at  $c_v^i$ . In this tree,  $a_v^i$  and  $b_v^i$  are the children of  $c_v^i$ ,  $\ell_v^{i,1}$  and  $\ell_v^{i,2}$  are the children of  $a_v^i$ , and  $\ell_v^{i,3}$  and  $\ell_v^{i,4}$  are the children of  $b_v^i$ . For any vertex  $x \in \{c_v^i, a_v^i, b_v^i\}$ , let  $y_1, y_2$  be its children in  $T_v^i$ , and let  $e_1 = xy_1, e_2 = xy_2$ . We
  - construct an x-edge-gadget as follows: we add a set  $Y_x$  of p-2 new vertices, the hyperedges  $S(e_1) = \{x, y_1\} \cup Y_x$  and  $S(e_2) = \{x, y_2\} \cup Y_x$ . For convenience, we say that  $S(xy_1)$  (resp.  $S(xy_2)$ ) is the parent hyperedge of  $y_1$  (resp.  $y_2$ ). Moreover, for any leaf  $\ell_v^{i,j}$ , we denote by  $S_v^{i,j}$  the hyperedge containing the vertex  $\ell_v^{i,j}$ . We then add q-1 (p-1)-gadgets at x.
- For every vertex  $v \in V(G)$ , let  $e_v^1, e_v^2, e_v^3, e_v^4$ , be an ordering of the edges incident to v. For each edge  $uv \in E(G)$ , let  $j_u$  and  $j_v$  be the indices such that  $uv = e_u^{j_u} = e_v^{j_v}$ . Then, for all  $i \in [3]$ , we identify the vertices  $\ell_u^{i,j_u}$  and  $\ell_v^{i,j_v}$  and we add q-1 (p-1)-gadgets at this vertex.

Let us now prove that there is a proper 3-coloring of G if and only if there is an (F, H, k)-graph  $G^*$ .

Assume first that there is an (F, H, k)-graph  $G^*$ .

Let  $v \in V(G)$ . By Claim 9.1 (i), the vertex  $c_v$  has degree at least p-1 in each of its (p-1)-gadgets. Thus it has degree at most 2(p-1) + r in  $S_v^1 \cup S_v^2 \cup S_v^3$ . But those hyperedges pairwise intersect in  $\{c_v\}$ . Thus there is  $i \in [3]$  such that  $c_v$  is a 0-vertex in  $S_v^i$ . Since we have only one 0-vertex in  $S_v^i$ , thus  $c_v^i$  must be a (p-1)-vertex in  $S_v^i$ . Therefore, we can define a 3-coloring  $\phi$  by  $\phi(v) = i$  where i is an index such that  $c_v^i$  is a (p-1)-vertex in  $S_{\eta}^{i}$ . Let us now prove that  $\phi$  is proper. We need the following claim.

**Claim 9.2.** Let  $v \in V(G)$  and  $i \in [3]$ . If  $c_v^i$  is a (p-1)-vertex in  $S_v^i$ , then so is the leaf  $\ell_v^{i,j}$  in  $S_v^{i,j}$  for all  $j \in [4].$ 

*Proof of Claim.* It suffices to prove that for any  $x \in \{c_v^i, b_u^i, a_v^i\}$ , if x is a (p-1)-vertex in its parent hyperedge, then so are both  $y_1, y_2$  in their parent hyperedges.

Assume that x is a (p-1)-vertex in its parent hyperedge. Since x has degree at least p-1 in each of its (p-1)-gadgets by Claim 9.1 (i), it has degree at most r in  $S(xy_1) \cup S(xy_2)$ . It implies that x is a 0-vertex in both  $S(xy_1), S(xy_2)$ . Hence, the vertex  $y_1$  (resp.  $y_2$ ) must be a (p-1)-vertex in  $S(xy_1)$  (resp.  $S(xy_2)$ ). Consider an edge  $uv \in E(G)$ ,  $i \in [3]$ . By Claim 9.1 (i), the vertex  $\ell = \ell_u^{i,j_u} = \ell_v^{i,j_v}$  has degree at least p-1 in each of its q-1 (p-1)-gadgets. Thus it has at most (p-1) + r neighbours in  $S_u^{i,j_u} \cup S_v^{i,j_v}$ . As  $\ell$  is the unique common vertex of  $S_u^{i,j_u}$  and  $S_v^{i,j_v}$ , it is a (p-1)-vertex in at most one of those. Hence, by the Claim 9.2, at most one of  $c_u^i$ ,  $c_v^i$  is a (p-1)-vertex in its parent hyperedge. Thus at most one of u, v is colored i by  $\phi$ . Therefore,  $\phi$  is a proper 3-coloring of G.

Conversely, let  $\phi$  be a proper 3-coloring of G. We construct a graph  $G^*$  as follows.

- For any vertex gadget  $VG_v$ ,  $i \in [3]$ , let  $G^*[S_v^i]$  be a copy of F in which every vertex in  $X_v^i$  is a (p-1)-vertex, and  $c_v$  is a 0-vertex (resp. (p-1)-vertex) and  $c_v^i$  is a (p-1)-vertex (resp. 0-vertex) in  $S_v^i$  if  $\phi(v) = i$  (resp.  $\phi(v) \neq i$ ).
- In every color gadget  $CG_v^i$ , for  $x \in \{c_v^i, b_v^i, a_v^i\}$  with children  $y_1$  and  $y_2$ , let  $G^*[S(xy_1)]$  and  $G^*[S(xy_2)]$ ) be two similar copies of F such that:
  - if  $i \neq \phi(v)$ , then x has degree p-1 in  $G^*[S(xy_1)]$  and  $G^*[S(xy_2)]$ ;  $y_1$  and  $y_2$  are 0-vertices in  $G^*[S(xy_1)]$  and  $G^*[S(xy_2)]$  respectively (so x has degree p-1 in  $G^*[S(xy_1) \cup S(xy_2)]$ ).
  - if  $i = \phi(v)$ , then x has degree 0 in  $G^*[S(xy_1)]$  and  $G^*[S(xy_2)]$ ;  $y_1$  and  $y_2$  are (p-1)-vertices in  $S(xy_1)$  and  $S(xy_2)$  respectively (so x has degree at most p-1 in  $G^*[S(xy_1) \cup S(xy_2)]$ ).
  - every vertex in  $Y_x$  is a p-1 vertex in both  $S(xy_1)$  and  $S(xy_2)$  and so has degree at most p in  $G^*[S(xy_1) \cup S(xy_2)];$
- For any (p-1)-gadget  $H_x$  at vertex some x, we let  $G^*[V(H_x)]$  be an  $(F, H_x, k)$ -graph in which v has degree p-1. Such a copy exists by Claim 9.1 (ii).

By construction,  $G^*$  F-overlaps H. Let us check that  $\Delta(G^*) \leq k$ . Let u be a vertex of  $G^*$ .

- If u is in at most two hyperedges (in particular, if u is in  $X_v^i$  or u is in  $Y_x$  for x internal vertex in some  $T_v^i$  or u is only in a (p-1)-gadget), then u has degree at most 2(p-1), and so at most k.
- Assume now that  $u \in \{c_v^i, a_v^i, b_v^i\}$  for  $i \in [3]$  with u parent of  $y_1, y_2$ . Then u has degree p-1 in each of its q-2 (p-1)-gadgets. Moreover if  $i = \phi(v)$  (resp.  $i \neq \phi(v)$ ), then u has degree p-1 (resp. 0) in its parent hyperedge and p-1 (resp. 0) in  $G^*[S_{uy_1}^1 \cup S_{uy_2}^1]$ . Hence u has degree at most  $(q-1)(p-1) + (p-1) = q(p-1) \leq k$ .
- Assume that u is the identification of  $\ell_v^{i,j_v}$  and  $\ell_w^{i,i_w}$  for an edge  $vw \in E(G)$ . First, u has degree p-1 in each of its q-1 (p-1)-gadget. Moreover, since either  $\phi(v) \neq i$  or  $\phi(w) \neq i$ , then u has degree p-1 in at most one of  $S_v^{i,j_v}, S_w^{i,j_w}$  and 0 in the other. Therefore, u has degree at most  $q(p-1) \leq k$  in  $G^*$ . Consequently,  $G^*$  is an (F, H, k)-graph.

Corollary 8 and Theorem 9 directly imply the following

**Corollary 10.** Theorem 4 holds if and only if it holds for graphs with no isolated vertices.

### 3.2 Reduction to Theorem 5

By Corollary 10, one can restrict our study to graphs F with  $\delta(F) \ge 1$ . We shall now prove that for such an F, we have  $np(F) \le +\infty$  as soon as there is some k for which  $(\Delta \le k)$ -F-OVERLAY is NP-complete. To prove this, we introduce the notion of degree-gadget that will be useful in almost all the following proofs.

Let F be graph with  $\delta(F) \geq 1$ . For any integer  $d \geq \lambda_1$ , a d-degree-gadget (with respect to F) at vertex v, is the subgraph D(d, v) defined as follows. Let  $\alpha = \lfloor d/\lambda_1 \rfloor$  and  $\beta = d - \alpha \lambda_1$ . If  $\beta = 0$ , then D(d, v) is the union of  $\alpha$  pendant hyperedges at v. If  $\beta \geq 1$ , then D(d, v) is the union of  $\alpha - 1$  pendant hyperedges at v and two hyperedges which intersect in  $I \cup \{v\}$  where I is a set of  $\lambda_1 - \beta$  vertices. (See Figure 4).

Degree-gadgets are useful because of the following proposition whose easy proof is left to readers.

**Proposition 11.** Let F be graph with  $\delta(F) \geq 1$ . Then for any  $d \geq \lambda_1$ , we have the following.

- (i) In any graph G that F-overlays D(d, v), vertex v has degree at least d.
- (ii) There is a graph  $G_v$  that F-overlays D(d, v) in which v has degree exactly d, and every other vertex has degree at most  $\Delta(F)$  if  $\delta(F)$  divides d (i.e.  $\beta = 0$ ) and at most  $2\Delta(F) 1$  otherwise.

**Lemma 12.** Let F be a graph with  $\delta(F) \geq 1$ . Assume that  $(\Delta \leq k_0)$ -F-OVERLAY is NP-complete.

(i) If  $\delta(F) = 1$ , then  $np(F) \le k_0$ .

(ii)  $np(F) \le \max\{k_0 + \delta(F), 2\Delta(F) - 1\}.$ 

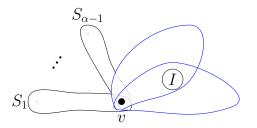


Figure 4: A *d*-degree-gadget *D* at vertex *v*. The set  $I \neq \emptyset$  is the intersection of the two blue hyperedges.  $\beta \neq 0$  when *I* is different from these two hyperedges; and  $\beta = 0$  when *I* and the two blue hyperedges are equal.

*Proof.* Observe that  $k_0 \ge \Delta(F)$ , because  $(\Delta \le k)$ -F-OVERLAY is trivially polynomial-time solvable for every  $k < \Delta(F)$ .

(i) Let  $k > k_0$ . We shall prove that  $(\Delta \leq k)$ -F-OVERLAY is NP-complete. We give a reduction from  $(\Delta \leq k_0)$ -F-OVERLAY. Let  $H_0$  be an |F|-uniform hypergraph. Let H be the hypergraph obtained from  $H_0$  by adding a  $(k - k_0)$ -degree-gadget  $DG_v$  on every vertex v. Such a degree-gadget exists because  $k - k_0 \geq 1 = \delta(F)$ . Let us prove that there is an  $(F, H_0, k_0)$ -graph  $G_0$  if and only if there exists an (F, H, k)-graph G.

Assume there is an  $(F, H_0, k_0)$ -graph  $G_0$ . By Proposition 11-(ii), for every  $v \in V(H_0)$ , there is a graph  $G_v$  that F-overlays  $DG_v$ , in which v has degree  $k - k_0$ , and every other vertex as degree at most  $\Delta(F) \leq k_0$ . Consider  $G = G_0 \cup \bigcup_{v \in V(H_0)} G_v$ . Clearly, G is an (F, H, k)-graph.

Conversely, assume that there is an (F, H, k)-graph G. By Proposition 11-(i), every vertex v of  $V(H_0)$  has degree at least  $k - k_0$  in  $DG_v$ . Thus it has degree at most  $k_0$  in  $G[V(H_0)]$ . Therefore,  $G[V(H_0)]$  is an  $(F, H_0, k_0)$ -graph.

(ii) The proof is identical to (i). Taking  $k \ge \max\{k_0 + \delta(F), 2\Delta(F) - 1\}$  and using the same reduction as above we get that  $(\Delta \le k)$ -F-OVERLAY is NP-complete. Note that  $(k - k_0)$ -degree-gadgets exist because  $k - k_0 \ge \delta(F)$ .

By this lemma, in order to prove that  $(\Delta \leq k)$ -F-OVERLAY is NP-complete for any  $k \geq k_0$  for some  $k_0$ , it suffices to prove that  $(\Delta \leq k_0)$ -F-OVERLAY is NP-complete and thus it is an upper bound of np(F).

# 4 Particular cases

In this section, we prove the NP-completeness of  $(\Delta \leq k)$ -F-OVERLAY for pairs (F, k) where F is either a **regular graph**, or a **complete graph minus an edge**  $K^-$  (*i.e.* it is obtained by removing an edge from  $K_p$ ) or a **complete bipartite graphs**  $K_{a,a+1}$ , and k is an integer (depending on F).

### 4.1 Regular graphs

**Theorem 13.** Let  $\lambda$  be a positive integer, and let F be a  $\lambda$ -regular graph which is not complete. Then  $(\Delta \leq 6\lambda - 1)$ -F-OVERLAY is NP-complete.

*Proof.* Set p = |F|. Since F is not complete, we have  $p > \lambda + 1$ .

We give a reduction from (3,4)-SAT which is NP-complete by [15] to  $(\Delta \leq 6\lambda - 1)$ -F-OVERLAY.

Given a formula  $\Phi$  of (3,4)-SAT with *n* variables  $x_t, t \in [n]$ , and *m* clauses  $C_j, j \in [m]$ , we construct a *p*-uniform hypergraph *H* as follows.

• For each variable  $x_t$ , we construct a variable gadget  $H_t$  as follows. We first create a center vertex  $w_t$ , a set of 4p - 4 vertices  $U_t = \{u_t^1, \ldots, u_t^{4p-4}\}$ , and 4p - 4 hyperedges  $S_t^j = \{w_t, u_t^j, \ldots, u_t^{j+p-2}\}$  (superscripts are modulo 4) for  $j \in [4p - 4]$ . We then add a  $(2\lambda - 1)$ -degree-gadget at  $w_t$  and a  $4\lambda$ -degree-gadget on each  $u_t^{(p-1)i-j}$  for any  $i \in [4]$  and  $j \in [\lambda - 1]$ . For  $r \in [4]$  let  $x_t^r = u_t^{r(p-1)-p+2}$  and  $\bar{x}_t^r = u_t^{r(p-1)-p+3}$ . Set  $X_t = \{x_t^1, x_t^2, x_t^3, x_t^4\}$  and  $\overline{X}_t = \{\bar{x}_t^1, \bar{x}_t^2, \bar{x}_t^3, \bar{x}_t^4\}$ . The vertices of  $X_t$  (resp.  $\overline{X}_t$ ) are called the non-negated (resp. negated) literal vertices of  $H_t$ . See Figure 5.

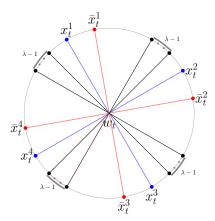


Figure 5: The variable gadget  $H_t$ . The center vertex  $w_t$  is in a  $(2\lambda - 1)$ -degree-gadget. There are four sets of  $\lambda - 1$  vertices (in black) adjacent to the center vertex  $w_t$ ; each of them is in a  $4\lambda$ -degree-gadget. Blue and red vertices are respectively non-negated and negated literal vertices. All blue ones (resp. red ones) are adjacent to  $w_t$  if and only if  $\phi(x_t) = true$  (resp. false).

• For each clause  $C_j = (\ell_1 \vee \ell_2 \vee \ell_3)$  we identify  $y_1, y_2, y_3$  into a *clause vertex*  $c_j$ , where  $y_i = x_t^r$  if  $\ell_i = x_t$  and  $\ell_i$  is the r-th occurrence of  $x_t$ , and  $y_i = \bar{x}_t^r$  if  $\ell_i = \bar{x}_t$  and is the r-th occurrence of  $x_t$ .

We will prove that there exists an assignment  $\phi$  satisfying  $\Phi$  if and only if there is an  $(F, H, 6\lambda - 1)$ -graph G. The general idea is that a variable  $x_t = true$  (resp. false) if and only if the vertices of  $X_t$  (resp.  $\overline{X}_t$ ) have degree  $2\lambda - 1$  in  $G[V(H_t)]$  and so they are adjacent to the center vertex while those one of the other set are not.

Assume that there exists a truth assignment  $\phi$  satisfying  $\Phi$ . Let G be the graph obtained as follows.

For each  $t \in [n]$ , let  $(v_0, v_1, \ldots, v_{p-1})$  be an ordering of V(F) such that  $N_F(v_0) = \{v_{p-\lambda+1}, \ldots, v_{p-1}\} \cup \{v_1\}$ if  $\phi(x_t) = true$  and  $N_F(v_0) = \{v_{p-\lambda+1}, \ldots, v_{p-1}\} \cup \{v_2\}$  if  $\phi(x_t) = false$ . For every  $j \in [4p - 4]$ , we let  $G[S_t^j]$  be the copy of F in which  $w_t$  corresponds to  $v_0$  and  $u_t^i$  for  $i \in \{j \ldots, j + p - 1\}$  corresponds to the vertex  $v_{i'}$  such that  $i \equiv i' \mod (p-1)$ . Observe that each  $u_t^i$  corresponds to the same vertex of F in all the p-1 copies of F induced by the  $S_t^j$  to which it belongs. Therefore either  $u_t^i$  is not adjacent to  $w_t$  and it has  $2\lambda$  neighbours in  $G[H_t]$  or  $u_t^i$  is adjacent to  $w_t$  and it has  $2\lambda - 1$  neighbours in  $G[H_t]$ . In particular, if  $\phi(x_t) = true$  (resp.  $\phi(x_t) = false$ ), then all vertices of  $X_t$  (resp.  $\overline{X}_t$ ) have degree  $2\lambda - 1$  in  $G[H_t]$  In addition, for every d-degree-gadget D at some vertex v, we let G[V(D)] be an  $(F, D, 6\lambda - 1)$ -graph in which v has degree d.

Let us check that every vertex has degree at most  $6\lambda - 1$  in G.

- Each center vertex  $w_t$  has degree  $2\lambda 1$  in its  $(2\lambda 1)$ -degree-gadget and it is adjacent to  $4\lambda$  vertices in  $H_t$ , so  $6\lambda 1$  in total.
- Every vertex in  $\{u_t^{(p-1)i-j} \mid i \in [4] \text{ and } j \in [\lambda-1]\}$  has  $2\lambda 1$  neighbours in  $H_t$  and  $4\lambda$  other in its  $4\lambda$ -degree-gadget. Hence its total degree is  $6\lambda 1$ .
- Every vertex in  $U_t \setminus \{u_t^{(p-1)i-j} \mid i \in [4] \text{ and } j \in [\lambda-1]\}$  which is not identified in a clause vertex has only neighbours in  $H_t$  and thus degree at most  $2\lambda < 6\lambda 1$ .
- Each clause vertex is the identification of three literal vertices which have degree  $2\lambda$  or  $2\lambda 1$  in their variable gadgets. Moreover, at least one of the literals is true, so at least one of those vertices has only  $2\lambda 1$  neighbours in its variable gadget. Hence its degree in G is at most  $6\lambda 1$ .

Hence, G is an  $(F, H, 6\lambda - 1)$ -graph.

Conversely, assume that G is an  $(F, H, 6\lambda - 1)$ -graph.

Consider a variable gadget  $H_t$ . The center vertex  $w_t$  has degree at least  $2\lambda - 1$  in its  $(2\lambda - 1)$ -degree-gadget, so it has at most  $4\lambda$  neighbours in  $H_t$ . Since  $w_t$  has degree at least  $\lambda$  in each of the  $S_t^j$ ; and hyperedges  $S_t^j$ ,  $S_t^{j+p-1}$ ,  $S_t^{j+2p-2}$ ,  $S_t^{j+3p-3}$  pairwise intersect only in  $w_t$ . So this vertex has exactly  $\lambda$  neighbours in each of these sets. Hence  $w_t$  has exactly  $\lambda$  neighbours in each  $S_t^j$ . Furthermore, if  $u_t^j$  is adjacent to  $w_t$ , then  $w_t$  has  $\lambda - 1$  neighbours in  $\{u_t^{j+1}, \dots, u_t^{j+p-2}\}$  and so  $u_t^{j+p-1}$  is adjacent to  $w_t$  because  $S_t^{j+1}$  contains  $\lambda$  neighbours of  $w_t$ . In particular, the vertices of  $X_t$  (resp.  $\overline{X}_t$ ) are either all adjacent to  $w_t$  or all non-adjacent to  $w_t$ . Now each of the  $\lambda - 1$  vertices in  $\{u_t^{p-r+2}, \dots, u_t^{p-1}\}$  is in a  $4\lambda$ -gadget in which it has degree  $4\lambda$ . Therefore,

Now each of the  $\lambda - 1$  vertices in  $\{u_t^{p-r+2}, \ldots, u_t^{p-1}\}$  is in a  $4\lambda$ -gadget in which it has degree  $4\lambda$ . Therefore, it has degree  $2\lambda - 1$  in  $H_t$  and must be adjacent to  $w_t$ . Hence at most one vertex in  $\{x_t^1, \bar{x}_1^t\}$  is adjacent to  $w_t$ . Thus the vertices of  $X_t$  and those of  $\overline{X}_t$  cannot be simultaneoully adjacent to  $w_t$ .

Let  $\phi$  be the truth assignment defined by  $\phi(x_t) = true$  if  $w_t$  is adjacent to  $X_t$ , and  $\phi(x_t) = false$  otherwise. In any clause vertex  $c_j$ , we identified three literal vertices corresponding to the three literals. But  $c_j$  has degree at most  $6\lambda - 1$ , so there is at least one literal vertex having degree  $2\lambda - 1$  in its variable gadget. This implies that this literal is true. Therefore,  $\phi$  satisfies  $\Phi$ .

## 4.2 Complete graph minus an edge

**Theorem 14.**  $(\Delta \leq 3p-1) \cdot K_p^-$ -OVERLAY is NP-complete for all  $p \geq 3$ .

*Proof.* Reduction from (3,4)-SAT which is NP-complete by [15]. Given a formula  $\Phi$  of this problem, we build a hypergraph H as follows.

- For each variable  $x_t$ , we add a variable gadget  $H_t$  containing a center set  $C_t$  of size p-2, a set  $U_t$  of 8 vertices  $U_t = \{u_t^1, \ldots, u_t^8\}$ , and 8 hyperedges  $S_t^i = C_t \cup \{u_t^i, u_t^{i+1}\}$  (superscripts are modulo 8) for  $i \in [8]$ . Set  $X_t = \{u_t^{2i-1} \mid i \in [4]\}$  and  $\overline{X}_t = \{u_t^{2i} \mid i \in [4]\}$ . The vertices of  $X_t$  (resp.  $\overline{X}_t$ ) are called the non-negated literal vertices (resp. negated literal vertices).
- For each clause  $C_j = (\ell_1 \vee \ell_2 \vee \ell_3)$ , we add a *clause vertex*  $c_j$  in which, for each literal  $\ell_i$  which is the *r*-th occurrence of the variable  $x_t$ , we identify  $u_t^{2r-1}$  (resp.  $u_t^{2r}$ ) if  $\ell_i = x_t$  (resp.  $\ell_i = \bar{x}_t$ ).
- In any center set  $C_t$ , if p = 3 which  $|C_t| = 1$ , we add a (2p 2)-degree-gadget at this vertex; if  $p \ge 4$ , we add a (2p 4)-degree-gadget at max $\{0, 6 p\}$  vertices and (2p 5)-degree-gadget at min $\{4, 2p 8\}$  vertices among the other ones.

We will show that there is an assignment  $\phi$  satisfying  $\Phi$  if and only there is a  $(K_p^-, H, 3p-1)$ -graph G.

Assume that  $\phi$  satisfies  $\Phi$ , then we construct G as follows.

In a variable gadget  $H_t$ , for every  $i \in [8]$ , we let  $G[S_t^i]$  be a copy of  $K_p^-$  in which  $G[H_t]$  is such that

- every vertex in  $C_t$  which is not in any degree-gadget is a (p-1)-vertex, so it is adjacent to all vertices in  $H_t$ ;
- if  $\phi(x_t) = true$  (resp.  $\phi(x_t) = false$ ), then each vertex in  $X_t$  (resp.  $\overline{X}_t$ ) is a (p-2)-vertex in every hyperedge containing it and each vertex in  $\overline{X}_t$  (resp.  $X_t$ ) is a (p-1)-vertex in every hyperedge containing it.
- any vertex in  $C_t$  which is in a *d*-degree-gadget is adjacent to all vertices in  $H_t$  except p+5-(3p-1-d) literal vertices in exactly one of the two sets  $X_t, \overline{X}_t$ .

For any d-degree-gadget D at a vertex v, let G[V(D)] be a  $(K_p^-, D, 3p-1)$ -graph in which v has degree d. Let us check that  $\Delta(G) \leq 3p-1$ .

- Each vertex in  $C_t$  which is not in any degree-gadget is adjacent to all vertices in  $H_t$ . So it has degree at most p + 5 in G.
- Each vertex in  $C_t$  which is in a *d*-degree-gadget has degree *d* in its degree-gadget and is adjacent to 3p 1 d vertices in  $H_t$ . So it has degree 3p 1 in *G*.
- Any literal vertex which is not identified in any clause vertex has degree either p-1 or p in its variable gadget (adjacent to p-3 vertices of  $C_t$  and two literal vertices which each is in the same hyperedge). Thus, it has degree less than 3p-1.
- Each clause vertex is the identification of three literal vertices. Each of those has degree either p-1 or p in its variable gadget. Moreover at least one of the literals is true so its corresponding literal vertex has degree p-1 in its variable gadget. Therefore the clause vertex has degree at most 3p-1.
- Any vertex which is in a degree-gadget but in no variable gadget belongs to at most two hyperedges, then it has degree at most 2(p-1) < 3p 1.

Hence, G is a  $(K_p^-, H, 3p - 1)$ -graph.

Conversely, assume that G is a  $(K_p^-, H, 3p-1)$ -graph. For every hyperedge S of H, let  $F_S$  be a subgraph of G[S] isomorphic to  $K_p^-$ .

Claim 14.1. Any  $G[H_t]$  satisfies the following.

- (i)  $G[C_t]$  is complete.
- (ii) For  $i \in [8]$ ,  $u_t^i u_t^{i+1} \in E(G[H_t])$  (superscripts are modulo 8).
- (iii) All vertices of exactly one of  $X_t, \overline{X}_t$  are (p-2)-vertices in all hyperedges of  $H_t$  which it belongs and those of the other are (p-1)-vertices.

Proof of Claim. (i) Assume that  $G[C_t]$  is not complete, then there is an edge  $uv \notin G[C_t]$  for  $u, v \in V(C_t)$ . Since all hyperedges of  $H_t$  is *F*-overlaying and *F* is a clique minus an edge, so the edge uv is the removing edge from the clique  $K_p$  in all hyperedges of  $H_t$ . It implies that in any hyperedge containing a literal vertex, this one must be adjacent to all vertices. Hence every literal vertex has degree p in  $G[H_t]$  and thus any clause vertex must have degree 3p > 3p - 1 which is a contradiction.

(ii) Assume for a contradiction that there is  $i \in [8]$  such that  $u_t^i u_t^{i+1}$  is not an edge of  $G[H_t]$ . Thus, with the six other literal vertices, there are at most three non-edges between them to vertices in  $C_t$  (because otherwise, there are two non-edges in an induced subgraph of  $G[H_t]$  by a hyperedge). However, since any vertex in  $C_t$  which is in a *d*-degree-gadget has degree at least *d* in its degree-gadget, at most 3p - 1 - d in  $G[H_t]$ . Hence, they must be non-adjacent to at least 4 literal vertices which is a contradiction.

(iii) By (ii), there are at least four none-edges and they are not in  $G[C_t]$  by (i), then each of them is between a literal vertex and a vertex in  $C_t$  which belongs to a degree-gadget (there are at most 4 such vertices). Moreover, since any hyperedge  $S_t^i$  for  $i \in [8]$  is *F*-overlaid, then there is at most one non-edge in  $G[S_t^i]$ . Assume  $wu_t^i \notin E(G[H_t])$  for some  $w \in C_t$  and it belongs to a degree-gadget, so  $u_t^i$  is p-2 in hyperedges which contain it. Thus, there are four none-edges and all vertices of exactly one of the sets  $X_t, \overline{X}_t$ are (p-2)-vertices in hyperedges it belong and those of the other set are (p-1)-vertices.  $\diamondsuit$ 

By Claim 14.1, we define a truth assignment  $\phi$  by  $\phi(x_t) = true$  (resp.  $\phi(x_t) = false$ ) if all vertices in  $X_t$  are p - 2-vertices (resp. (p - 1)-vertices) in the hyperedges of  $H_t$  to which they belong.

Observe that, each of these (p-2)-vertices has degree p-1 (it is adjacent to p-3 vertices of  $C_t$  and two literal vertices) and each of those (p-1)-vertices has degree p (it is adjacent to all vertices of  $C_t$  and two literal vertices) in  $G[H_t]$ .

A clause vertex  $c_j$  is the identification of three literal vertices. Since it has degree at most 3p - 1, then at least one of those literal vertices has degree at most p - 1 in its variable gadget. Hence, this vertex is a p - 2-vertex in the hyperedges of  $H_t$  to which it belongs. Thus this vertex corresponds to a true literal in the clause  $C_j$ . Therefore,  $\phi$  satisfies  $\Phi$ .

## 4.3 Complete bipartite graph $K_{a,a+1}$

**Theorem 15.**  $(\Delta \leq 3a+5)$ - $K_{a,a+1}$ -OVERLAY is NP-complete.

*Proof.* Reduction from (3,4)-SAT. Given a formula  $\Phi$  of this problem, we build a hypergraph H as follows.

- For each variable  $x_t$ , we add a variable gadget  $H_t$  containing a set  $C_t^1$  of size a, a set  $C_t^2$  of size a-1 and a set  $U_t$  of eight vertices  $U_t = \{u_t^1, \ldots, u_t^8\}$ , and eight hyperedges  $S_t^i = C_t^1 \cup C_t^2 \cup \{u_t^i, u_t^{i+1}\}$  (superscripts are modulo 8) for  $i \in [8]$ . Set  $X_t = \{u_t^1, u_t^3, u_t^5, u_t^7\}$  and  $\overline{X}_t = \{u_t^2, u_t^4, u_t^6, u_t^8\}$ . The vertices of  $X_t$  (resp.  $\overline{X}_t$ ) are called the non-negated literal vertices (resp. negated literal vertices).
- For each clause  $C_j = (\ell_1 \vee \ell_2 \vee \ell_3)$ , we add a *clause vertex*  $c_j$  in which, for each literal  $\ell_i$  which is the *r*th occurrence of the variable  $x_t$ , we identify  $u_t^{2r-1}$  (resp.  $u_t^{2r}$ ) if  $\ell_i = x_t$  (resp.  $\ell_i = \bar{x}_t$ ).
- We add degree-gadgets on some vertices:
  - we add a (2a+2)-degree-gadget at each of vertices in  $C_t^1$ .
  - we add a (2a+1)-degree-gadget at each of vertices in  $C_t^2$ .

We will show that there is an assignment  $\phi$  satisfying  $\Phi$  if and only there is a  $(K_{a,a+1}, H, 3a + 5)$ -graph G.

Assume that  $\phi$  satisfies  $\Phi$ , then we construct G as follows.

- In a variable gadget  $H_t$ , for every  $i \in [8]$ , we let  $G[S_t^i]$  be a copy of  $K_{a,a+1}$  such that
  - every vertex in  $C_t^1$  is an *a*-vertex and each vertex in  $C_t^2$  is an (a+1)-vertex (so  $G[C_t]$  is  $K_{a,a-1}$  with partition  $(C_t^1, C_t^2)$ );

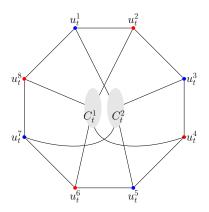


Figure 6: The variable gadget  $H_t$  of variable  $x_t$ . Blue and red vertices are respectively non-negated and negated literal vertices.

- if  $\phi(x_t) = true$  (resp.  $\phi(x_t) = false$ , then each vertex in  $X_t$  (resp.  $\overline{X}_t$ ) is an *a*-vertex in every hyperedge containing it and each vertex in  $\overline{X}_t$  (resp.  $X_t$ ) is an (a + 1)-vertex in every hyperedge containing it.

For any d-degree-gadget D at a vertex v, let G[V(D)] be a  $(K_{a,a+1}, D, 3a + 5)$ -graph in which v has degree d.

Let us check that  $\Delta(G) \leq 3a + 5$ .

- Each vertex in  $C_t^1$  has degree 2a + 2 in its (2a + 2)-degree-gadget. It is also adjacent the a 1 vertices in  $C_t^2$ , and to the four vertices of exactly one of the two sets  $X_t, \overline{X}_t$ . Thus, this vertex has degree 3a + 5 in G.
- Each vertex in  $C_t^2$  has degree 2a + 1 in its (2a + 1)-degree-gadget. It is also adjacent the *a* vertices in  $C_t^1$  and to the four vertices in exactly one of sets  $X_t, \overline{X}_t$ . Hence, it has degree 3a + 5.
- Any literal vertex which is not identified in any clause vertex has degree has degree at most a + 2 in its variable gadget. So, it has degree a + 2 < 3a + 5 in G.
- Each clause vertex is the identification of three literal vertices. Each of those has degree either a + 1 or a + 2 in its variable gadget. Moreover at least one of the literals is true so its corresponding literal vertex has degree a + 1 in its variable gadget. Therefore the clause vertex has degree at most 2(a + 2) + a + 1 = 3a + 5.
- Any vertex which is in a degree-gadget but in no variable gadget has degree at most 2(a+1) < 3a+5 since it belongs to at most two hyperedges.

Hence, G is a  $(K_{a,a+1}, H, 3a+5)$ -graph.

Conversely, assume that G is a  $(K_{a,a+1}, H, 3a + 5)$ -graph. For every hyperedge S of H, let  $F_S$  be a subgraph of G[S] isomorphic to  $K_{a,a+1}$ . Free to remove some edges, we may assume that G is the union of the  $F_S$  for every hyperedge S of H. We have the following.

#### **Claim 15.1.** Any $G[H_t]$ satisfies the following.

- (i) In a hyperedge  $S_t^i$  of  $H_t$ , the two literal vertices cannot be both a-vertices or both (a+1)-vertices.
- (ii) In every hyperedge of  $H_t$ , the vertices in  $C_t^1$  are a-vertices and the vertices in  $C_t^2$  are (a+1)-vertices.
- (iii) The vertices of one of the two sets  $X_t, \overline{X}_t$  are a-vertices in all hyperedges of  $H_t$  to which they belong, and the vertices of the other of those sets are (a + 1)-vertices in all hyperedges of  $H_t$ .

Proof of Claim. Observe that any vertex in  $C_t^1$  is in a (2a+2)-degree-gadget, so it has degree at most a+3 in  $G[H_t]$ . Similarly, any vertex in  $C_t^2$  is in a (2a+1)-degree-gadget, so it has degree at most a+4 in  $G[H_t]$ .

(i) Let us first prove that, in a hyperedge, two literal vertices cannot be both *a*-vertices. Assume for a contradiction that there is  $i \in [8]$  such that  $u_t^i, u_t^{i+1}$  are both *a*-vertices. There are a-1 other *a*-vertices in  $S_t^i$ . Thus, at least one vertex v in  $C_t^1$  is an (a + 1)-vertex in  $S_t^i$ , and thus adjacent to  $u_t^i, u_t^{i+1}$  and the a-1 other *a*-vertices in  $S_t^i$  which are in  $C_t^1 \cup C_t^2$ .

Assume for a contradiction that v is adjacent to exactly a - 1 vertices in  $C_t^1 \cup C_t^2$ . Then because v has degree at least a in every hyperedge, it must be adjacent to at least one literal vertex in each  $S_t^{i'}$  for all  $i' \in [8]$ . In particular v is adjacent to at least one literal vertex in  $S_t^{i+2}$ ,  $S_t^{i+4}$ , and  $S_t^{i+6}$ . Hence v has degree a + 4 in  $G[H_t]$ , a contradiction to the above observation.

Consequently, v is adjacent to at least a and at most a + 1 vertices in  $C_t^1 \cup C_t^2$ .

- If v is adjacent to exactly a vertices in  $C_t^1 \cup C_t^2$ , then there is a vertex u in  $C_t^1 \setminus \{v\}$  which is adjacent to v since there are only a-1 vertices in  $C_t^2$ . Vertex u has degree at least a in  $S_t^i$ . Since v has degree a+2 in  $S_t^i \cup C_t^1 \cup C_t^2$ , it is adjacent to at most one vertex, among the six literal vertices  $u_t^{i+1+j}$ ,  $j \in [6]$ . Hence there are two hyperedges S, S' in  $\{S_t^{i+2}, S_t^{i+4}, S_t^{i+6}\}$  such that v is adjacent to no literal vertex of S and S'. Now in each of those two hyperedges, v has degree exactly a. Hence it must be an a-vertex, and each of its neighbours, including u, is an (a+1)-vertex and thus is adjacent to the two literal vertices. Hence u is adjacent to at least a + 4 vertices in  $G[H_t]$  (at least a in  $S_t^i$  plus the four literal vertices of S and S'). This is a contradiction.
- If v is adjacent to a + 1 vertices in  $C_t^1 \cup C_t^2$ , then there are two vertices u, u' in  $C_t^1 \setminus \{v\}$  which are adjacent to v and each of them has degree at least a in  $S_t^i$ . Since v has degree a + 2 in  $S_t^i \cup C_t^1 \cup C_t^2$ , it is not adjacent to any of the six other literal vertices than  $u_t^i, u_t^{i+1}$ . Consider the three hyperedges  $S_t^{i+2}, S_t^{i+4}, S_t^{i+6}$ ;
  - if v is an (a+1)-vertex in one of these hyperedges, then u, u' must be *a*-vertices and thus adjacent to the two literal vertices in this hyperedge.
  - if v is an a-vertex in one of those hyperedges, then at least one of u, u' is an (a+1)-vertex in this hyperedge and is adjacent to its two literal vertex.

Thus at least one of u, u' is adjacent to at least four literal vertices in  $S_t^{i+2} \cup S_t^{i+4} \cup S_t^{i+6}$ , and so has degree at least a + 4, a contradiction.

This proves that two literal vertices of a hyperedge are not both *a*-vertices.

Let us now prove that two literal vertices in a hyperedge of  $H_t$  cannot be both (a + 1)-vertices. Assume for a contradiction that there is  $i \in [8]$  such that  $u_t^i, u_t^{i+1}$  are both (a + 1)-vertices. Any *a*-vertex *x* in  $S_t^i$  is adjacent to  $u_t^i, u_t^{i+1}$  and at least a - 2 vertices in  $C_t^1 \cup C_t^2$ . If *x* is adjacent to exactly a - 2 (resp. a - 1) vertices in  $C_t^1 \cup C_t^2$ , then, since it has degree at least *a* in any hyperedge, it is adjacent to all six (resp. at least three) literal vertices in  $S_t^{i+2} \cup S_t^{i+4} \cup S_t^{i+6}$ . Thus *x* has degree a + 6 (resp. a + 4) in  $G[H_t]$ , a contradiction. Hence every *a*-vertex in  $S_t^i$  has at least *a* neighbours in  $C_t^1 \cup C_t^2$ .

There are a + 1 a-vertices in  $S_t^i$ , so there must be one, say v, in  $C_t^1$ . It has degree at most a + 3 in  $G[H_t]$ and at least a + 2 in  $S_t^i$ . Thus it is adjacent to at most one literal vertex in  $S_t^{i+2} \cup S_t^{i+4} \cup S_t^{i+6}$ . Hence v is not adjacent to the literal vertices of two hyperedges S, S' in  $S_t^{i+2} \cup S_t^{i+4} \cup S_t^{i+6}$ . Thus the literal vertices of the hyperedge S (resp. S') are both in a same part of  $F_S$  (resp.  $F_{S'}$ ), and so they are (a + 1)-vertices.

Now in each hyperedege of  $H_t$ , there are more *a*-vertices than (a+1)-vertices. Thus there is a vertex *z* which is an *a*-vertex in at least three hyperedges  $S_1, S_2, S_3$  in  $S_t^i \cup S_t^{i+2} \cup S_t^{i+4} \cup S_t^{i+6}$ . In any of these hyperedges at least one of the literal vertices is an (a+1)-vertex, and in at least two of them the two literal vertices are (a+1)-vertices. Hence *z* is adjacent to at least five literal vertices. Moreover, as above, we can show that *z* has at least *a* neighours in  $C_t^1 \cup C_t^2$ . Thus *z* has degree at least a+5 in  $G[H_t]$ , a contradiction. This completes the proof of (i).

(ii) Assume for a contradiction that a vertex  $w \in C_t^1$  is an (a + 1)-vertex in  $S_t^i$ . By (i), w is adjacent to a literal vertex in  $S_t^i$ , and so it is adjacent to a other vertices in  $C_t^1 \cup C_t^2$ . Furthermore, by (i), in each hyperedge of  $H_t$ , w is adjacent to a literal vertex (either to an *a*-vertex or an (a + 1)-vertex). Thus w is adjacent to four literal vertices in  $H_t$ , and so has degree at least a + 4 in  $G[H_t]$ , a contradiction. Therefore the *a* vertices of  $C_t^1$  are *a*-vertices. Moreover, by (i), one of the literal vertex of each  $S_t^i$  is an *a*-vertex. Therefore all vertices of  $C_t^2$  must be (a + 1)-vertices.

(iii) Let v be a vertex in  $C_t^1$ . It is an a-vertex in each  $S_t^i$ , so by (i) it is adjacent to one vertex in  $\{u_t^i, u_t^{i+1}\}$  for all  $i \in [8]$  and it is adjacent to the a-1 vertices of  $C_t^2$ . But v has degree at most a+3 in  $G[H_t]$ , so v is either adjacent to all vertices of  $X_t$  and non-adjacent to all vertices of  $\overline{X}_t$ , or non-adjacent to all vertices of  $X_t$  and adjacent to all vertices of  $\overline{X}_t$ .

By Claim 15.1, we define a truth assignment  $\phi$  by  $\phi(x_t) = true$  (resp.  $\phi(x_t) = false$ ) if all vertices in  $X_t$  are *a*-vertices (resp. (a + 1)-vertices) in the hyperedges of  $H_t$  to which they belong.

Observe that, by Claim 15.1, if a literal vertex  $u_t^i$  is an (a + 1)-vertex in the hyperedges of  $H_t$  to which it belongs then it has degree at least a + 2 in  $G[H_t]$  because it is adjacent to the *a* vertices of  $C_t^1$  and the two literal vertices  $u_t^{i-1}, u_t^{i+1}$ .

A clause vertex  $c_j$  is the identification of three literal vertices. Since it has degree at most 3a + 5, then at least one of those literal vertices has degree at most a + 1 in its variable gadget. By the above observation, this vertex is an *a*-vertex in the hyperedges of  $H_t$  to which it belongs. Thus this vertex corresponds to a true literal in the clause  $C_j$ . Therefore,  $\phi$  satisfies  $\Phi$ .

# 5 Proof of Theorem 5

The aim of this section is to prove Theorem 5. The proof divides into four cases, Theorem 13, Theorem 16, Theorem 17 and Theorem 18 as follows.

Proof of Theorem 5 (assuming Theorems 16, 17 and 18). Let F be a graph with degree values  $1 \le \delta(F) = \lambda_1 < \cdots < \lambda_t = \Delta(F)$ .

If t = 1, (i.e. F is regular), then we have the result by Theorem 13. Henceforth, we may assume that  $t \ge 2$ .

If there exists  $i \in [t-1]$  such that  $\lambda_{i+1} > \lambda_i + 1$ , then Theorem 16 yields the result. Henceforth, we may assume that  $\lambda_{i+1} = \lambda_i + 1$  for all  $i \in [t-1]$ .

If  $t \ge 3$ , then  $\lambda_t + \lambda_1 \ge 2\lambda_2$  and Theorem 17 yields the result. Henceforth, we may assume that t = 2 which we then have the result by Theorem 18.

It thus remains to prove Theorems 16, 17 and 18.

The proofs of the first two are reductions from 3-COLORING on 4-regular graphs which are similar to the one used to prove Theorem 9. Given a 4-regular graph G, we build a hypergraph H which includes, for each vertex  $v \in V(G)$ , a vertex gadget with three hyperedges which makes three choices of degrees on vertices  $c_v^1, c_v^2, c_v^3$  (as three colors labeled 1, 2, 3 of vertex v) and a color gadget represented as a binary tree with 4 leaves which copies each choice to four (leaves) vertices in other hyperedges (with respect to four neighbours of  $v \in V(G)$ ). For any edge uv, we simply identifies the two leaves of u, v. The idea is that for a proper coloring c of G, c(v) corresponds to a vertex  $c_v^i$  having a certain degree d; then c(v) = i if and only if  $c_v^i$  as degree d in its vertex gadget (see Figure 7). However, the set of hyperedges which are in a color gadget of the two theorems are different, see Figure 8 in Theorem 16 and Figure 9 in Theorem 17.

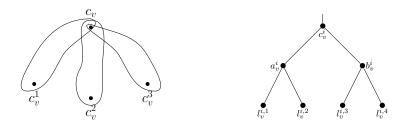


Figure 7: The construction of the reduction. The vertex gadget for vertex v (left) and the color gadget represented as a binary tree with 4 leaves (right). In the construction, each edge of this tree is replaced by hyperedges such that the degree of the root  $c_v^i$  is transmitted to all its descendants.

**Theorem 16.** Let F be a graph on p vertices with degree values  $1 \leq \lambda_1 < \cdots < \lambda_t$ . If there exists  $i^* \in \{2, \ldots, t\}$  such that  $\lambda_{i^*} \geq \lambda_{i^*-1} + 2$ , then there is k such that  $(\Delta \leq k)$ -F-OVERLAY is NP-complete.

*Proof.* Set  $k = \max\{2\lambda_t, 2\lambda_{i^*} + \lambda_{i^*-1} + \lambda_1\}$ . We give a reduction from 3-COLORING on 4-regular graphs which is NP-complete by [12].

Given a 4-regular graph G, we build a hypergraph H as follows.

• For each vertex  $v \in V(G)$ , we create a vertex gadget  $H_v$  with three hyperedges  $S_v^i = \{c_v, c_v^i\} \cup X_v^i \cup Y_v^i$ for  $i \in [3]$  where  $|X_v^i| = \sum_{j=1}^{i^*-1} \alpha_j - 1$ ,  $|Y_v^i| = p - |X_v^i| - 2$ . We add a  $(k - \lambda_{i^*} + 1)$ -degree-gadget at each vertex  $x \in X_v^i$  for  $i \in [3]$ , a  $(k - 2\lambda_{i^*} - \lambda_{i^*-1})$ -degree-gadget at  $c_v$ . We say that  $S_v^i$  is the parent hyperedge of  $c_v^i$  for each  $i \in [3]$ .

- For each vertex v and each  $i \in [3]$ , we construct a color gadget  $H_v^i$  for  $i \in [3]$  as follows.
  - We create a binary tree  $T_v^i$  with vertex set  $\{c_v^i, a_v^i, b_v^i, \ell_v^{i,1}, \ell_v^{i,2}, \ell_v^{i,3}, \ell_v^{i,4}\}$  and edge set
  - $\{c_v^i, c_v^i, b_v^i, a_v^i \ell_v^{i,1}, a_v^i \ell_v^{i,2}, b_v^i \ell_v^{i,3}, b_v^i \ell_v^{i,4}\}, \text{ rote dat } c_v^i, \text{ In this tree, } a_v^i, a_v^i, b_v^i, b_v^i, b_v^i, b_v^i, \ell_v^i, \ell_$
  - For any vertex  $x \in \{c_v^i, a_v^i, b_v^i\}$ , let  $y_1, y_2$  be its children in  $T_v^i$ , and let  $e_1 = xy_1, e_2 = xy_2$ . We first add a  $(k 2\lambda_{i^*} + 1)$ -degree-gadget at x. Then we construct an x-edge-gadget as follows: we add a set  $A_x$  of  $\sum_{j=1}^{i^*-1} \alpha_j 1$  new vertices and a set  $B_x$  of  $p |A_x| 2$  new vertices, the hyperedges  $S(e_1) = \{x, y_1\} \cup A_x \cup B_x$  and  $S(e_2) = \{x, y_2\} \cup A_x \cup B_x$ , and a  $(k \lambda_{i^*} + 1)$ -degree-gadget at every vertex  $a \in A_x$ . For convenience, we say that  $S(xy_1)$  (resp.  $S(xy_2)$ ) is the parent hyperedge of  $y_1$  (resp.  $y_2$ ). Moreover, for any leaf  $\ell_v^{i,j}$ , we denote by  $S_v^{i,j}$  the hyperedge containing the vertex  $\ell_{v_i}^{i,j}$ .
- For every vertex  $v \in V(G)$ , let  $e_v^1, e_v^2, e_v^3, e_v^4$ , be an ordering of the edges incident to v. For each edge  $uv \in E(G)$ , let  $j_u$  and  $j_v$  be the indices such that  $uv = e_u^{j_u} = e_v^{j_v}$ . Then, for all  $i \in [3]$ , we identify the vertices  $\ell_u^{i,j_u}$  and  $\ell_v^{i,j_v}$  and we add a  $(k \lambda_{i^*} \lambda_1)$ -degree-vertex at this vertex.

Note that each of the d-degree-gadgets exists because we have  $d \ge \lambda_1$  by our choice of k.

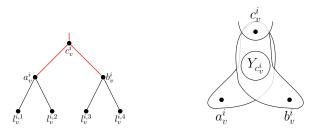


Figure 8: The binary tree  $T_n^i$  and an example of the edge-gadget at vertex  $c_n^i$  (corresponding to red edges).

Let us now prove that there is a proper 3-coloring of G if and only if there is an (F, H, k)-graph  $G^*$ .

Assume first that there is an (F, H, k)-graph  $G^*$ . For sake of clarity, we say that a vertex has degree d in a set S if it has degree d in  $G^*[S]$ .

Let  $v \in V(G)$ . The vertex  $c_v$  has degree at least  $(k - 2\lambda_{i^*} - \lambda_{i^*-1})$  in its  $(k - 2\lambda_{i^*} - \lambda_{i^*-1})$ -degree-gadget. Hence  $c_v$  has degree at most  $2\lambda_{i^*} + \lambda_{i^*-1}$  in  $S_v^1 \cup S_v^2 \cup S_v^3$ . But those hyperedges pairwise intersect in  $\{c_v\}$ . Thus there is  $i \in [3]$  such that  $c_v$  has degree less than  $\lambda_{i^*}$  in  $S_v^i$ . Moreover, since any vertex  $x \in X_v^i$  has degree at least  $k - \lambda_{i^*} + 1$  in its  $(k - \lambda_{i^*} + 1)$ -degree-gadget, so it has degree less than  $\lambda_{i^*}$  in  $S_v^i$ . Thus  $c_v^i$ must have degree at least  $\lambda_{i^*}$  in  $S_v^i$ . Therefore, we can define a 3-coloring  $\phi$  by  $\phi(v) = i$  where i is an index such that  $c_v^i$  has degree at least  $\lambda_{i^*}$  in  $S_v^i$ .

Let us now prove that  $\phi$  is proper. We need the following claim.

**Claim 16.1.** Let  $v \in V(G)$  and  $i \in [3]$ . If  $c_v^i$  has degree at least  $\lambda_{i^*}$  in  $S_v^i$ , then so does the leaf  $\ell_v^{i,j}$  in  $S_v^{i,j}$  for all  $j \in [4]$ .

*Proof of Claim.* It suffices to prove that for any  $x \in \{c_v^i, b_v^i, a_v^i\}$ , if x has degree at least  $\lambda_{i^*}$  in its parent hyperedge, then both  $y_1, y_2$  have degree at least  $\lambda_{i^*}$  in their parent hyperedges.

Assume that x has degree at least  $\lambda_{i^*}$  in its parent hyperedge. Since x has degree at least  $k - 2\lambda_{i^*} + 1$  in its  $(k - 2\lambda_{i^*} + 1)$ -degree-gadget, it has degree at most  $\lambda_{i^*} - 1$  in  $S(xy_1) \cup S(xy_2)$ . Moreover, any  $a \in A_x$  has degree at least  $k - \lambda_{i^*} + 1$  in its  $(k - \lambda_{i^*} + 1)$ -degree-gadget and so has degree less than  $\lambda_{i^*}$  in  $S(xy_1) \cup S(xy_2)$  and so in each of  $S(xy_1), S(xy_2)$ . Since  $A_x$  is of size  $\sum_{j=1}^{i^*-1} \alpha_1 - 1$ , the vertex  $y_1$  (resp.  $y_2$ ) must have degree at least  $\lambda_{i^*}$  in  $S(xy_1)$  (resp.  $S(xy_2)$ ).

Consider an edge  $uv \in E(G)$ ,  $i \in [3]$ . The vertex  $\ell = \ell_u^{i,j_u} = \ell_v^{i,j_v}$  has degree at least  $k - \lambda_{i^*} - \lambda_1$  in its  $(k - \lambda_{i^*} - \lambda_1)$ -degree-gadget and is the unique common vertex of the hyperedges  $S_u^{i,j_u}$  and  $S_v^{i,j_v}$ . Therefore it has degree  $\lambda_{i^*}$  in at most one of  $S_u^{i,j_u}$ ,  $S_v^{i,j_v}$ . Hence, by the Claim 16.1, at most one of  $c_u^i$ ,  $c_v^i$  has degree

 $\lambda_{i^*}$  in its parent hyperedge. Thus at most one of u, v is colored i by  $\phi$ . Therefore,  $\phi$  is a proper 3-coloring of G.

Assume now that  $\phi$  is a proper 3-coloring of G. We construct a graph  $G^*$  as follows.

- For any vertex gadget  $H_v$ ,  $i \in [3]$ , let  $G^*[S_v^i]$  be a copy of F in which every vertex in  $X_v^i$  has degree at most  $\lambda_{i^*-1}$ , every vertex in  $Y_v^i$  has degree at least  $\lambda_{i^*}$ , and  $c_v$  has degree  $\lambda_{i^*-1}$  (resp.  $\lambda_{i^*}$ ) and  $c_v^i$  has degree  $\lambda_1$  (resp.  $\lambda_{i^*}$ ) in  $S_v^i$  if  $\phi(v) = i$  (resp.  $\phi(v) \neq i$ ).
- In every color gadget  $H_v^i$ , for  $x \in \{c_v^i, b_v^i, a_v^i\}$  with children  $y_1$  and  $y_2$ , let  $G^*[S(xy_1)]$  and  $G^*[S(xy_2)]$ ) be two similar copies of F such that:
  - if  $i \neq \phi(v)$ , then x has degree  $\lambda_{i^*}$  in  $G^*[S(xy_1)]$  and  $G^*[S(xy_2)]$  (and so at most  $\lambda_{i^*} + 1$  in  $G^*[S(xy_1) \cup S(xy_2)]$ ) and  $y_1$  and  $y_2$  have degree  $\lambda_1$  in  $G^*[S(xy_1)]$  and  $G^*[S(xy_2)]$  respectively.
  - if  $i = \phi(v)$ , then x has degree  $\lambda_{i^*-1}$  in  $G^*[S(xy_1)]$  and  $G^*[S(xy_2)]$  (and so at most  $\lambda_{i^*-1} + 1$  in  $G^*[S(xy_1) \cup S(xy_2)]$ ) and  $y_1$  and  $y_2$  have degree  $\lambda_{i^*}$  in  $G^*[S(xy_1)]$  and  $G^*[S(xy_2)]$  respectively.
  - every vertex in  $A_x$  has degree at most  $\lambda_{i^*-1}$  in both  $G^*[S(xy_1)]$  and  $G^*[S(xy_2)]$  and so at most  $\lambda_{i^*} + 1$  in  $G^[S(xy_1) \cup S(xy_2)]$ ;
  - every vertex in  $B_x$  is degree at least  $\lambda_{i^*}$  in both  $G^*[S(xy_1)]$  and  $G^*[S(xy_2)]$  and so at most  $\lambda_t + 1$  in  $G^*[S(xy_1) \cup S(xy_2)]$ ;
- For any d-degree-gadget D at vertex v, we let  $G^*[V(D)]$  be an (F, D, k)-graph in which v has degree d.

By construction,  $G^*$  F-overlaps H. Let us check that  $\Delta(G^*) \leq k$ . Let u be a vertex of  $G^*$ .

- If u is in at most two hyperedges (in particular, if = u is in  $Y_v^i$  or u is in  $B_x$  for x internal vertex in some  $T_v^i$  or u is only in a d-degree-gadget), then u has degree at most  $2\lambda_t \leq k$ .
- If  $u \in X_v^i$  for  $v \in V(G)$ , then u has degree  $k \lambda_{i^*} + 1$  in its  $(k \lambda_{i^*} + 1)$ -degree-gadget and at most  $\lambda_{i^*-1}$  in  $S_v^i$ ], thus u has degree at most  $k \lambda_{i^*} + \lambda_{i^*-1} + 1 \leq k$ .
- If  $u \in A_x$  for  $v \in V(G)$  and x internal vertex in some tree  $T_v^i$ , then u has degree  $k \lambda_{i^*} + 1$  in its  $(k \lambda_{i^*} + 1)$ -degree-gadget and at most  $\lambda_{i^*-1} + 1$  in  $G^*[S(xy_1) \cup S(xy_1)]$ , thus u has degree at most  $k \lambda_{i^*} + \lambda_{i^*-1} + 2 \leq k$ .
- For  $u \in \{c_v^i, a_v^i, b_v^i\}$  for  $i \in [3]$  with u parent of  $y_1, y_2$ , it has degree  $k 2\lambda_{i^*} + 1$  in its  $(k 2\lambda_{i^*} + 1)$ -degreegadget. And if  $i = \phi(v)$  (resp.  $i \neq \phi(v)$ ), then u has degree  $\lambda_{i^*}$  (resp.  $\lambda_1$ ) in its parent hyperedge and  $\lambda_{i^*-1} + 1$  (resp.  $\lambda_{i^*} + 1$ ) in  $G^*[S_{uy_1}^1 \cup S_{uy_2}^1]$ . Hence u has degree at most  $k - \lambda_{i^*} + \lambda_{i^*-1} + 2 \leq k$ .
- Assume that u is the identification of  $\ell_v^{i,j_v}$  and  $\ell_w^{i,i_w}$  for an edge  $vw \in E(G)$ . First, u has degree  $k \lambda_{i^*} \lambda_1$  in its  $(k \lambda_{i^*} \lambda_1)$ -degree-gadget. Moreover, since either  $\phi(v) \neq i$  or  $\phi(w) \neq i$ , then u has degree  $\lambda_1$ n one of  $S_v^{i,j_v}, S_w^{i,j_w}$  and at most  $\lambda_{i^*}$  in the other. Therefore, u has degree at most k in  $G^*$ .

Consequently,  $G^*$  is an (F, H, k)-graph.

**Theorem 17.** Let a graph F on p vertices with degree sequence  $\mathbf{d} = (d_1, \ldots, d_p)$  such that  $\lambda_t + \lambda_1 \ge 2\lambda_2$ . Then there exists k such that  $(\Delta \le k)$ -F-OVERLAY is NP-complete.

*Proof.* Observe that the condition  $\lambda_t + \lambda_1 \ge 2\lambda_2$  implies  $t \ge 3$ . Set  $k = 2\lambda_t + \lambda_{t-1}$ . We give a reduction from 3-COLORING on 4-regular graphs (which is NP-complete by [12]).

Given a 4-regular graph G, we build a p-uniform hypergraph H as follows.

• For each vertex  $v \in V(G)$ , we create a vertex gadget  $H_v$  with three hyperedges  $S_v^i = \{c_v, c_v^i\} \cup X_v^i \cup Y_v^i$ for  $i \in [3]$  where  $|X_v^i| = \sum_{i=1}^{t-1} \alpha_i - 1$ ,  $|Y_v^i| = p - \alpha_t - 1$ . For  $i \in [3]$ , we add a  $(k - \lambda_{t-1})$ -degree-gadget at each vertex  $x \in X_v^i$ 

We say that  $S_v^i$  is the parent hyperedge of each  $c_v^i$ ,  $i \in [3]$ .

- For each vertex  $v \in V(G)$  and each  $i \in [3]$ , we construct a color gadget  $H_v^i$  for  $i \in [3]$  as follows.
  - We create a binary tree  $T_v^i$  with vertex set  $\{c_v^i, a_v^i, b_v^i, \ell_v^{i,1}, \ell_v^{i,2}, \ell_v^{i,3}, \ell_v^{i,4}\}$  and edge set  $\{c_v^i a_v^i, c_v^i b_v^i, a_v^i \ell_v^{i,1}, a_v^i \ell_v^{i,2}, b_v^i \ell_v^{i,3}, b_v^i \ell_v^{i,4}\}$ , rooted at  $c_v^i$ . In this tree,  $a_v^i$  and  $b_v^i$  are the children of  $c_v^i$ ,  $\ell_v^{i,1}$  and  $\ell_v^{i,2}$  are the children of  $a_v^i$ , and  $\ell_v^{i,3}$  and  $\ell_v^{i,4}$  are the children of  $b_v^i$ .
  - For each edge e = xy of  $T_v^i$  with x the parent of y in  $T_v^i$ , we construct an *edge-gadget* containing x, y, a new vertex  $z_e$ , and four disjoint sets  $U_e^1 W_e^1, U_e^2, W_e^2$  of new vertices,  $U_e^1$  of size  $\alpha_1 1$ ,  $W_e^1$  of size  $p |U_e^1| 1$ ,  $U_e^2$  of size  $p \alpha_t 1$ ,  $W_e^2$  of size  $\alpha_t 1$ . We add the hyperedges  $S_e^1 = \{x, z_e\} \cup U_e^1 \cup W_e^1$  and  $S_e^2 = \{z_e, y\} \cup U_e^2 \cup W_e^2$ . We finally add a  $(k \lambda_t 2\lambda_1)$ -degree-gadget at each vertex of  $U_e^1$ , a  $(k \lambda_t + 1)$ -degree-gadget at each of  $U_e^2$ , and a  $(k \lambda_2 \lambda_t + 1)$ -degree-gadget pendant at  $z_e$ .

• For every vertex  $v \in V(G)$ , let  $e_v^1, e_v^2, e_v^3, e_v^4$ , be an ordering of the edges incident to v. For each edge  $uv \in E(G)$ , let  $j_u$  and  $j_v$  be the indices such that  $uv = e_u^{j_u} = e_v^{j_v}$ . Then, for all  $i \in [3]$ , we identify the vertices  $\ell_u^{i,j_u}$  and  $\ell_v^{i,j_v}$  and we add a  $(k-2\lambda_t+1)$ -degree-gadget at this vertex.

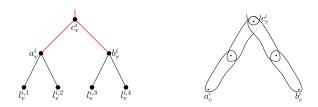


Figure 9: The binary tree  $T_v^i$  and an example of the edge-gadget at vertex  $c_v^i$  (corresponding to red edges).

Let us now prove that there is a proper 3-coloring of G if and only if there is an (F, H, k)-graph  $G^*$ .

Assume first that there is an (F, H, k)-graph  $G^*$ . For sake of clarity, we say that a vertex has degree d in a set S if it has degree d in  $G^*[S]$ .

Let  $v \in V(G)$ . The vertex  $c_v$  has degree at most  $2\lambda_t + \lambda_{t-1}$  in  $S_v^1 \cup S_v^2 \cup S_v^3$ . But those hyperedges pairwise intersect in  $\{c_v\}$ . Thus there is  $i \in [3]$  such that  $c_v$  has degree less than  $\lambda_t$  in  $S_v^i$ .

Moreover, each vertex  $x \in X_v^i$  has degree at least  $k - \lambda_{t-1}$  in its  $(k - \lambda_{t-1})$ -degree-gadget, and so at most  $\lambda_{t-1}$  in  $S_v^i$ . Together with  $c_v$ , there are  $\sum_{i=1}^{t-1} \alpha_t$  vertices of degree at most  $\lambda_{t-1}$  in  $S_v^i$ . Thus  $c_v^i$  have degree  $\lambda_t$  in its parent hyperedge  $S_v^i$ . Therefore, we can define a 3-coloring  $\phi$  by  $\phi(v) = i$  where i is an index such that  $c_v^i$  has degree  $\lambda_t$  in  $S_v^i$ .

Let us now prove that  $\phi$  is proper. We need the following claim.

**Claim 17.1.** Let  $v \in V(G)$  and  $i \in [3]$ . If  $c_v^i$  has degree  $\lambda_t$  in  $S_v^i$ , then so does any leaf  $\ell_v^{i,j}$  in  $S_v^{i,j}$  for  $j \in [4].$ 

*Proof of Claim.* It suffices to prove that for any  $x \in \{c_v^i, b_v^i, a_v^i\}$ , if x has degree  $\lambda_t$  in its parent hyperedge, then both  $y_1, y_2$  have degree  $\lambda_t$  in their parent hyperedges.

Assume that x is a  $\lambda_t$ -vertex in its parent hyperedge. Since x has degree at least  $k - \lambda_t - 2\lambda_1$  in its  $(k - \lambda_t - 2\lambda_1)$ -degree-gadget, and degree  $\lambda_t$  in its parent hyperedge, it has has degree at most  $2\lambda_1$  in  $S_{xy_1}^1 \cup S_{xy_2}^1$ , and so  $\lambda_1$  in each of  $S_{xy_1}^1, S_{xy_2}^1$ . Let e = xy be one of the two edges  $xy_1, xy_2$ . Any vertex in  $U_e^1$  has degree at least  $k - \lambda_1$  in its  $(k - \lambda_1)$ -degree-gadget, and thus  $\lambda_1$  in  $S_e^1$ . It implies that  $z_e$  has degree at least  $\lambda_2$  in  $S_e^1$ . Since it is also in a  $(k - \lambda_2 - \lambda_t + 1)$ -degree-gadget,  $z_e$  has degree less than  $\lambda_t$  in  $S_e^2$ . Moreover, any vertex in  $U_e^2$  is in a  $(k - \lambda_t + 1)$ -degree-gadget, then none of them has degree  $\lambda_t$  in  $S_e^2$  except those in  $W_e^2$  which is of size  $\alpha_t - 1$ . Thus, y must have degree  $\lambda_t$  in  $S_e^2$ .  $\Diamond$ 

Consider an edge  $uv \in E(G)$ ,  $i \in [3]$ . The vertex  $\ell = \ell_u^{i,j_u} = \ell_v^{i,j_v}$  has degree at least  $k - 2\lambda_t + 1$  in its  $(k-2\lambda_t+1)$ -degree-gadget and is the unique common vertex of the hyperedges  $S_u^{i,j_u}$  and  $S_v^{i,j_v}$ . Therefore it has degree  $\lambda_t$  in at most one of  $S_u^{i,j_u}$  and  $G^* S_v^{i,j_v}$ . Hence, by Claim 17.1, at most one of  $c_u^i, c_v^i$  has degree  $\lambda_t$ in its parent hyperedge. Thus at most one of u, v is colored i by  $\phi$ . Therefore,  $\phi$  is a proper 3-coloring of G.

Assume now that  $\phi$  is a proper 3-coloring of G. We construct a graph  $G^*$  as follows.

- For any vertex gadget  $H_v$ ,  $i \in [3]$ , let  $G^*[S_v^i]$  be a copy of F in which every vertex in  $X_v^i$  has degree at most  $\lambda_{t-1}$ , every vertex in  $Y_v^i$  has degree  $\lambda_t$ , and  $c_v$  has degree  $\lambda_{t-1}$  (resp.  $\lambda_t$ ) and  $c_v^i$  has degree  $\lambda_t$ (resp.  $\lambda_1$ ) if  $\phi(v) = i$  (resp.  $\phi(v) \neq i$ ).
- In every color gadget  $H_v^i$ , for each edge e = xy of  $T_v^i$  with x parent of y, let  $G^*[S_e^1], G^*[S_e^2]$  be a copies of F such that:

  - every vertex in  $U_e^1$  has degree  $\lambda_1$ ; every vertex in  $U_e^2$  has degree at most  $\lambda_{t-1}$ ; every vertex in  $W_e^2$  has degree  $\lambda_t$ ;

  - if  $i = \phi(v)$ , then x has degree  $\lambda_1$  in  $S_e^1$ ,  $z_e$  has degree  $\lambda_2$  in  $S_e^1$  and  $\lambda_{t-1}$  in  $S_e^2$ , and y has degree  $\lambda_t$  in  $S_e^2$ ;
  - if  $i \neq \phi(v)$ , then x has degree  $\lambda_2$  in  $S_e^1$ ,  $z_e$  has degree  $\lambda_1$  in  $S_e^1$  and  $\lambda_t$  in  $S_e^2$ , and y has degree  $\lambda_1$ in  $S_e^2$ ..

• For any d-degree-gadget D at vertex v, we let  $G^*[V(D)]$  be an (F, D, k)-graph in which v has degree d.

By construction,  $G^*$  F-overlaps H. Let us check that  $\Delta(G^*) \leq k$ . Let u be a vertex of  $G^*$ .

- If u is in at most two hyperedges, (in particular if u is in  $Y_v^i$ , in  $W_e^1 \cup W_e^2$  in an edge-gadget or only in a degree-gadget), then u has degree at most  $2\lambda_t < k$  in  $G^*$ .
- If  $u = c_v$ , then it has degree  $\lambda_{t-1}$  in  $S_v^i$  for the index  $i = \phi(v)$ , and  $\lambda_t$  in  $S_v^i$  for the two indices  $i \neq \phi(v)$ . Hence  $c_v$  has degree  $2\lambda_1 + \lambda_{t-1} = k$ .
- If  $u \in X_v^i$  for  $v \in V(G)$ , then u has degree  $k \lambda_{t-1}$  in its  $(k \lambda_{t-1})$ -degree-gadget and at most  $\lambda_{t-1}$ in  $S_n^i$ , thus u has degree at most k in  $G^*$ .
- If  $u \in U_e^1$  for some edge e of  $T_v^i$ , then u has degree  $k \lambda_1$  in its degree-gadget and  $\lambda_1$  in  $S_e^1$ , thus u has degree k in  $G^*$ .
- If  $u \in U_e^2$ , then u has degree  $k \lambda_t + 1$  in its degree-gadget and at most  $\lambda_{t-1}$  in  $S_e^2$ , thus u has degree at most k in  $G^*$ .
- If  $u \in \{c_v^i, a_v^i, b_v^i\}$  for  $i \in [3]$  with children  $y_1, y_2$ , then u has degree  $k \lambda_t 2\lambda_1$  in its  $(k \lambda_t 2\lambda_1)$ degree-gadget. Moreover, if  $i = \phi(v)$  (resp.  $i \neq \phi(v)$ ), then u has degree  $\lambda_t$  (resp.  $\lambda_1$ ) in its parent hyperedge and  $\lambda_1$  (resp.  $\lambda_2$ ) in both  $S_{uy_1}^1, S_{uy_2}^1$ . Hence u has degree at most  $k - \lambda_t - 2\lambda_1 + \lambda_t + 2\lambda_1 = k$ (resp.  $k - \lambda_t - 2\lambda_1 + \lambda_1 + 2\lambda_2 \leq k$  by the assumption  $\lambda_t + \lambda_1 \geq 2\lambda_2$ ) in  $G^*$ .
- If  $u = z_e$  for some edge e of  $T_u^i$ , then u has degree  $k \lambda_2 \lambda_t + 1$  in its  $(k \lambda_2 \lambda_t + 1)$ -degree-gadget. Moreover, if  $i = \phi(v)$  (resp.  $i \neq \phi(v)$ ), then u has degree  $\lambda_2$  (resp.  $\lambda_1$ ) in  $S_e^1$  and  $\lambda_{t-1}$  (resp.  $\lambda_t$ ) in  $S_e^2$ . Hence, u has degree at most  $k - \lambda_2 - \lambda_t + 1 + \lambda_2 + \lambda_{t-1} \le k$  (resp.  $k - \lambda_2 - \lambda_t + 1 + \lambda_1 + \lambda_t \le k$ ) in  $G^*$ .
- Assume that u is the identification of  $\ell_v^{i,j_v}$  and  $\ell_w^{i,i_w}$  for an edge  $vw \in E(G)$ . First, u has degree  $k - 2\lambda_t + 1$  in its  $(k - 2\lambda_t + 1)$ -degree-gadget. Moreover, since either  $\phi(v) \neq i$  or  $\phi(w) \neq i$ , then u has degree less than  $\lambda_t$  in one of  $S_v^{i,j_v}, S_w^{i,j_w}$ . Therefore, u has degree at most k in  $G^*$ .

Consequently,  $G^*$  is an (F, H, k)-graph.

**Theorem 18.** Let F be a graph with  $\alpha_1$  vertices of positive degree  $\lambda_1$  and  $\alpha_2 = p - \alpha_1$  vertices of degree  $\lambda_2 = \lambda_1 + 1$ . Then  $(\Delta \leq k)$ -F-OVERLAY is NP-complete for some k.

There are several cases in the proof, depending on the structure of graph F. In each case, we give a reduction from (3,4)-SAT problem (which is NP-complete by [15]), which follows the same general idea as the proof of Theorem 13: we construct variable gadgets  $H_t$  containing some negated and non-negated *literal vertices* and identify some of them in such a way that for an assignment  $\phi$  satisfying  $\Phi$  of (3.4)-SAT problem,  $\phi(x_t) = true$  (resp. false) if and only if non-negated (resp. negated) literal vertices in the variable gadget are adjacent to  $w_t$  in an (F, H, k)-graph.

**Lemma 19.** Let F be a graph on p vertices with  $\alpha_1$  vertices of degree  $\lambda_1$  and  $\alpha_2 = p - \alpha_1$  vertices of degree  $\lambda_2 > \lambda_1$  such that  $F[V_{\lambda_2}]$  is  $\mu$ -regular but neither complete nor anticomplete. Then there exists k such that  $(\Delta \leq k)$ -F-OVERLAY is NP-complete.

*Proof.* Set  $\gamma = \lambda_2 - \mu$  and  $k = \max\{4\gamma(\alpha_2 - 1) + 4\mu + \lambda_1, 3\gamma(\alpha_2 - 1) + 6\mu - 1 + \lambda_1\}$ .

We give a reduction from (3,4)-SAT. Given a formula  $\Phi$  of this problem, we construct a hypergraph H as follows.

1. For each variable  $x_t$ , we construct a variable gadget  $H_t$  in the following way.

We first create a *center vertex*  $w_t$ , a set of  $4(\alpha_2 - 1)$  vertices  $U_t = \{u_t^1, \dots, u_t^{4(\alpha_2-1)}\}$ , and for each  $i \in [4(\alpha_2 - 1)]$ , create a set of  $\alpha_1$  new vertices  $W_t^i$ , and a hyperedge  $S_t^i = W_t^i \cup \{w_t, u_t^i, \dots, u_t^{i+\alpha_2-2}\}$ 

(superscripts are modulo  $4(\alpha_2 - 1)$ ). For  $r \in [4]$ , let  $x_t^r = u_t^{r(\alpha_2 - 1) - \alpha_2 + 2}$  and  $\bar{x}_t^r = u_t^{r(\alpha_2 - 1) - \alpha_2 + 3}$ . Set  $X_t = \{x_t^1, x_t^2, x_t^3, x_t^4\}$  and  $\overline{X}_t = \{\bar{x}_t^1, \bar{x}_t^2, \bar{x}_t^3, \bar{x}_t^4\}$ . The vertices of  $X_t$  (resp.  $\overline{X}_t$ ) are called the *non-negated* (resp. *negated*) literal vertices of  $H_t$ .

- 2. For each clause  $C_j = (\ell_1 \vee \ell_2 \vee \ell_3)$ , we identify  $y_1, y_2, y_3$  into a clause vertex  $c_j$ , where  $y_i = x_t^r$  if  $\ell_i = x_t$ and  $\ell_i$  is the r-th occurrence of  $x_t$ , and  $y_i = \bar{x}_t^r$  if  $\ell_i = \bar{x}_t$  and is the r-th occurrence of  $x_t$ .
- 3. Finally, we add degree-gadgets on some vertices.
  - We add a  $(k 4\gamma(\alpha_2 1) 4\mu)$ -degree gadget on vertex  $w_t$ .
  - We add a  $(k \lambda_1)$ -degree gadget at every vertex in  $W_t^i$  for all  $i \in [4(\alpha_2 1)]$ .
  - For  $i \in [\mu 1]$ , we add a  $(k \gamma(\alpha_2 1) 2\mu + 1)$ -degree-gadget on each  $u_t^{(\alpha_2 1)r j}$  for  $r \in [4]$ .

Observe that every vertex in  $W_t^i$ , for  $i \in [4(\alpha_2 - 1)]$ , has degree at least  $k - \lambda_1$  in its  $(k - \lambda_1)$ -degree-gadget, and so degree at most  $\lambda_1$  in  $S_t^i$ . Thus, each of those vertices must have degree  $\lambda_1$  in  $S_t^i$ . It implies that all the other vertices must have degree at least  $\lambda_2$  in any hyperedge of  $H_t$ . In particular,  $w_t$  has degree  $\lambda_2$  in any hyperedge of  $H_t$ . Since  $w_t$  is in a  $(k - 4\gamma(\alpha_2 - 1) - 4\mu)$ -degree-gadget and is adjacent to  $\gamma$  vertices in every  $W_t^i$  for  $i \in [4(\alpha_2 - 1)]$ , it has degree at most  $4\mu$  in  $\bigcup_{i=1}^{4(\alpha_2 - 1)} S_t^i \setminus W_t^i$ .

Moreover, each vertex  $u_t^i \in U_t$  is a  $\lambda_2$ -vertex in every hyperedge  $S_t^{i'}$  of  $H_t$  containing it, and so adjacent to  $\gamma$  vertices in  $W_t^{i'}$ . Since  $u_t^i$  belongs to  $\alpha_2 - 1$  hyperedges of  $H_t$ , thus  $u_t^i$  is adjacent to  $\gamma(\alpha_2 - 1)$  vertices in  $\bigcup_{i'=1}^{4(\alpha_2-1)} W_t^{i'}$ . For  $i \in [\mu-1]$ ,  $u^{(\alpha_2-1)r-i}$  is in a  $(k - \gamma(\alpha_2 - 1) - 2\mu + 1)$ -degree-gadget, then it has degree at most  $\gamma(\alpha_2 - 1) + 2\mu - 1$  in  $H_t$ , and so at most  $2\mu - 1$  in  $\bigcup_{i'=1}^{4(\alpha_2-1)} S_t^{i'}$ . Moreover,  $F[V_{\lambda_2}]$  is  $\mu$ -regular (but not complete or anticomplete). The following is then similar to the proof of Theorem 13 for  $F[V_{\lambda_2}]$ . So we just sketch it.

Assume that there exists a truth assignment  $\phi$  satisfying  $\Phi$ . Let G be the graph obtained as follows. We let  $(v_0, v_1, \ldots, v_{\alpha_2-1})$  be an ordering of  $V_{\lambda_2}$  such that  $N_F(v_0) = \{v_{\alpha_2-\mu+1}, \ldots, v_{\alpha_2-1}\} \cup \{v_1\}$  if  $\phi(x_t) = true$  and such that  $N_F(v_0) = \{v_{\alpha_2-\mu+1}, \ldots, v_{\alpha_2-1}\} \cup \{v_2\}$  if  $\phi(x_t) = false$ . For every  $i \in [4\alpha_2 - 4]$ , we let  $G[S_t^i]$  be the copy of F in which every vertex in  $W_t^i$  is a  $\lambda_1$ -vertex,  $w_t$  corresponds to  $v_0$  and  $u_t^{i'}$  for  $i' \in \{i, \ldots, i + \alpha_2 - 1\}$  corresponds to the vertex  $v_{i''}$  such that  $i' \equiv i'' \mod \alpha_2 - 1$ . In addition, for every

d-degree-gadget D at some vertex v, we let G[V(D)] be an (F, D, k)-graph in which v has degree d.

The graph G F-overlays H and one can check that  $\Delta(F) \leq k$ .

Conversely, assume that G is an (F, H, k)-graph. One can prove the following claim.

**Claim 19.1.** Every subgraph  $G[H_t]$  satisfies the following.

- (a) Every vertex in  $W_t^i$  for  $i \in [4\alpha_2 4]$  is a  $\lambda_1$ -vertex in  $S_t^i$ .
- (b)  $w_t$  is a  $\lambda_2$ -vertex in every hyperedges of  $H_t$ . Furthermore, it is adjacent to  $\gamma$  vertices in each  $W_t^i$  and the vertices  $u_t^{(\alpha_2-1)r-i}$  for  $r \in [4]$ ,  $i \in [\mu 1]$ .

Therefore the truth assignment  $\phi$  defined by  $\phi(x_t) = true$  (resp. false) if  $w_t$  is adjacent to  $X_t$  (resp.  $\overline{X}_t$ ), satisfies  $\Phi$ .

Proof of Theorem 18. Let  $V_d$  be the set of vertices of degree d in F and  $\mathbf{d} = (d_1, \ldots, d_p)$  be the nondecreasing degree sequence of F. Let  $N_s$  be the set of vertices of  $V_{\lambda_2}$  having exactly s neighbours in  $V_{\lambda_1}$ , and let  $N_{\geq s} = \bigcup_{s' \geq s} N_{s'}$ .

For technical reasons, we distinguish several cases as follows.

If  $F[V_{\lambda_1}]$  is not anticomplete, then see **Case A**.

Otherwise,  $F[V_{\lambda_1}]$  is anticomplete. Assume first that  $F[V_{\lambda_2}]$  is regular. If  $F[V_{\lambda_2}]$  is neither complete nor anticomplete, then we have the result by Lemma 19. If  $F[V_{\lambda_2}]$  is anticomplete, then  $F = K_{\lambda_1,\lambda_1+1}$  and we have the result by Theorem 15.

Hence we may assume that  $F[V_{\lambda_2}]$  is complete. Observe  $\alpha_2 \geq \lambda_1$  because a vertex of  $V_{\lambda_1}$  has all its neighbours in  $V_{\lambda_2}$  and  $\alpha_2 \leq \lambda_1 + 1$  because every vertex of  $V_{\lambda_2}$  is adjacent to all other vertices of  $V_{\lambda_2}$  and at least one in  $V_{\lambda_1}$ . If  $\alpha_2 = \lambda_1 + 1$ , then every vertex of  $V_{\lambda_2}$  has exactly one neighbour in  $V_{\lambda_1}$ , and so  $\alpha_2 = \lambda_1 \times \alpha_1$ . Hence  $\alpha_2 = 2 = \alpha_1$  and  $\lambda_1 = 1$ . Thus  $F = K_3^-$  and we have the result by Theorem 14. If  $\alpha_2 = \lambda_1$ , then every vertex of  $V_{\lambda_1}$  is adjacent to all vertices of  $V_{\lambda_2}$ . Thus F is  $K_{\lambda_1+2}^-$  and we have the result by Theorem 14. Henceforth, we may assume that  $F[V_{\lambda_2}]$  is not regular, that is  $F[V_{\lambda_2}]$  has at least two degree values. In particular,  $\alpha_2 \geq 2$ .

If  $N_{\geq 2}$  is empty, then  $V_{\lambda_2} = N_0 \cup N_1$  and both  $N_0$ ,  $N_1$  are non-empty. See **Case B**-(i).

If there is a vertex in  $N_{\geq 2}$  which is not adjacent to a vertex in  $V_{\lambda_1}$ , see **Case C**-(i).

Otherwise, every vertex in  $N_{\geq 2}$  is adjacent to all vertices in  $V_{\lambda_1}$  (so here  $N_{\geq 2} = N_{\alpha_1}$  with  $\alpha_1 \geq 2$ ). If  $N_1 = \emptyset$ , then see **Case C**-(ii). Otherwise,  $N_1 \neq \emptyset$  and any vertex in  $V_{\lambda_1}$  is not adjacent to all vertices in  $N_1$ , see **Case B**.

**<u>Case A</u>**: We set k depending on the subgraph  $F[V_{\lambda_1}]$  of F.

- (1) If  $F[V_{\lambda_1}]$  is not complete, then  $k = 6\lambda_1 1$ .
- (2) If  $F[V_{\lambda_1}]$  is complete, then every vertex of  $V_{\lambda_1}$  is not adjacent to some vertex in  $V_{\lambda_2}$ . We set  $k = 6\lambda_1 + 3$ .

We give a reduction from (3,4)-SAT.

Given a formula  $\Phi$  of this problem, we build a hypergraph H as follows.

- 1. For each variable  $x_t$ , we construct a variable gadget  $H_t$  in the following way. We first create a center vertex  $w_t$ , a set of 4p 4 vertices  $U_t = \{u_t^1, \ldots, u_t^{4p-4}\}$ , and 4p 4 hyperedges  $S_t^i = \{w_t, u_t^i, \ldots, u_t^{i+p-2}\}$  (superscripts are modulo 4(p-1)) for  $i \in [4p-4]$ . For  $r \in [4]$ , let  $x_t^r = u_t^{r(p-1)-p+2}$  and  $\bar{x}_t^r = u_t^{r(p-1)-p+3}$ . Set  $X_t = \{x_t^1, x_t^2, x_t^3, x_t^4\}$  and  $\bar{X}_t = \{\bar{x}_t^1, \bar{x}_t^2, \bar{x}_t^3, \bar{x}_t^4\}$ . The vertices of  $X_t$  (resp.  $\bar{X}_t$ ) are called the non-negated (resp. negated) literal vertex  $\bar{X}_t$  (resp.  $\bar{X}_t$ ) are called the non-negated (resp. negated) literal vertices of  $H_t$ .
- 2. For each variable  $x_t$ , we add a set of  $p \lambda_1$  vertices  $W_t$ , and a hyperedge  $S'_t = W_t \cup \{u_t^{p-1}, \ldots, u_t^{p-\lambda_1+1}\}$ and we add a  $(k - 4\lambda_1 - 1)$ -degree-gadget at  $w_t$ .
- 3. For each clause  $C_j = (\ell_1 \lor \ell_2 \lor \ell_3)$ , we identify  $y_1, y_2, y_3$  into a *clause vertex*  $c_j$ , where for all  $i \in [3]$ ,  $y_i = x_t^r$  if  $\ell_i = x_t$  and  $\ell_i$  is the r-th occurrence of  $x_t$ , and  $y_i = \bar{x}_t^r$  if  $\ell_i = \bar{x}_t$  and is the r-th occurrence of  $x_t$ .

Let z be a vertex in  $V_{\lambda_1}$  which is adjacent to the minimum number a > 0 of vertices in this set. Let  $(z, z_1, \ldots, z_{p-1})$  be an ordering of F such that :

- $z_j$  has degree  $\lambda_1$  and is adjacent to z for all  $j \in [a]$ ,
- $z_j$  has degree  $\lambda_1$  and is not adjacent to z for all  $a + 1 \leq j \leq \alpha_1 1$ ,
- $z_j$  has degree  $\lambda_2$  and is adjacent to z for all  $\alpha_1 \leq j \leq \alpha_1 + \lambda_1 a 1$ .
- $z_j$  has degree  $\lambda_2$  and is not adjacent to z for all  $\alpha_1 + \lambda_1 a \leq j \leq p 1$ .

We will show that there is an assignment  $\phi$  satisfying  $\Phi$  if and only there is an (F, H, k)-graph G.

Assume that  $\phi$  satisfies  $\Phi$ , then we construct G as follows. For all  $i \in [4p-4]$ , let  $G[S_i^i]$  be copies of F such that  $w_t$  corresponds to the vertex z and the following hold.

#### In Case A-(1),

- if  $\phi(x_t) = true$  (resp.  $\phi(x_t) = false$ ), then each vertex in  $X_t$  (resp.  $\overline{X}_t$ ) corresponds to  $z_1$ , and each of  $\overline{X}_t$  (resp.  $X_t$ ) corresponds to  $z_{\alpha_1-1}$ . - for all  $r \in [4]$  and  $2 \le i \le \alpha_1 - 2$ ,  $u^{(p-1)r+1-i}$  corresponds to  $z_i$ .
- for all  $r \in [4]$  and  $\alpha_1 \leq i \leq p-1$ ,  $u^{(p-1)r+2-i}$  corresponds to  $z_i$ .
- In Case A-(2),
- if  $\phi(x_t) = true$  (resp.  $\phi(x_t) = false$ ), then each vertex in  $X_t$  (resp.  $\overline{X}_t$ ) corresponds to  $z_1$ , and each of  $\overline{X}_t$  (resp.  $X_t$ ) corresponds to  $z_{p-1}$ . - for all  $r \in [4]$  and  $2 \le i \le p-2$ ],  $u^{(p-1)r+1-i}$  corresponds to  $z_i$ .

For any d-degree-gadget D at a vertex v, let G[V(D)] be an (F, D, k)-graph in which v has degree d.

Let us check that  $\Delta(G) \leq k$ .

- $w_t$  is adjacent to  $4\lambda_1$  vertices in  $H_t$  and one more in  $W_t \subset V(S'_t)$ , and it has degree  $k 4\lambda_1 1$  in its degree-gadget. Thus  $w_t$  has degree k in total.
- Any literal vertex which is not identified to any clause vertex and is not in  $S'_t$  has degree has degree at most  $2\lambda_2$  in its variable gadget. So, it has degree less than k.
- Any literal vertex which is in  $S'_t$  has degree has degree at most  $2\lambda_2$  in its variable gadget and it is adjacent to at most  $\lambda_1$  vertices in  $W_t$ . So, it has degree less than k.
- Each clause vertex  $c_j$  is in three literal variable gadgets. In **Case A**-(1) (resp. **Case A**-(2)),  $c_j$  has degree at most  $2\lambda_1$  (resp.  $2\lambda_2$ ) in each variable gadget. Moreover at least one of the literals is true so its corresponding literal vertex has degree  $2\lambda_1 - 1$  (resp.  $2\lambda_2 - 1$ ) Therefore  $c_i$  has degree at most  $6\lambda_1 - 1$  (resp.  $6\lambda_2 - 1$ ) in its variable gadget. Now it has degree  $k - 6\lambda_1 + 1$  (resp.  $k - 6\lambda_2 + 1$ ) in its degree-gadget, and so at most k in total.
- Any vertex which is in a degree-gadget but in no variable gadget has degree at most  $2\lambda_t \leq k$  since it belongs to at most two hyperedges.
- Any vertex in  $W_t$  has degree at most  $\lambda_2 < k$ .
- Hence, G is an (F, H, k)-graph.

Conversely, let G be an (F, H, k)-graph.

#### **Claim 19.2.** Any $G[H_t]$ satisfies the following.

(a)  $w_t$  has degree  $4\lambda_1$  in  $H_t$  and  $w_t$  has degree exactly  $\lambda_1$  in every hyperedge containing it.

(b) There is  $I \in [p-1]$  of size  $\lambda_1$  such that for all  $i \in I$  and  $r \in [4]$ ,  $u_t^{(p-1)r-i+1}$  is adjacent to  $w_t$ ; and  $[\lambda_1 - 1] \subset I$ .

Proof of Claim. Observe that  $w_t$  is in a  $(k - 4\lambda_1 - 1)$ -degree-gadget, so it has degree at most  $4\lambda_1 + 1$  in  $H_t \cup S'_t$ . Since  $w_t$  is in  $S'_t$  which intersects  $S^1_t$  in  $\lambda_1 - 1$  vertices and it is at least  $\lambda_1$  in  $S'_t$ , then  $w_t$  is adjacent to at least one vertex in  $W_t \subset V(S'_t)$ . Thus,  $w_t$  has degree at most  $4\lambda_1$  in  $H_t$ . Now for every  $i \in [p-1]$ ,  $w_t$  belongs to the four hyperedges  $S^{(p-1)r-i}_t$ ,  $r \in [4]$ , which pairwise intersect in  $\{w_t\}$ . Hence  $w_t$  has degree exactly  $\lambda_1$  in each  $S^{(p-1)r-i}_t$  and then  $4\lambda_1$  in  $H_t$ . This proves (a).

Now, if a vertex  $u_t^i$  is adjacent to  $w_t$ , then so is  $u_t^{i+p-1}$  because  $w_t$  has degree exactly  $\lambda_1$  in both  $S_t^i$  and  $S_t^{i+1}$ . Therefore there is  $I \in [p-1]$  of size  $\lambda_1$  such that  $w_t$  is adjacent to  $u_t^{(p-1)r-i+1}$  for all  $i \in I$  and  $r \in [4]$ . Since  $w_t$  has degree  $4\lambda_1$  in  $H_t$ , then  $w_t$  is adjacent to exactly one vertex in  $W_t$  and so must be adjacent to  $\lambda_1 - 1$  vertices in  $S'_t \setminus W_t$  which are  $u_t^{p-1}, \ldots, u^{p-\lambda_1+1}$ . It implies that  $[\lambda_1 - 1] \subset I$ . This proves (b).

Claim 19.2 implies that the vertices of  $X_t$  (resp.  $\overline{X}_t$ ) are either all adjacent to  $w_t$  or all non-adjacent to  $w_t$ . Moreover,  $w_t$  is adjacent to  $\lambda_1 - 1$  vertices not in  $X_t \cup \overline{X}_t$ . Hence if the vertices of  $X_t$  are adjacent to  $w_t$ , the vertices of  $\overline{X}_t$  are not (and vice-versa).

Let  $\phi$  be the truth assignment defined by  $\phi(x_t) = true$  if  $w_t$  is adjacent to  $H_t$ , and  $\phi(x_t) = false$  otherwise. In any clause vertex  $c_i$ , we identified three literal vertices corresponding to the three literals.

- In **Case A**-(1),  $c_j$  has degree at most  $k = 6\lambda_1 1$ , so it has degree less than  $2\lambda_1$  in one of its three variable gadgets  $H_t$ . Since any vertex  $u_t^i$  for  $i \in [4p 4]$  belongs to two hyperedges  $S_t^i$  and  $S_t^{i-p+2}$  which intersect in  $\{u_t^i, w_t\}$  and has degree at least  $\lambda_1$  in each, then it has degree  $2\lambda_1 1$  in  $H_t$  only if it is adjacent to  $w_t$ . Hence,  $c_j$  is adjacent to  $w_t$ .
- In Case A-(2),  $c_j$  has degree at most  $k = 6\lambda_1 + 3 < 6\lambda_2$ , so it has degree less than  $2\lambda_2$  in one of its three variable gadgets  $H_t$ .

Moreover,  $F[V_{\lambda_1}]$  is complete, then  $w_t$  is adjacent to all  $\lambda_1$ -vertices in every hyperedges of  $H_t$  (because it is a  $\lambda_1$ -vertex in every hyperedge of  $H_t$ ). If  $c_j$  is not adjacent to no center vertex of the three variable gadgets it belongs to, then it must be a  $\lambda_2$ -vertex in each hyperedge of those gadgets. Thus it has degree at least  $2\lambda_2$  in each variable gadget and so at least  $6\lambda_2$  in total, a contradiction. Thus  $c_j$  is adjacent to the center of at least one variable gadget  $w_t$ .

Hence, the corresponding literal to the literal vertex adjacent to  $w_t$  for variable  $x_t$  is true and clause  $C_j$  is satisfied.

Consequently,  $\phi$  satisfies  $\Phi$ .

<u>**Case B:**</u> Recall that in that case  $N_1 \neq \emptyset$ . Let  $\gamma = \max\{|N(v) \cap V_{\lambda_1}| \mid v \in V_{\lambda_2}\}$ . We have  $V_{\lambda_2} = \bigcup_{s=0}^{\gamma} N_s$ . Let k as follows.

- (i) If  $N_0 \neq \emptyset$ , then set  $k = \max\{6\lambda_2 1 + \lambda_1, \gamma\alpha_2 + 2(\lambda_2 \gamma) + \lambda_1\}$ .
- (ii) If  $N_0 = \emptyset$ ,  $N_{\geq 2} \neq \emptyset$  and every vertex of  $N_{\geq 2}$  is adjacent to all vertices of  $V_{\lambda_1}$ , then set  $k = \max\{6\lambda_1 + 3\alpha_2 1 + \lambda_1, \gamma\alpha_2 + 2(\lambda_2 \gamma) + \lambda_1\}$ . Note that in that case every vertex in  $V_{\lambda_1}$  is adjacent to a vertex in  $N_1$  but not all.

We give a reduction from (3,4)-SAT.

Given a formula  $\Phi$  of this problem, we build a hypergraph as follows.

1. For each variable  $x_t$ , we construct a variable gadget  $H_t$  in the following way.

We first create a *center vertex*  $w_t$ ,  $4\alpha_2$  sets of  $\alpha_1 - 1$  vertices  $A_t^i$  for  $i \in [4\alpha_2]$ , a set of  $4\alpha_2$  vertices  $U_i = \{u_t^1, \ldots, u_t^{4\alpha_2}\}$ , and  $4\alpha_2$  hyperedges  $S_t^i = A_t^i \cup \{w_t, u_t^i, \ldots, u_t^{i+\alpha_2-1}\}$  (superscripts are modulo  $4\alpha_2$ ) for  $i \in [4\alpha_2]$ .

For  $r \in [4]$ , let  $x_t^r = u_t^{\alpha_2(r-1)+1}$  and  $\bar{x}_t^r = u_t^{\alpha_2(r-1)+2}$ . Set  $X_t = \{x_t^1, x_t^2, x_t^3, x_t^4\}$  and  $\overline{X}_t = \{\bar{x}_t^1, \bar{x}_t^2, \bar{x}_t^3, \bar{x}_t^4\}$ . The vertices of  $X_t$  (resp.  $\overline{X}_t$ ) are called the *non-negated* (resp. *negated*) literal vertices of  $H_t$ .

- 2. For each variable  $x_t$ ,
  - we create a set of  $p \lambda_1$  vertices  $B_t$  and a hyperedge  $S'_t = B_t \cup \{w_t, u_t^{\alpha_2}, \dots, u_t^{\alpha_2 \lambda_1 + 2}\}$ .
  - add a  $(k 4\lambda_1 1)$ -degree-gadget on  $w_t$ .
  - add a  $(k \lambda_1)$ -degree-gadget on every vertex in  $A_t^i$  for  $i \in [4\alpha_2]$ .
- 3. For each clause  $C_j = (\ell_1 \vee \ell_2 \vee \ell_3)$ , we identify  $y_1, y_2, y_3$  into a *clause vertex*  $c_j$ , where  $y_i = x_t^r$  if  $\ell_i = x_t$  and  $\ell_i$  is the r-th occurrence of  $x_t$ , and  $y_i = \bar{x}_t^r$  if  $\ell_i = \bar{x}_t$  and is the r-th occurrence of  $x_t$ . We also

add a  $(k - 6\lambda_2 - 1)$ -degree vertex at  $c_i$  in **Case B**-(i), and a  $(k - 6\lambda_1 - 3\alpha_2 + 1)$ -degree vertex at  $c_i$ in Case B-(ii).

We will show that there is an assignment  $\phi$  satisfying  $\Phi$  if and only there is an (F, H, k)-graph G.

Let z be a vertex in  $V_{\lambda_1}$  adjacent to a vertex y in  $N_1$  and let  $\bar{y}$  be a vertex in  $N_0$  in in **Case B**-(i) or a vertex in  $N_1$  not adjacent to z in **Case B**-(ii). Note that  $\bar{y}$  and z are not adjacent. Let  $(y_1, \ldots, y_{\alpha_2-2})$  be an ordering of  $V_{\lambda_2} \setminus \{y, \bar{y}\}$  such that  $y_1, \ldots, y_{\lambda_1-1}$  are adjacent to z and  $y_{\lambda_1}, \ldots, y_{\alpha_2-2}$  are not adjacent to z.

Assume that there is  $\phi$  satisfying  $\Phi$ , we construct a graph G as follows. Let  $G[S'_t]$  be a copy of F such that  $w_t$  has degree  $\lambda_1$  and is adjacent to the  $\lambda_1 - 1$  vertices  $u_t^{\alpha_2}, \ldots, u_t^{\alpha_2 - \lambda_1 + 2}$ . In a variable gadget  $H_t$ , for every  $i \in [4\alpha_2]$ , we let  $G[S_t^i]$  be a copy of F such that  $w_t$  corresponds to the vertex z, and

- $A_t^i$  corresponds to  $V_{\lambda_1} \setminus \{z\}$ .
- if  $\phi(x_t) = true$  (resp.  $\phi(x_t) = false$ ), then each vertex in  $X_t$  (resp.  $\overline{X}_t$ ) corresponds to y, and each vertex in  $\overline{X}_t$  (resp.  $X_t$ ) to  $\overline{y}$ .
- for  $i \in [\alpha_2 2]$ ,  $u_t^{(p-1)r-i+1}$  corresponds to  $y_i$ .

For any d-degree-gadget D at a vertex v, let G[V(D)] be an (F, D, k)-graph in which v has degree d.

Let us check that  $\Delta(G) \leq k$ .

- $w_t$  is adjacent to  $4\lambda_1$  vertices in  $H_t$  and one more in  $W_t \subset V(S'_t)$ , and it has degree  $k 4\lambda_1 1$  in its degree-gadget, then  $w_t$  has degree k in total.
- Any literal vertex which is not identified to any clause vertex and not in  $S'_t$  has degree at most  $\gamma \alpha_2 + 2(\lambda_2 - \gamma)$  in its variable gadget (it is adjacent to at most  $\gamma$  vertices in each  $A_t^i$  in a hyperedge to which it belongs and there are  $\alpha_2$  such hyperedges; and  $f(x) = x\alpha_2 + 2(\lambda_2 - x)$  is increasing). So, it has degree less than k.
- Any literal vertex which is not identified to any clause vertex and in  $S'_t$  has degree at most  $\gamma \alpha_2 + 2(\lambda_2 \gamma)$ in its variable gadget and is adjacent to at most  $\lambda_1$  vertices in  $B_t$ . So it has degree at most k.
- Each clause vertex  $c_j$  is in three variable gadget. In Case B-(i),  $c_j$  (resp. Case B-(ii)), in each of these gadgets,  $c_i$  has degree either  $2\lambda_2 - 1$  if it is adjacent to  $w_t$  or  $2\lambda_2$  (resp.  $\alpha_2 + 2(\lambda_2 - 1)$  otherwise. Moreover at least one of the literals is true, its corresponding literal vertex has degree  $2\lambda_2 - 1$  in its variable gadget. Therefore  $c_i$  at most  $6\lambda_2 - 1$  neighbours (resp.  $2\alpha_2 + 6(\lambda_2 - 1) + 1$ ) in variable gadgets. It also has  $k - 6\lambda_2 + 1$  (resp.  $k - 6\lambda_1 - 3\alpha_2 + 1$ ) neighbours in its degree-gadget. Hence, in G, it has degree at most k.
- Any vertex which is in a degree-gadget but in no variable gadget has degree at most  $2\lambda_t \leq k$  since it belongs to at most two hyperedges.
- Any vertex in  $B_t$  has degree at most  $\lambda_2 < k$ .
- Hence, G is an (F, H, k)-graph.

Conversely, let G be an (F, H, k)-graph.

Observe that any vertex in  $A_t^i$  for  $i \in [4\alpha_2]$  is in a  $(k - \lambda_1)$ -degree-gadget, then it has degree at most  $\lambda_1$  in  $S_t^i$ . Since any vertex has degree at least  $\lambda_1$  in a hyperedge, then every vertex in  $\bigcup_{i=1}^{4\alpha_2} A_t^i$  is a  $\lambda_1$ -vertex in any hyperedge to which it belongs.

**Claim 19.3.** Any  $G[H_t]$  satisfies the following.

- (a) w<sub>t</sub> has degree 4λ<sub>1</sub> in H<sub>t</sub> and w<sub>t</sub> is a λ<sub>1</sub>-vertex in every hyperedge of H<sub>t</sub>.
  (b) There is I ∈ [α<sub>2</sub>] of size λ<sub>1</sub> such that for all i ∈ I and r ∈ [4], u<sub>t</sub><sup>α<sub>2</sub>r-i+1</sup> is adjacent to w<sub>t</sub>; and  $[\lambda_1 - 1] \subset I.$

This claim can be proved in exactly the same way as Claim 19.2.

We have that every vertex in  $U_t$  is a  $\lambda_2$ -vertex in any hyperedge to which it belongs (since  $w_t$  and  $\alpha_1 - 1$ vertices of  $A_t^i$  for  $i \in [4\alpha_2]$  are  $\lambda_1$ -vertices).

Claim 19.3 implies that the vertices of  $X_t$  (resp.  $\overline{X}_t$ ) are either all adjacent to  $w_t$  or all non-adjacent to  $w_t$ . Moreover,  $w_t$  is adjacent to  $\lambda_1 - 1$  vertices in  $U_t$  but not in  $X_t \cup \overline{X}_t$ . Hence if the vertices of  $X_t$  are adjacent to  $w_t$ , the vertices of  $\overline{X}_t$  are not (and vice-versa).

Let  $\phi$  be the truth assignment defined by  $\phi(x_t) = true$  if  $w_t$  is adjacent to all vertices of  $X_t$  in  $H_t$ , and  $\phi(x_t) = false$  otherwise.

A clause vertex  $c_i$  has degree at most k. Because of its degree-gadget, in **Case B**-(i) (resp. **Case B**-(ii)),

it has degree at most  $6\lambda_2 - 1$  (resp.  $6\lambda_1 + 3\alpha_2 - 1$ ) in  $H_t$ . Now, since it is the identification of three literal vertices,  $c_j$  has degree less than  $2\lambda_2$  (resp.  $2\lambda_1 + \alpha_2$ ) in one variable gadget  $H_t$ .

**Claim 19.4.** Let  $i \in [4\alpha_2]$ . If  $u_t^i$  is not adjacent to  $w_t$ , then the following holds.

- (i)  $u_t^i$  has degree at least  $2\lambda_2$  in  $G[H_t]$ ;
- (ii) If  $N_0 = \emptyset$ , then  $u_t^i$  has degree at least  $2\lambda_1 + \alpha_2$  in  $G[H_t]$ ;

Proof of Claim.  $u_t^i$  has at least  $\lambda_2$  neighbours in each of  $S_t^i$  and  $S_t^{i-\alpha_1+1}$ . But the intersection of those hyperedges is  $\{w_t, u_t^i\}$ . As it is not adjacent to  $w_t$ ,  $u_t^i$  has at least  $2\lambda_2$  neighbours in  $S_t^i \cup S_t^{i-\alpha_1+1}$ . This proves (i).

If  $N_0 = \emptyset$ , then for all  $i - \alpha_2 + 1 < i' < i$ .  $u_t^i$  must be adjacent to at least one  $\lambda_1$ -vertex of  $S_t^{i'}$  which is in  $A_t^{i'}$ . Hence  $u_t^i$  has at least  $\alpha_2 - 2$  in  $\bigcup_{i-\alpha_2+1 < i' < i} A_t^{i'}$  which is disjoint from  $S_t^i \cup S_t^{i-\alpha_1+1}$ . Hence  $u_t^i$  has degree at least  $2\lambda_2 + \alpha_2 - 2 = 2\lambda_1 + \alpha_2$  in  $G[H_t]$ . This proves (ii).

This claim implies that there is at least one variable gadget  $H_t$  in which  $c_j$  is adjacent to  $w_t$ . It implies that the corresponding literal of this vertex in  $C_j$  is true, and so  $C_j$  is satisfied. Consequently,  $\phi$  satisfies  $\Phi$ .

<u>**Case C:**</u> In this case,  $F[V_{\lambda_1}]$  is anticomplete,  $F[V_{\lambda_2}]$  is not regular, and  $V_{\lambda_2}$  satisfies one of the following. (i) there is a vertex of  $N_{\geq 2}$  that is not adjacent to all vertices in  $V_{\lambda_1}$  in F.

(ii)  $V_{\lambda_2} = N_{\geq 2} \cup N_0$  and every vertex of  $N_{\geq 2}$  is adjacent to all vertices of  $V_{\lambda_1}$ . Since  $F[V_{\lambda_2}]$  is not complete, then there is a vertex in  $N_{\geq 2}$  which is not adjacent to a vertex in either  $N_0$  Case C-(ii)-a or  $N_{\geq 2}$  Case C-(ii)-b.

We set 
$$a = \max_{\substack{u \in V_{\lambda_1} \\ v \in N(u)}} |N(v) \cap N(u)|$$
, and let  $k = 4(p-2)(2\lambda_1 - a) + 4\lambda_1 + 1$ .

For conveniences, we denote some vertices of graph F as follows. Let  $z_0$  be a vertex in  $N_{\geq 2}$  such that there is  $z_1 \in V_{\lambda_1}$  adjacent to  $z_0$  with  $a = |N(z_0) \cap N(z_1)|$ . Let  $z \in N_{\geq 2}$  which is adjacent to the minimum number of vertices in  $N_0$ , and  $y, y' \in V_{\lambda_1}$  be vertices adjacent to z and

- in Case C-(i), let  $\bar{y} \in V_{\lambda_1}$  be a vertex not adjacent to z.
- in Case C-(ii), let  $\bar{y}$  be a vertex not adjacent to z such that  $\bar{y} \in N_0$  if z is not adjacent to all vertices in  $N_0$  and  $\bar{y} \in N_{>2}$  otherwise.

We give a reduction from (3,4)-SAT.

Given a formula  $\Phi$  of this problem, we build a hypergraph as follows.

1. For each variable  $x_t$ , we construct a variable gadget  $H_t$  in the following way.

We first create a center vertex  $w_t$ , a set of 4(p-2) vertices  $D_t = \{d_t^1, \ldots, d_t^{4(p-2)}\}$ , a set of 4(p-2) vertices  $U_t = \{u_t^1, \ldots, u_t^{4(p-2)}\}$ , and 4(p-2) hyperedges  $S_t^i = \{w_t, d_t^i, u_t^i, \ldots, u_t^{i+p-3}\}$  (superscripts are modulo 4(p-2)) for  $i \in [4(p-2)]$ .

For  $r \in [4]$ , let  $x_t^r = u_t^{r(p-2)-p+3}$  and  $\bar{x}_t^r = u_t^{r(p-2)-p+4}$ . Set  $X_t = \{x_t^1, x_t^2, x_t^3, x_t^4\}$  and  $\overline{X}_t = \{\bar{x}_t^1, \bar{x}_t^2, \bar{x}_t^3, \bar{x}_t^4\}$ . The vertices of  $X_t$  (resp.  $\overline{X}_t$ ) are called the *non-negated* (resp. *negated*) literal vertices of  $H_t$ .

- 2. For each variable  $x_t$ ,
  - We create a set  $Y_t$  of  $p \lambda_1$  vertices and a hyperedge  $S'_t = Y_t \cup \{w_t\} \cup \{u_t^{p-2}, \dots, u_t^{p-\lambda_1}\}$ .
  - For any  $i \in [4(p-2)]$ , we add two sets of  $p \lambda_1 1$  vertices  $A_t^i, B_t^i$  and a set of  $\lambda_1 1$  vertices  $C_t^i$ , and two hyperedges  $A_t^i \cup C_t^i \cup \{d_t^i, w_t\}$  and  $B_t^i \cup C_t^i \cup \{d_t^i, w_t\}$ . We call this a *fickle-gadget*  $F_t^i$ . - We add a  $(k - 2\lambda_1 + 1)$ -degree-gadget on every vertex in  $D_t$ .
- 3. For each clause  $C_j = (\ell_1 \vee \ell_2 \vee \ell_3)$ , we identify  $y_1, y_2, y_3$  into a *clause vertex*  $c_j$ , where  $y_i = x_t^r$  if  $\ell_i = x_t$  and  $\ell_i$  is the *r*-th occurrence of  $x_t$ , and  $y_i = \bar{x}_t^r$  if  $\ell_i = \bar{x}_t$  and is the *r*-th occurrence of  $x_t$ . We also add at  $c_j$  a  $(k 6\lambda_1 + 1)$ -degree-gadget in **Case C**-(i), a  $(k 6\lambda_1 3)$ -degree-gadget in **Case C**-(ii)-a, and a  $(k 6\lambda_1 3p + 6)$ -degree-gadget in **Case C**-(ii)-b.

We will show that there is an assignment  $\phi$  satisfying  $\Phi$  if and only if there is an (F, H, k)-graph G. Assume that there is  $\phi$  satisfying  $\Phi$ , we construct a graph G as follows.

Let  $(y_1 \ldots, y_{p-4})$  be an ordering of  $V(F) \setminus \{z, y, y', \overline{y}\}$  such that  $y_1, \ldots, y_{\lambda_1-2}$  are adjacent to z and  $y_{\lambda_1-1}, \ldots, y_{p-4}$  are not adjacent to z.

In both hyperedges of any fickle-gadget  $F_t^i$ ,  $w_t$  corresponds to  $z_0$  and  $d_t^i$  corresponds  $z_1$ .  $d_t^i$  is adjacent to  $w_t$  and all vertices in  $C_t^i$ , while  $w_t$  is adjacent to a vertices in  $C_t^i$  and  $\lambda_2 - a$  other ones in each of  $A_t^i, B_t^i$ . Let  $G[S_t^i]$  be a copy of F such that  $w_t$  has degree  $\lambda_1$  and is adjacent to the  $\lambda_1 - 1$  vertices  $u_t^{p-2}, \ldots, u_t^{p-\lambda_1}$ .

For each variable gadget  $H_t$ , for every  $i \in 4[\alpha_2]$ , let  $G[S_t^i]$  be a copy of F such that  $w_t$  corresponds to z, and

- if  $\phi(x_t) = true$  (resp. *false*), then each vertex of  $X_t$  (resp.  $\overline{X}_t$ ) corresponds to y and each vertex of  $\overline{X}_t$  (resp.  $X_t$ ) corresponds to  $\overline{y}$ .
- in any  $S_t^i$ ,  $d_t^i$  corresponds to y'.

- for  $i \in [p-4]$  and  $r \in [4]$ ,  $u_t^{(p-2)r+1-i}$  corresponds to  $y_i$ .

For any d-degree-gadget D at a vertex v, let G[V(D)] be an (F, D, k)-graph in which v has degree d.

Let us check that G has degree at most k.

- Any vertex  $d_t^i \in D_t$  has degree  $(k 2\lambda_1 + 1)$  in its degree-gadget and  $\lambda_1$  in the fickle-gadget  $F_t^i$  and  $\lambda_1 1$  other vertices in  $V(S_t^i \setminus \{w_t\})$ , thus it has degree k.
- Any vertex in  $Y_t \subset V(S'_t)$  has degree at most  $\lambda_2$ .
- $w_t$  has  $(2\lambda_1 a)$  neighbour in each of the 4(p-2) fickle-gadgets and is adjacent to  $4\lambda_1$  vertices in  $U_t$  and one more in  $Y_t$ . Thus it has degree k in G.
- Any vertex in a degree-gadget which is not in  $H_t$  has degree at most  $2\lambda_2$ .
- Any vertex in  $U_t$  but not in  $X_t \cup \overline{X}_t \cup S'_t$  has degree at most  $2\lambda_2$  if it is not adjacent to any vertex in  $D_t$  or at most  $2\lambda_2 + p 2$  if adjacent to a vertex in  $D_t$  for each hyperedge to which it belongs.
- Any vertex in  $U_t \cap S'_t$  has degree at most  $2\lambda_1 + p 2$  in  $H_t$  and it is adjacent to at most  $\lambda_1$  vertices in  $Y_t$ , so it has degree less than k.
- Any clause vertex  $c_j$  has degree d in its d-degree-gadget. Moreover, in each of its variable gadget,  $c_j$  has degree either  $2\lambda_1 1$  if it adajcent to the center vertex or  $2\lambda_1$  in **Case C**-(i),  $2\lambda_2$  in **Case C**-(ii)-a, and  $2\lambda_1 + p 2$  in **Case C**-(ii)-b otherwise. Since there at least one of three literals of the clause  $C_j$  is true,  $c_j$  has  $2\lambda_1 1$  in one of its variable gadget, and thus degree at most k in total.

Hence, G is an (F, H, k)-graph.

Conversely, let G be an (F, H, k)-graph.

Claim 19.5. For any variable  $x_t$ , we have the following.

- (a) For all  $i \in [4(p-2)]$ ,  $d_t^i$  is adjacent to  $w_t$  and has degree  $\lambda_1$  in any hyperedge of  $F_t^i \cup S_t^i$ .
- (b)  $w_t$  is a  $\lambda_2$ -vertex in every hyperedge of  $H_t$ . Furthermore, there is  $I \in [p-2]$  of size  $\lambda_1$  such that for all  $i \in I$  and  $r \in [4]$ ,  $u^{(p-2)r-i+1}$  is adjacent to  $w_t$  and  $[\lambda_1 1] \subset I$ .
- (c)  $w_t$  has degree k in G.

Proof of Claim. Observe that any vertex  $d_t^i \in X_t$  is in a  $(k - 2\lambda_1 + 1)$ -degree-gadget, then it has degree at most  $2\lambda_1 - 1$  in  $G[F_t^i \cup S_t^i]$ . Since  $S_t^i$  and  $F_t^i$  intersect only in  $\{d_t^i, w_t\}$  and  $d_t^i$  has degree at least  $\lambda_1$  in each, then  $d_t^i$  has degree at least  $2\lambda_1 - 1$  in  $G[F_t^i \cup S_t^i]$ . The equality holds when  $d_t^i$  is adjacent to  $w_t$  and  $\lambda_1 - 1$  vertices in  $C_t^i$ . Thus,  $d_t^i$  has degree  $\lambda_1$  in all hyperedges of  $F_t^i \cup S_t^i$  and is adjacent to  $w_t$ . This proves (a).

In any fickle-gadget  $F_t^i$ , from (a), every vertex in  $\{w_t\} \cup C_t^i$  is adjacent to  $d_t^i$  and must be a  $\lambda_2$ -vertex in the two hyperedges of  $F_t^i$ . Thus,  $w_t$  is adjacent to at most a vertices in  $C_t^i$ , and so has degree at least  $2\lambda_2 - a$  in  $G[F_t^i]$ . Since  $w_t$  is in 4(p-2) fickle-gadgets, then it has degree at least  $4(p-2)(2\lambda_2 - a)$  in  $G[\bigcup_{i=1}^{4(p-2)} F_t^i]$ . Moreover, from (a), for  $i \in [4(p-2)]$ ,  $w_t$  is adjacent to  $d_t^i$  which has degree  $\lambda_1$  in  $S_t^i$ . Thus  $w_t$  must be a  $\lambda_2$ -vertex in  $S_t^i$  because F is anticomplete.

Since  $w_t$  is in  $S'_t$  which intersects  $H_t$  in  $\lambda_1 - 1$  vertices and  $w_t$  must have degree at least  $\lambda_1$  in  $G[S'_t]$ , then it is adjacent to at least one vertex in  $Y_t \subset V(S'_t)$ . Therefore,  $w_t$  is adjacent to at most  $k - 4(p-2)(2\lambda_2 - a) - 1 = 4\lambda_1$  vertices in  $H_t$ .

Now for every  $i \in [p-2]$ ,  $w_t$  belongs to four hyperedges  $S_t^{(p-2)r-i}$ ,  $r \in [4]$ , which pairwise intersect in  $\{w_t\}$ . Hence  $w_t$  has degree exactly  $\lambda_1$  in each  $S^{(p-2)r-i} \setminus \{d_t^{(p-2)r-i}\}$  and then is adjacent to  $4\lambda_1$  vertices in  $U_t$ . If a vertex  $u_t^i$  is adjacent to  $w_t$ , then so is  $u_t^{i+p-2}$  because  $w_t$  has degree exactly  $\lambda_1$  in both  $S_t^i \setminus \{d_t^i\}$  and

If a vertex  $u_t^i$  is adjacent to  $w_t$ , then so is  $u_t^{i+p-2}$  because  $w_t$  has degree exactly  $\lambda_1$  in both  $S_t^i \setminus \{d_t^i\}$  and  $S_t^{i+1} \setminus \{d_t^{i+1}\}$ . Therefore there is  $I \in [p-2]$  of size  $\lambda_1$  such that  $w_t$  is adjacent to  $u_t^{(p-2)r-i+1}$  for all  $i \in I$  and  $r \in [4]$ .

Since  $w_t$  has degree  $4\lambda_1$  in  $H_t$ , then  $w_t$  is adjacent to exactly one vertex in  $Y_t$  and so must be adjacent to

 $\lambda_1 - 1$  vertices in  $S'_t \setminus Y_t$  which are  $u_t^{p-2}, \ldots, u_t^{p-\lambda_1}$ . It implies that  $[\lambda_1 - 1] \subset I$ . This completes the proof of (b).

From (a), (b) we have that  $w_t$  has degree  $4(p-2)(2\lambda_2 - a)$  in  $G[\bigcup_{i=1}^{4(p-2)} F_t^i]$ , it is adjacent to  $4\lambda_1$  vertices in  $U_t$  and one in  $Y_t$ . Thus,  $w_t$  has degree k in total. This proves (c).

Claim 19.5(b) implies that the vertices of  $X_t$  (resp.  $\overline{X}_t$ ) are either all adjacent to  $w_t$  or all non-adjacent to  $w_t$ . Moreover,  $w_t$  is adjacent to  $4(\lambda_1 - 1)$  vertices in  $U_t \setminus (X_t \cup \overline{X}_t)$ . Hence if the vertices of  $X_t$  are adjacent to  $w_t$ , the vertices of  $\overline{X}_t$  are not (and vice-versa).

Let  $\phi$  be the truth assignment defined by  $\phi(x_t) = true$  if  $w_t$  is adjacent to all vertices of  $X_t$  in  $H_t$ , and  $\phi(x_t) = false$  otherwise. Observe the following.

- In Case C-(i), any clause vertex  $c_j$  is in a  $(k 6\lambda_1 + 1)$ -degree-gadget, so it has degree at most  $6\lambda_1 1$  in the union of its three variable gadgets. Thus it has degree less than  $2\lambda_1$  in one of its variable gadgets  $H_t$ . Since any vertex in  $U_t$  has degree at least  $2\lambda_1 1$  in  $G[H_t]$ , with equality only if it is adjacent to  $w_t$ , the vertex  $c_j$  is adjacent to  $w_t$ . Hence, the corresponding literal to this literal vertex is true and so  $C_j$  is satisfied.
- In Case C-(ii), any clause vertex  $c_j$  is in a k-d-degree-gadget, then has degree at most d in the union of its three variable gadgets. Hence  $c_j$  has degree at most  $\lfloor d/3 \rfloor$  neighbours in one of those variable gadget, say  $H_t$ . Let i be the index such that  $c_j = u_t^i$ .

Suppose for a contradiction that  $c_j$  is not adjacent to  $w_t$ . Then it is a  $\lambda_2$ -vertex in every hyperedge of  $H_t$ .

Vertex  $c_j$  has at least  $\lambda_2$  neighburs in each of  $S_t^i$  and  $S_t^{i-p-3}$  which intersect in  $\{c_j, w_t\}$ . Hence  $c_j$  has at least  $2\lambda_2$  neighburs in  $S_t^i \cup S^{i-p-3}$ . In **Case C**-(ii)-a,  $\lfloor d/3 \rfloor = 2\lambda_1 + 1 < 2\lambda_2$ , so we get a contradiction.

In **Case C**-(ii)-b, since  $w_t$  is adjacent to all  $d_t^i$  by Claim 19.5 (b),  $c_j$  corresponds to a vertex in  $N_{\geq 2}$  in every hyperedge of  $H_t$  to which it belongs. Therefore it is adjacent to all  $\lambda_1$ -vertices in these hyperedges and thus in particular to all  $d_t^{i'}$  for all i - p + 3 < i' < i. Hence  $c_j$  has degree at least  $2\lambda_2 + p - 4$  in  $V(H_t)$ . But  $|d/3| = 2\lambda_1 + p - 3 = 2\lambda_2 + p - 5$ , a contradiction.

In both subcases, the vertex  $c_j$  is adjacent to  $w_t$ . Hence, the corresponding literal to this literal vertex is true and so  $C_j$  is satisfied.

Consequently,  $\phi$  satisfies  $\Phi$ .

# 6 Further research

In this paper, we proved that  $np(F) < +\infty$  if and only if F is **standard**, that is neither a complete graph nor an anticomplete graph. This is only a partial answer to Problem 1 and it would be nice to get the characterization asked by this problem. Such a characterization might be complicated and hard to obtain, but we can certainly obtain further results. Indeed, in our proof, we made no attempt to minimize the upper bound on np(F), but just to prove such a bound exists. In fact, our proof shows the general upper bound  $np(F) \leq 8|F|\delta(F)$  for every standard graph F. However, there are many graphs for which the proof shows  $np(F) \leq 6|F|$  or even  $np(F) \leq 6\Delta(F)$ . It motivates the following questions.

**Problem 20.** Does there exist a constant  $c_1$  such that  $np(F) \le c_1 \cdot \Delta(F)$  for every standard graph F? Does there exist a constant  $c_2$  such that  $np(F) \le c_2 \cdot \Delta(F)$  for every standard graph F?

Getting lower bounds would also be interesting. The trivial lower bound is  $np(F) \ge \Delta(F)$ . There are graphs for which this lower bound is attained, the graphs with one edge of order at least 4 for example. It would be nice to characterize the graphs such that  $np(F) = \Delta(F)$ . It would also be nice to find graphs Fsuch that  $np(F) - \Delta(F)$  is large. The largest known difference is 2 for  $C_4$ , the cycle on four vertices. Indeed Havet et al. [11] proved  $np(C_4) \ge 4 = \Delta(C_4) + 2$ .

There are very few standard graphs F for which np(F) is known. The only ones are the graphs with one edge. Havet et al. [11] proved  $np(O_3) = 2$  and  $np(O_p) = 1$  for all  $p \ge 4$ . It would be nice to determine np(F) for other graphs. A first problem is to do it for paths. Havet et al. [11] proved that  $np(P_p) \ge 2$  for all p. In a long version of the paper [10], we prove  $np(P_p) \le 4$ . Note that paths have minimum degree 1,

so by Lemma 12, Conjecture 2 holds for such graphs. A second natural step is to determine np(F) when F is a cycle. Indeed, Conjecture 2 is not yet proved for such graphs and there are non-trivial polynomial-time algorithms when F is acycle, as shown by the example of  $C_4$ .

Of course, a nice tool is to solve Conjecture 2 for all graphs.

In this paper, we only considered the case when the list  $\mathcal{F}$  of admissible graphs has size 1. It is natural and interesting to study the more general case when  $\mathcal{F}$  can have an arbitrary size, finite or infinite.

**Problem 21.** Characterize the pairs  $(\mathcal{F}, k)$  for which  $(\Delta \leq k)$ - $\mathcal{F}$ -OVERLAY is polynomial-time solvable and those for which it is NP-complete.

We believe that Conjecture 2 extends to any any list  $\mathcal{F}$ .

Conjecture 22. If  $(\Delta \leq k)$ - $\mathcal{F}$ -OVERLAY is  $\mathcal{NP}$ -complete, then  $(\Delta \leq k+1)$ - $\mathcal{F}$ -OVERLAY is also  $\mathcal{NP}$ -complete.

We also strongly believe that Theorem 3 can be extended to any list  $\mathcal{F}$ . Defining np( $\mathcal{F}$ ) as the minimum integer  $k_0$  such that  $(\Delta \leq k)$ - $\mathcal{F}$ -OVERLAY is NP-complete for all  $k \geq k_0$  or  $+\infty$  if no such  $k_0$  exists, we conjecture the following.

**Conjecture 23.**  $np(\mathcal{F}) = +\infty$  if and only if all elements of  $\mathcal{F}$  are complete or anticomplete.

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