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Timed Negotiations

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Abstract. Negotiations were introduced in [6] as a model for concurrent systems with multiparty decisions. What is very appealing with negotiations is that it is one of the very few non-trivial concurrent models where several interesting problems, such as soundness, i.e. absence of deadlocks, can be solved in PTIME [2]. In this paper, we introduce the model of timed negotiations and consider the problem of computing the minimum and the maximum execution time of a negotiation. The latter can be solved using the algorithm of [10] computing costs in negotiations, but surprisingly minimum execution time cannot.

In this paper, we propose new algorithms to compute both minimum 15 and maximum execution time, that work in much more general classes 16 of negotiations than [10], that only considered sound and determinis-17 tic negotiations. Further, we uncover the precise complexities of these 18 questions, ranging from PTIME to Δ_2^P -complete. In particular, we show 19 that computing the minimum execution time is more complex than com-20 puting the maximum execution time in most classes of negotiations we 21 consider. 22

23 1 Introduction

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Distributed systems are notoriously difficult to analyze, mainly due to the ex-24 plosion of the number of configurations that have to be considered to answer 25 even simple questions. A challenging task is then to propose models on which 26 analysis can be performed with tractable complexities, preferably within poly-27 nomial time. Free choice Petri nets are a classical model of distributed systems 28 that allow for efficient verification, in particular when the nets are 1-safe [5, 4]. 29 Recently, [6] introduced a new model called *negotiations* for workflows and 30 business processes. A negotiation describes how processes interact in a dis-31

tributed system: a subset of processes in a node of the system take a synchronous decisions among several *outcomes*. The effect of this outcome sends contributing processes to a new set of nodes. The execution of a negotiation ends when processes reach a *final configuration*. Negotiations can be deterministic (once an outcome is fixed, each process knows its unique successor node) or not.

Negotiations are an interesting model since several properties can be decided
with a reasonable complexity. The question of *soundness*, i.e., deadlock-freedom:
whether from every reachable configuration one can reach a final configuration,
is PSPACE-complete. However, for deterministic negotiations, it can be decided

in PTIME [7]. The decision procedure uses reduction rules. Reduction techniques 41 were originally proposed for Petri nets [1, 8, 12, 17]. The main idea is to define 42 transformations rules that produce a model of smaller size w.r.t. the original 43 model, while preserving the property under analysis. In the context of negotia-44 tions, [7, 2] proposed a sound and complete set of soundness-preserving reduction 45 rules and algorithms to apply these rules efficiently. The question of soundness 46 for deterministic negotiations was revisited in [9] and showed NLOGSPACE-47 complete using anti patterns instead of reduction rules. Further, they show that 48 the PTIME result holds even when relaxing determinism [9]. Negotiation games 49 have also been considered to decide whether one particular process can force ter-50 mination of a negotiation. While this question is EXPTIME complete in general, 51 for sound and deterministic negotiations, it becomes PTIME [13]. 52

While it is natural to consider cost or time in negotiations (e.g. think of the 53 Brexit negotiation where time is of the essence, and which we model as running 54 example in this paper), the original model of negotiations proposed by [6] is 55 only qualitative. Recently, [10] has proposed a framework to associate costs to 56 the executions of negotiations, and adapt a static analysis technique based on 57 reduction rules to compute end-to end cost functions that are not sensitive to 58 scheduling of concurrent nodes. For sound and deterministic negotiations, the 59 end-to end cost can be computed in O(n(C+n)), where n is the size of the 60 negotiation and C the time needed to compute the cost of an execution. Requir-61 ing soundness or determinism seem perfectly reasonable, but asking sound and 62 deterministic negotiations is too restrictive: it prevents a process from waiting 63 for decisions of other processes to know how to proceed. 64

In this paper, we revisit time in negotiations. We attach time intervals to 65 outcomes of nodes. We want to compute maximal and minimal executions times. 66 for negotiations that are not necessarily sound and deterministic. Since we are 67 interested in minimal and maximal execution time, cycles in negotiations can be 68 either bypassed or lead to infinite maximal time. Hence, we restrict this study to acyclic negotiations. Notice that time can be modeled as a cost, following [10]. 70 and the maximal execution time of a sound and deterministic negotiation can 71 be computed in PTIME using the algorithm from [10]. Surprisingly however, we 72 give an example (Example 3) for which the minimal execution time cannot be 73 computed in PTIME by this algorithm. 74

The first contribution of the paper shows that reachability (whether at least one run of a negotiation terminates) is NP-complete, already for (untimed) deterministic acyclic negotiations. This implies that computing minimal or maximal execution time for deterministic (but unsound) acyclic negotiations cannot be done in PTIME (unless NP=PTIME). We characterize precisely the complexities of different decision variants (threshold, equality, etc.), with complexities ranging from (co-)NP-complete to Δ_2^P .

We thus turn to negotiations that are sound but not necessarily deterministic. Our second contribution is a new algorithm, not based on reduction rules, to compute the maximal execution time in PTIME for sound negotiations. It is based on computing the maximal execution time of critical paths in the negotiations. However, we show that *minimal* execution time cannot be computed in PTIME for sound negotiations (unless NP=PTIME): deciding whether the minimal execution time is lower than T is NP-complete, even for T given in unary, using a reduction from a Bin packing problem. This shows that minimal execution time is harder to compute than maximal execution time.

Our third contribution consists in defining a class in which the minimal exe-91 cution time can be computed in (pseudo) PTIME. To do so, we define the class 92 of k-layered negotiations, for k fixed, that is negotiations where nodes can be or-93 ganized into layers of at most k nodes at the same depth. These negotiations can 94 be executed without remembering more than k nodes at a time. In this case, we 95 show that computing the maximal execution time is PTIME, even if the negoti-96 ation is neither deterministic nor sound. The algorithm, not based on reduction 97 rules, uses the k-layer restriction in order to navigate in the negotiation while 98 considering only a polynomial number of configurations. For minimal execution 99 time, we provide a pseudo PTIME algorithm, that is PTIME if constants are 100 given in unary. Finally, we show that the size of constants do matter: deciding 101 whether the minimal execution time of a k-layered negotiation is less than T102 is NP-complete, when T is given in binary. We show this by reducing from a 103 Knapsack problem, yet again emphasizing that the minimal execution time of a 104 negotiation is harder to compute than its maximal execution time. 105

This paper is organized as follows. Section 2 introduces the key ingredients of 106 negotiations, determinism and soundness, known results in the untimed setting, 107 and provides our running example modeling the Brexit negotiation. Section 3 108 introduces time in negotiations, gives a semantics to this new model, and for-109 malizes several decision problems on maximal and minimal durations of runs in 110 timed negotiations. We recall the main results of the paper in Section 4. Then, 111 Section 5 considers timed execution problems for deterministic negotiations, Sec-112 tion 6 for sound negotiations, and section 7 for layered negotiations. Proof details 113 for the last three technical sections are given in the Appendices A, B and C. 114

¹¹⁵ 2 Negotiations: Definitions and Brexit example

In this section, we recall the definition of negotiations, of some subclasses (acyclic
 and deterministic), as well as important problems (soundness and reachability).

Definition 1 (Negotiation [6, 10]). A negotiation over a finite set of processes P is a tuple $\mathcal{N} = (N, n_0, n_f, \mathcal{X})$, where:

120 - N is a finite set of nodes. Each node is a pair $n = (P_n, R_n)$ where $P_n \subseteq P$

is a non empty set of processes participating in node n, and R_n is a finite set of outcomes of node n (also called results), with $R_{n_f} = \{r_f\}$. We denote

by R the union of all outcomes of nodes in N.

- $\begin{array}{ll} & -n_0 \text{ is the first node of the negotiation and } n_f \text{ is the final node. Every process} \\ & \text{in } P \text{ participates in both } n_0 \text{ and } n_f. \\ & \text{label{eq:participates}} \\ & \text{for all } n \in N, \ \mathcal{X}_n : P_n \times R_n \to 2^N \text{ is a map defining the transition relation} \end{array}$
- $\begin{array}{ll} & \ For \ all \ n \in N, \ \mathcal{X}_n : P_n \times R_n \to 2^N \ is \ a \ map \ defining \ the \ transition \ relation \\ from \ node \ n, \ with \ \mathcal{X}_n(p,r) = \emptyset \ iff \ n = n_f, r = r_f. \ We \ denote \ \mathcal{X} : N \times P \times P \times P \\ \end{array}$

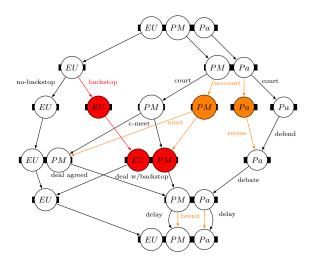


Fig. 1. A (sound but non-deterministic) negotiation modeling Brexit.

 $\begin{array}{ll} & R \to 2^N \ \ the \ partial \ map \ defined \ on \ \bigcup_{n \in N} (\{n\} \times P_n \times R_n), \ with \ \mathcal{X}(n,p,a) = \\ & \mathcal{X}_n(p,a) \ \ for \ all \ p,a. \end{array}$

Intuitively, at a node $n = (P_n, R_n)$ in a negotiation, all processes of P_n have to agree on a common outcome r chosen from R_n . Once this outcome r is chosen, every process $p \in P_n$ is ready to move to any node prescribed by $\mathcal{X}(n, p, r)$. A new node m can only start when all processes of P_m are ready to move to m.

134 *Example 1.* We illustrate negotiations by considering a simplified model of the Brexit negotiation, see Figure 1. There are 3 processes, $P = \{EU, PM, Pa\}$. At 135 first EU decides whether or not to enforce a backstop in any deal (outcome back-136 stop) or not (outcome no-backstop). In the meantime, PM decides to proroge 137 Pa, and Pa can choose or not to appeal to court (outcome court/no court). If it 138 goes to court, then PM and Pa will take some time in court (c-meet, defend), 139 before PM can meet EU to agree on a deal. Otherwise, Pa goes to recess, and 140 PM can meet EU directly. Once EU and PM agreed on a deal, PM tries to 141 convince Pa to vote the deal. The final outcome is whether the deal is voted, or 142 whether Brexit is delayed. 143

Definition 2 (Deterministic negotiations). A process $p \in P$ is determinis-144 tic iff, for every $n \in N$ and every outcome r of n, $\mathcal{X}(n, p, r)$ is a singleton. A ne-145 gotiation is deterministic iff all its processes are deterministic. It is weakly non-146 deterministic [9] (called weakly deterministic in [2]) iff, for every node n, one of 147 the processes in P_n is deterministic. Last, it is very weakly non-deterministic [9] 148 (called weakly deterministic in [6]) iff, for every n, every $p \in P_n$ and every out-149 come r of n, there exists a deterministic process q such that $q \in P_{n'}$ for every 150 $n' \in \mathcal{X}(n, p, r).$ 151

In deterministic negotiations, once an outcome is chosen, each process knows 152 the next node it will be involved in. In (very)-weakly non-deterministic nego-153 tiations, the next node might depend upon the outcome chosen in other nodes 154 by other processes. However, once the outcomes have been chosen for all cur-155 rent nodes, there is only one next node possible for each process. Observe that 156 the class of deterministic negotiations is isomorphic to the class of free choice 157 workflow nets [10]. Coming back to example 1, the Brexit negotiation is non-158 deterministic, because process PM is non-deterministic. Indeed, consider out-159 comes *c*-meet: it allows two nodes, according to whether the backstop is enforced 160 or not, which is a decision taken by process EU. However, the Brexit negotiation 161 is very weakly non-deterministic, as the other processes are deterministic. 162

Semantics: A configuration [2] of a negotiation is a mapping $M : P \to 2^N$. 163 Intuitively, it tells for each process p the set M(p) of nodes p is ready to engage in. 164 The semantics of a negotiation is defined in terms of moves from a configuration 165 to the next one. The *initial* M_0 and *final* M_f configurations, are given by $M_0(p) =$ 166 $\{n_0\}$ and $M_f(p) = \emptyset$ respectively for every process $p \in P$. A configuration M 167 enables node n if $n \in M(p)$ for every $p \in P_n$. When n is enabled, a decision 168 at node n can occur, and the participants at this node choose an outcome $r \in$ 169 R_n . The occurrence of (n, r) produces the configuration M' given by M'(p) =170 $\mathcal{X}(n, p, r)$ for every $p \in P_n$ and M'(p) = M(p) for remaining processes in $P \setminus P_n$. 171 Moving from M to M' after choosing (n, r) is called a *step*, denoted $M \xrightarrow{n, r} M'$. A 172 run of \mathcal{N} is a sequence $(n_1, r_1), (n_2, r_2)...(n_k, r_k)$ such that there is a sequence of 173 configurations M_0, M_1, \ldots, M_k and every (n_i, r_i) is a step between M_{i-1} and M_i . 174 A run starting from the initial configuration and ending in the final configuration 175 is called a *final run*. By definition, its last step is (n_f, r_f) . 176

An important class of negotiations in the context of timed negotiations are acyclic negotiations, where infinite sequence of steps are impossible:

Definition 3 (Acyclic negotiations). The graph of a negotiation \mathcal{N} is the labeled graph $G_{\mathcal{N}} = (V, E)$ where V = N, and $E = \{((n, (p, r), n') \mid n' \in \mathcal{X}(n, p, r))\}$, with pairs of the form (p, r) being the labels. A negotiation is acyclic iff its graph is acyclic. We denote by $Paths(G_{\mathcal{N}})$ the set of paths in the graph of a negotiation. These paths are of the form $\pi = (n_0, (p_0, r_0), n_1) \dots (n_{k-1}, (p_k, r_k), n_k)$

The Brexit negotiation of Fig.1 is an example of acyclic negotiation. Despite their apparent simplicity, negotiations may express involved behaviors as shown with the Brexit example. Indeed two important questions in this setting are whether there is some way to reach a final node in the negotiation from (i) the initial node and (ii) any reachable node in the negotiation.

¹⁸⁹ Definition 4 (Soundness and Reachability).

A negotiation is sound iff every run from the initial configuration can be
 extended to a final run. The problem of soundness is to check if a given
 negotiation is sound.

¹⁹³ 2. The problem of reachability asks if a given negotiation has a final run.

Notice that the Brexit negotiation of Fig.1 is sound (but not deterministic).
It seems hard to preserve the important features of this negotiation while being
both sound *and* deterministic. The problem of soundness has received considerable attention. We summarize the results about soudness in the next theorem:

¹⁹⁸ **Theorem 1.** Determining whether a negotiation is sound is PSPACE-Complete.

¹⁹⁹ For (very-)weakly non-deterministic negotiations, it is co-NP-complete [9]. For

acyclic negotiations, it is in DP and co-NP-Hard [6]. Determining whether an

acyclic weakly non-deterministic negotiation is sound is in PTIME [2, 9]. Fi-

²⁰² nally, deciding soundness for deterministic negotiation is NLOGSPACE-complete [9].

²⁰³ Checking reachability is NP-complete, even for deterministic acyclic negoti-²⁰⁴ ations (surprisingly, we did not find this result stated before in the literature):

Proposition 1. Reachability is NP-complete for acyclic negotiations, even if
 the negotiation is deterministic.

Proof (sketch). One can easily guess a run of size $\leq |\mathcal{N}|$ in polynomial time, and verify if it reaches n_f , which gives the inclusion in NP. The hardness part comes from a reduction from 3-CNF-SAT that can be found in the proof of Theorem 3.

207 k-Layered Acyclic Negotiations

We introduce a new class of negotiations which has good algorithmic properties, namely k-layered acyclic negotiations, for k fixed. Roughly speaking, nodes of a k-layered acyclic negotiations can be arranged in layers, and these layers contain at most k nodes. Before giving a formal definition, we need to define the depth of nodes in \mathcal{N} .

First, a *path* in a negotiation is a sequence of nodes $n_0
dots n_\ell$ such that for all $i \in \{1, \dots, \ell - 1\}$, there exists p_i, r_i with $n_{i+1} \in \mathcal{X}(n_i, p_i, r_i)$. The *length* of a path n_0, \dots, n_ℓ is ℓ . The *depth* depth(n) of a node n is the maximal length of a path from n_0 to n (recall that \mathcal{N} is acyclic, so this number is always finite).

Definition 5. An acyclic negotiation is layered if for all node n, every path reaching n has length depth(n). An acyclic negotiation is k-layered if it is layered, and for all $\ell \in \mathbb{N}$, there are at most k nodes at depth ℓ .

The Brexit example of Fig.1 is 6-layered. Notice that a layered negotiation 220 is necessarily k-layered for some $k \leq |\mathcal{N}| - 2$. Note also that we can always 221 transform an acyclic negotiation \mathcal{N} into a layered acyclic negotiation \mathcal{N}' , by 222 adding dummy nodes: for every node $m \in \mathcal{X}(n, p, r)$ with depth(m) > depth(n) +223 1, we can add several nodes $n_1, \ldots n_\ell$ with $\ell = \operatorname{depth}(m) - (\operatorname{depth}(n) + 1)$, and 224 processes $P_{n_i} = \{p\}$. We compute a new relation \mathcal{X}' such that $\mathcal{X}'(n, p, r) =$ 225 $\{n_1\}, \mathcal{X}(n_\ell, p, r) = \{m\}$ and for every $i \in 1..\ell - 1, \mathcal{X}(n_i, p, r) = n_{i+1}$. This 226 transformation is polynomial: the resulting negotiation is of size up to $|\mathcal{N}|$ × 227 $|\mathcal{X}| \times |P|$. The proof of the following Theorem can be found in appendix C. 228

Theorem 2. Let $k \in \mathbb{N}^+$. Checking reachability or soundness for a k-layered acyclic negotiation \mathcal{N} can be done in PTIME.

²³¹ **3** Timed Negotiations

In many negotiations, time is an important feature to take into account. For 232 instance, in the Brexit example, with an initial node starting at the beginning of 233 September 2019, there are 9 weeks to pass a deal till the 31^{st} October deadline. 234 We extend negotiations by introducing timing constraints on outcomes of 235 nodes, inspired by time Petri nets [15] and by the notion of negotiations with 236 costs [10]. We use time intervals to specify lower and upper bounds for the 237 duration of negotiations. More precisely, we attach time intervals to pairs (n, r)238 where n is a node and r an outcome. In the rest of the paper, we denote by 239 \mathcal{I} the set of intervals with endpoints that are non-negative integers or ∞ . For 240 convenience we only use closed intervals in this paper (except for ∞), but the 241 results we show can also be extended to open intervals with some notational 242 overhead. Intuitively, outcome r can be taken at a node n with associated time 243 interval [a, b] only after a time units have elapsed from the time all processes 244 contributing to n are ready to engage in n, and at most b time units later. 245

Definition 6. A timed negotiation is a pair (\mathcal{N}, γ) where \mathcal{N} is a negotiation, and $\gamma : \mathbb{N} \times \mathbb{R} \to \mathcal{I}$ associates an interval to each pair (n, r) of node and outcome such that $r \in \mathbb{R}_n$. For a given node n and outcome r, we denote by $\gamma^-(n, r)$ (resp. $\gamma^+(n, r)$) the lower bound (resp. the upper bound) of $\gamma(n, r)$.

Example 2. In the Brexit example, we define the following timed constraints γ . 250 We only specify the outcome names, as the timing only depends upon them. 251 Backstop and no-backstop both take between 1 and 2 weeks: $\gamma(\text{backstop}) =$ 252 γ (no-backstop) = [1,2]. In case of no-court, recess takes 5 weeks γ (recess) = 253 [5,5], and PM can meet EU immediatly $\gamma(\text{meet}) = [0,0]$. In case of court ac-254 tion, PM needs to spend 2 weeks in court γ (c-meet) = [2, 2], and depending on 255 the court delay and decision, Pa needs between 3 (court overules recess) to 5 256 (court confirms recess) weeks, $\gamma(\text{defend}) = [3, 5]$. Agreeing on a deal can take 257 anywhere from 2 weeks to 2 years (104 weeks): γ (deal agreed) = [2, 104] - some 258 would say infinite time is even possible! It needs more time with the backstop, 259 γ (deal w/backstop) = [5, 104]. All others outcomes are assumed to be immedi-260 ate, i.e., associated with [0, 0]. 261

Semantics: A timed valuation is a map $\mu : P \to \mathbb{R}^{\geq 0}$ that associates a nonnegative real value to every process. A timed configuration is a pair (M, μ) where M is a configuration and μ a timed valuation. There is a timed step from (M, μ) to (M', μ') , denoted $(M, \mu) \xrightarrow{(n,r)} (M', \mu')$, if (i) $M \xrightarrow{(n,r)} M'$, (ii) $p \notin P_n$ implies

to (M', μ') , denoted $(M, \mu) \xrightarrow{(n,r)} (M', \mu')$, if (i) $M \xrightarrow{(n,r)} M'$, (ii) $p \notin P_n$ implies $\mu'(p) = \mu(p)$ (iii) $p \in P_n$ implies $(\mu'(p) - \max_{p' \in P_n} \mu(p')) \in \gamma(n, r)$

Intuitively a timed step $(M,\mu) \xrightarrow{(n,r)} (M',\mu')$ depicts a decision taken at node *n*, and how long each process of P_n waited in that node before taking decision (n,r). The last process engaged in *n* must wait for a duration contained in $\gamma(n,r)$. However, other processes may spend a time greater than $\gamma^+(n,r)$.

A timed run is a sequence of steps $\rho = (M_1, \mu_1) \xrightarrow{e_1} (M_2, \mu_2) \dots (M_k, \mu_k)$ where each $(M_i, \mu_i) \xrightarrow{e_i} (M_{i+1}, \mu_{i+1})$ is a timed step. It is final if $M_k = M_f$. Its execution time $\delta(\rho)$ is defined as $\delta(\rho) = \max_{p \in P} \mu_k(p)$. Notice that we only attached timing to processes, not to individual steps. With our definition of runs, timing on steps may not be monotonous (i.e., nondecreasing) along the run, while timing on processes is. Viewed by the lens of concurrent systems, the timing is monotonous on the partial orders of the system rather than the linearization. It is not hard to restrict paths, if necessary, to have a monotonous timing on steps as well. In this paper, we are only interested in execution time, which does not depend on the linearization considered.

Given a timed negotiation \mathcal{N} , we can now define the minimum and maximum execution time, which correspond to optimistic or pessimistic views:

Definition 7. Let \mathcal{N} be a timed negotiation. Its minimum execution time, denoted minime(\mathcal{N}) is the minimal $\delta(\rho)$ over all final timed run ρ of \mathcal{N} . We define the maximal execution time maxtime(\mathcal{N}) of \mathcal{N} similarly.

Given $T \in \mathbb{N}$, the main problems we consider in this paper are the following: 287

- The mintime problem, i.e., do we have $mintime(\mathcal{N}) \leq T$?.

In other words, does there exist a final timed run ρ with $\delta(\rho) \leq T$?

²⁹⁰ – The maxtime problem, i.e., do we have $maxtime(\mathcal{N}) \leq T$?.

In other words, does $\delta(\rho) \leq T$ for every final timed run ρ ?

These questions have a practical interest : in the Brexit example, the question "is there a way to have a vote on a deal within 9 weeks?" is indeed a minimum execution time problem. We also address the equality variant of these decision problems, i.e., $mintime(\mathcal{N}) = T$: is there a final run of \mathcal{N} that terminates in exactly T time units and no other final run takes less than T time units? Similarly for $maxtime(\mathcal{N}) = T$.

Example 3. We use Fig. 1 to show that it is not easy to compute the minimal 298 execution time, and in particular one cannot use the algorithm from [10] to com-299 pute it. Consider the node n with $P_n = \{PM, Pa\}$ and $R_n = \{court, no_court\}$. 300 If the outcome is court, then PM needs 2 weeks before he can talk to EU and Pa301 needs at least 3 weeks before he can debate. However, if the outcome is no_court, 302 then PM need not wait before he can talk to EU, but Pa wastes 5 weeks in re-303 cess. This means that one needs to remember different alternatives which could 304 be faster in the end, depending on the future. On the other hand, the algorithm 305 from [10] attaches one minimal time to process Pa, and one minimal time to 306 process PM. No matter the choices (0 or 2 for PM and 3 or 5 for Pa), there 307 will be futures in which the chosen number will over or underapproximate the 308 real minimal execution time (this choice is not explicit in $[10])^4$. For maximum 309 execution time, it is not an issue to attach to each node a unique maximal exe-310 cution time. The reason for the asymmetry between minimal execution time and 311 maximal execution time of a negotiation is that the execution time of a path 312 is $\max_{p \in P} \mu_k(p)$, for μ_k the last timed valuation, hence breaking the symmetry 313 between min and max. 314

 $^{^{4}}$ the authors of [10] acknowledged the issue with their algorithm for mintime.

³¹⁵ 4 High Level view of the main results

In this section, we give a high-level description of our main results. Formal 316 statements can be found in the sections where they are proved. We gather in 317 Fig. 2 the precise complexities for the minimal and the maximal execution time 318 problems for 3 classes of negotiations that we describe in the following. Since we 319 are interested in minimum and maximum execution time, cycles in negotiations 320 can be either by passed or lead to infinite maximal time. Hence, while we define 321 timed negotiations in general, we always restrict to acyclic negotiations (such as 322 Brexit) while stating and proving results. 323

In [10], a PTIME algorithm is given to compute different costs for negotiations that are both sound *and* deterministic. One limitation of this result is that it cannot compute the minimum execution time, as explained in Example 3. A second limitation is that the class of sound and deterministic negotiations is quite restrictive: it cannot model situations where the next node a process participates in depends on the outcome from another process, as in the Brexit example. We thus consider classes where one of these restrictions is dropped.

We first consider (Section 5) negotiations that are deterministic, but without the soundness restriction. We show that for this class, no timed problem we consider can be solved in PTIME (unless NP=PTIME). Further, we show that the equality problems ($maxtime/mintime(\mathcal{N}) = T$), are complete for the complexity class DP, i.e., at the second level of the Boolean Hierarchy [16].

We then consider (Section 6) the class of negotiations that are sound, but not necessarily deterministic. We show that maximum execution time can be solved in PTIME, and propose a new algorithm. However, the minimum execution time cannot be computed in PTIME (unless NP=PTIME). Again for the minime equality problem we have a matching DP-completeness result.

Finally, in order to obtain a polytime algorithm to compute the minimum execution time, we consider the class of k-layered negotiations (see Section 7): Given $k \in \mathbb{N}$, we can show that $maxtime(\mathcal{N})$ can be computed in PTIME for k-layered negotiations. We also show that while the $mintime(\mathcal{N}) \leq T$? problem is weakly NP-complete for k-layered negotiations, we can compute $mintime(\mathcal{N})$ in pseudo-PTIME, i.e. in PTIME if constants are given in unary.

	Deterministic	Sound	k-layered
Max = T	co-NP-complete (Thm. 3) DP-complete (Prop. 2)	PIIME (Prop. 3)	PTIME (Thm. 6)
$Min \le T$	NP-complete (Thm. 3)	NP-complete [*] (Thm. 5)	pseudo-PTIME (Thm. 8) NP-complete ^{**} (Thm. 7)
$\operatorname{Min} = T$			pseudo-PTIME (Thm. 8)

Fig. 2. Results for acyclic timed negotiations. *DP* refers to the complexity class, Difference Polynomial time [16], the second level of the Boolean Hierarchy.

 * hardness holds even for very weakly non-deterministic negotiations, and T in unary.

 ** hardness holds even for sound and very weakly non-deterministic negotiations.

347 **5** Deterministic Negotiations

We start by considering the class of deterministic acyclic negotiations. We show that both maximal and minimal execution time cannot be computed in PTIME (unless NP=PTIME), as the threshold problems are (co-)NP-complete.

Theorem 3. The mintime(\mathcal{N}) $\leq T$ decision problem is NP complete, and the maxtime(\mathcal{N}) $\leq T$ decision problem is co-NP complete for acyclic deterministic timed negotiations.

Proof. For $mintime(\mathcal{N}) \leq T$, containment in NP is easy: we just need to guess a run ρ (of polynomial size as \mathcal{N} is acyclic), consider the associated timed run ρ^{-} where all decisions are taken at their earliest possible dates, and check whether $\delta(\rho^{-}) \leq T$, which can be done in time O($|\mathcal{N}|$ +log T).

For the hardness, we give the proof in two steps. First, we start with a proof of Proposition 1 that reachability problem is NP-hard using reduction of 3-CNF SAT, i.e., given a formula ϕ , we build a deterministic negotiation \mathcal{N}_{ϕ} s.t. ϕ is satisfiable iff \mathcal{N}_{ϕ} has a final run. In a second step, we introduce timings on this negotiation and show that $mintime(\mathcal{N}_{\phi}) \leq T$ iff ϕ is satisfiable.

363 Step 1: Reducing 3-CNF-SAT to Reachability problem.

Given a boolean formula ϕ with variables $v_i, 1 \leq i \leq n$ and clauses $c_j, 1 \leq j \leq m$, for each variable v_i we define the sets of clauses $S_{i,t} = \{c_j | v_i \text{ is present in } c_j\}$ and $S_{i,f} = \{c_j | \neg v_i \text{ is present in } c_j\}$. Clauses in $S_{i,t}$ and $S_{i,f}$ are naturally ordered: $c_i < c_j$ iff i < j. We denote these elements $S_{i,t}(1) < S_{i,t}(2) < \dots$ Similarly for set $S_{i,f}$.

Now, we construct a negotiation \mathcal{N}_{ϕ} (as depicted in Figure 3) with a process V_i for each variable v_i and a process C_j for each clause c_j :

- Initial node n_0 has a single outcome r taking each process C_j to node $Lone_{c_j}$, and each process V_i to node $Lone_{v_i}$.
- $\begin{array}{ll} & \text{-} Lone_{c_j} \text{ has three outcomes: if literal } v_i \in c_j, \text{ then } t_i \text{ is an outcome, taking} \\ & V_i \text{ to } Pair_{c_j,v_i}, \text{ and if literal } \neg v_i \in c_j, \text{ then } f_i \text{ is an outcome, taking } V_i \text{ to} \\ & Pair_{c_i,\neg v_i}. \end{array}$
- The outcomes of $Lone_{v_i}$ are true and false. Outcome true brings v_i to node $Tlone_{v_i,1}$ and outcome false brings v_i to node $Flone_{v_i,1}$.
- We have a node $Tlone_{v_i,j}$ for each $j \leq |S_{i,t}|$ and $Flone_{v_i,j}$ for each $j \leq |S_{i,f}|$,
- with V_i as only process. Let $c_r = S_{i,t}(j)$. Node $Tlone_{v_i,j}$ has two outcomes vton bringing V_i to $Tlone_{v_i,j+1}$ (or n_f if $j = |S_{i,t}|$), and $vtoc_{i,r}$ bringing V_i to $Pair_{c_r,v_i}$. The two outcomes from $Flone_{v_i,j}$ are similar.
- Node $Pair_{c_r,v_i}$ has V_i and C_r as its processes and one outcome ctof which takes process C_j to final node n_f and process V_i to $Tlone_{v_i,j+1}$ (with $c_r = S_{i,t}(j)$), or to n_f if $j = |S_{i,t}|$. Node $Pair_{c_r,\neg v_i}$ is defined in the same way from $Flone_{v_i,j}$.

With this we claim that \mathcal{N}_{ϕ} has a final run iff ϕ is satisfiable which completes the first step of the proof. We give a formal proof of this claim in Appendix A. Observe that the negotiation \mathcal{N}_{ϕ} constructed is deterministic and acyclic (but it is not sound).

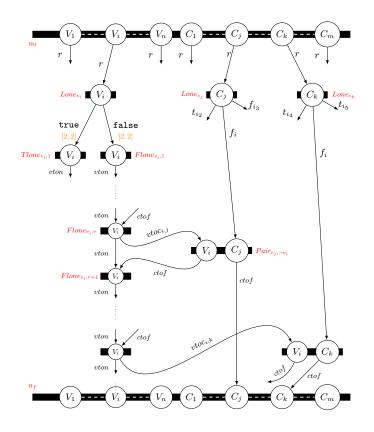


Fig. 3. A part of \mathcal{N}_{ϕ} where clause c_j is $(i_2 \vee \neg i \vee \neg i_3)$ and clause c_k is $(i_4 \vee \neg i \vee i_5)$. Timing is [0,0] whereever not mentioned

Step 2: Before we introduce timing on \mathcal{N}_{ϕ} , we introduce a new outcome r'390 at n_0 which takes all processes to n_f . Now, the timing function γ associated 391 with the \mathcal{N}_{ϕ} is: $\gamma(n_0, r) = [2, 2]$ and $\gamma(n_0, r') = [3, 3]$ and $\gamma(n, r) = [0, 0]$, for 392 all node $n \neq n_0$ and all $r \in R_n$. Then, $mintime(\mathcal{N}_{\phi}) \leq 2$ iff ϕ has a satisfiable 393 assignment: if $mintime(\mathcal{N}_{\phi}) \leq 2$, there is a run with decision r taken at n_0 394 which is final. But existence of any such final run implies satisfiability of ϕ . For 395 reverse implication, if ϕ is satisfiable, then the corresponding run for satisfying 396 assignment takes 2 units time, which means that $mintime(\mathcal{N}_{\phi}) \leq 2$. 397

Similarly, we can prove that the MaxTime problem is co-NP complete by changing $\gamma(n_0, r') = [1, 1]$ and asking if $maxtime(\mathcal{N}_{\phi}) > 1$ for the new \mathcal{N}_{ϕ} . The answer will be yes iff ϕ is satisfiable.

We now consider the related problem of checking if $mintime(\mathcal{N}) = T$ (or if maxtime($\mathcal{N}) = T$). These problems are harder than their threshold variant under usual complexity assumptions: they are DP-complete (Difference Polynomial

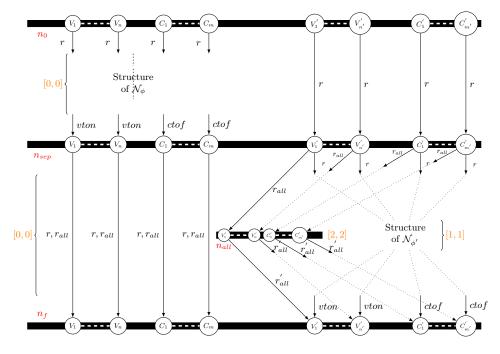


Fig. 4. Structure of $\mathcal{N}_{\phi,\phi'}$

- time class, i.e., second level of the Boolean Hierarchy, defined as intersection of a problem in NP and one in co-NP [16]).
- Proposition 2. The mintime(\mathcal{N}) = T and maxtime(\mathcal{N}) = T decision problems are DP-complete for acyclic deterministic negotiations.
- ⁴⁰⁵ Proof. We only give the proof for mintime (the proof for maxtime is given in ⁴⁰⁶ Appendix A). Indeed, it is easy to see that this problem is in DP, as it can be ⁴⁰⁷ written as $mintime(\mathcal{N}) \leq T$ which is in NP and $\neg(mintime(\mathcal{N}) \leq T-1))$, ⁴⁰⁸ which is in co-NP. To show hardness, we use the negotiation constructed in the ⁴⁰⁹ above proof as a gadget, and show a reduction from the SAT-UNSAT problem ⁴¹⁰ (a standard DP-complete problem).
- ⁴¹¹ The SAT-UNSAT Problem asks given two Boolean expressions ϕ and ϕ' , both ⁴¹² in CNF forms with three literals per clause, is it true that ϕ is satisfiable and ϕ' ⁴¹³ is unsatisfiable? SAT-UNSAT is known to be DP-complete [16]. We reduce this ⁴¹⁴ problem to $mintime(\mathcal{N}) = T$.
- Given ϕ , ϕ' , we first make the corresponding negotiations \mathcal{N}_{ϕ} and $\mathcal{N}_{\phi'}$ as in the previous proof. Let n_0 and n_f be the initial and final nodes of \mathcal{N}_{ϕ} and n'_0 and n'_f be the initial and final nodes of $\mathcal{N}_{\phi'}$. (Similarly, for other nodes we write ' above the nodes to signify they belong to $\mathcal{N}_{\phi'}$).
 - In the negotiation $\mathcal{N}_{\phi'}$, we introduce a new node n_{all} , in which all the processes participate (see Figure 4). The node n_{all} has a single outcome r'_{all} which

sends all the processes to n_f . Also, for node n'_0 , apart from the outcome r which sends all processes to different nodes, there is another outcome r_{all} which sends all the processes to n_{all} . Now we merge the nodes n_f and n'_0 and call the merged node n_{sep} . Also nodes n_0 and n'_f now have all the processes of \mathcal{N}_{ϕ} and $\mathcal{N}_{\phi'}$ participating in them. This merged process gives us a new negotiation $\mathcal{N}_{\phi,\phi'}$ in which the structure above n_{sep} is same as \mathcal{N}_{ϕ} while below it is same as $\mathcal{N}_{\phi'}$. Node n_{sep} now has all the processes of \mathcal{N}_{ϕ} and $\mathcal{N}_{\phi'}$ participating in it. The outcomes of n_{sep} will be same as that of n'_0 (r_{all}, r) . For both the outcomes of n_{sep} the processes corresponding to \mathcal{N}_{ϕ} directly go to n_f of the $\mathcal{N}_{\phi,\phi'}$. Similarly n_0 of $\mathcal{N}_{\phi,\phi'}$ which is same n_0 of \mathcal{N}_{ϕ} , sends processes corresponding to $\mathcal{N}_{\phi'}$ directly to n_{sep} for all its outcomes. We now define timing function γ for $\mathcal{N}_{\phi,\phi'}$ which is as follows: $\gamma(Lone'_{v_i}, r) = [1, 1]$ for all $v_i \in \phi'$ and $r \in \{\texttt{true, false}\}$, $\gamma(n_{all}, r'_{all}) = [2, 2]$ and $\gamma(n, r) = [0, 0]$ for all other outcomes of nodes. With this construction, one can conclude that $mintime(\mathcal{N}_{\phi,\phi'}) = 2$ iff ϕ is satisfiable and ϕ' is unsatisfiable (see Appendix for details). This completes the reduction and hence proves DP-hardness.

Finally, we consider a related problem of computing the min and max time. To consider the decision variant, we rephrase this problem as checking whether an arbitrary bit of the minimum execution time is 1. Perhaps surprisingly, we obtain that this problem goes even beyond DP, the second level of the Boolean Hierarchy and is in fact hard for Δ_2^P (second level of the *polynomial* hierarchy), which contains the entire Boolean Hierarchy. Formally,

Theorem 4. Given an acyclic deterministic timed negotiation and a positive integer k, computing the k^{th} bit of the maximum/minimum execution time is Δ_2^P -complete.

Finally, we remark that if we were interested in the optimization variant and not the decision variant of the problem, the above proof can be adapted to show that these variants are OptP-complete (as defined in [14]). But as optimization is not the focus of this paper, we avoid formal details of this proof.

432 6 Sound Negotiations

Sound negotiations are negotiations in which every run can be extended to a final run, as in Fig. 1. In this section, we show that $maxtime(\mathcal{N})$ can be computed in PTIME for sound negotiations, hence giving PTIME complexities for the $maxtime(\mathcal{N}) \leq T$? and $maxtime(\mathcal{N}) = T$? questions. However, we show that $mintime(\mathcal{N}) \leq T$ is NP-complete for sound negotiations, and that $mintime(\mathcal{N}) = T$ is DP-complete, even if T is given in unary.

439 Consider the graph $G_{\mathcal{N}}$ of a negotiation \mathcal{N} . Let $\pi = (n_0, (p_0, r_0), n_1) \cdots$

($n_k, (p_k, r_k), n_{k+1}$) be a path of G_N . We define the maximal execution time of a path π as the value $\delta^+(\pi) = \sum_{i \in 0..k} \gamma^+(n_i, r_i)$. We say that a path $\pi = (n_i, r_i)$

Lemma 1. Let \mathcal{N} be an acyclic and sound timed negotiation. Then maxtime(\mathcal{N}) = max_{$\pi \in Paths(G_{\mathcal{N}})$} $\delta^+(\pi) + \gamma^+(n_f, r_f)$.

Proof. Let us first prove that $maxtime(\mathcal{N}) \geq \max_{\pi \in Paths(G_{\mathcal{N}})} \delta^+(\pi) + \gamma^+(n_f, r_f).$ 446 Consider any path π of $G_{\mathcal{N}}$, ending in some node *n*. First, as \mathcal{N} is sound, we can 447 compute a run ρ_{π} such that π is a path of ρ_{π} , and ρ_{π} ends in a configuration 448 in which n is enabled. We associate with ρ_{π} the timed run ρ_{π}^+ which asso-449 ciates to every node the latest possible execution date. We have easily $\delta(\rho_{\pi}^+) \geq$ 450 $\delta(\pi)$, and then we obtain $\max_{\pi \in Paths(G_{\mathcal{N}})} \delta(\rho_{\pi}^{+}) \geq \max_{\pi \in Paths(G_{\mathcal{N}})} \delta(\pi)$. As 451 $maxtime(\mathcal{N})$ is the maximal duration over all runs, it is hence necessarily greater 452 than $\max_{\pi \in Paths(G_{\mathcal{N}})} \delta(\rho_{\pi}^+) + \gamma^+(n_f, r_f).$ 453

We now prove that $maxtime(\mathcal{N}) \leq \max_{\pi \in Paths(G_{\mathcal{N}})} \delta^+(\pi) + \gamma^+(n_f, r_f)$. Take

any timed run $\rho = (M_1, \mu_1) \xrightarrow{(n_1, r_1)} \cdots (M_k, \mu_k)$ of \mathcal{N} with a unique maximal node 455 n_k . We show that there exists a path π of ρ such that $\delta(\rho) \leq \delta^+(\pi)$ by induction 456 on the length k of ρ . The initialization is trivial for k = 1. Let $k \in \mathbb{N}$. Because n_k 457 is the unique maximal node of ρ , we have $\delta(\rho) = \max_{p \in P_{n_k}} \mu_{k-1}(p) + \gamma^+(n_k, r_k)$. 458 We choose one p_{k-1} maximizing $\mu_{k-1}(p)$. Let $\ell < k$ be the maximal index of a 459 decision involving process p_{k-1} (i.e. $p_{k-1} \in P_{n_{\ell}}$). Now, consider the timed run 460 ρ' subword of ρ , but with n_{ℓ} as unique maximal node (that is, it is ρ where 461 nodes $n_i, i > \ell$ has been removed, but also where some nodes $n_i, i < \ell$ have been 462 removed if they are not causally before n_{ℓ} (in particular, $P_{n_i} \cap P_{n_{\ell}} = \emptyset$). 463

By definition, we have that $\delta(\rho) = \delta(\rho') + \gamma^+(n_\ell, r_\ell) + \gamma^+(n_k, r_k)$. We apply the induction hypothesis on ρ' , and obtain a path π' of ρ' ending in n_ℓ such that $\delta(\rho') + \gamma^+(n_\ell, r_\ell) \leq \delta^+(\pi')$. It suffices to consider the path $\pi = \frac{1}{467} \pi' \cdot (n_\ell, (p_{k-1}, r_\ell), n_k)$ to prove the inductive step $\delta(\rho) \leq \delta^+(\pi) + \gamma^+(n_k, r_k)$. Thus $maxtime(\mathcal{N}) = \max \delta(\rho) \leq \max_{\pi \in Paths(G_{\mathcal{N}})} \delta^+(\pi) + \gamma^+(n_f, r_f)$.

Lemma 1 gives a way to evaluate the maximal execution time. This amounts to finding a path of maximal weight in an acyclic graph, which is a standard PTIME problem that can be solved using standard max-cost calculation.

Proposition 3. Computing the maximal execution time for an acyclic sound regotiation $\mathcal{N} = (N, n_0, n_f, \mathcal{X})$ can be done in time $O(|N| + |\mathcal{X}|)$.

A direct consequence is that $maxtime(\mathcal{N}) \leq T$ and $maxtime(\mathcal{N}) = T$ problems can be solved in polynomial time when \mathcal{N} is sound. Notice that if \mathcal{N} is deterministic but not sound, then Lemma 1 does not hold: we only have an inequality.

477 We now turn to $mintime(\mathcal{N})$. We show that it is strictly harder to compute 478 for sound negotiations than $maxtime(\mathcal{N})$.

Theorem 5. $mintime(\mathcal{N}) \leq T$ is NP-complete in the strong sense for sound acyclic negotiations, even if \mathcal{N} is very weakly non-deterministic.

⁴⁸¹ Proof (sketch). First, we can decide $mintime(\mathcal{N}) \leq T$ in NP. Indeed, one can ⁴⁸² guess a final (untimed) run ρ of size $\leq |N|$, consider ρ^- the timed run corre-⁴⁸³ sponding to ρ where all outcomes are taken at the earliest possible dates, and ⁴⁸⁴ compute in linear time $\delta(\rho^-)$, and check that $\delta(\rho^-) \leq T$. The hardness part is obtained by reduction from the **Bin Packing** problem. The reduction is similar to Knapsack, that we will present in Thm. 7. The difference is that we use ℓ bins in parallel, rather than 2 process, one for the weight and one for the value. The hardness is thus strong, but the negotiation is not k-layered for a bounded k (It is $2\ell + 1$ bounded, with ℓ depending on the input). A detailed proof is given in Appendix B.

We show that $mintime(\mathcal{N}) = T$ is harder to decide than $mintime(\mathcal{N}) \leq T$, with a proof similar to Prop. 2.

Proposition 4. The mintime(\mathcal{N}) = T? decision problem is DP-complete for sound acyclic negotiations, even if it is very weakly non-deterministic.

An open question is whether the minimal execution time can be computed in
PTIME if the negotiation is both sound and deterministic. The reduction from
Bin Packing does not work with deterministic (and sound) negotiations.

492 7 k-Layered Negotiations

In the previous sections, we have considered sound negotiations, and deterministic negotiations. For both classes, computing the minimal execution time cannot be done in PTIME (unless NP=PTIME), even if constants are given in unary. In this section, we consider k-layeredness (see Section 2), a syntactic property that can be efficiently verified (it suffices to compute the depth of each node, which can be done in polynomial time).

499 7.1 Algorithmic properties

Let k be a fixed integer. We first show that the maximum execution time can be computed in PTIME for k-layered negotiations. Let N_i be the set of nodes at layer i. We define for every layer i the set S_i of subsets of nodes $X \subseteq N_i$ which can be jointly enabled and such that for every process p, there is exactly one node n(X,p) in X with $p \in n(X,p)$. Formally, we define S_i inductively. We start with $S_0 = \{n_0\}$. We then define S_{i+1} from the contents of layer S_i : we have $Y \in S_{i+1}$ iff $\bigcup_{n \in Y} P_n = P$ and there exist $X \in S_i$ and an outcome $r_m \in R_m$ for every $m \in X$, such that $n \in \mathcal{X}(n(X,p), p, r_m)$ for each $n \in Y$ and $p \in P_n$.

Theorem 6. Let $k \in \mathbb{N}^+$. Computing the maximum execution time for a klayered acyclic negotiation \mathcal{N} can be done in PTIME. More precisely, the worstcase time complexity is $O(|P| \cdot |\mathcal{N}|^{k+1})$.

⁵¹¹ Proof (Sketch). The first step is to compute S_i layer by layer, by following its ⁵¹² inductive definition. The set S_i is of size at most 2^k , as $|N_i| < k$ by definition ⁵¹³ of k-layeredness. Knowing S_i , it is easy to build S_{i+1} by induction. This takes ⁵¹⁴ time in $O(|P||\mathcal{N}|^{k+1})$: We need to consider all k-uple of outcomes for each layer. ⁵¹⁵ There can be $|\mathcal{N}|^k$ such tuples. We need to do that for all processes (|P|), and ⁵¹⁶ for all layers (at most $|\mathcal{N}|$). We then keep for each subset $X \in S_i$ and each node $n \in X$, the maximal time $f_i(n, X) \in \mathbb{N}$ associated with n and X. From S_{i+1} and f_i , we inductively compute f_{i+1} in the following way: for all $X \in S_i$ with successor $Y \in S_{i+1}$ for outcomes $(r_p)_{p \in P}$, we denote $f_{i+1}(Y, n, X) = \max_{p \in P(n)} f_i(X, n(X, p)) +$ $\gamma^+(n(X, p), r_p)$. If there are several choices of $(r_p)_{p \in P}$ leading to the same Y, we take r_p with the maximal $f_i(X, n(X, p)) + \gamma^+(n(X, p), r_p)$. We then define $f_{i+1}(Y, n) = \max_{X \in S_i} f_{i+1}(Y, n, X)$. Again, the initialization is trivial, with $f_0(\{n_0\}, n_0) = 0$. The maximal execution time of \mathcal{N} is $f(\{n_f\}, n_f)$. \Box

We can bound the complexity precisely by $O(d(\mathcal{N}) \cdot C(\mathcal{N}) \cdot ||R||^{k^*})$, with:

 $\begin{array}{l} {}_{518} & - d(\mathcal{N}) \leq |\mathcal{N}| \text{ the depth of } n_f, \text{ that is the number of layers of } \mathcal{N}, \text{ and } ||R|| \text{ is the maximum number of outcomes of a node,} \end{array}$

⁵²⁰ $- C(\mathcal{N}) = \max_i |S_i| \le 2^k$, which we will call the *number of contexts of* \mathcal{N} , and ⁵²¹ which is often much smaller than 2^k .

 $\begin{array}{ll} & -k^* = \max_{X \in \bigcup_i S_i} |X| \leq k. \text{ We say that } \mathcal{N} \text{ is } k^* \text{-thread bounded, meaning} \\ & \text{that there cannot be more that } k^* \text{ nodes in the same context } X \text{ of any layer.} \\ & \text{Usually, } k^* \text{ is strictly smaller than } k = \max_i |N_i|, \text{ as } N_i = \bigcup_{X \in S_i} X. \end{array}$

⁵²⁵ Consider again the Brexit example Figure 1. We have (k + 1) = 7, while ⁵²⁶ we have the depth $d(\mathcal{N}) = 6$, the negotiation is $k^* = 3$ -thread bounded $(k^*$ is ⁵²⁷ bounded by the number of processes), ||R|| = 2, and the number of contexts is ⁵²⁸ at most $C(\mathcal{N}) = 4$ (EU chooses to enforce backstop or not, and Pa chooses to ⁵²⁹ go to court or not).

530 7.2 Minimal Execution Time

As with sound negotiations, computing minimal time is much harder than computing the maximal time for k-layered negotiations:

Theorem 7. Let $k \ge 6$. The Min $\le T$ problem is NP-Complete for k-layered acyclic negotiations, even if the negotiation is sound and very weakly non-deterministic.

Proof. One can guess in polynomial time a final run of size $\leq |\mathcal{N}|$. If the execution time of this final run is smaller than T then we have found a final run witnessing $mintime(\mathcal{N}) \leq T$. Hence the problem is in NP.

Let us now show that the problem is NP-hard. We proceed by reduction from 538 the **Knapsack** decision problem. Let us consider a set of items $U = \{u_1, \ldots, u_n\}$ 539 of respective values v_1, \ldots, v_n and weight w_1, \ldots, w_n and a knapsack of maximal 540 capacity W. The knapsack problem asks, given a value V whether there exists a 541 subset of items $U' \subseteq U$ such that $\sum_{u_i \in U'} v_i \geq V$ and such that $\sum_{u_i \in U'} w_i \leq W$. We build a negotiation with 2n processes $P = \{p_1, \dots, p_{2n}\}$, as shown in 542 543 Fig. 5. Intuitively, $p_i, i \leq n$ will serve to encode the value of selected items as 544 timing, while $p_i, i > n$ will serve to encode the weight of selected items as timing. 545 Concerning timing constraints for outcomes we do the following: Outcomes 546 0, yes and no are associated with [0,0]. Outcome c_i is associated with $[w_i, w_i]$, 547 the weight of u_i . Last, outcome b_i is associated with a more complex function, 548

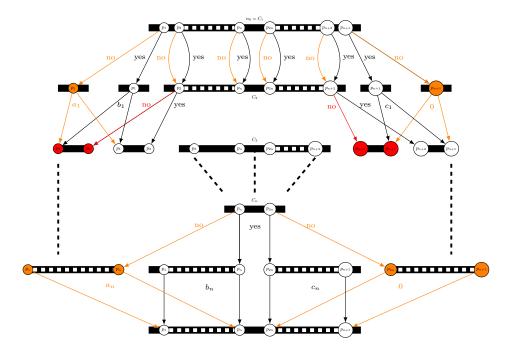


Fig. 5. The negotiation encoding Knapsack

such that $\sum_{i} b_{i} \leq W$ iff $\sum_{i} v_{i} \geq V$. For that, we set $\left[\frac{(v_{max}-v_{i})W}{n \cdot v_{max}-V}, \frac{v_{max}W}{n \cdot v_{max}-v_{i}}\right]$ for outcome b_{i} , where v_{max} is the largest value of an item, and V is the total value we want to reach at least. Also, we set $\left[\frac{(v_{max})W}{n \cdot v_{max}-V}, \frac{v_{max}W}{n \cdot v_{max}-v_{i}}\right]$ for outcome a_{i} . We set T = W, the maximal weight of the knapsack.

Now, consider a final run ρ in \mathcal{N} . The only choices in ρ are outcomes *yes* or *no* from C_1, \ldots, C_n . Let I be the set of indices such that *yes* is the outcome from all C_i in this path. We obtain $\delta(\rho) = \max(\sum_{i \notin I} a_i + \sum_{i \in I} b_i, \sum_{i \in I} c_i)$. We have $\delta(\rho) \leq T = W$ iff $\sum_{i \in I} w_i \leq W$, that is the sum of the weights is lower than W, and $\sum_{i \notin I} \frac{(v_{max})W}{n \cdot v_{max} - V} + \sum_{i \in I} \frac{(v_{max} - v_i)W}{n \cdot v_{max} - V} \leq W$. That is, $n \cdot v_{max} - \sum_{i \in I} v_i \leq$ $n \cdot v_{max} - V$, i.e. $\sum_{i \in I} v_i \geq V$. Hence, there exists a path ρ with $\delta(\rho) \leq T = W$ iff there exists a set of items of weight less than W and of value more than V. \Box

It is well known that Knapsack is weakly NP-hard, that is, it is NP-hard only when weights/values are given in binary. This means that Thm. 7 shows that minimum execution time $\leq T$ is NP-hard only when T is given in binary. We can actually show that for k-layered negotiations, the $mintime(\mathcal{N}) \leq T$ problem can be decided in PTIME if T is given in unary (i.e. if T is not too large):

Theorem 8. Let $k \in \mathbb{N}$. Given a k-layered negotiation \mathcal{N} and T written in unary, one can decide in PTIME whether the minimum execution time of \mathcal{N} is $\leq T$. The worst-case time complexity is $O(|\mathcal{N}| \cdot |\mathcal{P}| \cdot (T \cdot |\mathcal{N}|)^k)$. ⁵⁶⁸ *Proof.* We will remember for each layer i a set \mathcal{T}_i of functions τ from nodes N_i ⁵⁶⁹ of layer i to a value in $\{1, \ldots, T, \bot\}$. Basically, we have $\tau \in \mathcal{T}_i$ if there exists a ⁵⁷⁰ path ρ reaching $X = \{n \in N_i \mid f(n) \neq \bot\}$, and this path reaches node $n \in X$ ⁵⁷¹ after $\tau(n)$ time units. As for S_i , for all p, we should have a unique node $n(\tau, p)$ ⁵⁷² such that $p \in n(f, p)$ and $\tau(n(\tau, p)) \neq \bot$. Again, it is easy to initialize $\mathcal{T}_0 = \{\tau_0\}$, ⁵⁷³ with $\tau_0(n_0) = 0$, and $\tau_0(n) = \bot$ for all $n \neq n_0$.

Inducively, we build \mathcal{T}_{i+1} in the following way: $\tau_{i+1} \in \mathcal{T}_{i+1}$ iff there exists a $\tau_i \in \mathcal{T}_i$ and $r_p \in R_{n(\tau_i,p)}$ for all $p \in P$ such that for all n with $\tau_{i+1}(n) \neq \bot$, we have $\tau_{i+1}(n) = \max_p \tau_i(n(\tau_i,p)) + \gamma(n(\tau_i,p),r_p)$.

We have that the minimum execution time for \mathcal{N} is $\min_{\tau \in \mathcal{T}_n} \tau(n_{\tau})$, for *n* the depth of n_f . There are at most T^k functions τ in any \mathcal{T}_i , and there are at most $|\mathcal{N}|$ layers to consider, giving the complexity.

As with Thm. 6, we can more accurately state the complexity as $O(d(\mathcal{N}) \cdot C(\mathcal{N}) \cdot ||R||^{k^*} \cdot T^{k^*-1})$. The $k^* - 1$ is because we only need to remember minimal functions $\tau \in \mathcal{T}_i$: if $\tau'(n) \ge \tau(n)$ for all n, then we do not need to keep τ' in \mathcal{T}_i . In particular, for the knapsack encoding in the proof of Thm. 7, we have $k^* = 3$, ||R|| = 2 and $C(\mathcal{N}) = 4$.

Notice that if k is part of the input, then the problem is strongly NP-hard, even if T is given in unary, as e.g. encoding bin packing with ℓ bins result to a $2\ell + 1$ -layered negotiations.

585 8 Conclusion

In this paper, we considered timed negotiations. We believe that time is of the essence in negotiations, as examplified by the Brexit negotiation. It is thus important to be able to compute in a tractable way the minimal and maximal execution time of negotiations.

We showed that we can compute in PTIME the maximal execution time for 590 acyclic negotiations that are either sound or k-layered, for k fixed. We showed 591 that we cannot compute in PTIME the maximal execution time for negotiations 592 that are not sound nor k-layered, even if they are deterministic and acyclic 593 (unless NP=PTIME). We also showed that surprisingly, computing the minimal 594 execution time is much harder, with strong NP-hardness results in most of the 595 classes of negotiations, contradicting a claim in [10]. We came up with a new 596 reasonable class of negotiations, namely k-layered negotiations, which enjoys 597 a pseudo PTIME algorithm to compute the minimal execution time. That is, 598 the algorithm is PTIME when the timing constants are given in unary. We 590 showed that this restriction is necessary, as the problem becomes NP-hard for 600 constants given in binary, even when the negotiation is sound and very weakly 601 non-deterministic. The problem to know whether the minimal execution time 602 can be computed in PTIME for deterministic and sound negotiation remains 603 open. 604

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Appendix A: Deterministic Negotiations

We start by considering the class of deterministic acyclic negotiations. We show that both maximal and minimal execution time cannot be computed in PTIME (unless NP=PTIME), as the threshold problems are (co-)NP-complete.

Theorem 3. The mintime(\mathcal{N}) $\leq T$ decision problem is NP complete, and the maxtime(\mathcal{N}) $\leq T$ decision problem is co-NP complete for acyclic deterministic timed negotiations.

Proof. For $mintime(\mathcal{N}) \leq T$, containment in NP is easy: we just need to guess a run ρ (of polynomial size as \mathcal{N} is acyclic), consider the associate timed run $\rho^$ where all decisions are taken at their earliest possible dates, and check whether $\delta(\rho^-) \leq T$, which can be done in time $O(|\mathcal{N}| + \log T)$.

For the hardness, we give the proof in two steps. First, we start with a proof of Proposition 1 that reachability problem is NP-hard using reduction of 3-CNF SAT, i.e., given a formula ϕ , we build a deterministic negotiation \mathcal{N}_{ϕ} s.t. ϕ is satisfiable iff \mathcal{N}_{ϕ} has a final run. In a second step, we introduce timings on this negotiation and show that $mintime(\mathcal{N}_{\phi}) \leq T$ iff ϕ is satisfiable.

⁶⁷⁴ Step 1: Reducing 3-CNF-SAT to Reachability problem.

Given a boolean formula ϕ with variables $v_i, 1 \leq i \leq n$ and clauses $c_j, 1 \leq j \leq m$, for each variable v_i we define the sets of clauses $S_{i,t} = \{c_j | v_i \text{ is present in } c_j\}$ and $S_{i,f} = \{c_j | \neg v_i \text{ is present in } c_j\}$. Clauses in $S_{i,t}$ and $S_{i,f}$ are naturally ordered: $c_i < c_j$ iff i < j. We denote these elements $S_{i,t}(1) < S_{i,t}(2) < \dots$ Similarly for set $S_{i,f}$.

Now, we construct a negotiation \mathcal{N}_{ϕ} with a process V_i for each variable v_i and a process C_j for each clause c_j :

- Initial node n_0 has a single outcome r taking each process C_j to node $Lone_{c_j}$, and each process V_i to node $Lone_{v_i}$.

- $Lone_{c_j}$ has three outcomes: if literal $v_i \in c_j$, then t_i is an outcome, taking V_i to $Pair_{c_j,v_i}$, and if literal $\neg v_i \in c_j$, then f_i is an outcome, taking V_i to $Pair_{c_i,\neg v_i}$.

- The outcomes of $Lone_{v_i}$ are true and false. Outcome true brings v_i to node $Tlone_{v_i,1}$ and outcome false brings v_i to node $Flone_{v_i,1}$.

- We have a node $Tlone_{v_i,j}$ for each $j \leq |S_{i,t}|$ and $Flone_{v_i,j}$ for each $j \leq |S_{i,f}|$, with V_i as only process. Let $c_r = S_{i,t}(j)$. Node $Tlone_{v_i,j}$ has two outcomes vton bringing V_i to $Tlone_{v_i,j+1}$ (or n_f if $j = |S_{i,t}|$), and $vtoc_{i,r}$ bringing V_i to $Pair_{c_r,v_i}$. The two outcomes from $Flone_{v_i,j}$ are similar.

⁶⁹³ – Node $Pair_{c_r,v_i}$ has V_i and C_r as its processes and one outcome ctof which ⁶⁹⁴ takes process C_j to final node n_f and process V_i to $Tlone_{v_i,j+1}$ (with $c_r =$ ⁶⁹⁵ $S_{i,t}(j)$), or to n_f if $j = |S_{i,t}|$. Node $Pair_{c_r,\neg v_i}$ is defined in the same way ⁶⁹⁶ from $Flone_{v_i,j}$.

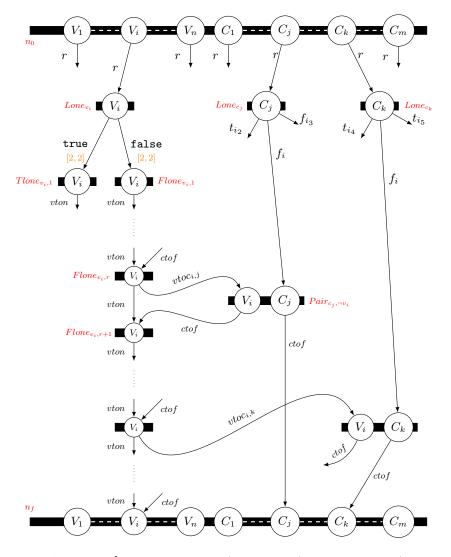


Fig. 3. A part of \mathcal{N}_{ϕ} where clause c_j is $(i_2 \vee \neg i \vee \neg i_3)$ and clause c_k is $(i_4 \vee \neg i \vee i_5)$. Timing is [0,0] whereever not mentioned

⁶⁹⁷ Claim. \mathcal{N}_{ϕ} has a final run iff ϕ is satisfiable.

Proof. First we show that if there is a run ρ from n_0 to n_f then ϕ is satisfiable. 698 In ρ , all processes reached n_f . So each process V_i takes either outcome true or 699 false in ρ . Let val the valuation associated each variable v_i with the choice 700 true or false by V_i . We now show that all clause c_r have at least one literal 701 true in val. In ρ , process C_r reaches the final node n_f : it must have gone via 702 one node either $Pair_{c_r,v_i}$ or $Pair_{c_r,\neg v_i}$, for some *i*. Wlog, let us assume that C_r 703 went to $Pair_{c_r \neg v_i}$. The only way it is possible is for process V_i to have been in 704 $Flone_{v_i,j}$, with $c_r = S_{i,f}(j)$. This is possible only if V_i decided outcome false at 705 $Lone_{v_i}$. So this implies that literal $\neg v_i$ of c_j is true in val. Hence ϕ is satisfiable. 706 Conversely, we show that if ϕ is satisfiable then \mathcal{N}_{ϕ} has final run. Let *val* a 707 satisfiable assignment $val: V \to \{\texttt{true,false}\}\$ for ϕ . We build a run ρ which is 708 final. After reaching $Lone_{v_i}$, V_i will decide the outcome according to the value 709 of $val(v_i)$ and reach $Flone_{v_i,1}$ or $Tlone_{v_i,1}$ accordingly. Let $G_i(val)$ be the set 710 of clause c_j such that i is the minimal literal of c_j true under val. When there is 711 a choice between two outcomes vton and $vtoc_{i,k}$ for process V_i , the run chooses 712 $vtoc_{i,k}$ iff $k \in G_i(val)$. Concerning C_j , it appears in exactly one $G_i(val)$, because 713 val satisfies ϕ . If $val(v_i) = true$, run ρ chooses outcome t_i for V_i in node $Lone_{c_i}$, 714 and outcome f_i if $val(v_i) = false$. Observe that the same variable v_i can be 715 associated with several clauses c_j , but then all these clauses go to the same type 716 of nodes i.e. $Pair_{c_i,v_i}$ if $val(v_i) =$ true and $Pair_{c_i,\neg v_i}$ if $val(v_i) =$ false. 717

This run ρ is final: Every process C_j reaches n_f after participating in exactly one node $Pair_{c_j,v_i}$ or $Pair_{c_j,\neg v_i}$. Every process V_i reaches n_f after participating in zero or more node $Pair_{c_j,v_i}$ or $Pair_{c_j,\neg v_i}$ (it participates in exactly $|G_i|$ such nodes).

⁷¹⁸ With this we claim that \mathcal{N}_{ϕ} has a final run iff ϕ is satisfiable which com-⁷¹⁹ pletes the first step of the proof. Observe that the negotiation \mathcal{N}_{ϕ} constructed ⁷²⁰ is deterministic and acyclic (but it is not sound).

Step 2: Before we introduce timing on \mathcal{N}_{ϕ} , we introduce a new outcome r'721 at n_0 which takes all processes to n_f . Now, the timing function γ associated 722 with the N_{ϕ} is: $\gamma(n_0, r) = [2, 2]$ and $\gamma(n_0, r') = [3, 3]$ and $\gamma(n, r) = [0, 0]$, for 723 all node $n \neq n_0$ and all $r \in R_n$. Then, $mintime(\mathcal{N}_{\phi}) \leq 2$ iff ϕ has a satisfiable 724 assignment: if $mintime(\mathcal{N}_{\phi}) \leq 2$, there is a run with decision r taken at n_0 725 which is final. But existence of any such final run implies satisfiability of ϕ . For 726 reverse implication, if ϕ is satisfiable, then the corresponding run for satisfying 727 assignment takes 2 units time, which means that $mintime(\mathcal{N}_{\phi}) \leq 2$. 728

Similarly, we can prove that the MaxTime problem is Co-NP complete by changing $\gamma(n_0, r') = [1, 1]$ and asking if $maxtime(\mathcal{N}_{\phi}) > 1$ for the new \mathcal{N}_{ϕ} . The answer will be yes iff ϕ is satisfiable.

As a side note, we observe that the NP-hardness for mintime could also have been proved without introducing the new result r' but then it would have been possible that \mathcal{N}_{ϕ} had no final run making $mintime(\mathcal{N}_{\phi}) \leq 2$ vacuous.

We now consider the related problem of checking if $mintime(\mathcal{N}) = T$ (or if maxtime($\mathcal{N}) = T$). These problems are harder than their threshold variant un-

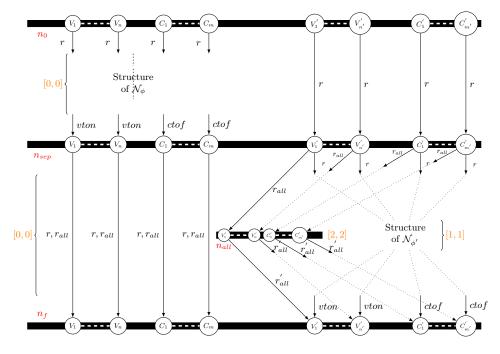


Fig. 4. Structure of $\mathcal{N}_{\phi,\phi'}$

⁷³⁴ der usual complexity assumptions: they are DP-complete (Difference Polynomial
⁷³⁵ time class, i.e., second level of the Boolean Hierarchy, defined as intersection of
⁷³⁶ a problem in NP and co-NP [16]).

Proposition 2. The mintime(\mathcal{N}) = T and maxtime(\mathcal{N}) = T decision problems are DP-complete for acyclic deterministic negotiations.

⁷³⁹ *Proof.* Indeed, it is easy to see that this problem is in DP, as it can be written ⁷⁴⁰ as $mintime(\mathcal{N}) \leq T$ which is in NP and $\neg(mintime(\mathcal{N}) \leq T-1))$, which is in ⁷⁴¹ co-NP. To show hardness, we use the negotiation constructed in the above proof ⁷⁴² as a gadget, and show a reduction from the SAT-UNSAT problem (a standard ⁷⁴³ DP-complete problem).

⁷⁴⁴ SAT-UNSAT Problem : Given two Boolean expressions ϕ and ϕ' , both in ⁷⁴⁵ CNF forms with three literals per clause, is it true that ϕ is satisfiable and ϕ' is ⁷⁴⁶ unsatisfiable? SAT-UNSAT is known to be *DP*-Complete [16]. We reduce this ⁷⁴⁷ problem to $mintime(\mathcal{N}) = T$.

Given ϕ , ϕ' , we first make the corresponding negotiations \mathcal{N}_{ϕ} and $\mathcal{N}_{\phi'}$ as in the previous proof. Let n_0 and n_f be the initial and final nodes of \mathcal{N}_{ϕ} and n'_0 and n'_f be the initial and final nodes of $\mathcal{N}_{\phi'}$. (Similarly, for other nodes we write ' above the nodes to signify they belong to $\mathcal{N}_{\phi'}$). In the negotiation $\mathcal{N}_{\phi'}$, we introduce a new node n_{all} (see Figure 4), in which all the processes participate. The node n_{all} has a single outcome r'_{all} which sends all the processes to n_f . Also, for node

- n'_{0} , apart from the outcome r which sends all processes to different nodes, there is another outcome r_{all} which sends all the processes to n_{all} .
- Now we merge the nodes n_f and n'_0 and call the merged node n_{sep} . Also nodes n_0 and n'_f now have all the processes of \mathcal{N}_{ϕ} and $\mathcal{N}_{\phi'}$ participating in them.

This merged process gives us a new negotiation $\mathcal{N}_{\phi,\phi'}$ in which the structure above n_{sep} is same as \mathcal{N}_{ϕ} while below it is same as $\mathcal{N}_{\phi'}$. Node n_{sep} now has all the processes of \mathcal{N}_{ϕ} and $\mathcal{N}_{\phi'}$ participating in it. The outcomes of n_{sep} will be same as that of n'_0 (r_{all}, r). For both the outcomes of n_{sep} the processes corresponding to \mathcal{N}_{ϕ} directly go to n_f of the $\mathcal{N}_{\phi,\phi'}$. Similarly n_0 of $\mathcal{N}_{\phi,\phi'}$ which is same n_0 of \mathcal{N}_{ϕ} , sends processes corresponding to $\mathcal{N}_{\phi'}$ directly to n_{sep} for all its outcomes. We now define timing function γ for $\mathcal{N}_{\phi,\phi'}$ which is as follows:

- 765 $-\gamma(Lone'_{v_i,r}) = [1,1] \text{ for all } v_i \in \phi' \text{ and } r \in \{\texttt{true, false}\},\$
- $\gamma_{66} \gamma(n_{all}, r'_{all}) = [2, 2]$ and
- $\gamma_{67} \gamma(n,r) = [0,0]$ for all other outcomes of nodes.
- ⁷⁶⁸ The claim is that

⁷⁶⁹ Claim. $mintime(\mathcal{N}_{\phi,\phi'}) = 2$ iff ϕ is satisfiable and ϕ' is unsatisfiable.

Proof. If $mintime(\mathcal{N}_{\phi,\phi'}) = 2$, this implies that ϕ is satisfiable, for if it was not satifiable then for no run, all the processes corresponding to ϕ could reach n_{sep} and therefore the negotiation could not complete and hence MinTime would be infinite. Also ϕ' is unsatisfiable because if it would have been satisfiable then there would have been a final run in which the processes after reaching n_{sep} choose the outcome r from n_{sep} and complete the negotiation. The time for that run would be 1 unit and therefore the $mintime(\mathcal{N}_{\phi,\phi'}) \neq 2$.

For the other side of the implication, we can argue similarly that if ϕ is satisfiable then the processes of \mathcal{N}_{ϕ} would complete the structure above n_{sep} and reach n_{sep} in 0 units of time. From there the processes would have to choose the outcome r_{all} to reach n_f because otherwise, the run would not be final. The time taken for the path would be 2 units. So total time associated will this run will be 2 units which will also be the $mintime(\mathcal{N}_{\phi,\phi'})$.

For equality decision problem of MaxTime, the proof is similar; only the $\gamma(Lone'_{v_i}, r) = [2, 2]$ for all $v_i \in \phi'$, $\gamma(n_{all}, r'_{all}) = [1, 1]$ and $\gamma(n, r) = [0, 0]$ for all other nodes. The question asked is $maxtime(\mathcal{N}_{\phi, \phi'}) = 2$ which is true if only if ϕ is satisfiable and ϕ' is unsatisfiable.

Finally, we consider a related problem of deciding if a bit of $mintime(\mathcal{N})$ is 1 (or similarly with $maxtime(\mathcal{N})$). Perhaps surprisingly, we obtain that these problems goes even beyond DP (the second level of the Boolean Hierarchy) and is in fact hard for Δ_2^P , which contains the whole Boolean Hierarchy:

Theorem 4. Given an acyclic deterministic timed negotiation and a positive integer k, computing the k^{th} bit of the maximum/minimum execution time is Δ_2^P complete.

Proof. Containment is again relatively easy. Given an acyclic deterministic timed 784 negotiation, we can compute the largest possible time attainable as a function 785 of the number of nodes and maximal constant in each node. Now guess the 786 min/max time (in binary) and then check it using NP-oracle or equivalently 787 Co-NP oracle calls. 788

The hardness is not so simple to obtain. We first notice that it suffices to 780 show the problem of whether $maxtime/mintime(\mathcal{N}) = \text{odd}$? is Δ_2^p hard. This is 790 because odd or even is the same as the last bit. We first show that $maxtime(\mathcal{N})$ 791 = odd is Δ_2^p complete. 792

Consider the following problem: Given a Boolean formula $\phi(x_1, x_2, ..., x_n)$, is 793 $x_n = 1$ in the lexicographically largest satisfying assignment of ϕ ? 794

The above problem is known to be Δ_2^p complete [14] and we reduce it to the 795 decision problem of $maxtime(\mathcal{N}) = \text{odd}$? First, we convert ϕ to 3-CNF form us-796 ing Tseitin transformation. Let the new variables introduced be called $t_1, t_2, ..., t_k$. 797 So $\phi(x_1, x_2, ..., x_n)$ is equisatisfiable to 3-CNF $\phi'(v_1, v_2, ..., v_n, v_{n+1}, ..., v_{n+k})$ where 798 $v_i = x_i$ for $i \leq n$ and $v_i = t_i$ for i > n. We convert ϕ' to a negotiation $\mathcal{N}_{\phi'}$. $\mathcal{N}_{\phi'}$ 799 has the same structure as that of \mathcal{N}_{ϕ} which was constructed in Theorem 3 apart 800 from some change in arcs and participation of processes in nodes. 801

Paritcipation changes are the following : The node $Lone_{v_i}$ associated with each 802 variable v_i of ϕ' now involve two processes namely V_i and V_{i-1} . (Lone_{v₁} has only 803 V_1 as process). Both of the outcomes, true and false associated with $Lone_{v_i}$ 804 take V_{i-1} to n_f while true takes V_i to $TLone_{v_i,1}$ and false takes V_i to $Flone_{v_i,1}$. 805 Change in arcs is the following: The outcome vton of $FLone_{v_i,r}$ where $r = |S_{i,f}|$ 806 and $TLone_{v_i,r'}$ where $r' = |S_{i,t}|$ takes V_i to $Lone_{v_{i+1}}$ (Except for i = n + k for 807 which there is no change). We now define timing function γ as follows: 808

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 $-\gamma(Lone_{v_i}, true) = [2^{n-i}, 2^{n-i}]$ for all $i \leq n$ and $-\gamma(n, r) = 0$ for all other combination of nodes and outcomes. 810

The claim is that $maxtime(\mathcal{N}_{\phi'}) = \text{odd iff } x_n = 1$ in the lexicographically 811 largest satisfying assignment of ϕ . 812

To prove the claim, we prove a stronger outcome that there is a run which is 814 final and takes time t iff there is a satisfying assignment to ϕ whose lexicographic 815 value is same as t in binary. 816

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To prove the forward implication, consider any run σ which is final. Now, 818 just like the proof in 3, the process V_i must have choosen either true or false at 819 node $Lone_{v_i}$. The assignment f, corresponding to this outcome chosen by each 820 V_i is essentially the one whose lexicographic value is same as t. The fact that 821 this assignment is satisfiable follows from the proof of theorem 3. To show that 822 that lexicorgraphic value is same, first of all the observe that time taken t can be 823 written as $2^{n-i_1} + 2^{n-i_2} + \dots + 2^{n-i_k}$ where V_{i_j} are those processes which chose 824 true at $Lone_{v_i}$. Moreover $i_j \leq n$, which implies that all these variables are also 825 present in ϕ . Also the the contribution of a variable x_{i_i} (which is same as v_{i_i}) 826 in lexicographic value will be 2^{n-i_j} which is same as its contribution in t. Hence 827 the forward implication. 828

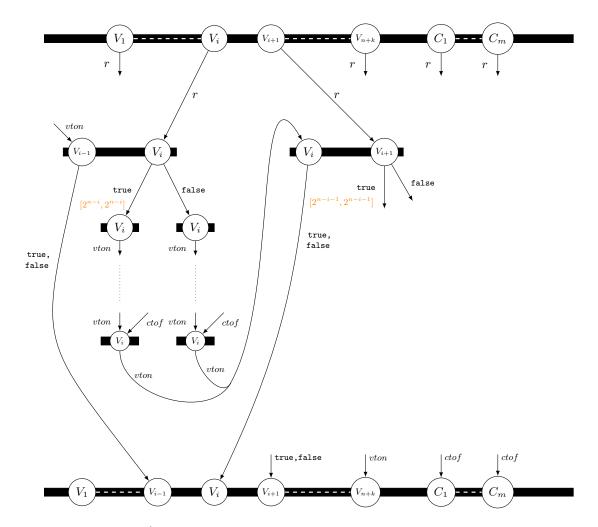


Fig. 5. A part of \mathcal{N}_{ϕ} . Here if i > n, then timing with arcs **true** and **false** will be [0,0].

For backward implication, consider any satisfiable assignment f of ϕ . Since 829 ϕ and ϕ' are equisatisfiable hence there will exist an satisfiable assignment f' to 830 ϕ' , such that $f'(x_i) = f(v_i)$ for $i \leq n$. Now following the proof of 831

Thm. 3, it is easy see that the run σ corresponding to the assignment f' will 832 be final. Moreover the time taken for the path will be $2^{n-i_1} + 2^{n-i_2} + \dots + 2^{n-i_k}$ 833 where $f'(v_{i_k}) =$ true. Since all these $i_i \leq n$, these variables will also be present 834 in ϕ and their contribution in lexicographic value of f would also be 2^{n-i_j} . And 835 hence the backward implication. 836

This proves the claim and shows that $maxtime(\mathcal{N}_{\phi'}) = \text{odd iff } x_n = 1$ in the lexicographically largest satisfying assignment of ϕ .

Finally, we note that if we were interested in the optimization and not the 837 decision variant of the problem, the above proof can be adapted to show that 838 the optimization variants are **OptP-Complete** (as defined in [14]). 839

Appendix B: Sound Negotiations 840

Sound negotiations are negotiations in which every run can be extended to 841 a final run, as in Fig. 1. In this section, we show that $maxtime(\mathcal{N})$ can be 842 computed in PTIME for sound negotiations, hence giving PTIME complexi-843 ties for the $maxtime(\mathcal{N}) \leq T$? and $maxtime(\mathcal{N}) = T$? questions. However, we 844 show that $mintime(\mathcal{N}) \leq T$ is NP-complete for sound negotiations, and that 845 $mintime(\mathcal{N}) = T$ is DP-complete, even if T is given in unary. 846

Consider the graph $G_{\mathcal{N}}$ of a negotiation \mathcal{N} . Let $\pi = (n_0, (p_0, r_0), n_1) \cdots$ 847

 $(n_k, (p_k, r_k), n_{k+1})$ be a path of G_N . We define the maximal execution time of a path π as the value $\delta^+(\pi) = \sum_{i \in 0..k} \gamma^+(n_i, r_i)$. We say that a path $\pi =$ 848 849 $(n_0, (p_0, r_0), n_1) \cdots (n_\ell, (p_\ell, r_\ell), n_{\ell+1})$ is a path of some run $\rho = (M_1, \mu_1) \xrightarrow{(n_1, r'_1)}$ 850

 $\cdots (M_k, \mu_k)$ if r_0, \ldots, r_ℓ is a subword of r'_1, \ldots, r'_k . 851

Lemma 1. Let \mathcal{N} be an acyclic and sound timed negotiation. Then maxtime(\mathcal{N}) 852 $= \max_{\pi \in Paths(G_N)} \delta^+(\pi) + \gamma^+(n_f, r_f).$ 853

Proof. Let us first prove that $maxtime(\mathcal{N}) \geq \max_{\pi \in Paths(G_{\mathcal{N}})} \delta^+(\pi) + \gamma^+(n_f, r_f).$ 854 Consider any path π of $G_{\mathcal{N}}$, ending in some node *n*. First, as \mathcal{N} is sound, we can 855 compute a run ρ_{π} such that π is a path of ρ_{π} , and ρ_{π} ends in a configuration 856 in which n is enabled. We associate with ρ_{π} the timed run ρ_{π}^+ which asso-857 ciates to every node the latest possible execution date. We have easily $\delta(\rho_{\pi}^+) \geq$ 858 $\delta(\pi)$, and then we obtain $\max_{\pi \in Paths(G_N)} \delta(\rho_{\pi}^+) \geq \max_{\pi \in Paths(G_N)} \delta(\pi)$. As 850 $maxtime(\mathcal{N})$ is the maximal duration over all runs, it is hence necessarily greater 860 than $\max_{\pi \in Paths(G_{\mathcal{N}})} \delta(\rho_{\pi}^{+}) + \gamma^{+}(n_{f}, r_{f})$ We now prove that $maxtime(\mathcal{N}) \leq \max_{\pi \in Paths(G_{\mathcal{N}})} \delta^{+}(\pi) + \gamma^{+}(n_{f}, r_{f}).$ 861

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Take any timed run $\rho = (M_1, \mu_1) \xrightarrow{(n_1, r_1)} \cdots (M_k, \mu_k)$ of \mathcal{N} with a unique 863 maximal node n_k . We show that there exists a path π of ρ such that $\delta(\rho) \leq \delta^+(\pi)$ 864 by induction on the length k of ρ . The initialization is trivial for k = 1. Let $k \in \mathbb{N}$. 865 Because n_k is the unique maximal node of ρ , we have $\delta(\rho) = \max_{p \in P_{n_k}} \mu_{k-1}(p) +$ 866

⁸⁶⁷ $\gamma^+(n_k, r_k)$. We choose one p_{k-1} maximizing $\mu_{k-1}(p)$. Let $\ell < k$ be maximal index ⁸⁶⁸ of a decision involving process p_{k-1} (i.e. $p_{k-1} \in P_{n_\ell}$). Now, consider the timed ⁸⁶⁹ run ρ' subword of ρ , but with n_ℓ as unique maximal node (that is, it is ρ where ⁸⁷⁰ nodes $n_i, i > \ell$ has been removed, but also where some nodes $n_i, i < \ell$ have been ⁸⁷¹ removed if they are not causally before n_ℓ (in particular, $P_{n_\ell} \cap P_{n_\ell} = \emptyset$).

By definition, we have that $\delta(\rho) = \delta(\rho') + \gamma^+(n_\ell, r_\ell) + \gamma^+(n_k, r_k)$. We apply the induction hypothesis on ρ' , and obtain a path π' of ρ' ending in n_ℓ such that $\delta(\rho') + \gamma^+(n_\ell, r_\ell) \leq \delta^+(\pi')$. It suffices to consider the path $\pi = \pi'(n_\ell, (p_{k-1}, r_\ell), n_k)$ to prove the inductive step $\delta(\rho) \leq \delta^+(\pi) + \gamma^+(n_k, r_k)$.

Thus $maxtime(\mathcal{N}) = \max \delta(\rho) \leq \max_{\pi \in Paths(G_{\mathcal{N}})} \delta^+(\pi) + \gamma^+(n_f, r_f).$

Lemma 1 gives a way to evaluate the maximal execution time. This amounts to finding a path of maximal weight, which is a standard PTIME graph problem that can be solved using standard max-cost calculation.

Proposition 3. Computing the maximal execution time for an acyclic sound negotiation $\mathcal{N} = (N, n_0, n_f, \mathcal{X})$ can be done in time $O(|N| + |\mathcal{X}|)$.

Proof. First of all, we compute a topological order < on nodes of the graph G_N , 881 that is for all $n' \in \mathcal{X}(n, r)$, we have n < n'. This can be done in $O(|N| + |\mathcal{X}|)$ [3]. 882 Then, we follow the total order < on nodes of $G_{\mathcal{N}}$ and attach to each node n a 883 maximal time $\delta^+(n)$ for runs ending at node n in the following way: $\delta^+(n_0) = 0$ 884 and for each node n, we let $\delta^+(n) = \max_{n' \mid (n', (p, r), n) \in G_N} (\gamma^+(n', r) + \delta^+(n'))$. It 885 is easy to see that $\delta^+(n)$ is the maximal $\delta(\pi)$ over all paths π from n_0 to n. As 886 every transition of G_N is considered only once, the computation of δ^+ can be 887 done in $O(|N| + |\mathcal{X}|)$. It then suffices to return $\delta^+(n_f) + \gamma^+(n_f, r_f)$. \Box 888

A direct consequence is that $maxtime(\mathcal{N}) \leq T$ and $maxtime(\mathcal{N}) = T$ problems can be solved in polynomial time when \mathcal{N} is. Notice that if \mathcal{N} is deterministic but not sound, then Lemma 1 does not hold: we only have an inequality.

We now turn to $mintime(\mathcal{N})$. We show that it is strictly harder to compute for sound negotiations than $maxtime(\mathcal{N})$.

Theorem 5. $mintime(\mathcal{N}) \leq T$ is NP-complete in the strong sense for sound acyclic negotiations, even if \mathcal{N} is very weakly non-deterministic.

⁸⁹⁶ Proof. First, we can decide $mintime(\mathcal{N}) \leq T$ in NP. Indeed, one can guess a ⁸⁹⁷ final (untimed) run ρ of size $\leq |N|$, consider ρ^- the timed run corresponding to ⁸⁹⁸ ρ where all outcomes are taken at the earliest possible dates, and compute in ⁸⁹⁹ linear time $\delta(\rho^-)$, and check that $\delta(\rho^-) \leq T$.

The hardness part is obtained by reduction from the **Bin Packing** problem. 900 We give a set U of items, a size $s(u) \in \mathbb{N}$ for each $u \in U$, a positive integer 901 B defining a bin capacity. The bin packing problem asks whether there exists 902 a partition of U into k disjoint subsets $U_1, U_2...U_k$ such that the sum of sizes 903 of items in each U_i is smaller or equal to B. Bin Packing is known to be NP-904 Complete [11] in the strong sense, that is even if the constants are given in 905 unary. Let us now show that every instance of Bin Packing can be reduced to a 906 min-time problem for very-weakly non-deterministic sound negotiations. 907

Given a set U of items, a bin capacity B and number k of bins, we build a timed negotiation $\mathcal{N}_{U,k}$ with k processes $u_{i,1}, u_{i,2}, ..., u_{i,k}$ for each item $u_i \in U$, and k additional processes $v_1, v_2, ... v_k$. The timing of a process v_i will encode the total size of items put in the bin i. We then show that Bin Packing with items U, k bins, and a bound B has a solution iff $mintime(\mathcal{N}_{U,k}) \leq B$.

We describe the negotiation $\mathcal{N}_{U,k}$ layer by layer. In total we will have |U| + 1913 layers: intuitively, we will consider one item in each layer, and make one global 914 decision to decide in which bin this item goes. The first layer has only the initial 915 node n_0 . The set of processes involved in n_0 is the set of all processes. The 916 outcomes from the initial node are $r_{1,1}, \ldots, r_{1,k}$, which tell in which bin $1, \ldots, k$ 917 the first item is placed. Outcome $r_{1,i}$ leads process $u_{i,1}$ and v_i to node YES_i¹. 918 It leads processes $u_{j,1}$ and v_j to NO¹_i for every $j \neq i$. Last, it leads all other 919 processes in $\{u_{j,m} \mid j > 1, 1 \leq m \leq k\}$ to node n_1 . Intuitively, moving to node 920 YES_i^1 means that item u_1 is placed in bin *i*. The second layer has 2k + 1 nodes: 921 $\text{YES}_1^2 \dots \text{YES}_k^2$, $\text{NO}_1^2 \dots \text{NO}_k^2$ and n_1 . The timing of outcome $r_{1,i}$ from node n_0 922 is $\gamma(n_0, r_{1,i}) = [0, 0].$ 923

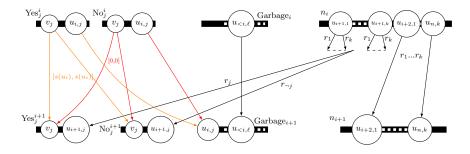


Fig. 6. Layer *i* of the very weakly non-deterministic $\mathcal{N}(U, k)$

Inductively, layer *i* is defined as in Fig 6. Node n_i contains processes $u_{j,\ell}$ for all j > i and all ℓ . It is similar to n_0 , with outcome $r_{i+1,1}, \ldots, r_{i+1,k}$. Outcome $r_{i+1,\ell}$ leads process $u_{i+1,\ell}$ to node Yes_{ℓ}^{i+1} , and process $u_{i+1,j}$ to No_j^{i+1} for all $j \neq \ell$. Other processes $u_{i',j}$ with i' > i + 1 are sent to n_{i+1} . The associated timings are [0, 0].

Node Garbage_i collects all nodes $u_{\ell,j}$ with $\ell < i$. There is a unique outcome, with associated timing [0,0], leading all processed to Garbage_{i+1}.

Node $\operatorname{YES}_{j}^{i}$ has a unique outcome r, with timing $\gamma(\operatorname{YES}_{j}^{i}, r) = [s(u_{i}), s(u_{i})]$, and with $\mathcal{X}(\operatorname{YES}_{j}^{i}, r) = \{\operatorname{YES}_{j}^{i+1}, \operatorname{No}_{j}^{i+1}\}$. That is, node $\operatorname{YES}_{j}^{i}$ is non deterministic, and it awaits the decision from $u_{i+1,j}$ to known whether it will go to $\operatorname{YES}_{j}^{i+1}$ or to $\operatorname{No}_{j}^{i+1}$. Last, $u_{i,j}$ is sent to node $\operatorname{Garbage}_{i+1}$. This allows each nodes to have at least one deterministic process, as v_{i} only are non-deterministic.

In the same way, $\operatorname{NO}_{j}^{i}$ has a unique outcome r, timed with $\gamma(\operatorname{NO}_{j}^{i}, r) = [0, 0]$, and with $\mathcal{X}(\operatorname{NO}_{j}^{i}, r) = \{\operatorname{YES}_{j}^{i+1}, \operatorname{No}_{j}^{i+1}\}$. It sends process $u_{j,i}$ to $\operatorname{Garbage}_{i+1}$. The last layer has only node n_f . Nodes Yes_i^k and No_i^k both have a single outcome which take all their processes to n_f .

The timing function γ is defined as follows: $\gamma(Yes_j^i, r_i) = [s(u_i), s(u_i)]$ and $\gamma(n, r) = [0, 0]$ for all other node and outcome r.

We now prove that $MinTime(\mathcal{N}_{U,k}) \leq B$ iff the answer to Bin Packing is 942 positive. The maximal execution time over runs ρ of $\mathcal{N}_{U,k}$ is the maximal value 943 of all valuations $\mu(v_j)$ and $\mu(u_{i,j})$, with $i \in 1..|U|, j \in 1..k$. Take the valuation 944 μ at the last step before (n_f, r_f) . Consider $t = \max_j \mu(v_j)$. We have easily that 945 $\mu(u_{i,j}) \leq t$ for all i, j by construction, because each $u_{i,j}$ had the same timing 946 as v_j before reaching a garbage node. Now, we have $\mu(v_j) = \sum_{(\text{Yes}_i^i, r_i) \in \rho} s(u_i)$. 947 Hence, $\delta(\rho) = \max_{j \in 1..k} \mu(v_j)$. That is, $mintime(\mathcal{N}(U, B, k) \leq B)$ iff there is 948 a path ρ such that $\mu(v_j) = \sum_{(\operatorname{Yes}^i_i, r_i) \in \rho} s(u_i) \leq B$ for all j, is there exists a 949 valuation such that each item is in one bin, and no bin exceeds its bound B. 950

Last, we now show that $\mathcal{N}_{U,k}$ is a very weakly non-deterministic, sound and 951 layered negotiations. First, the only processes that have non-deterministic tran-952 sitions are processes $v_1, \ldots v_k$, from Yes_i^i and NO_i^i nodes. However, both nodes 953 also have the same deterministic process u_j^i . Thus $\mathcal{N}_{U,k}$ is very weakly non-deterministic. Let us now prove soundness. The only choices are made from 954 955 node n_i , the rest just follow in a unique way. From any configuration M, let i 956 such that $M(u_{i+1}, j) = \{n_i\}$ for some j. By construction, i is unique. We can 957 then do steps $r_{i+1,1} \ldots r_{n,1}$, that is chosing to place items $i+1, \ldots, n$ to the first 958 bin. The steps from other processes are uniquely derived, and all processes reach 959 n_f . The layeredness comes from the definition. Actually, $\mathcal{N}_{U,k}$ is 2k+2-layered, 960 for k the number of bins. However, as k is part of the input, it does not fall in 961 our k-layered restriction. \Box 962

We show that $mintime(\mathcal{N}) = T$ is harder to decide than $mintime(\mathcal{N}) \leq T$:

Proposition 4. The mintime(\mathcal{N}) = T? decision problem is DP-complete for sound acyclic negotiations, even if it is very weakly non-deterministic.

Proof. The reduction is very similar to proof of Proposition 2. First, we define
 the complement of Bin-Packing Problem, Non-Bin-Packing Problem:

Given a set U of items, a size $s(u) \in \mathbb{N}$ for each $u \in U$, a positive integer bin capacity B, does for any partition U into k disjoint subsets $U_1, U_2...U_k$ there exist a subset U_i such that the sum of sizes of the items in U_i is more than B? Since the Bin-Packing Problem is NP-Complete, so the Non-Bin-Problem is co-NP Complete. Now consider the following **Bin-Non-Bin Problem** :

Given two instances of Bin-Packing parameters, $P_1 = (U_1, s_1, B_1, k_1)$ and $P_2 = (U_2, s_2, B_2, k_2)$, does P_1 satisfy Bin-Packing Problem and P_2 satisfy Non-Bin-Packing Problem?

⁹⁷⁶ Bin-Non-Bin Problem is DP-Complete, so we reduce it to our equality de-⁹⁷⁷ cision problem of min time. First, we construct the negotiations $\mathcal{N}_{U'_1,B'_1,k_1}$ and ⁹⁷⁸ $\mathcal{N}_{U'_2,B'_2,k_2}$ like in proof of Theorem 5, but only after tripling each s(u) in U_1 and ⁹⁷⁹ doubling each s(u) in U_2 . Likewise we triple B_1 and double B_2 , so that new

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980 $B'_1 = 3 * B_1$ and $B'_2 = 2 * B_2$.

In $\mathcal{N}_{U'_1,B'_1,k_1}$, we add a new node n_0 with a single outcome r which now acts as the first node. The older n_0 is now called n'_0 . We also add a new process a_1 , which goes to another new node n_{a_1} (has only a_1 as process) from n_0 for its single outcome r. Outcome r sends all other processs from n_0 to n'_0 . Node n_{a_1} has a single outcome r_1 which takes a_1 to n_f . Also, $\gamma(n_{a_1}, r_1) = [3 * B_1 + 1, 3 * B_1 + 1]$ while $\gamma(n_0, r) = [0, 0]$.

Similarly in $\mathcal{N}_{U'_2,B'_2,k_2}$, we add a new node n_0 with two outcomes r and r_{new} which now acts as the first node. The older n_0 is now called n'_0 . We also add a new process a_2 , which goes to another new node n_{a_2} (has only a_2 as process) from n_0 for its outcome r. Outcome r sends all other processes from n_0 to n'_0 . Node n_{a_2} has a single outcome r_2 which takes a_2 to n_f . Also, $\gamma(n_{a_2}, r_2) = [2*B_1, 2*B_1]$ while $\gamma(n_0, r) = [0, 0]$. For outcome r_{new} of n_0 , all processes(including a_2) directly go to n_f . Also, $\gamma(n_0, r_{new}) = [2*B_2 + 1, 2*B_2 + 1]$.

Now we merge the two negotiations $\mathcal{N}_{U'_1,B'_1,k_1}$ and $\mathcal{N}_{U'_2,B'_2,k_2}$ in the same way as we merged in Corollary 2, merging the n_f of $\mathcal{N}_{U'_1,B'_1,k_1}$ with n_0 of $\mathcal{N}_{U'_2,B'_2,k_2}$ and making other similar changes we did in Corollary 2. We call this new negotiation $\mathcal{N}_{P'_1,P'_2}$. Note the negotiation $\mathcal{N}_{P'_1,P'_2}$ is sound as well as very weakly non-deterministic.

⁹⁹⁹ The claim is that $mintime(\mathcal{N}_{P'_1,P'_2}) = 3 * B_1 + 2 * B_2 + 2$ iff (P_1, P_2) satisfy ¹⁰⁰⁰ Bin-Non-Bin Problem.

We first show the reverse implication i.e if (P_1, P_2) satisfy Bin-Non-Bin Problem, 1001 then $mintime(\mathcal{N}_{P'_1,P'_2}) = 3*B_1+2*B_2+2$. Since P_1 is satisfiable, so the mintime 1002 to complete the structure above n_{sep} of $\mathcal{N}_{P'_1,P'_2}$ is $3*B_1+1$. This is because all the 1003 processes corresponding to $\mathcal{N}_{U'_1,B'_1,k_1}$ take $(\leq 3 * B)$ time to reach n_{sep} (because 1004 P_1 is satisfies Bin-Packing) while a_1 takes $3 * B_1 + 1$ units of time. After reaching 1005 n_{sep} , processes can now take either outcome r_2 or r_{new} . If processes choose 1006 outcome r_2 , then the timetaken by any final run will be $(\geq 2 * (B_2 + 1))$ because 1007 P_2 satisfies Non-Bin-Packing. On the other hand, if processes choose r_{new} to 1008 reach n_f , then the time taken will be $2 * B_2 + 1$. So it is clear mintime for part 1009 below n_{sep} is $2 * B_2 + 1$. So, overall the $mintime(\mathcal{N}_{P'_1,P'_2}) = 3 * B_1 + 2 * B_2 + 2$. 1010 For forward implication, we consider all four scenerios of (P_1, P_2) and argue that 1011 P_1 satisfies Bin-Packing and P_2 satisfies Non-Bin-Packing is the only possibility. 1012 First let's assume that P_1 does not satisfy Bin-Packing. Then the mintime to 1013 complete the structure above n_{sep} is $(\geq 3 * (B_1 + 1))$. This is beacuse processes 1014 corresponding to $\mathcal{N}_{U'_1,B'_1,k_1}$ take at least $3 * (B_1 + 1)$ time to reach n_{sep} while 1015 a_1 take $3 * B_1 + 1$. Now since the mintime which can be taken to reach n_f from 1016 n_{sep} in either case whether P_2 satisfies Non-Bin-Packing or not is $(\geq 2 * B_2)$ so 1017 the min time to complete $(\mathcal{N}_{P'_1,P'_2}) \geq 3 * B_1 + 2 * B_2 + 3$. Hence this shows that 1018 P_1 satisfies Bin-Packing. This also shows the final run corresponding to mintime 1019 of $\mathcal{N}_{P'_1,P'_2}$ takes exactly $3 * B_1 + 1$ units of time to reach n_{sep} from n_0 (i.e. all 1020 processes have reached n_{sep}) if $mintime(\mathcal{N}_{P'_1,P'_2}) = 3 * B_1 + 2 * B_2 + 2$. 1021

¹⁰²² Now if we assume the P_2 does not satisfy Non-Bin-Packing, then the mintime to ¹⁰²³ reach n_f from n_{sep} is $2 * B_2$. And we already know that mintime to reach n_{sep} from n_0 is $3 * B_1 + 1$. So $mintime(\mathcal{N}_{P'_1,P'_2}) = 2 * B_2 + 3 * B_1 + 1$. Hence this leaves us with the only case when P_1 satisfies Bin-Packing and P_2 satisfies Non-Bin-Packing for which we already know that the min time taken is $3 * B_1 + 2 * B_2 + 2$ from the reverse implication. \Box

An open question is whether the minimal execution time can be computed in PTIME if the negotiation is both sound and deterministic. The reduction to bin packing does not work with deterministic (and sound) negotiations.

¹⁰³¹ Appendix C: k-Layered Negotiations

In the previous sections, we have considered sound negotiations, and deterministic negotiations. For both classes, computing the minimal execution time cannot be done in PTIME (unless NP=PTIME), even if constants are given in unary. In this section, we consider k-layeredness (see Section 2), a syntactic property that can be efficiently verified (it suffices to compute the depth of each node, which can be done in polynomial time).

1038 8.1 Algorithmic properties

Let k be a fixed integer. We first show that Reachability, Soundness and max-1039 imum execution time can be checked in PTIME for k-layered negotiations (the 1040 two first results were stated in Section 2). Let N_i be the set of nodes at layer 1041 i. We define for every layer i the set S_i of subsets of nodes $X \subseteq N_i$ which can 1042 be jointly enabled and such that for every process p, there is exactly one node 1043 n(X, p) in X with $p \in n(X, p)$. Formally, we define S_i inductively. We start with 1044 $S_0 = \{n_0\}$. We then define S_{i+1} from the contents of layer S_i : we have $Y \in S_{i+1}$ 1045 iff $\bigcup_{n \in Y} P_n = P$ and there exist $X \in S_i$ and an outcome $r_m \in R_m$ for every 1046 $m \in X$, such that $n \in \mathcal{X}(n(X, p), p, r_m)$ for each $n \in Y$ and $p \in P_n$. 1047

Theorem 6. Let $k \in \mathbb{N}^+$. Checking reachability, soundness and computing the maximum execution time for a k-layered acyclic negotiation \mathcal{N} can be done in PTIME. More precisely, the worst-case time complexity is $O(|P| \cdot |\mathcal{N}|^{k+1})$.

Proof (Sketch of Proof). The algorithm has the same form for all problems. The basis is to compute S_i layer by layer, by following its inductive definition. The set S_i is of size at most 2^k , as $|N_i| < k$ by definition of k-layerness. Knowing S_i , it is easy to build S_{i+1} by induction. This takes time at most $O(|P||\mathcal{N}|^{k+1})$: We need to consider all k-uple of outcomes for each layer. There can be $|\mathcal{N}|^k$ such tuples. We need to do that for all processes (|P|), and for all layers (at most $|\mathcal{N}|$).

For reachability, we just need to check whether layer $S_d = \{n_f\}$, where d is the depth of n_f .

For soundness, let us denote by $Next(X, (r_n)_{n \in X})$ the set of nodes that are successors of nodes in X after outcomes $(r_n)_{n \in X}$. We need to check that for all layer *i*, for all set $X \in S_i$ and all tuple of outcomes $(r_n)_{n \in X}$, there is a $Y \subseteq Next(X, (r_n)_{n \in X})$ such that every process p is in exactly one node n(Y, p) of Y. All nodes of $Next(X, (r_n)_{n \in X})$ are at depth i + 1, and thus there are at most k nodes in $Next(X, (r_n)_{n \in X})$. There are thus at most 2^k subset $Y \subseteq Next(X, (r_n)_{n \in X})$ and we can check them one by one.

For maximal execution time, we keep for each subset $X \in S_i$ and each node $n \in X$, the maximal time $f_i(n, X) \in \mathbb{N}$ associated with n and X. From S_{i+1} and f_i , we inductively compute f_{i+1} in the following way: for all $X \in S_i$ with successor $Y \in S_{i+1}$ for outcomes $(r_p)_{p \in P}$, we denote $f_{i+1}(Y, n, X) =$ $\max_{p \in P(n)} f_i(X, n(X, p)) + \gamma^+(n(X, p), r_p)$. If there are several choices of $(r_p)_{p \in P}$ leading to the same Y, we take r_p with the maximal $f_i(X, n(X, p)) + \gamma^+(n(X, p), r_p)$. We then define $f_{i+1}(Y, n) = \max_{X \in S_i} f_{i+1}(Y, n, X)$. Again, the initialization is trivial, with $f_0(\{n_0\}, n_0) = 0$. The maximal execution time of \mathcal{N} is $f(\{n_f\}, n_f)$. That is, for all nodes (at most $|\mathcal{N}|$), we have to consider every k-uple of outcomes, and there are at most $|\mathcal{N}|^k$ of them, and every process to compute the max, and the complexity is still in $O(|P| \cdot |\mathcal{N}|^{k+1})$. \Box

We can bound the complexity precisely by $O(d(\mathcal{N}) \cdot C(\mathcal{N}) \cdot ||R||^{k^*})$, with:

 $d(\mathcal{N}) \leq |\mathcal{N}|$ the depth of n_f , that is the number of layers of \mathcal{N} , and ||R|| is the maximum number of outcomes of a node,

 $C(\mathcal{N}) = \max_i |S_i| \le 2^k$, which we will call the *number of contexts of* \mathcal{N} , and which is often much smaller than 2^k .

 $\begin{array}{ll} & -k^* = \max_{X \in \bigcup_i S_i} |X| \leq k. \text{ We say that } \mathcal{N} \text{ is } k^* \text{-thread bounded, meaning} \\ & \text{that there cannot be more that } k^* \text{ nodes in the same context } X \text{ of any layer.} \\ & \text{Usually, } k^* \text{ is strictly smaller than } k = \max_i |N_i|, \text{ as } N_i = \bigcup_{X \in S_i} X. \end{array}$

Consider again the Brexit example Figure 1. We have (k + 1) = 7, while we have the depth $d(\mathcal{N}) = 6$, the negotiation is $k^* = 3$ -thread bounded $(k^*$ is bounded by the number of processes), and the number of contexts is at most $C(\mathcal{N}) = 4$ (EU chooses to enforce backstop or not, and Pa chooses to go to court or not).

1080 8.2 Minimal Execution Time

As with sound negotiations, computing minimal time is much harder than computing the maximal time for k-layered negotiations:

Theorem 7. Let $k \ge 6$. The $Min \le T$ problem is NP-Complete for k-layered acyclic negotiations, even if the negotiation is sound and very weakly non-deterministic.

Proof. One can guess in polynomial time a final run of size $\leq |\mathcal{N}|$. If the execution time of this final run is smaller than T then we have found a final run witnessing $Min(\mathcal{N}) \leq T$. Hence the problem is in NP.

Let us now show that the problem is NP-hard. We proceed by reduction from the knapsack decision problem. Let us consider a set of items $U = \{u_1, \ldots, u_n\}$ of respective values v_1, \ldots, v_n and weight w_1, \ldots, w_n and a knapsack of maximal capacity W. The knapsack problem asks, given a value V whether there exists a subset of items $U' \subseteq U$ such that $\sum_{u_i \in U'} v_i \geq V$ and such that $\sum_{u_i \in U'} w_i \leq W$.

We build a negotiation with 2n processes $P = \{p_1, \dots, p_{2n}\}$. Intuitively, $p_i, i \leq p_{2n}$ 1093 n will serve to encode the value as timing, while $p_i, i > n$ will serve to encode 1094 the weight as timing. We set the set of nodes $N = \{n_0, n_f\} \cup \{C_i \mid i \in 1..n\} \cup$ 1095 $\{n_{L,0,i}, n_{L,1,i}, n_{R,0,i}, n_{R,1,i} \mid i \in 1..n\}$. Intuitively, node $n_{L,1,i}$ (resp $n_{R,1,i}$) will 1096 be used to remember that item i is placed in the knapsack and that its value 1097 (resp. weight) needs to be added. For all i, node $n_{L,1,i}$ (resp. $n_{R,1,i}$) has a unique 1098 possible outcome, b_i (resp. c_i). Nodes of the form $n_{L,0,i}$ remember that item i 1099 has not been placed in the knapsack, and they have outcome a_i . Nodes of the 1100 form $n_{R,0,i}$ remember that item i has not been placed in the knapsack, and they 1101 all have outcome 0. This outcome does not change the execution time, matching 1102 the fact that the current weight and value of the knapsack is not increased. 1103

Last, nodes of the form C_i will just remember the items that have already been considered. These nodes have two outputs, yes and no, telling whether the item *i* should be placed in the knapsack or not, consistently for weight and value processes.

We set $P_{n_0} = P_{n_f} = P$, and for other nodes $n_{L,0,i}$, $P_{n_{L,0,i}} = P_{n_{L,1,i}} =$ 1108 $\{p_1 \dots p_i\}$ and $P_{n_{R,0,i}} = P_{n_{R,1,i}} = \{p_{n+1} \dots p_{n+i}\}$. Last $P_{C_i} = \{p_{i+1} \dots p_n \dots p_{n+i} \dots p_{2n}\}$. 1109 We define the transition relation as follows: $\mathcal{X}(n_0, \text{yes}, p_1) = \{n_{L,1,i}\}$, and 1110 $\mathcal{X}(n_0, n_0, p_1) = \{n_{L,0,1}\}$, such that process p_1 remembers that the item is picked/notpicked. 1111 In the same way, $\mathcal{X}(n_0, n_0, p_{n+1}) = \{n_{R,0,1}\}$ and $\mathcal{X}(n_0, \text{yes}, p_{n+1}) = \{n_{R,1,1}\}$ for 1112 process p_{i+1} . Hence both process p_1, p_{n+1} will have the same information about 1113 whether the first item is picked or not. Finally, for every $k \in 2..n$, we define 1114 $\mathcal{X}(n_0, \mathrm{no}, p_k) = \mathcal{X}(n_0, \mathrm{no}, p_{k+n}) = \mathcal{X}(n_0, \mathrm{yes}, p_k) = \mathcal{X}(n_0, \mathrm{no}, p_{k+n}) = \{C_1\}.$ 1115

Other layers are similar: for $i \in 1..n$, we have $\mathcal{X}(C_i, \text{no}, p_i) = \{n_{L,0,i+1}\}$ $\mathcal{X}(C_i, \text{yes}, p_i) = \{n_{L,1,i+1}\}$, Similarly, for every $i \in 1..n$, $\mathcal{X}(C_i, \text{no}, p_{i+n}) = \{n_{R,0,i+1}\}$, and $\mathcal{X}(C_i, \text{yes}, p_{i+n}) = \{n_{R,1,i+1}\}$. We set $\mathcal{X}(C_i, \text{no}, p_j) = \mathcal{X}(C_i, \text{yes}, p_j) = \{C_{i+1}\}$ for every $j \in [i+1,n-1] \cup [n+i+1,2n]$.

The most interesting set of transitions are to interface $n_{L,0,i}$, $n_{L,1,i}$, $n_{R,0,i}$, $n_{R,1,i}$ with the next layer, in a non deterministic way because they dont know whether the next item will be picked or not: $\mathcal{X}(n_{L,0,i}, a_i, p_j) = \mathcal{X}(n_{L,1,i}, b_i, p_j) = \{n_{L,0,i+1}, n_{L,1,i+1}\}$ for $j \in 1..i$ and, $\mathcal{X}(n_{R,0,i}, 0, p_j) = \mathcal{X}(n_{R,1,i}, c_i, p_j) = \{n_{R,0,i+1}, n_{R,1,i+1}\}$ for $j \in n+1..n+i$.

Last, all processes synchronize on n_f by setting $\mathcal{X}(n_{L,0,n}, 0, p_j) = \mathcal{X}(n_{L,1,n}, b_n, p_j) = \mathcal{X}(n_{R,0,n}, 0, p_j) = \mathcal{X}(n_{R,1,n}, c_n, p_j) = \{n_f\}$

We now have to set timing constraints for outcomes. Outcomes 0, yes and no are associated with [0, 0]. Outcome c_i is associated with $[w_i, w_i]$, the weight of u_i . Last, outcome b_i is associated with a more complex function, such that $\sum_i b_i \leq W$ iff $\sum_i v_i \geq V$. For that, we set $[\frac{(v_{max}-v_i)W}{n \cdot v_{max}-V}, \frac{v_{max}W}{n \cdot v_{max}-v_i}]$ for outcome b_i , where v_{max} is the largest value of an item, and V is the total value we want to reach at least. Also, we set $[\frac{(v_{max})W}{n \cdot v_{max}-V}, \frac{v_{max}W}{n \cdot v_{max}-v_i}]$ for outcome a_i . We set T = W, the maximal weight of the knapsack.

Now, consider a final run ρ in \mathcal{N} . The only choice is about *yes*, *no* from C_i . Let I be the set of indices such that *yes* is the outcome from all C_i in this path. We obtain $\delta(\rho) = \max(\sum_{i \notin I} a_i + \sum_{i \in I} b_i, \sum_{i \in I} c_i)$. We have $\delta(\rho) \leq$ T = W iff $\sum_{i \in I} w_i \leq W$, that is the sum of the weight are lower than W, and

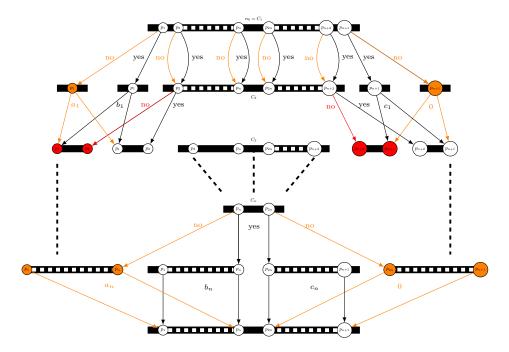


Fig. 5. The negotiation encoding Knapsack

 $\sum_{\substack{i \notin I \\ n \cdot v_{max} - V}} \sum_{i \in I} \frac{(v_{max})W}{n \cdot v_{max} - V} + \sum_{i \in I} \frac{(v_{max} - v_i)W}{n \cdot v_{max} - V} \leq W. \text{ That is, } n \cdot v_{max} - \sum_{i \in I} v_i \leq n \cdot v_{max} - V,$ 1139 i.e. $\sum_{i \in I} v_i \geq V.$ Hence, there exists a path ρ with $\delta(\rho) \leq T = W$ iff there exists 1140 a set of items of weight less than W and of value more than V.

¹¹⁴¹ So, given a knapsack of size n, a value V and a weight limit W one can build ¹¹⁴² a negotiation \mathcal{N}_{V}^{Knap} with O(3n + 2) nodes. We can encode all weights with ¹¹⁴³ $\log(v_{max}.W) + \log(n.v_{max})$ bits. One can notice that \mathcal{N}_{V}^{Knap} is 5-layered and ¹¹⁴⁴ sound.

However, it is not (weakly) non-deterministic because of nodes $n_{L,0,i}$, $n_{L,1,i}$, $n_{R,0,i}$, $n_{R,1,i}$. It is easy to add two processes V (resp. W), present in all nodes $n_{L,0,i}$, $n_{L,1,i}$ (resp $n_{R,0,i}$, $n_{R,1,i}$), and make process P_i (resp. P_{n+i} leave these nodes, deterministically leading to a new node garbage_{i+1} at layer i+1. Then the negotiation is very weakly deterministic, and 6-layered. \Box

Following the same lines as for the proofs of Propositions 2 and 4, a consequence of Theorem 7 is that the Min = T problem is in DP for k-layered acyclic negotiations.

It is well known that Knapsack is weakly NP-hard, that is it NP-hard only when weights/values are given in binary. This means that Thm. 7 shows that minimum execution time $\leq T$ is NP-hard only when T is given in binary. We can actually show that for k-layered negotiations, the $mintime(\mathcal{N}) \leq T$ problem can be decided in PTIME if T is given in unary (i.e. if T is not too large): **Theorem 8.** Let $k \in \mathbb{N}$. Given a k-layered negotiation \mathcal{N} and T written in unary, one can decide in PTIME whether the minimum execution time of \mathcal{N} is $\leq T$. The worst-case time complexity is $O(|\mathcal{N}| \cdot |P| \cdot (T \cdot |\mathcal{N}|)^k)$.

Proof. We will remember for each layer i a set \mathcal{T}_i of functions τ from nodes N_i of layer i to a value in $\{1, \ldots, T, \bot\}$. Basically, we have $\tau \in \mathcal{T}_i$ if there exists a path ρ reaching $X = \{n \in N_i \mid f(n) \neq \bot\}$, and this path reaches node $n \in X$ after $\tau(n)$ time units. As for S_i , for all p, we should have a unique node $n(\tau, p)$ such that $p \in n(f, p)$ and $\tau(n(\tau, p)) \neq \bot$. Again, it is easy to initialize $\mathcal{T}_0 = \{\tau_0\}$, with $\tau_0(n_0) = 0$, and $\tau_0(n) = \bot$ for all $n \neq n_0$.

Inducively, we build \mathcal{T}_{i+1} in the following way: $\tau_{i+1} \in \mathcal{T}_{i+1}$ iff there exists a $\tau_i \in \mathcal{T}_i$ and $r_p \in R_{n(\tau_i,p)}$ for all $p \in P$ such that for all n with $\tau_{i+1}(n) \neq \bot$, we have $\tau_{i+1}(n) = \max_p \tau_i(n(\tau_i,p)) + \gamma(n(\tau_i,p),r_p)$.

We have that the minimum execution time for \mathcal{N} is $\min_{\tau \in \mathcal{T}_n} \tau(n_{\tau})$, for *n* the depth of n_f . There are at most T^k functions τ in any \mathcal{T}_i , and there are at most $|\mathcal{N}|$ layers to consider, giving the complexity.

As with Thm. 6, we can more accurately state the complexity as $O(d(\mathcal{N}) \cdot C(\mathcal{N}) \cdot ||R||^{k^*} \cdot T^{k^*-1})$. The $k^* - 1$ is because we only need to remember minimal functions $\tau \in \mathcal{T}_i$: if $\tau'(n) \geq \tau(n)$ for all n, then we do not need to keep τ' in \mathcal{T}_i . In particular, for the knapsack encoding in the proof of Thm. 7, we have $k^* = 3$, ||R|| = 2 and $C(\mathcal{N}) = 4$.

Notice that if k is part of the input, then the problem is strongly NP-hard, even if T is given in unary, as e.g. encoding bin packing with k bins result to a k + 1-layered negotiations.