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## Interpolatory Catmull-Clark volumetric subdivision over unstructured hexahedral meshes for modeling and simulation applications

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#### Abstract

Volumetric modeling is an important topic for material modeling and isogeometric simulation. In this paper, two kinds of interpolatory Catmull-Clark volumetric subdivision approaches over unstructured hexahedral meshes are proposed based on the limit point formula of Catmull-Clark subdivision volume. The basic idea of the first method is to construct a new control lattice, whose limit volume by the CatmullClark subdivision scheme interpolates vertices of the original hexahedral mesh. The new control lattice is derived by the local push-back operation from one CatmullClark subdivision step with modified geometric rules. This interpolating method is simple and efficient, and several shape parameters are involved in adjusting the shape of the limit volume. The second method is based on progressive-iterative approximation using limit point formula. At each iteration step, we progressively modify vertices of an original hexahedral mesh to generate a new control lattice whose limit volume interpolates all vertices in the original hexahedral mesh. The convergence proof of the iterative process is also given. The interpolatory subdivision volume has  $C^2$ -smoothness at the regular region except around extraordinary vertices and edges. Furthermore, the proposed interpolatory volumetric subdivision methods can be used not only for geometry interpolation, but also for material attribute interpolation in the field of volumetric material modeling. The application of the proposed volumetric subdivision approaches on isogeometric analysis is also given with several examples.

Keywords: interpolatory volumetric subdivision; progressive-iterative approximation; volumetric material modeling; isogeometric analysis

### 1. Introduction

Volumetric representation describes the interior of an object in addition to its boundaries, which plays an important role in modeling and simulation applications. For example, volumetric modeling is required in advanced manufacturing technologies with heterogeneous materials, such as additive manufacturing of functionally graded material [Massarwi and Elber (2016)]. On the other hand, in three-dimensional isogeometric analysis [Lai et al. (2017)], volumetric modeling plays a key role as a geometric foundation for numerical simulation [Zhang et al. (2007), Xu et al. (2017)]. Trivariate NURBS solids [Xu et al. (2013a), Xu et al. (2013b), Xu et al. (2018), Xu et al. (2015), Xu et al. (2014), Pan et al. (2020), Pan et al. (2018), Massarwi et al. (2019)] and trivariate T-spline solids [Wang et al. (2012), Zhang et al. (2012), Wang et al. (2013), Liu et al. (2014), Wei et al. (2017a)] have been used as modeling and numerical tools in isogeometric modeling and analysis. However, because of their tensorproduct structure, trivariate NURBS

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and T-spline solids have some limitations on the construction of analysis-suitable volumetric parameterizations from arbitrary complex geometries [Wei et al. (2017b), Wei et al. (2018), Chen et al. (2019)]. Hence, volumetric modeling for geometry with arbitrary topology is a key challenge to be addressed for material modeling and isogeometric analysis.

Subdivision is a simple and efficient technique for surface modeling in computer graphics, which can generate smooth surfaces from an input coarse control mesh with arbitrary topology [Catmull and Clark (1978)]. There are some related works on volumetric generalization of subdivision surfaces. However, most of them focus on approximating subdivision [Bajaj et al. (2002)]. There is very few work on interpolatory volumetric subdivision. In recent work, the authors proposed the limit point formula of Catmull-Clark volumetric subdivision [Xu et al. (2020)], which provides a key theoretical foundation for interpolatory Catmull-Clark volumetric subdivision.

In this paper, two kinds of interpolatory Catmull-Clark volumetric subdivision approaches over unstructured hexahedral meshes are proposed based on the limit point formula of Catmull-Clark subdivision volume. Main contributions of this paper can be summarized as follows:

- A simple and efficient interpolatory Catmull-Clark volumetric subdivision approach by the local pushback operation is proposed, and several shape parameters are involved in adjusting the limit volumes.
- A new interpolatory Catmull-Clark volumetric subdivision approach based on the progressive-iterative approximation is proposed. The convergence of the iterative process is also proven.
- The applications of the proposed interpolatory subdivision methods for volumetric modeling with heterogeneous materials and isogeometric analysis of heat conduction and linear elasticity problems are also presented.

The rest of the paper is structured as follows. Section 2 describes some related work on interpolatory subdivision surfaces and subdivision volumes. Section 3 reviews the Catmull-Clark volumetric subdivision rules and the corresponding limit point formula. The interpolatory Catmull-Clark volumetric subdivision based on the push-back operation is presented in Section 4. Section 5 describes the interpolatory Catmull-Clark volumetric subdivision based on progressive iterative approximation. Some comparison examples and applications in volumetric material modeling and isogeometric analysis are given in Section 6. Finally, we conclude this paper and outline future work in Section 7.

## 2. Related work

Interpolatory subdivision surface. Interpolatory subdivision surface can mainly be split into two categories. In the first one, the subdivision schemes consistently contain the old vertices in the refined mesh after each subdivision operation. Obviously, the limit surface generated in this way will contain all the original vertices. Butterfly scheme [Dyn et al. (1990)] and Kobbelt's scheme [Kobbelt (1996)] both fall into this category. Li et al. proposed a method for directly deducing new interpolation subdivision masks from the corresponding approximation subdivision masks [Kobbelt (1996)]. A unified interpolatory subdivision scheme is proposed for quadrilateral meshes based on local refinement operations in a way similar to that for approximating schemes generalizing splines of an arbitrarily high-order continuity in [Deng and Ma (2013)]. Another idea to generate a subdivision surface interpolating vertices of an input control mesh is to employ approximating subdivision schemes by adjusting vertices of the original control mesh. Halstead et al. [Halstead et al. (1993)] proposed a method by building a global linear system with some fairness constraints to make the limit surface interpolate vertices of the given control mesh. The push-back method was firstly proposed in [Maillot and Stam (2001)] to deal with the shrinkage issue of approximating subdivision schemes. Deng and Yang [Deng and Yang (2010)] applied this idea to the Catmull-Clark surface subdivision scheme to derive an interpolation scheme based on the explicit limit point formula. Progressive-iterative approximation (PIA for short) and weighted PIA have been used for constructing interpolatory subdivision surfaces by adjusting the control mesh iteratively [Chen et al. (2008), Cheng et al. (2009), Deng and Ma (2012)]. However, since the PIA method requires the explicit limit point formula to realize the interpolation,

currently there is no related work on interpolatory volumetric subdivision based on PIA. Subdivision surfaces have also been used broadly in design and isogeometric analysis [Cirak et al. (2000), Pan et al. (2014), Pan et al. (2016)]. Truncation mechanism has been applied to Catmull-Clark subdivision to support local refinement [Wei et al. (2015), Wei et al. (2016)], and a hybrid non-uniform recursive subdivision scheme was developed to support isogeometric analysis with improved convergence rate [Li et al. (2019)].

Subdivision volume. Compared with subdivision surfaces, much less work has been done on volumetric subdivision. The first subdivision solid scheme was proposed by Joy and Maccracken in MacCracken and Joy (1996)], which refines a volume mesh into a region of three-dimensional space. Bajaj et al. proposed a volumetric extension of the Catmull-Clark subdivision surface and analyzed the smoothness property [Bajaj et al. (2002). A new volumetric subdivision scheme based on Box splines was developed in [Chang et al. (2002)]. Chang et al. also presented an interpolating scheme for recursive subdivision of meshes organized around octet-truss structures [Chang et al. (2003)]. A natural generalization of the butterfly subdivision from 2D surface to 3D volume was proposed in [McDonnell et al. (2004)] as a novel interpolating volumetric scheme. In the field of scientific visualization, an interactive visualization framework was proposed by using radial basis functions with subdivision volumes [McDonnell et al. (2007)]. Lin et al. proposed a constrained volume iterative fitting algorithm to fill a triangular mesh with an all-hex volume mesh [Lin et al. (2015)]. In that paper, they fit the boundary of the initial all-hex mesh to the given triangular mesh by iteratively adjusting the boundary mesh vertices, and the movements of the boundary mesh vertices are diffused to the inner all-hex mesh vertices in each iteration without the application of limit point formula. As an emerging application, isogeometric analysis based on approximation CatmullClark subdivision volumes were proposed in [Burkhart et al. (2010)], which can achieve a unified representation of geometric modeling and physical simulation. In this paper, two kinds of interpolatory Catmull-Clark volumetric subdivision approaches over unstructured hexahedral meshes are proposed based on the explicit limit point formula, and their application in volumetric material modeling and isogeometric analysis are also illustrated.

## 3. Catmull-Clark volumetric subdivision and limit point formula

Catmull-Clark volumetric subdivision is an volumetric extension of Catmull-Clark surface subdivision. Denote  $\hat{M}^0$  the initial control lattice and  $\hat{M}^i$  the hex-mesh obtained after i steps of Catmull-Clark volumetric subdivision. Let  $e_k^i$ ,  $f_k^i$ ,  $c_k^i$  be the edge point, the face point and the cell point of a vertex in  $\hat{M}^i$ . The Catmull-Clark volumetric subdivision rules for unstructured hexahedral mesh with arbitrary topology can be described as the following five steps:

Step.1 For each cell, insert a cell point (on the centroid)  $c_k^i$ , which is the average of all vertices of this cell. Step.2 For each face, insert a face point  $f_k^i$ , which is derived from

$$f^{i+1} = \frac{c_1^i + c_2^i + 2A}{4} \tag{1}$$

where  $c_1^i$  and  $c_2^i$  are the cell points of two adjacent cells of this face, and A is the centroid of this face. Step.3 For each edge, insert an edge point, which is derived by

$$e^{i+1} = \frac{C_{avg} + 2F_{avg} + M}{4} \tag{2}$$

where  $C_{avg}$  is the average of cell points of all the incident cells of this edge,  $F_{avg}$  is the average of the centroids of all the incident faces of this edge, and M is the midpoint of the edge.

Step.4 For each hexahedral cell, connect the cell points with all the face points, and connect all the face points with all incident edge points in this cell respectively. Then each hexahedral cell is divided into eight hexahedral cells, in which the cell points, the face points and the edge points are new vertices of  $\hat{M}^{i+1}$ .

Step.5 Update each original vertex according to

$$v^{i+1} = \frac{C_{avg} + 3F_{avg} + 3E_{avg} + v^i}{8} \tag{3}$$

where  $C_{avg}$ ,  $F_{avg}$ ,  $E_{avg}$  are the averages of the cell points, the face points and the edge points of all adjacent cells, faces and edges, and  $v^i$  is the original vertex.

The above steps define the new geometry and the topology connectivity of new vertices. With these steps, a series of refined hex-meshes will be produced, which eventually converges to a limit volume called the Catmull-Clark subdivision volume.

Catmull-Clark subdivision surface has an explicit limit formula [Halstead et al. (1993)], which plays an important role in theoretical analysis and application of subdivision surface. Recently, the authors proposed an explicit limit point formula of Catmull-Clark subdivision volumes [Xu et al. (2020)]. The main result is that the limit point  $v_i^{\infty}$  of  $v^0$  on  $\hat{M}^0$  can be computed in the following explicit form:

$$v_i^{\infty} = \frac{16(n-2)v_i^1 + 4\sum_{j=1}^n m_j e_j^1 + 4\sum_{j=1}^{3(n-2)} f_j^1 + \sum_{j=1}^{2(n-2)} c_j^1}{30(n-2) + 4\sum_{j=1}^n m_j}$$
(4)

where n is the valence of an interior vertex  $v^i$ ,  $m_j$  is the valence of the j-th adjacent edge of  $v_i$ , and

$$V_i^1 = \{v_i^1, e_1^1, ..., e_n^1, f_1^1, ..., f_{3(n-2)}^1, c_1^1, ..., c_{2(n-2)}^1\}$$

is the adjacency of  $v^i$ .  $e^i$ ,  $f^i$ ,  $c^i$  are the edge points, face points and cell points respectively after performing Catmull-Clark solid subdivision once.

In the following sections, based on this explicit limit point formula, two kinds of interpolatory Catmull-Clark volumetric subdivision approaches will be introduced.

## 4. Interpolatory Catmull-Clark volumetric subdivision with push-back operation

In this paper, we will introduce the interpolatory Catmull-Clark volumetric subdivision with the push-back operation. By using the limit point formula of Catmull-Clark subdivision volumes, from a given hexahedral control lattice, we can construct a new control lattice whose limit subdivision volume interpolates vertices of the original control lattice. Moreover, due to its local property, the interpolatory subdivision results can be obtained efficiently. By changing the shape parameters involved in this method, different subdivision volumes can be constructed to satisfy the interpolation condition.

Suppose that  $M^1$  is the hexahedral control lattice obtained by performing one Catmull-Clark subdivision step on the initial hexahedral control lattice  $M^0$ ,  $V_i^1$  on  $M^1$  is the corresponding vertex of  $V_i^0$  on  $M^0$ . Then we define an increment as

$$\Delta_i^0 = V_i^0 - V_i^1. \tag{5}$$

For each edge  $E_{ij}$  with end points  $V_i^0$  and  $V_j^0$  in  $M^0$ , we can define

$$E' = \frac{1}{2}(V_i^0 + V_j^0) + \lambda_{ij}(\Delta_i^0 + \Delta_j^0), \quad 0 < \lambda_{ij} < 1$$
 (6)

as the edge point of this edge.  $\Delta_i^0$  and  $\Delta_j^0$  are the increments of two ending points respectively and  $\lambda_{ij}$  is a shape parameter, which can be used as a degree of freedom to construct different limit volumes.

For each face F in  $M^0$ , we can define

$$F' = \frac{\sum_{j=1}^{4} V_j^0}{4} + 2\mu_F \sum_{j=1}^{4} \Delta_j^0, \quad 0 < \mu_F < 1$$
 (7)

as the face point of this face, in which  $\Delta_j^0$  is the increment for vertices on this face, where  $\mu_F$  is also a shape parameter.

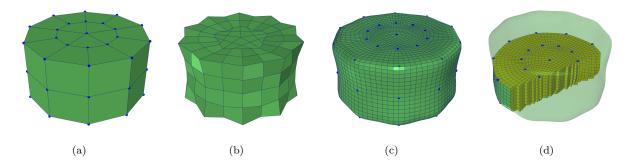


Figure 1: Interpolatory Catmull-Clark volumetric subdivision example with the push-back method. (a) The original mesh; (b) new control lattices constructed by the push-back operation; (c) subdivision result after two levels of Catmull-Clark volumetric subdivision from the modified control lattice; (d) the interior view of the result.

Similarly, for each cell C in  $M^0$ , we can define

$$C' = \frac{\sum_{j=1}^{8} V_j^0}{8} + 2\gamma_C \sum_{j=1}^{8} \Delta_j^0, \quad 0 < \gamma_C < 1$$
 (8)

as the cell point, in which  $\Delta_j^0$  is the increment of eight vertices of this cell. Here  $\gamma_C$  is a shape parameter influencing the final result.

In order to satisfy the interpolation condition, the original vertices of the control lattice should be set as the limit points, that is,  $V_i^{\infty} = V_i^0$ . Then we have a new hex-mesh with E', F', C' as above and the following vertices:

$$V' = \frac{\left[30(n-2) + 4\sum_{j=1}^{n} m_j\right]V_i^0 - 4\sum_{j=1}^{n} m_j E_j' - 4\sum_{j=1}^{3(n-2)} F_j' - \sum_{j=1}^{2(n-2)} C_j'}{16(n-2)}.$$
 (9)

After the above steps, now we can obtain a new hexahedral control lattice whose limit subdivision volume interpolates all the vertices  $V^0$  of  $M^0$ . From the new control lattice, we can obtain a limit volume interpolating the original control points by applying the Catmull-Clark volumetric subdivision rules.

Figure 1 shows an interpolatory volume example with the push-back method. Figure 1(a) is the original control lattice. Figure 1(b) is the control lattice constructed by the push-back operation. Figure 1(c) shows the volumetric subdivision results from the modified control lattice. Figure 1(d) is the interior view of Figure 1(c). We can find that the final subdivision volume interpolates the original control lattice exactly. Figure 2 presents interpolatory volumetric subdivision examples with different shape parameters in the push-back method.

## 5. Progressive interpolation using Catmull-Clark volume subdivision

Progressive-iterative approximation is an iterative method with clear geometric meaning, which was first proposed by Qi et al. [Qi et al. (1975)] and de Boor [de Boor (1979)]. A detailed review about progressive-iterative approximation was given in [Lin et al. (2018)]. In this paper, based on the limit point formula, we derive a volumetric interpolating scheme based on Catmull-Clark volumetric subdivision by using progressive-iterative approximation.

## 5.1. Interpolation process

Denote by  $\hat{M}^k$  the volumetric control lattice from the original volume mesh after k steps of modification. For each vertex  $v^k$  in  $\hat{M}^k$ , we compute its limit position of Catmull-Clark solid subdivision, namely  $v_{\infty}^k$ ,

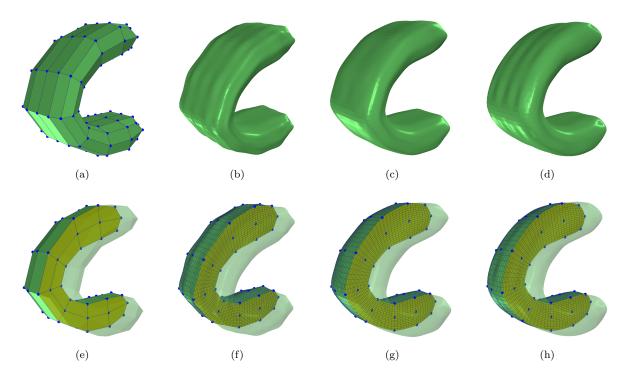


Figure 2: Examples of the push-back method with different parameters. (a) and (e): The original mesh; (b) and (f): interpolatory subdivision volume with  $\lambda_{ij}=0.05$ ,  $\mu_F=0.05$  and  $\gamma_C=0.05$ ; (c) and (g): interpolatory subdivision volume with  $\lambda_{ij}=0.25$ ,  $\mu_F=0.125$  and  $\gamma_C=0.0625$ ; (d) and (h): interpolatory subdivision volume with  $\lambda_{ij}=0.25$ ,  $\mu_F=0.25$  and  $\gamma_C=0.25$ .

according to the limit point formula. Then the distance  $d^k$  between the counterpart of  $v^k$  in  $\hat{M}^k$ , namely  $v^0$ , and  $v^k_\infty$  can be computed as

$$d^k = v^0 - v_\infty^k. (10)$$

Then we modify all  $v^k \in \widehat{M}^k$  by adding  $d^k$  and get  $v^{k+1}$  by

$$v^{k+1} = v^k + d^k. (11)$$

After several iterative steps, the final limit subdivision volume can achieve an interpolation of initial hexahedral control lattice. Figure 3 shows an interpolatory volume example with the PIA method. Figure 3(a)-3(d) show the iterative process of the control lattice, and Figure 3(e)-3(h) illustrate the corresponding iterative process of the limit volumes, in which the blue points are vertices to be interpolated.

#### 5.2. Convergence analysis

In this section, we will prove the convergence of the proposed PIA approach for Catmull-Clark subdivision volumes. Since the convergence of PIA on Catmull-Clark subdivision surface has been proved in [Chen et al. (2008)], we can firstly perform PIA on the boundary surface vertices of the volume mesh and fix them in the following steps. Then, by repeating the process (10)-(11), we can get a sequence of volume meshes  $\widehat{M}^k$  (k = 1, 2...). We want to prove that as k tends to infinity,  $\widehat{M}^k$  converges to the limit subdivision volume, which interpolates all the vertices in the original mesh  $\widehat{M}^0$ .

The key to prove the convergence of  $\widehat{M}^k$  is to show that when k tends to infinity,  $d^k$  converges to zeros. For each interior vertex, we have

$$v_{\infty}^{k} = \frac{16(n-2)v^{k} + 4\sum_{j} m_{j}e_{j}^{k} + 4\sum_{j} f_{j}^{k} + \sum_{j} c_{j}^{k}}{30(n-2) + 4\sum_{j} m_{j}}.$$
 (12)

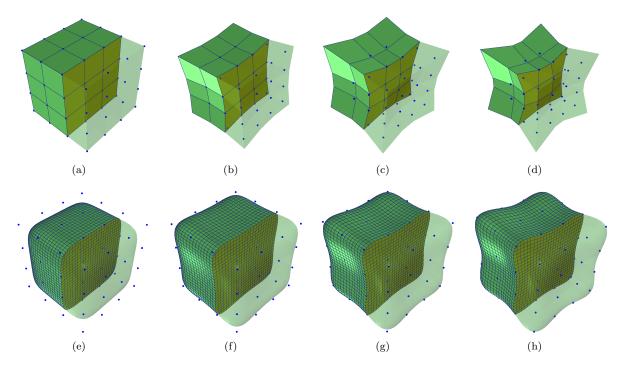


Figure 3: Interpolatory volume example with the PIA method. (a): The original mesh; (b-d): new control lattices after one, three and ten PIA steps; (e-h): the corresponding iterative process of the limit volumes, in which the blue points are vertices of the original mesh.

By applying (12) to (10), we have

$$\begin{split} d^{k+1} &= v_0 - \frac{16(n-2)v^{k+1} + 4\sum_j m_j e_j^{k+1} + 4\sum_j f_j^{k+1} + \sum_j c_j^{k+1}}{30(n-2) + 4\sum_j m_j} \\ &= v_0 - \frac{16(n-2)v^k + 4\sum_j m_j e_j^k + 4\sum_j f_j^k + \sum_j c_j^k}{30(n-2) + 4\sum_j m_j} \\ &- \frac{16(n-2)d^k + 4\sum_j m_j d_{e_j}^k + 4\sum_j d_{f_j^k}^k + \sum_j d_{c_j^k}^k}{30(n-2) + 4\sum_j m_j} \\ &= d^k - \frac{16(n-2)d^k + 4\sum_j m_j d_{e_j}^k + 4\sum_j d_{f_j^k}^k + \sum_j d_{c_j^k}^k}{30(n-2) + 4\sum_j m_j} \end{split}$$
(13)

in which  $d^k = 0$  when it corresponds to a vertex on the surface.

Denote l as the number of vertices on the boundary surface, r as the number of all vertices in the given mesh. Equation (13) can be written in matrix form as

$$\begin{pmatrix} d_1^{k+1} \\ d_2^{k+1} \\ \dots \\ d_l^{k+1} \\ d_{l+1}^{k+1} \\ \dots \\ d_r^{k+1} \end{pmatrix} = (I - M) \begin{pmatrix} d_1^k \\ d_2^k \\ \dots \\ d_l^k \\ d_{l+1}^k \\ \dots \\ d_r^k \end{pmatrix} = (I - M)^{k+1} \begin{pmatrix} d_1^0 \\ d_2^0 \\ \dots \\ d_l^0 \\ d_{l+1}^0 \\ \dots \\ d_r^0 \end{pmatrix}$$

$$(14)$$

where  $d_i^0 = 0$  (i = 1, 2, ..., l), I is the identity matrix of order r, M is a matrix with the following form:

$$M = \begin{pmatrix} 0 & 0 \\ M_1 & M_2 \end{pmatrix}, \tag{15}$$

$$M_{1} = \begin{pmatrix} \frac{4m_{ij}}{30(n_{l+1}-2)+4\sum_{j}m_{j}} & \cdots & \frac{4}{30(n_{l+1}-2)+4\sum_{j}m_{j}} & \cdots & \frac{1}{30(n_{l+1}-2)+4\sum_{j}m_{j}} & \cdots \\ \frac{4}{30(n_{l+j}-2)+4\sum_{j}m_{j}} & \cdots & \cdots & \cdots \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ \frac{1}{30(n_{l+1}-2)+4\sum_{j}m_{j}} & \cdots & \cdots & \cdots \end{pmatrix}, \quad (16)$$

where  $n_i$  is the valence of the *i*-th vertex, and  $m_{ij}$  is the valence of the i-j edge.

Because  $d_i^k = 0$  (i = 1, 2, ..., l) and  $M_{ij} = 0$  (i, j = 1, 2, ..., l), proving that  $d^k$  converges to zero. The problem reduces to proving that the absolute value of each eigenvalue of the matrix (I - M') is less than 1, where  $M' = M_2$ . Furthermore, from [Chen et al. (2008), Cheng et al. (2009), Deng and Ma (2012)], we have the following similar proposition.

**Proposition 1.** Suppose that  $\lambda_i$   $(i=1,2,\ldots,r-l)$  are the eigenvalues of  $M'=M_2$ , if  $\lambda_i>0$   $(i=1,2,\ldots,r-l)$  $1, 2, \ldots, r-1$ , then the absolute value of each eigenvalue of the matrix (I-M') is less than 1.

In the above proposition, we suppose that the eigenvalues of M' are all positive. Hereafter, we have  $\lambda_i > 0$   $(i = 1, 2, \dots, r - l)$ . According to [Chen et al. (2008)], we know that the eigenvalues of the product of two positive definite matrices are positive. Hence, we can first decompose the matrix M' into the product of A and B, in which

$$A = \begin{pmatrix} \frac{1}{30(n_{l+1}-2)+4\sum_{j}m_{j}} & 0 & \cdots & 0\\ 0 & \frac{1}{30(n_{l+2}-2)+4\sum_{j}m_{j}} & \cdots & 0\\ \vdots & & \ddots & \\ 0 & & \cdots & \frac{1}{30(n_{r}-2)+4\sum_{j}m_{j}} \end{pmatrix},$$
(18)

Obviously, A is diagonal and all elements on the diagonal line are positive, thus it is positive definite. Now the only thing that we need to prove is that the matrix B is positive definite.

**Proposition 2.** Matrix B in (19) is positive definite.

*Proof.* Matrix B is positive definite if and only if the corresponding quadratic form is positive for any non-zero vector  $X = (x_{l+1}, x_{l+2}, \dots, x_r)$ :

$$f(x_{l+1}, x_{l+2}, \dots, x_r) = X^T B X > 0.$$
 (20)

We can see that if vertices  $v_i$  and  $v_j$  are adjacent, then  $b_{ij} = b_{ji} = 4m_{ij}$ . If they are not adjacent but lie in the same face, then  $b_{ij} = b_{ji} = 4$ . If they do not lie on the same face but lie in the same cell, then  $b_{ij} = b_{ji} = 1$ . Thus we can get

$$f(x_{l+1}, x_{l+2}, \dots, x_r) = \sum_{i=l+1}^{r} 16(n_i - 2)x_i^2 + \sum_{e_{ij}} 8m_{ij}x_ix_j + \sum_{f_{ij}} 8x_ix_j + \sum_{c_{ij}} 2x_ix_j$$
(21)

where  $e_{ij}$ ,  $f_{ij}$  and  $c_{ij}$  range through all edges, all diagonals of each face and all diagonals of each cell inside the volume (we do not include those on the surface).

In order to prove that (21) is positive, we construct the following equation. For all edges of the mesh, when  $x_i$  corresponds to a surface vertex, set it to zero. Then we obtain

$$\sum_{e_{ij}} m_{ij} (x_i + x_j)^2 = \sum_{l+1}^r \sum_j m_j x_i^2 + \sum_{e_{ij}} 2m_{ij} x_i x_j$$
 (22)

where the sum is taken for all edges  $e_{ij}$  of the mesh (including surface edges). For all faces, similarly we have

$$\sum_{f_{\text{lipq}}} (x_i + x_j + x_p + x_q)^2 = \sum_{l+1}^r 3(n_i - 2)x_i^2 + \sum_{e_{ij}} 2m_{ij}x_ix_j + \sum_{g_{ij}} 2x_ix_j$$
(23)

where  $g_{ij}$  means a pair of diagonal vertices on a given face. For all cells, we have

$$\sum_{c_{ijpquxyz}} (x_i + x_j + x_p + x_q + x_u + x_x + x_y + x_z)^2 = \sum_{l=1}^r 2(n_i - 2)x_i^2 + \sum_{e_{ij}} 2m_{ij}x_ix_j + \sum_{g_{ij}} 4x_ix_j + \sum_{h_{ij}} 2x_ix_j$$
(24)

where  $h_{ij}$  means a pair of diagonal vertices on a given cell.

By applying (22)-(24) to (21), we have

$$f(x_{l+1}, x_{l+2}, \dots, x_r) = \sum_{c_{ijpquxyz}} (x_i + x_j + x_p + x_q + x_u + x_x + x_y + x_z)^2$$

$$+ 2 \sum_{f_{ijpq}} (x_i + x_j + x_p + x_q)^2 + \sum_{e_{ij}} m_{ij} (x_i + x_j)^2 + \sum_{i=l+1}^r \left[ 8 (n_i - 2) - \sum_j m_j \right] x_i^2.$$

$$(25)$$

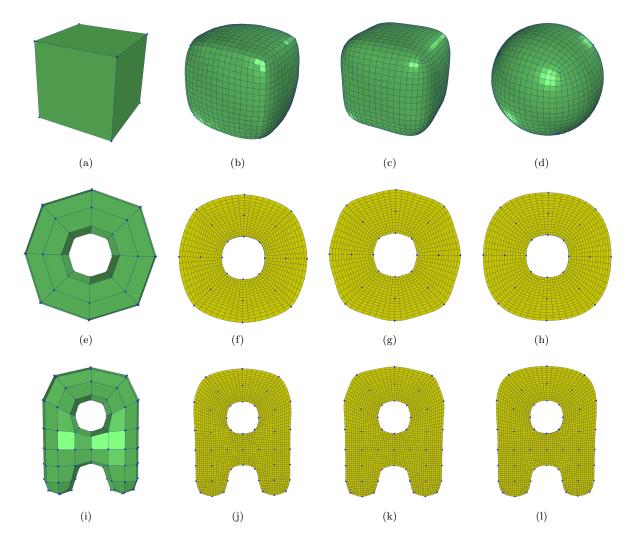


Figure 4: Comparison examples. From left to right: The input hexahedral mesh; the butterfly interpolatory subdivision method; the push-back method; and the PIA method.

For each interior edge, m is equal to the number of adjacent cells. When computing  $\sum_{j} m_{j}$  for a vertex, each adjacent cell will be counted three times because it must have three edges adjacent to the vertex. For each vertex, the number of adjacent cells is  $2(n_{i}-2)$ . Thus we have

$$\sum_{j} m_j = 6 (n_i - 2). (26)$$

Substituting (26) into (25), we can obtain

$$f(x_{l+1}, x_{l+2}..., x_r) = \sum_{c_{ijpquuryz}} (x_i + x_j + x_p + x_q + x_u + x_x + x_y + x_z)^2$$

$$+ 2 \sum_{f_{ijpq}} (x_i + x_j + x_p + x_q)^2 + \sum_{e_{ij}} m_{ij} (x_i + x_j)^2 + \sum_{i=l+1}^r 2(n_i - 2)x_i^2 > 0.$$

$$(27)$$

Equation (27) shows that  $f(x_{l+1}, x_{l+2}, \dots, x_r)$  is always positive for any non-zero vector X. The above

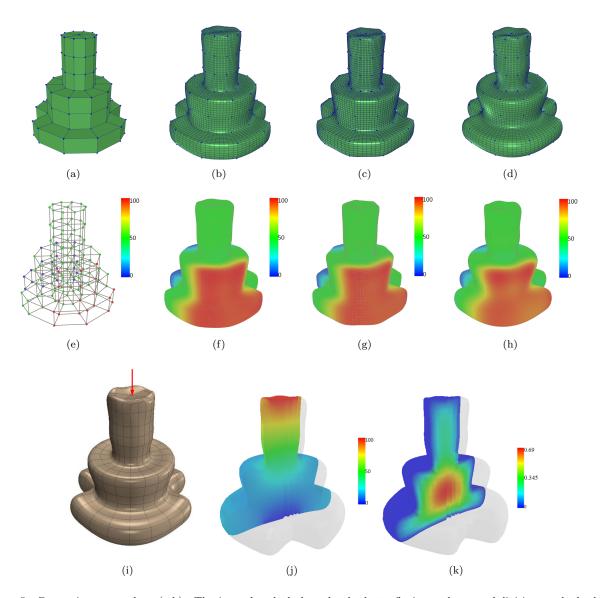


Figure 5: Comparison examples. (a-h): The input hexahedral mesh; the butterfly interpolatory subdivision method; the push-back method; and the PIA method. Blue, green and red vertices in (e) are assigned with material value 0, 50 and 100 respectively. (f-h): The corresponding material description over (b), (c) and (d) geometry, respectively. (i): Boundary condition for linear elasticity simulation on the interpolatory subdivision volume with the PIA method in (d); (j): IGA results for linear elasticity simulation with boundary condition in (i); (k): IGA results for heat conduction simulation.

proof shows that B is positive definite, and the convergence of PIA on Catmull-Clark subdivision solid is proved.

## 6. Examples and applications

In this section, we will compare the proposed interpolatory subdivision schemes with the butterfly volumetric subdivision approach [McDonnell et al. (2004)], then we will present the applications of the proposed interpolatory subdivision methods for volumetric modeling with heterogeneous materials and isogeometric analysis of heat conduction and linear elasticity problems.

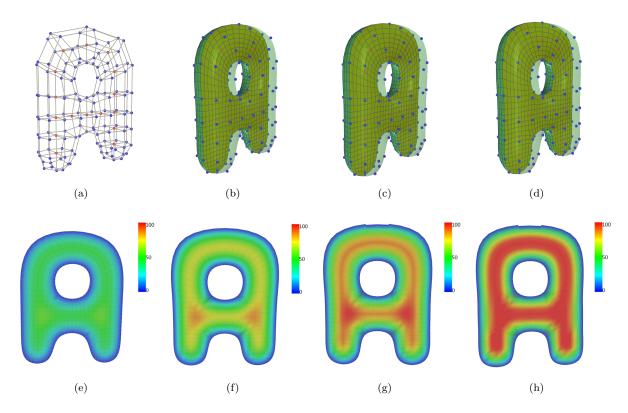


Figure 6: Volumetric geometry and material interpolation by the interpolatory volumetric subdivision approach based on PIA. (a): The original control lattice with different material values at vertices, where blue vertices have material value 0 and red vertices have material value 100; (b) - (d): volumetric subdivision results after one, three and ten PIA operations; (e): material distribution of subdivision volumes after two Catmull-Clark volumetric subdivision steps; (f) - (h): the corresponding material distribution after one, three and ten PIA operations with respect to (b) - (d).

## 6.1. Comparison

The proposed interpolatory volumetric subdivision method can achieve a limit volumetric geometry with  $C^2$ -continuity at regular part. McDonnell  $et\ al$  has proposed a volumetric subdivision algorithm based on the well-known Lagrange polynomials, which is  $C^1$  continuous at regular part. It can be seen as a natural generalization of the butterfly subdivision surface scheme to the volumetric case. Figure 4 presents three examples for the comparison of the butterfly method, the push-back method and the PIA approach. From these modeling examples, we can find that the PIA-based interpolatory volumetric subdivision results are much smoother than the butterfly volumetric subdivision results. From the cross-section of these models as shown in Figure 4(f)-4(h) and Figure 4(j)-4(l), we can find that the PIA method can also achieve smoother interior mesh structure than the butterfly subdivision approach. Another comparison example is shown in Figure 5(b)-5(d).

## 6.2. Application in volumetric modeling with heterogeneous materials

Multi-material 3D printers are more and more popular for additive manufacturing, and the research on heterogeneous materials, especially the functionally graded material objects, is a hot topic in the field of intelligent manufacturing. The proposed interpolatory volumetric subdivision methods can be used not only for geometry interpolation, but also for material attribute interpolation in the field of volumetric material modeling with heterogeneous materials, which is a major benefit of our volumetric subdivision scheme.

Figure 6 shows a volumetric geometry and the material interpolation process by the interpolatory volumetric subdivision approach based on PIA, and the final volumetric material object can interpolate the

material values at vertices of the input control lattice. Figure 5(e)-5(h), Figure 8(d)-8(i) and Figure 9(c)-9(e) illustrate several volumetric material modeling examples with heterogeneous material properties associated with geometry. In these examples, different material densities are assigned to different control points. By using our interpolatory volumetric approach, we can blend different densities smoothly and the resulting functionally graded material object can interpolate the material density at each control point exactly.

## 6.3. Application in isogeometric analysis

The proposed interpolatory volumetric subdivision methods have the following advantages for isogeometric analysis: (1) easy for imposing Dirichlet boundary conditions; (2) multi-resolution property; and (3) representation of complex geometry with arbitrary topology. Moreover, volumetric subdivision methods provide explicit regular shape functions for isogeometric application over complex geometry with arbitrary topology. In this paper, we also implement the isogeometric analysis framework with the proposed interpolatory volumetric subdivision for three-dimensional linear elasticity and heat conduction problems.

As an illustration problem, we consider the following three-dimensional heat conduction problem:

$$\begin{cases}
-\Delta T(\mathbf{x}) = f(\mathbf{x}) & \text{in } \Omega \subset \mathbb{R}^3 \\
T(\mathbf{x}) = 0 & \text{on } \partial\Omega
\end{cases}$$
(28)

where  $\Delta$  is the Laplacian operator,  $\Omega$  is the computational domain represented by the interpolatory Catmull-Clark subdivision volume,  $T(\boldsymbol{x})$  is the unknown heat field, and  $f(\boldsymbol{x})$  is the heat source function.

The IGA approximation of (28) is to find the numerical solution  $T^h$  such that

$$\iint_{\Omega} \nabla T^h \cdot \nabla v dx dy = \iint_{\Omega} f \cdot v dx dy, \tag{29}$$

in which v is a test function. Each control point  $\mathbf{P}_i$  is associated with a basis function  $\phi_i$ . In isogeometric framework, the solution field  $T^h$  has the following form

$$T^h = \sum_{j=1}^n \phi_j T_j^h, \tag{30}$$

in which  $T_j^h$  is the unknown control variable [Pan et al. (2016, 2014)]. Then the numerical approximation problem (29) can be rewritten as

$$\sum_{j=1}^{n} T_{j}^{h} \iint_{\Omega} \nabla \phi_{j} \cdot \nabla \phi_{i} dx dy = \iint_{\Omega} f \cdot \phi_{i} dx dy, \quad i = 1, \dots, n.$$
(31)

Then we can obtain a linear system with unknown vector **T**,

$$KT = b$$

in which  ${\bf K}$  is the stiffness matrix and  ${\bf b}$  is the load vector described as

$$\mathbf{K} = \iint_{\Omega} \nabla \phi_j \cdot \nabla \phi_i \mathrm{d}x \mathrm{d}y \quad \text{and} \quad \mathbf{b} = \iint_{\Omega} f \cdot \phi_i \mathrm{d}x \mathrm{d}y. \tag{32}$$

The stiffness matrix  $\mathbf{K}$  and the load vector  $\mathbf{b}$  over each element are evaluated by using three-dimensional Gauss-Legendre quadrature on the limit representation of the interpolatory Catmull-Clark subdivision volume. For the evaluation at the sampling points of the Gauss-Legendre quadrature, direct computation with cubic uniform B-spline basis functions is used for regular elements; for irregular elements, an approach based on diagonalization of the subdivision matrix is used to evaluate subdivision volumes at arbitrary parameter values [Stam (1998); Burkhart et al. (2010)].

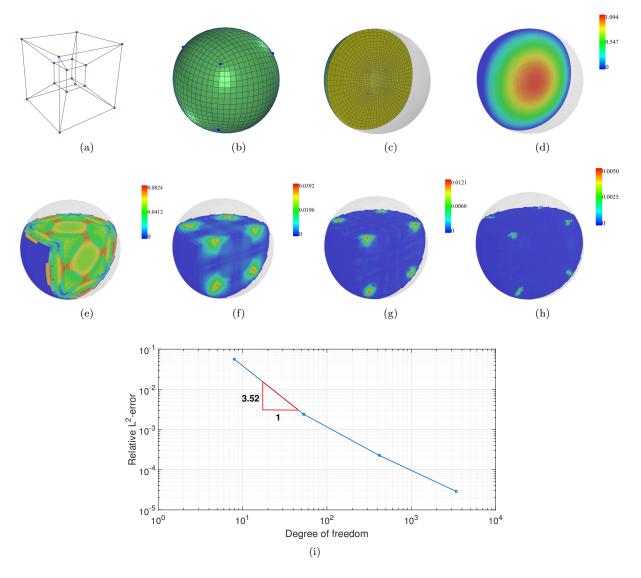


Figure 7: Convergence study on a sphere-like domain. (a): input control mesh; (b): interpolatory Catmull-Clark subdivision volume with the PIA method; (c):the interior view of (b); (d): reference solution to heat conduction problems; (e): error colormap of numerical solution on the level-0 subdivision volume; (f): error colormap of numerical solution on the level-1 subdivision volume; (g): error colormap of numerical solution on the level-2 subdivision volume; (h): error colormap of numerical solution on the level-3 subdivision volume; (i): convergence rate of relative  $L_2$  error vs the number of degrees of freedom.

For the convergence study of the IGA framework with interpolatory Catmull-Clark subdivision volumes, a heat conduction example of a sphere-like domain is presented in Figure 7. The initial level-0 hex-mesh in Figure 7(a) is subdivided three times to analyze the convergence, and the corresponding numerical error is computed with respect to a reference solution, which is solved with the level-4 hex-mesh as shown in Figure 7(d). After performing volumetric subdivision steps and IGA solving, we can find that the numerical error is concentrated in regions around irregular edges and vertices as shown in Figure 7(e)-7(h). Moreover, extraordinary edges and vertices also decrease the convergence rate as shown in Figure 7(i). The convergence rate is sub-optimal at 3.52 for the relative  $L_2$  error.

Figure 5(i)-5(j), Figure 8(j)-8(k) and Figure 9(f)-9(h) illustrate several isogeometric simulation results for heat conduction and linear elasticity problems on complex geometry respectively.

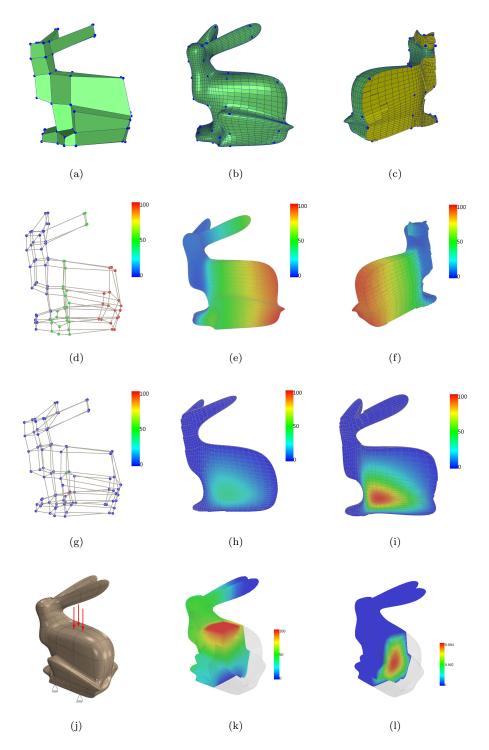


Figure 8: Bunny model. (a): The original mesh; (b): interpolatory volumetric subdivision result with the PIA method; (c): the interior view of (b); (d): the original control lattice with different material values, where blue, green and red correspond to 0, 50 and 100, respectively; (e) and (f): material distribution of the interpolatory subdivision solids by the PIA method; (g) the original control lattice with another set of material values at vertices; (h) material distribution of approximation Catmull-Clark subdivision volume with input (g); (i) material distribution of interpolatory Catmull-Clark subdivision volume with input (g); (j): boundary condition for linear elasticity simulation; (k): IGA results for linear elasticity simulation with boundary condition (j); (l): IGA results for heat conduction simulation.

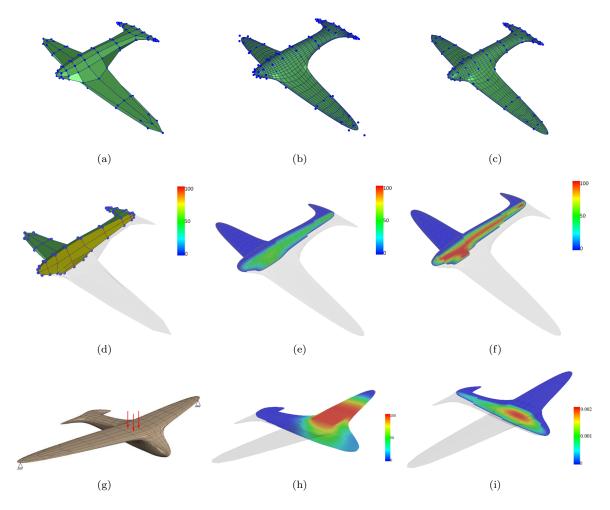


Figure 9: Airplane model. (a): Input control lattice; (b): Catmull-Clark subdivision volume after three subdivision steps; (c): interpolatory Catmull-Clark subdivision volume after three subdivision steps with the PIA method; (d): the original control lattice with different material values at vertices, where blue vertices have material value 0 and red vertices have material value 100; (e): material distribution of approximation Catmull-Clark subdivision volume after three subdivision steps; (f): material distribution of interpolatory Catmull-Clark subdivision volume after three subdivision steps; (g): boundary condition for linear elasticity simulation; (h): IGA results for linear elasticity simulation with boundary condition (g); (i): IGA results for heat conduction simulation.

## 7. Conclusion

With the help of limit point formula, two new methods are proposed to construct Catmull-Clark subdivision volumes that interpolate vertices of a given hex-mesh with arbitrary topology. The first method is based on the push-back operation, and the second method is based on progressive-iterative approximation with convergence proof. Some comparisons with examples are given, and applications in volumetric material modeling and isogeometric analysis are also presented to show the effectiveness of the proposed methods.

For the research on volumetric subdivision, there are still a few key issues to be addressed. Compared with subdivision surfaces, the extension of the subdivision scheme to higher dimensions is not trivial, such as the smoothness property and local-refinement theory, which will be our future research topics.

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