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# Symbolic Bisimulation for Open and Parameterized Systems - Extended version

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RESEARCH REPORT

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Project-Team Kairos



# Symbolic Bisimulation for Open and Parameterized Systems - Extended version

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Project-Team Kairos

**Abstract:** Open Automata (OA) are symbolic and parameterized models for open concurrent systems. Here open means partially specified systems, that can be instantiated or assembled to build bigger systems. An important property for such systems is "compositionality", meaning that logical properties, and equivalences, can be checked locally, and will be preserved by composition. In previous work, a notion of equivalence named FH-Bisimulation was defined for open automata, and proved to be a congruence for their composition. But this equivalence was defined for a variant of open automata that are intrinsically infinite, making it unsuitable for algorithmic treatment. We define a new form of equivalence named StrFH-Bisimulation, working on finite encodings of OAs. We prove that StrFH-Bisimulation is consistent and complete with respect to the FH-Bisimulation.

Then we propose two algorithms to check *StrFH-Bisimulation*: the first one requires a (user-defined) relation between the states of two finite OAs, and checks whether it is a StrFH-Bisimulation. The second one takes two finite OAs as input, and builds a "weakest StrFH-bisimulation" such that their initial states are bisimilar. We prove that this algorithm terminates when the data domains are finite. Both algorithms use an SMT-solver as a basis to solve the proof obligations.

Key-words: Concurrent Systems, Symbolic Bisimulation, Open Systems, SMT Solver

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# Bisimulation symbolique pour les systèmes paramétrés ouverts - Version étendue

**Résumé**: Les *Automates Ouverts* (OA) sont des modèles symboliques et paramétrés pour les systèmes concurrents ouverts. Ici, *open* désigne des systèmes partiellement spécifiés, qui peuvent être instanciés ou assemblés pour construire de plus grands systèmes. Une propriété importante pour de tels systèmes est la "compositionnalité", ce qui signifie que les propriétés logiques et les équivalences peuvent être vérifiées localement et seront préservées par la composition. Dans des travaux antérieurs, une notion d'équivalence nommée *FH-Bisimulation* était définie pour les automates ouverts et se révélait être une congruence pour leur composition. Mais cette équivalence a été définie pour une variante des automates ouverts intrinsèquement infinis, ce qui la rend impropre au traitement algorithmique.

Nous définissons une nouvelle forme d'équivalence nommée *StrFH-Bisimulation*, travaillant sur des encodages finis des OA. Nous prouvons que la StrFH-Bisimulation est cohérente et complète pour la FH-Bisimulation.

Ensuite, nous proposons deux algorithmes pour vérifier la *StrFH-Bisimulation*: le premier nécessite la donnée d'une relation (définie par l'utilisateur) entre les états de deux OA finis, et vérifie s'il s'agit d'une StrFH-Bisimulation. Le second prend deux OA finies en entrée et construit une "StrFH-bisimulation la plus faible" telle que leurs états initiaux soient bisimilaires. Nous prouvons que cet algorithme se termine lorsque les domaines de données sont finis. Les deux algorithmes utilisent un solveur SMT comme base pour résoudre les obligations de preuve.

Mots-clés: Systèmes concurrents, Bisimulation Symbolique, Systèmes ouverts, Solveur SMT

#### 1 Introduction

How to reduce the state space of system models, being a challenging area of formal verification, attracts the interest of many researchers approaching this problem from different angles.

Some approaches focus on the structure of the system models. This is the case with the proposal of open systems, which provide a way to represent incomplete systems, like parallel skeletons, or process algebra operators. The idea is to define (small) open systems for which you can prove crucial properties, then assemble these systems to build full applications, while preserving the properties.

Some approaches are dealing with semantics of the system models in a symbolic way. This provides a way to use abstract (parameterized) terms to describe the states or transitions, manipulating explicitly potentially unbounded data domains, and thus decreasing drastically the model size.

Bisimulation is also an important approach, in which attention on the equivalence between different systems, allows for hierarchical model minimization, enhancing the practical capabilities for further analysis, typically model-checking.

The approach of [1] offers a methodology using open, symbolic, and parameterized models, endowed with a notion of symbolic bisimulation. It defines a new behavioural specification formalism called "Open parameterized Networks of Synchronized Automata (pNet)" for distributed, synchronous, asynchronous or heterogeneous systems. The pNet model has a hierarchical and tree-like structure that gives it a strong ability for describing and composing complex systems. The symbolic and open aspects of pNets give them the potential to use small state space to represent large systems. Figures 1 show examples of pNets inspired from [1], and that we will use as running examples in this paper. We won't go here into the details of the pNet model, because each pNet will generate a corresponding open automaton as it's operational semantics, and all the further analysis and verification are defined on this open automaton.

Current work define open automata with some *semantic* flavor, in which each automaton has an interesting "Closure" property under substitution. These *Semantic Open Automata* are endowed with a notion of symbolic bisimulation called *FH-Bisimulation*, and it is proved in [2] that this equivalence is a congruence for pNet composition, that allows for compositional verification methods. But this definition cannot be used as such in algorithms and tools, because all the semantic open automaton are infinite, according to their closure property.

Another important inspiration for our work was from the seminal research in [3] on Symbolic Transition Graphs with Assignments (STGA). STGA share with open automata the ability to manipulate explicitly symbolic expressions in transitions, guards and assignments. They are endowed with a notion of symbolic bisimulation, and [4] defined an "On-the-fly" algorithm for computing this bisimulation, although this was limited to *data-independent* systems. Also STGAs were addressing only closed systems, so open automata are significantly different.

Our main goal in this work is to define an alternative bisimulation relation, suitable for finitely represented open automata, as can be generated from the semantic rules of open pNets, and to define algorithms checking or generating this relation.

Our main contributions in this paper are the following:

Contribution 1: We propose a new *structural* Bisimulation equivalence called StrFH-Bisimulation between open automata that are not necessarily closed under substitution. This allows us to address the finite systems that can be computed from pNets. We define a correspondence between such "finite" and "semantic" open automata, and prove that StrFH-Bisimulation is correctly and completely corresponding to FH-Bisimulation. Thus, we generalize the interesting properties of FH-Bisimulation to StrFH-Bisimulation. Details of this Bisimulation equivalence and its properties are in section 3.

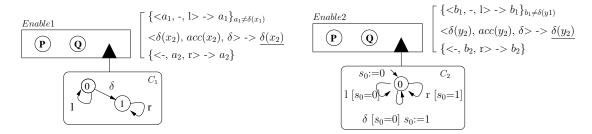


Figure 1: Two pNet encodings for Enable

Contribution 2: The StrFH-Bisimulation we mentioned above, is defined between a kind of open and symbolic systems with parameterized action, value-passing and assignments, all these features will bring great challenges. Our second contribution is proposing an SMT-solver based algorithm that can check if a relation is a StrFH-Bisimulation between input automata. The details of this approach are in Section 4.

Contribution 3: We go further than above approach, and devise a new *on-the-fly* algorithm that generates a weakest StrFH-Bisimulation between two given open automata. We prove that the result of the algorithm is correct and indeed the weakest. The algorithm terminates whenever both the (symbolic) open-automata and the data-domains are finite. Details of this algorithm are in Section 5. Note that this is definitely better than the "data-independence" constraint of the STGA algorithm. If used in association with some abstract interpretation of the data domains, we can address a large set of infinite systems.

# 2 Background

#### 2.1 Notations

#### 2.1.1 Term algebra

Our models rely on a notion of parameterised actions, that are symbolic expressions using data types and variables. As our model aims at encoding the low-level behaviour of possibly very different programming languages, we do not want to impose one specific algebra for denoting actions, nor any specific communication mechanism. So we leave unspecified the constructors of the algebra that will allow building expressions and actions. Moreover, we use a generic action interaction mechanism, based on (some sort of) unification between two or more action expressions, to express various kinds of communication or synchronisation mechanisms.

Formally, we assume the existence of a term algebra  $\mathbb{T}$ , where  $\Sigma$  is the signature of the data and action constructors. Within  $\mathbb{T}$ , we distinguish a set of expressions  $\mathbb{E}$ , including a set of boolean expressions  $\mathbb{E}$  ( $\mathbb{B} \subseteq \mathbb{E}$ ). We let  $e_i$  range over expressions ( $e_i \in \mathbb{E}$ ). On top of  $\mathbb{E}$  we build the action algebra  $\mathbb{A}$ , with  $\mathbb{A} \subseteq \mathbb{T}$ ,  $\mathbb{E} \cap \mathbb{A} = \emptyset$ ; naturally action terms will use data expressions as subterms.

Let a range over action labels, op be operators, and  $x_i$  range over variable names.

The set of actions is defined as:

```
\alpha \in \mathbb{A} ::= a(p_1, \dots, p_n)
                                              action terms
    p_i ::= ?x \mid e_i
                                              parameters
                                              put variable
                                              expression)
    e_i ::= Value \mid x \mid op(e_1, ..., e_n)
                                              Expressions
```

The *input variables* in an action term are those marked with the symbol?. We additionally assume that each input variable does not appear somewhere else in the same action term:  $p_i$  $?x \Rightarrow \forall j \neq i. x \notin vars(p_i)$ 

Bound variables are the variables quantified by a quantifier  $\forall$  or  $\exists$ , and other variables are free variables. The function vars(t) identifies the set of free variables in a term  $t \in \mathbb{T}$ , and iv(t) returns the name of its input variables (without the '?' marker). Action algebras can encode naturally usual point-to-point message passing calculi (using  $a(?x_1,...,?x_n)$ ) for inputs,  $a(v_1,...,v_n)$  for outputs), but it also allows for more general synchronisation mechanisms, like gate negotiation in Lotos, or broadcast communications.

#### 2.1.2Substitutions

We denote  $(x_k \leftarrow e_k)^{k \in K}$  a substitution, also ranged by  $\sigma$ , where  $(x_k)^{k \in K}$  is a set of variables and  $(e_k)^{k \in K}$  is a set of expressions. The application of the substitution to an expression is denoted  $e'\{(x_k \leftarrow e_k)^{k \in K}\}$ , the operation replaces in parallel all free occurrences of the variables  $x_k^{k \in K}$  by the expression  $e_k^{k \in K}$  in e'. We define  $dom(\sigma)$  as the domain of substitution  $\sigma$ , ranged by variables, and  $codom(\sigma)$  the set of variables in the right hand side expressions in  $\sigma$ . For example, if  $\sigma = (x \leftarrow e_1, y \leftarrow e_2)$  then  $dom(\sigma)$  denotes the variable set  $\{x, y\}$ , and  $codom(\sigma)$  is  $vars(e_1) \cup vars(e_2)$ .

We write  $\forall$  the union operator between substitutions. Suppose  $\sigma_1$  and  $\sigma_2$  are two substitutions, then  $\{\sigma_1 \uplus \sigma_2\}$  means applying the substitution  $\sigma_1$  and  $\sigma_2$  parallel. If there are conflict between  $\sigma_1$  and  $\sigma_2$ , preference is given to the substitution in  $\sigma_1$ . Formally, suppose a substitution  $\sigma_{inter}$  where  $dom(\sigma_{inter}) = dom(\sigma_1) \cap dom(\sigma_2)$  and  $\sigma_{inter} \subseteq \sigma_2$ , we have:  $\{\!\!\{\sigma_1 \uplus \sigma_2\}\!\!\} = \{\!\!\{\sigma_1 \uplus (\sigma_2 \setminus \sigma_{inter})\}\!\!\}.$ 

We write  $\otimes$  the application operator between substitutions, which means apply the second substitution to the first. Formally:  $(x_k \leftarrow e_k)^{k \in K} \otimes \sigma = (x_k \leftarrow e_k \{ \sigma \})^{k \in K}$ .

We write ⊙ the composition operator between substitutions. When there are two substitution applied sequentially, we can use this operator to compose these two substitutions as one substitution. Formally,  $e'\{\sigma_1 \odot \sigma_2\} = (e'\{\sigma_1\})\{\sigma_2\}$ Supposing  $\sigma = (x_k \leftarrow e_k)^{k \in K}$ , it's easy to see we can derive that:

$$(\sigma \otimes \sigma_1) \otimes \sigma_2 = (x_k \leftarrow e_k \{ \sigma_1 \})^{k \in K} \otimes \sigma_2$$
$$= (x_k \leftarrow e_k \{ \sigma_1 \} \{ \sigma_2 \})^{k \in K}$$
$$= (x_k \leftarrow e_k \{ \sigma_1 \odot \sigma_2 \})^{k \in K}$$
$$= \sigma \otimes (\sigma_1 \odot \sigma_2)$$

#### Valuation

For a set  $V = (x_k)^{k \in K}$  of variables, we denote  $\rho(V) = (x_k \leftarrow v_k)^{k \in K}$  a valuation defined on V, where  $v_k$  is an element in data domain of  $x_k$ . It's easy to see that a valuation is a specific substitution.

For example, let fv be a set of free variables  $fv = \{x, y\}$  with x an Integer variable and y a Boolean variable, then  $\{x \leftarrow 1, y \leftarrow True\}$  is a valuation defined on fv.

#### 2.2 Open Automaton

Open Automata[2] are semantic symbolic models representing the operational semantics of open data-dependant systems. In[2] a set of structural operational semantics rules is introduced, which defines the behavioural semantics of Open pNets in terms of Open Automata.

Each open automaton consists of a set of *States* and a set of *Open Transitions*, each with its (disjoint) set of *state variables*, and *Open Transitions* relates the behavior of holes (encoding the environment) with the behavior of the system. This section will show the formal definition of an open automaton.

**Definition 2.1.** Open Automaton: An open automaton is a structure  $A = \langle J, \mathcal{S}, s_0, \mathcal{T} \rangle$  where:

- *J* is a set of holes indices,
- S is a set of states and  $s_0$  is a state among S,
- $\mathcal{T}$  is a set of open transitions and for each  $t \in \mathcal{T}$  there exists J' with  $J' \subseteq J$ , such that t is an open transition over J' and S.

**Definition 2.2.** Open Transition: An open transition of an open automaton  $\langle J, \mathcal{S}, s_0, \mathcal{T} \rangle$  is a structure of the form

$$\underbrace{\beta_j^{j \in J'}, \ Pred, \ Post}_{s \xrightarrow{\alpha} s'}$$

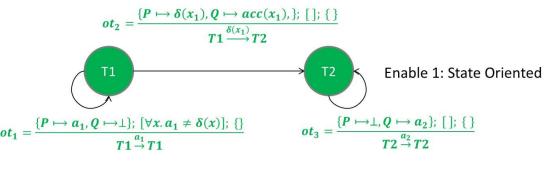
where  $J' \subseteq J$ ,  $s, s' \in \mathcal{S}$  and  $\beta_j$  is an action of the hole j. Holes (represented above by their indices) are used to represent unspecified subsystems, that are parts of the environment. These unspecified subsystems can have any possible behaviour, but their interaction with the system is specified by the predicate Pred.  $\alpha$  is an action expression denoting the resulting action of this open transition. We call source variables of an OT the union of all variables in the terms  $\beta_j$  and  $\alpha$ , and the state variables of s. Pred is a predicate over the source variables. Post is a set of variable substitutions represented as  $(x_k \leftarrow e_k)^{k \in K}$  where  $x_k$  are state variables of s', and  $e_k$  are expressions over the source variables. Open transitions are identified modulo logical equivalence on their predicate. In the algorithms, this will be checked using a combination of predicate inclusions.

Suppose OT is an open transition starting from state s to t. We denote the set of all the free variables in open transition OT as vars(OT), and vars(s) is set of all the state variables of state s. Last,  $fv_{OT}$  denotes the set of variables in the open transition OT besides all the state variables. More precisely,  $fv_{OT} = vars(OT) \setminus (vars(s) \cup vars(s'))$ .

These definitions will be widely used in subsequent sections.

Figure 2 shows two open automata generated by the pNets in Figure 1. Here we don't need to figure out the process of this generation, and just need to understand that, for any system modeled by a pNet, we can generate an open automaton, representing the behavioral semantics of the original pNet, and any property we proved on this open automaton is also owned by original pNets.

This provides us a clear way to verify the property of systems. We can use some open datadependent modeling language, like pNet, which are more readable and easier to use, to model



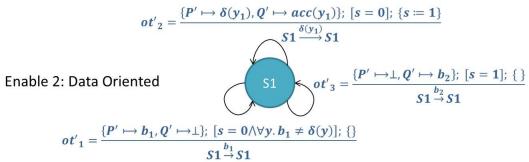


Figure 2: The 2 open automata derived from pNets in Fig. 1

the systems we are interested in. Then, we can use some operational rules to construct an open automaton, which is less readable but easier for operation and verification, from the original system we modeled.

#### 2.3 Semantic Open Automata

Previous researches were taking a semantics and logical understanding of these automata: Semantic Open Automata are closed under a simple form of refinement that allows to refine the predicate, or substitute any free variable by an expression. Formally:

**Definition 2.3.** *Semantic Open Automata:* A semantic open automaton is an open automaton oa =  $\langle J, S, init, T \rangle$ , such that for any open transition ot  $\in T$ :

Let  $\sigma$  be any substitution such that  $dom(\sigma) \cap (vars(s) \cup vars(s')) = \emptyset$ , let pred be any predicate on vars(ot), then

$$\beta\{\sigma\}, Pred\{\sigma\} \land pred\{\sigma\}, \ Post \otimes \sigma$$

$$s \xrightarrow{\alpha\{\sigma\}} s'$$

In fact, the reason why this definition deserves our attention is, it's closely related to the nature of symbolic systems. In semantics, symbolic attribution implies each variables in system can represent a huge set of values. In terms of it, any open transition in an open automaton, can represent large sets of *ground open transitions*, in which all the variables are valuated with constants, as long as these constants satisfy the predicate of original transition. Thus, thanks

to the above "closure" definition, for any open transition OT in a semantic open automata oa, not only OT can represent large sets of ground open transitions, but also for any subset of these ground open transitions, one can always find an open transition OT' in oa, which can represent this subset.

With this definition, researchers defined a relation between semantic open automata, called FH-Bisimulation [2], which have an interesting "Composability" property with respect to pNet composition, allowing compositional reasoning on open systems.

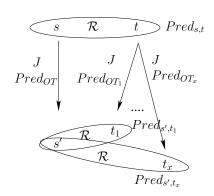
#### 2.4 FH-Bisimulation

Bisimulations are equivalence relations between transition systems, where systems behave in the same way in the sense of one system simulates the other, in terms of the *actions* they do, not of their internal state.

FH-Bisimulation is a kind of strong bisimulation relation between *Semantical Open automata*, introduced in . FH-Bisimulation can be formally defined in the following way:

#### Definition 2.4. FH-Bisimulation:

Suppose that  $A_1 = \langle J, \mathcal{S}_1, init_1, \mathcal{T}_1 \rangle$  and  $A_2 = \langle J, \mathcal{S}_2, init_2, \mathcal{T}_2 \rangle$  are two semantic open automata with identical activated holes, with disjoint state variables. Then  $\mathcal{R}$  is an FH-Bisimulation iff for any triple  $(s, t|Pred_{s,t}) \in \mathcal{R}$  where  $s \in \mathcal{S}_1 \land t \in \mathcal{S}_2$ , we have the following:



• For any open transition  $OT \in \mathcal{T}_1$  starting from s

$$\underbrace{\beta_j^{j \in J'}, \ Pred_{OT}, \ Post_{OT}}_{s \xrightarrow{\alpha} s'}$$

there exists a set of open transitions  $OT_x^{x \in X} \subseteq \mathcal{T}_2$  starting from t

$$\frac{\beta_{j_x}^{j_x \in J_x'}, \ Pred_{OT_x}, \ Post_{OT_x}}{t \xrightarrow{\alpha_x} t_x'}$$

such that  $J' \subseteq J$ ,  $J'_x \subseteq J$ ,  $\forall x.J' = J'_x$ ,  $\{s', t'_x | Pred_{s',t'_x}\} \in \mathcal{R}$  and

$$Pred_{s,t} \wedge Pred_{OT} \Longrightarrow$$

$$\bigvee_{x \in X} (\forall j \in J'.\beta_j = \beta_{j_x} \land \alpha = \alpha_{j_x} \land Pred_{OT_x} \land Pred_{s',t'_x} \{ Post_{OT} \uplus Post_{OT_x} \} )$$

that means each open transition starting from s in  $\mathcal{T}_1$  can be covered by a set of transitions  $OT_x^{x \in X}$  starting from t in  $\mathcal{T}_2$ .

• and symmetrically, for any open transition starting from t in  $\mathcal{T}_2$ , should be covered by a set of transitions starting from s in  $\mathcal{T}_1$ .

The word *covered* here means that each ot on one side, representing symbolically a set of "ground" transitions, can be covered on the other side by several open transitions on the other sides, each implementing transitions for a subset of ot instantiations, and eventually leading to different though still equivalent, states.

The (slightly complicated) implication means that for each instantiation fulfilling  $Pred_{s,t} \wedge Pred_{OT}$ , it is possible to find an instantiation of one of the corresponding  $OT_x$ , satisfying the corresponding property.

It maybe a little unclear also why we need  $Pred_{s,t}$  in Triples. In fact, recall the name of FH-Bisimulation, here "FH" is a short cut of "Formal Hypotheses", showing the fact that FH-Bisimulation is a relation based on a certain hypotheses. Thus,  $Pred_{s,t}$  in Triples is a formal way to describe this hypotheses, and in particular express the relations between the state variables of the two open automata.

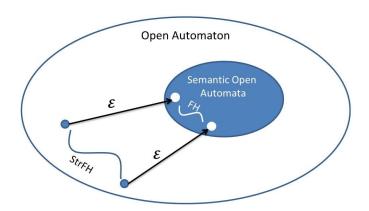
In [2]'s work, it is proved that FH-Bisimulation is an equivalence, which is reflexive, symmetric and transitive. And as mentioned before, FH-Bisimulation also has a powerful property of *Composability*: Given two semantic open automata such that there exist a FH-Bisimulation between them, if we compose these two automata with another semantic open automata (technically, using an open pNet), then the two result automata is still FH-Bisimulation.

And that's an important motivation of our work. Obviously, any semantic open automaton is infinite, thus it's hard to do any verification of FH-Bisimulation on semantic open automata. What we want, is to generalize this FH-Bisimulation to a relation between all the open automata, not only between the "infinite" subset of open automata, and thus it's become possible for us to do any verification on this relation. At next section, we will discuss how we do that.

#### 3 StrFH-Bisimulation

As mentioned before, the *closure* property of semantic open automata bring the important composability properties, but also does not allow implementing FH-Bisimulation algorithms between them. So we want to generalize this FH-Bisimulation to a relation between all the open automata, including the finite ones generated from the pNets semantic rules[2].

In this section, we first give the definition of our new relation StrFH-Bisimulation, which is defined between open automata. Then we define an Expansion function  $\mathcal{E}$ , such that for any open automata we can build a corresponding semantic open automata. Finally, with the above definitions, we will show how our relation is consistent and complete with respect to the FH-Bisimulation. From following figure, we can easily have a first intuition about what all these definitions are:



#### 3.1 StrFH-Bisimulation

We provide a new relation, which is between open automata, called StrFH-Bisimulation, defined formally as:

#### **Definition 3.1.** StrFH-Bisimulation: Let $StrA_1 = \langle J, S_1, J, J \rangle$

 $init_1, \mathcal{T}_1 \rangle$  and  $StrA_2 = \langle J, \mathcal{S}_2, init_2, \mathcal{T}_2 \rangle$  be two open automata with identical activated holes, and disjoint state variables. Then  $\mathcal{R}$  is an StrFH-Bisimulation iff for any triple  $(s, t|Pred_{s,t}) \in \mathcal{R}$ , where  $s \in \mathcal{S}_1 \wedge t \in \mathcal{S}_2$  and we have the following:

• For any open transition  $OT \in \mathcal{T}_1$  starting from s

$$\underbrace{\beta_j^{j \in J'}, \, Pred_{OT}, \, Post_{OT}}_{s \xrightarrow{\alpha} s'}$$

there exist a set of open transitions  $OT_x^{x \in X} \subseteq \mathcal{T}_2$  starting from t

$$\frac{\beta_{j_x}^{j_x \in J_x'}, \ Pred_{OT_x}, \ Post_{OT_x}}{t \xrightarrow{\alpha_x} t_x'}$$

such that  $J'_x \subseteq J$ ,  $\forall x.J' = J'_x$ ,  $\{s', t'_x | Pred_{s',t'_x}\} \in \mathcal{R}$  and

$$\forall fv_{OT}. \{ Pred_{s,t} \land Pred_{OT} \Longrightarrow \bigvee_{t \in T' \ \beta_{s,t} = \beta_{s,t} \land A_{s,t} } (\forall i \in T' \ \beta_{s,t} = \beta_{s,t} \land A_{s,t} \}$$

$$\bigvee_{x \in X} [\exists f v_{OT_x}. (\forall j \in J'. \beta_j = \beta_{j_x} \land \alpha = \alpha_{j_x})]$$

$$\wedge \operatorname{Pred}_{OT_x} \wedge \operatorname{Pred}_{s',t'_x} \{ \operatorname{Post}_{OT} \uplus \operatorname{Post}_{OT_x} \} ) ] \} \quad (1)$$

that means open transition start from s in  $\mathcal{T}_1$  can be covered by a set of transitions  $OT_x^{x \in X}$  starting from t in  $\mathcal{T}_2$ 

• and symmetrically, for any open transition starting from t in  $\mathcal{T}_2$  can be covered by a set of transitions starting from s in  $\mathcal{T}_1$ .

There may be another confusing term here:  $\forall fv_{OT}.\varphi$ , for a set of variables  $fv_{OT}$ . This means that for any valuation of all the variables in  $fv_{OT}$ , the inside formula is true. For example, for an open transition OT, if  $fv_{OT} = \{x, y, z\}$ , then  $\forall fv_{OT}.\varphi$  means  $\forall x, y, z.\varphi$ .

#### 3.2 Expansion

Here, we define a Expansion function  $\mathcal{E}$ , such that for any open automaton, we can use this function to get a corresponding semantic open automaton.

**Definition 3.2.** Expansion: Let OA be the set of all open automata, then we define an Expansion Function  $\mathcal{E}$ :

$$\mathcal{E}: OA \to OA$$

where for any open automata  $A = \langle J, \mathcal{S}, s_0, \mathcal{T} \rangle$ ,  $\mathcal{E}(A) \in OA$ , we can have  $\mathcal{E}(A) = \langle J, \mathcal{S}, s_0, \mathcal{T}' \rangle$ . The only difference between A and  $\mathcal{E}(A)$  is on transitions set, where  $\mathcal{T}'$  is the smallest set of open transitions such that: for any open transition  $OT \in \mathcal{T}$ 

$$\frac{\beta_j^{j \in J}, \ Pred_{OT}, \ Post_{OT}}{s \xrightarrow{\alpha} s'}$$

let's denote

$$OT\{\sigma, pred\} = \frac{\beta_j^{j \in J}\{\sigma\}, \ Pred\{\sigma\} \land pred\{\sigma\}, \ Post \otimes \sigma}{s \xrightarrow{\alpha\{\sigma\}} s'}$$

Then for any substitution  $\sigma$  where  $dom(\sigma) \subseteq fv(OT)$ , for any additional predicate pred, we have:

$$OT\{\sigma, pred\} \in \mathcal{T}'$$

Obviously, in this definition, after applying function  $\mathcal{E}$ , the result we have is an open automaton. The following theorem states that this result  $\mathcal{E}(A)$  is a semantic open automaton.

**Theorem 3.3.** For any open automaton A, the expanded open automaton  $\mathcal{E}(A)$  is a semantic open automaton.

We give a formal proof for this theorem in Appendix A. Briefly, the proof will show that all the open transitions in the open automaton generated by Expansion Function, meet the "Closure" property of Semantic Open Automata.

**Note:** by construction, the states of  $\mathcal{E}(A)$  are same as the states of A. As a consequence, all relations we construct as triple sets  $\mathcal{R} = \{(s,t|Pred_{s,t})\}$ , are both relations on A and  $\mathcal{E}(A)$ .

#### 3.3 Correct Correspondence

Here we want to prove that StrFH-Bisimulation correctly corresponds to FH-Bisimulation, as expressed by:

#### Theorem 3.4. Correctness

For any two open automata  $A_1$  and  $A_2$ , construct the two corresponding semantic open automata  $\mathcal{E}(A_1)$  and  $\mathcal{E}(A_2)$ . Then we have: Suppose Triple Set  $\mathcal{R}$  is a StrFH-Bisimulation between  $A_1$  and  $A_2$ , then  $\mathcal{R}$  is also a FH-Bisimulation between  $\mathcal{E}(A_1)$  and  $\mathcal{E}(A_2)$ .

We give a formal proof for Theorem 3.4 in Appendix B.1. Briefly, the intuition of the proof is: for any open transition OT' in  $\mathcal{E}(A_1)$ , supposing it's original open transition is OT in  $A_1$ , we know that OT can be covered with a set of open transition  $OT_x^{x \in X}$  in  $A_2$ . From this set, We can always construct a set of ground open transitions  $\{OT'_{x,y}\}^{x \in X} \land y \in Y$  belonging to  $\mathcal{E}(A_2)$  such that this set of open transitions covers the OT'. And symmetrically, for any open transition in  $\mathcal{E}(A_2)$ .

#### 3.4 Complete Correspondence

Here we want to prove that StrFH-Bisimulation completely corresponds to FH-Bisimulation, as expressed by:

#### Theorem 3.5. Completeness

For any two open automata  $A_1$  and  $A_2$ , construct the two corresponding semantic open automata  $\mathcal{E}(A_1)$  and  $\mathcal{E}(A_2)$ . Then we have: Suppose Triple Set  $\mathcal{R}$  is a FH-Bisimulation between  $\mathcal{E}(A_1)$  and  $\mathcal{E}(A_2)$ , then  $\mathcal{R}$  is also a StrFH-Bisimulation between  $A_1$  and  $A_2$ .

We give a formal proof for Theorem 3.5 in Appendix B.2. The intuition of the proof is: for any open transition OT in  $A_1$ , applying any (ground) valuation  $\rho_i$  defined on  $fv_{OT}$  to OT, the result can be represented by an open transition  $OT'_i$ , which belongs to  $\mathcal{E}(A_1)$ . We know that  $OT'_i$  can be covered by a set of open transitions  $\{OT'_{x,i}\}^{x \in X}$  in  $\mathcal{E}(A_2)$ , corresponding to open transitions  $\{OT_{x,i}\}^{x \in X}$  in  $A_2$  (may contain duplicate open transition). Collecting all the open transitions  $\{OT_{x,y}\}^{x \in X}$  constructed with each valuation  $\rho_y$ , and we can finally get a larger set  $\{OT_{x,y}\}^{x \in X \land y \in Y}$ . We will prove OT is covered by this larger set. And symmetrically for any open transition in  $A_2$ .

#### 3.5 Running Example

Recall the two open automata in Figure 2, both automata are generated from enable model in Figure 1, respectively by pNets Enable1 and Enable2. It's easy to see, the former automaton, we name it as  $A_1$ , uses different states to represent before and after activation (the  $\delta$  transition); and the latter automaton, we name it as  $A_2$ , uses different values of a state variable s to represent that. It's a very typical situation that two equivalent systems essentially express the same meaning in different ways.

Here we provide these two automata as a running example, to illustrate why Triple Set  $\{(T_1, S_1|s=0), (T_2, S_1|s=1)\}$  is a StrFH-Bisimulation between these two automata. Details are presented in Appendix B.3.

# 4 Checking Algorithm

As we mentioned before, in the formal definition, for any two open automata  $\langle J_1, \mathcal{S}_1, init_1, \mathcal{T}_1 \rangle$ ,  $\langle J_2, \mathcal{S}_2, init_2, \mathcal{T}_2 \rangle$ , a StrFH-Bisimulation  $\mathcal{R}$  is a set of triples  $\{(s, t|Pred_{s,t})\}$ .

Given two open automata and a set of Triples, it seems that following the definition, we can easily verify if the given relation is a StrFH-Bisimulation. But for that, we need to check whether a first order logic formula is a tautology or not, and due to the fact that first order logic is undecidable, and that we want to use this approach with realistic data types and expressions in the pNets, it may becomes very hard.

Thanks to the development of Satisfiability Modulo Theory (SMT) technology, which has a great ability for solving satisfiable problems of first order logic[5], we have a practical way to do this checking: for any proof obligation, we can generate its negation, and use an SMT-solver to check if it is satisfiable. If yes, thus the original proof obligation is not a tautology. This does not break the undecidability problem, but gives us a semi-decidable method: our StrFH-Bisimulation check is decidable whenever satisfiability of the first-order formulas used in the relation is decidable by our SMT encoding. In practice, this last property depends on the axiomatisation of the data domains and operators used in a particular use case.

In this section we will first explain a basic algorithm, which checks whether a given Triple Set is a StrFH-Bisimulation between two input open automata. Note that, in this algorithm, the user

needs to provide the Triple Set, which may be difficult for some complicated systems. In next section we will give another algorithm which can automatically compute a StrFH-Bisimulation for given open automata.

#### 4.1 Checking Algorithm

```
algorithm 1 Verify StrFH-Bisimulation with Given Triple Set
input: A_1 and A_2 are two open automata, where A_1 = \langle J_1, \mathcal{S}_1, init_1, \mathcal{T}_1 \rangle, A_2 = \langle J_2, \mathcal{S}_2, init_2, \mathcal{T}_2 \rangle.
    \mathcal{R} is a set of triples, where \mathcal{R} = \{(s, t | Pred_{s,t})\}.
output: a boolean value, means whether \mathcal{R} is a StrFH-Bisimulation between A_1 and A_2
 1: function TraverseTriples(A_1, A_2, \mathcal{R})
 2:
        for each Triple (s, t|Pred_{s,t}) in \mathcal{R} do
            for each Open Transition OT from state s, suppose it's target is s' do
 3:
                OTSet \leftarrow an empty set for Open Transitions
 4:
 5:
                PredSet \leftarrow an empty set for Formula
                for each Open Transition OT' from state t, suppose it's target is t' do
 6:
                    if there exists a Triple (s',t'|Pred_{s',t'}) \in \mathcal{R} and OT have same activated holes
    as OT' then
                         OTSet.add(OT')
                         PredSet.add(Pred_{s',t'})
 9:
                    end if
10:
                end for
11:
                if OTSet is empty then
12:
                    return False
13:
                end if
14:
                res \leftarrow Verify(OT, OTSet, Pred_{s,t}, PredSet)
15:
16:
                if res is False then
                     // means proof obligation proofOb is not a tautology.
17:
                    return False
18:
19:
                end if
            end for
20:
        end for
21:
        return True
22:
```

From two open automata  $A_1 = \langle J_1, \mathcal{S}_1, init_1, \mathcal{T}_1 \rangle$ ,  $A_2 = \langle J_2, \mathcal{S}_2, init_2, \mathcal{T}_2 \rangle$ , and a set of triples  $\mathcal{R} = \{(s, t | Pred_{s,t})\}$ , our algorithm will output whether the given Triple Set is a StrFH-Bisimulation between  $A_1$  and  $A_2$  or not. We explain this algorithm in two parts:

#### 4.1.1 Traverse Triples

23: end function

The main function is TraverseTriples. It this function, we will traverse all the Triples, and check whether all of them meet the definition of StrFH-Bisimulation. For each Triple  $(s,t|Pred_{s,t})$ , we will check both directions: first, check if all the open transitions from s can be covered by the open transitions from t under the  $Pred_{s,t}$ ; then symmetrically, check if all the open transitions from t can be covered by the open transitions from t under the same predicate. The algorithm is the same for both, thus we only show the first direction.

Let Triple  $(s,t|Pred_{s,t})$  be the one we are checking. For each open transition OT from state s, let s' be it's target. Then, we will filter all the open transitions starting from t, and collect a set of open transitions OTSet and a set of formulas PredSet, such that for any open transition  $OT_x \in OTSet$ , we have:

- OT has the same active holes as  $OT_x$
- let  $t'_x$  be the target of transition  $OT_x$ , then there exists a Triple  $(s', t'_x|Pred_{s',t'_x})$  in  $\mathcal{R}$

and each predicate formula  $Pred_{s',t'_x}$  is the predicate formula of Triple  $(s',t'_x|Pred_{s',t'_x})$ , corresponding to the open transition  $OT_x$ .

Then, we call function  $Verify(OT, Pred_{s,t}, OTSet, PredSet)$ . In that function, will generate and check the proof obligation (that is Formula (1) from Definition 3.1), and return a boolean value as result. If this result is false, means proof obligation is not a tautology, thus Triple  $(s,t|Pred_{s,t})$  doesn't meet the definition, and Triple Set  $\mathcal{R}$  won't be a StrFH-Bisimulation between  $A_1$  and  $A_2$ , so function TraverseTriples will return false.

If all the Triples pass this check, it means all the Triples in  $\mathcal{R}$  meet the definition, thus we can say  $\mathcal{R}$  is a StrFH-Bisimulation between  $A_1$  and  $A_2$  and return true.

#### 4.1.2 Generate and Verify Proof Obligation

Then we come to the details of function *Verify*.

#### algorithm 2 Generate and Verify Proof Obligation

**input:** OT is an open transition, OTSet is a set of open transitions, Pred is a formula, PredSet is a set of formulas.

**output:** result, a boolean value, means whether the proof obligation generated from input is a tautology or not.

```
1: function Verify(OT, OTSet, Pred, PredSet)
 2:
       negateOb \leftarrow generate negation of proof obligation
       use SMT-solver to check if negateOb is satisfiable
 3:
       if SMT-solver doesn't terminate then
 4:
           // negateOb is not decidable by SMT-solver
 5:
          return FAILED
6:
 7:
       end if
 8:
       if negateOb is sat then
           // negateOb is satisfiable, means original proof obligation is not a tautology
9:
10:
          return False
11:
       else
          return True
12:
       end if
13:
14: end function
```

For the input open transition OT, open transition set OTSet, formula  $Pred_{s,t}$  and formula set PredSet, without losing generality, we can suppose that:

$$OT = \frac{\beta_j^{j \in J'}, \ Pred_{OT}, \ Post_{OT}}{s \xrightarrow{\alpha} s'}$$

and for any open transition  $OT_x \in OTSet$  where  $x \in X$  is the index of OTSet, we have:

$$OT_{x} = \frac{\beta_{j}^{j \in J_{x}^{\prime}}, \ Pred_{OT_{x}}, \ Post_{OT_{x}}}{t \xrightarrow{\alpha_{x}} t_{x}^{\prime}}$$

and have a corresponding predicate  $Pred_{s',t'_x} \in PredSet$ . Thus, the proof obligation by definition as following:

$$\forall fv_{OT}. \{ Pred_{s,t} \land Pred_{OT} \Longrightarrow \bigvee_{x \in X} [\exists fv_{OT_x}. (\forall j \in J'. \beta_j = \beta_{j_x} \land \alpha = \alpha_x \land Pred_{OT_x} \land Pred_{s',t'}. \{ Post_{OT} \uplus Post_{OT_x} \} ) ] \}$$

and note that if negate this proof obligation, then we will get:

$$\exists fv_{OT}. \{ Pred_{s,t} \land Pred_{OT} \land \\ \bigwedge_{x \in X} [\forall fv_{OT_x}. (\exists j \in J'. \beta_j \neq \beta_{j_x} \lor \alpha \neq \alpha_x \\ \lor \neg Pred_{OT_x} \lor \neg Pred_{s'.t'_-} \{ Post_{OT} \uplus Post_{OT_x} \} )] \} \quad (2)$$

Thus, we can check the satisfiability of this Formula 2 with SMT-solver, if this Formula 2 is unsatisfiable, means the original proof obligation is a tautology, and function will return True; otherwise, return False.

The checking of proof obligation is strongly depending on SMT-solver, as long as SMT solver can determine all the generated proof obligation, then we can say our algorithm can determine StrFH-Bisimulation problem on given automata and Triple Set. If there exists a proof obligation can't be solved by SMT-solver, our algorithm will return FAILED and terminate.

Thus, our algorithm succeeds whenever the theories (over the data domains, expressions, and predicates) used in the SMT engine are decidable.

#### 4.2 Correctness and Termination

#### 4.2.1 Correctness

The algorithm will traverse all the given triples, check whether all the triples satisfied definition. It's easy to see that, this period is closely corresponding to the definition of StrFH-Bisimulation, so we can say: Given two open automata  $A_1$ ,  $A_2$  and a set of triples  $\mathcal{R}$ , then  $\mathcal{R}$  is a StrFH-Bisimulation between  $A_1$  and  $A_2$  iff the result of applying  $A_1$ ,  $A_2$  and  $\mathcal{R}$  into this checking algorithm is True, when the case is decidable.

#### 4.2.2 Termination

It's easy to see that, for each Triple  $(s,t|Pred_{s,t})$ , as long as the open transitions starting from s and starting from t are finite, the algorithm will generate finite proof obligations according to this Triple. For each proof obligation, as long as it can be decided by SMT-solver, it will terminate and give a result.

Thus, we can say, as long as we input a finite Triple Set, and each Triple corresponding to finite open transitions (even inputted open automata are infinite), and the case is decidable, our algorithm will terminate.

#### 5 Weakest StrFH-Bisimulation and StrFH-Bisimilar Automata

In the previous section, we gave a checking algorithm, which accepts two open automata and a relation (given as a Triple set), and outputs whether the input Triple set is a StrFH-Bisimulation between the two input open automata.

Obviously, this algorithm has a strong need of a reasonable input. If user failed to find out or correctly encode a proper Triples Set, then algorithm can only get the result that a incorrect Triple set is not a StrFH-Bisimulation, but couldn't answer whether there exists a StrFH-Bisimulation between the two input automata.

And in fact, between any two open automata, there always exists many worthless StrFH-Bisimulation relations. For example, suppose there is a Triple set, where for each Triple  $(s, t|Pred_{s,t})$  in this set,  $Pred_{s,t}$  is always unsatisfiable. Obviously, this Triple set meet the definition of StrFH-Bisimulation, but it makes no sense.

For these reasons, in this section, we will first give a new algorithm, which can accept two open automata, and output the weakest StrFH-Bisimulation between the given open automata; Then, we will define a new property called StrFH-Bisimilar. If two open automata are StrFH-Bisimilar, it means there exists a meaningful StrFH-Bisimulation between them; From the weakest StrFH-Bisimulation generated, we can check if given open automata are StrFH-Bisimilar. We give a formal proof of our algorithm's correctness, prove a (partial) termination property for this algorithm.

#### 5.1 Generate Weakest StrFH-Bisimulation

We will first give a function called GenerateWeakestStrFH. The input of function GenerateWeakest-StrFH are two open automata  $A_1 = \langle J_1, \mathcal{S}_1, init_1, \mathcal{T}_1 \rangle$  and  $A_2 = \langle J_2, \mathcal{S}_2, init_2, \mathcal{T}_2 \rangle$ . It's output is a Triple set TripleSet, which is a StrFH-Bisimulation between  $A_1$  and  $A_2$ . Here we will first describe the procedure of this function, and its pseudo-code in algorithm 3. In this section, we will show the fact that the output is a StrFH-Bisimulation between given open automata. Later in the next section, we will show why it's result is the weakest StrFH-Bisimulation.

First let us give some definitions: We denote (s,t) as a StatePair, where s and t are states from different open automata.

We denote  $(s_{pre}, t_{pre})$  as a pre-StatePair of (s, t) where there exists an open transition from  $s_{pre}$  to s, and exists an open transition from  $t_{pre}$  to t.

We denote  $(s_{next}, t_{next})$  as a next-StatePair of (s,t) where there exists an open transition from s to  $s_{next}$ , and exists an open transition from t to  $t_{next}$ .

We denote (s', t') as a reachable StatePair of (s, t) iff there exists a path from (s, t) to (s', t'), where each step in this path is a StatePair move to it's next-StatePair.

At the very beginning, function will construct an empty StatePair stack, named StateStack. Function will also construct an empty Triple set, named TripleSet.

In fact, here we hope TripleSet become the final output of the function, which means after the function terminating, TripleSet become an StrFH-Bisimulation between  $A_1$  and  $A_2$ , and any Triple in TripleSet will meet the requirement: all the proof obligations generated from it are tautologies. And StateStack here exists as a register, temporarily cache all StatePairs whose corresponding Triples may not satisfy this requirement.

To initialize StateStack and TripleSet, function will call a sub-function PushReachableStatePairs, inputting two states:  $init_1$  and  $init_2$  and an empty StatePair stack: StateStack. It's a recursive function, and after it finished, StateStack will contain all the StatePairs which are reachable from  $(init_1, init_2)$ . Then, for each StatePair (s, t) in StateStack, function will add a corresponding StatePair StatePair

#### algorithm 3 Generate Weakest StrFH-Bisimulation

end if

end for

end if

end while

20: end function

**input:**  $A_1$ ,  $A_2$  two open automata, where  $A_1 = \langle J_1, \mathcal{S}_1, init_1, \mathcal{T}_1 \rangle$  and  $A_2 = \langle J_2, \mathcal{S}_2, init_2, \mathcal{T}_2 \rangle$ . output: TripleSet, a Triple's set, which is a Weakest StrFH-Bisimulation between two open automata 1: function GenerateWeakestStrfH(StateStack)  $StateStack \leftarrow$  an empty stack for StatePair 2:  $TripleSet \leftarrow$  an empty set for Triple 3: PUSHREACHABLESTATEPAIRS( $init_1, init_2, StateStack$ ) 4: for each StatePair (s, t) in StateStack do 5: 6: add a Triple (s, t|True) into TripleSetend for 7: while StateStack is not empty do 8:  $(s,t) \leftarrow StateStack.pop()$ 9: let i be the index of Triple  $(s, t|Pred_{s,t})$  in the TripleSet10: 11: UPDATEPREDICATE(i, TripleSet)if predicate of Triple  $(s,t|Pred_{s,t})$  has been changed then 12: for each pre-StatePair  $(s_{pre}, t_{pre})$  of (s, t) do 13:

After above initialization, function will enter a loop that: pops a StatePairs from StateStack and updates the corresponding Triple, loops until the stack StateStack becomes empty.

if StateStack doesn't contains this StatePair  $(s_{pre}, t_{pre})$  then

push StatePair  $(s_{pre}, t_{pre})$  into StateStack

In each loop, let (s,t) be the StatePair just popped, function will find the corresponding Triple  $(s,t|Pred_{s,t})$  in the TripleSet, and call function UpdatePredicate to update this Triple. After processed by function UpdatePredicate, Triple  $(s,t|Pred_{s,t})$  will definitely meet the requirement: all the proof obligations generated from this Triple are tautology.

Finally, function will check if  $(s,t|Pred_{s,t})$  has been updated after calling UpdatePredicate. If not, the function will continue to pop next StatePair. If changed, we can't simply go to next StatePair, because the change of  $Pred_{s,t}$  may change the proof obligations of other Triples, we need to push their corresponding StatePairs into StateStack again.

In more detail, let  $\{(s_{pre_x},t_{pre_x})\}^{x\in X}$  be all pre-StatePairs of (s,t). Due to the fact that  $Pred_{s,t}$  has been changed to  $newPred_{s,t}$ , the proof obligation of Triple  $(s_{pre_x},t_{pre_x}|Pred_{pre_x})$  will also be changed, and we need to update this Triple again. So, we will push StatePair  $(s_{pre_x},t_{pre_x})$  into StateStack, and the function will definitely pop this StatePair and update corresponding Triple at some later time.

We know that, at the beginning, StateStack contains all the reachable StatePairs. Each time a StatePair is popped, the corresponding Triple will be update so that it will meet the current requirement. On the other hand, after updating, if this updating changes other Triples' proof obligation, make these Triples may no longer meet the requirement, then their corresponding StatePair will be pushed into stack again. Thus, we can see, if any Triple which does not conclusively meet the requirement, it's corresponding StatePair will be pushed into stack. As long as this function GenerateWeakestStrFH terminates, which means the stack becomes empty, all the Triples in TripleSet will meet the requirement, and TripleSet is definitely a StrFH-

14:

15: 16:

17:

18:

19:

Bisimulation between  $A_1$  and  $A_2$ .

#### 5.1.1 Sub-Functions

Recall that there are two sub-functions during generating the weakest StrFH-Bisimulation. Here we will give a short summary for these two sub-functions, detailed description and pseudo-code can be seen in Appendix C.1.

Sub-function PushReachableStatePairs is a recursive function, a variant of DFS (depth first search). Every time it visits a StatePair (s,t), it will pop (s,t) into stack, and call the same function with next-StatePair of (s,t) recursively. Thus, after function PushReachableStatePairs terminates, the stack will contain all the reachable StatePairs from initial input  $(init_1, init_2)$ .

Sub-function *UpdatePredicate* will generate and verify the proof obligation of given Triples, using the SMT engine, like what we did in Section 4.1.2. If the proof obligation is not a tautology, the function will update the predicate with the conjunction of original predicate and simplified proof obligation. So that after this updating, the new proof obligation generated by this updated predicate will definitely be a tautology.

#### 5.1.2 Weakestness

The output of function GenerateWeakestStrFH will be the weakest StrFH-Bisimulation between two given open automata. Remember that for any pair of states (s,t), there can be only one triple  $(s,t|Pred_{s,t})$  in a FH-bisimulation relation. Then the weakest StrFH-bisimulation between 2 automata is the one in which each Triple is the weakest:

**Definition 5.1.** Weakest Triple: Triple  $(s,t|Pred_{s,t})$  is a weakest Triple between open automata  $A_1$  and  $A_2$ , meaning that  $A_1$  and  $A_2$  contains states s and t respectively, and for any predicate formula  $Pred_{new}$ , which can't imply the predicate  $Pred_{s,t}$ , any Triple Set containing Triple  $(s,t|Pred_{new})$  won't be a StrFH-Bisimulation between  $A_1$  and  $A_2$ .

**Theorem 5.2.** Weakest StrFH-Bisimulation: For a StrFH-Bisimulation  $\mathcal{R}$  between open automata  $A_1$  and  $A_2$ , all the Triples in  $\mathcal{R}$  are Weakest Triples, meaning  $\mathcal{R}$  is a Weakest StrFH-Bisimulation.

**Theorem 5.3.** Weakestness: For any pair of open automata  $A_1$  and  $A_2$ , the TripleSet computed by algorithm is the weakest StrFH-Bisimulation between  $A_1$  and  $A_2$ .

We give a detailed proof of these two theorems in Appendix C.2.

Proof of theorem 5.2 relies on the fact that a *weakest* Triple can be either (strictly) logically weaker, or incomparable, to the original Triple, but in both cases having at least one weakest Triple contradicts definition 5.1.

Proof of theorem 5.3 is by mathematical induction over the set of Triples: for all Beginning Triples (we define it in proof), we prove that they satisfy Definition 5.1 after updating. Then, let  $(s,t|Pred_{s,t})$  be a general Triple, suppose all the other Triple satisfy Definition 5.1, then  $(s,t|Pred_{s,t})$  will also satisfy Definition 5.1.

#### 5.2 Checking StrFH-Bisimilar

With above functions, we can finally check if two open automata are StrFH-Bisimilar. First let us give a formal definition for StrFH-Bimilar:

**Definition 5.4.** *FH-Bisimilar:* Let  $A_1 = \langle J_1, \mathcal{S}_1, init_1, \mathcal{T}_1 \rangle$  and  $A_2 = \langle J_2, \mathcal{S}_2, init_2, \mathcal{T}_2 \rangle$  be two open automata.  $A_1$  and  $A_2$  are FH-Bisimilar if and only if there exists a StrFH-Bisimulation  $\mathcal{R}$  between  $A_1$  and  $A_2$ , such that:

- for any Triple  $(s,t|Pred_{s,t})$  in  $\mathcal{R}$ , formula  $(Pred_{s,t} \implies False)$  is not a tautology, or we can say  $Pred_{s,t}$  is satisfiable.
- there must exists a predicate  $Pred_{init}$  such that  $Triple\ (init_1, init_2 | Pred_{init}) \in \mathcal{R}$

In this definition, all the predicates are satisfiable, meaning the hypothesis of this Bisimulation are satisfiable; and there exists a initial Triple in this Bisimulation, meaning this Bisimulation will become effective as long as both two open automata start from initial state at same time.

#### algorithm 4 Check StrFH-Bisimilar

```
input: A_1, A_2 two open automata, where A_1 = \langle J_1, \mathcal{S}_1, init_1, \mathcal{T}_1 \rangle and A_2 = \langle J_2, \mathcal{S}_2, init_2, \mathcal{T}_2 \rangle.
output: result, a boolean value, means whether A_1 and A_2 are StrFH-Bisimilar
 1: function CHECKSTRFH-BISIMILAR(A_1, A_2)
        TripleSet \leftarrow GenerateWeakestStrfH(StateStack)
 2:
        for each Triple (s, t|Pred_{s,t}) \in TripleSet do
 3:
            delete this Triple if Pred_{s,t} is unsatisfiable
 4:
 5:
        end for
        if TripleSet contains Triple (init_1, init_2, Pred_{init}) then
 6:
 7:
            return True
        else
 8:
            return False
 9:
10:
        end if
11: end function
```

After we generated a weakest StrFH-Bisimulation between  $A_1$  and  $A_2$  called  $\mathcal{R}$ , in which any Triple has weakest predicate, we can easily check if  $A_1$  and  $A_2$  are StrFH-Bisimilar by: first, deleting all the Triples with unsatisfiable Predicate formula, and get a new Triple set  $\mathcal{R}_{new}$ ; then, if  $\mathcal{R}_{new}$  contains Triple  $(init_1, init_2|Pred_{init})$ , we can say  $A_1$  and  $A_2$  are StrFH-Bisimilar. Algorithm 4 shows the pseudo-code of this procedure.

#### 5.3 Algorithm Correctness

Proving this algorithm is correct also contains two parts: Correctness and Completeness.

**Theorem 5.5.** Correctness: Inputting two open automata  $A_1$  and  $A_2$ , as long as the result of algorithm is True, means  $A_1$  and  $A_2$  are StrFH-Bisimilar.

**Theorem 5.6.** Completeness: Inputting two open automata  $A_1$  and  $A_2$ , if  $A_1$  and  $A_2$  are StrFH-Bisimilar, then the result of algorithm is True as long as the problem is decidable by our algorithm.

#### 5.3.1 Correctness

We give a formal proof for Theorem 5.5 in Appendix D.1. Key point is: after deleting all the unsatisfiable Triples in the generated StrFH-Bisimulation, the result is still a StrFH-Bisimulation.

#### 5.3.2 Completeness

We give a formal proof for Theorem 5.6 in Appendix D.2. Key point is: Contrapositive of this Theorem will be easier to prove. With the Theorem 5.3, it's easy to see that, as long as the function return False, it's impossible to find a StrFH-Bisimulation between given automata which contains a initial Triple  $(init_1, init_2|Pred_{init})$  and  $Pred_{init}$  is satisfiable.

#### 5.4 Conditional Termination

In this section, we give a conditional termination result for algorithm 4: for any two given open automata, if they are finite, and data domain of all variables in given automata are finite, and the StrFH-Bisimilar problem for these two automata is decidable, then our algorithm will terminate.

Noting that it's may not the most general hypothesis ensuring termination, but it's a very reasonable hypothesis, due to the fact that many widely used realistic systems meet this requirement.

*Proof.* Suppose there are two finite open automata  $A_1$  and  $A_2$ .

Considering the function GenerateWeakestStrFH, because  $A_1$  and  $A_2$  are finite, then we will generate a finite StateStack, and thus have a finite TripleSet.

And for each time we call function UpdatePredicate with an input Triple  $(s, t|Pred_{s,t})$ , due to the fact that both two automata are finite, we will only generate finite proof obligation.

On the other hand, denoting  $\{\rho_x\}^{x\in X}$  as set of all possible valuations on all variables. If the data domain of all variables in  $A_1$  and  $A_2$  are finite, we know that  $\{\rho_x\}^{x\in X}$  is definitely finite. For any Predicate Formula  $Pred_{s,t}$ , we denote  $\{\rho_y\}^{y\in Y}$  as a set of valuations (defined on all variables, the same below) which can satisfy  $Pred_{s,t}$ , we know  $\{\rho_y\}^{y\in Y}$  is finite, and  $Y\subseteq X$ . Every times we change the predicate formula  $Pred_{s,t}$  to  $newPred_{s,t}$  during function UpdatePredicate, we know that:

$$newPred_{s,t} = Pred_{s,t} \wedge po$$

where po is the proof obligation. And  $Pred_{s,t}$  is being updated implies the fact that there exists some valuations  $\rho_{y'}^{y'\in Y}$  which can't satisfy po. Thus, if we denote  $\{\rho_z\}^{z\in Z}$  as the set of valuations which can satisfy proof obligation po, it's easy to see that the set of valuations which can satisfy  $newPred_{s,t}$  equals to  $\{\rho_y\}^{y\in Y}\cap \{\rho_z\}^{z\in Z}$ , and it's definitely smaller than  $\{\rho_y\}^{y\in Y}$ . Thus we come to the conclusion that this kind of change must be finite, because this updating is a decrement within a finite field.

Moreover, for any Triple  $(s,t|Pred_{s,t})$ , only when there exists at least a new "next-Triple" that has changed predicate, the function UpdatePredicate will be called with  $(s,t|Pred_{s,t})$  as input.

Due to the fact that next-Triples of any Triple are finite, and the changes of predicates are also finite, we know that there are always finitely many calls to function UpdatePredicate with any Triple. Thus, function GenerateWeakestStrFH will definitely terminate, and obviously function CheckStrFH-Bisimilar will also terminate.

#### 5.5 Running Example

In Appendix D.3, we also provide the open automata in Fig.2 as a running example for our algorithm, to illustrate it's procedure.

#### 6 Related Work

To the best of our knowledge, there is no other research works on the Bisimulation Equivalence between such complicated (open, symbolic, parameterized, with loops and assignments) system models, with providing fully complete algorithms. For the sake of completeness, we give a brief overview of other Symbolic Bisimulation researches.

One line of research is providing Symbolic Bisimulation for different model or language. In Calder's work [6], they define a symbolic semantic for full LOTOS, with a symbolic bisimulation

over it; Borgstrom et al., Delaune et al. and Buscemi et al. provide symbolic semantic and equivalence for different variants of pi calculus respectively [7, 8, 9], and later in 2012 Liu's work provided symbolic bisimulation for full applied pi calculus [10]. The most recent work, Feng et al. provide a symbolic bisimulation for quantum processes [11]. All the above works are based on models quite different from ours, and most of them have no available implementation.

Another line of research is devising algorithms for computing symbolic Bisimulation Equivalence. In Dovier's work, they provide a rank-based algorithm, layering the input model to compute bisimulation [12]. Baldan et al. also focus on open systems, using a logical programming language *Prolog* to model the systems and compute Bisimulation [13]. Wimmer et al. present a signature-based approach to compute Bisimulation, implemented by using BDDs [14]. Lin [4] presents a symbolic bisimulation between symbolic transition graph with assignments (STGA); as mentioned in the *Introduction*, this work brought us lots of inspiration, but they had a strong "data-independence" constraint that our approach significantly overcomes.

Going further than computing symbolic bisimulation, there are several works on devising approach to minimize the system by symbolic bisimulation. The well-known partition refinement algorithm, which first devised by Paige et al. [15], is unsuitable for symbolic models. Thus Bonchi et al. devised a *symbolic partition refinement* algorithm to compute bisimilarity and redundancy at same time [16]; D'Antoni et al. provided a Forward Bisimulation for minimizing the nondeterministic symbolic finite automata [17]. The above approaches, due to the difference of system models they use, are not applicable to our issues, but we are still inspired a lot from these related work.

#### 7 Conclusion

Based on previous research, we have proposed StrFH-Bisimulation, a new structural Bisimulation equivalence between *open automata* which are symbolic, open and parameterized system models and can address all the finitely represented systems computed from pNets. By proving that StrFH-Bisimulation correctly and completely corresponds to FH-Bisimulation, we generalize the interesting properties of FH-Bisimulation to StrFH-Bisimulation.

After that, we proposed two algorithms for checking and computing StrFH-Bisimulation: the first one requires a (user-defined) relation and two finite open automata, to check whether the given relation is a StrFH-Bisimulation between the given automata; the second one go further, for any two given open automata, it computes the weakest StrFH-Bisimulation  $\mathcal R$  between them, which means all the generated conditions in  $\mathcal R$  are the (logicaly) weakest. We provided a non-trivial proof to show the "weakestness" of our algorithm, and show that this algorithm terminates when the data domains are finite.

We have started implementing these algorithms, and need to evaluate their practical performances on realistic use cases. There are also several interesting directions to further develop our work. One idea is about computing weakest StrFH-Bisimulation algorithm. Our preliminary termination conditional is certainly not the best we can get. While it is clear that we cannot get unconditional termination, it would be interesting to find a more liberal condition or to improve the algorithm to handle more practical cases.

Second idea is to develop a minimization algorithm from our StrFH-Bisimulation. Minimizing system models is the most common way to use a bisimulation equivalence, especially in the case of hierarchical models like pNets, where minimization can be used in a compositional way.

Noting that we only developed the theory of symbolic bisimulation between open automata for *Strong Bisimulation*. Devising a symbolic bisimulation for *Weak Bisimulation*, which takes invisible or internal moves into account, would also be interesting, and some of our colleagues

are already working on that.

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### A Expansion Function

Here we give a formal proof for Theorem 3.3, which means the Open Automata generated by Expansion Function is definitely a Semantic Open Automata.

*Proof.* Let  $A = \langle J, \mathcal{S}, s_0, \mathcal{T} \rangle$  be a general open automaton, and  $\mathcal{E}(A) = \langle J, \mathcal{S}, s_0, \mathcal{T}' \rangle$  be the open automaton generated from applying function  $\mathcal{E}$  on A. Let  $OT \in \mathcal{T}'$  be an open transition in  $\mathcal{E}(A)$ , starting from state s and targeting state s'. By construction there always exists an original open transition  $OT_{origin}$  in A:

$$OT_{origin} = \frac{\beta_j^{j \in J}, \ Pred_{origin}, \ Post_{origin}}{s \xrightarrow{\alpha} s'}$$

together with a substitution  $\sigma_{OT}$  and an additional predicate  $pred_{OT}$ , such that:

$$OT = OT_{origin} \{ \sigma_{OT}, pred_{OT} \}$$

To prove that  $\mathcal{E}(A)$  is closed under Definition 3, we need to prove that for any  $\sigma_{new}$  be any substitution where  $dom(\sigma_{new}) \subseteq fv(OT)$ , and let  $pred_{new}$  be any additional predicate on vars(OT), let denote  $OT_{new} = OT\{\{\sigma_{new}, pred_{new}\}\}$ , then  $OT_{new} \in \mathcal{T}'$ .

Developing the definition, and using substitution properties, we get that :

$$OT_{new} = \frac{\beta_{j}^{j \in J} \{\sigma_{OT}\} \{\sigma_{new}\}, \quad Pred_{origin} \{\sigma_{OT}\} \{\sigma_{new}\} \land pred_{OT} \{\sigma_{OT}\} \{\sigma_{new}\}}{s \frac{\alpha \{\sigma_{OT}\} \{\sigma_{new}\}}{s'} s'}$$

$$= \frac{\beta_{j}^{j \in J} \{\sigma_{OT} \odot \sigma_{new}\}, \quad Pred_{origin} \{\sigma_{OT} \odot \sigma_{new}\}}{s \frac{\alpha (\sigma_{OT} \odot \sigma_{new})}{s'} \land pred_{origin} \otimes (\sigma_{OT} \odot \sigma_{new})}}$$

$$= \frac{(pred_{OT} \{\sigma_{OT}\} \land pred_{new}) \{\sigma_{new}\}, \quad Post_{origin} \otimes (\sigma_{OT} \odot \sigma_{new})}{s \frac{\alpha \otimes (\sigma_{OT} \odot \sigma_{new})}{s'} \land s'}$$

Now let  $\Delta$  be a new (boolean) variable which didn't occurred in open transition  $OT_{origin}$  and  $pred_{OT}$ , such that

$$\Delta \notin (vars(\beta_i) \cap vars(Pred_{origin}) \cap vars(pred_{OT}) \cap vars(Post_{origin}) \cap vars(\alpha))$$

and construct a new substitution  $\sigma_{extra} = (\Delta \leftarrow pred_{new})$ . Thus, we can derive OT like following:

$$OT_{new} = \frac{\beta_{j}^{j \in J} \{ (\sigma_{OT} \odot \sigma_{new}) \uplus \sigma_{extra} \}, \quad Pred_{origin} \{ (\sigma_{OT} \odot \sigma_{new}) \uplus \sigma_{extra} \}}{s} \frac{\wedge (pred_{OT} \wedge \Delta) \{ (\sigma_{OT} \odot \sigma_{new}) \uplus \sigma_{extra} \}, \quad Post_{origin} \otimes [(\sigma_{OT} \odot \sigma_{new}) \uplus \sigma_{extra} \}}{s} s'}$$

thus, we have constructed a substitution  $\sigma_{final} = (\sigma_{OT} \odot \sigma_{new}) \uplus \sigma_{extra}$ , and a additional predicate  $pred_{final} = pred_{OT} \wedge \Delta$ , such that

$$OT_{new} = OT_{orig} \{ \sigma_{final}, pred_{final} \}, \text{ so } OT_{new} \in \mathcal{T}'.$$

## B Correspondence between StrFH-Bisimulation and FH-Bisimulation

#### **B.1** Proof of Correctness

In this section, we will prove that StrFH-Bisimulation correctly corresponds to FH-Bisimulation, which is formally expressed by Theorem 3.4. Before attempting to prove this theorem, we start with several Lemma which may help the proof.

**Lemma B.1.** Let  $A_1, A_2$  be two open automata, OT a transition of  $A_1$ , and  $OT_x^{x \in X}$  a set of transitions of  $A_2$ :

$$OT = \frac{\beta_j^{j \in J'}, \ Pred_{OT}, \ Post_{OT}}{s \xrightarrow{\alpha} s'}$$

$$OT_x = \frac{\beta_j^{j \in J_x'}, \ Pred_{OT_x}, \ Post_{OT_x}}{t \xrightarrow{\alpha_x} t_x'}$$

Then the following formula

$$\forall fv_{OT}. \{ Pred_{s,t} \land Pred_{OT} \implies \bigvee_{x \in X} [\exists fv_{OT_x}. (\forall j.\beta_j = \beta_{j_x} \land \alpha = \alpha_x \\ \land Pred_{OT_x} \land Pred_{s',t'_x} \{ Post_{OT} \uplus Post_{OT_x} \} )] \} \quad (3)$$

holds if and only if for any valuation  $\rho_y(fv_{OT})$  defined on  $fv_{OT}$ , there exist a set of valuations  $\{\rho_{x,y}(fv_{OT_x})\}^{x\in X}$  defined on  $fv_{OT_x}$  respectively, such that the following formula holds:

$$\left\{ Pred_{s,t} \wedge Pred_{OT} \Longrightarrow \right. \\
\left. \bigvee_{x \in X} \left[ \left( \forall j. \beta_j = \beta_{j_x} \wedge \alpha = \alpha_x \wedge Pred_{OT_x} \right. \right. \\
\left. \wedge Pred_{s',t'_x} \left\{ Post_{OT} \uplus Post_{OT_x} \right\} \right) \left\{ \rho_{x,y} (fv_{OT_x}) \right\} \right] \right\} \left\{ \rho_y (fv_{OT}) \right\} \quad (4)$$

Generally speaking, in above Lemma, we hope to convert a first-order logic formula consisting of quantifiers, into a formula with quantified valuations. Intuitively, for all quantifier  $\forall$  means for any valuation, the formula will hold; while existence quantifier  $\exists$  means there exist a valuation such that the formula will hold.

Lemma B.1 use this intuitive sense, rewriting the Formula 3. Of cause, intuition is not enough for proving a Lemma, we give a formal proof as following:

*Proof.* First we prove the Necessity of Lemma B.1, that is (3) => (4). In the given situation, suppose formula 3 holds. Let  $\rho_y(fv_{OT})$  be any valuation defined on  $fv_{OT}$ , applying  $\rho_y(fv_{OT})$  to formula (3) we will get:

$$\left\{ Pred_{s,t} \wedge Pred_{OT} \implies \bigvee_{x \in X} \left[ \exists fv_{OT_x} . (\forall j.\beta_j = \beta_{j_x} \wedge \alpha = \alpha_x \wedge Pred_{OT_x} \\ \wedge Pred_{s',t'_x} \left\{ Post_{OT} \uplus Post_{OT_x} \right\} \right) \right] \right\} \left\{ \rho_y(fv_{OT}) \right\} (5)$$

Considering the existential quantifier in formula 5, for any variable  $a_i \in fv_{OT_x}$ , let  $C_{x,i}$  be the existent value for variable  $a_i$ . Then we can construct a set of valuation  $\{\rho_{x,y}(fv_{OT_x})\}_{x \in X}$  such that for any  $a_i \in fv_{OT_x}$ , we have a valuation  $(a_i \leftarrow C_{x,i})$  in  $\rho_{x,y}(fv_{OT_x})$ . Obviously, with this constructed set of valuation, formula 4 will holds.

For any valuation  $\rho_y(fv_{OT})$  there exist a valuation set  $\{\rho_{x,y}(fv_{OT_x})\}^{x\in X}$  such that formula 4 holds, thus the Necessity is proved.

Next we prove the Sufficiency of Lemma B.1, that is (4) => (3). In the given situation, suppose that for any valuation  $\rho_y(fv_{OT})$  defined on  $fv_{OT}$ , there exist a set of valuations  $\{\rho_{x,y}(fv_{OT_x})\}_{x\in X}$ , such that formula 4 holds.

Considering that making valuation set  $\{\rho_{x,y}(fv_{OT_x})\}^{x\in X}$  effective in formula 4, all the variables in  $\{fv_{OT_x}\}^{x\in X}$  will be valuated with a constant value, which means all these variables has an extent constant value can make formula 4 hold. This implies the fact that following formula

$$\left\{ Pred_{s,t} \wedge Pred_{OT} \implies \bigvee_{x \in X} \left[ \exists fv_{OT_x} . \left( \forall j. \beta_j = \beta_{j_x} \wedge \alpha = \alpha_x \wedge Pred_{OT_x} \right. \right. \right. \\
\left. \wedge Pred_{s',t'_x} \left\{ Post_{OT} \uplus Post_{OT_x} \right\} \right) \right] \right\} \left\{ \rho_y(fv_{OT}) \right\} (6)$$

holds.

On the other hand, for any variables  $a_i \in fv_{OT}$  assigned with any constant value  $C_i$ , we can always construct a corresponding valuation  $\rho'(fv_{OT})$  such that for any  $a_i \in fv_{OT}$ , have a valuation  $(a_i \leftarrow C_i)$  in  $\rho'(fv_{OT})$ . With the constructed valuation  $\rho'(fv_{OT})$ , we know following formula

$$\begin{cases}
Pred_{s,t} \land Pred_{OT} \implies \\
\bigvee_{x \in X} \left[ \exists fv_{OT_x} . \left( \forall j. \beta_j = \beta_{j_x} \land \alpha = \alpha_x \land Pred_{OT_x} \right. \right. \\
\left. \land Pred_{s',t'_x} \left\{ Post_{OT} \uplus Post_{OT_x} \right\} \right) \right] \right\} \left\{ \rho'(fv_{OT}) \right\} \quad (7)$$

holds. Imaging that if we make this constructed valuation  $\rho'(fv_{OT})$  effective in formula 7, any variable  $a_i \in fv_{OT}$  will be valuated with  $C_i$ , and formula 7 still holds.

For any variables in  $fv_{OT}$  assigned with any value, the formula 7 always holds, it's meaning is definitely same as the ForAll quantifier  $\forall fv_{OT}$ . With using this quantifier, we will have formula 3, and it holds. Thus Sufficiency is proved.

**Lemma B.2.** For any formula  $\varphi$ , any substitution  $\sigma$ , if  $\varphi$  holds then  $\varphi\{\sigma\}$  holds. Formally, we have

$$\varphi \Longrightarrow \varphi \{ \sigma \}$$

Moreover, considering that valuation is a kind of substitution, for any valuations  $\rho$ , we have

$$\varphi \Longrightarrow \varphi \{\!\!\{ \rho \}\!\!\}$$

Lemma B.2 is a basic property for logic language with substitutions and valuations, so we won't give a formal proof for it.

With the above lemmas, we can now prove Theorem 3.4.

*Proof.* Let  $A_1, A_2$  be two open automata  $A_1 = \langle J, \mathcal{S}_1, init_1, \mathcal{T}_1 \rangle$  and  $A_2 = \langle J, \mathcal{S}_2, init_2, \mathcal{T}_2 \rangle$ , and their expansions  $\mathcal{E}(A_1) = \langle J, \mathcal{S}_1, init_1, \mathcal{T}'_1 \rangle$  and  $\mathcal{E}(A_2) = \langle J, \mathcal{S}_2, init_2, \mathcal{T}'_2 \rangle$ .

Then suppose there exists a Triple set  $\mathcal{R}$  that is an StrFH-Bisimulation between  $A_1$  and  $A_2$ . We want to prove that  $\mathcal{R}$  is also a FH-Bisimulation between  $\mathcal{E}(A_1)$  and  $\mathcal{E}(A_1)$ .

Let  $(s,t|Pred_{s,t})$  be any triple in  $\mathcal{R}$ . By construction, for any open transition  $OT' \in \mathcal{T}'_1$  starting from state s in  $\mathcal{E}(A_1)$ , there exists at least one origin open transition  $OT \in \mathcal{T}_1$  starting from state s in  $A_1$ :

$$OT = \frac{\beta_j^{j \in J'}, \ Pred_{OT}, \ Post_{OT}}{s \xrightarrow{\alpha} s'}$$

and there exists a substitution  $\sigma$  and an additional predicate pred, such that

$$OT' = \frac{\beta_j^{j \in J'} \{\sigma\}, \ Pred_{OT} \{\sigma\} \land pred \{\sigma\}, \ Post_{OT} \otimes \sigma}{s \xrightarrow{\alpha \{\sigma\}} s'}$$

Due to the fact that  $\mathcal{R}$  is a StrFH-Bisimulation between  $A_1$  and  $A_2$ , and  $(s,t|Pred_{s,t})$  is a Triple in  $\mathcal{R}$ , we know that there exists a set of open transitions  $OT_x^{x \in X} \subseteq \mathcal{T}_2$  starting from state t in  $A_2$ :

$$OT_{x} = \frac{\beta_{j_{x}}^{j_{x} \in J'_{x}}, \ Pred_{OT_{x}}, \ Post_{OT_{x}}}{t \xrightarrow{\alpha_{x}} t'_{x}}$$

from Lemma B.1, suppose that  $\{\rho_y\}^{y\in Y}$  contains all possible valuations defined on variable set  $fv_{OT}$ , we have that for any valuation  $\rho_y^{y\in Y}(fv_{OT})$ , there exists a set of valuations  $\{\rho_{x,y}(fv_{OT_x})\}^{x\in X}$  which corresponding to open transitions  $OT_x$  respectively, such that the following formula

$$\begin{cases} Pred_{s,t} \wedge Pred_{OT} \Longrightarrow \\ \bigvee_{x \in X} \left[ \left( \forall j.\beta_j = \beta_{j_x} \wedge \alpha = \alpha_x \wedge Pred_{OT_x} \right. \right. \\ \left. \wedge Pred_{s',t'_x} \left\{ Post_{OT} \uplus Post_{OT_x} \right\} \right) \left\{ \rho_{x,y} (\mathit{fv}_{OT_x}) \right\} \right] \right\} \left\{ \rho_y (\mathit{fv}_{OT}) \right\}$$

holds.

For any valuation  $\rho_y^{y \in Y}(fv_{OT})$  and any open transition  $OT_x^{x \in X}$  with corresponding valuation  $\rho_{x,y}(fv_{OT_x})$ , we can construct an open transition  $OT'_{x,y}$ :

$$OT'_{x,y} = \frac{\beta_{j_x}^{j_x \in J'_x} \{ \rho_{x,y}(fv_{OT_x}) \}, \quad Pred_{OT_x} \{ \rho_{x,y}(fv_{OT_x}) \}, \quad Post_{OT_x} \otimes \rho_{x,y}(fv_{OT_x}) \}}{t \xrightarrow{\alpha_x \rho_{x,y}(fv_{OT_x})} t'_x}$$

it's easy to see that,  $OT'_{x,y}$  is an open transition in  $\mathcal{E}(A_2)$ , with substitution  $\sigma = \rho_{x,y}(fv_{OT_x})$  and additional predicate  $pred_2 = True$ . From this rule, we will construct a large set of open transitions  $\{OT'_{x,y}\}^{x \in X \land y \in Y}$ . Before continuing to prove, we would like to have a new lemma first, to help the rest part of proof:

Lemma B.3. With all the above pre-condition, we have following

 $Pred_{s,t} \wedge Pred_{OT} \wedge AddPred_{OT} \Longrightarrow$ 

$$\bigvee_{x \in X} \bigvee_{y \in Y} \left[ \left( \forall j. \beta_j = \beta_{j_x} \land \alpha = \alpha_x \land Pred_{OT_x} \right. \right. \\ \left. \land Pred_{s',t'_x} \left\{ Post_{OT} \uplus Post_{OT_x} \right\} \right) \left\{ \rho_{x,y} (fv_{OT_x}) \right\} \right]$$
(8)

holds.

*Proof.* Here we assume that Lemma B.3 doesn't hold, and try to reduction to absurdity. From formula 8, after unfolding the *Implies*, we have:

$$\neg Pred_{s,t} \vee \neg Pred_{OT} \vee \neg AddPred_{OT} \vee$$

$$\bigvee_{x \in X} \bigvee_{y \in Y} \left[ \left( \forall j. \beta_j = \beta_{j_x} \wedge \alpha = \alpha_x \wedge Pred_{OT_x} \right. \right.$$

$$\wedge Pred_{s',t'_x} \left\{ Post_{OT} \uplus Post_{OT_x} \right\} \right) \left\{ \rho_{x,y} (fv_{OT_x}) \right\} \right]$$

and the negation of above formula is the following:

 $Pred_{s,t} \wedge Pred_{OT} \wedge AddPred_{OT} \wedge$ 

$$\bigwedge_{x \in X} \bigwedge_{y \in Y} \neg \Big[ \big( \forall j. \beta_j = \beta_{j_x} \land \alpha = \alpha_x \land Pred_{OT_x} \\
\land Pred_{s',t'_x} \big\{ Post_{OT} \uplus Post_{OT_x} \big\} \big) \big\{ \rho_{x,y} (fv_{OT_x}) \big\} \Big]$$
(9)

Suppose the Lemma B.3 doesn't hold, means that with the given pre-condition, formula 8 doesn't hold. Thus, the negation of formula 9 is satisfiable, and we can say that there exist a set of valuation  $\rho$  where  $dom(\rho)$  equals all the free variables in formula 9, such that

$$\left\{ Pred_{s,t} \wedge Pred_{OT} \wedge AddPred_{OT} \wedge \right.$$

$$\left. \bigwedge_{x \in X} \bigwedge_{y \in Y} \neg \left[ (\forall j.\beta_j = \beta_{j_x} \wedge \alpha = \alpha_x \wedge Pred_{OT_x} \right.$$

$$\left. \wedge Pred_{s',t'_x} \left\{ Post_{OT} \uplus Post_{OT_x} \right\} \right) \left\{ \rho_{x,y} (fv_{OT_x}) \right\} \right] \right\} \left\{ \rho \right\} \quad (10)$$

holds. We define V as the set of all the free variables in formula 9, due to the fact that all the free variables in formula 9 comes from open transitions OT and  $OT_x^{x \in X}$ , and  $vars(OT) = fv_{OT} \cup (vars(s) \cup vars(s'))$ , it's easy to see that

$$\begin{aligned} V &= \mathit{vars}(OT) \bigcup_{x \in X} \mathit{vars}(OT_x) \\ &= \mathit{fv}_{OT} \cup \mathit{vars}(s) \cup \mathit{vars}(s') \bigcup_{x \in X} (\mathit{fv}_{OT_x} \cup \mathit{vars}(t) \cup \mathit{vars}(t'_x)) \end{aligned}$$

All the variables in  $fv_{OT_x}$  have been valuated with a concrete value by valuation  $\rho_{x,y}(fv_{OT_x})$  in formula 10, thus for any variable in  $fv_{OT_x}$ ,  $\rho$  has no effect on them, so  $\rho$  doesn't need to contain

any valuation defined on variables in  $fv_{OT_x}$ . We know that  $fv_{OT}$  is disjoint with the set of state variables involved in these transitions. Let  $vars(s,s',t,t'_x)$  denote the set of variables:  $vars(s) \cup vars(s') \cup vars(t) \cup vars(t'_x)$ . Then we can see, there must exist a valuation  $\rho_{neg}(fv_{OT})$  defined on  $fv_{OT}$ , and a valuation  $\rho_{neg}(vars(s,s',t,t'_x))$ , such that  $\rho = \rho_{neg}(fv_{OT}) \cup \rho_{neg}(vars(s,s',t,t'_x))$  and by unfolding  $\rho$  on formula 10, we have:

$$\left\{ Pred_{s,t} \wedge Pred_{OT} \wedge AddPred_{OT} \wedge \right.$$

$$\left. \bigwedge_{x \in X} \bigwedge_{y \in Y} \neg \left[ (\forall j. \beta_j = \beta_{j_x} \wedge \alpha = \alpha_x \wedge Pred_{OT_x} \right.$$

$$\left. \wedge Pred_{s',t'_x} \left\{ Post_{OT} \uplus Post_{OT_x} \right\} \right) \left\{ \rho_{x,y} (fv_{OT_x}) \right\} \right] \right\}$$

$$\left\{ \rho_{neg} (fv_{OT}) \right\} \left\{ \rho_{neg} (vars(s, s', t, t'_x)) \right\} \quad (11)$$

holds.

Now let's consider the pre-condition. From Lemma B.1, due to the fact that  $neg \in Y$ , we know that for this negative valuation  $\rho_{neg}(fv_{OT})$ , there also exists a set of valuations  $\rho_{x,neg}(fv_{OT_x})^{x \in X}$  that make the following

$$\left\{ Pred_{s,t} \wedge Pred_{OT} \Longrightarrow \right. \\ \left. \bigvee_{x \in X} \left[ \left( \forall j. \beta_j = \beta_{j_x} \wedge \alpha = \alpha_x \wedge Pred_{OT_x} \right. \right. \\ \left. \wedge Pred_{s',t'_x} \left\{ Post_{OT} \uplus Post_{OT_x} \right\} \right) \left\{ \rho_{x,neg}(fv_{OT_x}) \right\} \right] \right\} \\ \left. \left\{ \rho_{neg}(fv_{OT}) \right\} \right. \\ \left. \left\{ \rho_{neg}(fv_{OT}) \right\} \right\}$$

hold. From Lemma B.2, we know that applying this last valuation  $\rho_{neg}(vars(s, s', t, t'_x))$  to the above formula, we will have following

$$\left\{ Pred_{s,t} \land Pred_{OT} \Longrightarrow \bigvee_{x \in X} \left[ \left( \forall j.\beta_j = \beta_{j_x} \land \alpha = \alpha_x \land Pred_{OT_x} \right. \right. \right. \\ \left. \land Pred_{s',t'_x} \left\{ Post_{OT} \uplus Post_{OT_x} \right\} \right) \left\{ \rho_{x,neg}(fv_{OT_x}) \right\} \right] \right\} \\ \left. \left\{ \rho_{neg}(fv_{OT}) \right\} \left\{ \rho_{neg}(vars(s,s',t,t'_x)) \right\} \right.$$

still holds. But due to the fact that  $neg \in Y$ , this formula is obviously conflict with formula 11, so we have proved Lemma B.3 holds.

From Lemma B.3, we know that formula 8 holds. And from Lemma B.2, we know that after

applying substitution  $\sigma$  on the formula 8,

$$\left\{ Pred_{s,t} \wedge Pred_{OT} \wedge AddPred_{OT} \Longrightarrow \right.$$

$$\left. \bigvee_{x \in X} \bigvee_{y \in Y} \left[ \left( \forall j. \beta_j = \beta_{j_x} \wedge \alpha = \alpha_x \wedge Pred_{OT_x} \right. \right.$$

$$\left. \wedge Pred_{s',t'_x} \left\{ Post_{OT} \uplus Post_{OT_x} \right\} \right) \left\{ \rho_{x,y} (\mathit{fv}_{OT_x}) \right\} \right] \right\} \left\{ \sigma \right\}$$

still holds. Due to the fact that  $A_1$  and  $A_2$  have disjoint variables, we can distribute the substitutions into the formula and get following

$$Pred_{s,t} \wedge Pred_{OT} \{\sigma\} \wedge AddPred \{\sigma\} \Longrightarrow$$

$$\bigvee_{x \in X} \bigvee_{y \in Y} (\forall j.\beta_j \{\sigma\} = \beta_{j_x} \{\rho_{x,y}(fv_{OT_x})\} \}$$

$$\wedge \alpha \{\sigma\} = \alpha_x \{\rho_{x,y}(fv_{OT_x})\} \wedge Pred_{OT_x} \{\rho_{x,y}(fv_{OT_x})\} \}$$

$$\wedge Pred_{s',t'} \{(Post_{OT} \otimes \sigma) \uplus (Post_{OT_x} \otimes \rho_{x,y}(fv_{OT_x}))\} \}$$
(12)

holds. Note that the above formula is the same as the proof obligation in the definition of FH-Bisimulation, which means OT' can be covered by the open transition set  $\{OT'_{x.u}\}^{x \in X \land y \in Y}$ .

In summary, above proof has proves that: for any triples  $(s, t|Pred_{s,t})$  in a StrFH-Bisimulation  $\mathcal{R}$  between  $A_1$  and  $A_2$ , and for any open transition OT' start from s in  $\mathcal{E}(A_1)$ , one can always find a large set of open transitions  $OT_{x,y}^{x \in X \land y \in Y}$  starting from t in  $\mathcal{E}(A_2)$ , such that formula (12) holds. And symmetrically, for any open transition OT" starting from t in  $\mathcal{E}(A_2)$  the same property holds.

This result means, the StrFH-Bisimulation  $\mathcal{R}$  is also a FH-Bisimulation between  $\mathcal{E}(A_1)$  and  $\mathcal{E}(A_2)$ .

#### **B.2** Proof of Completeness

In this section, we will prove that StrFH-Bisimulation is completely corresponding to FH-Bisimulation, which is formally expressed by Theorem 3.5.

*Proof.* Suppose there are two general open automata  $A_1 = \langle J_1, \mathcal{S}_1, init_1, \mathcal{T}_1 \rangle$  and  $A_2 = \langle J_2, \mathcal{S}_2, init_2, \mathcal{T}_2 \rangle$ , and we generate two semantic open automata  $\mathcal{E}(A_1) = \langle J_1, \mathcal{S}_1, init_1, \mathcal{T'}_1 \rangle$  and  $\mathcal{E}(A_2) = \langle J_2, \mathcal{S}_2, init_2, \mathcal{T'}_2 \rangle$  through expansion.

Then suppose there exists a triple set  $\mathcal{R}$  that is a FH-Bisimulation between  $\mathcal{E}(A_1)$  and  $\mathcal{E}(A_2)$ . What we want to prove is: triple set  $\mathcal{R}$  is also a StrFH-Bisimulation between  $A_1$  and  $A_2$ .

For any triple  $(s,t|Pred_{s,t}) \in \mathcal{R}$ , let  $OT \in \mathcal{T}_1$  be a general open transition starting from s in  $A_1$ 

$$OT = \frac{\beta_j^{j \in J'}, \ Pred_{OT}, \ Post_{OT}}{\alpha_j \alpha_j \alpha_j \alpha_j \alpha_j}$$

then for any valuation  $\rho_y(fv_{OT})$  defined on variable set  $fv_{OT}$ , we can construct an open transition OT' with substitution  $\sigma = \rho_y(fv_{OT})$  and additional predicate addPred = True:

$$OT' = \frac{\beta_j^{j \in J'} \{ \rho_y(\mathit{fv}_{OT}) \}, \; \mathit{Pred}_{OT} \{ \rho_y(\mathit{fv}_{OT}) \} \wedge \mathit{True}, \qquad \mathit{Post}_{OT} \otimes \rho_y(\mathit{fv}_{OT}) \}}{s} s'$$

Obviously, OT' is an open transition in  $\mathcal{E}(A_1)$ . Due to the fact that  $\mathcal{E}(A_1)$  and  $\mathcal{E}(A_2)$  are FH-Bisimilar, we know that there exist a set of open transitions  $\{OT'_x\}^{x \in X}$  in  $\mathcal{E}(A_2)$ , which start from state t. For each  $OT'_x$  in this set, there must exist an origin open transition  $OT_x$  in  $A_2$ 

$$OT_{x} = \frac{\beta_{j_{x}}^{j_{x} \in J'_{x}}, \ Pred_{OT_{x}}, \ Post_{OT_{x}}}{t \xrightarrow{\alpha_{x}} t'}$$

and there exist a substitution  $\sigma_x$  and a additional predicate  $addPred_x$ , such that  $OT'_x = OT_x\{\{\sigma_x\}\}$ , and through definition of FH-Bisimulation, we know that following formula

$$\begin{split} Pred_{s,t} \wedge Pred_{OT}\{\rho_y(fv_{OT})\} \wedge True &\Longrightarrow \\ &\bigvee_{x \in X} \{\forall j.\beta_j \{\rho_y(fv_{OT})\} = \beta_{j_x} \{\sigma_x\} \\ &\wedge \alpha \{\rho_y(fv_{OT})\} = \alpha_x \{\sigma_x\} \wedge Pred_{OT_x} \{\sigma_x\} \wedge addPred_x \{\sigma_x\} \\ &\wedge Pred_{s',t'} \{(Post_{OT} \otimes \rho_y(fv_{OT})) \uplus (Post_{OT_x} \otimes \sigma_x)\} \} \end{split}$$

holds. Then using the following properties mentioned in previous sections:

$$vars(OT) \cap vars(OT_x) = \emptyset$$

$$dom(\sigma_x) \subseteq fv_{OT_x}, \ dom(\rho_y(fv_{OT})) = fv_{OT}$$

$$(vars(Pred_{s,t}) \cup vars(Pred_{s',t'_x})) \cap (fv_{OT} \cup fv_{OT_x}) = \emptyset$$

we can extract two substitutions out of the bracket and have the following formula

$$\left\{ Pred_{s,t} \wedge Pred_{OT} \wedge True \Longrightarrow \right. \\
\left. \bigvee_{x \in X} \left[ \left( \forall j. \beta_j = \beta_{j_x} \wedge \alpha = \alpha_x \wedge Pred_{OT_x} \wedge addPred_x \right. \\
\left. \wedge Pred_{s',t'_x} \left\{ Post_{OT} \uplus Post_{OT_x} \right\} \right) \left\{ \sigma_x \right\} \right] \right\} \left\{ \left[ \rho_y(fv_{OT}) \right\} \right\} (13)$$

holds. From the basic property of first order logic

$$\varphi \Longrightarrow \exists x, y, z. \varphi$$

we can have the following

$$\{ \forall j.\beta_j = \beta_{j_x} \land \alpha = \alpha_x \land Pred_{OT_x} \\ \land addPred_x \land Pred_{s',t'_x} \{ Post_{OT} \uplus Post_{OT_x} \} \}$$

$$\Longrightarrow \\ \exists fv_{OT_x}. \{ \forall j.\beta_j = \beta_{j_x} \land \alpha = \alpha_x \land Pred_{OT_x} \\ \land Pred_{s',t'_x} \{ Post_{OT} \uplus Post_{OT_x} \} \}$$

we can use another way to describe above formula: due to the existential quantifier, we know there always exists a valuation  $\rho_{x,y}(fv_{OT_x})$  on variables  $fv_{OT_x}$ , such that following

$$\left\{ \forall j.\beta_{j} = \beta_{j_{x}} \land \alpha = \alpha_{x} \land Pred_{OT_{x}} \right. \\ \left. \land addPred_{x} \land Pred_{s',t'_{x}} \left\{ Post_{OT} \uplus Post_{OT_{x}} \right\} \right\} \\ \Longrightarrow \\ \left\{ \forall j.\beta_{j} = \beta_{j_{x}} \land \alpha = \alpha_{x} \land Pred_{OT_{x}} \\ \left. \land Pred_{s',t'_{x}} \left\{ Post_{OT} \uplus Post_{OT_{x}} \right\} \right\} \left\{ \rho_{x,y}(fv_{OT_{x}}) \right\}$$

holds. From the Lemma B.2, applying  $\sigma_x$ , we have that there exist a valuation  $\rho_{x,y}(fv_{OT_x})$  such that

$$\{\forall j.\beta_{j} = \beta_{j_{x}} \land \alpha = \alpha_{x} \land Pred_{OT_{x}} \\ \land addPred_{x} \land Pred_{s',t'_{x}} \{Post_{OT} \uplus Post_{OT_{x}}\} \} \{\sigma_{x}\} \\ \Longrightarrow \\ \{(\forall j.\beta_{j} = \beta_{j_{x}} \land \alpha = \alpha_{x} \land Pred_{OT_{x}} \\ \land Pred_{s',t'} \{Post_{OT} \uplus Post_{OT_{x}}\} \} \{\rho_{x,y}(fv_{OT})\} \} \{\sigma_{x}\} \}$$

holds. We know that  $dom(\sigma_x) \subseteq fv_{OT_x}$  while  $dom(\rho_y(fv_{OT_x})) = fv_{OT_x}$ , thus after applying  $\rho_y(fv_{OT_x})$ , all the variables in  $fv_{OT_x}$  will be substituted with a constant value, which means  $\sigma_x$  will have no effect on right part of above formula, remove this substitution won't change the

formula. So we can have the following: there exists a valuation  $\rho_{x,y}(fv_{OT_x})$  such that

$$\{ \forall j.\beta_j = \beta_{j_x} \land \alpha = \alpha_x \land Pred_{OT_x} \\ \land addPred_x \land Pred_{s',t'_x} \{ Post_{OT} \uplus Post_{OT_x} \} \} \{ \sigma_x \}$$

$$\Longrightarrow$$

$$\{ (\forall j.\beta_j = \beta_{j_x} \land \alpha = \alpha_x \land Pred_{OT_x} \\ \land Pred_{s',t'_x} \{ Post_{OT} \uplus Post_{OT_x} \} ) \{ \rho_{x,y} (fv_{OT_x}) \} \}$$

holds. Let's expand this property to all the open transitions  $OT_x^{'x \in X}$ , we can have that: there exists a set of valuations  $\{\rho_{x,y}(fv_{OT_x})\}^{x \in X}$ , where each  $\rho_{x,y}(fv_{OT_x})$  corresponding to  $OT_x'$  respectively, we have following

holds. Finally, applying formula 14 to formula 13, we get: for any valuation  $\rho_y(fv_{OT})$  on  $fv_{OT}$ , there always exists a set of valuations  $\{\rho_{x,y}(fv_{OT_x})\}^{x\in X}$  which correspond to  $OT_x^{'x\in X}$  respectively, such that

$$\left\{ Pred_{s,t} \wedge Pred_{OT} \wedge True \Longrightarrow \right. \\ \left. \bigvee_{x \in X} \left[ \left( \forall j.\beta_j = \beta_{j_x} \wedge \alpha = \alpha_x \wedge Pred_{OT_x} \wedge addPred_x \right. \right. \\ \left. \wedge Pred_{s',t'_x} \left\{ Post_{OT} \uplus Post_{OT_x} \right\} \right) \left\{ \rho_{x,y}(fv_{OT}) \right\} \right] \right\} \left\{ \rho_y(fv_{OT}) \right\}$$

holds. Note that  $\rho_y(fv_{OT})$  is a general valuation defined on  $fv_{OT}$ . Thus, from Lemma B.1, we know that it can be deducted that

$$\forall fv_{OT}. \Big\{ Pred_{s,t} \wedge Pred_{OT} \implies \bigvee_{x \in X} \Big[ \exists fv_{OT_x}. (\forall j.\beta_j = \beta_{j_x} \wedge \alpha = \alpha_x \wedge Pred_{OT_x} \wedge Pred_{s',t'_x} \{ Post_{OT} \uplus Post_{OT_x} \} ) \Big] \Big\}$$
 (15)

holds, which is definitely the proof obligation of StrFH-Bisimulation.

In summary, above proof has proved that: for any triple  $(s, t|Pred_{s,t})$  in a FH-Bisimulation  $\mathcal{R}$  between  $\mathcal{E}(A_1)$  and  $\mathcal{E}(A_2)$ , selecting any open transition OT starting from state s in  $A_1$ , one can always find a set of open transitions  $\{OT_x\}^{x \in X}$  starting from state t in  $A_2$ , such that formula 15 holds. And obviously, selecting any open transition OT'' starting from state t in  $A_2$  also share same property.

This result means, a FH-Bisimulation  $\mathcal{R}$  between  $\mathcal{E}(A_1)$  and  $\mathcal{E}(A_2)$  is also a StrFH-Bisimulation between  $A_1$  and  $A_2$ . Thus, using a StrFH-Bisimulation to represent a corresponding FH-Bisimulation is complete.

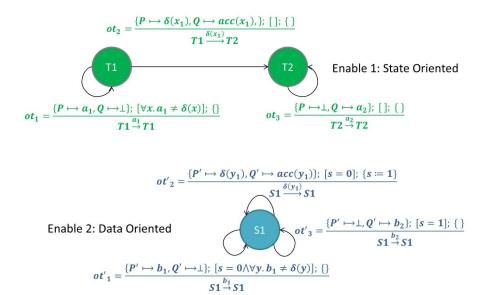


Figure 3: The two open automata

# B.3 Running Example for StrFH-Bisimulation

In this section, we will provide the open automata in figure above as a running example, and show why Triple Set  $\{(T_1, S_1|s=0), (T_2, S_1|s=1)\}$  is a StrFH-Bisimulation between these two automata. We name the first automaton as  $A_1$  and second one as  $A_2$ .

Starting from the first Triple  $(T_1, S_1|s=0)$  in given Triple set, it's easy to see that there are five open transitions starting from  $T_1$  or  $S_1$ , thus we will construct five proof obligations from this Triple.

For any open transition OT with corresponding set of open transitions  $OT_x^{x \in X}$ , We know the form of proof obligation is

$$\forall fv_{OT}. \{ Pred_{s,t} \land Pred_{OT} \Longrightarrow \bigvee_{x \in X} [\exists fv_{OT_x}. (\forall j \in J'. \beta_j = \beta_{j_x} \land \alpha = \alpha_{j_x} \land Pred_{OT_x} \land Pred_{s',t'_x} \{ Post_{OT} \uplus Post_{OT_x} \} )] \}$$

Thus for open transition  $ot_1$  starting from  $T_1$ , with corresponding open transition set  $\{ot'_1\}$  (all the other open transitions from  $S_1$  have different activated holes), we can build proof obligation following:

$$\forall a_1. \Big\{ s = 0 \land \forall x. [a_1 \neq \delta(x)] \implies$$

$$\exists b_1. \{ a_1 = b_1 \land a_1 = b_1 \land s = 0 \land \forall y. [b_1 \neq \delta(y)] \land s = 0 \} \Big\}$$

and it's a tautology, means  $ot_1$  can be covered by  $ot'_1$  under the hypothesis s=0. Then for open

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transition  $ot_2$  with corresponding open transition set  $\{ot_2'\}$ , we can build following:

$$\forall x_1.\{s = 0 \land True \implies$$

$$\exists y_1.[\delta(x_1) = \delta(y_1) \land acc(x_1) = acc(y_1)$$

$$\land \delta(x_1) = acc(y_1) \land s = 0 \land (s = 1 \{s \leftarrow 1\})]\}$$

again it's a tautology, means  $ot_2$  can be covered by  $ot'_2$  under the hypothesis s = 0. The proof obligations for  $ot'_1$  and for  $ot'_2$  are similar with the above, thus we will not show them.

The last proof obligation of Triple  $(T_1, S_1 | s = 0)$  may be more interesting. It is built from  $ot_3'$ , with corresponding open transition set  $\emptyset$ :

$$\forall b_2. \{s = 0 \land s = 1 \implies False\}$$

because for all the open transitions starting from  $T_1$ , none have same activated holes with  $ot_3'$ , the formula on right side of "Implication" operator are unsatisfiable, represented by False. Despite this, the proof obligation is still a tautology, because it can be derived as  $False \implies False$ . That means, when Automaton  $A_1$  is in state  $T_1$  and Automaton  $A_2$  is in state  $S_1$ , this open transition  $ot_3'$  won't be activated with the given hypothesis s=0. Thus, we know that all the proof obligations built from Triple  $(T_1, S_1|s=0)$  are tautology.

From Triple  $(T_2, S_1|s=1)$ , we can build three proof obligations. The procedures of building them are very close to the above, even simpler, so we will leave it to the readers. And it's easy to see all the proof obligation from Triple  $(T_2, S_1|s=1)$  are also tautologies, thus Triple set  $\{(T_1, S_1|s=0), (T_2, S_1|s=1)\}$  meets the definition of StrFH-Bisimulation, and we can say it's a StrFH-Bisimulation between  $A_1$  and  $A_2$ .

# C Generate Weakest StrFH-Bisimulation

### C.1 Detail of sub-functions

#### Push Reachable StatePairs

First let us focus on a sub-function PushReachableStatePairs, which will be called during Initialization period in GenerateWeakestStrFH. It's input are two States s and t belonging to two different open automata, and a StatePair's stack called StateStack.

The function is a variant of DFS (Depth First Search). It first visit StatePair (s,t) and push it into the stack StateStack, then for each next-StatePair  $(s_{next_x}, t_{next_x})$  of (s,t), call the function PushReachableStatePairs with inputting this next-StatePair recursively. Note that each time visiting a StatePair (s,t), function will record that this StatePair has been visited, and won't visit it again.

It's easy to see that, all the StatePair which are reachable from (s,t), will be visited during this recursion. Thus obviously, after end of this recursive function, for any StatePair (s,t) in StateStack, all the StatePairs which are reachable from (s,t) will also exist in StateStack. Algorithm 5 is the pseudo-code for this recursive function.

### algorithm 5 Push Reachable StatePairs

```
input: s, t two states, where s belong to A_1 and t belong to A_2. StateStack a StatePair stack.
 1: function PUSHREACHABLESTATEPAIRS(s, t, StateStack)
 2:
       if StatePair (s,t) has been visited then
          return
 3:
       end if
 4:
       push StatePair (s,t) into StateStack
 5:
       mark that (s,t) has been visited
 6:
 7:
       for each open transition OT starting from s and targeting to s'_x do
          for each open transition OT' starting from t and targeting to t'_y do
 8:
              PushReachableStatePairs(s'_x, t'_y, StateStack)
 9:
10:
          end for
       end for
11:
12: end function
```

### Update Predicate

Then, let us focus on another sub-function UpdatePredicate, which will also be called by GenerateWeakestStrFH. It's input is TripleSet, a Triple set, and i, index of an element in TripleSet. What function UpdatePredicate do is updating the Triple TripleSet[i], to make sure that this Triple can meet the Definition of a Triple in StrFH-Bisimulation. Algorithm 6 is the pseudo-code of this function.

More detailed, suppose Triple  $TripleSet[i] = (s, t|Pred_{s,t})$ , then for any open transition OT starting from s

$$OT = \frac{\beta_j^{j \in J'}, \ Pred_{OT}, \ Post_{OT}}{s \xrightarrow{\alpha} s'}$$

and let set  $\{OT_x\}^{x\in X}$  be all open transitions starting from state t and have the same active holes

than OT

$$OT_{x} = \frac{\beta_{j}^{j \in J'_{x}}, \ Pred_{OT_{x}}, \ Post_{OT_{x}}}{t \xrightarrow{\alpha_{x}} t'_{x}}$$

With  $\{OT_x\}^{x\in X}$ , we can construct a proof obligation po

$$\forall fv_{OT}. \{ Pred_{s,t} \land Pred_{OT} \implies \bigvee_{x \in X} [\exists fv_{OT_x}. (\forall j.\beta_j = \beta_{j_x} \land \alpha = \alpha_x \land Pred_{OT_x} \land Pred_{s',t'}. \{ Post_{OT} \uplus Post_{OT_x} \} ) ] \}$$

Then we use SMT solver to check the negation of proof obligation po, same as what we did in checking algorithm in last section. If po is a tautology, function will keep going on; If not, means we need to update the Triple's Predicate formula.

Without losing generality, suppose it will be update to  $(s,t|newPred_{s,t})$ . We have  $newPred_{s,t} = Pred_{s,t} \wedge po$ , where po is the proof obligation just generated. Then we have  $newPred_{s,t}$ :

$$\begin{split} Pred_{s,t} \wedge \forall fv_{OT}. \{ Pred_{s,t} \wedge Pred_{OT} \implies & \bigvee_{x \in X} \left[ \exists fv_{OT_x}. (\forall j.\beta_j = \beta_{j_x} \wedge \alpha = \alpha_x \\ & \wedge Pred_{OT_x} \wedge Pred_{s',t'_x} \{ Post_{OT} \uplus Post_{OT_x} \} ) \right] \} \end{split}$$

and due to the fact that  $vars(Pred_{s,t}) \cap fv_{OT} = \emptyset$ , we can distribute  $Pred_{s,t}$  into the quantifier and derive  $newPred_{s,t}$  to:

$$\begin{split} Pred_{s,t} \wedge \forall fv_{OT}. \Big\{ Pred_{s,t} \wedge Pred_{OT} \implies \\ \bigvee_{x \in X} [\exists fv_{OT_x}. (\forall j.\beta_j = \beta_{j_x} \wedge \alpha = \alpha_x \\ & \wedge Pred_{OT_x} \wedge Pred_{s',t'_x} \{\!\!\{ Post_{OT} \uplus Post_{OT_x} \}\!\!\})] \Big\} \Big\} \end{split}$$

and then simplify it to:

$$\begin{split} Pred_{s,t} \wedge \forall fv_{OT}. \Big\{ Pred_{OT} \implies & \bigvee_{x \in X} \left[ \exists fv_{OT_x}. (\forall j.\beta_j = \beta_{j_x} \wedge \alpha = \alpha_x \\ & \wedge Pred_{OT_x} \wedge Pred_{s',t'_x} \{ Post_{OT} \uplus Post_{OT_x} \} ) \right] \Big\} \end{split}$$

It's easy to see that, in the above formula, all the variables in  $fv_{OT}$  and  $fv_{OT_x}$  are bound variables, and thus free variables in above formula represented by  $vars(newPred_{s,t}) = vars(s) \cup vars(t) \cup_{x \in X} vars(Pred_{s',t'_x})$ , only contains state variables, meet the definition of the Predicate in a Triple.

Moreover, if we use C to represent following formula:

$$\bigvee_{x \in X} [\exists fv_{OT_x}.(\forall j.\beta_j = \beta_{j_x} \land \alpha = \alpha_x \\ \land Pred_{OT_x} \land Pred_{s',t'_x} \{\{Post_{OT} \uplus Post_{OT_x}\}\})]$$

then we can use following to represent predicate formula  $newPred_{s,t}$ :

$$Pred_{s,t} \land \forall fv_{OT}. \{Pred_{OT} \implies C\}$$

and we can generate new proof obligation newpo from above new Predicate

$$\forall fv_{OT}.\{newPred_{s,t} \land Pred_{OT} \implies C\}$$

and we can derive newpo to:

$$\forall fv_{OT}.\{[Pred_{s,t} \land \forall fv_{OT}.(Pred_{OT} \implies C) \land Pred_{OT}] \implies C\}$$

which is obviously a tautology. Thus we can say, after updating any Triple, all the proof obligation constructed by this Triple is a tautology.

### algorithm 6 UpdatePredicate

```
1: function UPDATEPREDICATE(i, TripleSet)
        (s, t|Pred_{s,t}) \leftarrow TripleSet[i]
        for each open transition OT starting from s to s' do
 3:
            using the same way as Checking Algorithm, to collect an open transition set \{OT_x\}^{x \in X}
 4:
    from t to t'_r and a corresponding predicate formula set \{Pred_{s',t'}\}^{x\in X}
            if \{OT_x\}_{x\in X} is an empty set then
 5:
                set[i] \leftarrow (s, t|False)
 6:
                return
 7:
            end if
 8:
            use OT, \{OT_x\}^{x \in X}, Pred_{s,t} and \{Pred_{s',t'_x}\}^{x \in X} to generate proof obligation po
 9:
            if negate(po) is unSatisfiable then
10:
                 Pred_{s,t} \leftarrow Pred_{s,t} \wedge po
11:
             end if
12:
        end for
13:
        for each open transition OT' starting from t to t' do
14:
            using the same way as Checking Algorithm, to collect an open transition set \{OT'_u\}^{y \in Y}
15:
    from s to s'_{y} and a corresponding predicate formula set \{Pred_{s'_{y},t'}\}^{y\in Y}
            if \{OT'_y\}^{y \in Y} is an empty set then
16:
                 set[i] \leftarrow (s, t|False)
17:
                 return
18:
19:
            use OT', \{OT'_y\}^{y\in Y}, Pred_{s,t} and \{Pred_{s'_y,t'}\}^{y\in Y} to generate proof obligation po
20:
            if negate(po) is unSatisfiable then
21:
                 Pred_{s,t} \leftarrow Pred_{s,t} \wedge po
22:
            end if
23:
        end for
24:
        if Pred_{s,t} is unSatisfiable then
25:
             Pred_{s,t} \leftarrow False
26:
27:
        end if
        set[i] \leftarrow (s, t|Pred_{s,t})
28:
29: end function
```

### C.2 Proof of Weakestness

In this section, we will prove that the StrFH-Bisimulation generated by function GenerateWeakest-StrFH is the weakest, which is formally expressed by Theorems 5.2 and 5.3.

We start with Theorem 5.2:

*Proof.* Suppose  $\mathcal{R}$  is a StrFH-Bisimulation between  $A_1$  and  $A_2$ , and all the Triples in  $\mathcal{R}$  are weakest according to Definition 5.1. Let's assume there exists a weaker StrFH-Bisimulation  $\mathcal{R}_{weak}$ , which means two possibilities:

- either there exists a Triple  $(s, t|Pred_{weaker})$  in  $\mathcal{R}_{weaker}$ , and  $Pred_{weaker}$  is weaker than the predicate in the corresponding Triple in  $\mathcal{R}$ .
- or there exists a Triple  $(s, t|Pred_{incomp} \text{ in } \mathcal{R}_{weaker}, \text{ and } Pred_{incomp} \text{ is incomparable with the predicate in the corresponding Triple in } \mathcal{R}.$

It's easy to see that, both situations violate the definition of Weakest Triple, thus  $\mathcal{R}$  is the Weakest StrFH-Bisimulation.

Now we prove Theorem 5.3 that states that each Triple in the result of algorithm *Generate WeakestStrFH* is, individually, a weakest Triple.

*Proof.* Now we use Mathematical induction to prove Theorem 5.3. First, during calling function GenerateWeakestStrFH, after initialize the TripleSet, we will definitely face a kind of Triples  $(s,t|Pred_{s,t})$ , where:

- it's predicate formula  $Pred_{s,t}$  has initial value True;
- and for any next-Triple  $(s_{next_x}, t_{next_x}|Pred_{next_x})$  of  $(s, t|Pred_{s,t})$  (meaning there exists an open transition from s to  $s_{next_x}$  and an open transition from t to  $t_{next_x}$ ),  $Pred_{next_x}$  has also initial value True.

and we call them Beginning Triples.

First, let Triple  $(s,t|\mathit{True})$  be any Beginning Triple. For any open transition OT starting from s:

$$OT = \frac{\beta_j^{j \in J'}, \ Pred_{OT}, \ Post_{OT}}{s \xrightarrow{\alpha} s'}$$

and set of open transitions  $\{OT_x\}^{x\in X}$  starting from t

$$OT_{x} = \frac{\beta_{j}^{j \in J'_{x}}, \ Pred_{OT_{x}}, \ Post_{OT_{x}}}{t \xrightarrow{\alpha_{x}} t'_{x}}$$

we will have proof obligation  $po_{OT}$ :

$$\forall fv_{OT}. \{ \mathit{True} \land \mathit{Pred}_{OT} \Longrightarrow \bigvee_{x \in X} [\exists fv_{OT_x}. (\forall j \in J'. \beta_j = \beta_{j_x} \land \alpha = \alpha_x \\ \land \mathit{Pred}_{OT_x} \land \mathit{True})] \}$$

and if  $po_{OT}$  is a tautology, we don't need to update the initial Predicate True, it's the weakest; if  $po_{OT}$  is not a tautology, according to function UpdatePredicate, we will generate a new predicate formula  $newPred_{OT}$ . To simplify the formula, we use term  $C_x$  to represent following formula:

$$\forall j \in J'.\beta_j = \beta_{j_x} \land \alpha = \alpha_x \land Pred_{OT_x}$$

thus, we can represent the updated predicate formula  $newPred_{OT}$  as:

$$\mathit{True} \wedge \forall \mathit{fv}_{OT}. \{\mathit{Pred}_{OT} \Longrightarrow \bigvee_{x \in X} [\exists \mathit{fv}_{OT_x}. (C_x \wedge \mathit{True})] \}$$

Suppose predicate  $pred_{weak}$  is any predicate formula, that there exists a StrFH-Bisimulation  $\mathcal{R}$  between given automata and this StrFH-Bisimulation contains the Triple  $(s, t|Pred_{weak})$ .

We know that  $(s,t|Pred_{s,t})$  is a *Begining Triples*, which means the predicate of any next-Triple  $(s_{next_x},t_{next_x}|Pred_{next_x})$  is *True* and couldn't be weaker. Thus, the proof obligation generated from  $Pred_{weak}$ 

$$\forall fv_{OT}. \{Pred_{weak} \land Pred_{OT} \Longrightarrow \bigvee_{x \in X} [\exists fv_{OT_x}. (C_x \land True)]\}$$

should be a tautology.

Then, from the basic rule of propositional logic, we can have:

$$\forall fv_{OT}. \Big\{ Pred_{weak} \implies \Big\{ Pred_{OT} \Longrightarrow \bigvee_{x \in X} [\exists fv_{OT_x}. (C_x \land True)] \Big\} \Big\}$$

and note that  $Pred_{weak}$  is a predicate formula in Triple, which only contains state variables as free variables, means  $vars(Pred_{weak}) \cap fv_{OT} = \emptyset$ , thus we have:

$$Pred_{weak} \implies \forall fv_{OT}. \{Pred_{OT} \Longrightarrow \bigvee_{x \in X} [\exists fv_{OT_x}. (C_x \land True)]\}$$

and it can be derive to:

$$Pred_{weak} \implies newPred_{OT}$$

thus we can say, for any predicate formula  $Pred_{weak}$ , which can make the proof obligation generated from it be a tautology, definitely implies the updated predicate formula  $newPred_{OT}$ .

Obviously, base on the above conclusion, we can know: for any predicate formula Pred', which can't imply predicate  $newPred_{OT}$ , there always exists a proof obligation generated by Triple (s,t|Pred') is not a tautology, and any Triple Set containing (s,t|Pred') won't be a StrFH-Bisimulation between given automata. Thus Triple  $(s,t|newPred_{OT})$  satisfies the Definition 5.1.

For each proof obligation  $po_y^{y \in Y}$  constructed from  $(s,t|Pred_{s,t})$ ,  $newPred_y$  is the updated predicate formula from our algorithm, and we know that Triple  $(s,t|newPred_y)$  satisfies the Theorem 5.1. Considering all the proof obligations constructed from Triple  $(s,t|Pred_{s,t})$ , we want all of them be tautologies. Thus, it's easy to see that Triple  $(s,t|\bigwedge_{y \in Y} newPred_y)$  also satisfies the Theorem 5.1.

Note that function UpdatePredicate will update original predicate to the conjunction of  $newPred_y^{y \in Y}$ , So, the final predicate  $newPred_{s,t} = \bigwedge_{y \in Y} newPred_y$  generated from function satisfies the Theorem 5.1.

In summary, we can say, after applying any Beginning Triple (s, t | True) to function Up-datePredicate, the result Triple will satisfy the Theorem 5.1.

Then, we can focus on other Triples. Without losing generality, let Triple  $(s,t|Pred_{s,t})$  be any Triple which isn't Beginning Triple and need to be updated, and suppose all the Triples already satisfied Theorem 5.1. For any open transition OT starting from s:

$$OT = \frac{\beta_j^{j \in J'}, \ Pred_{OT}, \ Post_{OT}}{s \xrightarrow{\alpha} s'}$$

and set of open transitions  $\{OT_x\}^{x\in X}$  starting from t

$$OT_x = \frac{\beta_j^{j \in J_x'}, \ Pred_{OT_x}, \ Post_{OT_x}}{t \xrightarrow{\alpha_x} t_-'}$$

we will have proof obligation  $po_{OT}$ :

$$\forall fv_{OT}. \{Pred_{s,t} \land Pred_{OT} \Longrightarrow$$

$$\bigvee_{x \in X} [\exists f v_{OT_x}. (\forall j \in J'. \beta_j = \beta_{j_x} \land \alpha = \alpha_x)]$$

$$\land Pred_{OT_x} \land Pred_{s',t'_x} \{ Post_{OT} \uplus Post_{OT_x} \} ) ] \}$$

Same as before, to simplify the formula, we use  $C_x$  to represent

$$\forall j \in J'.\beta_j = \beta_{j_x} \land \alpha = \alpha_x \land Pred_{OT_x}$$

and then, with using function UpdatePredicate, we may update the predicate to  $newPred_{OT}$ , and we can represent it as following:

$$Pred_{s.t} \wedge \forall fv_{OT}. \{Pred_{OT} \Longrightarrow$$

$$\bigvee_{x \in X} \left[ \exists fv_{OT_x} . (C_x \land Pred_{s',t'_x} \{ Post_{OT} \uplus Post_{OT_x} \} ) \right] \} \quad (16)$$

Again, suppose formula  $Pred_{weak}$  is any predicate formula, that there exists a StrFH-Bisimulation between given automata such that this StrFH-Bisimulation contains Triple  $(s, t|Pred_{weak})$ .

We know that all the Triples satisfy the Definition 5.1, which means the predicate of any the next-Triple  $(s', t'_x|Pred_{s',t'_x})$  should be implied in the proof obligation.

Thus, the proof obligation generated from predicate  $Pred_{weak}$ 

$$\forall fv_{OT}. \{Pred_{weak} \land Pred_{OT} \Longrightarrow$$

$$\bigvee_{x \in X} [\exists fv_{OT_x}.(C_x \land Pred_{s',t'_x} \{\!\!\{ Post_{OT} \uplus Post_{OT_x} \}\!\!\})]\}$$

should be a tautology, and we can have

$$Pred_{weak} \implies \forall fv_{OT}. \{Pred_{OT} \Longrightarrow$$

$$\bigvee_{x \in X} [\exists fv_{OT_x}.(C_x \land Pred_{s',t'_x} \{\!\!\{ Post_{OT} \uplus Post_{OT_x} \}\!\!\})]\}$$

same as before.

Then we need to prove  $Pred_{weak}$  implies  $newPred_{OT}$ . Note that Triple  $(s, t|Pred_{s,t})$  is not a Beginning Triple, means two possibilities:

- $Pred_{s,t}$  is initial value True, but there exist at least one next-Triple (s', t'|Pred') of it, which has just been updated after the beginning, thus Pred' is not initial value True.
- $Pred_{s,t}$  is not initial value True, but the fact that we are calling function UpdatePredicate to update this Triple, means there exists at least one next-Triple (s', t'|Pred') of it, which has just been updated after the last update of Triple  $(s, t|Pred_{s,t})$ .

For the first situation, we can derive  $newPred_{OT}$  to

$$\forall fv_{OT}. \{Pred_{OT} \Longrightarrow$$

$$\bigvee_{x \in X} \left[ \exists fv_{OT_x}. (C_x \land Pred_{s',t'_x} \{ Post_{OT} \uplus Post_{OT_x} \} ) \right] \}$$

and have

$$Pred_{weak} \implies newPred_{OT}$$

And for the second situation, we can collect a set of predicate formula:  $\{Pred_{s',t'_y}\}^{y\in Y}\subseteq \{Pred_{s',t'_x}\}^{x\in X}$ , where for any predicate formula  $Pred_{s',t'_y}$ , it has just been updated after the last update of Triple  $(s,t|Pred_{s,t})$ , and we can represent it as  $Pred_{s',t'_y}=oldPred_{s',t'_y}\wedge newPred_{s',t'_y}$ , where  $oldPred_{s',t'_y}$  is the predicate formula before last update of Triple  $(s',t'_y|Pred_{s',t'_y})$ , and  $newPred_{s',t'_y}$  is the additional predicate formula during updating.

Then, we can derive that

$$Pred_{weak} \implies \forall fv_{OT}. \{Pred_{OT} \implies \bigvee_{z \in X \setminus Y} [\exists fv_{OT_z}. (C_z \land Pred_{s',t'_z} \{Post_{OT} \uplus Post_{OT_z}\})]$$

$$\bigvee_{y \in Y} [\exists fv_{OT_y}. (C_y \land (oldPred_{s',t'_y} \land newPred_{s',t'_y}) \{Post_{OT} \uplus Post_{OT_y}\})] \}$$
 (17)

And we know that Triple  $(s,t|Pred_{s,t})$  has been updated before  $\{Pred_{s',t'_y}\}^{y\in Y}$  was updated, and Triple  $(s,t|Pred_{s,t})$  satisfies Definition 5.1 after last update. Thus, for any Triple predicate formula  $oldPred_{weak}$  such that

$$oldPred_{weak} \implies \forall fv_{OT}. \{Pred_{OT} \implies \bigvee_{z \in X \setminus Y} [\exists fv_{OT_z}. (C_z \land Pred_{s',t'_z} \{Post_{OT} \uplus Post_{OT_z}\})]$$

$$\bigvee_{y \in Y} [\exists fv_{OT_y}. (C_y \land oldPred_{s',t'_y} \{Post_{OT} \uplus Post_{OT_y}\})] \}$$

we know that

$$oldPred_{weak} \implies Pred_{s,t}$$

And according to basic rule of first order logic, we can derive following from formula 17:

$$Pred_{weak} \implies \forall fv_{OT}. \{Pred_{OT} \implies \bigvee_{z \in X \setminus Y} [\exists fv_{OT_z}. (C_z \land Pred_{s',t'_z} \{Post_{OT} \uplus Post_{OT_z}\})]$$

$$\bigvee_{y \in Y} [\exists fv_{OT_y}. (C_y \land oldPred_{s',t'_y} \{Post_{OT} \uplus Post_{OT_y}\})] \}$$

thus, we know that

$$Pred_{weak} \implies Pred_{s,t}$$

and recall the structure of  $newPred_{OT}$  in Formula 16, finally we can derive that

$$Pred_{weak} \implies newPred_{OT}$$

As the same reason we used during proving Beginning Triples, we proved that, after applying any Triple  $(s,t|Pred_{s,t})$ , which are not a Beginning Triple, to function UpdatePredicate, if we get the result  $(s,t|newPred_{s,t})$ , then Triple  $(s,t|newPred_{s,t})$  satisfies the Definition 5.1.

Thus, in summary, we proved all the Triples in generated StrFH-Bisimulation satisfy the Defintion 5.1, thus Theorem 5.3 holds.

## D Check StrFH-Bisimilar

## D.1 Proof of Algorithm Correctness

In this section, we will prove that the StrFH-Bisimilar checking algorithm is correct, which is formally expressed by Theorem 5.5.

*Proof.* Suppose we inputting two structural open automata  $A_1$  and  $A_2$  into the algorithm, if the result of algorithm is True, means for the Triple set  $\mathcal{R}$  we generate by calling GenerateWeakest-StrFH, after deleting all unsatisfiable Triples in  $\mathcal{R}$ , the new Triple Set  $\mathcal{R}_{refined}$  we got still contains intial Triple  $(init_1, init_2|Pred_{init})$ . And what we want to prove is:  $A_1$  and  $A_2$  are StrFH-Bisimilar.

As we mentioned previous section, function GenerateWeakestStrFH will generate a Triple Set  $\mathcal{R}$ , where  $\mathcal{R}$  is definitely a StrFH-Bisimulation between  $A_1$  and  $A_2$ .

Thus, the key point is to prove that  $\mathcal{R}_{refined}$  is still a StrFH-Bisimulation between  $A_1$  and  $A_2$ . If it is,  $\mathcal{R}_{refined}$  is the StrFH-Bisimulation which meet the definition of StrFH-Bisimilar, means  $A_1$  and  $A_2$  are StrFH-Bisimilar.

Let Triple  $(s, t|Pred_{s,t})$  be any Triple in  $\mathcal{R}_{refined}$ . For any open transition OT starting from s

$$OT = \frac{\beta_j^{j \in J'}, \ Pred_{OT}, \ Post_{OT}}{s \xrightarrow{\alpha} s'}$$

we can find a set of open transitions  $\{OT_x\}^{x\in X}$ , where for any open transition  $OT_x$  in this set, we have

$$OT_{x} = \frac{\beta_{j}^{j \in J_{x}'}, \ Pred_{OT_{x}}, \ Post_{OT_{x}}}{t \xrightarrow{\alpha_{x}} t_{x}'}$$

and there exist a corresponding Triple  $(s', t'_x|Pred_{s',t'_x})$  in  $\mathcal{R}$ .

And through same method, we can find another set of open transitions  $\{OT_y\}^{y \in Y}$ , which for any open transition  $OT_y$  in this set, exist a Triple  $(s', t'_y | Pred_{s', t'_y})$  in  $\mathcal{R}_{refined}$ . Obviously,  $\{OT_y\}^{y \in Y} \subseteq \{OT_x\}^{x \in X}$ 

We can construct the following proof obligation po from OT and  $\{OT_u\}^{y \in Y}$ :

$$\forall fv_{OT}. \{ Pred_{s,t} \land Pred_{OT} \Longrightarrow \bigvee_{y \in Y} [\exists fv_{OT_y}. (\forall j \in J'. \beta_j = \beta_{j_y} \land \alpha = \alpha_y \\ \land Pred_{OT_y} \land Pred_{s',t'_y} \{ Post_{OT} \uplus Post_{OT_y} \})] \}$$
(18)

and we want to prove that the above formula is a tautology.

Due to the fact that  $\mathcal{R}$  is a StrFH-Bisimulation between  $A_1$  and  $A_2$ , we know that proof obligation generated from OT and set of open transitions  $\{OT_x\}^{x \in X}$ 

$$\forall fv_{OT}. \{ Pred_{s,t} \land Pred_{OT} \Longrightarrow \bigvee_{x \in X} [\exists fv_{OT_x}. (\forall j \in J'. \beta_j = \beta_{j_x} \land \alpha = \alpha_x \land Pred_{OT_x} \land Pred_{s',t'_x} \{ Post_{OT} \uplus Post_{OT_x} \} ) ] \}$$

is a tautology. And we can derive this formula to

$$\forall fv_{OT}. \Big\{ Pred_{s,t} \land Pred_{OT} \Longrightarrow \\ \bigvee_{y \in Y} \big[ \exists fv_{OT_y}. (\forall j \in J'.\beta_j = \beta_{j_y} \land \alpha = \alpha_y \\ \land Pred_{OT_y} \land Pred_{s',t'_y} \big\{ Post_{OT} \uplus Post_{OT_y} \big\} \big) \big] \\ \lor \bigvee_{z \in X \backslash Y} \big[ \exists fv_{OT_z}. (\forall j \in J'.\beta_j = \beta_{j_z} \land \alpha = \alpha_z \\ \land Pred_{OT_z} \land Pred_{s',t'_z} \big\{ Post_{OT} \uplus Post_{OT_z} \big\} \big) \big] \Big\}$$

and we know that all the predicate formula  $Pred_{s',t'_z}^{z \in X \setminus Y}$  are unsatisfiable, thus we can derive that:

$$\forall fv_{OT}. \Big\{ Pred_{s,t} \land Pred_{OT} \Longrightarrow \bigvee_{y \in Y} \big[ \exists fv_{OT_y}. (\forall j \in J'. \beta_j = \beta_{j_y} \land \alpha = \alpha_y \\ \land Pred_{OT_y} \land Pred_{s',t'_y} \big\{ Post_{OT} \uplus Post_{OT_y} \big\} \big) \big] \\ \lor \bigvee_{z \in X \backslash Y} False \Big\}$$

is a tautology. It means the formula 18 is a tautology.

Thus, we have prove that for any Triple in  $\mathcal{R}_{refined}$ , any proof obligation generated from it is always a tautology, means  $\mathcal{R}_{refined}$  is also a StrFH-Bisimulation between  $A_1$  and  $A_2$ .

## D.2 Proof of Algorithm Completeness

In this section, we will prove that the StrFH-Bisimilar checking algorithm is complete, which is formally expressed by Theorem 5.6.

*Proof.* Compared to proving theorem 5.6, we prefer to prove the Contrapositive of it. That is, for any two input open automata  $A_1$  and  $A_2$ , as long as the problem is decidable by our algorithm and the output is False, then  $A_1$  and  $A_2$  are not StrFH-Bisimilar.

Suppose we input two open automata  $A_1$  and  $A_2$  into the algorithm, and states  $init_1$  and  $init_2$  are initial states of  $A_1$  and  $A_2$  respectively. If the result of algorithm is False, it means we generate a Triple set  $\mathcal{R}$  by calling GenerateWeakestStrFH and in Triple  $(init_1, init_2|Pred_{init}) \in \mathcal{R}$ , the predicate formula  $Pred_{init}$  is unsatisfiable. And what we want to prove is:  $A_1$  and  $A_2$  are not StrFH-Bisimilar.

Considering that if we suppose  $A_1$  and  $A_2$  are StrFH-Bisimilar, means there exist a StrFH-Bisimulation  $\mathcal{R}_{weak}$  between  $A_1$  and  $A_2$ , such that there exist a Triple  $(init_1, init_2|Pred_{weak})$  in  $\mathcal{R}_{weak}$  and  $Pred_{weak}$  is satisfiable. We know that  $Pred_{init}$  is unsatisfiable, thus  $Pred_{weak}$  is weaker than  $Pred_{init}$ . But from Theorem 5.3, we know Triple set  $\mathcal{R}_{weak}$  couldn't be a StrFH-Bisimulation between  $A_1$  and  $A_2$ , contradictory with assumption.

Thus, we know that as long as the algorithm outputs False, then  $A_1$  and  $A_2$  are not StrFH-Bisimilar.

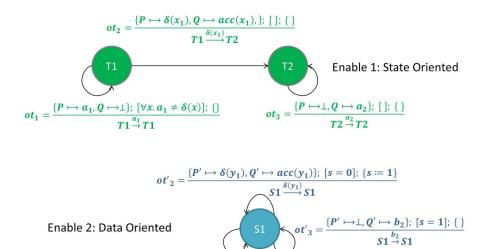


Figure 4: The two open automata

### D.3 Running Example

In this section, we will provide the open automata in figure 4 above as a running example for the *Check StrFH-Bisimilar* algorithm.

Let us naming the first automaton as  $A_1$  and second one as  $A_2$ . After accepting  $A_1$  and  $A_2$ , algorithm will provide  $A_1$  and  $A_2$  as argument to function Generate Weakest StrFH to generate the weakest StrFH-Bisimulation. Obviously, it will first call function PushReachableStatePairs and initialize the StatePair's stack(right side is top of stack):

$$StateStack = \sqsubset (T_1, S_1) \sqsubset (T_2, S_1)$$

and construct a initial Triple Set:

$$TripleSet = \{(T_1, S_1 | True), (T_2, S_1 | True)\}$$

Then, we pop the first element from StateStack and get StatePair  $(T_2, S_1)$ , and call subfunction UpdatePredicate to update corresponding Triple  $(T_2, S_1|True)$ .

During updating, we first consider the open transition starting from state  $T_2$ . There are only one open transition from  $T_2$ , thus we will only generate one proof obligation from this side. Recall the form of proof obligation in definition is:

$$\forall fv_{OT}. \{ Pred_{s,t} \land Pred_{OT} \Longrightarrow \bigvee_{x \in X} [\exists fv_{OT_x}. (\forall j \in J'. \beta_j = \beta_{j_x} \land \alpha = \alpha_{j_x} \land Pred_{OT_x} \land Pred_{s',t'_x} \{ Post_{OT} \uplus Post_{OT_x} \} ) ] \}$$

thus we will generate the following proof obligation

$$\forall a_2.\{True \land True \implies$$

$$False \bigvee [\exists b_2.(a_2 = b_2 \land a_2 = b_2 \land s = 1 \land True)]\} \quad (19)$$

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Noting that although there are three open transitions starting from  $S_1$ , but open transition  $ot'_1$  and  $ot'_2$  have different activated holes with  $ot_3$ , thus atomic formula  $\forall j.\beta_j = \beta_{j_x}$  of them won't be satisfied, the corresponding formula are represented by False.

Moreover, the first atomic formula  $a_2 = b_2$  is generated according to the atomic formula  $\forall j.\beta_j = \beta_{jx}$ , and the second atomic formula  $a_2 = b_2$  is generated according to the atomic formula  $\alpha = \alpha_x$ .

We know that formula 19 is not a tautology, thus we need to update predicate of Triple  $(T_2, S_1|True)$  to a new predicate  $Pred_{2,1}$ . After removing the repeated atomic formula, we will have following:

$$Pred_{2,1} = \forall a_2. \{ \exists b_2. (a_2 = b_2 \land s = 1) \}$$

and modifying name of bound variables to make it more readable and avoid conflict, we can have:

$$Pred_{2,1} = \forall a'. \{ \exists b'. (a' = b' \land s = 1) \}$$

But for now, updating of Triple  $(T_2, S_1|Pred_{2,1})$  is not finished. Consider the other side of Triple, there are three open transitions starting from  $S_1$ , means it will construct three proof obligation. First we construct the proof obligation for  $ot'_1$ :

$$\forall b_1. \{ \forall a'. [\exists b'. (a' = b' \land s = 1)]$$
 
$$\land s = 0 \land \forall y. [b_1 \neq \delta(y)] \implies False \}$$

It's easy to see, due to the fact that  $ot'_1$  have a different activated hole with  $ot_3$ , the right side of "Implication" operator can be represented by False.

But the above formula is still a tautology, because the conflict of s=1 and s=0 on the left side of "Implication" operator, the above formula can be derived to  $False \implies False$ . And similarly, the proof obligation for  $ot'_2$  also can be derived to  $False \implies False$ .

Finally consider the proof obligation constructed by  $ot'_3$ , we have:

$$\forall b_2. \Big\{ \forall a'. [\exists b'. (a'=b' \land s=1)] \land s=1 \implies$$

$$\exists a_2. \big\{ b_2 = a_2 \land b_2 = a_2 \land True \land \forall a'. [\exists b'. (a'=b' \land s=1)] \big\} \Big\}$$

which is obviously a tautology.

Thus, the updating for Triple  $(T_2, S_1|Pred_{2,1})$  is finished. For this moment, we have StatePair's stack as following:

$$StateStack = \sqsubset (T_1, S_1)$$

and Triple Set as following:

$$TripleSet = \left\{ \left(T_1, S_1 | True\right), \left(T_2, S_1 | \forall a'. \{\exists b'. (a' = b' \land s = 1)\}\right) \right\}$$

Due to the fact that Triple  $(T_2, S_1|True)$  is updated to  $(T_2, S_1|Pred_{2,1})$ , we need to push the pre-StatePair of  $(T_2, S_1)$  into the stack. It's easy to see that both  $(T_2, S_1)$  and  $(T_1, S_1)$  are the pre-StatePair of  $(T_2, S_1)$ , and  $(T_1, S_1)$  is already in the stack. So after pushing, the StatePair's stack is becoming following:

$$StateStack = \sqsubset (T_1, S_1) \sqsubset (T_2, S_1)$$

again.

Pop the top element in stack, we will get  $(T_2, S_1)$  again, thus we need to update Triple  $(T_2, S_1|Pred_{2,1})$  one more time. It's easy to see that all the proof obligations of it are already tautology, thus the predicate of it won't be changed after this updating, and we don't to push any thing into stack this time.

Then we will pop top element once again, get StatePair  $(T_1, S_1)$ , and call function *UpdatePredicate* to update Triple  $(T_1, S_1|True)$ .

There are two open transitions starting from  $T_1$ , thus we will need to construct two proof obligation from  $T_1$  side. First we construct proof obligation from  $ot_1$ , and we will have following proof obligation:

$$\forall a_1. \{ True \land \forall x. [a_1 \neq \delta(x)] \implies \\ \exists b_1. \{ a_1 = b_1 \land a_1 = b_1 \land s = 0 \land \forall y. [b_1 \neq \delta(y)] \land True \} \\ \bigvee False \bigvee False \}$$

it's not a tautology, thus we will update predicate of Triple  $(T_1, S_1|True)$  to  $Pred_{1,1}$  where:

$$Pred_{1,1} = \forall a_1. \{ \forall x. [a_1 \neq \delta(x)] \implies \\ \exists b_1. \{ a_1 = b_1 \land s = 0 \land \forall y. [b_1 \neq \delta(y)] \} \}$$

rename the bound variables, we will have:

$$Pred_{1,1} = \forall c'. \{ \forall x'. [c' \neq \delta(x')] \implies \exists d'. \{ c' = d' \land s = 0 \land \forall y'. [d' \neq \delta(y')] \} \}$$

And from open transition  $ot_2$ , we can also construct a proof obligation like following:

$$\forall x_1. \Big\{ Pred_{1,1} \land True \implies False \bigvee$$

$$\exists y_1. \Big\{ \delta(x_1) = \delta(y_1) \land acc(x_1) = acc(y_1) \land \delta(x_1) = \delta(y_1)$$

$$\land s = 0 \land \forall a'. \Big\{ \exists b'. (a' = b' \land s = 1) \Big\} \Big\{ (s \leftarrow 1) \Big\} \Big\}$$

$$\bigvee False \Big\}$$

it is also not a tautology. According to the updating rule, the predicate of Triple  $(T_1, S_1 | Pred_{1,1})$  will be updated to:

$$\forall c'. \{ \forall x'. [a_1 \neq \delta(x')] \implies \\ \exists d'. \{ c' = d' \land s = 0 \land \forall y'. [d' \neq \delta(y')] \} \} \\ \bigwedge \forall x_1. \{ True \implies \exists y_1. \{ \delta(x_1) = \delta(y_1) \land s = 0 \} \}$$

We've finished all the proof obligation from  $T_1$  side. From the other side, there are three open transitions starting from  $S_1$ , and will construct three proof obligation. But all these three proof obligation are tautology, thus we won't show the detail of them.

Finishing the updating of Triple  $(T_1, S_1|True)$ , and due to the fact that it's predicate has been changed, we need to push the pre-StatePair of  $(T_1, S_1)$  into stack. The only pre-StatePair of it is itself, thus we will have following situation after that:

$$StateStack = \sqsubset (T_1, S_1)$$
$$TripleSet = \{(T_1, S_1 | Pred_{1,1}), (T_2, S_1 | Pred_{2,1})\}$$

Pop the StatePair  $(T_1, S_1)$  again, and all the proof obligation for Triple  $(T_1, S_1|Pred_{1,1})$  are tautology. Thus the StateStack become empty, and the function terminate, we have the Triple Set following:

$$TripleSet = \left\{ \begin{cases} (T_1, S_1 \mid \forall c'. \{\forall x'. [a_1 \neq \delta(x')] \Longrightarrow \\ \exists d'. \{c' = d' \land s = 0 \land \forall y'. [d' \neq \delta(y')]\} \} \end{cases} \\ \bigwedge \forall x_1. \{True \implies \exists y_1. \{\delta(x_1) = \delta(y_1) \land s = 0\} \} \right) \\ , \left(T_2, S_1 \mid \forall a'. \{\exists b'. (a' = b' \land s = 1)\} \right) \right\}$$

we know that Triple Set is the weakest StrFH-Bisimulation between  $A_1$  and  $A_2$ , and both the predicate formula in two Triple are satisfiable. Thus it's easy to see that  $A_1$  and  $A_2$  are StrFH-Bisimilar.



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