LMPC based online generation of more efficient walking motions
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Abstract—This paper proposes solutions to the relative lack of efficiency of walking trajectories generated online. Extensions of a previously introduced Linear Model Predictive Control law are proposed that allow to improve the walking performance through variation of the height of the CoM and toe flexion. Extensive simulations serve to demonstrate the capacity of the improved scheme to generate significantly more efficient walking motions.

I. INTRODUCTION

Various online walking motion generation schemes have been developed during the last decade that allow to control the displacement of biped robots [1][2][3][4][5][6]. Relying on linear dynamics, these schemes generate walking motions that lack by far the efficiency and naturalness of human motions. Like all of the approaches mentioned above, the Linear Model Predictive Control (LMPC) scheme that we introduced in [7] makes the assumptions that the motion of the Center of Mass (CoM) is limited to a horizontal plane and that the support foot does not rotate around the heel or the toe during the entire support phase, i.e. foothold is always held with the entire sole. To circumvent the resulting restrictions on the motion of the robot, the height of the CoM has to be reduced, leading to the walk with strongly bent knees that is characteristic to most humanoid robots today.

Biomechanical studies highlight a walking pattern that differs in several points from the one generated by these schemes:

(a) The support leg is kept straightened (although not completely straight) during the entire stance phase.
(b) Toe flexion extends the effective leg length at the end of single support phases.
(c) The height of the CoM follows a sinusoid-like curve with the lowest position in the middle of the double support phase and the highest in the middle of the single support phase.

We know that this pattern is energetically advantageous [8][9][10][11][12][13][14][15]. Little muscle force is needed to support the body if the knee is kept straightened, but overstating point (a) leads to stiff legs and inverted-pendulum-like walking motions that increase the vertical velocity at the end of single support phases [16] and consequently the impact forces together with the amount of positive and negative mechanical work that is necessary to redirect the Center of Mass during the double support phase. Both the production of positive and negative work requires energy expenditure in human muscles. The extension (b) of the stance leg during the redirection phase reduces this vertical velocity of the CoM together with the work that is necessary to redirect it to a new single support phase [17][18]. These two characteristics, almost straight-leg and toe flexion, are the major reasons for the sinusoid-like evolution (c) of the CoM.

Even if evidence exists that the above two strategies increase the efficiency and performance of human walking motions, the same conclusion cannot be directly applied to robots. Joint actuators might be capable of producing electric power from negative mechanical work and energy can be stored for longer periods than in the human body. However, straight leg walking obviously alleviates the load on the joints by reducing the velocities and torques, especially in the knees. And toe flexion allows increasing the achievable stride length, as well as the height of the CoM during the double support phase. Imitating the above features of the human walk is therefore likely to improve the performance of walking robots.

In this paper, we achieve the imitation of the above characteristics by our online trajectory generation scheme. In Section II, we show how variations of the CoM height can be achieved without losing the linearity of the horizontal motion equations. The proposed solution can be found in similar forms in [19][20][21], although either in a more restricting or a numerically less advantageous form. We address in Section III the geometric feasibility constraints that become important when the robot is walking with straightened legs. The offered solution is extended in Section IV to a criterion for a more efficient and versatile use of toes than what can be found in the literature, for example in [19][22][23][24][25].

II. VERTICAL MOTION OF THE COM

The motion of the CoM $c$ of a robot walking on a perfectly horizontal ground is linked to the position of the Center of Pressure (CoP) $z$ on the ground by the following nonlinear second order differential equation:

$$\ddot{c}^z + \frac{c^z}{c^2 + g} \dot{c}^z \cdot \dot{z} = 0$$

(1)

with $g$ the gravitational acceleration constant of $9.81 \text{m/s}^2$.

This equation is linear with respect to the horizontal motion $c^x$ and even Linear Time-Invariant (LTI) when the height $c^z$ of the CoM is fixed. In this case, we can define a Linear Receding Horizon Scheme (LRHS) where minimizing the deviation of the horizontal CoM velocity
\( \dot{c}^{x,y} \) with respect to a reference \( \dot{c}_{i,\text{ref}}^{x,y} \) over a short period of future time is enough to obtain stable and reactive walking motions [7]:

\[
\text{minimize } \sum_{i=1}^{N} (\dot{c}_{i}^{x,y} - \dot{c}_{i,\text{ref}}^{x,y})^2, \tag{2}
\]

with \( N \) the number of samples \( i \) with a fixed period of 100 ms considered over the duration of two steps.

Feasibility requirements on the contact forces can be considered by a set of inequalities on the position of the CoP:

\[
E_z(p_{j}^{\theta})(z_{i}^{x,y} - p_{i}^{x,y}) \leq e_z, \tag{3}
\]

which is linear with respect to \( z \) and the position \( p^{x,y} \) of the support foot on the ground, but where the matrix \( E_z(p^{\theta}) \) varies nonlinearly with respect to the orientation \( p^{\theta} \) of the support foot.

The feasibility of leg motions can be ensured in a similar way by inequalities on subsequent foot placements \( p_{j}, p_{j+1} \):

\[
E_p(p_{j}^{\theta})(p_{j+1}^{x,y} - p_{j}^{x,y}) \leq e_p, \tag{4}
\]

that prohibit crossing the feet (and the subsequent risk of self-collisions) as well as over-stretching the legs. More details about these formulas can be found in [7].

In what follows, we exploit the fact that when the height of the CoM varies as a predefined function of time \( t \rightarrow c^{z} \) equation (1) becomes Linear Time-Variant (LTV), what can be handled equally well with the same control scheme (cf. Section VI).

But obviously, predefining the vertical motion of the CoM must be done with care: Frequency and amplitude have to be synchronized with the step duration and length. With the periods of the different support phases fixed in advance, as already required in [7], the synchronization with the step sequence can be achieved easily. To obtain a desired gain in efficiency, however, the amplitude of the vertical motion has to be chosen depending on the stride length and the walking direction, making the use of heuristic adaptation strategies necessary. A database of predefined trajectories for such a heuristic adaptation scheme can be obtained with a comprehensive set of simulations, what we will do here in Section V.

### III. ENSURING GEOMETRIC FEASIBILITY

Geometric feasibility is an important requirement for walking motions. The constraints (4) allowed to avoid self-collisions and over-stretching of the legs but under very restrictive assumptions. When working with vertical motion of the CoM, they need a complete overhaul.

Given the position and orientation \( p \) of the support foot, we can define the set of reachable CoM positions in the Cartesian space:

\[
c \in C(p). \tag{5}
\]

In the following, we make the common assumption that when walking, the CoM of the robot is immobile with respect to the hip. A simple forward kinematics procedure taking into account only the kinematics of the support legs is then sufficient to compute this reachable set.

Figure 1 shows a sagittal cut through the set \( C(0) \) of the HRP-2 robot that has been computed in this way. Being nonlinear and nonconvex, this set cannot be considered directly inside our linear control scheme. The entire set, however, is not of equal interest for walking: Lower CoM positions lead to strongly flexed legs and consequently to high torques and high velocities in the knee joints, and the corresponding walk looks unnatural. We are interested therefore in CoM positions that lead to relatively stretched legs, i.e. the higher, convex part of the feasibility region (cf. Figure 1). Approximated by a convex polyhedron, this subset can be expressed by a set of inequalities linear with respect to the positions \( c^{x,y,z} \) and \( p^{x,y,z} \) of the CoM and support foot:

\[
E_c(p_{i}^{\theta})(c_{i}^{x,y,z} - p_{i}^{x,y,z}) \leq e_c, \tag{6}
\]

and nonlinear with respect to the support foot orientation \( p^{\theta} \). For the HRP-2 robot that we used to obtain the results in Section V, an accurate approximation of the feasible area could be achieved by a polyhedron with only ten faces.

Since the vertical motion of the CoM is predefined, this geometric constraint needs only be checked with respect to the horizontal motion. Now, looking at Figure 1, we can see that satisfying the inclusion (5) only at the beginning and end \( t_{ DS }^{-} \) and \( t_{ DS }^{+} \) of the support phase is sufficient to ensure that it is satisfied for the whole motion inbetween.

Finally, we obtain the following optimization problem:

\[
\text{minimize } \sum_{i=1}^{N} (\dot{c}_{i}^{x,y} - \dot{c}_{i,\text{ref}}^{x,y})^2 \tag{7}
\]

subject to

\[
t_i \in [t_1, t_N] \quad c_{i}^{x,y} - \frac{c_{i}^{x}}{c_{i}^{z}} g c_{i}^{x,y} = z_{i}^{x,y} \tag{8}
\]

\[
t_i \in [t_1, t_N] \quad E_z(p_{i}^{\theta})(z_{i} - p_{i}^{x,y}) \leq e_z \tag{9}
\]

\[
t_i \in [t_{ DS }^{-}, t_{ DS }^{+}] \quad E_p(p_{i}^{\theta})(p_{i+1}^{x,y} - p_{i}^{x,y}) \leq e_p \tag{10}
\]

\[
t_i \in t_{ DS }^{-} E_c(p_{i-1}^{\theta})(c_{i-1}^{x,y,z} - p_{i-1}^{x,y,z}) \leq e_c \tag{11}
\]

\[
t_i \in t_{ DS }^{+} E_c(p_{i+1}^{\theta})(c_{i}^{x,y,z} - p_{i+1}^{x,y,z}) \leq e_c. \tag{12}
\]

Note that the constraints (11) and (12) prohibit now the over-stretching of the legs, but constraints of the form (10) are still necessary to prevent self-collisions. The constraints (11) and (12) can be rotated in order to consider rotations of the feet during the double support phase observed in human walking, but for reasons of simplicity, we keep the more restrictive form (6).

### IV. PURPOSEFUL TOE FLEXION

As mentioned in the Introduction, straight legs alone do not lead to more efficient or natural looking motions. Although straightening the stance legs lowers the load on the joints and allows for a greater horizontal velocity, it also increases the vertical velocity of the height of the CoM at the beginning and end of its redirection phase around the
double support phase and therefore increases the required positive and negative mechanical work. This drawback can be circumvented by toe flexion at the end of the single support phase, what allows to increase the effective length of the stance leg at this period and thus reduce the vertical oscillation of the CoM. The question then is how to assure that the generated walking motions exhibit this feature, or equivalently, how to express the gain due to the use of toes in our walking generation scheme?

A. Compliant toes

Toe motions cannot be generated independently from the position of the CoP since toe support phases require the CoP to lie under the toe. In the case of unactuated compliant toe joints, the angle of toe flexion $p^\phi_T$ is even directly related to the position $z^x$ of the CoP relative to the sagittal position $p^x_T$ of the toe joint $p_T$:

$$p^\phi_T := \begin{cases} K(z^x - p^x_T) & \text{if } z^x \geq p^x_T, \\ 0 & \text{else}, \end{cases}$$

with $K$ the compliance of the spring in the joint.

But forcing the CoP to be under the toe in order to generate toe support phases will influence the motion of the CoM, following the dynamics (1), what may perturb the realization of other objectives such as walking with a desired speed or following a position reference of the CoM.

An elegant solution to this problem can be found by looking closer at the feasibility constraint (6) and how it evolves in case of a rotation of angle $p^\phi_T$ around the support toe:

$$E_c(p^\theta_T)R_c(-p^\phi_T)(c^{x,y,z} - p_T^{x,y,z}) \leq e_c,$$

where $R_c(-p^\phi_T)$ rotates the original constraints around the toe joint by the angle $p^\phi_T$, what is equivalent to a rotation of the CoM by the angle $-p^\phi_T$. Taking into account this rotation would allow our control scheme to relate toe flexion with the desired motion of the CoM.

In the upper part of Figure 2, we can see the evolution of the frontal part of this constraint for a given CoM position and a compliance $K$ such that a maximal toe angle of $45^\circ$ is reached when the CoP is at the tip of the toe. We can see that this constraint is nonlinear and non-convex, forbidding its direct inclusion in a linear control scheme. In the lower part of the figure, positions of the CoM relative to the center of the support foot at the end of the support phase, when toe flexion usually occurs, are plotted for several walking speeds and directions. The solid line shows that a linear approximation of the form (15) indicated by the solid diagonal line does not necessarily over-restrict the set of reachable CoM-positions, making beneficial use of toe flexion when walking forward while impacting backward walking.
constrain the motion of the CoM because of our linear approximation.

B. Active toes

On the contrary to passive toes, active toes can control their flexion independently from the position of the CoP, under the condition that it stays under the toe during the entire flexion. A classic inverse kinematic procedure is then sufficient to obtain full-body motion references. We propose however to keep in this case the previous approach for passive compliant toes as a guide to decide whether toe flexion should be realized and to what extent. The active toe only gives in some sense a more controlled way to realize the resulting desired toe motion.

Actuated toe joints introduce furthermore a kinematic redundancy to the legs, which offers the possibility to fulfill supplementary objectives. Similarly to what can be found for example in [19], we choose to minimize the norm of the leg joint velocities $\|\dot{q}_L\|$: 

$$\text{minimize} \quad \|\dot{q}_L\|^2$$

(16)

V. WALKING EFFICIENCY

To study the influence of extensions (6) and (15) on the efficiency of the robot motions, we have performed a series of dynamic simulations with varying walking speeds and vertical motions of the CoM (cf. Figure 4). The vertical motion $c^z$ in each simulation is the result of adjacent fifth order polynomials connecting predetermined vertical positions, velocities, and accelerations of the CoM at the transition instants $t_r$ at the beginning and end of single support phases, varying between the following bounds:

$$c^z_r \in [0.8, 0.9] \text{ m},$$
$$\|c^z_r\| \in [0, 0.1] \text{ m/s},$$
$$\ddot{c}^z_r = 0.$$  

(17)

The desired velocity $\dot{c}^z_{ref}$ of the CoM in the forward direction varies between the following bounds:

$$\dot{c}^z_{ref} \in [0.1, 0.8] \text{ m/s}.$$  

(18)

In each simulation, a HRP-2 robot is walking forward for 10 s, trying to realize the specified horizontal velocity for a given vertical evolution of the CoM. Every simulation is performed twice: only with the (static) polyhedral constraints (6), or with the toe variant (15). The resulting motions have been verified against the limitations of the robot, and the realizable motions have been recorded and analyzed.

Two simulated approximations of energy consumptions are considered:

$$\int_0^{10s} \|\tau(t)\|^2dt,$$  

(19)

$$\int_0^{10s} \|\tau(t)^T \dot{q}(t)\|dt.$$  

(20)

The first criterion reflects the ohmic drop in the joint motors by measuring the torques $\tau$ in the joint, and the second the mechanical work proportional to the joint velocities $\dot{q}$.

Contrary to the second criterion, the first one shows a considerable sensitivity to the variation of the parameters (17) of the vertical motion of the CoM, showing that a significant reduction of the torque load can be achieved by appropriate vertical oscillations. The observed difference between the two criteria is due to the fact that the first one is minimized by an equal distribution of joint torques, whereas the second rises proportionally to angular velocities and torques in every joint. Therefore, the vertical motion alone does not affect the total amount of mechanical work to a considerable extent.

This is different for the use of toes which occurs for higher CoM positions $c^z_r$, but especially for horizontal CoM velocities above 0.3 m/s. There again, however, the reduction is significantly stronger for the first criterion, as the use of toe joints lowers the torque peaks in other joints of the stance leg. We can expect to reduce significantly the mechanical work (20) when using passive toes, what however, we have not addressed yet in more details.

On the contrary, both criteria show that the inclusion of toe motions inside our scheme can allow for a decrease in energy consumption for a wider range of displacement velocities if the vertical motions have been chosen properly. The strong fluctuation of the minima in the upper graph of Figure 4 shows, however, that with the chosen range and resolution of boundary conditions (17) this has not been achieved for all realized average velocities. A greater number of simulations is needed to complete these results and to provide a more comprehensive basis for the adaptation scheme mentioned in Section II.

![Fig. 3. Attained sagittal CoM velocities with (solid red) and without (dashed black) the use of toes and polyhedral constraints for a given velocity reference (dashed green).](image)
manages to reduce the displacement velocity. The polyhedral constraints restrict the set of achievable CoM and foot positions such that only geometrically feasible trajectories are generated. It is, last but not least, for this reason that these constraints constitute an important completion of our trajectory generation scheme. By rendering it more robust to unrealizable references they enforce its most valuable property: The feasibility requirements are always respected, even if it means not to realize a desired motion.

VI. COMPARISON WITH RELATED WORK

Similar approaches for achieving vertical variations of the CoM can be found in [19][20][21]. There however, the right hand side of Equation (1) is predefined prior to the resolution of the ODEs. The consequence is that no analytical solution can be given for arbitrary vertical variations of the Center of Mass so that numerical integration methods have to be employed or violations of boundary conditions accepted. In our case, CoP, CoM, and foot motions are functions of the control vector, therefore allowing for a seamless implementation of predefined vertical CoM trajectories.

Important consequences of predefining only the vertical motion as a function of time are that, first, only the stepping sequence and not the foot positioning has to be synchronized with the motion of the CoM, and second, the additional computational load is relatively low since the control scheme is kept linear. Approaches predefining the 3D geometry of the motion of the CoM as in [26] lack both of these advantages.

By taking the robot’s true geometric limitations into account, the feasibility constraints introduced in this paper allow the robot to operate closer to its limitations, make longer steps, or walk with a higher Center of Mass. The same constraints serve as a criterion for the use of toes. So far, all related approaches lack such a criterion and require predetermined strategies. All control schemes in the literature relate the evolution of the toe angle to predetermined torque trajectories, either directly generating a rotation as soon as the CoP crosses the toe joint [19][24][25], or simply ‘accepting’ a rotation when passive toes are used [22][23]. In no case, the trajectory of the CoP is adapted online to allow for a desired configuration of the robot.

VII. CONCLUSION

Reproducing characteristics of the human walk can improve the walking performance of robots. The combination of both the natural vertical motion of the CoM and the use of toes allows to reduce the load on the leg joints and to augment the walking speed. As an essential element of this paper, polyhedral constraints on the position of the CoM allow first to assure the geometric realizability of the CoM and leg motions, and second, to consider the use of toes inside the preview of walking trajectories.

In this paper, vertical motions of the CoM have been given prior to the generation of horizontal trajectories, but the question of how to adapt the vertical variations such that natural and efficient motions can always be obtained has not been answered. More experimental work is necessary to find appropriate adaptation rules. However, we can expect not to find an optimal solution for every situation and the computation of three-dimensional walking trajectories by a unified, nonlinear approach might be necessary to give the biped robot walking the efficiency of the human gait.