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### EMPIRICAL SURE-GUIDED MICROSCOPY SUPER-RESOLUTION IMAGE RECONSTRUCTION FROM CONFOCAL MULTI-ARRAY DETECTORS

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#### ABSTRACT

The new generation of confocal microscopes are equipped with an array detector that generates an array of images corresponding to a multiview of the same sample. Several computational methods have been proposed to reconstruct a single super-resolution image from a stack of images associated to detectors. Each method has its pros and cons depending on the targeted application. In this paper, we review the most commonly used reconstruction methods and propose a SURE approach to automatically estimate parameters and improve reconstruction. Methods described in this paper are available in an open source software.

*Index Terms*— Super-resolution, image reconstruction, confocal imaging, SURE, estimation

#### 1. INTRODUCTION

Recent confocal microscopes replace the single point detector with an array detector that allows to take multiple views of the same sample [1]. Such microscope raw data is an array of images, one image per detector. The array of images is then processed to build a single image with higher signalto-noise ratio and higher resolution than a classical confocal microscope image.

Several methods have been recently proposed to reconstruct this single high resolution image. In [1], the authors combine array registration and Wiener deconvolution. In [2], a method (IFED) creates a high resolution image by subtracting the outer detectors of the array to the inner detectors (see Fig. 1). In [3], the authors modify the IFED method to improve the resolution with a method called ISFED. Each method is based on a pipeline involving image processing tasks, including array image registration, array image linear combination, and optional deconvolution. Each of these methods have their pros and cons, and one may be more suitable than another, depending on the target application. For instance, for intensity quantification, it is recommended to choose a method using only array image linear combination to reduce intensity bias, whereas one could prefer to apply a deconvolution step to improve resolution of structures.



Fig. 1. Detector arrangement. The 32 detectors are arranged around the central detector. The numbers are the detector indexes, the dark blue circle highlight the group of N = 7 central detectors and the light blue circle highlight the group of N = 19 central detectors. Detectors inside circles are called *inner detectors* whereas detectors outside circles are called *outer detectors*.

In the next section, we briefly describe the current reconstruction methods. In Section 3, we propose a SURE-guided (Stein's unbiased risk estimation) estimation method and show on real data that the proposed reconstruction method achieves a resolution close to 100 nm without any deconvolution method. Unlike [2, 3], we show that the parameter depends on the image contents and signal intensities. In section 4, we use a method named *ISM deconvolution (ISM-D)* as a reference, since it is established that provides higher resolution images [1]. Nevertheless, it is computationally costly and can introduce artifacts.

#### 2. RECONSTRUCTION METHOD

In summary, an array detector is made of 32 Gallium arsenide phosphide (GaAsP) detectors elements arranged in a compound eye fashion (see Fig. 1). The central element is in the optical axis, and the other elements are grouped around. The full detector is 1.25 Airy units (AU) large and thus a detector is approximately 0.2 AU large. One AU is the diameter of the airy disk obtained by imaging a point.

Given a set of input images (or detector array)  $I_i$ ,  $i = 1 \cdots N$ , we describe five methods to reconstruct a single image: *pseudo-confocal (PC), ISM, IFED, ISFED* and *ISM deconvolution (ISM-D)*.

The pseudo-confocal reconstruction technique sums the

detectors as follows:

$$I_{PC}(x) = \sum_{i=1}^{N} I_i(x),$$
 (1)

where  $I_i(x)$  is the intensity at pixel  $x \in \Omega \subset \mathbb{R}^2$  of the 2D image from the detector at index *i* where  $N \in \{7, 19, 32\}$  is the number of detectors. If *N* is small (eg. N = 7) we get more resolution but less signal. This reconstruction methods gives an image equivalent to a confocal microscope with a high signal-to-noise-ratio.

The *ISM* reconstruction technique [1] consists in adding the co-registered images  $\{\tilde{I}_i\}_{i=1}^{32}$  from the 32 detectors:

$$I_{ISM}(x) = \sum_{i=1}^{32} \tilde{I}_i(x),$$
 (2)

where  $\tilde{I}_i(x)$  is the intensity at pixel x of the image from the detector at index *i*, co-registered on the central detector. This method gives an image with a theoretical resolution of 0.2 AU.

The *IFED* reconstruction has been proposed to improve resolution by subtracting the *outer* detectors ring to the *inner* detectors [2] (see Fig. 1):

$$I_{IFED}(x) = \sum_{i=1}^{N} I_i(x) - \epsilon \sum_{i=N+1}^{32} I_i(x), \qquad (3)$$

where  $\epsilon \in [0, 1]$  is a subtraction coefficient to be hand-tuned. In [2], the authors showed that the *IFED* method achieves a higher resolution than the classical *ISM* reconstruction method.

In [3], the authors defined *ISFED*, which is a modification of *IFED*, to get more spatial resolution:

$$I_{ISFED}(x) = \sum_{i=1}^{32} \tilde{I}_i(x) - \epsilon \sum_{i=1}^{32} I_i(x), \ \epsilon > 0.$$
(4)

The aforementioned methods allow to improve resolution without any deconvolution process. *IFED* ans *ISFED* need the adjustment of a parameter  $\epsilon$  to get satisfying results. In what follows, we propose a method to automatically estimate this parameter.

#### 3. EMPIRICAL SURE FOR IFED AND ISFED PARAMETER ESTIMATION

*IFED* and *ISFED* methods are based on the subtraction of two sets of detectors. To generalize the idea [2, 3], we define the reconstructed image  $\hat{u}(x)$  at pixel  $x \in \Omega$  as:

$$\hat{u}(x) = K_h * \left(\sum_{i=M_1}^{M_2} I_i(x) - \epsilon \sum_{i=N_1}^{N_2} I_i(x)\right)$$
  
=  $a_I(x) - \epsilon b_I(x),$  (5)

where h is the bandwidth of the convolution filter K and \* is the convolution operator. In what follows,  $a_I(x) = K_h * \sum_{i=M_1}^{M_2} I_i(x)$  is the sum of the detectors with indexes between  $M_1$  and  $M_2$ , and  $b_I(x) = K_h * \sum_{i=N_1}^{N_2} I_i(x)$  is the sum of the detectors with indexes between  $N_1$  and  $N_2$ , and  $\epsilon > 0$  is a constant. In the case of *IFED*,  $M_1 = 1$ ,  $M_2 = N$ ,  $N_1 = N+1$  and  $N_2 = 32$ , and in the case of *ISFED*,  $M_1 = 1$ ,  $M_2 = 32$ ,  $N_1 = 1$  and  $N_2 = 32$ . The bandwidth h is chosen very small to slightly reduce noise while preserving high frequencies.

Let us consider a neighborhood  $\Omega(x) \subset \Omega$  centered at point x and let us denote  $n = |\Omega(x)|$  the number of pixels in  $\Omega(x)$ . We propose to estimate  $\epsilon$  by using the local Stein's unbiased risk estimate (*SURE*) [4, 5] of  $\hat{u}(x)$  with respect to the reference central detector  $I_1$  and defined as:

$$SURE(x) = \frac{1}{n} \sum_{y \in \Omega(x)} (I(y) - \hat{u}(y))^2 - \sigma^2 + \frac{2\sigma^2}{n} \operatorname{div}_I(\hat{u}),$$
(6)

where  $I(y) = I_1(y)$  is the image of the central detector,  $\sigma^2$  is the variance of the assumed white Gaussian noise and

$$\operatorname{div}_{I}(\hat{u}) = \sum_{y \in \Omega(x)} \sum_{i=1}^{N} \frac{\partial \hat{u}(y)}{\partial I_{i}(y)}.$$
(7)

Since  $a_I(y)$  and  $b_I(y)$  are constant given  $I_i(y)$  it follows that:

$$\operatorname{div}_{I}(\hat{u}) = \sum_{y \in \Omega(x)} (M_{2} - M_{1}) - \epsilon (N_{2} - N_{1}).$$
(8)

The SURE risk is then written as:

$$SURE(x) = \frac{1}{n} \sum_{y \in \Omega(x)} (I(y) - a_I(y) + \epsilon b_I(y))^2 - \sigma^2 + 2\sigma^2 (M_2 - M_1 - \epsilon (N_2 - N_1)).$$
(9)

We define the empirical SURE as the sum of local SURE as:

$$SURE = \sum_{x \in \Omega} SURE(x)$$
 (10)

which is an unbiased estimator of the Mean Square Error (MSE), that is:

$$\mathbb{E}[SURE] = \sum_{x \in \Omega} \mathbb{E}[SURE(x)]$$
  
= 
$$\sum_{x \in \Omega} \mathbb{E}[(\hat{u}(x) - u(x))^2] = \mathbb{E}[\|\hat{u} - u\|^2]$$
 (11)

It is worth noting that, when the neighborhood  $\Omega(x)$  is reduced to a single pixel x, the empirical SURE is nothing else that the conventional SURE on domain  $\Omega$ . It follows that solving  $\frac{\partial SURE(x)}{\partial \epsilon} = 0$  gives the following closed-form solution for  $\epsilon$ :



**Fig. 2.** Image depicting beads of 100 nm diameter used for resolution measurement. (a)  $I_{pc}(x)$  image with squares showing regions used to select 12 beads for measurement. (b) Binary image of Otsu threshold on  $I_1(x)$ .

$$\epsilon = \frac{\sum_{x \in \Omega} \left[ (N_2 - N_1) \sigma^2 - n^{-1} \sum_{y \in \Omega(x)} (I(y) - a_I(y)) b_I(y) \right]}{\sum_{x \in \Omega} n^{-1} \sum_{y \in \Omega(x)} b_I^2(y)}.$$
(12)

Microscopy image contents is mainly background (see Fig. 2, 4 and 3). In practice, we then restrict  $\Omega'$  to the area of the image where signal is significant given a threshold  $\tau: \Omega' \subset \Omega = \{x: I_1(x) > \tau\}$ . Hence,  $\Omega'$  is substituted to  $\Omega$  in (12).

#### 4. EXPERIMENTAL RESULTS

We evaluated the proposed  $\epsilon$  estimation method on an image of 100 nm diameter beads (see Fig. 2(a)). In all experiments, the set of input images are slightly filtered by applying a Gaussian filter with a bandwidth h = 0.5. First, we evaluated the sensitivity of the SURE estimation depending on the size of  $\Omega(x)$  and  $\tau$ . The bead intensity range is [0, 130] and the majority of the beads have intensities below 80. Thus, for  $\tau$ , we arbitrary chose 8 threshold values in the range [0, 70], and for  $\Omega(x)$  we chose square neighborhoods from  $1 \times 1$  pixel to  $15 \times 15$  pixels. The estimated values for *IFED* are reported in Table 1. The first column is the conventional SURE ( $1 \times 1$ pixel). One can notice that the conventional SURE estimation of  $\epsilon$  is very sensitive to  $\tau$  whereas for  $\Omega(x)$  larger than a  $9 \times 9$  square, the empirical SURE estimation of  $\epsilon$  is very stable. Calculations made for the ISFED methods gave the same conclusions. We then conclude that the empirical SURE method is more robust and the size of  $\Omega(x)$  and  $\tau$  do not require accurate adjustment. In the following, we estimate

$\tau$ $\Omega(x)$	$1 \times 1$	$3 \times 3$	$5 \times 5$	$7 \times 7$	$9 \times 9$	$11 \times 11$	$13\times13$	$15\times15$
0	0.76	0.76	0.76	0.76	0.76	0.76	0.76	0.76
5	0.85	0.87	0.87	0.85	0.82	0.79	0.77	0.76
10	0.92	0.92	0.90	0.86	0.82	0.79	0.76	0.75
15	0.97	0.96	0.92	0.87	0.82	0.78	0.76	0.75
25	1.06	1.02	0.95	0.88	0.82	0.78	0.77	0.76
40	1.16	1.09	0.98	0.89	0.82	0.79	0.77	0.76
50	1.21	1.12	0.99	0.89	0.82	0.79	0.78	0.77
70	1.30	1.18	1.01	0.89	0.82	0.79	0.77	0.77
Average	1.03	0.99	0,92	0,86	0,81	0,78	0,77	0,76

**Table 1.**  $\epsilon$  values depending on  $\tau$  and  $\Omega(x)$ .  $\tau$  values are indicated in pixel intensity of  $I_1(x) \in [0, 130]$ . The neighborhood  $\Omega(x)$  are squares patches of size N.

Method	FWMH
PC	189 nm
ISM	171 nm
IFED $\epsilon = 0.3$	171 nm
ISFED $\epsilon = 0.3$	159 nm
SURE-guided IFED	137 nm
SURE-guided ISFED	124 nm
ISM-D	99 nm

**Table 2.** Resolution measured for each method using 12beads FWMH from the image of Figure 2.

 $\tau$  by using the Otsu estimation method [6] and set  $|\Omega(x)|$  to  $11 \times 11$ . With these settings, we obtained  $\epsilon = 0.78$  (*IFED*) and  $\epsilon = 1.1$  (*ISFED*).

We then performed the reconstruction with all the methods presented in Section 2 and evaluated the resolution by measuring the full width at half maximum (FWHM) intensity on 12 beads highlighted by squares in Fig. 2. Results are summarized in Table 2 and sorted by increasing resolution. We can see that the SURE-guided method gives the closest resolution to the expected 100 nm resolution, and only the *ISM-D* (Wiener) allows to recover the 100 nm resolution.

We tested the proposed method on images of intestinal microvilli from an adult *c.elegans* worm expressing the EMR1 fusion GFP protein (see Fig. 4). We chose this specimen since it depicts parallel line like structures of 100 nm large that ease the visualization of the resolution gain. In our experiments, we applied the ISM-D method to asses reconstruction performances. We used 2 implementations of ISM-D, one using Wiener deconvolution [1] (ISM-DW) and the other using the recent Sparse Deconvolution algorithm (ISM-DS) [7] which is adapted to fluorescence microscopy images. For the IFED method, our SURE-guided method provided  $\epsilon = 0.38$ . The estimated value is close to  $\epsilon = 0.30$  that was experimentally proposed in [3]. For the *ISFED* we obtained  $\epsilon = 0.95$  which is different from the experimentally value  $\epsilon = 0.30$  recommended in [3]. In Fig. 4 we can see that for both IFED and ISFED the SURE-guided estimation gives a better visualization of the line structures than the default  $\epsilon = 0.3$  value. Furthermore, we noticed that the ISFED method gives the closest reconstruction result to the ISM-D references even though the



**Fig. 3**. Results obtained on MCF7 cells expressing mito-GFP (labelling mitochondria) sample with all the tested methods.

signal to noise ratio is lower. In fact, when we examine at the intensity profiles (see Fig. 4), each peak corresponds to an intestinal microvilli. The profile estimated by the SURE-guided *ISFED* is the closest to the *ISM-D* profile. As a conclusion for this *c.elegans* specimen, the best reconstruction without deconvolution is the SURE-guided *ISFED*.

We also tested the proposed method on images of mitochondria of MCF7 cells expressing mito-GFP. Mitochondria structures are visible with a resolution below 200 nm. For this specimen,  $\epsilon = 0.33$  (*IFED*) and  $\epsilon = 0.96$  (*ISFED*). Again, the SURE-guided *ISFED* reconstruction gives the resolution the closest *ISM-D* references (see Fig. 3).

### 5. CONCLUSION

In this paper, we reviewed five methods to generate superresolution images from array detector confocal microscope, and proposed a *SURE-guided* method to estimate the parameters controlling the *IFED* and *ISFED* methods. We showed on real data that the proposed approach allows to get the best resolution without parameter tuning. Thus, the only user input is the choice of the method depending on the target application. In our experiments, we applied a linear Gaussian filter. Nevertheless, it is possible to first denoise the input images with more sophisticated algorithms such as ND-SAFIR (https: //team.inria.fr/serpico/software/) [8]. The work presented in this paper has been implemented in an open source software available at https://team.inria.fr/ serpico/airyscanj/.

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**Fig. 4**. Results obtained on a *c.elegans* sample and intensity profiles for all the tested methods. The profile line is shown with a red line on the *ISM-DS* image.

ical samples. This work was also supported by the French National Research Agency (France-BioImaging infrastructureANR-10-INBS-04)

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