

Safety and immunogenicity of a two-dose heterologous Ad26.ZEBOV and MVA-BN-Filo Ebola vaccine regimen in adults in Europe (EBOVAC2): a randomised, observer-blind, participant-blind, placebo-controlled, phase 2 trial

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1	Safety and immunogenicity of a two-dose heterologous Ad26.ZEBOV and MVA-BN®-
2	Filo Ebola vaccine regimen: a phase 2 randomised clinical study in Europe (EBOVAC2)
3	
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33	

34 ABSTRACT

Background We assessed different regimens of a heterologous two-dose Ebola vaccination
strategy using Ad26.ZEBOV, a replication-incompetent adenovirus 26 expressing Zaire
Ebola glycoprotein (GP), and MVA-BN-Filo, a non-replicating, recombinant modified
vaccinia Ankara virus encoding GPs from Zaire, Sudan, Marburg and nucleoprotein from Taï
Forest viruses in adults.

40 Methods In this randomised, observer-blind, placebo-controlled phase 2 trial healthy 18-65 41 years-olds in the UK and France received intramuscular injections of Ad26.ZEBOV, 42 followed 28, 56 or 84 days later by MVA-BN-Filo. Controls received two placebo (0.9% 43 saline) injections. Participants recorded solicited adverse events (AEs) for 7 days post-44 vaccination, unsolicited AEs until 42 days after the second vaccination and serious adverse 45 events (SAEs) throughout the study. Humoral (anti-EBOV GP IgG and neutralising 46 antibodies) and cellular immune responses to EBOV GP were measured at baseline, 47 immediately before and 21 days after MVA-BN-Filo, and one year after Ad26.ZEBOV. 48 Findings We enrolled 423 participants from 23 June, 2015, of whom 421 (99.5%) received 49 at least one study injection. All vaccinations were well tolerated with mainly transient 50 mild/moderate adverse events, most frequently injection site pain, fatigue, headache or 51 myalgia. Two neurological SAEs, one considered possibly related to vaccination, resulted in 52 a temporary clinical hold disrupting per protocol vaccination in some participants. Anti-GP 53 IgG was induced in 71 of 77 (92%; 95% CI: 84-97), 66 of 69 (96%; 88-99), and 69 of 69 54 (100%; 93–100) vaccinees 28, 56 and 84 days after Ad26.ZEBOV, respectively. Responder 55 rates increased to 76 of 77 (99%; 95% CI: 93-100), 69 of 69 (100%; 95-100), and 47 of 47 56 (100%; 92–100) 21 days after MVA-BN-Filo. Geometric mean concentrations were higher when the interval was increased from 28 days to 56 or 84 days. Antibodies persisted at the 57 58 post-dose 1 level up to one year after Ad26.ZEBOV. GP-specific neutralising activity was

- 59 strongly correlated with these IgG responses. Cellular responses against GP were detected
- 60 after Ad26.ZEBOV and enhanced by MVA-BN-Filo.
- 61 *Interpretation* The two-dose Ad26.ZEBOV, MVA-BN-Filo vaccination regimen was safe,
- 62 well-tolerated and immunogenic; vaccine-induced humoral and cellular immune responses
- 63 persisted for one year after vaccination.
- 64 *Funding* IMI and Janssen Vaccines & Prevention B.V.

66 INTRODUCTION

67 The Ebola virus was recognised over 40 years ago as the cause of an outbreak of haemorrhagic fever in the northern part of the Democratic Republic of Congo.¹ Ebola disease 68 is caused by a family of Ebola viruses (Zaire, Sudan, Taï Forest, and Bundibugyo) with an 69 70 animal reservoir. Patients typically present with non-specific viral symptoms from 2 to 21 71 days after exposure to the body fluids of an index case and infection has a case fatality rate of up to 88% in humans.² Since the first documented outbreaks in 1976 numerous short-lived 72 73 explosive outbreaks (involving 1 to 425 documented cases) have been controlled by enhanced infection control precautions, including isolation.³ 74 75 At the time this study was done no vaccine had been licensed to control this high mortality filovirus infection.⁴ Despite encouraging protection data from development studies in 76 77 animals, vaccines had not been taken into human clinical trials until a large and complicated outbreak in West Africa in 2014–16 resulting in 11,000 deaths.³ This led to a rapid increase 78 79 in global vaccine research and development with 13 vaccine candidates now tested in a series of clinical trials.⁵ A recombinant vesicular stomatitis virus (rVSV) vaccine which expresses 80 the glycoprotein (GP) of the Kikwit strain of Zaire Ebola had a high efficacy in a ring-81 vaccination clinical trial in Guinea during the 2014–16 outbreak.⁶ The WHO Strategic 82 83 Advisory Group of Experts on Immunization (SAGE) recommended implementation of 84 rVSV as reactive use vaccine for outbreak control and for those at high risk of Ebola exposure such as case contacts and healthcare workers,⁷ and conditional marketing 85 86 authorisation was recently granted in Europe. 87 In a second large outbreak from August 2018 in the Democratic Republic of Congo (DRC) there have been 2194 deaths reported up to November 2019,⁸ including three exported to 88

89 Uganda after probable infection in the DRC.⁹ Although the rVSV ring vaccination approach

90 has been proven to be highly effective in the recent outbreak in DRC, the complexities of the

91 political situation in the region and lack of trust of the local population during the outbreak 92 highlight the importance of licensing and deploying effective prophylactic vaccines in times 93 of stability to protect all those at future risk of exposure to Ebola virus, rather than rely on 94 reactive use of the rVSV vaccine. Indeed, the WHO SAGE recommendations include implementation of a prophylactic vaccination regimen in low-risk populations in the DRC.⁷ 95 96 To respond to this urgent need, Janssen Vaccines initiated an accelerated vaccination 97 development programme using their recombinant replication-incompetent adenovirus type 26 98 viral vector platform. In a heterologous two-dose strategy the Ad26.ZEBOV vaccine 99 encoding Mayinga Ebola glycoprotein was paired with the readily available recombinant 100 non-replicating modified vaccinia Ankara viral vector encoding glycoproteins from Ebola 101 Mayinga, Sudan Gulu and Marburg Musoke viruses and nucleoprotein from Taï Forest virus 102 (MVA-BN-Filo) supplied by Bavarian Nordic (Hellerup, Denmark). In non-human primate 103 (NHPs) studies this heterologous Ad26.ZEBOV, MVA-BN-Filo regimen induced protection 104 against lethal Ebola virus challenge with doses given four to eight weeks apart.¹⁰ Phase 1 studies of this vaccination strategy in the UK, US, Kenya, Uganda, and Tanzania have 105 106 demonstrated an excellent safety profile and strong humoral and cellular immune responses in human volunteers,^{11–13} that persisted at least 1 year after vaccination.¹⁴ 107 108 These data encouraged further clinical development to develop this promising strategy for

- 109 disease control and prevention. We present the safety and immunogenicity from a phase 2
- 110 vaccine study using the heterologous two-dose Ad26.ZEBOV, MVA-BN-Filo immunisation
- 111 strategy at various intervals in adult volunteers in the UK and France.

113 METHODS

114 Study design and participants

115 This was a randomised, observer-blind, placebo-controlled, phase 2 study in healthy adults to 116 evaluate the safety, tolerability and immunogenicity of a heterologous two-dose Ebola vaccination regimen using Ad26.ZEBOV and MVA-BN-Filo vaccines with three different 117 118 dosing intervals. We did the study in seven sites in France (Creteil, Lyon, Paris, Rennes, 119 Saint-Etienne, Strasbourg, Tours) and two sites in the UK (London, Oxford). The protocol 120 was approved in France by the French national Ethics Committee "CPP Ile de France III" 121 (recorded under No. 3287) and the French Medicine Agency (ANSM) (recorded under No. 122 150646A-61) and in the UK by the Medicines and Healthcare Products Regulatory Agency 123 (MHRA) and National Research Ethics Service (Reference South Central - Oxford A 124 15/SC/0211). The study was done according to the current Declaration of Helsinki and Good Clinical Practice guidelines. All participants supplied written informed consent before 125 126 enrolment.

127 The primary objective of the study was to assess the safety and tolerability of the three 128 vaccination schedules with Ad26.ZEBOV administered on Day 1 and MVA-BN-Filo 28, 56 129 or 84 days later. The secondary objective was to assess anti-EBOV glycoprotein (GP) total 130 IgG binding antibody concentrations measured by ELISA at 21 days after the second 131 vaccination. Exploratory objectives included assessment of binding antibody concentrations 132 at other time points, EBOV GP-specific virus neutralising antibody titres measured by 133 pseudovirion neutralisation assay (psVNA), and EBOV GP-specific CD4+ and CD8+ T cell 134 responses at selected timepoints. In addition, exploratory Ad26-vector-shedding was 135 investigated on Days 1, 2, and 8 after vaccination.

136 Participants were adults aged 18-65 years who were healthy in the investigators' judgement 137 based on a physical examination, ECG, laboratory assessments and clinical history at 138 screening. All women had a negative pregnancy test prior to enrollment and each vaccination 139 and were required to use approved effective methods of contraception during the study. Other 140 exclusion criteria included prior exposure to Ebola virus (including travel to West Africa less 141 than one month prior to screening) or previous diagnosis of Ebola virus disease, previous 142 receipt of any Ebola vaccine candidate or any known allergy or history of anaphylaxis or 143 other serious adverse reactions to vaccines or study vaccine constituents (including egg, egg 144 products and aminoglycosides). In case of acute illness or temperature $\geq 38.0^{\circ}$ C on Day 1, 145 vaccination of the volunteer was delayed until recovery.

146 Vaccines

The two vaccines were Ad26.ZEBOV and MVA-BN®-Filo used in a heterologous two-dose 147 regimen.^{10–12} Ad26.ZEBOV is a monovalent, recombinant, replication-incompetent 148 149 adenovirus 26-vectored vaccine expressing Ebola virus Mayinga variant GP. MVA-BN-Filo 150 (Bavarian Nordic) is a recombinant, non-replicating, modified vaccinia Ankara-vectored 151 vaccine expressing Mayinga, Sudan virus Gulu and Marburg virus Musoke variant GPs as well as Taï Forest virus nucleoprotein. The regimen consisted of a first vaccination with 5 x 152 10^{10} viral particles of Ad26.ZEBOV, followed by a second vaccination with 1 x 10^{8} 153 154 infectious units of MVA-BN-Filo. Both vaccines and placebo (0.9% saline) were 155 administered by intramuscular injection (0.5 mL) in the deltoid, the second injection being 156 administered in the opposite arm from the first.

157 Procedures

158 After screening, four cohorts (I, II, III and IV) of volunteers were enrolled. Cohorts I, II and

159 III were randomised (1:1:1) using sponsor-supplied randomisation codes into three parallel

160 groups (1, 2 and 3) to receive their two study injections with 28-, 56- or 84-day intervals,
161 respectively (Figure 1).

All Cohort I participants were enrolled at the Oxford site and randomised to three groups
(block size 3) to receive one dose each of Ad26.ZEBOV and MVA-BN-Filo in an open-label
fashion at 28-, 56- or 84-day intervals to provide data on safety and timing of anti-EBOV GP
antibody-secreting cell responses. Cohort I participants were not included in further safety
and immunogenicity analyses.

167 Participants enrolled into Cohorts II and III were randomly allocated to one of the three 168 groups with different vaccination intervals (block size 3) stratified by country and by age 169 $(\leq 50 \text{ years and } > 50 \text{ years})$ and further randomised 14:1 in Cohort II (block size 15), 10:3 in 170 Cohort III (block size 13) to receive active vaccines or placebo. Participants from Cohort II 171 had additional blood sampling for exploratory immunological assessments. Finally, the fourth cohort (Cohort IV) comprising 18 volunteers was to be enrolled in France and randomised 172 173 (5:1, block size 6) to receive Ad26.ZEBOV or placebo, for Ad26 vector shedding 174 assessments in urine samples and mid-turbinate swabs by quantitative polymerase chain 175 reaction (qPCR).

176 Safety and tolerability assessments

Participants were assessed at 30 and 60 minutes after each vaccination for any immediate adverse events (AEs). They were supplied with diary cards to report solicited local and systemic AEs and daily body temperature for 7 days after each vaccination, which were graded as mild (Grade 1), moderate (Grade 2) or severe (Grade 3). After 7 days, participants continued to record any other AEs as "unsolicited AEs" until 42 days after the second vaccination in Cohorts I–III and 28 days post vaccination for Cohort IV. Serious AEs (SAEs) were to be reported to the investigators at any time during the study. An independent data

184 monitoring committee (IDMC) was established to assess the safety data on a regular basis185 during the study.

186 Immunogenicity assessments

187 Four serum samples for assessment of immune responses were obtained from all participants 188 in Cohorts II and III: immediately before the first vaccination on Day 1, before the second 189 vaccination on Days 29, 57 or 85, and then 21 days after the second vaccination, and at Day 190 365 in all groups. EBOV GP-specific total IgG binding antibody concentrations were 191 measured using an EBOV GP Filovirus Animal Non-Clinical Group (FANG) ELISA at Q2 Solutions (San Juan Capistrano, CA, USA).¹⁵ EBOV GP-specific neutralising antibody 192 193 (psVNA) titres were measured using a pseudovirion neutralisation assay (Supplementary 194 materials) at Monogram (San Francisco, CA, USA). 195 Peripheral blood mononuclear cells (PBMC) were collected from a subset of participants and 196 frozen for later analysis to determine the percentage of CD4+ and CD8+ T cells producing 197 interferon (IFN)- γ and/or interleukin (IL)-2 by intracellular cytokine staining (ICS) at the 198 Inserm U955 unit laboratory/VRI. Defrosted PBMC were stimulated by two peptide pools 199 (GP1 and GP2) covering the GP from Mayinga variant of Ebola virus (Supplementary 200 materials).

201 Statistical Analyses

202 This was originally designed as a prospective study with no formal hypothesis testing, for

which the planned sample size was 612 participants (pooled across cohorts) to include 492

204 participants randomised to receive Ad26.ZEBOV and MVA-BN-Filo (Cohorts II and III), 30

- 205 participants in Cohort I to receive Ad26.ZEBOV and MVA-BN-Filo in an open-label
- 206 fashion, and 90 placebo recipients. Following the occurrence of two SAEs (described in

207 Results) there was a clinical hiatus until the study was restarted following an amended 208 protocol with the agreement of the IDMC and relevant competent authorities. 209 The descriptive safety analysis is presented on the full analysis set consisting of all 210 participants who were randomised and received at least one administration of vaccine or 211 placebo irrespective of protocol deviations. The immunogenicity analysis, based on the per 212 protocol set, includes all randomised and vaccinated participants who were administered both 213 vaccinations within the protocol-defined window $(\pm 1 \text{ day})$, had at least one evaluable 214 immunogenicity sample post-vaccination, and no major protocol violations influencing the 215 immune response. Binding antibodies were expressed as group geometric mean 216 concentrations (GMC) of arbitrary ELISA units with 95% confidence intervals (CI) at each 217 timepoint, and responder rates, i.e. the percentage of each group with post vaccination 218 concentrations >2.5-fold the Lower Limit of Quantitation (LLOQ), i.e. 36.11 ELISA 219 units/mL, in baseline serone gative individuals, or >2.5-fold the baseline value in initially 220 seropositive participants. psVNA titres are expressed as geometric mean titres (GMT) with 221 95% confidence intervals (CI) and group proportions of responders at each timepoint. psVNA 222 responders were those negative at baseline and positive post vaccination with a titre two-fold 223 higher than LLOQ (120 IC₅₀ titre), or positive at baseline with greater than two-fold increase 224 post vaccination. Spearman correlation was calculated for EBOV GP-specific binding 225 antibody concentrations (FANG ELISA) and psVNA titres at selected timepoints. 226 For cell-mediated immune responses assessed by ICS, the frequency of responders, 227 calculated on IFN- γ and/or IL-2 separately for the sum of the two EBOV GP peptide pools, 228 was determined at each timepoint. Responders were either: i) negative at baseline and 229 positive post-baseline with a value >2-fold the LLOQ (0.05%, CD4+ IFN- γ ; 0.08%, CD4+ 230 IL-2; 0.06%, CD8+ IFN- γ ; 0.19%, CD8+ IL-2), or ii) positive at baseline with > 2-fold 231 increase in background adjusted percentage of the combined peptide pools.

232	All statistical analyses were performed by the sponsor with SAS (version 9.2, SAS Institute,
233	Cary, NC) with independent validation completed by Inserm. The study protocol was
234	registered on ClinicalTrials.gov (NCT02416453) and EudraCT (2015-000596-27).
235	Role of the funding source
236	As full-time employees of the legal sponsor, Janssen Vaccines and Prevention, NG, CR, AG,
237	VB, ML, KL and MD took part in the conception, design, and preparation of the protocol,
238	and did some of the laboratory and statistical analyses. Other authors contributed to the
239	design and did the study with no financial interest. All authors had full access to the data,
240	contributed to the manuscript over which academic contributors had full editorial control, and
241	all authors agreed with the decision to submit.

243 **RESULTS**

The study began in July 2015 but was paused from 27 April 2016 to 9 May 2016 to

investigate an SAE and was stopped for a second time by the sponsor on May 20, 2016 until

246 27 Sep 2016 following a second event. After reviewing both cases, including by an

independent panel of expert neurologists, the MHRA approved resumption of the study on 27

248 September 2016. Screening for groups 1 and 2 in Cohorts II and III was restarted in the UK

but not in France. Randomisation and collection of one-year data for group 3 (84-day

regimen) was halted. Following reconsent, participants already vaccinated with dose 1 were

251 offered the second dose outside of the protocol window (**Figure 1**).

252 Baseline demographics

253 Of 423 participants enrolled, 421 received at least one dose of study vaccine, including: 30

254 participants in Cohort I, 376 participants in pooled Cohorts II and III (194 in the UK and 182

in France) and 15 participants in Cohort IV. Participant demographic data and assignment of

pooled Cohorts II and III to groups 1, 2 and 3 are shown in **Table 1**. In total, 363 (86.2%)

257 participants completed the study: 23 (76.7%) of 30 in Cohort I, 325 (86.4%) of 376 in pooled

258 Cohorts II and III, and all 15 (100%) in group 4 (Figure 1).

259 Safety

260 Solicited local AEs were reported after 206 (62.0%) of 332 Ad26.ZEBOV and 136 (57.6%)

of 236 MVA-BN-Filo vaccinations, compared with 11 (15.3%) of 72 placebo injections

262 (Table 2). The most frequent local AE was pain at the injection site, reported after 191

263 (57.5%) of 332 Ad26.ZEBOV and 126 (53.4%) of 236 MVA-BN-Filo vaccinations,

- compared with 9 (12.5%) of 72 placebo injections (**Table 2**). Solicited local AEs were
- 265 generally described as mild to moderate; six cases (three of pain and two of swelling after
- Ad26.ZEBOV, and one case of erythema after MVA-BN-Filo) were graded as severe.

267 Median durations of local AEs were two and three days after Ad26.ZEBOV and MVA-BN-

268 Filo vaccinations, respectively, and one day after placebo. One participant reported injection

269 site swelling for 19 days following MVA-BN-Filo vaccination.

270 Solicited systemic AEs were reported after 255 (76.8%) of 332 Ad26.ZEBOV, 116 (49.2%)

of 236 MVA-BN-Filo and 33 (45.8%) of 72 placebo administrations. The most frequent

systemic AEs were fatigue, headache, myalgia and chills (Table 2). Severe solicited systemic

AEs were reported after 32 (9.6%) of 332 Ad26.ZEBOV administrations, but lower

proportions of MVA-BN-Filo (4 [1.7%] of 236) or placebo (3 [4.2%] of 72) injections. Fever

275 (temperature $\geq 38^{\circ}$ C) was more frequent after receipt of Ad26.ZEBOV (38 [11.5%] of 331)

than MVA-BN-Filo (2 [0.9%] of 232) or placebo (3 [4.2%] of 72). There were eight cases of

277 temperature \geq 39°C: six after Ad26.ZEBOV, one after MVA-BN-Filo and one after placebo.

278 The median duration of any solicited systemic adverse event was no longer than 3 days.

279 Rates of unsolicited AEs were similar after Ad26.ZEBOV (115 [34.6%] of 332), MVA-BN-

Filo (81 $[34\cdot3\%]$ of 236), and placebo (24 $[33\cdot3\%]$ of 72) and mainly consisted of mild to

281 moderate headache, upper respiratory tract infections and rhinitis (**Table 2**). No clinical

282 laboratory safety signals were observed. The most frequent laboratory abnormalities were

283 decreased haemoglobin from baseline and low segmented neutrophil counts. Both types of

abnormality were observed at comparable rates after Ad26.ZEBOV, MVA-BN-Filo and

285 placebo administration. The majority of low segmented neutrophil values were grade 1 or

grade 2 in severity; a grade 3 value ($<1.0 \times 10^{9}/L$) was reported in 2 participants

287 (Supplementary Tables 1 and 2).

288 Thirteen SAEs were reported by 11 (3.3%) of 332 participants who received active vaccines

and 2 (4.5%) of 44 participants after a total of 72 doses of placebo (**Table 2**). Two

290 neurological SAEs were initially identified as related to study vaccination and declared as

suspected unexpected serious adverse reactions (SUSAR), leading to the study pause. One

292 participant who experienced double vision, pain on moving the eye, and difficulty with 293 balance while walking, was diagnosed with Miller Fisher syndrome. Symptoms onset was 294 about a week after an upper respiratory tract infection (URTI) and one month after MVA-295 BN-Filo vaccination, and they resolved before the end of the study. After extensive 296 evaluation, including a second neurological evaluation, the investigator and sponsor 297 considered the event was not related to study vaccine and most likely related to the prior 298 URTI. A second participant experienced intermittent episodes of paraesthesia of the palms 299 and soles two weeks after vaccination with Ad26.ZEBOV. The investigator reported the 300 event as an SAE as the participant was unable to continue their work activity. The diagnosis 301 of possible small fibre neuropathy was retained although a definitive diagnosis was not 302 possible as the participant refused further specific diagnostic exploration and no histological 303 examination was performed. The expert panel, investigator and sponsor considered this 304 event, which had not resolved before the end of the study, to be possibly related.

305 Humoral immunogenicity

The immunogenicity analysis is based on the 223 (59.3%) of 376 participants in Cohorts II and III who received both vaccines according to protocol; 36 participants (29 MVA-BN-Filo and 7 placebo) received their second injections outside of the planned window and 116 did

309 not receive the second injection. Ad26.ZEBOV vaccination on Day 1 induced binding

antibody responses (Figure 2), with $92 \cdot 2\%$, $95 \cdot 7\%$ and 100% responding to the

311 Ad26.ZEBOV vaccination in the 28-, 56- and 84-day groups, respectively. The magnitude of

the response increased with increasing interval; GMCs were 452 EU/mL after 28 days, 880

313 EU/mL after 56 days, and 1156 EU/mL after 84 days (**Table 3**).

314 GMCs increased further 21 days after MVA-BN-Filo vaccination, by 10.4-, 11.6- and 10.0-

fold over post Ad26.ZEBOV levels in the 28-, 56- and 84-day groups, when GMCs were

316 4627 EU/mL, 10131 EU/mL, and 11312 EU/mL, respectively. All participants responded to

317 the 2-dose vaccine regimen except for one participant vaccinated with the 28-day interval 318 (**Table 3**). In the 28- and 56-day groups antibodies persisted up to Day 365 when 56 ($98 \cdot 2\%$) 319 of 57 and all 50 (100%) of these group participants were still responders; the 84-day group 320 was not tested at Day 365. GMCs at Day 365 were similar in both 28- and 56-day groups; 321 1149 EU/mL and 1205 EU/mL, respectively (Figure 2A). No placebo recipient demonstrated 322 an increase in antibodies at any point during the study. In a sensitivity analysis of responses 323 to MVA-BN-Filo vaccination administered out of the protocol window, responses were 324 consistent with the per protocol analyses. Increasing the interval >98 days had no negative 325 impact on the response (Supplementary Figure 1).

326 The GMT (IC₅₀ titre) of neutralising antibodies 21 days after receiving the vaccinations 28

327 days apart was 988 (95% CI 712–1370), increasing to 4046 (3091–5297) when the interval

328 between vaccinations was 56 days (Figure 2B and Supplementary table 3). Day 365 GMTs

were 354 (257–448) and 341 (268–435), respectively. In the 28-day group responder rates

330 were 43 (87.8%) of 49 participants at Day 50, and 31 (63.3%) of 49 at Day 365. All 52

331 (100%) participants in the 56-day group were responders at Day 78, which persisted in 27

332 (51.9%) of 52 participants at Day 365. There were strong correlations between binding

333 antibody concentrations and neutralising antibody titres measured 21 days after MVA-BN-

334 Filo (Spearman coefficient, r = 0.825) and at Day 365 (Spearman coefficient, r = 0.861,

335 Supplementary Figure 2). No virus neutralising activity was detected in any placebo 336 recipient.

337 **Cell-mediated immune responses**

329

338 EBOV GP-specific cellular immune responses were assessed in 76 participants from Cohorts

339 II and III (Figure 3, Supplementary Figure 3, and Tables 4 and 5). EBOV GP-specific

340 CD4+ and CD8+ T-cell responses were low after Ad26.ZEBOV dose 1, but EBOV GP-

341 specific CD4+ and CD8+ T-cell responses were observed in substantial proportions of

342 participants 21 days after MVA-BN-Filo vaccination (37-58% for CD4+ T cells, 55-67% for 343 CD8+ T cells). Median CD4+ T-cell responses at 21 days post Dose 2 were similar across the 344 28-, 56-, and 84-day vaccination intervals (median range: 0.12-0.15%). There was a trend 345 for median CD8+ T-cell responses to be higher with the 28-day interval (median 0.29%) 346 compared with the 56- and 84-day intervals (median 0.12% and 0.20%, respectively). No 347 EBOV GP-specific cellular response was detected in placebo recipients (Supplementary 348 Figure 3). At 21 days post dose 2, across vaccination schedules, the majority of the CD4+ T 349 cell responses were polyfunctional, expressing both IFN-y and IL-2, while the majority of the 350 CD8+ T cell responses expressed only IFN- γ (Supplementary Figure 4). 351 Ad26.ZEBOV shedding 352 Shedding of Ad26.ZEBOV was assessed in the 15 participants of group 4 (13 vaccinees and

2 placebo) using urine samples and nasal swabs taken on Days 1, 2 and 8 after vaccination.

354 No evidence of shedding was observed in any sample (not shown).

356 **DISCUSSION**

357 These first phase 2 results of the two-dose heterologous Ad26.ZEBOV, MVA-BN-Filo 358 vaccination regimen in 421 healthy adults confirm the safety and immunogenicity profiles of this regimen previously observed in phase 1 studies.^{11–14} The frequency and severity of 359 360 solicited local reactions, mainly mild to moderate injection site pain, were comparable to those reported in phase 1 studies performed in Oxford,¹¹ and in African participants.^{12,13} The 361 systemic reactogenicity profile comprising mainly headache, myalgia and fatigue was 362 consistent with the phase 1 studies,^{11–13} although there were slightly fewer solicited systemic 363 364 adverse events and laboratory events especially with regards to neutrophil levels than 365 reported in previous studies. More generally, observed local and systemic reactions were also reported with other vectors such as the recombinant adenovirus type-5,¹⁷ the replication 366 defective recombinant chimpanzee adenovirus type 3-vectored ebolavirus vaccine (cAd3-367 EBO)¹⁸ or the replication-competent, recombinant vesicular stomatitis virus (rVSV).¹⁹ As 368 369 expected with replication-incompetent vectors, no vector replication was observed following 370 Ad26.ZEBOV when checked in a specific cohort.

371 The study was paused following two neurological SAEs that occurred within weeks of each 372 other. Both events occurred at different phases of the two-dose regimen, a case of Miller 373 Fisher syndrome which most commonly occurs after a recent infection was reported one 374 week after an URTI and one month after receiving MVA-BN-Filo, and the second, which did 375 not have a definitive diagnosis, occurred one month after Ad26.ZEBOV vaccination. The occurrence of these two unsolicited SAEs led to a concurrent and careful assessment of the 376 377 neurological events, including by an external panel of expert neurologists, but did not raise 378 any specific safety signals. Regulatory authorities in the UK and France confirmed that the 379 development of the Ad26.ZEBOV and MVA-BN-Filo vaccination regimen could continue.

380 Robust EBOV GP-specific binding antibody responses were observed in 99-100% of the 381 participants 21 days after the second dose, and these persisted in 98–100% of the participants 382 tested after 1 year after dose 1. Antibody responses were lower 21 days after the second 383 vaccination when the interval was 28 days rather than 56 or 84 days but levels at one year 384 were similar in 28- and 56-day groups. There was a high degree of correlation between 385 binding and neutralising antibody responses. EBOV-specific CD4+ and CD8+ T cell 386 responses were observed in a substantial portion of participants analysed. CD4+ T cell 387 responses were similar in the three intervals, whereas CD8+ T cell responses tended to be 388 higher in the 28-day interval group. CD4+ and CD8+ T cell responses persisted at least 1 389 year in 11–16% and 42–56% of the participants, respectively.

We have shown that a heterologous two-dose vaccination regimen with intervals from 28 to 84 days between doses elicits robust humoral and cellular responses. Boosting with MVA vectors has previously been reported after ChAd3 prime immunisation as reported in macaques²⁰ and humans.^{21,22} The Ad26.ZEBOV, MVA-BN-Filo strategy with a 56-day interval provided protection against lethal EBOV challenge in non-human primates (NHP) model,¹⁰ as well as robust humoral and cellular immune responses in humans with binding antibodies induced as early as 14 days after the first vaccination.¹¹

397 In outbreak conditions the single-dose rVSV vaccine had 100% clinical efficacy from 10

398 days after vaccination.⁶ In lethal challenge studies in mice²³ and NHP²⁴ ZEBOV-GP–specific

399 IgG antibodies were found to play a critical role in rVSV-induced protection from 10 days

400 post-vaccination onwards. The specific mechanism of action of Ad26.ZEBOV, MVA-BN-

401 Filo is currently unknown and may differ from that of rVSV, but NHP studies have

402 demonstrated that EBOV GP-specific IgG binding antibodies represent the immune

- 403 parameter that correlates best with survival for the Ad26.ZEBOV, MVA-BN-Filo regimen.¹⁰
- 404 Although a mechanistic correlate of protection has not been identified yet, the binding

405 antibody GMCs observed 21 days after the second dose in the two-dose regimens ranged 406 from 4627 to 11312 EU/mL depending on the interval. A GMC of 1262 EU/mL was reported 407 28 days post-rVSV-ZEBOV vaccination of North American and Spanish volunteers using the same assay in the same laboratory.²⁵ In the present study, and consistent with previous 408 reports,¹¹ levels above 1000 EU/mL were maintained up to Day 365, our furthest time-point 409 from vaccination.²⁵ Recent modelling work based on phase 1 data predicts a sustained 410 response over time, which is currently being evaluated in the EBOVAC3 consortium.²⁶ 411 412 Some participants received their MVA-BN-Filo vaccination outside the planned window due 413 to the study pause. Although the number of such individuals was limited, it did provide data 414 on a delayed interval, as may occur in real-life settings. Strong immune responses were 415 observed which were at least as high as those observed in the per protocol population, 416 providing support for flexibility in the timing of dosing that may be needed in real-world use, 417 when dose intervals are not strictly respected. 418 This phase 2 study was done in European volunteers who, except for healthcare and aid

419 workers involved in outbreak response, are unlikely to be targets for vaccination.²⁷ Similar 420 studies assessing different vaccination regimens in different populations of healthy adults and 421 children, and also HIV-infected individuals are ongoing in African populations in Burkina

422 Faso, Cote d'Ivoire, Kenya and Uganda (ClinicalTrials.gov NCT02564523), and Sierra

423 Leone (ClinicalTrials.gov NCT02509494). The ongoing PREVAC study in Sierra Leone and

424 Guinea includes an assessment of the impact of malaria on the immune response

425 (ClinicalTrials.gov NCT02876328).

426 In conclusion, the safety, including the absence of Ad26.ZEBOV shedding, and

427 immunogenicity of the heterologous Ad26.ZEBOV, MVA-BN-Filo regimen with intervals

428 from 28 to 84 days has been demonstrated in this European phase 2 study. Ancillary

429 immunological analyses performed in this study should provide more insight into the immune

- 430 response established while other phase 2 and 3 studies performed by EBOVAC1 and
- 431 EBOVAC2 African consortia and PREVAC will provide additional information in target
- 432 populations at risk of Ebola infection in Africa.

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455 **Conflicts of interest**

456 AJP chairs the UK Department of Health's (DoH) Joint Committee on Vaccination and

457 Immunisation (JCVI) and the European Medicines Agency Scientific Advisory Group on

- 458 Vaccines and is a member of the World Health Organization's (WHO) Strategic Advisory
- 459 Group of Experts. The views expressed in this manuscript are those of the authors and do not
- 460 necessarily reflect the views of the JCVI, the DoH, or the WHO.
- 461

462 Author contributions

- 463 VB, MD, AG, MG, NG, CL, JDL, YL, KL, A, LR, CR, MS, RT participated in the
- 464 conception and design of the work being described in the publication.
- 465 CB, MG, NG, SG, CL, ML, AP, RT, AW participated in the acquisition/collection of data.
- 466 CB, VB, EC, MD, AG, NG, CL, OL, JDL, ML, KL, AP, LR, CR, MS, RT, AW participated
- 467 in the analysis or interpretation of data.
- 468 CB, VB, EC, MD, AG, MG, SG, NG, CL, OL, JDL, YL, ML, KL, AP, LR, CR, MS, RT,
- 469 AW drafted or critically revised and reviewed the manuscript for important intellectual
- 470 content.
- 471 All authors approve this version of the manuscript and agree to be accountable for all aspects
- 472 of the work ensuring that questions related to the accuracy or integrity of any part of the work
- 473 are appropriately investigated and resolved.
- 474
- 475

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	Cohort I	Cohorts II and III						Cohort IV	
	Open label	28-day Interval		56-day Interval		84-day Interval		Ad26 shedding	
	Active vaccines	Active vaccines	Placebo	Active vaccines	Placebo	Active vaccines	Placebo	Ad26	Placebo
Full analysis set, N =	30	112	13	114	13	106	18	13	2
Male , n (%)	13 (43.3)	55 (49.1)	7 (53.8)	52 (45.6)	5 (38.5)	53 (50.0)	8 (44.4)	10 (76.9)	1 (50.0)
Mean age ± SD, years	40.1 ± 15.1	$41 \cdot 0 \pm 15 \cdot 0$	$39{\cdot}1\pm13{\cdot}9$	$41 \cdot 0 \pm 14 \cdot 0$	$38 \cdot 2 \pm 13 \cdot 7$	$38 \cdot 3 \pm 14 \cdot 3$	$41 \cdot 1 \pm 15 \cdot 1$	37.9 ± 11.4	47.0 ± 4.2
(range)	(18, 63)	(18, 65)	(22, 60)	(18, 64)	(23, 62)	(18, 65)	(20, 61)	(21, 55)	(44, 50)
Mean BMI ± SD, kg/m ²	$25 \cdot 6 \pm 5 \cdot 4$	$25 \cdot 2 \pm 4 \cdot 0$	$23 \cdot 1 \pm 2 \cdot 7$	$25 \cdot 1 \pm 4 \cdot 7$	$25 \cdot 5 \pm 5 \cdot 3$	24.7 ± 4.0	$24 \cdot 1 \pm 2 \cdot 9$	$23 \cdot 8 \pm 2 \cdot 8$	$22 \cdot 3 \pm 4 \cdot 2$
Country, n (%)									
France	-	54 (48.2)	7 (53.8)	55 (48.2)	7 (53.8)	50 (47.2)	9 (50.0)	13 (100)	2 (100)
UK	30 (100)	58 (51.8)	6 (46.2)	59 (51.8)	6 (46.2)	56 (52.8)	9 (50.0)	-	-
Per Protocol set , n (%) =	-	80 (71.4)	8 (61.5)	70 (61.4)	7 (53.8)	52 (49.1)	6 (33.3)	-	-
Initially seropositive for EBOV GP, n (%) =	-	6 (7.5)	1 (12.5)	6 (8.6)	0 (0)	4 (7.8)	0 (0)	-	-

Table 1. Demographic characteristics of the study population in all four Cohorts.

Table 2. Incidence rates of the most frequent solicited local and systemic adverse events within 7 days of
vaccination or placebo injection, and the most frequent unsolicited adverse events (AEs) and serious
adverse events (SAEs) throughout the study in Cohorts II and III.

		Incidenc	Incidence, reports following n doses (%)				
		Ad26.ZEBOV	MVA-BN-Filo	All Placebo			
	Doses	N = 332	N = 236	N = 72			
Local AEs	Any	206 (62.0)	136 (57.6)	11 (15.3)			
	Severe	4 (1.2)	1 (0.4)	0			
Doin	Any	191 (57.5)	126 (53-4)	9 (12.5)			
Palli	Severe	3 (0.9)	0	0			
Swalling	Any	36 (10.8)	28 (11.9)	0			
Pain Swelling Pruritis Erythema Systemic AEs Fatigue Headache Myalgia Chills Arthralgia	Severe	2 (0.6)	0	0			
Druritic	Any	19 (5.7)	7 (3.0)	3 (4.2)			
Erythema Systemic AEs	Severe	0	0	0			
Fruthama	Doses N = 332 N = 236 Any 206 (62-0) 136 (57-6 Severe 4 (1-2) 1 (0-4) Any 191 (57-5) 126 (53-4 Severe 3 (0-9) 0 Any 36 (10-8) 28 (11-9) Severe 2 (0-6) 0 Any 19 (5-7) 7 (3-0) Severe 0 0 Any 5 (1-5) 3 (1-3) Severe 0 16 (49-2 Severe 0 16 (49-2 Severe 32 (9-6) 4 (1.7) Any 203 (61-1) 84 (35-6) Severe 18 (5-4) 3 (1.3) Any 162 (48-8) 53 (22-5) Severe 9 (2-7) 2 (0-8) Any 156 (47-0) 52 (22-0) Severe 8 (2-4) 1 (0-4) Any 156 (47-0) 52 (22-0) Severe 14 (4-2) 3 (1-3) Any 76 (22-9) 22 (9-3)	3 (1.3)	0				
Erythema	Severe	0	1 (0.4)	0			
Systemic AEs	Any	255 (76.8)	116 (49.2)	33 (45.8)			
	Severe	32 (9.6)	4 (1.7)	3 (4.2)			
E.C.	Any	203 (61.1)	84 (35.6)	21 (29.2)			
Local AEs Pain Swelling Pruritis Erythema Systemic AEs Fatigue Headache Myalgia Chills Arthralgia Nausea Fever Anthralgia Infections and infestati Upper respiratory tra Rhinitis Nervous system disord Headache Investigations Respiratory, thoracic at disorders Gastrointestinal disord Musculoskeletal and contissue disorders General disorders and at site conditions SAEs throughout stue	Severe	18 (5.4)	3 (1.3)	2 (2.8)			
Haadaaha	Any	162 (48.8)	53 (22.5)	16 (22.2)			
Headache	Severe	9 (2.7)	2 (0.8)	1 (1.4)			
Maralaia	Any	156 (47.0)	52 (22.0)	15(20.8)			
Myalgia	Severe	8 (2.4)	1 (0.4)	0			
Chille	Any	123 (37.0)	23 (9.7)	6 (8.3)			
Chills	Severe	14 (4.2)	3 (1.3)	2 (2.8)			
Anthrolain	Any	76 (22.9)	22 (9.3)	5 (6.9)			
Artifraigia	Severe	4 (1.2)	1 (0.4)	0			
Neusoe	Any	45 (13.6)	14 (5.9)	4 (5.6)			
Indused	Severe	3 (0.9)	1 (0.4)	0			
Foren	\geq 38.0°C	38 (11·5) ^a	2 (0·9) ^b	3 (4.2)			
revel	\geq 39.0°C	6 (1.8)	1 (0.4)	1 (1.4)			
Any Unsolicited AE		115 (34.6)	81 (34.3)	24 (33.3)			
MEDRA classes of ma	in reported AEs	5					
Infections and infestation	ons	30 (9.0)	28 (11.9)	7 (9.7)			
Upper respiratory tra	ct Infection	4 (1.2)	12 (5.1)	3 (4.2)			
Rhinitis		10 (3.0)	7 (3.0)	0			
Nervous system disord	ers	17 (5.1)	12 (5.1)	3 (4.2)			
Headache		5 (1.5)	7 (3.0)	$2(2 \cdot 8)$			
Investigations		16 (4.8)	13 (5.5)	2 (2.8)			
Respiratory, thoracic an disorders	nd mediastinal	17 (5.1)	7 (3.0)	2 (2.8)			
Gastrointestinal disorde	ers	9 (2.7)	8 (3.4)	5 (6.9)			
Musculoskeletal and co tissue disorders	onnective	12 (3.6)	6 (2.5)	3 (4.2)			
General disorders and a site conditions	dministration	10 (3.0)	5 (2.1)	3 (4.2)			
SAEs throughout stud	ly	Ad26.ZEBOV	Ad26.ZEBOV & MVA-BN-Filo				
	= 332	N = 44					
Any reported SAE		11	(3.3)	2 (4.5)			
SAE related to vaccinat	tion		0	0			

^a n = 331, ^b n = 232.

		28-day interval		56-day ir	nterval	84-day interval		
Day		Ad26, MVA	Placebo	Ad26, MVA	Placebo	Ad26, MVA	Placebo	
1	N GMC [95% CI]	80 <lloq [<lloq; <lloq]<="" td=""><td>8 <lloq [<lloq; 58]<="" td=""><td>70 <lloq [<lloq; <lloq]<="" td=""><td>7 <lloq [<lloq; <lloq]< td=""><td>51 <lloq [<lloq; <lloq]<="" td=""><td>6 <lloq [<lloq; <lloq]<="" td=""></lloq;></lloq </td></lloq;></lloq </td></lloq]<></lloq; </lloq </td></lloq;></lloq </td></lloq;></lloq </td></lloq;></lloq 	8 <lloq [<lloq; 58]<="" td=""><td>70 <lloq [<lloq; <lloq]<="" td=""><td>7 <lloq [<lloq; <lloq]< td=""><td>51 <lloq [<lloq; <lloq]<="" td=""><td>6 <lloq [<lloq; <lloq]<="" td=""></lloq;></lloq </td></lloq;></lloq </td></lloq]<></lloq; </lloq </td></lloq;></lloq </td></lloq;></lloq 	70 <lloq [<lloq; <lloq]<="" td=""><td>7 <lloq [<lloq; <lloq]< td=""><td>51 <lloq [<lloq; <lloq]<="" td=""><td>6 <lloq [<lloq; <lloq]<="" td=""></lloq;></lloq </td></lloq;></lloq </td></lloq]<></lloq; </lloq </td></lloq;></lloq 	7 <lloq [<lloq; <lloq]< td=""><td>51 <lloq [<lloq; <lloq]<="" td=""><td>6 <lloq [<lloq; <lloq]<="" td=""></lloq;></lloq </td></lloq;></lloq </td></lloq]<></lloq; </lloq 	51 <lloq [<lloq; <lloq]<="" td=""><td>6 <lloq [<lloq; <lloq]<="" td=""></lloq;></lloq </td></lloq;></lloq 	6 <lloq [<lloq; <lloq]<="" td=""></lloq;></lloq 	
29*	N GMC [95% CI] Responders, n (%)	77 452 [351–581] 71 (92·2)	8 <lloq [<lloq;<br="">61] 0 (0)</lloq>	-	-	-	-	
50**	N GMC [95% CI] Responders, n (%)	77 4627 [3649–5867] 76 (98·7)	7 <lloq [<lloq;<br="">55] 0 (0)</lloq>	-	-	-	-	
57*	N GMC [95% CI] Responders, n (%)	-	-	69 880 [709–1093] 66 (95·7)	7 <lloq [<lloq;<br=""><lloq] 0 (0)</lloq] </lloq>	-	-	
78**	N GMC [95% CI] Responders, n (%)	-	-	69 10131 [8554–11999] 69 (100)	7 <lloq [<lloq;<br=""><lloq] 0 (0)</lloq] </lloq>	-	-	
85*	N GMC [95% CI] Responders, n (%)	-	-	-	-	52 1156 [923–1448] 51/51 [#] (100)	6 <lloq [<lloq;<br=""><lloq] 0 (0)</lloq] </lloq>	
106**	N GMC [95% CI]	-	-	-	-	48 11312 [9072–14106]	6 <lloq [<lloq;<="" td=""></lloq>	

Table 3. Geometric mean concentrations (GMC) of EBOV GP binding antibodies and responder rates in Cohorts II and III throughout the study.

	Responders, n (%)					47/47 [#] (100)	<lloq]< th=""></lloq]<>
							0 (0)
365	N GMC [95% CI] Responders, n (%)	57 1149 [859–1537] 56 (98·2)	6 <lloq [<lloq;<br=""><lloq] 0 (0)</lloq] </lloq>	50 1205 [971–1497] 50/50 (100)	5 <lloq [<lloq;<br=""><lloq] 0 (0)</lloq] </lloq>	-	-

* Pre-dose 2, **21 days post-dose 2. <LLOQ = below the Lower Limit of Quantitation. # Responder rates for those with Day 1 data.

- Figure 1. Study flow chart.
- Figure 2. Anti-EBOV GP geometric mean binding antibody concentrations (ELISA Units/mL) (A) and virus neutralising geometric mean IC₅₀ titres (B) in the pooled Cohorts II and III (per protocol analysis set).
- Figure 3. EBOV GP-specific T cell responses in participants assigned to receive active vaccine as assessed by Intracellular Cytokine Staining.



The error bars represent the geometric mean concentration and its 95% confidence interval

The error bars represent the geometric mean titre and its 95% confidence interval

CD4⁺ T Cell Responses

CD8⁺ T Cell Responses

