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# Guard Automata for the Verification of Safety and Liveness of Distributed Algorithms (long version)

Nathalie Bertrand ✉ 

Univ Rennes, Inria, CNRS, IRISA, France

Bastien Thomas ✉

Univ Rennes, Inria, CNRS, IRISA, France

Josef Widder ✉ 

Informal Systems, Austria

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## Abstract

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Distributed algorithms typically run over arbitrary many processes and may involve unboundedly many rounds, making the automated verification of their correctness challenging. Building on domain theory, we introduce a framework that abstracts infinite-state distributed systems that represent distributed algorithms into finite-state *guard automata*. The soundness of the approach corresponds to the Scott-continuity of the abstraction, which relies on the assumption that the distributed algorithms are *layered*. Guard automata thus enable the verification of safety and liveness properties of distributed algorithms.

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## 1 Introduction

Under the umbrella of *parameterized verification*, the verification of systems formed of an arbitrary number of agents executing the same code, has attracted quite some attention in the recent years, see for instance [18, 9]. Application examples range from distributed algorithms (*e.g.*, for clock synchronization [28] or robot coordination [27]), cache-coherence protocols [25, 1], to chemical or biological systems [10]. In all cases, the systems are designed to operate correctly independently of the number of agents.

More specifically, *distributed algorithms* are central to various emblematic applications, including telecommunications, scientific computing, and Blockchain. Automatically proving the correctness of distributed algorithms is a particularly relevant, as stated by Lamport: “Model-checking algorithms prior to submitting them for publication should become the norm” [22]. The task, that the verification community has started to address, is quite challenging, since it aims at validating at once all instances of the algorithm for arbitrarily many processes.

Distributed algorithms with *threshold guards* are omni-present in solutions for consensus and agreement problems. Typically, these guards also are parameterized, *e.g.*, if the number of processes in a distributed system is  $n$ , then it is natural to require that certain actions are taken only if a majority of processes is ready to do so; this results in a parameterized threshold expression of  $n/2$ . Due to Blockchain and other current applications these kinds of distributed algorithm enjoy recent attention from the algorithm design community as well as the verification community. the algorithm design community has been studying them for a long time, (see *e.g.*, [11]) and typically provides hand-written proofs based on mathematical models without formal semantics.

For computer-aided verification the first challenge is to develop appropriate modeling formalisms that maintain all behaviors of the original algorithms on the one hand, and on the other hand are abstract and succinct to allow for efficient verification. Several approaches towards efficient verification have recently been proposed.

The threshold automata framework [20] targets asynchronous distributed algorithms with threshold guards and reductions (similar to [23, 17]) have been used to show that SMT-based bounded model checking is complete [19]. Later this framework was generalized and generalizations were analyzed regarding decidability [21], and complexity [5]. The current paper also targets threshold distributed algorithms, yet eventually provides an even coarser abstraction to represent their behaviors, thus reducing the overall verification complexity. Moreover, the semantics of distributed algorithms and the soundness of the abstraction rely on domain theory concepts, thus providing a solid mathematical framework to our work. Last but not least, our approach can handle infinite behaviours, in contrast to the threshold automata framework.

The logical fragment of the IVy toolset has also been shown to allow to model threshold guards by axiomising their semantics as quorum systems [7]. For instance, the reason for waiting for quorums of more than  $n/2$  messages is that any two such quorums must intersect at one sender. IVy allows to express these quorum axioms and reduce verification to decidable fragments. Similar intuitions underlie verification results in the heard-of model (HO model) [13]. This computational model for distributed algorithms already targets a high level of abstractions that are sound for communication closed distributed algorithms [12]. Here a consensus logic was introduced in [16] that could be used for deductive verification and cut-off results were provided in [24] that reduce the parameterized verification problem to small finite instances. Compared to this line of work, the distributed algorithms we target share some similarities with these round-based communication closed models. Recently, a threshold automata framework for round-based algorithms was introduced that also uses a small counterexample property for verification in [29]. In contrast, we use domain theory, and particularly Scott continuity to be able to reason on infinite behaviors and thus to capture algorithms that do not necessarily terminate.

Other less related verification frameworks also target distributed algorithms with quite different techniques such as event B [26], array systems [4] or logic and automata theory [3].

## Contributions

Using basic domain theory concepts, we provide a rigorous framework to model and verify (asynchronous) distributed algorithms. Our methodology applies to distributed algorithms that are structured in *layers* (that can be seen as a fine-grain notion of rounds), and may consist of countably many layers, thus capturing round-based distributed algorithms (with no *a priori* bound on the number of rounds).

- In Section 2, we define partially ordered transition systems, which serve to express the semantics our models.
- Section 3 introduces the low-level model of layered distributed systems to represent threshold based distributed algorithms. The state-space of layered distributed systems being infinite (and even not necessarily finitely representable), we provide several abstraction steps, up to a so-called guard abstraction. The soundness of each step is justified by the Scott-continuity of the corresponding abstraction. Some steps are also complete, and thus do not introduce spurious behaviors.
- Finally, towards practical verification, we define in Section 4 the guard automaton, a finite-state abstraction of (cyclic) layered distributed systems. It overapproximates the

set of infinite behaviors of distributed algorithms, and thus enabling the verification of safety as well as liveness properties. Its construction can be automated with the help of an SMT solver, paving the way to the automated verification of round-based threshold distributed algorithms.

## 2 A Fistful of Domain Theory

### 2.1 Mathematical Preliminaries

This section presents mathematical notions as well as notations that are used throughout the paper. In particular, it introduces partially ordered sets and Scott topology. The interested reader is referred to [2] for an thorough introduction to domain theory.

**Sets and multisets.** A *multiset* over a set  $X$  is an element of  $\mathbb{N}^X$ . Addition and inclusion over multisets are defined in a natural way. For  $\xi, \xi' \in \mathbb{N}^X$  two multisets,  $\xi + \xi' \in \mathbb{N}^X$  is the multiset such that for every  $x \in X$ ,  $(\xi + \xi')(x) = \xi(x) + \xi'(x)$ . We write  $\xi \sqsubseteq \xi'$  if for every  $x \in X$ ,  $\xi(x) \leq \xi'(x)$ . Standard sets can be seen as special cases of multisets with the canonical bijection between the set of subsets of  $X$  ( $2^X$ ) and the set of functions from  $X$  to  $\{0, 1\}$ .

**Sequences.** For  $X$  a set and  $n \in \mathbb{N}$  a natural number, a sequence of elements of  $X$  of length  $n$  is some  $u \in X^{\{0, \dots, n-1\}}$ . Its length is  $|u| = n$  and for  $i < n$ ,  $u(i) \in X$  denotes the letter at index  $i$ .  $X^* = \bigcup_{n \in \mathbb{N}} X^{\{0, \dots, n-1\}}$  (resp.  $X^+ = \bigcup_{n > 0} X^{\{0, \dots, n-1\}}$ ) denotes the set of all *finite* (resp. finite and non-empty) sequences of elements of  $X$ . Moreover,  $\overline{X^*} = X^* \cup X^{\mathbb{N}}$  is the set of finite or *infinite* sequences of  $X$ . For  $u \in X^*$  a finite sequence and  $v \in \overline{X^*}$  a finite or infinite sequence, we write  $u \cdot v$  for the *concatenation* of  $u$  and  $v$ . For  $u$  and  $w$  two sequences, we write  $u < w$  and say that  $u$  is a *prefix* of  $w$  if either  $w$  is finite and there exists  $v \in \overline{X^*}$  such that  $u \cdot v = w$  or  $u = w$ . For  $w$  a sequence and  $i \leq |w|$ ,  $w_i$  is the prefix  $w$  of length  $i$ .

**Closures and bounds for partially ordered sets.** Let  $(X, \sqsubseteq)$  be a partially ordered set, and  $\xi \subset X$ . The *upward-closure* of  $\xi$  is  $\uparrow\xi = \{x \in X \mid \exists x' \in \xi, x' \sqsubseteq x\}$ , and  $\xi$  is *upward-closed* if  $\uparrow\xi = \xi$ . Dually, one defines the *downward-closure*  $\downarrow\xi$  and *downward-closed* sets. An element  $x \in X$  is an *upper-bound* of  $\xi$  if for any element  $x' \in \xi$ ,  $x' \sqsubseteq x$ . We write  $\text{ub}(\xi)$  for the set of upper-bounds of  $\xi$ . If it exists (it is then unique), the *greatest* element of  $\xi$  is  $x \in X$  such that  $x \in \xi$  and  $x \in \text{ub}(\xi)$ . Dually, one defines the notion of *least* element by reversing the order. If it exists, the *least upper bound* of  $\xi$  is the least element of  $\text{ub}(\xi)$ , and we denote it by  $\bigsqcup\xi$ . Finally  $\xi$  is *directed* if it is non-empty and if for every two elements  $x, x' \in \xi$ ,  $\text{ub}(\{x, x'\}) \cap \xi \neq \emptyset$ ; intuitively, any finite subset of  $\xi$  has an upper-bound in  $\xi$ . An interesting particular case of directed case are completely ordered sets which are called *chains* in this context.

**Directed Complete Partially ordered sets (DCPO).** A DCPO is a partially ordered set  $(X, \sqsubseteq)$  such that any directed subset  $\xi \subset X$  has a (unique) least upper bound. These partially ordered sets are particularly important in semantics of programming languages.

**The Scott Topology on DCPO.** Directed complete partial orders are naturally equipped with the Scott topology. A subset  $\xi$  of a DCPO  $(X, \sqsubseteq)$  is *Scott-closed* if it is *downward-closed* and if for any directed subset  $\xi' \subset \xi$ ,  $\bigsqcup\xi' \in \xi$ . A subset is *Scott-open* if its complement in  $X$  is Scott-closed. Functions that are continuous for the Scott topology are called *Scott-continuous*. A function  $f : X \rightarrow Y$  is *monotonous* if for any  $x, x' \in X$ , if  $x \sqsubseteq x'$  then  $f(x) \sqsubseteq f(x')$ . A Scott-continuous function is always monotonous. A function  $f : X \rightarrow Y$  is Scott-continuous if and only if for any directed subset  $\xi \subset X$ ,  $f(\bigsqcup(\xi)) = \bigsqcup(f(\xi))$ . In this paper, a *partial* function  $f : X \rightarrow Y$  is called *Scott-continuous* if its domain  $\text{dom}(f)$  is Scott-closed and if for any directed subset  $\xi \subset \text{dom}(f)$ ,  $f(\bigsqcup\xi) = \bigsqcup f(\xi)$ .

## 2.2 Partially Ordered Transition Systems

Building on domain theory, this section introduces a generic model for distributed transition systems, that will capture the semantics of distributed algorithms. An ordering naturally appears on sets of sent messages –that can only grow– and the asynchrony requires the order to be partial only.

- **Definition 1.** A partially ordered transition system (POTS) is a tuple  $\mathcal{O} = (X, \sqsubseteq, A)$  where:
- $(X, \sqsubseteq)$  forms a DCPO.
  - $A$  is a set of partial functions, called actions, from  $X$  to itself and such that for every  $a \in A$  and every  $x \in \text{dom}(a)$ ,  $x \sqsubseteq a(x)$ .

- **Definition 2.** A schedule is a (finite or infinite) sequence of actions:  $\sigma = (a_t)_{t < T}$ , with  $T \in \bar{\mathbb{N}}$ . A schedule  $\sigma = (a_t)_{t < T}$  is applicable at  $x \in X$  if there exists a sequence  $(x_t)_{t < T+1}$  with  $x_0 = x$ , and for every  $t < T$ ,  $x_t \in \text{dom}(a_t)$  and  $a_t(x_t) = x_{t+1}$ . In this case, we write  $\text{configs}(x, \sigma)$  for the sequence  $(x_t)_{t < T+1}$ , and  $x * \sigma$  for  $\sqcup \{x_t \mid t < T+1\}$ .

The above definition uses the convention that  $\infty + 1 = \infty$ . Note that if  $\sigma$  is applicable at  $x$ , then the sequence  $(x_t)_{t < T+1}$  is unique. Moreover, the least upper bound  $\sqcup \{x_t \mid t < T+1\}$  exists because for any  $t < T$ ,  $x_t \sqsubseteq x_{t+1}$  and  $\{x_t \mid t < T+1\}$  is therefore a chain. When  $\sigma = (a_t)_{t < T}$  is finite,  $x * \sigma = x * a_0 * \dots * a_{T-1}$  denotes the last element of the monotonous sequence  $\text{configs}(x, \sigma)$ . In particular, for  $a \in A$  and  $x \in \text{dom}(a)$ ,  $x * a = a(x)$ . When  $\sigma_t \in A^t$  is defined as the prefix of length  $t$  of  $\sigma$ ,  $x_t = x * \sigma_t$  and it follows:  $x * \sigma = \sqcup \{x * \sigma_t \mid t < T, t \in \mathbb{N}\}$ .

The following lemma will be useful throughout the paper:

- **Lemma 3.** For  $x \in X$ , the set  $\text{App}(x)$  of schedules applicable at  $x$  is Scott-closed for the prefix ordering and the function:  $[x * \_]: \text{App}(x) \rightarrow X$  is Scott-continuous.

- **Definition 4.** An abstraction between POTS  $\mathcal{O} = (X, \sqsubseteq, A)$  and  $\mathcal{O}' = (X', \sqsubseteq, A')$  consists of
- a set abstraction  $\text{ab}_X : X \rightarrow X'$  which is a Scott-continuous function;
  - a monoid abstraction  $\text{ab}_A : A^* \rightarrow A'^*$  which is a monoid morphism (with slight abuse of notation,  $\text{ab}_A$  also denotes its Scott-continuous extension  $\overline{A^*} \rightarrow \overline{A'^*}$ );
- both such that for every  $a \in A$  and every  $x \in \text{dom}(a)$ ,  $\text{ab}_A(a) \in A'^*$  is applicable at  $\text{ab}_X(x) \in X'$  and  $\text{ab}_X(x * a) = \text{ab}_X(x) * \text{ab}_A(a)$ .

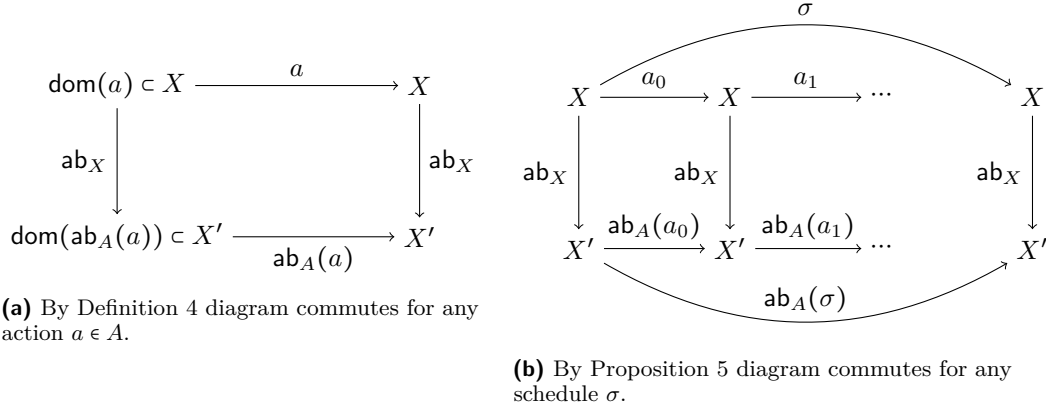
The last condition of the definition of abstraction translates into the commutativity of the diagram in Figure 1a. The soundness of the abstraction for any (possibly infinite) schedule is stated in the following proposition and illustrated on Figure 1b.

- **Proposition 5.** Let  $(\text{ab}_X, \text{ab}_A)$  be an abstraction between  $\mathcal{O} = (X, \sqsubseteq, A)$  and  $\mathcal{O}' = (X', \sqsubseteq, A')$ ,  $x \in X$  be an element, and  $\sigma \in \overline{A^*}$  a schedule. If  $\sigma$  is applicable at  $x$ , then  $\text{ab}_A(\sigma)$  is applicable at  $\text{ab}_X(x)$  and  $\text{ab}_X(x * \sigma) = \text{ab}_X(x) * \text{ab}_A(\sigma)$ .

The proof of this proposition is by transfinite induction on the length of schedules: showing that the result holds for finite schedules is easy, and continuity arguments (such as Lemma 3) are then used to extend to infinite schedules.

## 3 Layered Distributed Systems and their Abstractions

This section introduces a low-level model for distributed algorithms, whose semantics will be expressed as a POTS. The model is structured in layers, thus restricting the application to algorithms with a specific shape. However, many distributed algorithms from the literature



■ **Figure 1** ( $\text{ab}_X, \text{ab}_A$ ) forms an abstraction between the POTS  $(X, \sqsubseteq, A)$  and  $(X', \sqsubseteq, A')$ .

fall in this class, and minor modifications of other algorithms make them amenable to our techniques. The restriction to layered models is used several times in the theoretical developments that follow.

### 3.1 Layered Distributed Transition Systems

This section introduces *Layered Distributed Transition Systems* (LDTSs) as a model for distributed algorithms, such as the Phase King algorithm [8]. A simplified version of the algorithm is provided in Algorithm 1. This algorithm operates in rounds, each consisting of three steps:

- Broadcast a message  $(\ell, m)$  to all process where  $\ell$  is the round index (line 3)
- Receive the messages  $(\ell, \_)$  sent in this round (line 4)
- Update the process variables according to the received messages (lines 5 to 12)

In general, such a series of three instructions, indexed by  $\ell \in \mathbb{N}$ , is called a *layer* and it refines the classical notion of *rounds*: for instance, in Ben-Or's consensus algorithm [6], each round comprises two layers. Note that layers are assumed to be *communication-closed* [17, 14]: the update instruction at layer  $\ell$  only depends on received messages from the same layer.

Distributed algorithms run over a finite set of *processes*, and at every point in time, the local state of a process is defined by the valuation of its local variables. In this paper, the contents of a sent message is not particularly relevant as it can be deduced from the local state of its sender. Therefore, the communications can be encoded by guards that prevent a process from taking a transition if a condition on the state of *other processes* is not met. Formally, the syntax of layered distributed transition systems is as follows:

► **Definition 6.** A layered distributed transition system (LDTS) is a tuple  $\mathcal{D} = (P, S, \text{guard})$  where:

- $P$  is a finite set of processes
- $S$  is a set of states partitioned in layers:  $S = \bigcup_{\ell \in \mathbb{N}} S_\ell$ .  
For  $\perp$  a new element, set  $S^\perp = S \cup \{\perp\}$  and for  $\ell \in \mathbb{N}$ ,  $S_\ell^\perp = S_\ell \cup \perp$ .  
The set  $S^\perp$  is partially ordered with  $s \sqsubseteq s'$  if  $s = \perp$  or  $s = s'$ .
- $\text{guard} : S^2 \rightarrow 2^{[P \rightarrow S^\perp]}$  associates to each pair of states a guard.  
Additionally, the following layered hypothesis is imposed:  
For  $\ell \in \mathbb{N}$ ,  $s \in S_\ell$  and  $s' \in S$ ,  $\text{guard}(s, s') \in 2^{[P \rightarrow S_\ell^\perp]}$ , and if  $s' \notin S_{\ell+1}$ , then  $\text{guard}(s, s') = \emptyset$ .

```

1 Process PhaseKing( $n, t, \text{id}, v$ ):
   Data:  $n$  processes,  $t < \frac{n}{4}$  Byzantine faults,  $\text{id} \in \{0 \dots n-1\}$ ,  $v \in \{0, 1\}$ .
2   for  $\ell = 0$  to  $t$  do
3     broadcast ( $\ell, \text{id}, v$ )
4     receive all the messages ( $\ell, \_, \_$ )
5      $n_0 \leftarrow$  number of messages ( $\ell, \_, 0$ ) received
6      $n_1 \leftarrow$  number of messages ( $\ell, \_, 1$ ) received
7     if  $n_0 > \frac{n}{2} + t$  then
8       |  $v \leftarrow 0$ 
9     else if  $n_1 > \frac{n}{2} + t$  then
10      |  $v \leftarrow 1$ 
11     else
12      |  $v \leftarrow v'$  where  $(\ell, \ell, v')$  is a received message
13   end
14   return  $v$ ;

```

■ **Algorithm 1** Inspired by the Phase King Algorithm, this algorithm is a *synchronous* algorithm targeting the resolution of binary consensus. It executes  $t+1$  rounds. In round  $\ell \in \{0 \dots t\}$ , the local value  $v$  of each process is updated either according to the majority, or to the value of the process with id  $\ell$  (the King process).

Intuitively, for  $\ell \in \mathbb{N}$ ,  $S_\ell$  is the set of states a process can be in at layer  $\ell$ , and  $\perp$  is used to represent that a process has not reached that layer yet. Although trivial, the ordering on  $S^\perp$  shows sufficient to represent the semantics of distributed algorithms. Moreover, the *guards* correspond to a condition on messages *received* from other processes. Having  $x \in \text{guard}(s, s')$  with  $x(p) = \perp$  means that there are no conditions on the messages received from process  $p$ , so that a process in state  $s$  can go to  $s'$  even if it has not received any message from  $p$ .

To define the semantics of LDTS, recall that the system *a priori* runs fully asynchronously, so that processes may be in different layers<sup>1</sup>. However, messages may be received by processes even if the sender has later reached a layer. This means that the state of each process at each layer should be recorded in the semantics of a LDTS. An agglomeration of local states is called a *configuration*. A *full configuration* additionally stores the messages *received* by each process, as formalized below:

► **Definition 7.** Let  $\mathcal{D} = (P, S, \text{guard})$  be an LDTS. A full configuration of  $\mathcal{D}$  is a pair  $c^f = (\text{state}(c^f), \text{received}(c^f))$  where

- $\text{state}(c^f) : P \rightarrow \overline{S^+}$  is such that for every  $p \in P$  and  $\ell \in \mathbb{N}$ 
  - if  $\ell < |\text{state}(c^f)(p)|$ , then  $\text{state}(c^f)(p)(\ell) \in S_\ell$  and the latter is the state of  $p$  in  $\ell$ ;
  - if  $\ell \geq |\text{state}(c^f)(p)|$ , then  $\text{state}(c^f)(p)(\ell) = \perp \in S_\ell^\perp$ .
- $\text{received}(c^f) : P \rightarrow P \rightarrow \mathbb{N} \rightarrow S^\perp$  such that for every  $p \in P$ ,  $\text{received}(c^f)(p) \sqsubseteq \text{state}(c^f)$ .

The set of full configurations is denoted  $C^f$ . It is partially ordered with  $\sqsubseteq$  defined by  $c^f \sqsubseteq c^{f'}$  if  $\text{state}(c^f) \sqsubseteq \text{state}(c^{f'})$  pointwise with the prefix ordering on  $\overline{S^+}$  and  $\text{received}(c^f) \sqsubseteq \text{received}(c^{f'})$  pointwise.

Note that  $S^\perp$  is a DCPO since each of its directed subsets is finite.  $C^f$  is isomorphic to the Cartesian product  $[(P, =) \rightarrow (\overline{S^+}, <)] \times [(P^2 \times \mathbb{N}, =) \rightarrow (S^\perp, \sqsubseteq)]$  and is therefore a DCPO too.

At a full configuration  $c^f \in C^f$ , two types of *actions* may happen, corresponding to receptions and internal transitions. First, a process  $p \in P$  may receive a message that was

<sup>1</sup> Synchronous systems can also be represented by LDTS, as illustrated with the Phase King algorithm.



sent in layer  $\ell \in \mathbb{N}$  by a process  $p' \in P$ ; this action is denoted  $\text{rec}(p, \ell, p')$ . Second, a process  $p \in P$  may move from a state  $s \in S_\ell$  to state  $s' \in S_{\ell+1}$ , denoted  $\text{tr}(p, s, s')$ . The effect of actions on full configurations is formally defined as follows:

► **Definition 8.** *The set of actions of an LDTS  $\mathcal{D} = (P, S, \text{guard})$  is*

$$A^f = \{\text{rec}(p, p', \ell) \mid p, p' \in P, \ell \in \mathbb{N}\} \cup \bigcup_{\ell \in \mathbb{N}} \{\text{tr}(p, s, s') \mid p \in P, s \in S_\ell, s' \in S_{\ell+1}\} .$$

For  $c^f \in C^f$  and  $\text{rec}(p, p', \ell) \in A^f$ , the full configuration  $c^{f'} = \text{rec}(p, p', \ell)(c^f)$  is defined by:

- $\text{state}(c^{f'}) = \text{state}(c^f)$
- $\text{received}(c^{f'})(p)(p')(\ell) = \text{state}(c^f)(p')(\ell)$  and  $\text{received}(c^{f'})$  equals  $\text{received}(c^f)$  elsewhere.

For  $c^f \in C^f$  and  $\text{tr}(p, s, s') \in A^f$ , writing  $\ell = |\text{state}(c^f)(p)| - 1$ , then  $\text{tr}(p, s, s')$  is enabled at  $c^f \in C^f$  if:  $\ell < \infty$ ,  $\text{state}(c^f)(p)(\ell) = s$  and  $\text{received}(c^f)(p)(\_)(\ell) \in \text{guard}(s)(s')$ . In this case, the full configuration  $c^{f'} = \text{tr}(p, s, s')(c^f)$  is defined with:

- $\text{state}(c^{f'})(p) = \text{state}(c^f)(p) \cdot s'$  and  $\text{state}(c^{f'})$  equals  $\text{state}(c^f)$  elsewhere.
- $\text{received}(c^{f'}) = \text{received}(c^f)$

Note that the reception actions are always enabled. So defined, the semantics of an LDTS is a POTS  $\mathcal{O}_{\mathcal{D}}^f = (C^f, \Xi, A^f)$ ; in particular, the notions of schedules and abstractions apply.

► **Example 9.** Consider the Phase King algorithm run by three correct processes and a Byzantine one. The Byzantine process is not represented explicitly ( $P = \{p_0, p_1, p_2\}$  only contains correct processes) but the guards of the LDTS account for the messages it may send. Also, the King is chosen at each round non-deterministically, abstracting process ids.

A correct process in layer  $\ell$  may be in one of four states  $S_\ell = \{v_0, v_1, k_0, k_1\}$ , where  $k_x$  (resp.  $v_x$ ) represents that the local value of  $v$  is  $x \in \{0, 1\}$  and that the process is currently King (resp. not King). A full configuration, say  $c^f$ , is depicted top-left of Figure 2. The sequence states process  $p_0$  went through so far is  $\text{state}(c^f)(p_0) = v_0 \cdot k_1 \cdot v_1$ . Also,  $\text{received}(c^f)(p_0)(p_2)(0) = v_1$  represents that process  $p_0$  received the message that process  $p_2$  was in state  $v_1$  at layer 0. In contrast,  $p_0$  does not know the state of  $p_2$  at layer 2 (represented by a blank space instead of  $\perp$  for commodity). Thus, in  $c^f$ , the message sent by process  $p_2$  at layer 2 has yet to be received by  $p_0$ . The action  $\text{rec}(p_0, p_2, 2)$  corresponding to this reception is therefore enabled at  $c^f$ . The resulting configuration  $c^f \star \text{rec}(p_0, p_2, 2)$  would be identical to  $c^f$  except for  $\text{received}(c^f \star \text{rec}(p_0, p_2, 2))(p_0)(p_2)(2) = \text{state}(c^f)(p_2)(2) = v_1$  instead of  $\perp$ . The reception  $\text{rec}(p_0, p_1, 2)$  can also happen at  $c^f \star \text{rec}(p_0, p_2, 2)$ . The resulting configuration  $c^{f'} = c^f \star \text{rec}(p_0, p_2, 2) \star \text{rec}(p_0, p_1, 2)$  coincides with  $c^f$  except for

$$\text{received}(c^{f'})(p_0) = \begin{array}{l} p_0 : \quad v_0 \quad k_1 \quad v_1 \\ p_1 : \quad v_1 \quad v_1 \quad k_1 \\ p_2 : \quad v_1 \quad v_0 \quad v_1 \end{array}$$

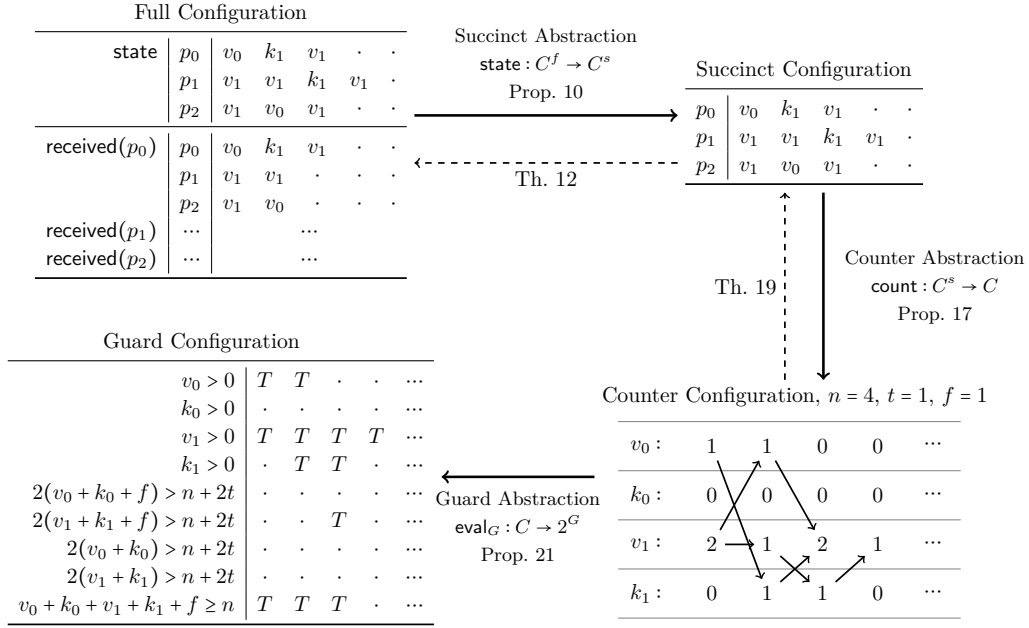
Now  $p_0$  has received more than  $\frac{n}{2} + t$  messages in  $\{v_1, k_1\}$  so that it updates its value to 1 in the next round. Therefore, the action  $\text{tr}(p_0, v_1, v_1)$  is enabled at  $c^{f'}$  and the configuration  $c^{f''} \star \text{tr}(p_0, v_1, v_1)$  is equal to  $c^{f'}$  except for  $\text{state}(c^{f''} \star \text{tr}(p_0, v_1, v_1)) = v_0 \cdot k_1 \cdot v_1 \cdot v_1$ .

### 3.2 Abstracting Received Messages

The partially ordered transition system  $\mathcal{O}_{\mathcal{D}}^f$  is fine-grained and rather complex to analyze, therefore the aim of the rest of this section is to define simpler POTS, that preserve or overapproximate the semantics of  $\mathcal{O}_{\mathcal{D}}^f$ . The successive steps are represented in Figure 2.

The information of messages received by each process is used to check enabledness of transitions. However, the received messages necessarily form a subset of the sent messages.





■ **Figure 2** An illustration of the successive abstractions.

Using the notion of abstraction, this section proves that received messages can be forgotten without losing any information. Instead, it suffices to require the existence of a subset of sent messages that would enable a transition. Changing views from received messages to sent ones is often implicit [21, 20] and without restrictions it may introduce spurious counter-examples (see Example 13). By imposing that each message appears in at most one guard in the transitions taken by a process, the layering hypothesis guarantees that the abstraction is complete (Theorem 12). This abstraction is then used to provide a characterization of reachable configurations (Theorem 15), including those reachable via an infinite schedule.

A *succinct configuration* is an element of  $C^s = P \times \overline{S^+}$ . For  $c^s \in C^s$ ,  $p \in P$ ,  $\ell < |c^s(p)|$  and  $s \in S$ ,  $c^s(p)(\ell) = s$  means that process  $p$  is/was in state  $s$  at layer  $\ell$ . As before, if  $\ell \geq |c^s(p)|$ , then  $c^s(p)(\ell) = \perp$ , representing that process  $p$  has not reached layer  $\ell$  yet. So-defined, the projection  $\text{state} : C^f \rightarrow C^s$  abstracts  $C^f$  into  $C^s$ , so that the reception actions become useless. The set of *succinct actions* is then  $A^s = \bigcup_{\ell \in \mathbb{N}} \{[p : s \rightarrow s'] \mid p \in P, s \in S_\ell, s' \in S_{\ell+1}\}$  and the monoid morphism  $\text{simpl} : A^{f*} \rightarrow A^{s*}$  is defined by ignoring reception actions. Formally:

- for  $\text{rec}(p, p', \ell) \in A^f$ ,  $\text{simpl}(\text{rec}(p, p', \ell)) = \varepsilon$ ;
- for  $\text{tr}(p, s, s') \in A^f$ ,  $\text{simpl}(\text{tr}(p, s, s')) = [p : s \rightarrow s']$ .

One can define enabledness of a succinct action, and its effect. For a succinct configuration  $c^s \in C^s$  and a succinct action  $[p : s \rightarrow s'] \in A^s$ , writing  $\ell = |c^s(p)| - 1$ , then  $[p : s \rightarrow s']$  is *enabled* at  $c^s$  if  $\ell < \infty$ ,  $c^s(p)(\ell) = s$  and  $c^s(\_)(\ell) \in \uparrow \text{guard}(s)(s')$ . In this case,  $([p : s \rightarrow s'](c^s))(p) = c^s(p) \cdot s'$  and  $([p : s \rightarrow s'](c^s))$  coincides with  $c^s$  for any other process.

The first two conditions of enabledness are analogous to the case of the full semantics (see Definition 8). The last condition however replaces the guard of the edge with its upper closure. This derives from the fact that the condition now deals with *sent messages* instead of *received* ones, and the latter can only be smaller than the former.

Altogether, the *succinct semantics* of the LDTS consists of the POTS  $\mathcal{O}_D^s = (C^s, \varepsilon, A^s)$ , whose definition is justified by the following proposition:

► **Proposition 10.** *The mappings  $\text{state} : C^f \rightarrow C^s$  and  $\text{simpl} : A^{f*} \rightarrow A^{s*}$  define an abstraction from the full POTS  $\mathcal{O}_{\mathcal{D}}^f = (C^f, \sqsubseteq, A^f)$  to the succinct POTS  $\mathcal{O}_{\mathcal{D}}^s = (C^s, \sqsubseteq, A^s)$ .*

► **Example 11.** Consider the succinct configuration  $c^s$  in the top right of Figure 2. It is obtained by applying  $\text{state}$  to the full configuration  $c^f$  on the left. In Example 9, the full schedule  $\sigma^f = \text{rec}(p_0, p_2, 2) \cdot \text{rec}(p_0, p_1, 2) \cdot \text{tr}(p_0, v_1, v_1)$  is shown to be applicable at  $c^f$ . Therefore, Proposition 10 implies that  $\text{simpl}(\sigma^f) = [p_0 : v_1 \rightarrow v_1]$  is applicable at  $c^s$ .

Propositions 10 and 5 entail that the succinct abstraction is *sound* in the sense that it does not remove any existing behavior, and properties that hold on every execution of the succinct model also hold on the full semantics. However, in general, abstractions are not *complete* and they may introduce new behaviors (for instance, schedules without any reception actions may be applicable in the simplification but not in the full model). Nevertheless, the succinct abstraction is complete: there always exists an applicable full schedule corresponding to each applicable succinct schedule.

► **Theorem 12.** *Let  $\sigma^s \in \overline{A^{s*}}$  be a succinct schedule applicable at an initial configuration  $c^s \in C^s$ . Then, there exists a full schedule  $\sigma^f \in \overline{A^{f*}}$  applicable at a full configuration  $c^f \in C^f$  such that:  $\text{state}(c^f) = c^s$ ,  $\text{simpl}(\sigma^f) = \sigma^s$ , and  $\text{state}(c^f \star \sigma^f) = c^s \star \sigma^s$ .*

To prove Theorem 12 one transforms each action  $[p : s \rightarrow s']$  into a finite schedule of the form  $(\text{rec}(p, p_u, \ell))_{u < U} \cdot \text{tr}(p, s, s')$ , carefully choosing the receptions to ensure that the last transition is enabled. To do so, the difficulties are twofold. First, the full schedule  $(\text{rec}(p, p_u, \ell))_{u < U} \cdot \text{tr}(p, s, s')$  not only depends on  $[p : s \rightarrow s']$ , but also on the current configuration. Therefore one cannot define a trivial abstraction. Second, this method requires a way to control the buffers of received messages throughout the schedule. Indeed, one should avoid that a process receives too many messages to take a transition, as ‘un-receiving’ messages is impossible. This is where the layered structure comes into play, and ensures that when a process receives messages enabling a transition, no earlier transition required these.

► **Example 13.** As explained, the layering assumption is crucial in Theorem 12. Consider the *non layered* distributed transition system with four states  $a, b, c, x$ , and two processes  $p, p'$ . Let  $c^f$  be the initial full configuration with  $\text{state}(c^f)(p) = a$  and  $\text{state}(c^f)(p') = x$ . Intuitively, in this counterexample, the guards are set such that the first transition  $\text{tr}(p, a, b)$  is enabled only if  $\text{received}(c^f)(p)(p') = x$  while the next transition  $\text{tr}(p, b, c)$  requires  $\text{received}(c^f)(p)(p') = \perp \neq x$ . Process  $p$  would thus have to ‘forget’ that it received a message from  $p'$  in order to take the second transition, which is impossible in the full semantics.

In contrast, the succinct semantics does not record whether  $p$  has already received the message from  $p'$  when approaching the second transition. The succinct schedule  $[p : a \rightarrow b] \cdot [p : b \rightarrow c]$  is therefore applicable at  $\text{state}(c^f)$  which would contradict Theorem 12 for unlayered distributed transition systems. Imposing that each message appears at most in one guard along the execution of a process, the layered hypothesis prevents this type of counterexamples.

The advantage of the succinct semantics over the full one is that the guards can only become true during an execution. This monotony property, combined with the layered hypothesis, entail the possibility to check that a configuration is reachable *a posteriori*, simply by verifying that the guards of the transitions that are taken are verified in the last configuration. In particular, this avoids building explicitly the schedule at all intermediate configurations. This is formally stated in the following definition and theorem.

► **Definition 14.** *A succinct configuration  $c^s \in C^s$  is coherent if for any  $p \in P$  and  $\ell \in \mathbb{N}$ , if  $c^s(p)(\ell) = s \neq \perp$  and  $c^s(p)(\ell + 1) = s' \neq \perp$ , then  $c^s(\_)(\ell) \in \uparrow \text{guard}(s, s')$ .*

► **Theorem 15.** Let  $c^s, c^{s'} \in C^s$  be two succinct configurations such that  $c^s$  is coherent. Then the following statements are equivalent:

- $c^s \sqsubseteq c^{s'}$  and  $c^{s'}$  is coherent.
- There exists a (possibly infinite) schedule  $\sigma^s \in \overline{A^{s^*}}$  applicable at  $c^s$  such that  $c^s * \sigma^s = c^{s'}$ .

### 3.3 Counter Abstraction

The theory presented so far dealt with a fixed set  $P$  of processes. As an advantage, the guards of the edges could be any condition on the set of received messages, but as a drawback, it is impossible to represent *parameterised* systems where the number of processes is not fixed. To remedy this downside, this section introduces *layered threshold automata* (LTA). While this model is syntactically similar to threshold automata [20], its semantics in terms of a POTS is novel. Natural abstractions between the semantics of LDTS and LTA can then be presented, proving that LTA form a faithful representation of distributed algorithms, in contrast to unrestricted threshold automata.

► **Definition 16.** A Layered Threshold Automaton (LTA) is a tuple  $\mathcal{T} = (R, S, \text{guard})$  where:

- $R$  is a set of parameters
- $S$  is a set of states partitioned into layers:  $S = \bigcup_{i=0}^{\infty} S_i$ , with  $S_0$  the set of initial states.
- $\text{guard} : S^2 \rightarrow \text{PA}(S \cup R)$  associates a guard, in Presburger arithmetic over free variables in  $S \cup R$ , to each pair of states. The layered hypothesis assumes that for  $\ell \in \mathbb{N}$ ,  $s \in S_\ell$ , and  $s' \in S$ ,  $\text{guard}(s, s') \in \text{PA}(S_\ell \cup R)$  and if  $s' \notin S_{\ell+1}$ ,  $\text{guard}(s, s') = \text{false}$ .

The guards are monotonous, i.e. for any guard  $g \in \text{guard}(S^2)$ , for any valuation  $\rho \in \mathbb{N}^R$ ,  $\kappa, \kappa' \in \mathbb{N}^S$ , if  $\kappa \leq \kappa'$  when ordered pointwise and if  $\rho, \kappa \models g$ , then  $\rho, \kappa' \models g$  as well.

The set of parameters  $R$  typically includes the number  $n$  of processes and an upper bound  $t$  on the number of faulty processes. Intuitively, the guards represent the conditions on *sent messages* for taking the corresponding transition. The monotony assumption therefore requires that guards in the algorithms concern received messages only, which may be any subset of the sent messages.

In the remainder of this section,  $\mathcal{T} = (R, S, \text{guard})$  is a fixed LTA. A *configuration*  $c$  of  $\mathcal{T}$  is defined by:

- a *parameter valuation*  $\text{param}(c) \in R \rightarrow \mathbb{N}$  that remains constant during an execution;
- a *counting mapping*  $\kappa(c) \in S \rightarrow \mathbb{N}$  where  $\kappa(c)(s) = k$  means that  $k$  processes have visited the state  $s$ ;
- *flow counters*  $\text{flow}(c) \in (\bigcup_{\ell \in \mathbb{N}} S_\ell \times S_{\ell+1}) \rightarrow \mathbb{N}$  where  $\text{flow}(c)(s, s') = k$  means that  $k$  processes moved from  $s$  to  $s'$ .

Moreover, processes that leave a state must have entered it, therefore, configurations should also verify the following *flow conditions*:

- **in:** for every  $\ell \in \mathbb{N} \setminus \{0\}$  and every  $s \in S_\ell$ ,  $\sum_{s' \in S_{\ell-1}} \text{flow}(c)(s', s) = \kappa(c)(s)$
- **out:** for every  $\ell \in \mathbb{N}$  and every  $s \in S_\ell$ ,  $\sum_{s' \in S_{\ell+1}} \text{flow}(c)(s, s') \leq \kappa(c)(s)$ .

The set  $C$  of all configurations is equipped with the natural order  $\sqsubseteq$  defined by  $c \sqsubseteq c'$  if  $\text{param}(c) = \text{param}(c')$ ,  $\kappa(c) \leq \kappa(c')$  and  $\text{flow}(c) \leq \text{flow}(c')$ .

An action over  $C$  is an element of  $A = \bigcup_{\ell \in \mathbb{N}} A_\ell$  where for  $\ell \in \mathbb{N}$ ,  $A_\ell = \{[s \rightarrow s'] \mid s \in S_\ell, s' \in S_{\ell+1}\}$ .

For  $c \in C$ , an action  $[s \rightarrow s'] \in A_\ell$  is *enabled* at  $c$  if:

- $\sum_{s'' \in S_{\ell+1}} \text{flow}(c)(s, s'') < \kappa(c)(s)$ , and
- $\text{param}(c), \kappa(c) \models \text{guard}(s, s')$ , written  $c \models \text{guard}(s, s')$  for short.

In so, the successor configuration  $[s \rightarrow s'](c) = c' \in C$  is defined by:

- $\text{param}(c') = \text{param}(c)$
- $\text{flow}(c') = \text{flow}(c) + \mathbf{1}_{(s, s')}$  where  $\mathbf{1}_{(s, s')}(s, s') = 1$  and  $\mathbf{1}_{(s, s')}(e) = 0$  elsewhere.

- $\kappa(c') = \kappa(c) + \mathbb{1}_{s'}$  where  $\mathbb{1}_{s'}(s') = 1$  and  $\mathbb{1}_{s'}(s'') = 0$  elsewhere.

One can easily check that configuration  $c'$  verifies the flow conditions.

The semantics of the LTA  $\mathcal{T}$  is defined as the POTS  $\mathcal{O}_{\mathcal{T}} = (C, \Xi, A)$ .

For  $\rho \in \mathbb{N}^R$ , the set of configurations that have  $\rho$  as parameters and  $n$  processes initially is  $C_{\rho} = \{c \in C \mid \text{param}(c) = \rho, \text{ and } \sum_{s \in S_0} \kappa(c)(s) = \rho(n)\}$ . Let  $\mathcal{O}_{\mathcal{T}}^{\rho} = (C_{\rho}, \Xi, A)$  denote the POTS restricted to these configurations.

There is a strong link between LTA and LDTS. More precisely, fix a valuation  $\rho \in \mathbb{N}^R$ . Consider  $P_{\rho}$  a set of  $\rho(n)$  processes, and the LDTS  $\mathcal{D}_{\rho} = (P_{\rho}, S, \text{guard}_{\rho})$  where the function  $\text{guard}_{\rho} \in \bigcup_{\ell \in \mathbb{N}} (S_{\ell} \times S_{\ell+1} \rightarrow 2^{[P_{\rho} \rightarrow S_{\ell}^{\pm}]})$  is defined for every  $\ell \in \mathbb{N}$ ,  $s \in S_{\ell}$  and  $s' \in S_{\ell+1}$  by:

$$\text{guard}_{\rho}(s, s') = \{x \in P \rightarrow S^{\pm} \mid \rho, [s \mapsto |x^{-1}(\{s\})|] \models \text{guard}(s, s')\} .$$

Let  $C_{\rho}^s = P_{\rho} \rightarrow \overline{S^+}$  denote the set of succinct configurations of  $\mathcal{D}_{\rho}$ . Consider  $c^s \in C_{\rho}^s$  and define  $\text{count}_{C_{\rho}^s}(c^s) \in C_{\rho}$  with:

- $\text{param}(\text{count}_{C_{\rho}^s}(c^s)) = \rho$
- for  $\ell \in \mathbb{N}$  and  $s \in S_{\ell}$ :  $\kappa(\text{count}_{C_{\rho}^s}(c^s))(s)(\ell) = |\{p \in P_{\rho} \mid c^s(p)(\ell) = s\}|$
- For  $\ell \in \mathbb{N}$ ,  $s \in S_{\ell}$  and  $s' \in S_{\ell+1}$ :

$$\text{flow}(\text{count}_{C_{\rho}^s}(c^s))(s, s') = \left| \left\{ p \in P_{\rho} \left| \begin{array}{l} c^s(p)(\ell) = s \\ c^s(p)(\ell+1) = s' \end{array} \right. \right\} \right|$$

Let  $A_{\rho}^s = \bigcup_{\ell \in \mathbb{N}} \{[p : s \rightarrow s'] \mid p \in P_{\rho}, s \in S_{\ell}, s' \in S_{\ell+1}\}$  denotes the set of succinct actions of  $\mathcal{D}_{\rho}$ . Define a monoid morphism  $\text{count}_{A_{\rho}^s} : A_{\rho}^{s*} \rightarrow A^*$  such that for  $[p : s \rightarrow s'] \in A_{\rho}^s$ ,  $\text{count}_{A_{\rho}^s}(\text{tr}(p, s, s')) = [s \rightarrow s']$ . So defined:

► **Proposition 17.** *The mappings  $\text{count}_{C_{\rho}^s} : C_{\rho}^s \rightarrow C_{\rho}$  and  $\text{count}_{A_{\rho}^s} : A_{\rho}^{s*} \rightarrow A^*$  define an abstraction from the POTS  $(C_{\rho}^s, \Xi, A_{\rho}^s)$  to the counter POTS  $(C_{\rho}, \Xi, A)$ .*

Proposition 17 holds for *any* parameter valuation  $\rho \in \mathbb{N}^R$ . Thus, a single LTA represents *infinitely-many* LDTS, one for each parameter valuation.

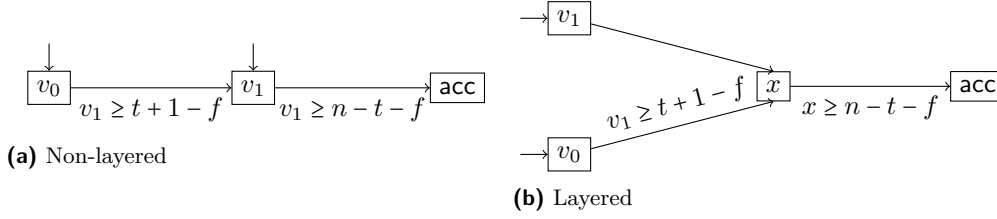
Similarly to the case of LTA, one can define *coherence* of configurations for LDTS, and obtain an equivalent of Theorem 15 at the counter abstraction level.

► **Definition 18.** *Configuration  $c \in C$  is said counter coherent when for every  $\ell \in \mathbb{N}$ ,  $s \in S_{\ell}$  and  $s' \in S_{\ell+1}$ , if  $\text{flow}(c)(s, s') > 0$ , then  $c \models \text{guard}(s, s')$ .*

► **Theorem 19.** *Let  $c, c' \in C_{\rho}$  be two configurations such that  $c$  is counter coherent. Then the following statements are equivalent:*

- $c \Xi c'$  and  $c'$  is counter coherent;
- There exists a (possibly infinite) schedule  $\sigma \in \overline{A^*}$  applicable at  $c$  such that  $c * \sigma = c'$ .

The flow conditions and the counter coherence can easily be encoded as a set of linear arithmetic formulas that *do not* depend on the number of processes. In particular, if the LTA is *finite*, then the resulting set of equations is finite as well, making the reachability problem decidable in this case (for initial and target states represented by linear arithmetic formulas). This can be used to verify not only safety properties, but also liveness properties as configurations represent potentially infinite behaviors and contain information about the whole execution. Theorem 19 differs from the threshold automata approach [20] because a schedule does not need to be explicitly built. In particular, the layering assumption implies that the order in which guards become true is irrelevant, which simplifies a lot the SMT queries. More importantly, our approach applies to *infinite* automata where methods based on bounding the diameter of the transition system have little chance of succeeding.



■ **Figure 3** Two threshold automata for the reliable broadcast algorithm [11].

► **Example 20.** Theorem 19 heavily relies on the layered hypothesis. To see that, consider the non layered model of Figure 3a. Let  $c$  be a configuration with  $\text{flow}(c)(v_0, v_1) > 0$ . Then the counter coherence would require that  $c \models v_1 \geq t+1-f$ , however, this last condition may only hold because the transition was taken in the first place, resulting in spurious configurations. This can be fixed by tweaking the model in order to make it layered as seen on Figure 3b.

### 3.4 Guard Abstraction

Consider an LTA  $\mathcal{T} = (R, S, \text{guard})$ . Even when  $S$  is finite, its configuration set  $C$  is infinite as the number of processes  $n$  is unbounded. When  $S$  is infinite, then  $C$  is infinite in two dimensions: it consists of infinitely many variables that may take infinitely many values. The guard abstraction presented here aims at partitioning these values into finitely many classes. The resulting model will however remain infinite, if  $S$  is.

Consider a set  $G \subset \text{PA}(S \cup R)$  of *monotonous guards*, that is, every  $g \in G$  is a linear arithmetic formulas with free variables in  $S \cup R$  such that for  $\rho \in \mathbb{N}^R$  and  $\kappa, \kappa' \in \mathbb{N}^S$ , if  $\kappa \leq \kappa'$  pointwise and if  $\rho, \kappa \models g$ , then  $\rho, \kappa' \models g$  as well.

Intuitively, the guard abstraction only records the valuations of the guards, not the number of processes in each state. For this idea to succeed, the valuations of the guards must converge during an execution, which is guaranteed by the following proposition.

► **Proposition 21.** *The mapping  $\text{eval}_G: (C, \sqsubseteq) \rightarrow (2^G, \sqsubseteq)$  defined by  $\text{eval}_G(c) = \{g \in G \mid c \models g\}$  is Scott-continuous.*

## 4 Guard Automata towards Practical Implementation

While Theorem 19 suffices to verify *finite* LTA through the counter abstraction, it falls short at capturing infinite models that arise for instance from round-based algorithms. This section introduces guard automata as a finite-state abstraction which is sound, yet, unsurprisingly, not complete in general and may introduce spurious counterexamples.

### 4.1 Cyclic LTA

Towards algorithmic considerations and practical implementations, the rest of the paper focuses on round-based distributed algorithms, which can be captured by cyclic LTA. Intuitively, a cyclic LTA is used to model an LTA that repeats a finite series of layers indefinitely. For  $k \in \mathbb{N}_{>0}$ , a *k-cyclic LTA* (*k-CLTA*) is a tuple  $\mathcal{T}^c = (R, S^c, \text{guard}^c)$  where:

- $R$  is a *finite* set of parameters.
- $S^c$  is a *finite* set of states partitioned into  $k$  layers  $S^c = S_0^c \cup \dots \cup S_{k-1}^c$ .
- $\text{guard}^c: S^{c2} \rightarrow \text{PA}(R \cup S^c)$  is a finite set of guards such that for  $\ell < k$ ,  $s^c \in S_\ell^c$  and  $s^{c\ell} \in S^c$ ,  $\text{guard}^c(s^c, s^{c\ell}) \in \text{PA}(R \cup S_\ell^c)$  and if  $s^{c\ell} \notin S_{\ell+1 \bmod k}^c$ , then  $\text{guard}^c(s^c, s^{c\ell}) = \text{false}$ .

Unfolding a  $k$ -CLTA yields an infinite-state acyclic LTA  $\text{unfold}(R, S^c, \text{guard}^c)$ . Formally  $\text{unfold}(R, S^c, \text{guard}^c) = (R, S, \text{guard})$  with:

- $S = \{(s^c, \ell) \mid \ell \in \mathbb{N}, s^c \in S_{\ell \bmod k}^c\}$
- For  $\ell \in \mathbb{N}$ ,  $s^c \in S_{\ell \bmod k}^c$  and  $s^{c'} \in S_{\ell+1 \bmod k}^c$ ,  $\text{guard}((s^c, \ell), (s^{c'}, \ell+1)) = \text{guard}^c(s^c, s^{c'})[s^{c''} \leftarrow (s^{c''}, \ell)]$  for  $s^{c''} \in S_{\ell \bmod k}^c$  meaning that any free variable  $s^{c''} \in S^c$  that appears in  $\text{guard}^c(s^c, s^{c'})$  gets replaced with  $(s^{c''}, \ell)$ . In any other case,  $\text{guard}$  is false.

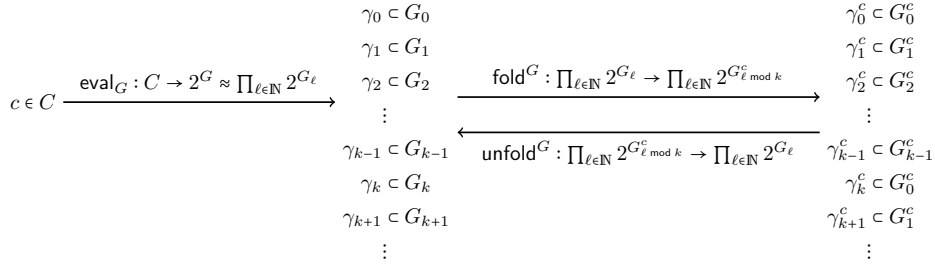
## 4.2 Guard Automaton

From the guard abstraction, one can construct a finite-state automaton that represents the set of reachable configurations of a cyclic LTA.

Let  $\mathcal{T}^c = (R, S^c, \text{guard}^c)$  be a  $k$ -CLTA equipped with a *finite* set of guards expressed in Presburger arithmetic:  $G^c = \bigcup_{\ell < k} G_\ell^c$  such that for  $\ell < k$ ,  $G_\ell^c \in \text{PA}(S_\ell^c \cup R)$ . In practice,  $G^c$  will include all guards appearing in the LTA, as well as the events that need to be observed.

A CLTA can be unfolded into an infinite-state LTA, by concatenating copies of  $\mathcal{T}^c$ . In order for the guard abstraction to be formally defined, copies of the guards in  $G^c$  for each new layer are required. For  $\ell \in \mathbb{N}$  a layer index and  $g^c \in G_{\ell \bmod k}^c$  a guard,  $\text{unfold}_\ell^G(g^c) = g^c[s^c \leftarrow (s^c, \ell)]$  for  $s^c \in S_{\ell \bmod k}^c$  denotes the guard obtained by replacing every free occurrence of a variable  $s^c \in S_{\ell \bmod k}^c$  in  $g^c$  by  $(s^c, \ell)$ . The converse folding operation is defined by:  $\text{fold}_\ell^G(g) = g[(s^c, \ell) \leftarrow s^c]$ , for  $s^c \in S_{\ell \bmod k}^c$ . Finally,  $G_\ell = \text{unfold}_\ell^G(G_{\ell \bmod k}^c)$  is the set of guards at layer  $\ell$  and  $G = \bigcup_{\ell \in \mathbb{N}} G_\ell$  the set of all guards.

The guard abstraction maps every configuration of  $\text{unfold}(\mathcal{T}^c)$  to a set of guards that hold in that configuration. Formally,  $\text{eval}_G : C \rightarrow 2^G$ . A set of guards  $\gamma \in 2^G$  can be represented with the sequence  $\gamma_0 \gamma_1 \dots$ , where for  $\ell \in \mathbb{N}$ ,  $\gamma_\ell = \gamma \cap G_\ell$ .  $\text{fold}^G(\gamma)$  then denotes the sequence  $\text{fold}_0^G(\gamma_0) \cdot \text{fold}_1^G(\gamma_1) \dots \in (2^{G^c})^\omega$  and  $\text{unfold}^G$  is the converse operation that applies  $\text{unfold}_\ell^G$  to the elements of layer  $\ell$  in the sequence. Doing so, a configuration  $c \in C$  defines a (possibly infinite) word  $\gamma_0^c \gamma_1^c \dots$  over the *finite* alphabet  $\Sigma = \bigcup_{\ell < k} 2^{G_\ell^c}$  as represented in Figure 4.



■ **Figure 4** From a configuration to a word over the finite alphabet of the guard automaton.

For  $\ell < k$  a layer index,  $\gamma^c \in 2^{G_\ell^c}$  and  $\gamma^{c'} \in 2^{G_{\ell+1 \bmod k}^c}$  guard valuations of layer  $\ell$  and the next layer, one can use an SMT solver to check whether  $\gamma^{c'}$  is a successor  $\gamma^c$ . Precisely, the SMT query asks for the existence of  $x \in \mathbb{N}^{S_\ell^c}$ ,  $y \in \mathbb{N}^{S_{\ell+1 \bmod k}^c}$  and  $e \in \mathbb{N}^{S_\ell^c \times S_{\ell+1 \bmod k}^c}$  such that the valuation of guards (1), flow condition (2) and counter coherence (3) are verified.

$$x \models \bigwedge_{g^c \in \gamma^c} g^c \wedge \bigwedge_{g^c \in G_\ell^c \setminus \gamma^c} \neg g^c \quad y \models \bigwedge_{g^{c'} \in \gamma^{c'}} g^{c'} \wedge \bigwedge_{g^{c'} \in G_{\ell+1 \bmod k}^c \setminus \gamma^{c'}} \neg g^{c'} \quad (1)$$

$$e, x \models \bigwedge_{s^c \in S_\ell^c} s^c \geq \sum_{s^{c'} \in S_{\ell+1 \bmod k}^c} [s^c, s^{c'}] \quad e, y \models \bigwedge_{s^{c'} \in S_{\ell+1 \bmod k}^c} \sum_{s^c \in S_\ell^c} [s^c, s^{c'}] = s^{c'} \quad (2)$$

$$e, x \models \bigwedge_{(s^c, s^{c'}) \in S_\ell^c \times S_{\ell+1 \bmod N}^c} [s^c, s^{c'}] > 0 \longrightarrow \text{guard}^c(s^c, s^{c'}) \quad (3)$$

The guard automaton is a finite automaton whose language *overapproximates* the set of reachable configurations. It bears similarities with de Bruijn graphs [15] used *e.g.* in bioinformatics. If  $E_\ell \subset 2^{G_\ell^c} \times 2^{G_{\ell+1 \bmod k}^c}$  denotes the set of all pairs  $\gamma^c, \gamma^{c'}$  that verify conditions (1) and (3), one can build the set  $E = \bigcup_{\ell < k} E_\ell$ .

- **Definition 22.** The guard automaton of  $\mathcal{T}^c$  is  $\text{GA}_G(\mathcal{T}^c) = (\Sigma, E, 2^{G_0^c}, \text{src}, \text{dest}, \text{label})$  where:
- $\Sigma$  is both the alphabet and the set of states.
  - $2^{G_0^c} \subset \Sigma$  is the set of initial states.
  - $E \subset \Sigma^2$  defined above is the set of edges, equipped with  $\text{src} : E \rightarrow \Sigma$  (resp.  $\text{dest} : E \rightarrow \Sigma$ ) that defines the source state (resp. destination state) of every edge, and  $\text{label} : E \rightarrow \Sigma$  associates a label to each edge defined by  $\text{label}(\gamma^c, \gamma^{c'}) = \gamma^c$ .

An infinite run  $(e_\ell)_{\ell < \infty}$  of the guard automaton defines a word  $\text{word}((e_\ell)_{\ell < \infty}) = \text{label}(e_0) \cdot \text{label}(e_1) \cdot \dots$ , and  $\mathcal{L}(\text{GA}_G(\mathcal{T}^c)) \subset \Sigma^\omega$  denotes the language of  $\text{GA}_G(\mathcal{T}^c)$ .

- **Example 23.** Algorithm 1 can be described by the following CLTA with  $k = 1$ . The parameters are  $R = \{n, t, f\}$  where  $f$  denotes the actual number of Byzantine faults. States are  $S^c = \{v_0, k_0, v_1, k_1\}$ . The guards here only depend on the next value of  $v$ . For instance:

$$\begin{aligned} \text{guard}(\_, v_0) &= (v_0 + k_0 + v_1 + k_1 + f = n) \\ &\wedge \left( (2(v_0 + k_0 + f) > n + 2t) \vee \left( (2v_0 + 2k_0 \leq n + 2t) \wedge (2v_1 + 2k_1 \leq n + 2t) \wedge (k_1 = 0) \right) \right). \end{aligned}$$

Also,  $\text{guard}(\_, k_0) = \text{guard}(\_, v_0)$  and  $\text{guard}(\_, v_1) = \text{guard}(\_, k_1)$  is defined symmetrically.

A configuration  $c$  of the unfolded LTA is depicted bottom-right of Figure 2, where the array contains the valuation  $\kappa(c)$  and the arrows represent the flow. For example  $\kappa(c)(v_1, 0) = 2$ ,  $\text{flow}(c)((v_0, 0), (k_1, 1)) = 1$  and  $\text{flow}(c)((v_0, 0), (v_0, 1)) = 0$ .

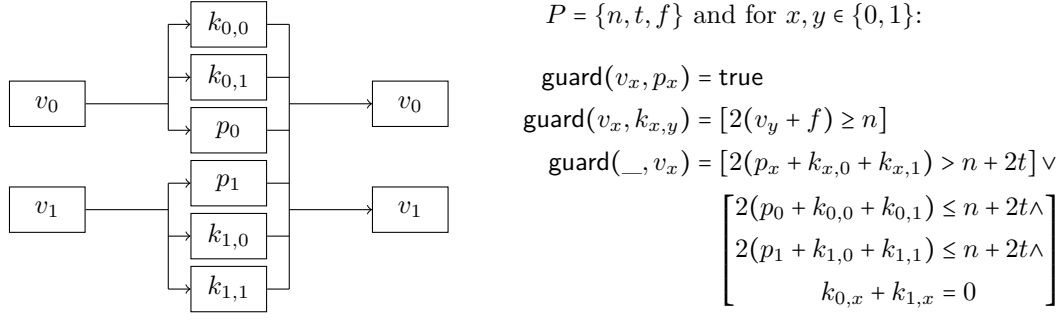
The guard abstraction transforms  $c$  into the guard configuration bottom-left of Figure 2. Here, we chose the set of guards  $G^c$  to consist of  $s > 0$  for each  $s \in S^c$  and of the guards of the LTA. The alphabet  $\Sigma$  contains *e.g.*,  $(T \cdot T \cdots T)$ . SMT queries determine whether two letters may appear successively, in order to build the guard automaton. For instance, according to the first two layers of  $\text{eval}_G(c)$ ,  $(T \cdot T \cdots T)$  can be followed by  $(T \cdot TT \cdots T)$ . There will therefore be a transition between these two states in the guard automaton.

- **Theorem 24.** Let  $c \in C$  be a configuration of  $\text{unfold}(\mathcal{T}^c)$  and  $\text{eval}_G(c) \in 2^{G^c}$  its guard abstraction. If  $c$  is counter-coherent, then  $\text{fold}^G(\text{eval}_G(c)) \in \mathcal{L}(\text{GA}_G(\mathcal{T}^c))$ .

By soundness of the guard automaton construction, a property which holds on configurations that correspond to runs of  $\text{GA}_G(\mathcal{T}^c)$  also holds on the configurations of  $\text{unfold}(\mathcal{T}^c)$ . A simple verification procedure thus consists in checking that  $\mathcal{L}(\text{GA}_G(\mathcal{T}^c))$  is included in a given language of correct configurations. At a first glance, it might seem that only safety properties can be checked. However, the guard automaton also represents configurations reachable by infinite schedules, making the verification of liveness properties feasible.

- **Example 25.** For presentation purposes, Algorithm 1 is an overly simplified version of the Phase King algorithm [8]. The latter can be faithfully encoded by the 2-CLTA  $\mathcal{T}^c$  of Figure 5, where the updated value when there is no clear majority is not the king's value, but rather the majority of the values received by the king. Each round consists of two layers of communication, a first in which each process broadcasts its value, and a second in





■ **Figure 5** A 2-CLTA for the Phase King algorithm with non-deterministic choice of the king. A process in  $k_{x,y}$  is king of the current round, its current value is  $x$  and it thinks the majority is  $y$ .

which the king broadcasts what it thinks is the majority. The set of guards at the first layer is  $G_0^c = \{v_0 > 0, v_1 > 0\}$  and at the second layer  $G_1^c$  consists of  $k_{0,0} + k_{1,0} > 0$ ,  $k_{0,1} + k_{1,1} > 0$ ,  $p_0 + k_{0,0} + k_{0,1} > 0$ ,  $p_1 + k_{1,0} + k_{1,1} > 0$ ,  $2(k_{0,0} + k_{0,1} + p_0 + f) > n + 2t$  and  $2(k_{1,0} + k_{1,1} + p_1 + f) > n + 2t$ .

Restricting to valuations with  $\sum_{s \in S_\ell} s + f = n$  (fairness) and  $k_{0,0} + k_{0,1} + k_{1,0} + k_{1,1} \leq 1$  (at most one king), the resulting guard automaton has 3 states in even layers and 11 in odd layers. Writing  $[formula]$  for the set of letters in  $2^{G^c}$  for which  $formula$  holds, one can show:

$$\mathcal{L}(\text{GA}_G(\mathcal{T}^c)) \subset [-(k_{0,0} + k_{1,0} > 0) \wedge -(k_{0,1} + k_{1,1} > 0)]^\omega \quad (4)$$

$$\cup \Sigma^* [(k_{0,0} + k_{1,0} > 0) \vee (k_{0,1} + k_{1,1} > 0)] [-(p_0 + k_{0,0} + k_{0,1} > 0)]^\omega \quad (5)$$

$$\cup \Sigma^* [(k_{0,0} + k_{1,0} > 0) \vee (k_{0,1} + k_{1,1} > 0)] [-(p_1 + k_{1,0} + k_{1,1} > 0)]^\omega . \quad (6)$$

Therefore, either every chosen king is Byzantine (4), or all processes agree on a value after a non-Byzantine king is chosen (5 or 6).

In general, although it is sound, the guard automaton construction is not complete: the language may contain words that correspond to no configuration of the LTA. As usual for incomplete methods, heuristics can be used to remove some spurious counterexamples.

## 5 Conclusion

This paper presented a methodology, based on domain theory, to represent and analyze distributed algorithms. Infinite-state models are abstracted into finite-state guard automata, on which one can check safety and liveness properties.

Optimizing and benchmarking the guard automaton implementation is on our current agenda to demonstrate the applicability of our methodology by verifying safety and liveness of standard distributed algorithms from the literature. A more long-term research objective is to build on the current contribution to develop a rigorous framework for the verification of randomized distributed algorithms.

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## Technical appendix

### A Complements for Section 2

#### Proof of Lemma 3

► **Lemma 3.** *For  $x \in X$ , the set  $\text{App}(x)$  of schedules applicable at  $x$  is Scott-closed for the prefix ordering and the function:  $[x \star \_]: \text{App}(x) \rightarrow X$  is Scott-continuous.*

**Proof.** Two points need to be shown: first, that the set  $\text{App}(x) \subset \overline{A^*}$  is Scott-closed, and second, that the function  $[x \star \_]: \text{App}(x) \rightarrow X$  is Scott-continuous.

- A Scott-closed set is a set that is both downward-closed and closed by least upper bound of directed subsets. The set  $\text{App}(x)$  is downward-closed as any prefix of an applicable schedule is applicable as well.

Consider a directed set  $\zeta \subset \text{App}(x)$ . The set  $\zeta$  consists of prefixes of the schedule  $\sqcup \zeta$  that are applicable at  $x$ . Then  $\downarrow \zeta \subset \text{App}(x)$  must contain at least the finite prefixes of  $\sqcup \zeta$ . All the finite prefixes of  $\sqcup \zeta$  are then applicable, and this condition implies that  $\sqcup \zeta$  itself is applicable.

Therefore,  $\text{App}(x)$  is Scott-closed.

- The function  $[x \star \_]$  is monotonous. Indeed, consider two schedules  $\sigma, \sigma' \in \overline{A^*}$  both applicable at  $x$  and suppose  $\sigma < \sigma'$ . Then, either  $\sigma = \sigma'$  in which case  $x \star \sigma \sqsubseteq x \star \sigma'$  clearly holds, or  $\sigma$  is finite and there exists  $\sigma'' \in \overline{A^*}$  such that  $\sigma \cdot \sigma'' = \sigma'$ . Then  $x \star \sigma' = (x \star \sigma) \star \sigma''$  and  $x \star \sigma \sqsubseteq x \star \sigma'$  as the destination of a schedule is always greater than its source.
- Consider a directed set  $\zeta \subset \overline{A^*}$  and define  $\sigma = \sqcup \zeta$ . By monotony,  $\sqcup (x \star \zeta) \sqsubseteq x \star \sigma$ . Again,  $\downarrow \zeta$  contains all the finite prefixes of  $\sigma$  and,

$$\begin{aligned}
 x \star \sigma &= \sqcup \{x \star \sigma_t \mid t \leq |\sigma|, t < \infty\} \\
 &\sqsubseteq \sqcup \{x \star \sigma' \mid \sigma' \in \downarrow \zeta\} && \text{because } \{\sigma_t \mid t \leq |\sigma|, t \in \mathbb{N}\} \subset \downarrow \zeta \\
 &\sqsubseteq \sqcup [x \star \_](\downarrow \zeta) \\
 &\sqsubseteq \sqcup [x \star \_](\zeta) && \text{By monotony of } [x \star \_]
 \end{aligned}$$

Therefore,  $\sqcup (x \star \zeta) = x \star \sigma$  and  $[x \star \_]: \text{App}(x) \rightarrow X$  is Scott-continuous. ◀

#### Proof of Proposition 5

► **Proposition 5.** *Let  $(\text{ab}_X, \text{ab}_A)$  be an abstraction between  $\mathcal{O} = (X, \sqsubseteq, A)$  and  $\mathcal{O}' = (X', \sqsubseteq, A')$ ,  $x \in X$  be an element, and  $\sigma \in \overline{A^*}$  a schedule. If  $\sigma$  is applicable at  $x$ , then  $\text{ab}_A(\sigma)$  is applicable at  $\text{ab}_X(x)$  and  $\text{ab}_X(x \star \sigma) = \text{ab}_X(x) \star \text{ab}_A(\sigma)$ .*

**Proof.** The result can be shown by (transfinite) induction on the length of the schedules. The most involved case is the one of infinite schedules, where continuity of the abstractions  $(\text{ab}_X$  and  $\text{ab}_A)$ , as well as Lemma 3 are used.

- If  $\sigma = \varepsilon$ , then both  $\sigma$  and  $\text{ab}_A(\sigma) = \varepsilon$  are applicable at any configuration. In particular,  $\text{ab}_X(x \star \sigma) = \text{ab}_X(x) = \text{ab}_X(x) \star \text{ab}_A(\sigma)$ .
- Consider  $T \in \mathbb{N}$  and suppose the result holds for any schedule of length  $T$ . Consider a schedule  $\sigma = (a_t)_{t < T+1} \in A^{T+1}$  of length  $T+1$  applicable at a configuration  $x \in X$ . Then necessarily, the prefix of length  $T$  of  $\sigma$  is also applicable at  $x$ , and the induction hypothesis gives:

$$\text{ab}_X(x * (a_t)_{t < T}) = \text{ab}_X(x) * \text{ab}_A((a_t)_{t < T})$$

Then, the following holds:

$$\begin{aligned} \text{ab}_X(x * (a_t)_{t < T+1}) &= \text{ab}_X(x * (a_t)_{t < T} * a_T) \\ &= \text{ab}_X(x * (a_t)_{t < T}) * \text{ab}_A(a_T) && \text{Definition of an abstraction} \\ &= \text{ab}_X(x) * \text{ab}_A((a_t)_{t < T}) * \text{ab}_A(a_T) && \text{induction hypothesis} \\ &= \text{ab}_X(x) * \text{ab}_A((a_t)_{t < T+1}) && \text{ab}_A \text{ is a monoid morphism} \end{aligned}$$

The result therefore holds for any finite schedule.

- Let now  $\sigma$  be an infinite schedule. For  $t \in \mathbb{N}$ , consider  $\sigma_t \in A^t$  the finite prefix of length  $t$  of  $\sigma$ . Then,

$$\begin{aligned} \text{ab}_X(x * \sigma) &= \text{ab}_X(\bigsqcup \{x * \sigma_t \mid t < \infty\}) \\ &= \bigsqcup \{\text{ab}_X(x * \sigma_t) \mid t < \infty\} && \text{continuity of } \text{ab}_X \\ &= \bigsqcup \{\text{ab}_X(x) * \text{ab}_A(\sigma_t) \mid t < \infty\} && |\sigma_t| < \infty \\ &= \text{ab}_X(x) * (\bigsqcup \{\text{ab}_A(\sigma_t)\}) && \text{Lemma 3} \\ &= \text{ab}_X(x) * \text{ab}_A(\bigsqcup \{\sigma_t \mid t \in \mathbb{N}\}) && \text{continuity of } \text{ab}_A \\ &= \text{ab}_X(x) * \text{ab}_A(\sigma) \end{aligned}$$

This proves the result for infinite schedules, and concludes the induction proof. ◀

## B Complements for Section 3

### B.1 Proofs of Section 3.2

#### Proof of Proposition 10

► **Proposition 10.** *The mappings  $\text{state} : C^f \rightarrow C^s$  and  $\text{simpl} : A^{f*} \rightarrow A^{s*}$  define an abstraction from the full POTS  $\mathcal{O}_D^f = (C^f, \sqsubseteq, A^f)$  to the succinct POTS  $\mathcal{O}_D^s = (C^s, \sqsubseteq, A^s)$ .*

**Proof.** This proof consists simply of checking that the conditions of Definition 4 are met.

- The continuity of  $\text{state}$  holds as it is a projection.
- For  $\text{rec}(p, p', \ell) \in A^f$  and  $c^f \in C^f$ ,  $\text{rec}(p, p', \ell)$  does not modify the states of the processes. Therefore,

$$\begin{aligned} \text{state}(c^f * \text{rec}(p, p', \ell)) &= \text{state}(c^f) * \varepsilon \\ &= \text{state}(c^f) * \text{simpl}(\text{rec}(p, p', \ell)) \end{aligned}$$

- Consider  $c^f \in C^f$  and  $\text{tr}(p, s, s') \in A^f$  applicable at  $c^f$ .

The non-direct part of this proof is to verify that  $\text{simpl}(\text{tr}(p, s, s')) = [p : s \rightarrow s']$  is applicable at  $\text{state}(c^f)$ . Let  $\ell = |\text{state}(c^f)(p)| - 1$ . The conditions  $\ell < \infty$  and  $\text{state}(c^f)(p) = s$  are directly analogous in both semantics.

Because  $\text{tr}(p, s, s')$  is applicable at  $c^f$ ,  $\text{received}(c^f)(\_)(\ell) \in \text{guard}(s, s')$ . Additionally, the definition of a full configurations imposes that  $\text{received}(c^f)(p)(\_)(\ell) \sqsubseteq \text{state}(c^f)(\_)(\ell)$ . Therefore,  $\text{state}(c^f)(\_)(\ell) \in \uparrow \text{guard}(s, s')$  and  $[p : s \rightarrow s']$  is indeed enabled at  $\text{state}(c^f)$ .

- The fact that  $\text{state}(c^f \star \text{tr}(p, s, s')) = \text{state}(c^f) \star [p : s \rightarrow s']$  follows directly from the definition.

Therefore, for any  $a^f \in A^f$  applicable at a full configuration  $c^f \in C^f$ ,  $\text{simpl}(a^f)$  is applicable at  $\text{state}(c^f)$  and  $\text{state}(c^f \star a^f) = \text{state}(c^f) \star \text{simpl}(a^f)$  which concludes the proof. ◀

### Proof of Theorem 12

► **Theorem 12.** *Let  $\sigma^s \in \overline{A^{s^*}}$  be a succinct schedule applicable at an initial configuration  $c^s \in C^s$ . Then, there exists a full schedule  $\sigma^f \in \overline{A^{f^*}}$  applicable at a full configuration  $c^f \in C^f$  such that:  $\text{state}(c^f) = c^s$ ,  $\text{simpl}(\sigma^f) = \sigma^s$ , and  $\text{state}(c^f \star \sigma^f) = c^s \star \sigma^s$ .*

The proof of Theorem 12 requires the following lemma:

► **Lemma B.1.** *Consider a configuration  $c^f \in C^f$  and an action  $[p : s \rightarrow s'] \in A^s$  enabled at  $\text{state}(c^f)$ . Additionally, suppose that  $\text{received}(c^f)(p)(\_)(\ell) = \perp$ . Then there exists  $\sigma^f \in A^{f^*}$  applicable at  $c^f$  such that:*

- $\text{simpl}(\sigma^f) = [p : s \rightarrow s']$  and therefore,  $\text{state}(c^f \star \sigma^f) = \text{state}(c^f) \star [p : s \rightarrow s']$
- For any  $(q, \ell') \neq (p, \ell)$ , and any  $q' \in P$ ,  $\text{received}(c^f \star \sigma^f)(q)(q')(\ell') = \text{received}(c^f)(q)(q')(\ell')$

**Proof.** Let  $\ell = |\text{state}(c^f)(p)| - 1$ .

The fact that  $[p : s \rightarrow s']$  is enabled at  $\text{state}(c^f)$  implies  $\ell < \infty$  and  $\text{state}(c^f)(\_)(\ell) \in \text{guard}(s, s')$ . Therefore, there exists  $x \in \text{guard}(s, s')$  such that  $x \sqsubseteq \text{state}(c^f)(\_)(\ell)$ . Define the finite sequence  $(q_u)_{u < U}$  as an enumeration of the set  $\{q \in P \mid x(q) \neq \perp\}$  (this set is finite because  $P$  is finite).

Set  $\sigma^f = (\text{rec}(p, q_u, \ell))_{u < U} \cdot \text{tr}(p, s, s')$ .

This schedule is applicable at  $c^f$ . Indeed, let  $c^{f'} = c^f \star (\text{rec}(p, q_u, \ell))_{u < U}$ . Then,  $\text{state}(c^{f'}) = \text{state}(c^f)$  (reception actions do not alter states) and for  $q \in P$ ,  $\text{received}(c^{f'})(p)(q)(\ell) = \text{state}(c^f)(q)(\ell)$  if  $q = q_u$  for  $u < U$  and is  $\perp$  otherwise. Therefore,  $\text{received}(c^{f'})(p)(\_)(i) = x \in \text{guard}(s, s')$  and  $\text{tr}(p, s, s')$  is applicable at  $c^{f'}$ . The whole schedule is then applicable at  $c^f$ .

The fact that  $\text{simpl}(\sigma^f) = [p : s \rightarrow s']$  is easily verified, and Propositions 5 and 10 concludes the argument.

Moreover, the reception actions present in the schedules only affect the messages received by process  $p$  at layer  $\ell$  and none of the other, therefore the second property holds as well. ◀

**Proof of Theorem 12.** Consider  $c^f \in C^f$  defined with  $\text{state}(c^f) = c^s$  and for any  $p, p' \in P$  and  $\ell \in \mathbb{N}$ ,  $\text{received}(c^f)(p)(p')(\ell) = \perp$ .

The remainder of the proof consists in creating a partial monotonous function  $\text{concr} : A^{s^*} \rightarrow A^{f^*}$  such that:

- if  $\sigma^s \in A^{s^*}$  is applicable at  $c^s$ ,  $\text{concr}(\sigma^s) \in A^{f^*}$  is applicable at  $c^f$ .
- $\text{simpl}(\text{concr}(\sigma^s)) = \sigma^s$
- For  $\sigma^s \in A^{s^*}$  applicable at  $c^s$ , for any  $p \in P$  and  $\ell \in \mathbb{N}$  such that no action  $[p : s \rightarrow \_]$  with  $s \in S_\ell$  appears in  $\sigma^s$ ,  $\text{received}(c^f \star \text{concr}(\sigma^s))(p)(\_)(\ell) = \perp$ .

Extending the function  $\text{concr}$  to a Scott-continuous function on  $\overline{A^{s^*}} \rightarrow \overline{A^{f^*}}$  can then conclude the proof.

The function  $\text{concr} : A^{s^*} \rightarrow A^{f^*}$  is defined inductively as follow:

- $\text{concr}(\varepsilon) = \varepsilon$ . This clearly satisfies the requirements.
- For  $\sigma^s \cdot [p : s \rightarrow s'] \in A^{s^*}$ , suppose inductively that  $\text{concr}(\sigma^s)$  is defined and fulfill the requirements. Additionally, suppose that  $\sigma^s \cdot [p : s \rightarrow s']$  is applicable at  $c^s$ . Let  $\ell \in \mathbb{N}$  be such that  $s \in S_\ell$ . Then there cannot be any action in  $\sigma^s$  of type  $[p : s'' \rightarrow \_]$  with  $s'' \in S_\ell$ . Therefore, by induction hypothesis,  $\text{received}(c^f \star \text{concr}(\sigma^s))(p)(\_)(\ell) = \perp$ . The Lemma

above can therefore be used to construct  $\sigma^f \in A^{f^*}$  applicable at  $c^f \star \text{concr}(\sigma^s)$ . Setting  $\text{concr}(\sigma^s \cdot [p : s \rightarrow s']) = \text{concr}(\sigma^s) \cdot \sigma^f$  then fulfills the requirements.

The theorem is therefore proven when  $\sigma^s$  is finite. Consider now an infinite schedule  $\sigma^s \in \overline{A^{s^*}}$ . Then consider  $\sigma^f = \bigsqcup \{\text{concr}(\sigma_t^s) \mid t \in \mathbb{N}\}$ . By Lemma 3,  $\sigma^f$  is applicable at  $c^f$  (because all the  $\text{concr}(\sigma_t^s)$  are). Moreover, by continuity,

$$\begin{aligned} \text{simpl}(\sigma^f) &= \text{simpl}(\bigsqcup \{\text{concr}(\sigma_t^s) \mid t \in \mathbb{N}\}) \\ &= \bigsqcup \text{simpl}(\{\text{concr}(\sigma_t^s) \mid t \in \mathbb{N}\}) \\ &= \bigsqcup \{\text{simpl}(\text{concr}(\sigma_t^s)) \mid t \in \mathbb{N}\} \\ &= \bigsqcup \{\sigma_t^s \mid t \in \mathbb{N}\} \\ &= \sigma^s \end{aligned}$$

Proposition 10 then allows us to conclude. ◀

### Proof of Theorem 15

► **Theorem 15.** *Let  $c^s, c^{s'} \in C^s$  be two succinct configurations such that  $c^s$  is coherent. Then the following statements are equivalent:*

- $c^s \sqsubseteq c^{s'}$  and  $c^{s'}$  is coherent.
- There exists a (possibly infinite) schedule  $\sigma^s \in \overline{A^{s^*}}$  applicable at  $c^s$  such that  $c^s \star \sigma^s = c^{s'}$ .

**Proof.** The two implications will be shown separately. Beginning with the reciprocal, one needs to show that for a coherent configuration  $c^s \in C^s$  and an applicable schedule  $\sigma^s \in \overline{A^{s^*}}$ , if  $c^{s'} = c^s \star \sigma^s$  then  $c^s \sqsubseteq c^{s'}$  and  $c^{s'}$  is coherent.

The fact that  $c^s \sqsubseteq c^{s'}$  is immediate. Only the coherence of  $c^{s'}$  needs to be proven by induction on the schedule  $\sigma^s$ .

- If  $\sigma^s = \varepsilon$ , then  $c^{s'} = c^s$  and the result holds.
- Suppose that the result holds for a finite schedule  $\sigma^s \in A^{s^*}$ . Consider an action  $[p : s \rightarrow s'] \in A^s$ , and suppose that  $\sigma^s \cdot [p : s \rightarrow s']$  is applicable at  $c^s$ .

Then  $\sigma^s$  is also applicable at  $c^s$  and by induction hypothesis,  $c^{s''} = c^s \star \sigma^s$  is coherent. As  $c^{s'} = c^s \star (\sigma^s \cdot [p : s \rightarrow s']) = (c^s \star \sigma^s) \star [p : s \rightarrow s']$ , the equality  $c^{s'} = c^{s''} \star [p : s \rightarrow s']$  holds.

Define  $\ell = |c^{s''}(p)| - 1$ . Consider  $p' \in P$  and  $\ell' \in \mathbb{N}$  such that  $c^{s'}(c^{s'})(\ell') \neq \perp$  and  $c^{s'}(c^{s'})(\ell' + 1) \neq \perp$ .

If  $\ell' = \ell$  and  $p' = p$ , then the fact that  $[p : s \rightarrow s']$  is enabled at  $c^{s''}$  implies:

- $DTScs''(p)(\ell) = s$
- $c^{s''}(\_)(\ell) \in \text{guard}(s, s')$

Moreover,  $c^{s''}(\_)(\ell) = c^{s'}(\_)(\ell)$  and  $c^{s'}(p)(\ell) = s'$ . Therefore,

$$c^{s'}(\_)(\ell) \in \uparrow \text{guard}(c^s(p)(\ell), c^s(p)(\ell + 1))$$

Else, either  $\ell \neq \ell'$  or  $p \neq p'$ . In this case,  $c^{s'}(p')(\ell' + 1) = c^{s''}(p')(\ell' + 1) \neq \perp$ . This means that  $c^{s''}(p')(\ell') \neq \perp$  and therefore, as  $c^{s''} \sqsubseteq c^{s'}$ , that  $c^{s''}(p')(\ell') = c^{s'}(p')(\ell')$ . The induction hypothesis then implies:

$$c^{s''}(\_)(\ell') \in \uparrow \text{guard}(c^{s'}(p')(\ell'), c^s(p')(\ell' + 1))$$

As  $c^{s''}(\_)(\ell') \sqsubseteq c^{s'}(\_)(\ell')$  (with inequality only if  $\ell' = \ell + 1$ ), the final result is:

$$c^{s'}(\_)(\ell') \in \uparrow \text{guard}(c^{s'}(p')(\ell'), c^s(p')(\ell' + 1))$$

Therefore,  $c^{s'}$  is coherent.



- Suppose  $\sigma^s$  infinite,  $c^{s'}$  can be expressed as the least upper bound of the configurations in  $\text{configs}(c^s, \sigma^s)$ . The final case showed that every configuration in  $\text{configs}(c^s, \sigma^s)$  is coherent.

Consider  $p \in P$  and  $\ell \in \mathbb{N}$ , suppose that  $c^{s'}(p)(\ell) = s \neq \perp$  and that  $c^{s'}(p)(\ell + 1) = s' \neq \perp$ .

Then there exists  $c^{s''} \in \text{configs}(c^s, \sigma^s)$  such that  $c^{s''}(p)(\ell) = s$  and  $c^{s''}(p)(\ell + 1) = s'$ .

As  $c^{s''}$  is coherent, this means that  $c^{s''}(\_)(\ell) \in \uparrow \text{guard}(s, s')$ .

But  $c^{s''}(\_)(\ell) \sqsubseteq c^{s'}(\_)(\ell)$  and therefore:

$$c^{s'}(\_)(\ell) \in \uparrow \text{guard}(s, s')$$

Therefore,  $c^{s'}$  is coherent.

For the direct implication, one need to show that for two configurations  $c^s, c^{s'} \in C^s$ , if both configurations are coherent and  $c^s \sqsubseteq c^{s'}$ , then there exists a schedule  $\sigma^s$  applicable at  $c^s$  such that  $c^s * \sigma^s = c^{s'}$ .

Let  $n = \rho(n)$  be the number of processes.

This proof will use a notion of *similarity* between configurations that is expressed below:

$$\text{sim}_{c^s} : \downarrow c^s \rightarrow ((\mathbb{N} \times \{0 \dots n - 1\}) \cup \{\top\}, \leq)$$

Such that  $\text{sim}_{c^s}(c^s) = \top$  and for  $c^{s'} \sqsubseteq c^s$  with  $c^{s'} \neq c^s$ ,  $\text{sim}_{c^s}(c^{s'}) = (\ell, k)$  where:

- $\ell = \max \{ \ell' \in \mathbb{N} \mid c^{s'}(\_)(\ell') = c^s(\_)(\ell') \}$
- $k = \left| \{ p \in P \mid c^{s'}(p)(\ell + 1) = c^s(p)(\ell + 1) \} \right|$

The second component is indeed always strictly lower than  $n$  as  $k = n$  implies  $c^{s'}(\_)(\ell + 1) = c^s(\_)(\ell + 1)$  which contradicts the definition of  $\ell$ .

The set  $((\mathbb{N} \times \{1 \dots n\}) \cup \{\top\}, \leq)$  is *totally ordered* such that  $(\ell, k) \leq (\ell', k')$  if either  $\ell < \ell'$  or there is both  $\ell = \ell'$  and  $k \leq k'$ . The element  $\top$  is then added as the maximum.

The proof will use the following lemmas:

► **Lemma B.2.** For any  $c^s \in C^s$ ,  $\text{sim}_{c^s}$  is Scott-continuous.

**Proof.** ■ First, the monotony. Consider  $c^{s'} \sqsubseteq c^{s''} \sqsubseteq c^s$ . If  $c^{s''} = c^s$ , then  $\text{sim}_{c^s}(c^{s''}) = \top$  and immediately  $\text{sim}_{c^s}(c^{s'}) \leq \text{sim}_{c^s}(c^{s''})$ .

Suppose now that  $c^{s''} \neq c^s$ . Then also  $c^{s'} \neq c^s$ .

Take  $\ell' \in \mathbb{N}$  such that  $c^{s'}(\_)(\ell') = c^s(\_)(\ell')$ . Then there is both  $c^{s'}(\_)(\ell') \sqsubseteq c^{s''}(\_)(\ell')$  and  $c^{s''}(\_)(\ell') \sqsubseteq c^s(\_)(\ell')$ . Therefore,  $c^s(\_)(\ell') = c^{s''}(\_)(\ell')$ .

This gives  $\{ \ell' \in \mathbb{N} \mid c^s(\_)(\ell') = c^{s'}(\_)(\ell') \} \subset \{ \ell' \in \mathbb{N} \mid c^s(\_)(\ell') = c^{s''}(\_)(\ell') \}$  and therefore,  $\max \{ \ell' \in \mathbb{N} \mid c^s(\_)(\ell') = c^{s'}(\_)(\ell') \} \leq \max \{ \ell' \in \mathbb{N} \mid c^s(\_)(\ell') = c^{s''}(\_)(\ell') \}$ .

If the previous inequality is strict, then immediately  $\text{sim}_{c^s}(c^{s'}) \leq \text{sim}_{c^s}(c^{s''})$ . In the other case, let  $\ell = \max \{ \ell' \in \mathbb{N} \mid c^s(\_)(\ell') = c^{s'}(\_)(\ell') \}$  be the common first component of  $\text{sim}_{c^s}(c^{s'})$  and  $\text{sim}_{c^s}(c^{s''})$ . Take  $p \in P$  such that  $c^{s'}(p)(\ell + 1) = c^s(p)(\ell + 1)$ . As  $c^{s'}(p)(\ell + 1) \sqsubseteq c^{s''}(p)(\ell + 1) \sqsubseteq c^s(p)(\ell + 1)$ , this implies  $c^{s''}(p)(\ell + 1) = c^s(p)(\ell + 1)$ .

Therefore,  $\left| \{ p \in P \mid c^{s'}(p)(\ell + 1) = c^s(p)(\ell + 1) \} \right| \leq \left| \{ p \in P \mid c^{s''}(p)(\ell + 1) = c^s(p)(\ell + 1) \} \right|$  because of the inclusion of the left hand set in the right hand one.

Finally, in every case,  $\text{sim}_{c^s}(c^{s'}) \leq \text{sim}_{c^s}(c^{s''})$ .

- Consider  $X \sqsubseteq c^s$  a directed set. The monotony of  $\text{sim}_{c^s}$  implies  $\sqcup \text{sim}_{c^s}(X) \leq \text{sim}_{c^s}(\sqcup X)$ . The other inequality is proven as follow.

If  $\text{sim}_{c^s}(\sqcup X) = (\ell, k) \neq \top$ , then  $\text{sim}_{c^s}(X) \sqsubseteq \downarrow (\ell, k)$  which is finite ( $\ell \times n + k$  elements).

Therefore, there exists  $c^{s'} \in X$  such that  $\sqcup \text{sim}_{c^s}(X) = \text{sim}_{c^s}(c^{s'})$ . Hence,  $\sqcup \text{sim}_{c^s}(X) \leq$

$\sqcup_{c^{s''} \in X} \text{sim}_{c^s}(c^{s''})$  which concludes this case.

If  $\text{sim}_{c^s}(\sqcup X) = \top$ , then  $\sqcup X = c^s$ . This means that for any  $(p, \ell) \in P \times \mathbb{N}$ , there exists  $c^s_{p, \ell} \in X$  such that  $c^s_{p, \ell}(p)(\ell) = c^s(p)(\ell)$ . For  $\ell \in \mathbb{N}$ , define  $c^{s'}$  as a common upper bound of the *finite set*  $\{c^s_{p, \ell'} \mid p \in P, \ell' \leq \ell\} \subset X$  (recall that  $X$  is directed). Then, for any  $p \in P$  and  $\ell' < \ell$ ,  $c^{s'}(p)(\ell') = c^s(p)(\ell')$ . And therefore,  $(\ell, 0) \leq \text{sim}_{c^s}(c^{s'})$ .

This means that for any  $\ell \in \mathbb{N}$ , there exists  $c^{s'} \in X$  such that  $(\ell, 0) \leq \text{sim}_{c^s}(c^{s'})$ . This implies that  $(\ell, 0) \leq \sqcup \text{sim}_{c^s}(X)$ . As this holds for any  $\ell \in \mathbb{N}$ ,  $\sqcup_{\ell \in \mathbb{N}}(\ell, 0) \leq \sqcup \text{sim}_{c^s}(X)$ .

But  $\sqcup_{\ell \in \mathbb{N}}(\ell, 0) = \top = \text{sim}_{c^s}(\sqcup X)$  which concludes the proof.  $\blacktriangleleft$

► **Lemma B.3.** *Consider two coherent configurations  $c^s \sqsubseteq c^{s'} \in C^s$  with  $c^s \neq c^{s'}$ . Then there exists  $a^s \in A^s$  such that:*

- $c^s \in \text{dom}(a^s)$
- $c^s \star a^s \sqsubseteq c^{s'}$
- $\text{sim}_{c^{s'}}(c^s) < \text{sim}_{c^{s'}}(c^s \star a^s)$

**Proof.** Take  $(\ell, k) = \text{sim}_{c^{s'}}(c^s) \neq \top$ . There exists  $p \in P$  such that  $c^s(p)(\ell+1) = \perp \neq c^{s'}(p)(\ell+1)$ . Define:

- $s = c^s(p)(\ell) = c^{s'}(p)(\ell) \neq \perp$
- $s' = c^{s'}(p)(\ell+1) \neq \perp$
- $a^s = [p : s \rightarrow s']$

Because  $c^s(\_)(\ell) = c^{s'}(\_)(\ell)$ , and because  $c^{s'}(\_)(\ell)$  is coherent,

$$c^s(\_)(\ell) \in \uparrow \text{guard}(s)(s')$$

Therefore,  $c^s \in \text{dom}(a^s)$ .

Additionally,  $(c^s \star a^s)(p)(\ell+1) \sqsubseteq c^{s'}(p)(\ell+1)$ , and as the rest of  $c^s$  is left unchanged by the action of  $a^s$ ,  $(c^s \star a^s) \sqsubseteq c^{s'}$ .

Finally,  $(c^s \star a^s)(p)(\ell+1) = c^{s'}(p)(\ell+1)$  while  $(c^s)(p)(\ell+1) \neq c^{s'}(p)(\ell+1)$ . Then there are two cases:

- Either  $(c^s \star a^s)(\_)(\ell+1) = c^{s'}(\_)(\ell+1)$  in which case  $\text{sim}_{c^{s'}}(c^s \star a^s) > (\ell, n-1) \geq \text{sim}_{c^{s'}}(c^s)$ .
- Or there still exists  $p' \in P$  such that  $(c^s \star a^s)(p')(\ell+1) \neq c^{s'}(p')(\ell+1)$ . In this case,  $k < n-1$  and  $\text{sim}_{c^{s'}}(c^s \star a^s) = (\ell, k+1) > \text{sim}_{c^{s'}}(c^s)$ .  $\blacktriangleleft$

Back to the proof of the theorem itself, consider two coherent configurations  $c^s \sqsubseteq c^{s'}$ . Define the set of finite schedules:

$$X_{c^s, c^{s'}} = \left\{ \sigma^s \in A^{s*} \left| \begin{array}{l} c^s \in \text{dom}(\sigma^s) \\ c^s \star \sigma^s \sqsubseteq c^{s'} \end{array} \right. \right\}$$

If there exists  $\sigma^s \in X_{c^s, c^{s'}}$  such that  $c^s \star \sigma^s = c^{s'}$ , then the proof is finished. Suppose that for any  $\sigma^s \in X_{c^s, c^{s'}}$ ,  $c^s \star \sigma^s \neq c^{s'}$ .

Then  $X_{c^s, c^{s'}}$  is non empty as  $\varepsilon \in X_{c^s, c^{s'}}$ . Moreover, for any  $\sigma^s \in X_{c^s, c^{s'}}$ ,  $c^s \star \sigma^s$  is coherent (previous proof) and Lemma B.3 is therefore applicable for the pair of configurations  $c^s \star \sigma^s \sqsubseteq c^{s'}$ . This gives an action  $a^s \in A^s$  applicable at  $c^s \star \sigma^s$  such that  $\text{sim}_{c^{s'}}(c^s \star \sigma^s) < \text{sim}_{c^{s'}}((c^s \star \sigma^s) \star a^s)$ . This in turn prove that  $\sigma^s \cdot a^s \in X_{c^s, c^{s'}}$ .

Therefore  $X_{c^s, c^{s'}}$  contains an infinite chain  $(\sigma^s_i)_{i \in \mathbb{N}}$  such that for any  $i \in \mathbb{N}$ ,  $\text{sim}_{c^{s'}}(c^s \star \sigma^s_i) < \text{sim}_{c^{s'}}(c^s \star \sigma^s_{i+1})$ . Define  $\sigma^s = \sqcup \{\sigma^s_i \mid i \in \mathbb{N}\}$ . Consider  $(\ell, k) \in \mathbb{N} \times \{0 \dots n-1\}$ . As  $\downarrow(\ell, k)$  is finite, there exists  $i \in \mathbb{N}$  such that  $(\ell, k) \leq \text{sim}_{c^{s'}}(c^s \star \sigma^s_i)$ . Therefore,

$$\begin{aligned}
(\ell, k) &\leq \bigsqcup_{i \in \mathbb{N}} \text{sim}_{c^{s'}}(c^s \star \sigma^s_i) \\
&\leq \text{sim}_{c^{s'}}(\bigsqcup \{c^s \star \sigma^s_i \mid i \in \mathbb{N}\}) && \text{Lemma B.2} \\
&\leq \text{sim}_{c^{s'}}(c^s \star (\bigsqcup \{\sigma^s_i \mid i \in \mathbb{N}\})) && \text{Lemma 3} \\
&\leq \text{sim}_{c^{s'}}(c^s \star \sigma^s)
\end{aligned}$$

As this hold for any  $(\ell, k) \in \mathbb{N} \times \{0 \dots n-1\}$ , we derive  $\text{sim}_{c^{s'}}(c^s \star \sigma^s) = \top$ . In turn this means that  $c^s \star \sigma^s = c^{s'}$  and the proof is complete.  $\blacktriangleleft$

## B.2 Proofs of Section 3.3

### Proof of Proposition 17

► **Proposition 17.** *The mappings  $\text{count}_{C_\rho^s} : C_\rho^s \rightarrow C_\rho$  and  $\text{count}_{A_\rho^s} : A_\rho^{s*} \rightarrow A^*$  define an abstraction from the POTS  $(C_\rho^s, \sqsubseteq, A_\rho^s)$  to the counter POTS  $(C_\rho, \sqsubseteq, A)$ .*

First, the following lemma needs to be proven.

► **Lemma B.4.** *For any  $s, s' \in S_\ell \times S_{\ell+1}$ ,  $\text{guard}_\rho(s, s')$  is an upper set.*

**Proof.** The main argument for this proof is the monotony of the guards of an LTA.

Consider  $x \in \uparrow \text{guard}_\rho(s, s')$ , this means that there exists  $y \in [P_\rho \rightarrow S^\perp]$  with  $y \sqsubseteq x$  such that  $y \in \text{guard}_\rho(s, s')$ .

Therefore,  $\rho, [s'' \mapsto |y^{-1}(\{s''\})|] \models \text{guard}(s, s')$ .

The inequality  $y \sqsubseteq x$  implies that whenever  $y(s'') \neq \perp$ ,  $x(s'') = y(s'')$ . Therefore, for any  $s'' \in S_\ell$ ,  $y^{-1}(\{s''\}) \subset x^{-1}(\{s''\})$  and finally,

$$[s'' \mapsto |y^{-1}(\{s''\})|] \leq [s'' \mapsto |x^{-1}(\{s''\})|]$$

The monotony of  $\text{guard}(s, s')$  then implies  $\rho, [s'' \mapsto |x^{-1}(\{s''\})|] \models \text{guard}(s, s')$  and therefore,  $x \in \text{guard}_\rho(s, s')$ .

Finally,  $\uparrow \text{guard}_\rho(s, s') \subset \text{guard}_\rho(s, s')$  which concludes the proof.  $\blacktriangleleft$

**Proof of Proposition 17.** The verification that  $\text{count}_{C_\rho^s}(c^s_\rho) \in C_\rho$  is immediate.

The rest of the proof consists in checking that the conditions of Definition 4 are met. The following lemma shows useful to prove the continuity of the abstraction:

► **Lemma B.5.** *For any  $\ell \in \mathbb{N}$ ,  $s \in S_\ell$  and  $s' \in S_{\ell+1}$ , the following functions are monotonous:*

$$\begin{aligned}
\varphi_s : (C^s, \sqsubseteq) &\rightarrow (2^{P_\rho}, \sqsubseteq) \\
c^s &\mapsto \{p \in P_\rho \mid c^s(p)(\ell) = s\}
\end{aligned}$$

$$\begin{aligned}
\psi_{s, s'} : (C^s, \sqsubseteq) &\rightarrow (2^{P_\rho}, \sqsubseteq) \\
c^s &\mapsto \{p \in P_\rho \mid c^s(p)(\ell) = s \text{ and } c^s(p)(\ell+1) = s'\}
\end{aligned}$$

**Proof.** The arguments for both functions are the same. Only the monotony of  $\varphi_s$  will be detailed.

Consider  $c^s, c^{s'} \in C^s$ , suppose  $c^s \sqsubseteq c^{s'}$ . Then, for any  $p \in P_\rho$ , if  $c^s(p)(\ell) = s \neq \perp$ , then  $c^{s'}(p)(\ell) = s$  as well. Therefore,  $\varphi_s(c^s) \subseteq \varphi_s(c^{s'})$  and  $\varphi_s$  is monotonous.  $\blacktriangleleft$

- The main difficulty of the proof is to show that  $\text{count}_{C_\rho^s} : C_\rho^s \rightarrow C_\rho$  is Scott-continuous. This can be shown by proving separately that the three following functions are Scott-continuous:

$$[\text{param} \circ \text{count}_{C_\rho^s}] : (C_\rho^s, \sqsubseteq) \rightarrow (\mathbb{N}^R, =)$$

$$c^s \mapsto \rho$$

$$[\kappa \circ \text{count}_{C_\rho^s}] : (C_\rho^s, \sqsubseteq) \rightarrow (\mathbb{N}^{\cup_{\ell \in \mathbb{N}} S_\ell}, \leq)$$

$$c^s \mapsto [s \in S_\ell \mapsto |\{p \in P_\rho \mid c^s(p)(\ell) = s\}|]$$

$$[\text{flow} \circ \text{count}_{C_\rho^s}] : (C_\rho^s, \sqsubseteq) \rightarrow (\mathbb{N}^{\cup_{\ell \in \mathbb{N}} S_\ell \times S_{\ell+1}}, \leq)$$

$$c^s \mapsto \left[ s, s' \in S_\ell \times S_{\ell+1} \mapsto \left\{ p \in P_\rho \mid \begin{array}{l} c^s(p)(\ell) = s \\ c^s(p)(\ell+1) = s' \end{array} \right\} \right]$$

The function  $[\text{param} \circ \text{count}_{C_\rho^s}]$  is constant and therefore immediately Scott-continuous. The justification of the continuity of  $[\kappa \circ \text{count}_{C_\rho^s}]$  and  $[\text{flow} \circ \text{count}_{C_\rho^s}]$  use the same arguments. The following details the case of  $[\kappa \circ \text{count}_{C_\rho^s}]$ .

Consider  $c^s, c^{s'} \in C_\rho^s$ , consider  $\ell \in \mathbb{N}$  and  $s \in S_\ell$ . The monotony of  $\varphi_s$  given by Lemma B.5 implies:

$$\{p \in P_\rho \mid c^s(p)(\ell) = s\} \subset \{p \in P_\rho \mid c^{s'}(p)(\ell) = s\}$$

and therefore,

$$[\kappa \circ \text{count}_{C_\rho^s}](c^s)(s) \leq [\kappa \circ \text{count}_{C_\rho^s}](c^{s'})(s)$$

This prove that  $[\kappa \circ \text{count}_{C_\rho^s}]$  is monotonous.

Consider  $X \subset C_\rho^s$  a directed set. The monotony of  $[\kappa \circ \text{count}_{C_\rho^s}]$  shows that  $[\kappa \circ \text{count}_{C_\rho^s}](X)$  is a directed set and that

$$\sqcup [\kappa \circ \text{count}_{C_\rho^s}](X) \sqsubseteq [\kappa \circ \text{count}_{C_\rho^s}](\sqcup X)$$

The dual inequality is justified in the following.

Consider  $\ell \in \mathbb{N}$  and  $s \in S_\ell$ , and observe that

$$[\kappa \circ \text{count}_{C_\rho^s}](\sqcup X)(s) \leq |P_\rho| < \infty$$

This implies that for any  $s \in S$ , there exists  $c^s \in X$  such that:

$$[\kappa \circ \text{count}_{C_\rho^s}](\sqcup X)(s) \leq [\kappa \circ \text{count}_{C_\rho^s}](c^s)(s)$$

Therefore,

$$[\kappa \circ \text{count}_{C_\rho^s}](\sqcup X)(s) \leq \sqcup \{[\kappa \circ \text{count}_{C_\rho^s}](c^s)(s) \mid c^s \in X\}$$

Finally, as this hold for any  $s \in S$ ,

$$[\kappa \circ \text{count}_{C_\rho^s}](\sqcup X) \sqsubseteq \sqcup [\kappa \circ \text{count}_{C_\rho^s}](X)$$

which concludes this part of the proof.

- Consider  $[p : s \rightarrow s'] \in A^s_\rho$  with  $s \in S_\ell$ . Consider  $c^s \in \text{dom}([p : s \rightarrow s'])$ . This means that:
  - $c^s(p)(\ell) = c^s$
  - $c^s(p)(\ell + 1) = \perp$
  - $c^s(\_)(\ell) \in \uparrow\text{guard}_\rho(s, s')$

$$\begin{aligned}
 \sum_{s'' \in S_{\ell+1}} \text{flow}(\text{count}(c^s))(s, s'') &= \sum_{s'' \in S_{\ell+1}} \left| \left\{ p' \in P_\rho \mid \begin{array}{l} c^s(p')(\ell) = s \\ c^s(p')(\ell + 1) = s'' \end{array} \right\} \right| \\
 &= \left| \left\{ p' \in P_\rho \mid \begin{array}{l} c^s(p')(\ell) = s \\ c^s(p')(\ell + 1) \neq \perp \end{array} \right\} \right| && c^s(p')(\ell + 1) \in S_{\ell+1} \cup \{\perp\} \\
 &= \left| \left\{ p' \in P_\rho, p' \neq p \mid \begin{array}{l} c^s(p')(\ell) = s \\ c^s(p')(\ell + 1) \neq \perp \end{array} \right\} \right| && c^s(p)(\ell + 1) = \perp \\
 &\leq |\{p' \in P_\rho, p' \neq p \mid c^s(p')(\ell) = s\}| \\
 &\leq |\{p' \in P_\rho, |c^s(p')(\ell) = s\}| - 1 && c^s(p)(\ell) = s \\
 &\leq \kappa(c^s)(s) - 1
 \end{aligned}$$

Therefore,  $\sum_{s'' \in S_{\ell+1}} \text{flow}(\text{count}_{C_\rho^s}(c^s))(s, s'') < \kappa(\text{count}_{C_\rho^s}(c^s))(s)$ .

Lemma B.4 implies that the hypothesis  $c^s(\_)(\ell) \in \uparrow\text{guard}_\rho(s, s')$  can be simplified to  $c^s(\_)(\ell) \in \text{guard}_\rho(s, s')$  which in turn means that

$$\begin{aligned}
 &c^s(\_)(\ell) \in \text{guard}_\rho(s, s') \\
 &\rho, [s'' \mapsto \{p \in P_\rho \mid c^s(p)(\ell) = s''\}] \models \text{guard}(s, s') \\
 &\text{param}(\text{count}_{C_\rho^s}(c^s)), \kappa(\text{count}_{C_\rho^s}(c^s)) \models \text{guard}(s, s')
 \end{aligned}$$

Therefore,  $\text{count}_{A_\rho^s}([p : s \rightarrow s']) = [s \rightarrow s']$  is enabled at  $\text{count}_{C_\rho^s}(c^s)$ .

- Clearly,

$$\text{param}(\text{count}_{C_\rho^s}(c^s \star [p : s \rightarrow s'])) = \text{param}(\text{count}_{C_\rho^s}(c^s) \star [s \rightarrow s']) = \rho$$

Moreover, for  $\ell'' \in \mathbb{N}$  and  $s'' \in S_{\ell''}$

$$\begin{aligned}
 &\kappa(\text{count}_{C_\rho^s}(c^s \star [p : s \rightarrow s']))(s'') \\
 &= |\{p' \in P_\rho \mid [c^s \star [p : s \rightarrow s']](p')(\ell'') = s''\}| \\
 &= |\{p' \in P_\rho \setminus \{p\} \mid [c^s \star [p : s \rightarrow s']](p')(\ell'') = s''\}| + \mathbb{1}_{s'}(s'') \\
 &= |\{p' \in P_\rho \mid c^s(p')(\ell'') = s''\}| + \mathbb{1}_{s'}(s'') \\
 &= \kappa(\text{count}_{C_\rho^s}(c^s) \star [s \rightarrow s'])(s'')
 \end{aligned}$$

Therefore,

$$\kappa(\text{count}_{C_\rho^s}(c^s \star [p : s \rightarrow s'])) = \kappa(\text{count}_{C_\rho^s}(c^s) \star [s \rightarrow s'])$$

The same method can be used to show that

$$\text{flow}(\text{count}_{C_\rho^s}(c^s \star [p : s \rightarrow s'])) = \text{flow}(\text{count}_{C_\rho^s}(c^s) \star [s \rightarrow s'])$$

Finally,

$$\text{count}_{C_\rho^s}(c^s \star [p : s \rightarrow s']) = \text{count}_{C_\rho^s}(c^s) \star [s \rightarrow s']$$

Which concludes the proof. ◀

**Proof of Theorem 19**

► **Theorem 19.** *Let  $c, c' \in C_\rho$  be two configurations such that  $c$  is counter coherent. Then the following statements are equivalent:*

- $c \sqsubseteq c'$  and  $c'$  is counter coherent;
- There exists a (possibly infinite) schedule  $\sigma \in \overline{A^*}$  applicable at  $c$  such that  $c \star \sigma = c'$ .

We first state and prove the following proposition, that can be interesting on its own.

► **Proposition B.6.** *For every  $c^s \in C_\rho^s$  and  $c' \in C_\rho$ :*

1.  $c^s \in C_\rho^s$  is coherent if and only if  $\text{count}_{C_\rho^s}(c^s) \in C_\rho$  is counter-coherent;
2. if  $\text{count}_{C_\rho^s}(c^s) \sqsubseteq c'$ , then there exists  $c^{s'} \in C_\rho^s$  such that  $\text{count}_{C_\rho^s}(c^{s'}) = c'$  and  $c^s \sqsubseteq c^{s'}$ ;
3. the mapping  $\text{count}_{C_\rho^s} : C_\rho^s \rightarrow C_\rho$  is surjective.

**Proof.** 1. Suppose that  $c^s \in C_\rho^s$  is consistant. Take  $\ell \in \mathbb{N}$ ,  $s \in S_\ell$  and  $s' \in S_{\ell+1}$ . Suppose that

$$\text{flow}(\text{count}_{C_\rho^s}(c^s))(s, s') > 0$$

By definition of  $\text{flow}(\text{count}_{C_\rho^s}(c^s))(s, s')$ :

$$\left| \left\{ p \in P_\rho \left| \begin{array}{l} c^s(p)(\ell) = s \\ c^s(p)(\ell+1) = s' \end{array} \right. \right\} \right| > 0$$

Therefore, there exists  $p \in P_\rho$  such that:

$$\begin{aligned} c^s(p)(\ell) &= s \\ c^s(p)(\ell+1) &= s' \end{aligned}$$

As  $c^s$  is consistant, this means that

$$\begin{aligned} c^s(\_)(\ell) &\in \uparrow \text{guard}_\rho(s, s') \\ c^s(\_)(\ell) &\in \text{guard}_\rho(s, s') && \text{Lemma B.4} \\ \rho, [s'' \mapsto |\{p \in P_\rho \mid c^s(p)(\ell) = s''\}|] &\models \text{guard}(s, s') \\ \text{param}(\text{count}_{C_\rho^s}(c^s), \kappa(\text{count}_{C_\rho^s}(c^s))) &\models \text{guard}(s, s') \end{aligned}$$

As this hold for any  $s, s'$  with  $\text{flow}(\text{count}_{C_\rho^s}(c^s))(s, s') > 0$ , this means that  $\text{count}_{C_\rho^s}(c^s)$  is flow-consistant.

The converse can be shown in a similar fashion.

2. Consider the function

$$\begin{aligned} \text{sim}_{c'} : (\downarrow c', \sqsubseteq) &\rightarrow ((\mathbb{N} \times \{0 \dots \rho(\mathbf{n}) - 1\}) \cup \top, \leq) \\ c^s &\mapsto \begin{cases} \top & \text{if } c = c' \\ (\ell, k) & \text{otherwise} \end{cases} \end{aligned}$$

With:

$$\begin{aligned} \ell &= \max \{ \ell' \in \mathbb{N} \mid \forall s \in S_{\ell'}, \kappa(c)(s) = \kappa(c')(s) \} \\ k &= \sum_{s \in S_{\ell+1}} \kappa(c)(s) \end{aligned}$$

The set  $((\mathbb{N} \times \{0 \dots \rho(\mathbf{n}) - 1\}) \cup \top, \leq)$  is *totally ordered* with  $(\ell, k) \leq \top$  for any element  $(\ell, k)$ , and  $(\ell, k) \leq (\ell', k')$  if either:

- $\ell < \ell'$
- $\ell = \ell'$  and  $k \leq k'$

Then the following observations can be made:

- The integer  $\ell$  is well defined. Indeed, for any  $c \in C$ , suppose that  $c \sqsubseteq c'$  and  $\kappa(c) = \kappa(c')$ . Consider  $\ell' \in \mathbb{N}$ , and  $s' \in S_{\ell'+1}$ . The flow conditions of  $c$  and  $c'$  then implies,  $\sum_{s \in S_{\ell'}} \text{flow}(c)(s, s') = \sum_{s \in S_{\ell'}} \text{flow}(c')(s, s')$ . Moreover, for any  $s \in S_{\ell}$ ,  $0 \leq \text{flow}(c)(s, s') \leq \text{flow}(c')(s, s')$ . These conditions implies  $\text{flow}(c)(s, s') = \text{flow}(c')(s, s')$  and therefore,  $c = c'$ .
- The function  $\text{sim}_{c'}$  is monotonous. Consider  $c \sqsubseteq c'' \sqsubseteq c'$ .  
If  $\text{sim}_{c'}(c'') = \top$ , then the proof is complete.  
Else,  $\text{sim}_{c'}(c'') = (\ell'', k'')$  and  $c'' \neq c'$ . As  $c \sqsubseteq c''$ , this implies that  $\text{sim}_{c'}(c'') \neq \top$  as well. Set  $\text{sim}_{c'}(c) = (\ell, k)$ . Observe that  $\kappa(c)(s) = \kappa(c')(s)$  implies  $\kappa(c'')(s) = \kappa(c')(s)$  for any  $s \in S$ . Therefore,  $\ell \leq \ell''$ .  
Suppose  $\ell = \ell''$ . In this case,  $\kappa(c) \sqsubseteq \kappa(c'')$  implies  $k \leq k''$  which concludes the proof.
- Define  $X = \{c^s \in C^s \mid \text{count}_{C_\rho^s}(c^s) \sqsubseteq c'\}$ . Then, for any  $c^s \in X$  with  $\text{sim}_{c'}(\text{count}_{C_\rho^s}(c^s)) < \top$ , there exists  $c^{s'} \in X$  such that  $c^s \sqsubseteq c^{s'}$  and  $\text{sim}_{c'}(\text{count}_{C_\rho^s}(c^s)) < \text{sim}_{c'}(\text{count}_{C_\rho^s}(c^{s'}))$ .  
Indeed, define  $(\ell, k) = \text{sim}_{c'}(\text{count}_{C_\rho^s}(c^s))$ . Then there exists  $s' \in S_{\ell+1}$  such that  $\kappa(\text{count}_{C_\rho^s}(c^s))(s') < \kappa(c')(s')$ . Therefore, there exists  $s \in S_\ell$  such that  $\text{flow}(\text{count}_{C_\rho^s}(c^s))(s, s') < \text{flow}(c')(s, s')$ . Then:

$$\begin{aligned} \sum_{s'' \in S_{\ell+1}} \text{flow}(\text{count}_{C_\rho^s}(c^s))(s, s'') &< \sum_{s'' \in S_{\ell+1}} \text{flow}(c)(s, s'') \\ &< \kappa(LTA c)(s) && \text{Flow conditions on } c \\ &< \kappa(\text{count}_{C_\rho^s}(c^s))(s) && \text{Definition of } \ell \end{aligned}$$

This means that in  $c^s$ , some process have not yet left  $s$ . Therefore, there exists  $p \in P_\rho$  such that  $c^s(p)(\ell) = s$  and  $c^s(p)(\ell + 1) = \perp$ .

Consider  $c^{s'}$  as being equal to  $c^s$  everywhere except for  $c^{s'}(p)(\ell + 1) = s'$ . Clearly  $c^s \sqsubseteq c^{s'}$ .

Moreover,  $\kappa(\text{count}_{C_\rho^s}(c^{s'}))(s') = \kappa(\text{count}_{C_\rho^s}(c^s))(s') + 1 \leq \kappa(c)(s')$  and similarly,  $\text{flow}(\text{count}_{C_\rho^s}(c^{s'}))(s, s') = \text{flow}(\text{count}_{C_\rho^s}(c^s))(s, s') + 1 \leq \text{flow}(c)(s, s')$ . As  $\text{count}_{C_\rho^s}(c^s)$  is equal to  $\text{count}_{C_\rho^s}(c^{s'})$  everywhere else,  $\text{count}_{C_\rho^s}(c^{s'}) \sqsubseteq c'$  and  $c^{s'} \in X$ .

Additionally, consider

$$\begin{aligned} k' &= \sum_{s \in S_{\ell+1}} \kappa(\text{count}_{C_\rho^s}(c^{s'}))(s) \\ &= \sum_{\substack{s \in S_{\ell+1} \\ s \neq s'}} \kappa(\text{count}_{C_\rho^s}(c^s))(s) + \kappa(\text{count}_{C_\rho^s}(c^s))(s) + 1 \\ &= k + 1 \end{aligned}$$

If  $k' < n$ , then  $\text{sim}_{c'}(\text{count}_{C_\rho^s}(c^{s'})) = (\ell, k+1)$  and  $\text{sim}_{c'}(\text{count}_{C_\rho^s}(c^s)) < \text{sim}_{c'}(\text{count}_{C_\rho^s}(c^{s'}))$ .

If not, then  $k' = n$  and the following hold:

$$\begin{aligned} \sum_{s \in S_{\ell+1}} \kappa(\text{count}_{C_\rho^s}(c^{s'}))(s) &= \sum_{s \in S_{\ell+1}} \kappa(c)(s) \\ \forall s \in S_{\ell+1}, \kappa(\text{count}_{C_\rho^s}(c^{s'}))(s) &\leq \kappa(c)(s) \end{aligned}$$



Therefore,

$$\forall s \in S_{\ell+1}, \kappa(\text{count}_{C_\rho^s}(c^{s'}))(s) = \kappa(c)(s)$$

Which means that  $\text{sim}_{c'}(\text{count}_{C_\rho^s}(c^s)) < \text{sim}_{c'}(\text{count}_{C_\rho^s}(c^{s'}))$  as well.

- By contradiction, suppose that for any  $c^s \in X$ ,  $\text{sim}_{c'}(\text{count}_{C_\rho^s}(c^s)) < \top$ . Then the last point shows the existence of an infinite sequence  $(c^{s_i})_{i \in \mathbb{N}} \in X^{\mathbb{N}}$  such that for any  $i \in \mathbb{N}$ ,  $\text{sim}_{c'}(\text{count}_{C_\rho^s}(c^{s_i})) < \text{sim}_{c'}(\text{count}_{C_\rho^s}(c^{s_{i+1}}))$ . Consider  $c^s = \sqcup\{c^{s_i} \mid i \in \mathbb{N}\}$ . As  $X$  is Scott-closed,  $c^s \in X$ . By monotony of  $\text{count}_{C_\rho^s}$  and  $\text{sim}_{c'}$ , for any  $i \in \mathbb{N}$ ,  $\text{sim}_{c'}(\text{count}_{C_\rho^s}(c^{s_i})) \leq \text{sim}_{c'}(\text{count}_{C_\rho^s}(c^s))$ . Moreover, for any  $(\ell, k) \in \mathbb{N} \times \{0 \dots, n-1\}$ ,  $\downarrow(\ell, k)$  is finite, this means that there exists  $i \in \mathbb{N}$  such that  $(\ell, k) \leq \text{sim}_{c'}(\text{count}_{C_\rho^s}(c^{s_i}))$ . Therefore, for any  $(\ell, k) \in \mathbb{N} \times \{0 \dots, n-1\}$ ,  $(\ell, k) \leq \text{sim}_{c'}(\text{count}_{C_\rho^s}(c^s))$ . This proves that  $\text{sim}_{c'}(\text{count}_{C_\rho^s}(c^s)) = \top$ .
- Therefore, there exists  $c^s \in C_\rho^s$  such that  $\text{count}_{C_\rho^s}(c^s) \sqsubseteq c'$  and  $\text{sim}_{c'}(\text{count}_{C_\rho^s}(c^s)) = \top$  which concludes the proof.

3. This point is almost a direct consequence of the previous one. Indeed, for any  $c' \in C_\rho$ , if there exists  $c^s \in C_\rho^s$  such that  $\text{count}_{C_\rho^s}(c^s) \sqsubseteq c'$ , then the proof is finished. However, finding such a  $c^s$  requires to at least define its first layer. This is done in the following. Build a *finite* sequence  $s_0, \dots, s_{k-1} \in S_0$  containing all the states of layer 0 such that  $\kappa(c')(s_j) > 0$ . Consider also an ordering  $p_0, \dots, p_{n-1}$  of the finite set of processes  $P_\rho$ . Then build  $c^s \in C_\rho^s$  with  $c^s(p_i)(0) = s_j$  where  $j = \min\{j' \mid \sum_{j'' < j'} c'(s_{j''}) \geq i\}$ . Set  $c^s(p_i)(\ell) = \perp$  for  $\ell > 0$ .

Then, for  $j < k$ ,

$$\{p \in P_\rho \mid c^s(p)(0) = s_j\} = \{p_i, p_{i+1}, \dots, p_{i'-1}\}$$

with:

$$i = \sum_{j' < j} c'(s_{j'})$$

$$i' = i + c'(s_j)$$

And  $\text{count}_{C_\rho^s}(c^s)(s_j) = c'(s_j)$ .

Therefore, for any  $s \in S_0$ ,  $\kappa(\text{count}_{C_\rho^s}(c^s))(s) = c'(s)$ , and for  $s \notin S_0$ ,  $\kappa(\text{count}_{C_\rho^s}(c^s))(s) = 0$ . Therefore,  $\text{count}_{C_\rho^s}(c^s) \sqsubseteq c'$  which concludes the proof. ◀

**Proof of Theorem 19.** Proposition 17 yields the direct implication of Theorem 19. Indeed, for two counter-coherent configurations  $c \sqsubseteq c' \in C$ , item 3 implies that there exists  $c^s \in C_\rho^s$  such that  $\text{count}_{C_\rho^s}(c^s) = c$ . Then, item 2 yields the existence of  $c^{s'} \in C_\rho^s$  such that  $c^s \sqsubseteq c^{s'}$  and  $\text{count}_{C_\rho^s}(c^{s'}) = c'$ . Now, by item 1 both  $c^s$  and  $c^{s'}$  are coherent. Therefore, Theorem 15 applies and implies that there exists a schedule  $\sigma^s \in \overline{A^{s^*}}$  applicable at  $c^s$  and such that  $c^s \star \sigma^s = c^{s'}$ . Finally, Proposition 17 entails that the counter abstraction of this schedule is applicable at  $c$  and that  $c \star \text{count}_{A_\rho^s}(\sigma^s) = c'$ .

Let us now show the converse implication. Consider  $\sigma \in \overline{A^*}$  applicable at  $c$  such that  $c \star \sigma = c'$ . Consider  $\ell \in \mathbb{N}$ ,  $s \in S_\ell$  and  $s' \in S_{\ell+1}$  such that  $\text{flow}(c')(s, s') > 0$ . If  $\text{flow}(c)(s, s') > 0$ , then by hypothesis,  $c \models \text{guard}(s, s')$ . As  $\text{guard}(s, s')$  is monotonous, and as  $\kappa(c) \sqsubseteq \kappa(c')$ ,  $c' \models \text{guard}(s, s')$  as well. In the other case, the action  $[s \rightarrow s']$  must appear in  $\sigma$ . Define  $\sigma = \sigma' \cdot [s \rightarrow s'] \cdot \sigma''$ . Then the same reasoning can be applied replacing  $c$  with  $c \star \sigma'$ , which concludes the proof. ◀

### B.3 Proofs of Section 3.4

#### Proof of Proposition 21

► **Proposition 21.** *The mapping  $\text{eval}_G: (C, \sqsubseteq) \rightarrow (2^G, \sqsubseteq)$  defined by  $\text{eval}_G(c) = \{g \in G \mid c \models g\}$  is Scott-continuous.*

**Proof.** Notice that the monotony of the guards in  $G$  implies the monotony of the function  $\text{eval}_G$ .

Consider a directed set of configurations  $X \subset C$ , consider  $g \in G$  and  $s \in S$  a variable that appear in  $g$ . By continuity of  $\kappa: C \rightarrow \mathbb{N}^S$ ,  $\sqcup \kappa(X)(s) = \kappa(\sqcup X)(s)$  which is finite. Therefore, the left-hand least upper bound is reached at a configuration  $c_s \in X$  with  $\kappa(c_s)(s) = \kappa(\sqcup X)(s)$ .

For every variable  $s$  that appear in  $g$ , build such a configuration  $c_s \in X$ . As  $X$  is directed, there exists a common upper bound  $c \in X$  to all of the  $c_s$  (The set of variables that appear in  $g$  is finite). Then,  $\kappa(c)$  is equal to  $\kappa(\sqcup X)$  on all the variables that appear in  $g$  and therefore,  $c \models g$  if and only if  $\sqcup X \models g$ . Meaning that  $g \in \sqcup \text{eval}_G(X)$  if and only if  $g \in \text{eval}_G(\sqcup X)$ .

As this holds for every  $g \in G$ ,  $\sqcup \text{eval}_G(X) = \text{eval}_G(\sqcup X)$  which concludes the proof. ◀

## C Complements for Section 4

#### Proof of Theorem 24

► **Theorem 24.** *Let  $c \in C$  be a configuration of  $\text{unfold}(\mathcal{T}^c)$  and  $\text{eval}_G(c) \in 2^G$  its guard abstraction. If  $c$  is counter-coherent, then  $\text{fold}^G(\text{eval}_G(c)) \in \mathcal{L}(\text{GA}_G(\mathcal{T}^c))$ .*

**Proof.** Consider a counter-coherent configuration  $c \in C$ . Let  $\text{fold}^G(\text{eval}_G(c)) = \gamma_0^c \cdot \gamma_1^c \cdots$ . The proof of this theorem consists in checking that for any  $\ell \in \mathbb{N}$ ,  $(\gamma_\ell^c, \gamma_{\ell+1}^c) \in E$ . This requires the existence of some valuations  $x_\ell \in \mathbb{N}^{S_{\ell \bmod k}^c}$ ,  $y_\ell \in \mathbb{N}^{S_{\ell+1 \bmod k}^c}$  and  $e_\ell \in \mathbb{N}^{S_{\ell \bmod k}^c \times S_{\ell+1 \bmod k}^c}$  such that the flow conditions (1) and (2) as well as the counter coherence (3) are *locally* verified at layer  $\ell$ . Let  $\varphi_\ell$  denote the conjunction of the formulas (1), (2) and (3).

The idea of the proof is that  $x, y$  and  $e$  are already provided by  $\kappa(c)$  and  $\text{flow}(c)$  which verify  $\varphi_\ell$  by hypothesis. However, the proof is somewhat complicated by the fact that both  $\kappa(c)$  and  $\text{flow}(c)$  concerns variables in  $\cup_{\ell \in \mathbb{N}} \{(s^c, \ell) \mid s^c \in S_{\ell \bmod k}^c\}$  and not  $S^c$ . However, the conditions in  $\varphi$  were built such that:

$$\kappa(c), \text{flow}(c) \models \varphi_\ell \left[ \begin{array}{l} s^c \leftarrow (s^c, \ell) \\ [s^c, s^{c'}] \leftarrow [(s^c, \ell), (s^{c'}, \ell + 1)] \end{array} \right]$$

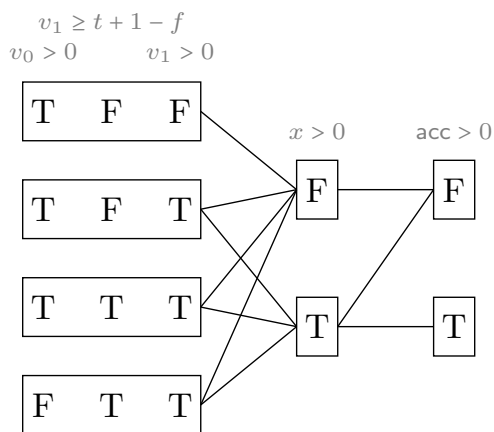
The right-hand side expression consists of  $\varphi_\ell$  where each variable in  $S^c$  is replaced by the appropriate variable in  $\cup_{\ell \in \mathbb{N}} \{(s^c, \ell) \mid s^c \in S_{\ell \bmod k}^c\}$ . Therefore, if the variables in the valuations are replaced instead, the result is:

$$\left[ \begin{array}{l} s^c \mapsto \kappa(c)(s^c, \ell) \\ (s, s') \mapsto \text{flow}(c)((s^c, \ell), (s^{c'}, \ell + 1)) \end{array} \right] \models \varphi_\ell$$

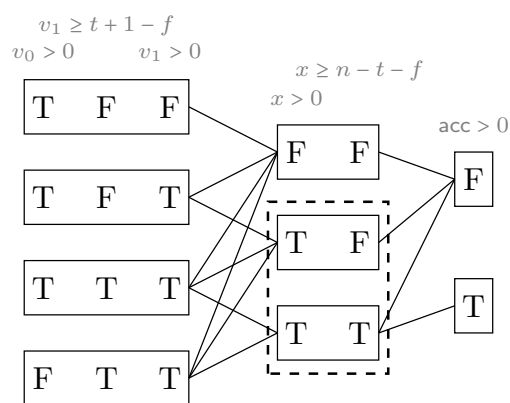
Hence, valuations for  $x$  and  $y$  can be obtained from  $\kappa(c)$  and  $\text{flow}(c)$  as described above and  $(\gamma_\ell^c, \gamma_{\ell+1}^c) \in E_\ell$  which concludes the proof. ◀

#### Incompleteness of the Guard Automaton

As announced in the main part of the paper, the guard automaton abstraction is incomplete in general. The choice of the set of guards  $G$  can lead to different guard automata and in



(a) A guard automaton for reliable broadcast, the construction assumes  $f \leq t$ ,  $2t < n$  and  $v_0 + v_1 = n - f$ .



(b) The complete version of the automaton in Figure 6a. The dashed rectangle represents the state that was split in two compared to Figure 6a.

turns to different sets of configurations. This can be illustrated on the LTA of Figure 3b, which is not cyclic, but for which however, a guard automaton can be defined.

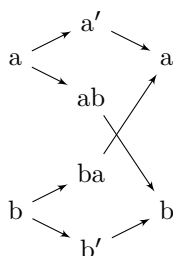
Consider the set of guards  $G = G_0 \cup G_1 \cup G_2$  with:

- $G_0 = \{v_0 > 0, v_1 > 0, v_1 \geq t + 1 - f\}$
- $G_1 = \{x > 0\}$
- $G_2 = \{\text{acc} > 0\}$

The guard automaton generated by these guards is represented Figure 6a. Notably, this guard automaton can generate a configuration where the guards  $\{v_0 > 0, v_1 > 0, x > 0, \text{acc} > 0\}$  are true, but not the guard  $v_1 \geq t + 1 - f$ , corresponding to  $(TFT, T, T)$ . Assuming that such a configuration exists, there are at most  $t - f$  processes in  $v_1$  and, as the only process in  $x$  must have come from  $v_1$ , there are also at most  $t - f$  processes in  $x$ . But it is assumed that  $t - f < n - t - f$  meaning that the guard of  $(x, \text{acc})$  is not verified. Therefore,  $\text{acc} = 0$  which is a contradiction.

The reason why this configuration was added is because the guards in the second layer do not provide enough information to distinguish the different configurations. In this case, adding the guard  $x \geq n - t - f$  solves the issue as shown in Figure 6b.

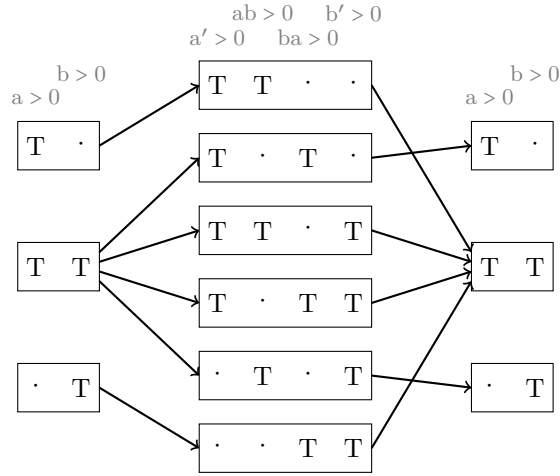
In practice, the set  $G$  will contain at least the guards that appear in the LTA. However, this condition is not sufficient to ensure the completeness of the guard automaton. For example, consider the 2-cyclic LTA represented Figure 7. Take  $G_0 = \{a > 0, b > 0, a + b \geq 3\}$  and



■ **Figure 7** A 2-cyclic LTA. All the edges are guarded by true.

$G_1 = \{a' > 0, b' > 0, ab > 0, ba > 0, a' + b' + ab + ba \geq 3, ab + ba = 1\}$ . For the sake of simplicity,

consider only the state of the guard automaton where  $a + b \geq 3$ ,  $ba > 0$ ,  $a' + b' + ab + ba \geq 3$  and  $ab + ba = 1$  holds. The resulting automaton is represented Figure 8.

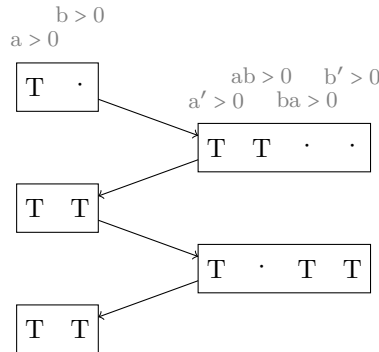


■ **Figure 8** A portion of a guard automaton for the LTA of Figure 7. Only the states where  $\sum_{s \in S_\ell} \geq 3$  and  $ab + ba = 1$  are represented.

The idea behind this example is to implement a simple counter. Every two layers, a single process moves either from  $a$  to  $b$  or from  $b$  to  $a$ . As the guard automaton does not ‘remember’ exactly how many processes are in each state, a lot of configurations generated by this automaton will not be instanciable in the LTA. For example, the run represented Figure 9 can be generated by the guard automaton, but it cannot be concretised. Indeed, it starts with  $b = 0$ , then increments and decrements  $b$  by one, and end up with  $b > 0$ .

Eliminating this kinds of spurious counter-example can be tricky. A first solution is to add additional guards. For example, adding the guard  $b > 1$  to the automaton on Figure 8 would eliminate the counter-example on Figure 9. However, in a general case, ‘guessing’ such guards might not be possible. A more satisfying approach is to increase the *order* of the guard automata. So far, only guard automata of order 2 have been defined, meaning that each edge consists of the valuation of 2 successive layers. The notion can be generalised to more layers. For  $n \geq 2$ , the guard automaton of order  $n$  is defined as  $GA_G^n(\mathcal{T}^c) = (\Sigma^{n-1}, E, 2^{G_0^c} \times \dots \times 2^{G_{n-1}^c}, \text{src}, \text{dest}, \text{label})$  where:

- $\Sigma^{n-1}$  is the set of states.



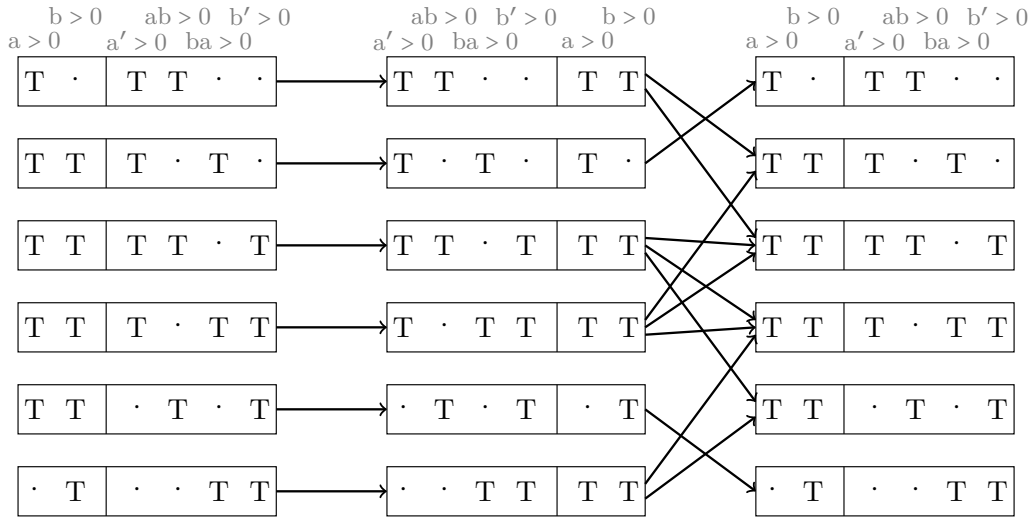
■ **Figure 9** An example of an unconcretizable configuration of the guard automaton on Figure 8.

- $E \subset \Sigma^n$  is obtained by enumerating all the possible valuation of the guards that can happen in  $n$  successive layers.
- $2^{G_0^c} \times \dots \times 2^{G_{n-1}^c}$  is the set of initial states.
- For  $(\gamma_0^c \dots \gamma_{n-1}^c) \in E$ ,

$$\begin{aligned} \text{src}(\gamma_0^c \dots \gamma_{n-1}^c) &= (\gamma_0^c \dots \gamma_{n-2}^c) \in \Sigma^{n-1} \\ \text{dest}(\gamma_0^c \dots \gamma_{n-1}^c) &= (\gamma_1^c \dots \gamma_{n-1}^c) \in \Sigma^{n-1} \\ \text{label}(\gamma_0^c \dots \gamma_{n-1}^c) &= \gamma_{n-1}^c \in \Sigma \end{aligned}$$

The definition of the language of this automaton is the same as for an automaton of order 2, the word read on a path of the automaton is  $\text{word}(e_0 e_1 \dots) = \text{label}(e_0) \cdot \text{label}(e_1) \dots$ , and the language  $\mathcal{L}(\text{GA}_G^n(\mathcal{T}^c))$  consists of all such words.

As an illustration, Figure 10 depicts an excerpt of a guard automaton of order 3 for, refining the order 2 one.



■ **Figure 10** A portion of an order 3 guard automaton for the LTA of Figure 7. Only the states where  $\sum_{s \in S_\ell} \geq 3$  and  $ab + ba = 1$  are represented.