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# Unsolvability of the Quintic Formalized in Dependent Type Theory

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## Abstract

In this paper, we describe an axiom-free Coq formalization that there does not exist a general method for solving by radicals polynomial equations of degree greater than 4. This development includes a proof of Galois’ Theorem of the equivalence between solvable extensions and extensions solvable by radicals. The unsolvability of the general quintic follows from applying this theorem to a well chosen polynomial with unsolvable Galois group.

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**Supplementary Material** <https://github.com/math-comp/Abel> version 1.1.2.

## 1 Introduction

This article presents a formal study of the existence of solutions by radicals of polynomial equations. Solutions by radicals are the ones that can be expressed from the coefficients of a polynomial using operations of addition, multiplication, subtraction, division, and extraction of roots. More precisely we study the case of polynomial equations of degree greater than 4. As opposed to the case of lower degree, there is no solution by radicals to general polynomial equations of degree five or higher with arbitrary coefficients. This theorem, also known as the Abel-Ruffini theorem, is attributed to Abel for his work [1, volume 1, chapter III] published in 1826. Ruffini is credited for a first formulation and proof [21] from 1799. Abel writes about Ruffini: “[...]; but his memoir is so complicated that it is very hard to assess the correctness of his reasoning. It seems to me that his reasoning is not always satisfactory.” [1, volume 2, chapter XVIII]

In fact, we developed a formal proof of the more general theorem – attributed to Galois [9] in his memoir from 1830 – which provides an explicit necessary and sufficient condition for the existence of solutions by radical, and we also formalize an example of non-solvable quintic, obtained as a corollary of the latter. This Galois theorem is an emblematic result of Galois theory, which studies field extensions of commutative fields via a correspondence with groups of permutations of roots of polynomials.

This formalization endeavor builds on an existing library covering elementary results in Galois theory, developed by Georges Gonthier and Russell O’Connor in the Mathematical



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44 Components library [25], for the purpose of the formal proof of the Odd Order theorem [12].  
 45 As there is no published description of this material, we provide where needed a description  
 46 of the material from this contribution that we rely on.

47 The formalized proof is constructive, and relies on nothing but the axioms and rules of  
 48 the foundational framework implemented by Coq. The code of this formalization is available  
 49 on <https://github.com/math-comp/Abel> version 1.1.2. Every numbered definition, lemma  
 50 or theorem in this paper is our contribution, and we hyperlinked red underlined definitions.

## 51 2 Background and outline

52 Throughout this section, we consider a field  $K$  of characteristic 0 and a polynomial  $P \in K[X]$ .  
 53 We study the solvability by radicals of the equation  $P(X) = 0$ , also termed the solvability by  
 54 radicals of  $P$ . An easy case is when all the roots of  $P$  are in  $K$ , i.e., when  $F$  *splits*  $P$ . In the  
 55 general case, the idea is to consider successive *field extensions*  $F$  over  $K$ , i.e., fields  $F$  such  
 56 that  $K \subset F$ . These extensions are built so as to gradually encompass all the roots of  $P$ .

57 In the rest of the paper, we write  $F/K$  to denote that  $F$  is a field extension over  $K$ . Given  
 58 such an extension, the larger field  $F$  is a  $K$ -vector space and we can consider its dimension –  
 59 called the *degree of the extension* and written  $[F : K]$ . A field extension is said to be *finite*  
 60 when its degree is finite. In the present paper, all the field extensions under consideration are  
 61 finite and we sometimes simply refer to them as “field extensions”. If  $x_0, \dots, x_n$  are elements  
 62 of  $F$ , we denote by  $K(x_1, \dots, x_n)$  the smallest field which contains  $K$  and  $x_i$  for all  $i \leq n$ .  
 63 Note that both  $K(x_1, \dots, x_n)/K$  and  $F/K(x_1, \dots, x_n)$  are field extensions. The *splitting*  
 64 *field* of  $P \in F[X]$  is the smallest field extension of  $F$  which splits  $P$ .

65 Let  $F/K$  be a field extension. An element  $x$  of  $F$  is said to be *algebraic over  $K$*  if it  
 66 is a root of some nonzero polynomial with coefficients in  $K$ . The field extension  $F/K$  is  
 67 called *algebraic* when all its elements are algebraic over  $K$ . Moreover if  $F$  is a splitting field  
 68 for some polynomial in  $K[X]$ , the extension  $F/K$  is said to be *normal*. Last, the *minimal*  
 69 *polynomial* of an element  $x$  of  $F$  is the monic polynomial of minimal degree among all the  
 70 nonzero polynomials with coefficients in  $K$  and having  $x$  as a root.

71 ► **Definition 1** (radical, solvable by radicals). *Let  $F/K$  be a field extension.  $F/K$  is called a*  
 72 *simple radical extension if there exists  $x \in F$  and a positive integer  $n \in \mathbb{N}^*$  such that  $x^n \in K$*   
 73 *and  $F = K(x)$ . A radical series is a tower  $F_0 \subset \dots \subset F_n$  where  $F_k/F_{k-1}$  is a simple radical*  
 74 *extension for  $k \in \{1, \dots, n\}$ . A field extension  $F/K$  is a radical extension if there is a radical*  
 75 *series  $K = F_0 \subset \dots \subset F_n = F$ . It is a solvable by radicals extension if there is a radical*  
 76 *extension  $E/K$  such that  $F \subset E$ .*

77 *A polynomial  $P \in K[X]$  is solvable by radicals if it splits in a radical extension of  $K$ .*

78 The crux of the method is, given a splitting field  $F$  of a polynomial  $P$  over  $K$ , to study  
 79 the field automorphisms of  $F$  that fix  $K$  point-wise, thereby permuting the roots  $P$ .

80 More generally, given a field (finite) extension  $F/K$ , the set of automorphisms of  $F$  that  
 81 fix  $K$  point-wise is always a group. We call it  $\text{Gal}(F/K)$ , the *Galois group* of the extension  
 82  $F/K$ . Moreover, if  $\text{Gal}(F/K)$  fixes *exactly*  $K$ , the extension  $F/K$  is then said to be a *Galois*  
 83 *extension*. In this case, the order of the Galois group  $\text{Gal}(F/K)$  is equal to the degree of  
 84 the extension  $[F : K]$ . Some properties of  $\text{Gal}(F/K)$  hold without  $F/K$  being Galois, e.g.,  
 85 the inclusion  $\text{Gal}(F/M) \subset \text{Gal}(F/K)$  when  $M \subset K$ . Every Galois extension is a normal  
 86 extension and since we assumed  $K$  has characteristic zero, every normal extension  $F/K$  is a  
 87 Galois extension.

The first theorem that has been formally proven in this paper states that the Galois group of a polynomial  $P$  contains all the information about the solvability of the corresponding polynomial equation:

► **Theorem 2** (Galois). *A polynomial  $P \in F[X]$  is solvable by radicals if and only if its Galois group is solvable.*

We recall that a group  $G$  is *solvable* if it is close to being abelian, in the sense that there exists a normal series  $\{e\} = G_0 \triangleleft \dots \triangleleft G_n = G$  of  $G$ , whose factors  $G_{k+1}/G_k$  are all abelian.

**Proof.** Lemma 11 from Section 4 addresses the right to left direction. Lemma 19 from Section 5 shows the converse direction. Section 6.1 proves the theorem for  $F = \mathbb{Q}$ . Finally Section 8.3 explains how to generalize this both in constructive and classical logic contexts. ◀

In other words, Theorem 2 reduces the problem of the solvability by radicals of a polynomial to the analysis of the solvability of its Galois group and allows us to deduce the following one:

► **Theorem 3** (Abel-Ruffini). *There is no solution by radicals to general polynomial equations of degree five or higher.*

**Proof.** It suffices to show that there is a polynomial over  $\mathbb{Q}$  which is not solvable by radicals because otherwise the general solution would apply. Theorem 22 in Section 6.3 shows that the polynomial  $X^5 - 4X + 2$  is not solvable by radicals. ◀

For the sake of clarity, and unless otherwise stated, in the rest of the paper we focus on the specific case where the base field  $K$  has characteristic zero. For instance, the base field of Theorem 3 is simply  $\mathbb{Q}$ , the field of rational numbers. However, in the formal development, we have striven to provide definitions that are general enough to also apply to the positive characteristic case. Typically, in the case of nonzero characteristic, a normal (hence algebraic) extension  $F/E$  is Galois only in the case where it is also *separable* – i.e. if for any  $x \in F$ , the minimal polynomial of  $x$  is separable, i.e., has only simple roots. A substantial amount of our formal development thus applies to the case of positive characteristic as well. We discuss this more in details in Section 9.

### 3 Formal definitions

Throughout this paper, and unless explicitly mentioned, we consider a (finite) field extension  $L/F_0$ , which will serve as an ambient larger locus, fixing a common type for the elements of the various fields at stake. As discussed in Section 2, the reader can safely assume that  $L$  has characteristic zero.

In fact, we also assume this extension to be *normal*, that is, that  $L$  is the splitting field of a certain polynomial in  $F_0[X]$ . We will thus use letters  $E, F, K$  for sub-fields of  $L$  that are themselves extensions over  $F_0$ . This formalization choice can be compared to the use of an ambient `finGroupType` in the formalization of finite group theory [12, 16].

In Coq, these assumptions amount to opening a section sharing variables `F0` and `L`, as well as implicit type declarations for letters `E, F, K`:

```
Variables (F0 : fieldType) (L : splittingFieldType F0).
Implicit Types (E F K : {subfield L}).
```

### 3:4 A Coq proof of Abel – Ruffini theorem

130 Considering a normal ambient field extension  $L/F_0$  ensures, without loss of generality, that  
 131 the ambient  $L$  is large enough so that for each subfield  $E$  of  $L$ , it is possible to find a Galois  
 132 extension  $F/E$ , where  $F$  is a subfield of  $L$ .

133 Of course, when  $F/E$  is itself a field extension, it remains possible to see  $F$  as a vector  
 134 space over  $E$ : for instance `\dim_E F` refers to the dimension of  $F$  as a vector space over  $E$ , i.e.,  
 135 to the degree  $[F : E]$  of the extension. Note that as a rule of thumb, notations are designed  
 136 so as to be well-formed as often as possible. For example, `\dim_E F` is actually defined as  
 137 the Euclidean quotient of  $[F : F_0]$  by  $[E : F_0]$ , and thus does not require `E` to be included  
 138 in `F`. These formalization choices, inherited from the design of the **Mathematical Components**  
 139 library for linear algebra [11], significantly contribute to reduce the bureaucratic workload in  
 140 proofs.

141 In this work, we benefit from the formalized basic concepts and results in Galois theory  
 142 available in the **Mathematical Components** library [12], notably from the available proof of the  
 143 fundamental theorem of Galois theory. The corresponding libraries actually introduce the  
 144 vocabulary related to field extensions and Galois groups. In particular, `'Gal(F/E)` refers to  
 145 the Galois group of a field extension  $F/E$ . Here as well, this notation is well formed for any  
 146 `E, F : {subfield L}`, regardless of any inclusion property, and actually refers to  $\text{Gal}(F/E \cap F)$   
 147 and is a group, regardless of whether  $F/E$  is a Galois extension.

148 We lack space to further comment on all the **Coq** definitions involved in the present  
 149 formal proof, but we provide in Figure 1 a correspondence table between the **Mathematical**  
 150 **Components** syntax and the related mathematical objects.

## 4 From solvable Galois groups to solvable extensions

152 In this section, we consider  $E$  and  $F$  two sub-fields of an ambient common normal extension  
 153  $L$  and we study sufficient conditions for the field extension  $F/E$  to be solvable by radical.  
 154 As these conditions may involve assumptions of primitive roots of unity, we thus enrich the  
 155 formal context given in Section 3 with the following declarations:

```
156 Implicit Types (w : L) (n : nat).
157
```

159 First, we prove the result in the case of an abelian Galois extension, that is, a Galois  
 160 extension which Galois group is abelian. In this case, we can prove that the extension is  
 161 radical.

162 ► **Lemma 4.** *An abelian Galois extension  $F/E$  of degree  $n$  is radical as soon as  $E$  contains  
 163 a primitive  $n^{\text{th}}$  root of unity.*

```
164 Lemma abelian_radical_ext w E F (n := \dim_E F) : n.-primitive_root w →
165 w \in E → galois E F → abelian 'Gal(F / E) → radical.-ext E F.
166
```

168 **Proof.** The proof goes by exhibiting a basis  $(r_i)$  of  $F$ , seen as a vector space over  $E$ , such  
 169 that for any  $u$  in  $G = \text{Gal}(F/E)$  and for any  $i \in \{1, \dots, n\}$ ,  $u(r_i) = \lambda r_i$ , where  $\lambda$  is some  $n^{\text{th}}$   
 170 root of unity. Indeed, as in this case  $u(r_i^n) = r_i^n$ , we have  $r_i^n \in E$  for any  $i$ , which concludes  
 171 the proof.

172 Let  $u$  be an element of  $G$ . Since  $|G| = [F : E] = n$ , by Lagrange's theorem of finite group  
 173 theory, we have  $u^n = \text{id}$ . Therefore the minimal polynomial of  $u$  in  $E$  divides the polynomial  
 174  $X^n - 1$ . But since the latter is square-free and splits over  $E$  (for  $E$  contains a primitive  $n^{\text{th}}$   
 175 root of unity), so is the minimal polynomial of  $u$ , and  $u$  is thus diagonalizable. Moreover,  
 176 since  $G$  is abelian, all its elements are co-diagonalizable. As a consequence, there exists a

<code>R : ringType</code>	$R$ is a ring, whose elements are the terms $x : R$
<code>p %= q</code>	the polynomials $P$ and $Q$ are equal up to a unit of $R$
<code>'X</code>	$X \in R[X]$ the indeterminate
<code>x *: p</code>	the polynomial $xP$ with $x \in R$ and $P \in R[X]$
<code>x%:P</code>	the constant polynomial $x \in R[X]$
<code>p ^^ f</code>	the image of $P \in R[X]$ by a ring morphism $f : R \rightarrow R'$
<code>F0 : fieldType</code>	$F_0$ is a field, whose elements are the terms $x : L$
<code>prime n</code>	the natural number $n \in \mathbb{N}$ is prime
<code>n != 0 :&gt; F0</code>	$n$ is nonzero in $F_0$
<code>has_char0 F0</code>	$F_0$ has characteristic 0
<code>n.-primitive_root w</code>	$\omega$ is a primitive $n^{\text{th}}$ root of unity (we use the ASCII character <code>w</code> instead of the greek letter $\omega$ )
<code>x : L</code>	$x$ is an element of the field $L$
<code>E, F, K : {subfield L}</code>	$E, F, K$ are subfields of $L$ , with base field $F_0$
<code>\dim_E F</code>	the dimension of $F$ over $E$ , i.e., its degree $[F : E]$
<code>x \in E</code>	$x$ is in the subset $E$ of $L$
<code>(E ≤ F)</code>	$E \subset F$ , i.e., $E$ is a subfield of $F$
<code>1 : {subfield L}</code>	$F_0$ , seen as a subfield of $L$
<code>{:L} : {subfield L}</code>	$L$ , seen as a subfield of $L$
<code>&lt;&lt;E ; x&gt;&gt; : {subfield L}</code>	by definition we always have $x \in \{ : L \}$ for $x : L$ $E(x)$ , the smallest field generated by $E$ and $x \in L$
<code>&lt;&lt;E &amp; s&gt;&gt; : {subfield L}</code>	$E(s)$ , the smallest field generated by $E$ and the sequence $s$
<code>(E &amp;: F) : {subfield L}</code>	$E \cap F$ , i.e., a field with carrier $\{x \mid x \in E \wedge x \in F\}$
<code>(E * F) : {subfield L}</code>	compositum $EF$ , i.e., a field with carrier $\{xy \mid x \in E, y \in F\}$
<code>iota : 'AHom(L,L')</code>	$\iota : L \rightarrow L'$ is an $F_0$ -algebra morphism
<code>(iota @: E)</code>	$\iota(E)$ , it is the image of $E$ by $\iota$ , it is a subfield of $L'$
<code>splittingFieldFor E p F</code>	$F = E(\vec{x})$ where $p \in L[X]$ has roots $\vec{x}$
<code>L : splittingFieldType F0</code>	$L$ is a splitting field extension of the field $F_0$ , as a type; this is equivalent to the existence of <code>p</code> with coefficients in <code>1</code> , such that <code>splittingFieldFor 1 p {:L}</code>
<code>minPoly E x : {poly L}</code>	the minimal polynomial of $x$ over $E$
<code>normalField E F : {subfield L}</code>	the subfield extension $F/E$ is normal
<code>separable E F : {subfield L}</code>	the subfield extension $F/E$ is separable
<code>galois E F : {subfield L}</code>	the subfield extension $F/E$ is Galois
<code>radical E x n</code>	$x^n \in E$ with $n > 0$ , i.e., the element $x$ is radical in $E$
<code>pradical E x p</code>	$x^p \in E$ and $p$ is prime
<code>r.-ext E F</code>	$F/E$ is “ <b>r</b> ”, where “ <b>r</b> ” is either <code>radical</code> or <code>pradical</code>
<code>solvable_by r E F</code>	$F/E$ is solvable by “ <b>r</b> ”, where “ <b>r</b> ” is either <code>radical</code> or <code>pradical</code>
<code>'Gal(F/E)</code>	the Galois group of the subfield extension $F/E$
<code>phi @* G</code>	the image of the group $G$ by the morphism $\varphi$
<code>abelian G</code>	$G$ is abelian
<code>solvable G</code>	$G$ is solvable

■ **Figure 1** Correspondence between Coq syntax and mathematical vocabulary

### 3:6 A Coq proof of Abel – Ruffini theorem

177 common basis  $(r_i)$  of eigenvectors for all elements of  $G$ , i.e., a basis  $(r_i)$  such that for all  $u$  in  
 178  $G$ ,  $u(r_i) = \lambda r_i$  for some eigenvalue  $\lambda$  in  $E$ . Since these eigenvalues are roots of the minimal  
 179 polynomial  $X^n - 1$  of  $u$ , we have  $\lambda^n = 1$ . ◀

180 Lemma 4 illustrates the role of linear algebra in Galois theory. However, at the start of  
 181 this project, the corresponding chapter, about standard results on the diagonalization of  
 182 matrices, was completely missing from the **Mathematical Components** library. Formalizing  
 183 this chapter is one of the spin-off contributions of the present work.

184 The next step is to generalize the result to the case of a *solvable* Galois group: in this case  
 185 the corresponding field extension is called a solvable extension. The proof goes by applying  
 186 Lemma 4 to each of the (abelian) quotients involved in the corresponding normal series, and  
 187 concludes by gluing radical extensions.

188 ► **Lemma 5.** *A solvable Galois extension  $F/E$  of degree  $n$  is radical, as soon as  $E$  contains  
 189 a primitive  $n^{\text{th}}$  root of unity.*

```
190 Lemma solvableWrational_ext w E F (n := \dim_E F) : n.-primitive_root w →
191 w \in E → galois E F → solvable 'Gal(F / E) → radical.-ext E F.
```

194 **Proof.** We proceed by strong induction on  $n$ , the degree of the field extension. Let  $F/E$  be  
 195 a Galois extension of degree  $n$ , and suppose that its Galois group  $G$  is solvable. If  $n = 1$ , the  
 196 extension is trivial, hence  $G$  is solvable. Otherwise, by definition,  $G$  has a normal and solvable  
 197 subgroup  $H$  of prime index. In particular  $H \neq G$  and the quotient  $G/H$  is abelian. Let  $F^H$   
 198 be the field fixed by  $H$  (point-wise). Then, the extension  $F/F^H$  is Galois and solvable, of  
 199 degree strictly smaller than  $n$ , and  $F^H/E$  is an abelian Galois extension. We conclude that  
 200  $F/E$  is radical by combining the induction hypothesis with Lemma 4. ◀

201 The main ingredient in the proof of Lemma 5 is the properties of the field extensions  $F/F^H$   
 202 and  $F^H/E$ . These were obtained from the theory of  $F^H$ , for  $H$  subgroup of a Galois group,  
 203 already present in the **Mathematical Components** library.

204 We can relax the hypothesis that  $E$  should contain the  $n^{\text{th}}$  roots of unity, and transfer  
 205 it to the ambient field, to the price of weakening the conclusion: in this case,  $F$  is only  
 206 solvable by radicals. This crux of the proof relies on the properties of the Galois group of a  
 207 compositum extension, which were not present in the **Mathematical Components** library. In  
 208 particular, we use the following fact:

► **Lemma 6.** *Let  $E/K$  be a Galois extension and  $F$  a sub-field of  $E$ . Then:*

$$\text{Gal}(KF/F) \simeq \text{Gal}(K/K \cap F)$$

```
209 Lemma galois_isog (k K F : {subfield L}) : galois k K → k ≤ F →
210 'Gal((K * F) / F) \isog 'Gal (K / K ∩ F)
```

213 **Proof.** See for instance Lang's proof [15, VI, §1, Theorem 1.12]. ◀

214 ► **Lemma 7.** *A solvable Galois extension  $F/E$  of degree  $n$  is solvable by radicals, as soon  
 215 as  $E$  and  $F$  are sub-fields of a common normal extension  $L$ , which contains a primitive  $n^{\text{th}}$   
 216 root of unity in  $L$ .*

```
217 Lemma galois_solvable_by_radical w E F (n := \dim_E F) : n.-primitive_root w →
218 galois E F → solvable 'Gal(F / E) → solvable_by radical E F.
```



**Proof.** Let  $F/E$  a Galois extension of degree  $n$ , with  $E, F$  sub-fields of  $F$ . Let  $\omega \in L$  be a primitive  $n^{\text{th}}$  root of unity. The proof goes by showing that the extension  $FE(\omega)/E$  is radical. Since  $E(\omega)/E$  is a simple radical extension, it suffices to show  $FE(\omega)/E(\omega)$  is radical.

Since  $F/E$  is a Galois extension, then so is  $FE(\omega)/E(\omega)$ . Let  $m$  be the degree of  $FE(\omega)/E(\omega)$ . By Lemma 6,  $\text{Gal}(FE(\omega)/E(\omega))$  is isomorphic to  $\text{Gal}(F/F \cap E(\omega))$ , which is thus of order  $m$  as well. But since  $\text{Gal}(F/F \cap E(\omega))$  is a subgroup of  $\text{Gal}(F/E)$ , its order  $m$  divides  $n$ , the order of  $\text{Gal}(F/E)$ . Consider  $\omega' = \omega^{\frac{n}{m}}$ . It is an element of  $E(\omega)$ , and thus of  $FE(\omega)$ , and a primitive root of unity. We can apply Lemma 5 on the extension  $FE(\omega)/E(\omega)$ , and the  $m^{\text{th}}$  primitive root of unity  $\omega'$  as soon as we show that  $\text{Gal}(FE(\omega)/E(\omega))$  is solvable. Which is the case because it is isomorphic to  $\text{Gal}(F/F \cap E(\omega))$ , itself solvable as a subgroup of  $\text{Gal}(F/E)$ . ◀

The final result of the section trades the assumption on the solvability of the Galois group for the solvability of the extension itself, i.e., for the solvability of the Galois group of the extension by the normal closure.

► **Definition 8.** The normal closure  $\text{NCl}_E(F)/E$  of  $F/E$  is the smallest (for field inclusion) field extension of  $F$  that is normal over  $E$ .

► **Definition 9.** An extension  $F/E$  is solvable if  $F/E$  (is separable) and  $\text{Gal}(\text{NCl}_E(F)/E)$  is solvable.

► **Remark 10.** Note that in the case of zero characteristic, the separability requirement vanishes. A Galois extension  $F/E$  is solvable if and only if  $\text{Gal}(F/E)$  is solvable (as a group).

By definition of the normal closure, if an extension  $F/E$  is solvable, then  $\text{NCl}_E(F)/E$  is Galois. Therefore, solvability by radicals follows from the solvability of an extension, as an immediate corollary of Lemma 7.

► **Lemma 11.** Let  $F/E$  be a solvable extension, and  $n$  the degree of the extension  $\text{NCl}_E(F)/E$ .  $F/E$  is solvable by radicals as soon as  $L$  contains a primitive  $n^{\text{th}}$  root of unity.

```

246 Lemma ext_solvable_by_radical w E F (n := \dim_E (normalClosure E F)) :
247   n.-primitive_root w → solvable_ext E F → solvable_by_radical E F.
248

```

**Proof.** Since  $F/E$  is solvable,  $\text{Gal}(\text{NCl}_E(F)/E)$  is solvable. Thus Lemma 7 applies and proves that  $\text{NCl}_E(F)/E$  is solvable by radicals. Since  $F \subset \text{NCl}_E(F)$ , then  $F/E$  is solvable by radical as well. ◀

## 5 From solvable by radicals extensions to solvable extensions

Recall that  $L/F_0$  is an ambient normal field extension. We first establish two useful results on simple radical extensions  $E(x)/E$  for  $E$  a sub-field of  $L$ . When  $x$  is a root of unity, the extension  $E(x)/E$  is called a *cyclotomic* extension. A cyclotomic extension is a Galois and solvable extension.

► **Lemma 12.** Suppose that  $L$  contains  $\omega$ , an  $n^{\text{th}}$  primitive root of unity for  $n$  a positive integer. Consider  $E$  a sub-field of  $L$  and  $x \in L$  such that  $x^n \in E$ . Then, the extension  $E(\omega, x)/E$  is Galois. In particular if  $\omega \in E$ , then  $E(x)/E$  is Galois.

```

261 Lemma galois_cyclo_radical (n : nat) (w x : L) (E : {subfield L}):
262   p.-primitive_root w → p > 0 → x ^+ p ∈ E → galois E << <<E; w>> ; x >>.
263

```



### 3:8 A Coq proof of Abel – Ruffini theorem

**Proof.** If  $x \in E$ , the conclusion is immediate. We can thus suppose that  $x \neq 0$  and  $n > 1$ . In this case, the polynomial  $P = X^p - x^n \in E[X]$  is separable, since it has  $n$  distinct roots, of the form  $x\omega^i$ , for  $i = 0 \dots n-1$ . Moreover,

$$\begin{aligned} E(x, x\omega, \dots, x\omega^{n-1}) &= E(x, x\omega)(x\omega^2, \dots, x\omega^{n-1}) && \text{since } n > 0 \\ &= E(\omega, x)(x\omega^2, \dots, x\omega^{n-1}) && \text{since } x \neq 0 \\ &= E(\omega, x) && \text{since } x\omega^i \in E(\omega, x) \end{aligned}$$

It follows that  $E(\omega, x)$  is a splitting field of  $P$ , and therefore that  $E(\omega, x)/E$  is Galois. ◀

► **Lemma 13.** Suppose that  $L$  contains  $\omega$ , a  $p^{\text{th}}$  primitive root of unity for  $p$  a prime number. Consider  $E$  a subfield of  $L$  and  $x \in L$  such that  $x^p \in E$ , but  $x \notin E$ . Then, the minimal polynomial of  $x$  over  $E$  is  $X^p - x^p$ .

As a consequence,  $\text{Gal}(E(x)/E)$  is of prime order and is thus cyclic, hence abelian (and solvable).

```

275 Lemma minPoly_pradical (p : nat) (w x : L) (E : {subfield L}):
276   p.-primitive_root w → prime p → w \in E → x \notin E → x ^+ p \in E →
277   minPoly E x = 'X^p - (x ^+ p)%P.
278

```

**Proof.** Let  $P \in E[X]$  be the minimal polynomial of  $x$  over  $E$ . By minimality,  $P$  divides any polynomial over  $E$  that cancels  $x$ . In particular,  $P$  divides  $X^p - x^p = \prod_{i < p} (X - x\omega^i)$ . Hence there is a subset  $S$  of  $I_p = \{i \mid i < p\}$  such that  $P = \prod_{i \in S} (X - x\omega^i)$ . Since  $P$  cancels  $x$ ,  $S$  contains  $x$ , therefore  $|S|$  is positive. It suffices to show  $|S| \geq p$ , because then  $S = I_p$  and  $P = X^p - x^p$ . Since  $|S|$  is positive, it is sufficient to prove that  $p$  divides  $|S|$ .

First, note that  $p$  divides any  $k$  such that  $x^k \in E$ . Indeed, if  $k$  and  $p$  were coprime, Bézout's identity would provide  $m, n \in \mathbb{Z}$  such that  $km + pn = 1$ . As a consequence, we would have  $x = (x^k)^m + (x^p)^n \in E$ , contradicting our assumption that  $x \notin E$ .

Now the constant coefficient of  $P$  is  $x^{|S|}\Omega$ , where  $\Omega = \prod_{i \in S} \omega^i$  is a nonzero element of  $E$ , hence  $x^{|S|} \in E$  and  $p$  divides  $|S|$ . ◀

In order to get rid of the assumption that the ambient  $L$  contains a suitable root of the unity, we prove that the normal closure of a subfield of  $L$ , as well as the Galois group of an extension in  $L$ , are preserved up to isomorphism when  $L$  is extended with some roots of unity.

Consider  $L/F_0$  and  $L'/F_0$  two normal extensions,  $\iota : L \rightarrow L'$  an  $F_0$ -algebra morphism, and  $F/E$  a field extension in  $L$ .

► **Lemma 14.** There is a group isomorphism  $\text{Gal}(F/E) \rightarrow \text{Gal}(\iota(F)/\iota(E))$ , which we also denote  $\iota$ .

In Coq the group (iso)morphism corresponding to  $\iota$  is called `map_gal`.

```

299 Lemma map_gal_inj : 'injm (map_gal iota).
300
301 Lemma img_map_gal : map_gal iota @* 'Gal(F / E) = 'Gal(iota @: F / iota @: E).
302

```

The properties of this morphism are key to the preservation of normal extensions, separable extensions, Galois extensions, normal closures and solvable extensions under the associated algebra isomorphism.

► **Lemma 15.** The extension  $\iota(F)/\iota(E)$  is normal (resp. separable, Galois, solvable) if and only if  $F/E$  is normal (resp. separable, Galois, solvable), and  $\iota(\text{NCl}_E(F)) = \text{NCl}_{\iota(E)}(\iota(F))$ .

```

308 Lemma normalField_aimg : normalField (iota @: E) (iota @: F) = normalField E F.
309 Lemma separable_aimg : separable (iota @: E) (iota @: F) = separable E F.
310 Lemma galois_aimg : galois (iota @: E) (iota @: F) = galois E F.
311 Lemma solvable_ext_aimg : solvable_ext (iota @: E) (iota @: F) = solvable_ext E F.
312 Lemma aimg_normalClosure :
313   iota @: normalClosure E F = normalClosure (iota @: E) (iota @: F).
314

```

316 The combination of Lemma 15 with Lemma 16 makes possible to extend, if needed, the  
 317 ambient field with a primitive root of unity so as to prove that a certain extension is normal  
 318 (resp. separable, Galois, or solvable).

319 ► **Lemma 16.** *Let  $L/F_0$  be an ambient normal field extension and  $n$  a natural number  
 320 coprime with the characteristic of  $F_0$ . There is an ambient normal field extension  $L'/F_0$ ,  
 321 a primitive  $n^{\text{th}}$  root of unity  $\omega \in L'$  and an  $F_0$ -algebra morphism  $\iota : L \rightarrow L'$ , such that  
 322  $\iota(L)(\omega) = L'$ .*

323 We can now state and prove properties of simple (prime) radical extensions which do not  
 324 require any assumption on the presence of a root of unity.

325 ► **Lemma 17.** *Let  $p$  be prime number such that  $p \neq 0$  in  $F_0$ . Let  $x \in L$  and  $E$  a subfield  
 326 of  $L$  such that  $x^p \in E$ . The extension  $E(x)/E$  is solvable.*

327 Note that because of the definition of a solvable extension,  $E(x)/E$  need not be Galois.

```

328 Lemma pradicall_solvable_ext (p : nat) (x : L) (E : {subfield L}) :
329   prime p → p != 0 :=> F0 → x ^+ p \in E → solvable_ext E <<E; x>>.
330

```

332 **Proof.** Without loss of generality, we can assume the existence of  $\omega \in L$  a primitive  $p^{\text{th}}$  root  
 333 of unity. Indeed, Lemma 16 gives the existence of a field extension  $L'$  and an embedding  
 334  $\iota : L \rightarrow L'$ , where  $L'$  contains a  $p^{\text{th}}$  primitive root of unity (since  $p \neq 0$  in  $F_0$ ). Now we may  
 335 prove  $\iota(E(x))/\iota(E)$  is Galois and “transfer” the result to  $E(x)/E$  using Lemma 15.

336 In order to prove  $E(x)/E$  is solvable, it suffices to find a Galois extension of  $E(x)$  that is  
 337 solvable. Because of Lemma 12,  $E(\omega, x)/E$  is Galois. Now both  $E(\omega)/E$  (because it is cyclo-  
 338 tomic) and  $E(\omega, x)/E(\omega)$  (by Lemma 13) are Galois and solvable. Hence,  $\text{Gal}(E(\omega, x)/E(\omega))$   
 339 is a normal subgroup of  $\text{Gal}(E(\omega)/E)$ , therefore  $E(\omega, x)/E$  is solvable. ◀

340 The final lemma of this section is often stated in the literature in the following way: “If  
 341  $F/E$  is Galois and solvable by radicals then  $\text{Gal}(F/E)$  is solvable”. While this is true, this  
 342 does not allow for a proof by induction as such since intermediate extensions of the radical  
 343 series of  $F/E$  need not be Galois over  $E$ . Rigorous proofs must strengthen the induction.  
 344 One way to do so is by introducing the notion of solvable extension which, contrarily to the  
 345 notion of Galois extension, is transitive:

346 ► **Lemma 18** (solvability of extensions is transitive). *If  $F/E$  and  $K/F$  are solvable extensions,  
 347 then  $K/E$  is solvable.*

348 **Proof.** We essentially follow the proof from Lang [15, VI, §7, Proposition 7.1], except that  
 349 instead of in-lining the definition of the normal closure in a particular case, we define and  
 350 study normal closures for their own interest, which eventually results in a shorter proof. ◀

351 We are now ready to state the final and main result of this section, and to avoid assuming  
 352 that the extension  $F/E$  is Galois, in addition to being solvable by radicals. The proof is a  
 353 straightforward induction on the height of the radical series.

### 3:10 A Coq proof of Abel – Ruffini theorem

► **Lemma 19.** *If  $F/E$  is solvable by radicals then  $F/E$  is a solvable extension.*

```

355 Lemma radical_ext_solvable_ext (E F : {subfield L}) : has_char0 L → E ≤ F →
356   solvable_by_radical E F → solvable_ext E F.
357

```

**Proof.** Let  $F/E$  be a solvable by radicals extension, it is also solvable by prime radicals, so there exists a prime radical extension tower  $E = E_0 \subset E_1 \subset \dots \subset E_n$  such that  $F \subset E_n$ . Since every intermediate extension  $E_{i+1}/E_i$  is solvable, by Lemma 17, we conclude by induction and by Lemma 18 that  $E_n/E_0$  is solvable. Since  $F \subset E_n$ ,  $F/E$  is also solvable. ◀

Note that this proof goes by induction on the length of the tower. Curiously, some references (such as the French wikipedia page on the Abel-Ruffini Theorem as of 2021-04-20) do not rely on solvable extensions, or define it as “being Galois and solvable” instead of “having a Galois field extension that is solvable”. Unfortunately, under such variations, we lack a transitivity property analogue to Lemma 18, which dooms to failure any attempt of a similar proof by induction. Actually, we conjecture<sup>1</sup> that there is a tower of cyclic extensions of height two  $\mathbb{Q}^{\text{ab}} \subset K \subset L$  where both  $K/\mathbb{Q}^{\text{ab}}$  and  $L/K$  are simple radical Galois extensions, but where  $L/\mathbb{Q}^{\text{ab}}$  is not Galois (even though  $\mathbb{Q}^{\text{ab}}$  contains all roots of unity). Such a counterexample would imply that any proof by induction where the induction hypothesis has the form “ $E_n/E_0$  is Galois and [...]” is bound to fail.

Hence, some references end up applying Galois’ fundamental theorem in a context where a premise – that some extension is Galois – does not hold. And those who exhibit a correct proof without relying on solvable extensions must reconstruct a radical series gradually, by adding all possible conjugates over the smallest field of the tower, at each step, which is exactly what is factored out in the definition of a solvable extension and in Lemma 18.

Moreover, all the proofs we found in the literature – including the ones relying on solvable extensions, such as in *Algebra*, Lang [15, VI, §7, Theorem 7.2] – delve into details about picking an appropriate primitive root of unity  $\omega$  (e.g., using the least common multiple of all the prime exponents involved in the radical series) and reconstruct the full radical extension starting with the cyclotomic field extension  $E(\omega)/E$  before starting an induction. We observe here that this detour is completely unnecessary when using solvable extensions.

## 6 Galois and Abel-Ruffini theorems

In this section we specialize results to  $\mathbb{Q}$ , which is sufficient to obtain the unsolvability of the general quintic. For possible generalizations of the results stated here, we refer to the discussions in Sections 8 and 9.

### 6.1 Galois’ theorem

For a given polynomial in  $P \in \mathbb{Q}[X]$ , splitting fields for  $P$  over  $\mathbb{Q}$  always exist, are isomorphic to each other and embed in the algebraic numbers (noted  $\bar{\mathbb{Q}}$  in math style and `algC` in Coq) and though this embedding can be seen as a number field. We pick such a splitting field and call it  $\mathbb{Q}(P)$ , the splitting field of  $P$ . We pose the convention  $\mathbb{Q}(0) = \mathbb{Q}$ .

We write `numfield p` for  $\mathbb{Q}(P)$  in Coq, it has type `splittingFieldType rat`, and there is a morphism `numfield_inC` : {rmorphism numfield p → algC} embedding  $\mathbb{Q}(P)$  in  $\bar{\mathbb{Q}}$ . There is also a function `numfield_roots` : {poly rat} → seq (numfield p) which lists the roots of  $P$ .

<sup>1</sup> <https://mathoverflow.net/questions/381824>

396 A polynomial  $P$  is solvable by radical if there is a field  $L$  that splits  $P$ , and such that  
 397  $L/K$  is solvable by radical. Note that  $L$  need not be  $\mathbb{Q}(P)$ , indeed the radicals involved in  
 398 the decomposition of  $L$  may not belong to  $\mathbb{Q}(P)$ .

399 ► **Definition 20.** A nonzero polynomial  $P \in \mathbb{Q}[X]$  is solvable by radicals if there is a field  
 400 extension  $L$  and a subfield  $K$  of  $L$  which is a splitting field for  $P$ , and such that the extension  
 401  $K/\mathbb{Q}$  is solvable by radicals.

402 In Coq we use a slightly different definition (see Section 8) which we prove equivalent to  
 403 the mathematical one.

```
404 Lemma solvable_poly_ratP (p : {poly rat}) : p != 0 →
405   solvable_by_radical_poly p ↔
406   ∃ L : splittingFieldType rat, ∃ K : {subfield L},
407   splittingFieldFor 1 (p ^^ in_alg L) K ∧ solvable_by_radical 1 K.
```

410 We can now recall Theorem 2 (Galois) and prove it formally for  $F = \mathbb{Q}$ :

411 ► **Theorem 2 (Galois).** A polynomial  $P \in F[X]$  is solvable by radicals if and only if its  
 412 Galois group is solvable.

```
413 Theorem AbelGaloisPolyRat (p : {poly rat}) :
414   solvable_by_radical_poly p ↔ solvable 'Gal({: numfield p} / 1).
```

417 **Proof.** First notice that by Remark 10 the right hand side of the equivalence “ $\text{Gal}(\mathbb{Q}(P)/\mathbb{Q})$   
 418 is solvable”, is the same as  $\mathbb{Q}(P)/\mathbb{Q}$  is a solvable extension. The left to right side is then a  
 419 trivial application of Lemmas 19. And the right to left side consists in first extending  $\mathbb{Q}(P)$   
 420 with a  $[\mathbb{Q}(P) : \mathbb{Q}]^{\text{th}}$  primitive root of unity before applying Lemma 11. ◀

421 Now, in order to prove the Abel-Ruffini theorem, it suffices to exhibit a polynomial of  
 422 degree 5 which Galois group is unsolvable. As in the literature, we pick  $\mathfrak{S}_5$  and prove a  
 423 certain class of polynomials has Galois group  $\mathfrak{S}_5$ : the irreducible rational polynomials with  
 424 Prime Degree and Two Non Real Roots.

## 425 6.2 Irreducible rational polynomials of Prime Degree with exactly Two 426 Non Real Roots

427 ► **Lemma 21.** Irreducible polynomials  $P \in \mathbb{Q}[X]$  of prime degree  $p$  with exactly two non real  
 428 roots have a Galois group over  $\mathbb{Q}$  isomorphic to  $\mathfrak{S}_p$ .

```
429 Lemma PDTNRR.isog_gal (p : {poly rat}) :
430   irreducible_poly p → prime (size p).-1 →
431   count [pred x | numfield_inC p x \isn't Creal] (numfield_roots p) = 2 →
432   'Gal({: numfield p} / 1) \isog 'Sym('I_(size p).-1)
```

435 **Proof.** Let  $P \in \mathbb{Q}[X]$  be an irreducible polynomial of prime degree  $p$ , a sequence  $s = (s_i)_i$  of  
 436 its roots, and  $G = \text{Gal}(\mathbb{Q}(P)/\mathbb{Q})$  its Galois group. We define a group morphism  $\varphi : G \rightarrow \mathfrak{S}_p$ ,  
 437 so that  $\forall i < p, \forall u \in G, s_{\varphi(u)(i)} = u(s_i)$ . In other words  $\varphi$  maps an element  $u$  of the Galois  
 438 group of  $P$  to a permutation of the indices of the sequence  $s$  that is compatible with the  
 439 action of  $u$  on the roots  $s$  of  $P$ . Now, it suffices to show that  $\varphi$  is injective and surjective to  
 440 conclude.

441 ■  $\varphi$  is injective: let  $u$  be such that  $\varphi(u) = \text{id}$ , it suffices to show that  $u = \text{id}$ . Let  $x \in \mathbb{Q}(P)$ ,  
 442  $x$  can be decomposed as a multivariate polynomial  $\mu$  over  $\mathbb{Q}$  applied to the sequence  $s$ ,  
 443 i.e.,  $x = \mu(s)$ . Then  $u(x) = u(\mu(s)) = \mu((u(s_i))_i) = \mu((s_{\varphi(u)(i)})_i) = \mu(s) = x$ .

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444 ■  $\varphi$  is surjective: it suffices to show that there is a transposition  $\tau$  and an element of order  
 445  $p$  in  $\varphi(G)$ . Indeed, since  $p$  is prime number we have  $\mathfrak{S}_p = \langle \tau, c \rangle$ .  
 446 ■ Since  $P$  has exactly two non real roots, there are  $i < j < p$  such that,  $s_i = s_j^*$  and  
 447  $s_k = s_k^*$  if  $k \notin \{i, j\}$ . The complex conjugation  $(\cdot)^*$  belongs to  $G$  and  $\varphi(\cdot^*) = (i \ j) = \tau$ .  
 448 ■ The natural number  $p$  divides  $[\mathbb{Q}(P) : \mathbb{Q}]$  because  $P$  is irreducible. Since  $p$  is prime  
 449 and divides  $G$ , by Cauchy's theorem, there is an element of order  $p$  in  $G$ .  
 450 ◀

451 In Coq we did not link the theory of multivariate polynomials with the theory of field  
 452 automorphism yet, instead we simply iterate on the sequence  $s$  and use univariate polynomials  
 453 in each  $s_i$ .

### 454 6.3 $X^5 - 4X + 2$ is not solvable by radicals

455 There is no general formula for solving equations of degree greater than four (Theorem 3)  
 456 because if there were, the equation  $x^5 - 4x + 2 = 0$  would be solvable.

457 ► **Theorem 22** (Insolvability of the quintic).  $X^5 - 4X + 2$  is not solvable by radicals.

458 **Theorem example\_not\_solvable\_by\_radicals :**  
 459  $\neg \text{solvable\_by\_radical\_poly } ('X^5 - 4 * : 'X + 2 : \{\text{poly rat}\})$ .  
 460

462 **Proof.** By Theorem 2, it suffices to show the galois group of  $\mathbb{Q}(Q)/\mathbb{Q}$  is not solvable, where  
 463  $Q = X^5 - 4X + 2$ .

464 ■ By Lemma 21, it suffices to show  $Q$  is irreducible and has exactly two non real roots.  
 465 Irreducibility is directly given by Eisenstein criterion.  $Q$  has at least three real roots  
 466 in  $\mathbb{Q}$  because there are at least three sign changes:  $Q(-2)Q(-1) < 0$ ,  $Q(-1)Q(1) < 0$ ,  
 467 and  $Q(1)Q(2) < 0$ . Finally since the derivative  $Q' = 5X^4 - 4$  has exactly two real roots  
 468  $(\pm\sqrt{\frac{2}{5}})$ , it means  $Q$  has at most three real roots, hence exactly three.  
 469 ■ To show  $\mathfrak{S}_5$  is not solvable it suffices to show its normal subgroup  $\mathfrak{A}_5$  is not solvable  
 470 either. We conclude by contradiction with the fact that  $\mathfrak{A}_5$  is simple of order  $5 \times 4 \times 3$   
 471 and a simple solvable group must have prime order.  
 472 ◀

### 473 7 Solvability by radicals is what you think

474 We now link the solvability by radical, as defined above, to the existence or not of analytic  
 475 expressions for computing the roots of a given polynomial. Most of the time, this last step is  
 476 considered mundane and is left to the reader. Here we give a formal treatment to it, both for  
 477 intellectual satisfaction but also as a hint that our definition of a radical extension is correct.

478 More formally, for a field  $F$ , we define the grammar of radical expressions  $\mathbb{E}_F$  over  $F$  as  
 479 the set of terms that can be recursively defined from the symbols  $0, 1, x \in F, +, -, *, \cdot^{-1},$   
 480  $\sqrt[n]{\cdot}$  and  $\omega_n$  where  $\sqrt[n]{e}$  (resp.  $\omega_n$ ) stands for a  $n^{\text{th}}$ -root of  $e$  (resp. a  $n^{\text{th}}$ -primitive root of  
 481 unity):

$$482 \quad e \in \mathbb{E} ::= 0 \mid 1 \mid e_1 + e_2 \mid -e \mid e_1 * e_2 \mid e^{-1} \mid \sqrt[n]{e} \mid \omega_n \quad (n \in \mathbb{N}^*)$$

483 In Coq, as expected, we encode this set using an algebraic datatype. We then give an  
 484 interpretation for terms in  $\mathbb{E}$  in terms of algebraic numbers and w.r.t. an evaluation function  
 485 ( $\text{iota} : F \rightarrow \text{algC}$ ):

```

486 Variables (F : fieldType) (iota : F → algC).
487 Fixpoint algT_eval (f : algterm F) : algC :=
488   match f with
489   | Base x      => iota x
490   | 0          => 0
491   | 1          => 1
492   | f1 + f2     => algT_eval f1 + algT_eval f2
493   | - f        => - algT_eval f
494   | f1 * f2     => algT_eval f1 * algT_eval f2
495   | f ^-1      => (algT_eval f)^-1
496   | f ^+ n     => (algT_eval f) ^+ n
497   | n.+1-root f => n.+1.-root (algT_eval f)
498   | j.+1-prim1root => prim1root j.+1
499   end.
500

```

502 It is worth mentioning that, in the listing above, the expressions on the left of  $\Rightarrow$  are  
 503 syntax whereas the ones on the right of  $\Rightarrow$  are semantic, i.e., values in the type `algC` of  
 504 algebraic numbers.

505 We now have all the necessary ingredients to state and prove the equivalence between  
 506 being a solvable by radical polynomials and having roots expressible as a radical expression,  
 507 as defined above:

```

508 Lemma solvable_formula (p : {poly rat}) : p != 0 →
509   solvable_by_radical_poly p ↔
510   {in root (p ^^ ratr), ∀ x, ∃ f : algterm rat, algR_eval ratr f = x}.
511

```

## 513 8 Classical reasoning in a constructive setting

### 514 8.1 Boolean reflection and effective Galois theory

515 The present contribution takes over the main design choices deployed in `Mathematical`  
 516 `Components` library, and in particular its use of boolean reflection [18] for formalizing effective  
 517 mathematics. Notably, the defining signature of algebraic structures, like rings or fields,  
 518 involve boolean predicates, e.g., for comparison or discrimination of units. More generally,  
 519 decidable predicates, that is predicates for which excluded-middle holds constructively,  
 520 are formalized as boolean predicates. Consequently, equivalences between such boolean  
 521 propositions are stated as equalities, as for instance in Lemma 15. Besides often saving the  
 522 user from the technicalities of setoid rewriting, boolean specifications are provably proof-  
 523 irrelevant, by Hedberg's theorem [14], and this feature is extensively used for defining and  
 524 using proof-irrelevant dependent pairs.

525 The present development heavily relies on the effective perspective provided by the  
 526 underlying linear algebra component [11]. In this library, vector spaces of finite dimension  
 527 and their sub-spaces, always come with an explicit basis, and are in fact internally represented  
 528 as matrices. This way, most properties of linear algebra in finite dimension are effective,  
 529 thanks to variants of Gaussian elimination: computing the dimension of a sub-space, testing  
 530 whether a family of vectors is free, whether it generates a given sub-space, testing the inclusion  
 531 or the equality between sub-spaces, etc. When a larger vector space is in fact an algebra  
 532 (resp. a field extension) over a given base field, it is decidable whether a given subspace is in  
 533 fact a sub-algebra  $U$  (resp. a sub-field  $U$ ): it suffices to test whether pairwise products of  
 534 elements of the basis of  $U$  belong to  $U$ . Note however that effectivity does not mean that  
 535 the computations are necessarily tractable in practice: turning these effective definition into  
 536 formally verified algebra that can be executed on concrete entries would require a non-trivial  
 537 additional effort [8, 24].

The main effect of this effective take on linear algebra in the case of (finite) field extensions is the definition of boolean functions for testing whether a (finite) field extension is normal, separable or Galois. In addition, the construction of normal closure is effective, as well as that of the Galois group of an extension. As the finite group theory component of the Mathematical Components library provides a boolean test for the solvability of finite group, solvability of an extension is decidable as well.

## 8.2 Non-effective results

However, important properties in commutative algebra, such as testing a polynomial in  $F[X]$  for irreducibility for  $F$  an arbitrary field, remain non-effective, even in the case of a field  $F$  with a decidable equality. As a consequence, in a constructive setting, some facts like Lemma 16 cannot be proved as such. The way out is to change their statement for a classically equivalent one, typically, a double-negated version, so as to restore constructive provability. For this purpose, we use the `classically` monadic predicate [12]: for any  $P : \text{Prop}$ , `classically P` is equivalent to the double-negation  $\neg(\neg P)$ . For instance, the construction of a larger normal field extension performed in Lemma 16 is not effective in general. Here is a typical example of non-effective statement:

```
Lemma classic_baseCycloExt F n : (n%R != 0 -> F) -> classically
{ L' : splittingFieldType F & { w : L' & <<1; w>> = { : L' } & n.-primitive_root w } }.
```

The `classically` monad thus seals the sigma-type, which is itself an effective existential statement. However thanks to the formal definition of the `classically` predicate, a hypothesis of the form `classically P` can be used directly as if it were of the form  $P$  in particular for proving a boolean statement (and because  $\neg(\neg b) \leftrightarrow b$  holds constructively).

Continuing our example, Lemma `classic_baseCycloExt` is used in the proof of Lemma 17, in order to establish that a simple extension  $E(x)/E$  is solvable, which is stated formally as `solvable_ext E <<E; x>>`. Since the `solvable` predicate is boolean (see Section 8.1), lemma `classic_baseCycloExt` can be used without propagating the `classically` monad to the final formal statement of Lemma 17.

## 8.3 Stating Galois' theorem in characteristic zero

In a constructive setting, it is not possible to rely on the existence of an algebraic closure when needed, as is commonly assumed in the standard literature, and this even in the case of a base field  $F_0$  with zero characteristic. Our current formal statement of Galois' theorem for arbitrary field extensions in zero characteristic thus reads:

► **Theorem 23.** *Let  $L/F_0$  be a normal extension of characteristic zero and  $F/E$  a field extension in  $L$ . Suppose that  $\omega \in L$  is a primitive  $[\text{NCl}_E(F) : E]^{\text{th}}$  root of unity. Then  $F/E$  is solvable by radicals if and only if it is solvable.*

```
Theorem AbelGalois (F0 : fieldType) (L : splittingFieldType F0) (w : L)
(E F : {subfield L}) : (E ≤ F) -> has_char0 L ->
(\dim_E (normalClosure E F)).-primitive_root w ->
solvable_by_radical E F <-> solvable_ext E F.
```

In the literature “ $F$  solvable by radicals” is defined as the existence of a certain radical extension containing  $F$ . This definition actually allows us to get rid of the assumption on the existence of a root of unity, as in the above theorem. This assumption, which is only needed for the right-to-left implication (see Lemma 19), would indeed be encompassed by the definition of “solvable by” in the right-to-left implication.



Alas, strengthening the definition of “solvable by radicals” in order to match the variant found in the literature – and thus dropping the assumption on the existence of a root of unity – would not make the right-to-left implication a direct consequence of Lemma 11 in the current state of the formalization. It is actually not clear to us whether this would be provable at all constructively. Indeed, we know no constructive way to test the presence of a primitive root of unity in  $L$ , or to extend  $L$  with such a hypothetical primitive root of unity.

We could however use classical axioms, or the `classically` monad of Section 8.2, to recover the standard wording found in the literature. Another option would be to construct, effectively, extensions of number fields with an arbitrary algebraic element, e.g., with a primitive root of unity. This way, results from Section 6 that have been specialized to  $\mathbb{Q}$  could in principle be generalized to any number field, or, even to factorial fields [20], i.e., fields equipped with an effective irreducibility test for polynomials.

## 9 Conclusion

### Comparison to related formalization in Coq

This work represents a significant extension of the `Mathematical Components` library, both in size and in contents. This background proved to be sufficiently mature so that we didn’t need to change the definitions and formalization choices. This work is grounded on the three main algebraic hierarchies which are the backbone of the `Mathematical Components` library: hierarchies of structures (from additive groups to field extensions, and real closed fields), hierarchies of morphisms (of additive groups, rings, algebra, and fields), and hierarchies of predicates (sub-groups, vector sub-spaces, sub-algebras, sub-fields).

These hierarchies are designed using Coq’s canonical structures mechanism [22], more precisely with the packed class methodology [10], in order to achieve ad-hoc polymorphism [13, 17]. This inference mechanism is crucial to combine the different components of the library: finite group theory, linear algebra, theory of field extensions and Galois theory. Inference of structures is used at almost every single line of code and its efficiency is crucial for making amenable such a development.

### Comparison to related formalization in other systems

The only formalization of Galois theory we are aware of has been carried in `Lean/mathlib`. This work is at an early stage of development as only the Galois correspondence is currently proven. This development relies on previously defined algebraic structures by the `Lean/mathlib` community [19], such as fields, vector spaces, algebras and their morphisms.

A formalization of field extensions and algebraic closure [7] was carried out in the `Isabelle/HOL` theorem prover. Despite the lack of dependent types, this library comes with a definition of the algebraic closure of an abstract field as opposed to the a more elementary construction for a fixed field such as  $\mathbb{Q}$ . However, it is unclear whether the methodology used there can be further extended for the formalization of Galois theory. At least, dependent types play a central role in the design choices at stake in the present development.

The `Mizar` library contains core definitions and results related to field extensions [23].

Last, there exists an unfinished development related to the formalization of Galois theory and unsolvability of the quintic in `LEGO` [2]. However, only the unsolvability of the symmetric group [4] has been formally addressed.

628     **Comparison to the pen and paper literature**

629     In this paper, we give a comprehensive outline of the Abel-Ruffini theorem. This outline  
 630     serves as a basis to our formal development and has only been made possible by a careful  
 631     synthesis work of the numerous definitions and proofs from the literature.

632     We noticed several variations in the definitions of “radical extensions” and “solvable  
 633     by radical” (extension), which are the same but may denote two different things: one  
 634     corresponding to our definition of “radical extension” and the other corresponding to our  
 635     definition “solvable by radical”. Indeed both definitions are useful and we must give a precise  
 636     name to each. Perhaps the most surprising takeaways from this synthesis work are the  
 637     remarks that follow the proof of Lemma 19. Many references give a fine-grained description  
 638     of a modification of the radical series which would give the right induction hypothesis, which  
 639     can be avoided by the definition of a solvable extension.

640     The proof of unsolvability of  $X^5 - 4X + 2$  involves counting its real roots. The most common  
 641     way of doing this relies on building *sign tables*. However, the **Mathematical Components**  
 642     library does not give any formal treatment of sign tables and we had to roll out our own  
 643     solution. Fortunately, the **MathComp-Real-Closed** [6] library provides results related to the  
 644     study of the variations of a polynomial with coefficients in an algebraically closed field. This  
 645     allowed us to give lower and upper bounds on the number of reals without having to formalize  
 646     sign tables. However, we expect that a formal treatment of sign tables to be a useful addition  
 647     to the **Mathematical Components** library.

648     On the same subject, the library **MathComp-Real-Closed** contains a quantifier elimination  
 649     procedure and a root counting procedure. In theory, in order to obtain the number of real  
 650     roots, it would have been possible to simply run this procedure on the targeted polynomial.  
 651     However, in practice, due to the very inefficient nature of the involved datatypes (starting  
 652     from the use of unary natural numbers), the methodology proved to be too inefficient. A  
 653     possible future work would be to extend COQEAL [8, 5] to make effective these procedures.

654     **The case of positive characteristic**

655     Even if a large part of our development is independent from the characteristic of the fields  
 656     under consideration, for the sake of simplifying, we sometime restricted ourselves to the case  
 657     of characteristic zero – as this is the case in the file `abel.v` for example. For instance, we  
 658     specialized the notion of radical extension to fields of characteristic zero, which is enough to  
 659     show the unsolvability of a polynomial over  $\mathbb{Q}$ . However, we expect that the zero-characteristic  
 660     assumption could be dropped in the near future. For example, the definition of radical  
 661     extensions in a field of an arbitrary characteristic  $p$  could be generalized by following the  
 662     definition from Lang [15, VI, §7, Remark], thus adding a second kind of radical extensions  
 663      $K(a)/K$  such that  $a^p - a \in K$ . The proof that cyclic extensions of degree  $p$  are of that form  
 664     would then rely on the additive version of Hilbert Theorem 90. (The multiplicative version  
 665     is already formalized – it could be used in place of Lemma 4 – and we do not expect any  
 666     difficulty in formalizing its additive counterpart.)

667     **Reasoning up to isomorphisms**

668     A substantial amount of proof scripts is devoted to the transfer of properties from one object  
 669     to an isomorphic one (See Lemma 15 for an example). This part is largely left implicit on  
 670     paper and it is indeed quite mundane. It would be interesting to see if the ongoing work  
 671     around *Homotopy Type Theory* [26, 24, 3] could apply here.

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