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Memory-Augmented Reinforcement Learning for Image-Goal Navigation

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Abstract—In this work, we present a memory-augmented approach for image-goal navigation. Earlier attempts, including RL-based and SLAM-based approaches have either shown poor generalization performance, or are heavily-reliant on pose/depth sensors. Our method is based on an attention-based end-to-end model that leverages an episodic memory to learn to navigate. First, we train a state-embedding network in a self-supervised fashion, and then use it to embed previously-visited states into the agent’s memory. Our navigation policy takes advantage of this information through an attention mechanism. We validate our approach with extensive evaluations, and show that our model establishes a new state of the art on the challenging Gibson dataset. Furthermore, we achieve this impressive performance from RGB input alone, without access to additional information such as position or depth, in stark contrast to related work.

I. INTRODUCTION

The challenges of addressing navigation tasks go beyond the classical computer-vision setup of learning from pre-defined fixed datasets. They consist of problems such as low-level control point-goal navigation \[1\], object-goal navigation \[2\] or even tasks requiring natural language understanding, e.g., embodied question answering \[3\].

We focus on one such critical problem: image-goal navigation \[4\], wherein an agent has to learn to navigate to a location specified by visual observations taken from there. Consider the agent in [Figure 1] which is spawned at the location in blue on the map, where it observes a sofa. The agent’s task is to find the location in the house where it would see the washing machine shown in the goal image. In terms of difficulty, this task lies in between point-goal and object-goal navigation. Indeed, it does not require learning the association between visual inputs and manual labels (as in object-goal navigation), but it needs a higher-level understanding of scenes for navigating through them. There are several facets to this task, which make it challenging.

The first challenge is to design methods that are completely end-to-end. This allows for approaches that require less expert knowledge and are easily transferable to new simulators and tasks. Classical methods for agent navigation, based on simultaneous localization and mapping \[5\], comprise multiple hand-crafted modules, and require a large amount of annotated data. Reinforcement learning (RL) is a popular framework for tackling navigation problems in an end-to-end manner \[4, 6\]. However, in the context of photorealistic data, existing methods have either shown results in a limited setting with synthetic data \[6\] or suffer from poor RL-based performance \[7\].

The second challenge in image-goal navigation is the need for a high-level understanding of the surrounding scene. In photorealistic environments, agents trained with RL are subject to overfitting due to the high dimensionality of the data and the limited number of environments available for training \[8\]. Learning to navigate in such visually complex environments, therefore, requires learning a more informative representation than pixels, which captures the visual diversity of the scene and is generalizable to unseen environments. Previous methods use the position of the agent and/or a depth map \[6, 7\] to improve the generalization of the model. Approaches that learn from RGB input only have not shown generalization to unseen environments \[9, 10\].

Finally, a requirement for navigating agents is to build and exploit a representation of the states visited. Indeed, the agent should be able to remember the places it has already visited within an episode for efficient exploration \[6, 10\]. This “memory” can take the form of a buffer \[6\], or a metric \[5, 11\] or a topological \[7, 9\] map. In most methods, the agent exploits this information with an explicit planner \[7, 9\], but some work \[6\] has also leveraged it with an implicit attention. However, the generalization properties of these schemes remain unclear: the approach by \[9\] requires exploration

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videos collected by a human when navigating to an unseen environment, while [6] only shows limited generalization results on synthetic data.

We tackle these three challenges with a memory-augmented reinforcement learning approach. First, the agent learns representations of the environment in a self-supervised fashion, and acquires a high-level understanding of the surrounding scene. It then learns a policy for image-goal navigation in an end-to-end manner. In order to explore and navigate effectively, the policy is conditioned on an external memory module that remembers useful information from the current episode. With this approach we establish the new state of the art on the challenging image-goal navigation task on the Gibson dataset [8] by a large margin. We achieve this performance from RGB input alone, without access to position information, which is a major improvement compared to previous works like [7].

II. Related Work

SLAM-based Methods. The task of navigation has been studied in the context of simultaneous localization and mapping (SLAM) in robotics [5]. Several SLAM methods comprise multiple hand-crafted modules to address strictly-defined problems in specific environments [12]–[14]. These modules have been progressively replaced with learning-based functions: some approaches [11] implement the localization module with a neural network, while others [15] replace the metric map with a latent mapping of the environment. Variants of latent mapping also include a topological map whose nodes contain geometric and semantic information about the environment, as well as a global planner that relies on it [7]. Other works replace SLAM entirely by deep models without explicit planning, and instead rely on a map or memory structure [16]–[18]. The major drawback of such methods is that they contain multiple modules that are often trained in a supervised fashion, requiring a large amount of annotated data. Moreover, SLAM-based methods rely on the availability of position [16], [17] and depth [7], [18] sensors. In our work, we focus on the realistic and challenging case with access to RGB input only.

RL-based Navigation. Another popular class of methods involves training deep models with reinforcement learning to solve navigation tasks without an explicit world representation. They use end-to-end frameworks with modules that are less hand-crafted than SLAM-based methods, and have shown good performance on synthetic mazes [19] as well as real-world data [1], [20]–[22]. Such methods have also been explored on indoor-scenes datasets, similar to our setup on image-goal [4] and object-goal [23]–[25] navigation tasks. They use an actor-critic model whose policy is a function of both the target and the current state. While episodic RL is a simple and elegant framework for navigation, it ignores the fact that useful information comes from previous episodes. In our work, we propose to augment the policy used in RL frameworks with an external memory and to attend on it with a novel, dedicated module.

Combining RL and Planning. A few recent works have augmented RL-based methods with topological structures, like graphs [9], [10], [26]–[28] or memory buffers [6], [29], [30]. They store representations of the visited locations and exploit them at navigation time. The process of building these representations can be done offline [9], [10], [27], and requires human-generated data in some cases [9]. For example, the test phase in [9] contains a warm-up stage where the agent builds a graph memory from human trajectories. Alternatives to this manual annotation do exist, such as building a graph directly with reinforcement learning, using the value function of a goal-conditioned policy as edges weights [31], or buffer of past observations [6]. These methods were evaluated on synthetic datasets, and have not been scalable to high-dimensional visually-realistic setups. In particular, [6] proposes a method related to our model, with a policy that puts attention on an observation buffer. In contrast, we learn a representation in a self-supervised fashion to store memory efficiently. We also present results on a large-scale photorealistic dataset, while [6] is limited to synthetic setups.

Exploration and Representation Learning. Closely related to navigation, the task of exploration has also been extensively studied and has led to interesting breakthroughs in representation learning. In particular, learning to explore unseen environments has been seen through the spectrum of computer vision [32], [33], SLAM-based [34], and RL-based [35], [36] approaches. Methods such as [10], [37] leverage a self-supervised representation learning stage to prepare the exploration phase. Our work extends this line of study by first showing that a self-supervised pretraining phase allows to learn useful information that generalizes to unseen environments, as well as proposing a novel attention-based navigation policy that takes advantage of this information. Posterior to our work, there have been extensions of our method, including [38] that exploits a similar approach for object-goal navigation in unseen environments. This work uses the same components as our method: both short-term and long-term memories, as well as an attention mechanism to leverage past experience. The main difference with our work relies on the task being tackled: contrary to our work, [38] focuses on object-goal navigation, which consists in navigating to an instance of a target object.

III. Problem Formulation

We consider the classical formulation of episodic image-goal navigation as defined in [7]. At the beginning of a navigation episode, an agent is given a target observation $x^*$, composed of an RGB image from the target location. At each timestep $t$, the agent performs an action $a_t$ and receives the next observation $x_{t+1}$ as well as a reward $r_t$ from the environment. The objective is to learn a navigation policy function $\pi(a_t | x_t, x^*)$ that brings the agent closer to the target location. We complete the definition of our setup with the following details.

Action Space. It comprises four actions: MOVE_FORWARD,
TURN_LEFT, TURN_RIGHT and STOP. Please refer to subsection V-A for numerical details.

Success Criterion. An episode is considered successful if the agent performs the stop action within a range of $l$ from the target location. In cases where the agent performs the stop action outside of this range, or if the maximum number of steps is exceeded before the agent performs the stop action, the episode is considered a failure.

Observation Space. The observation of the agent $x_t$ as well as the goal observation $x^*$ are the RGB images of the first-person view at those locations. Each RGB image is a panoramic sensor of size $v \times 3 \times 128 \times 128$. We compute this panoramic input by gathering observations from $v$ successive rotations of angle $(360/v)^\circ$ from our agent’s location. Note that we do not have access to neither the agent’s position nor any depth sensor information.

Reward. We follow the classic setup for image-goal navigation [7] where the reward is split into three components: (i) sparse success reward: that rewards the agent for performing the stop action within the success range around the target location, (ii) dense shaping reward: that is equal to the decrease in distance to the goal, (iii) dense slack reward: that penalizes the agent for being alive at each step, and encourages shorter trajectories.

IV. OUR APPROACH

As shown in Figure 2, our agent model has three parts: a data augmentation part for improving generalization, a navigation policy that learns to pick appropriate actions, and an external memory for leveraging past experiences.

A. Data Augmentation

To improve the generalization capacity of our agent to unseen environments, we apply random transformations on the observations of the simulator by using classic data augmentation techniques. We use two kinds of data transformations: (i) random cropping that increases the input image size and takes a random crop of the original size in it, and (ii) color jitter that randomly changes the brightness, contrast, saturation and hue levels of the image. An illustration of these transformations is shown in Figure 3. At navigation time, the agent receives the current and the goal observations from the simulator at each timestep. We apply both transformations sequentially to each of the $v$ views of the current and goal observations independently, producing $x_t$ and $x^*$ respectively. This process allows for more visual diversity in the training data.

B. Navigation Policy

Once the current and goal observations pass through the data augmentation phase, we use them in the navigation policy module, which computes the probability distribution over all possible actions: $\pi(a_t|x_t, x^*)$.

First, the policy encodes each observation separately, as shown in Figure 2. We encode the current observation by feeding each of the $v$ views separately to the same convolutional neural network. This dimension-reduced output is then fed into a 2-layer Long Short-Term Memory (LSTM) along with a representation of previous actions, and the resulting vector $w_t^{obs}$ represents the embedding of the current observation at step $t$.

To encode the goal observation $x^*$, we process it through the same convolutional neural network as the current observation. However, the outputs corresponding to the different views are added together instead of being concatenated, so as to make the representation of the goal rotation-invariant. We denote by $w_t^{goal}$ the resulting feature vector at step $t$.

Next, we make a joint representation by concatenating the current and goal feature vectors, before passing it through a fully-connected network to output an action $a_t$ as follows:

$$w_t^{joint} = \text{cat}(w_t^{obs}, w_t^{goal}),$$

$$\pi(a_t|x_t, x^*) = FC(w_t^{joint}).$$

C. External Memory

To add an external memory mechanism to the navigation policy, we first train a state-embedding network in a self-supervised fashion, as described in subsection IV-C.1.
This network, trained to detect nearby locations, allows us to build an external memory containing representations of past observations. To leverage this memory, we add an attention module to the navigation policy.

1) Training a State-Embedding Network: Before learning the navigation policy, we train a state-embedding network to learn representations of the environment’s locations. The motivation for introducing this network is to encourage nearby locations in the environment to have similar representations, while ensuring distant locations to have different ones. However, since we do not have access to the agent’s position, the notion of distance between locations in the environment cannot be computed directly. As in [37], we will use the number of steps taken by an agent with a random position, the notion of distance between locations in the environment to encourage representations to embed the observation to every vector in the memory, i.e.,

\[ r(x_t, M_{t-1}) = \max \{ f(g(x_t), m) | m \in M_{t-1} \}. \]  

We then add the embedding of current observation to the memory if the reachability score is lower than a threshold, i.e., distant enough from the memory vectors. In other words,

\[ M_t = \begin{cases} M_{t-1} \cup g(x_t) & \text{if } r(x_t, M_{t-1}) < \tau, \\ M_{t-1} & \text{otherwise}, \end{cases} \]

where \( \tau \) is the reachability threshold hyperparameter. The episodic memory is reset after each episode.

This process for building episodic memory can be extended so that it persists across episodes within the same scene. The impact of using such a cross-episodic memory is studied in subsection V-D.

3) Attention Module: The navigation policy can leverage the episodic memory to move towards the target observation. Rather than using an explicit planner on the memory, we take advantage of the information stored in them implicitly, using an attention module.

Our attention module has a multi-layer architecture similar to transformers [39]. Each layer consists of a multi-head attention sublayer (\text{Attn}), followed by a feedforward sub-layer (\text{FF}). See [39] for more details about these sublayers. However, unlike transformers, our attention module attends over a fixed set of vectors. It comprises \( N \) layers,

\[ z^l_t = \text{FF}(\text{Attn}(z^{l-1}_t, M_t)), \]

for \( l \leq N \). (7)

Here, \( z^l_t \) is the output from the \( l \)-th layer, but the initial input \( z^0_t \) is obtained by a linear transformation of the joint representation computed in Equation 1, \( w^\text{joint}_t \).

The output from the attention module is then concatenated with the joint representation in Equation 2, so the final action is now computed as:

\[ \pi(a_t|x_t, x^*) = \text{FC}(\text{cat}(w^\text{joint}_t, z^N_t)). \]  

V. EXPERIMENTAL RESULTS

A. Implementation Details

Task Setup. We conducted all of our experiments on the Habitat [40] simulator with the Gibson [8] dataset, which contains a set of visually-realistic indoor scenes. We used the standard 72/14 train/test scene split for this dataset. As stated earlier, we do not use the agent’s pose or depth sensor information. The forward step range and turn angle are set to their standard values (0.25m, 10°) for navigation episodes and (1m, 30°) when training the reachability network. The maximum number of steps in an episode is 500, and the
success distance $l$ is 1m. In addition to the success rate, we also use success weighted by inverse path length (SPL) [41] as an evaluation metric. SPL takes into account the length of the path that the agent has taken to the goal.

**Training the Reachability Network.** We generate one trajectory per train scene from an agent with a random policy. We allow 5k steps for each trajectory and remove the stop action from the action space. This results in a total of 9k navigation episodes in each training scene, following the protocol of [7].

<table>
<thead>
<tr>
<th>Observation Type</th>
<th>Easy</th>
<th>Medium</th>
<th>Hard</th>
<th>Overall</th>
</tr>
</thead>
<tbody>
<tr>
<td>RGB</td>
<td>Succ SPL</td>
<td>Succ SPL</td>
<td>Succ SPL</td>
<td>Succ SPL</td>
</tr>
<tr>
<td>Pose</td>
<td>0.87</td>
<td>0.58</td>
<td>0.43</td>
<td>0.63</td>
</tr>
<tr>
<td>Depth</td>
<td>0.65</td>
<td>0.38</td>
<td>0.26</td>
<td>0.43</td>
</tr>
</tbody>
</table>

**Training Data for the Navigation Policy.** We generated 9k navigation episodes in each training scene, following the protocol of [7].

**Navigation Policy Implementation.** At the beginning of each episode, the simulator generates the observation from the goal location as a $v \times 3 \times 128 \times 128$ panoramic RGB image and gives it to the agent as target observation. We used ResNet18 with shared weights for encoding the current and target observations in the policy. The size of the embedding space is 512. We concatenate the encoder’s outputs for the $v$ views of the observation and feed them into an LSTM with two recurrent layers. Our attention module consists of 4 stacked layers of a 4-headed attention network. We set the buffer’s capacity to 20 for the episodic memory. We train the policy using DDPPO [1] for 50k updates, with 2 PPO epochs, a forward of 64 steps, an entropy coefficient of 0.01, and a clipping of 0.2. We used the Adam optimizer with a learning rate of $9e^{-5}$.

**Data Augmentation.** For the training stages of both the reachability network and the navigation policy, we used random cropping with a minimum scale of 0.8 and color jittering with value 0.2 for brightness, contrast, saturation, and hue levels. These transformations are applied at two different levels when training the navigation policy: (i) when the agent samples the action $a_t$ from the policy, and (ii) during the forward-backward in PPO. Note that, for these two steps, the transformation applied to the images is independent and results in different input images.

**B. Comparison with the state of the art**

We consider several methods in this comparison: an adaptation of SPTM [9] to Habitat, as well as four approaches taken from [7].

**ResNet + GRU + IL** [7]: A simple baseline consisting of ResNet18 image encoder and a GRU-based policy trained with imitation learning.

**Target-Driven RL** [4]: A vanilla baseline trained with reinforcement learning, similar to our ablated variant.

**Active Neural SLAM** [34]: An exploration model based on metric maps, adapted to navigation.

**Neural Topological SLAM (NTS)** [7]: The previous state-of-the-art method for navigation in unseen environments, based on neural SLAM and endowed with a topological graph for long-term planning. We compare against two versions of this method: **NTS**, that uses as input the RGB observation and the pose sensor, and **NTS-D** that uses the depth map in addition to the two other modalities.

**SPTM** [9]: A navigation model based on explicit construction of a graph over past experience. The method requires an
As this method does not implement a STOP set. For evaluation we used a random exploration trajectory and locomotion networks on trajectories from the training set. All models are trained for 500M steps on a combination of easy, medium, hard and extra episodes.

Table I reports the average SPL obtained by this agent in Table III. We also studied the impact of a memory that persists across episodes. The long-term memory improves the performance of the model, especially on the hard and extra splits.

D. Impact of a Long-Term Memory

We also studied the impact of a memory that persists across episodes in the same scene, motivated by the observation that embodied agents, once deployed, do not simply cease to exist after an episode has ended: they persist, and so should their memories. We therefore added an additional memory to the episodic one, that remains for 100 episodes in the same scene. We compare this model with long-term memory (LT Memory) to the one with episodic memory only (Ep. Memory) in Table II. For this experiment, we generated an additional split to the easy, medium, and hard episodes, named “extra,” for which the distance between start and goal locations is 10 - 15m. This dataset, voluntarily more challenging, is intended to highlight the importance of a memory that persists across episodes. The long-term memory improves the performance of the model over the episodic one (+2.1% overall), and the difference is more important on hard and extra episodes (+2.7% and +2.3% respectively) than on easy ones (+0.9%).

E. Analysis and Qualitative Visualisations

Panoramic Observations. As described in section III, we generate panoramic observations by equally spaced planar observations around the agent. In this experiment, we compare the performance of the vanilla RL baseline model trained with 1, 3, 4 and 6 views around the agent. We let the model train for 500M steps for three random seeds and report the average SPL obtained by this agent in Table III.
Fig. 5. The top (resp. bottom) row shows trajectories from test episodes with the highest (resp. lowest) SPL on the Eastville scene. Start and goal locations are shown in black, with the goal being circled by a line showing the success area. Results are shown for our model with memory trained on the standard dataset, for 3 seeds (corresponding to the 3 colors) on the hard validation split.

<table>
<thead>
<tr>
<th>Number of views (v)</th>
<th>1</th>
<th>3</th>
<th>4</th>
<th>6</th>
</tr>
</thead>
<tbody>
<tr>
<td>SPL</td>
<td>0.08</td>
<td>0.31</td>
<td>0.36</td>
<td>0.36</td>
</tr>
<tr>
<td>Frames per sec.</td>
<td>1890</td>
<td>2000</td>
<td>2080</td>
<td>2340</td>
</tr>
</tbody>
</table>

**Table III**

**Analysis of SPL obtained with the RL baseline for various panoramic view configurations.** We report average SPL and the number of frames processed per second for each configuration.

We note that an agent trained with a single view, i.e., without panoramic observations, completely fails to learn a successful policy, obtaining only 0.08 SPL. This result is quite intuitive, as the relative localization with respect to the goal is made easier by multiple views. Better performance is obtained with either four or six views, with an SPL of 0.36. We also see that there is a tradeoff between performance and additional runtime required with more views. Thus, we run all the variants of the models with four views.

**Data Augmentation and Overfitting.** We investigate how data augmentation and adding more training scenes allow us to bridge the train / test gap observed when navigating an unseen environment. To this end, we generate an extended training set by considering 150 additional scans, which are usually rated as being of poor quality. We perform this experiment for the vanilla RL Baseline, as well as its data-augmented version trained on the standard dataset (Data Aug.), and the extended one (More Scenes).

As seen from the train and test SPL for the three methods in Figure 6, the generalization gap is large (almost 65% for the RL baseline). The use of data augmentation allows to remedy this problem reducing this gap to about 40%. Training the model on more scenes reduces this discrepancy even further to only 15%. We also observe that data augmentation not only improves the test performance but also helps faster convergence (+2% on the train SPL), as opposed to what is usually observed in supervised learning.

Notice that in the figure, we indicate the 25M step mark – this is the number of environment steps used to train the Target-Driven RL baseline reported in [7]. We see that the performance of a reinforcement-based model with such a limited number of steps is quite poor, and that convergence is far from being achieved at this point. Though sample efficiency is an important challenge in robotics applications, the RL baseline should be trained sufficiently to leverage its full potential. Indeed, after 500M steps of training, it reaches 0.37 SPL overall, which is almost on par (−1%) with the performance of the method proposed in [7].

**Figure 6**. Performance measured in SPL as a function of training steps taken in the environment for the RL baseline, with data augmentation (+ Data Aug.) and by adding more training scenes (+ More Scenes). We report both the training (dashed line) and test (solid line) SPL on the same figure. The generalization gap is large and can be reduced by using data augmentation. It can be reduced significantly further by training the model on a larger set of scenes. The black mark at 25M steps corresponds to the Target-Driven RL baseline as reported in [7].

**Qualitative Results.** Figure 5 shows example success and failure cases from episodes of the test dataset. We see that our agent successfully learns to navigate to challenging locations, which are distant from the start location (5-a) and/or located at extremities of the scenes (5-c, 5-d). Moreover, our agent shows interesting skills, like bypassing obstacles (5-c) or
looking around in a room (5b). From the failure cases (bottom row in the figure), we see that our agent has some undesired behaviour. For example, it can get stuck in a loop (5f), stop too early (5h), or fail to reach some extremely challenging goals (5g).

VI. CONCLUSION

In this paper, we have presented a memory-endowed agent that we train end-to-end with reinforcement learning. This memory is accessed in the navigation policy using a transformer-inspired neural network with attention modules.

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