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1 Comparison of beamformer implementations for MEG source

2 localization

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- 15

16 **Abstract**

Beamformers are applied for estimating spatiotemporal characteristics of neuronal sources 17 underlying measured MEG/EEG signals. Several MEG analysis toolboxes include an 18 19 implementation of a linearly constrained minimum-variance (LCMV) beamformer. However, differences in implementations and in their results complicate the selection and application of 20 21 beamformers and may hinder their wider adoption in research and clinical use. Additionally, 22 combinations of different MEG sensor types (such as magnetometers and planar gradiometers) and application of preprocessing methods for interference suppression, such as signal space separation 23 24 (SSS), can affect the results in different ways for different implementations. So far, a systematic 25 evaluation of the different implementations has not been performed. Here, we compared the 26 localization performance of the LCMV beamformer pipelines in four widely used open-source toolboxes (FieldTrip, SPM12, Brainstorm, and MNE-Python) using datasets both with and without 27 28 SSS interference suppression.

We analyzed MEG data that were i) simulated, ii) recorded from a static and moving phantom, and iii) recorded from a healthy volunteer receiving auditory, visual, and somatosensory stimulation. We also investigated the effects of SSS and the combination of the magnetometer and gradiometer signals. We quantified how localization error and point-spread volume vary with SNR in all four toolboxes.

¹ Former name: Elekta Oy

- 34 When applied carefully to MEG data with a typical SNR (3–15 dB), all four toolboxes localized the
- 35 sources reliably; however, they differed in their sensitivity to preprocessing parameters. As expected,
- 36 localizations were highly unreliable at very low SNR, but we found high localization error also at very
- high SNRs. We also found that the SNR improvement offered by SSS led to more accurate
- 38 localization.

39 Keywords

- 40 MEG, EEG, source modeling, beamformers, LCMV, open-source analysis toolbox.
- 41
- 42

43 **1. Introduction**

MEG (magnetoencephalography) and EEG (electroencephalography) source imaging aims to 44 45 identify the spatiotemporal characteristics of neural source currents based on the recorded signals, electromagnetic forward models and physiologically motivated assumptions about the source 46 distribution. One well-known method for estimating a small number of focal sources is to model each 47 of them as a current dipole with fixed location and fixed or changing orientation. The locations 48 (optionally orientations) and time courses of the dipoles are then collectively estimated (Mosher et 49 al., 1992; Hämäläinen et al., 1993). Such equivalent dipole models have been widely applied in basic 50 51 research (see e.g. Salmelin, 2010) as well as in clinical practice (Bagic et al., 2011a; 2011b; Burgess et al., 2011). Distributed source imaging estimates source currents distribution across the whole 52 source space, typically the cortical surface. Examples of linear methods for distributed source 53 54 estimation are LORETA (low-resolution brain electromagnetic tomography; Pascual-Margui et al., 55 1994) and MNE (minimum-norm estimation; Hämäläinen and Ilmoniemi, 1994). From estimated source distributions, one often computes noise-normalized estimates such as dSPM (dynamic 56 57 statistical parametric mapping; Dale et al., 2000). Also, various non-linear distributed inverse 58 methods have been proposed (Wipf et al., 2010; Gramfort et al., 2013b).

59 While dipole modeling and distributed source imaging estimate source distributions that reconstruct 60 (the relevant part of) the measurement, beamforming takes an adaptive spatial-filtering approach, 61 scanning independently each location in a predefined region of interest (ROI) within the source space 62 without attempting to reconstruct the data. Beamforming can be done in time-or frequency domain; 63 time-domain methods are typically based on the LCMV approach (Van Veen and Buckley, 1988; 64 1997; Spencer et al., 1992; Sekihara et al., 2006), and in frequency domain the DICS (Dynamic 65 Imaging of Coherent Sources) (Gross et al., 2001) approach is popular.

The LCMV beamformer estimates the activity for a source at a given location (typically a point source) while simultaneously suppressing the contributions from all other sources and noise captured in the data covariance matrix. For evaluation of the spatial distribution of the estimated source activity, an image is formed by scanning a set of predefined possible source locations and computing the beamformer output (often power) at each location in the scanning space. When the scanning is done in a volume grid, the beamformer output is typically presented by superimposing it onto an anatomical MRI.

Beamformers have been popular in basic MEG research studies (e.g. Hillebrand and Barnes, 2005; Braca et al., 2011; Ishii et al., 2014; van Es and Schoffelen, 2019) as well as in clinical applications such as in localization of epileptic events (e.g. Van Klink et al., 2017; Youssofzadeh et al., 2018; Hall et al., 2018). Many variants of beamformers are implemented in several open-source toolboxes and commercial software for MEG/EEG analysis. Presently, based on citation counts, the most used open-source toolboxes for MEG data analysis are FieldTrip (Oostenveld et al., 2011), Brainstorm

79 (Tadel et al., 2011), MNE-Python (Gramfort et al., 2013a) and DAiSS in SPM12 (Litvak et al., 2011). These four toolboxes have an implementation of an LCMV beamformer, based on the same 80 theoretical framework (van Veen et al., 1997; Sekihara et al., 2006). Yet, it has been anecdotally 81 82 reported that these toolboxes may yield different results for the same data. These differences may 83 arise not only from the core of the beamformer implementation but also from the previous steps in 84 the analysis pipeline, including data import, preprocessing, forward model computation, combination of data from different sensor types, covariance estimation, and regularization method. Beamforming 85 results obtained from the same toolbox may also differ substantially depending on the applied 86 87 preprocessing methods; for example, Signal Space Separation (SSS; Taulu and Kajola 2005) reduces the rank of the data, which could affect beamformer output unpredictably if not appropriately 88 89 considered in the implementation.

In this study, we evaluated the LCMV beamformer pipelines in the four open-source toolboxes and investigated the reasons for possible inconsistencies, which hinder the wider adoption of beamformers to research and clinical use where accurate localization of sources is required, e.g., in pre-surgical evaluation. These issues motivated us to study the conditions in which these toolboxes succeed and fail to provide systematic results for the same data and to investigate the underlying reasons.

96

97 2. Materials and Methods

98 **2.1. Datasets**

99 To compare the beamformer implementations, we employed MEG data obtained from simulations,

- 100 phantom measurements, and measurements of a healthy volunteer who received auditory, visual,
- and somatosensory stimuli. For all human data recordings, informed consent was obtained from all
 study subjects in agreement with the approval of the local ethics committee.

103 2.1.1. MEG systems

104 All MEG recordings were performed in a magnetically shielded room with a 306-channel MEG system (either Elekta Neuromag[®] VectorView or TRIUX[™]; Megin Oy, Helsinki, Finland), which 105 samples the magnetic field distribution by 510 coils at distinct locations above the scalp. The coils 106 107 are configured into 306 independent channels arranged on 102 triple-sensor elements, each housing a magnetometer and two perpendicular planar gradiometers. The location of the phantom or 108 subject's head relative to the MEG sensor array was determined using four or five head position 109 indicator (HPI) coils attached to the scalp. A Polhemus Fastrak® system (Colchester, VT, USA) was 110 used for digitizing three anatomical landmarks (nasion, left and right preauricular points) to define 111 the head coordinate system. Additionally, the centers of the HPI coils and a set of ~50 additional 112 points defining the scalp were also digitized. The head position in the MEG helmet was determined 113 at the beginning of each measurement using the 'single-shot' HPI procedure, where the coils are 114 activated briefly, and the coil positions are estimated from the measured signals. The location and 115 116 orientation of the head with respect to the helmet can then be calculated since the coil locations were known both in the head and in the device coordinate systems. After this initial head position 117 measurement, continuous tracking of head movements (cHPI) was engaged by keeping the HPI 118 119 coils activated to track the movement continuously.

120 2.1.2. Simulated MEG data

To obtain realistic MEG data with known sources, we superimposed simulated sensor signals based 121 on forward modeling of dipolar sources onto measured spontaneous MEG data utilizing a special in-122 house simulation software. Structural MRI images, acquired from a healthy adult volunteer using a 123 3-tesla MRI scanner (Siemens Trio, Erlangen, Germany), were segmented using the MRI 124 Segmentation Software of Megin Oy (Helsinki, Finland) and the surface enveloping the brain 125 compartment was tessellated with triangles (5-mm side length). Using this mesh, a realistic single-126 shell volume conductor model was constructed using the Boundary Element Method (BEM; 127 Hämäläinen and Sarvas, 1989) implemented in the Source modeling software of Megin Oy. We also 128 segmented the cortical mantle with the FreeSurfer software (Dale et al., 1999; Fischl et al., 1999; 129 Fischl, 2012) for deriving a realistic source space. By using the "ico4" subdivision in MNE-Python, 130

we obtained a source space comprising 2560 dipoles (average spacing 6.2 mm) in each hemisphere (Fig. 1). Out of these, we selected 25 roughly uniformly distributed source locations in the left hemisphere for the simulations (Fig. 1). All these points were at least 7.5 mm inwards from the surface of the volume conductor model. We activated each of the 25 dipoles – one at a time – with a 10-Hz sinusoid of 200-ms duration (2 cycles). The dipoles were simulated at eight source amplitudes: 10, 30, 80, 200, 300, 450, 600 and 800 nAm.

137

138

Insert Fig.1 about here

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A continuous resting-state MEG data with eyes open was recorded from the same volunteer who provided the anatomical data, using an Elekta Neuromag® MEG system (at BioMag Laboratory, Helsinki, Finland). The recording length was 2 minutes, the sampling rate was 1 kHz, and the acquisition frequency band was 0.1–330 Hz. This recording provided the head position for the simulations and defined their noise characteristics. MEG and MRI data were co-registered using the digitized head shape points and the outer skin surface in the segmented MRI.

The simulated sensor-level evoked fields were superimposed on the unprocessed resting-state recording with inter-trial-interval varying between 1000–1200 ms resulting in ~110 trials (epochs) in each simulated dataset. The resting-state recording was used both as raw without preprocessing and after SSS interference suppression. Altogether, we obtained 400 simulated MEG datasets (25 source locations at 8 dipole amplitudes, all both with the raw and SSS-preprocessed real data). Fig. 2 illustrates the generation of simulated MEG data.

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- 153

Insert Fig. 2 about here

154 **2.1.3. Phantom data**

We used a commercial MEG phantom (Megin Oy, Helsinki, Finland) which contains 32 dipoles and 155 4 HPI coils at distinct fixed locations (see Fig 3a–c and Elekta Neuromag® TRIUX[™] User's Manual). 156 The phantom is based on the triangle construction (Ilmoniemi et al., 1985): an isosceles triangular 157 line current generates on its relatively very short side a magnetic field distribution equivalent to that 158 159 of a tangential current dipole in a spherical conductor model, provided that the vertex of the triangle and the origin of the model of a conducting sphere coincide. The phantom data were recorded from 160 8 dipoles, excited one by one (see Elekta Neuromag® TRIUXTM User's Manual), using a 306-channel 161 TRIUX[™] system (at Aston University, Birmingham, UK). The distance from the phantom origin was 162 64 mm for dipoles 5 and 9 (the shallowest), 54 mm for dipoles 6 and 10, 44 mm for dipoles 7 and 163

11, and 34 mm for dipoles 8 and 12 (the deepest; see Fig 3c). The phantom was first kept stationary inside the MEG helmet and continuous MEG data were recorded with 1-kHz sampling rate for three dipole amplitudes (20, 200 and 1000 nAm); one dipole at a time was excited with a 20-Hz sinusoidal current for 500 ms, followed by 500 ms of inactivity. The recordings were repeated with the 200-nAm dipole strength while moving the phantom continuously to mimic head movements inside the MEG helmet; see the movements in Fig. 3e and Suppl. Fig. 2 for all movement parameters.

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- 171

Insert Fig. 3 about here

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173 2.1.4. Human MEG data

We recorded MEG evoked responses from the same volunteer whose MRI and spontaneous MEG 174 data were utilized in the simulations. These human data were recorded using a 306-channel Elekta 175 Neuromag® system (at BioMag Laboratory, Helsinki, Finland). During the MEG acquisition, the 176 subject was receiving a random sequence of visual (a checkerboard pattern in one of the four 177 quadrants of the visual field), somatosensory (electric stimulation of the median nerve at the left/right 178 179 wrist at the motor threshold) and auditory (1-kHz 50-ms tone pips to the left/right ear) stimuli with an interstimulus interval of ~500 ms. The Presentation software (Neurobehavioral Systems, Inc., 180 181 Albany, CA, USA) was used to produce the stimuli.

182 **2.2. Preprocessing**

The datasets were analyzed in two ways: 1) omitting bad channels from the analysis, without 183 applying SSS preprocessing, and 2) applying SSS-based preprocessing methods (SSS/tSSS) to 184 reduce magnetic interference and perform movement compensation for moving phantom data. The 185 186 SSS-based preprocessing and movement compensation were performed in MaxFilter[™] software 187 (version 2.2; Megin Oy, Helsinki, Finland). After that, the continuous data were bandpass filtered 188 (passband indicated for each dataset later in the text) followed by the removing the dc. Then the data were epoched to trials around each stimulus. We applied an automatic trial rejection technique 189 based on the maximum variance across all channels, rejecting trials that had variance higher than 190 the 98th percentile of the maximum or lower than the 2nd percentile (see Suppl. Fig. 4). This method 191 is available as an optional preprocessing step in FieldTrip, and the same implementation was applied 192 in the other toolboxes. Below we describe the detailed preprocessing steps for all datasets. 193

194 2.2.1. Simulated data

In each toolbox, the raw data with just bad channels removed or SSS-preprocessed continuous data
 were filtered using a zero-phase filter with a passband of 2–40 Hz. The filtered data were epoched

into windows from -200 to +200 ms relative to the start of the source activity. The bad epochs were
removed using the variance-based automatic trial rejection technique, resulting in ~100 epochs.
Then the noise and data covariance matrices were estimated from these epochs for the time
windows of -200 to -20 ms and 20 to 200 ms, respectively.

201 2.2.2. Phantom data

202 All 32 datasets (static: 3 dipole strengths and 8 dipole locations; moving: 1 dipole strength and 8 dipole locations) were analyzed both without and with SSS-preprocessing. We applied SSS on static 203 phantom data to remove external interference. On moving-phantom data, combined temporal SSS 204 and movement compensation (tSSS mc) were applied for suppressing external and movement-205 related interference and for transforming the data from the continuously estimated positions into a 206 static reference position (Taulu and Kajola 2005; Nenonen et al., 2012). Then in each toolbox the 207 208 continuous data were filtered to 2-40 Hz using a zero-phase bandpass filter, and the filtered data 209 were epoched from -500 to +500 ms with respect to stimulus triggers. Bad epochs were removed 210 using the automated method based on maximum variance, yielding ~100 epochs for each dataset. The noise and data covariance matrices were estimated in each toolbox for the time windows of -211 212 500 to -50 ms and 50 to 500 ms, respectively.

213 2.2.3. Human MEG data

Both the unprocessed raw data and the data preprocessed with tSSS were filtered to 1-95 Hz using 214 215 a zero-phase bandpass filter in each toolbox. The trials with somatosensory stimuli (SEF) were epoched between -100 to -10 and 10 to 100 ms for estimating the noise and data covariances, 216 217 respectively. The corresponding time windows for the auditory-stimulus trials (AEF) were -150 to -20 and 20 to 150 ms, and for the visual stimulus trials (VEF) -200 to -50 and 50 to 200 ms, 218 respectively. Trials contaminated by excessive eye blinks (EOG > 250 μ V) or by excessive magnetic 219 signals (MEG > 5000 fT or 3000 fT/cm) were removed with the variance-based automated trial 220 removal technique. Before covariance computation, baseline correction by the time window before 221 the stimulus was applied on each trial. The covariance matrices were estimated independently in 222 each toolbox. 223

Since the actual source locations associated with the evoked fields are not precisely known, we defined reference locations using conventional dipole fitting in the Source Modeling Software of Megin Oy (Helsinki, Finland). A single equivalent dipole was used to represent SEF and VEF sources, and one dipole per hemisphere was used for AEF (see Suppl. Fig. 3). The dipole fitting was performed at the time point of the maximum RMS value across all planar gradiometer channels (global field power) of the average response amplitude.

230 **2.2.4. Forward model**

For the beamformer scan of simulated data, we used the default or the most commonly used forward 231 model of each toolbox: a single-compartment BEM model in MNE-Python, a single-shell corrected-232 sphere model (Nolte, 2003) in FieldTrip, a single-shell corrected sphere model (Nolte, 2003) through 233 inverse normalization of template meshes (Mattout et al., 2007) in SPM12(DAiSS), and the 234 overlapping-spheres (Huang et al., 1999) model in Brainstorm. For constructing models for these 235 forward solutions, the segmentation of MRI images was performed in FreeSurfer for MNE-Python 236 and Brainstorm while FieldTrip and SPM12 used the SPM segmentation procedure. A volumetric 237 source space was represented by a rectangular grid with 5-mm resolution and 5-mm minimal 238 distance from the head model surface. Forward solutions were computed separately in each toolbox 239 using the head model, the volumetric grid sources, and sensor information from the MEG data. Since 240 241 each toolbox prepares a head model using a different method, the shape of the head models may 242 slightly differ from each other (see Fig. 4) which further may result in a shift between the positions of the scanning grid in these toolboxes. 243

244

Insert Fig. 4 about here

246

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For phantom data, a homogeneous spherical volume conductor model was defined in each toolbox with the origin at the head coordinate system origin. An equidistant rectangular source-point grid with 5-mm resolution was placed inside the upper half of a sphere covering all 32 dipoles of the phantom; see Fig. 3d. Forward solutions for these grids were computed independently in each toolbox. For human MEG data, the head models and the source space were defined in the same way as for the beamformer scanning of the simulated data.

253 2.3. LCMV beamformer

The linearly constrained minimum-variance (LCMV) beamformer is a spatial filter that relates the magnetic field measured outside the head to the underlying neural activities using the covariance of measured signals and models of source activity and signal transfer between the source and the sensor (Spencer et al., 1992; van Veen et al. 1997; Robinson and Vrba, 1998). The spatial filter weights are computed for each location in the region of interest (ROI).

Let **x** be an $M \times 1$ signal vector of MEG data measured with M sensors, and N is the number of grid points in the ROI with grid locations r_j , (j = 1, ..., N). Then the source $\mathbf{y}(r_j)$ at any location r_j can be estimated as weighted combination of the measurement **x** as

262
$$\mathbf{y}(r_j) = \mathbf{W}^{\mathrm{T}}(r_j)\mathbf{x}$$
(1)

where the $M \times 3$ matrix $\mathbf{W}(r_j)$ is known as spatial filter for a source at location r_j . This type of spatial filter provides a *vector type beamformer* by separately estimating the activity for three orthogonal source orientations, corresponding to the three columns of the matrix. According to Eqs 16–23 in van Veen et al. (1997), the spatial filter $\mathbf{W}(r_j)$ for vector beamformer is defined as

267
$$\mathbf{W}(r_j) = \left(\mathbf{L}^{\mathrm{T}}(r_j)\mathbf{C}^{-1}\mathbf{L}(r_j)\right)^{-1}\mathbf{L}^{\mathrm{T}}(r_j)\mathbf{C}^{-1}$$
(2)

Here $\mathbf{L}(r_j)$ is the $M \times 3$ local leadfield matrix that defines the contribution of a dipole source at location r_j in the measured data \mathbf{x} , and \mathbf{C} is the covariance matrix computed from the measured data samples. To perform source localization using LCMV, the output variance (or output source power) $Var(\mathbf{y}(r_j))$ is estimated at each point in the source space (see Eq (24) in van Veen et al., 1997), resulting in

272
$$\widehat{\operatorname{Var}}(\mathbf{y}(r_j)) = \operatorname{Trace}\{[\mathbf{L}^{\mathrm{T}}(r_j)\mathbf{C}^{-1}\mathbf{L}(r_j)]^{-1}\}$$
(3)

Usually, the measured signal is contaminated by non-uniformly distributed noise and therefore the estimated signal variance is often normalized with projected noise variance C_n calculated over some baseline data (noise). Such normalized estimate is called Neural Activity Index (NAI; van Veen et al., 1997) and can be expressed as

277
$$NAI(r_j) = Trace\{[\mathbf{L}^T(r_j)\mathbf{C}^{-1}\mathbf{L}(r_j)]^{-1}\}/Trace\{[\mathbf{L}^T(r_j)\mathbf{C}_n^{-1}\mathbf{L}(r_j)]^{-1}\}$$
(4)

278 Scanning over all the locations in the region of interest in source space transforms the MEG data 279 from a given measurement into an NAI map.

In contrast to a vector beamformer, a *scalar beamformer* (Sekihara and Scholz, 1996; Robinson and Vrba, 1998) uses constant source orientation that is either pre-fixed or optimized from the input data by finding the orientation that maximizes the output source power at each target location. Besides simplifying the output, the optimal-orientation scalar beamformer enhances the output SNR compared to the vector beamformer (Robinson and Vrba, 1998; Sekihara et al., 2004). The optimal orientation $\eta_{opt}(r_j)$, for location r_j can be determined by generalized eigenvalue decomposition (Sekihara et al., 2004) using Rayleigh–Ritz formulation as

287
$$\eta_{\text{opt}}(\mathbf{r}_j) = \upsilon_{\min} \{ \mathbf{L}^{\mathrm{T}}(r_j) \mathbf{C}^{-2} \mathbf{L}(r_j), \mathbf{L}^{\mathrm{T}}(r_j) \mathbf{C}^{-1} \mathbf{L}(r_j) \}$$
(5)

where v_{min} indicates the eigenvector corresponding to the smallest generalized eigenvalue of the matrices enclosed in Eq (5) curly braces. For further details, see Eq (4.44) and Section 13.3 in Sekihara and Nagarajan (2008).

291 Denoting $\mathbf{l}_{\eta_{\text{opt}}}(r_j) = \mathbf{L}(r_j) \boldsymbol{\eta}_{\text{opt}}(r_j)$ instead of $\mathbf{L}(r_j)$, the weight matrix in Eq (2) becomes $M \times 1$ weight 292 vector $\boldsymbol{w}(r_j)$,

293
$$\mathbf{w}(r_j) = \left(\mathbf{I}_{\eta_{\text{opt}}}^{\mathrm{T}}(r_j)\mathbf{C}^{-1}\mathbf{I}_{\eta_{\text{opt}}}(r_j)\right)^{-1}\mathbf{I}_{\eta_{\text{opt}}}^{\mathrm{T}}(r_j)\mathbf{C}^{-1}$$
(6)

Using $I_{\eta_{ont}}(r_j)$ in Eq (4), we find the estimate (NAI) of a scalar LCMV beamformer as

295
$$NAI(r_j) = \mathbf{l}_{\eta_{\text{opt}}}^{\mathrm{T}}(r_j) \mathbf{C}_{\mathrm{n}}^{-1} \mathbf{l}_{\eta_{\text{opt}}}(r_j) / \mathbf{l}_{\eta_{\text{opt}}}^{\mathrm{T}}(r_j) \mathbf{C}^{-1} \mathbf{l}_{\eta_{\text{opt}}}(r_j)$$
(7)

296 When the data covariance matrix is estimated from a sufficiently large number of samples and it has 297 full rank, Eq (7) provides the maximum spatial resolution (Lin et al., 2008; Sekihara and Nagarajan, 298 2008). According to van Veen and colleagues (1997), the number of samples for covariance 299 estimation should be at least three times the number of sensors. Thus, sometimes, the amount of 300 available data may be insufficient to obtain a good estimate of the covariance matrices. In addition, 301 pre-processing methods such as signal-space projection (SSP) or signal-space separation (SSS) 302 reduce the rank of the data, which impacts the matrix inversions in Eq (7). These problems can be mitigated using Tikhonov regularization (Tikhonov, 1963) by replacing matrix C^{-1} by its regularized 303 version $(\mathbf{C} + \lambda \mathbf{I})^{-1}$ in Eqs (2–7) where λ is called the regularization parameter. 304

All tested toolboxes set the λ with respect to the mean data variance, using ratio 0.05 as default:

 $\lambda = 0.05 \times \text{Trace}(\mathbf{C})/M$

307 If the data are not full rank, also the noise covariance matrix C_n needs to be regularized.

308 **2.4. Differences between the beamformer pipelines**

309 Though all the four toolboxes evaluated here use the same theoretical framework of the LCMV beamformer, there are several implementation differences which might affect the exact outcome of 310 311 a beamformer analysis pipeline. Many of these differences pertain to specific handling of the data prior to the estimation of the spatial filters, or to specific ways of (post)processing the beamformer 312 output. Some of the toolbox-specific features reflect the characteristics of the MEG system around 313 which the toolbox has evolved. Importantly, some of these differences are sensitive to input SNR, 314 315 and they can lead to differences in the results. Table 1 lists the main characteristics and settings of 316 the four toolboxes used in this study. We used the default settings of each toolbox (general practice) 317 for steps before beamforming but set the actual beamforming steps as similar as possible across 318 the toolboxes to be able to meaningfully compare the results.

319

Insert Table 1 about here

All toolboxes import data using either Matlab or Python import functions of the MNE software (Gramfort et al., 2014) but represent the data internally either in T or fT (magnetometer) and T/m or fT/mm (gradiometer); see Suppl. Fig. 5. Default filtering approaches across toolboxes change the numeric values, so the linear correlation between the same channels across toolboxes deviates from the identity line; see Suppl. Fig. 6. The default head model is also different across toolboxes; see

Section 2.2.4. The single-shell BEM and single-shell corrected sphere model (the "Nolte model") are
 approximately as accurate but produce slightly different results (Stenroos et al., 2014).

For MEG–MRI co-registration, there are several approaches available across these toolboxes such 327 as an interactive method using fiducial or/and digitization points defining the head surface, using 328 automated point cloud registration methods e.g., the iterative closest point (ICP) algorithm. Despite 329 using the same source-space specifications (rectangular grid with 5-mm resolution), differences in 330 331 head models and/or co-registration methods change the forward model across toolboxes; see Fig. 4. Though there are several approaches to compute data and noise covariances across the four 332 beamformer implementations, by default they all use the empirical/sample covariance. In contrast to 333 334 other toolboxes, Brainstorm eliminates the cross-modality terms from the data and noise covariance 335 matrices. Also, the regularization parameter λ is calculated and applied separately for gradiometers and magnetometers channel sets in Brainstorm. 336

The combination of two MEG sensor types in the MEGIN triple-sensor array causes additional 337 338 processing differences in comparison to other MEG systems that employ only axial gradiometers or only magnetometers. Magnetometers and planar gradiometers have different dynamic ranges and 339 340 measurement units, so their combination must be appropriately addressed in source analysis such 341 as beamforming. For handling the two sensor types in the analysis, different strategies are used for bringing the channels into the same numerical range. MNE-Python and Brainstorm use pre-342 whitening (Engemann et al., 2015; Ilmoniemi and Sarvas, 2019) based on noise covariance while 343 344 FieldTrip and SPM12 assume a single sensor type for all the MEG channels. This approach makes 345 SPM12 to favor magnetometer data (with higher numeric values of magnetometer channels) and 346 FieldTrip to favor gradiometer data (with higher numeric values of gradiometer channels). However, users of FieldTrip and SPM12 usually employ only one channel type of the triple-sensor array for 347 348 beamforming (most commonly, the gradiometers). Due to the presence of two different sensor types in the MEGIN systems and the potential use of SSS methods, the eigenspectra of data from these 349 systems can be idiosyncratic (see Suppl. Fig. 7) and differ from the single-sensor type MEG systems. 350 Rank deficiency and related phenomena are potential sources of beamforming failures with data that 351 have been cleaned with a method such as SSS. 352

Previous studies have shown that the scalar beamformer yields twofold higher output SNR compared to the vector-type beamformer, if the source orientation for the scalar beamformer has been optimized according to Eq 5 (Vrba J., 2000; Sekihara et al., 2004). Most of the beamformer analysis toolboxes have an implementation of optimal-orientation scalar beamformer. In this study, we used the scalar beamformer in MNE-Python, FieldTrip, and SPM12 but a vector-beamformer in Brainstorm since the orientation optimization was not available. To keep the output dimensionality the same across the toolboxes, we linearly summed the three-dimensional NAI values at each source location.

12

360 The general workflow for analysis pipelines across toolboxes used in this study is illustrated in Suppl.

361 Fig. 8.

362 **2.5. Metrics used in comparison**

In this study, a single focal source could be assumed to underlie the simulated/measured data. In such studies, accurate localization of the source is typically desired. We calculated two metrics for comparing the characteristics of the LCMV beamformer results from the four toolboxes: localization error, and point spread volume. We also analyzed their dependence on input signal-to-noise ratio.

- 367 Localization Error (LE): True source locations were known for the simulated and phantom MEG data and served as reference locations in the comparisons. Since the exact source locations for the 368 369 human subject MEG data were unknown, we applied the location of a single current dipole as a 370 reference location (see Section 2.1.4 "Human MEG data"). The Source Modelling Software (Megin 371 Oy, Helsinki, Finland) was used to fit a single dipole for each evoked-response category at the time point around the peak of the average response providing the maximum goodness-of-fit value. The 372 373 beamformer localization error is computed as the Euclidean distance between the estimated and 374 reference source locations.
- Point-Spread Volume (PSV): An ideal spatial filter should provide a unit response at the actual 375 source location and zero response elsewhere. Due to noise and limited spatial selectivity, there is 376 some filter leakage to the nearby locations, which spreads the estimated variance over a volume. 377 378 The focality of the estimated source, also called focal width, depends on several factors such as the 379 source strength, orientation, and distance from the sensors. PSV measures the focality of an estimate and is defined as the total volume occupied by the source activity above a threshold value; 380 thus, a smaller PSV value indicates a more focal source estimate. We fixed the threshold to 50% of 381 the highest NAI in all comparisons. In this study, the volume represented by a single source in any 382 383 of the four source spaces (5-mm grid spacing) was 125 mm³.
- Signal-to-Noise ratio (SNR): Beamformer localization error depends on the input SNR, which varies
 among other factors as a function of source strength and distance of the source from the sensor
 array. Therefore, we evaluated beamformer localization errors and PSV as a function of the input
 SNR of the evoked field data.
- We estimated the SNR for each evoked field MEG dataset in MNE-Python using the estimated noise covariance as follows: The data were whitened using the noise covariance and the effective number of sensors was then calculated as

$$N = M - \Sigma(\sigma_n \le 0) \tag{8}$$

392 where σ_n are the eigenvalues of noise covariance matrix C_n .

393 Then, the input SNR was calculated as:

394
$$SNR_{dB} = 10 \log_{10} \left(\left[\frac{1}{N} \sum_{k=1}^{M} \mathbf{x}_{k}^{2}(t) \right]_{t_{max}} \right)$$
 (9)

where $x_k(t)$ is the signal on the k^{th} sensor, *M* is the total number of sensors in the measurement, t_{max} is the time point at maximum amplitude of whitened data across all channels and *N* is the number of effective sensors defined in Eq (8). Since the same data were used in all toolboxes, we used the same input SNR value for all of them.

399 **2.6. Data and code availability**

The codes we wrote to conduct these analyses are publicly available under a repository 400 https://zenodo.org/record/3471758 (DOI: 10.5281/zenodo.3471758). The datasets as well as the 401 specific versions four toolboxes available 402 of the used in the study are at https://zenodo.org/record/3233557 (DOI: 10.5281/zenodo.3233557). 403

404

405 **3. Results**

We computed the source localization error (LE) and the point spread volume (PSV) for each NAI estimate across all datasets from LCMV beamformer in all four toolboxes. We plotted the LE and PSV as a function of the input SNR computed according to Eq (9). To differentiate the localization among the implementations, we followed the following color convention: *MNE-Python: grey; FieldTrip: Lavender; SPM12 (DAiSS): Mint; and Brainstorm: coral.*

411 **3.1. Simulated MEG data**

Localization errors and PSV values were calculated for all simulated datasets and plotted against 412 the corresponding input SNR. The SNR of all 200 simulated datasets ranged between 0.5 to 25 dB. 413 Fig. 5a shows the plots between localization error and input SNR of each simulated dataset. The 414 polynomial regressions of the maximum localization errors across LCMV implementations show the 415 variation of localization errors over the range of input SNRs. The localization error goes high for all 416 toolboxes for very low SNR (< 3 dB) signals (e.g. 20-nAm or deep sources). The localization error 417 within the input SNR range 3-12 dB is stable and mostly within 15 mm, and SSS preprocessing 418 widens this SNR range of stable performance to 3-15 dB. Unexpectedly, we also found high 419 localization error at high SNR (> 15 dB) for the toolboxes other than SPM12 (DAiSS). Fig. 5b plots 420 421 PSV values against input SNR for raw and SSS-preprocessed simulated data. The polynomial 422 regression plots fit a nonlinear relationship between the input SNR and the corresponding maximal 423 PSVs across the four LCMV implementations. The regression plots in Fig. 5b agree with the corresponding plots in Fig. 5a, i.e., lower PSV values (higher spatial resolution) for the SNR range 424 425 with smaller localization errors and vice-versa, for all toolboxes. The low SNR signals (usually, weak or deep sources) shows high PSV values in Fig. 5b which also indicates improved spatial resolution 426 427 after SSS preprocessing. Fig. 5a-b shows that none of the four toolboxes provides accurate localization for all SNR values and that the spatial resolution of LCMV is dependent on input SNR. 428 SPM12 (DAiSS) shows lower localization errors and PSV values at very high SNR too. 429

430

Insert Fig. 5 about here

431

432 **3.2. Static and moving phantom MEG data**

In the case of phantom data, the background noise is very low and there is a single source underneath a measurement. Also, the phantom analysis uses a homogeneous sphere model that does not introduce any forward model inaccuracy, except the possible co-registration error. All four toolboxes show high localization accuracy and high resolution for phantom data, if the input SNR is not very low. Corresponding results for the static phantom data are presented in Fig. 6a–b. Fig. 6a indicates the localization error clear dependency on SNR. The nonlinear regression plots fitted over maximum localization errors indicate high localization errors at very low SNR raw data sets. The

high error is because of some unfiltered artifacts in raw data which was removed by SSS. After SSS,
the beamformer shows localization error under ~5 mm for all the datasets. Fig. 6b shows the
beamforming resolution in terms of PSV. The regression plots fitted over maximum PSV values show
a high spatial resolution for the data with SNR > 5 dB.

444

445

Insert Fig. 6 about here

446

447 In the cases of moving phantom, Fig. 7a shows high localization errors with unprocessed raw data because of disturbances caused by the movement. The dipole excitation amplitude was 200 nAm, 448 which is enough to provide a good SNR. The most superficial dipoles (Dipoles 5 and 9 in Fig. 3c) 449 possess higher SNR but also higher localization error since they get more significant angular 450 displacement during movement. Because of differences in implementations and preprocessing 451 parameters listed in Section 2.4, apparent differences among the estimated localization error can be 452 453 seen. Overall, MNE-Python shows the lowest while SPM12 (DAiSS) shows the highest localization 454 error with the phantom data with movement artifact. After applying for spatiotemporal tSSS and movement compensation, the improved SNR provided significantly better localization accuracies. 455 Fig. 7b shows the PSV for moving phantom data for raw and processed data. The regression plots 456 457 indicate improvement in SNR and spatial resolution after tSSS with movement compensation.

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- 459

Insert Fig. 7 about here

460

461 **3.3. Human subject MEG data**

Since the correct source locations for the human evoked field datasets are unknown, we plotted the 462 localization difference across the four LCMV implementations for each data. These localization 463 464 differences were the Cartesian distance between an LCMV-estimated location and the corresponding reference dipole location as explained in Section 2.1.4. Fig. 8a shows the plots for 465 the localization differences against the input SNRs computed using Eq (9) for four visual, two 466 auditory and two somatosensory evoked-field datasets. The localization differences for both 467 unprocessed raw and SSS preprocessed data are mostly under 20 mm in each toolbox. The higher 468 differences compared to the phantom and simulated dataset could be because of two reasons. First, 469 the recording might have been comprised by some head movement, which could not be corrected 470 471 because of the lack of continuous HPI. Second, the reference dipole location may not represent the very same source as estimated by the LCMV beamformer. In contrast to dipole fitting, beamforming 472 473 utilizes data from the full covariance window, so some difference between the estimated localizations

474 is to be expected. For all SSS-preprocessed evoked field datasets, Fig. 8b shows the estimated locations across the four LCMV implementation and the corresponding reference dipole locations. 475 476 For simplifying the visualization, all estimated locations in a stimulus category are projected onto a 477 single axial slice. All localizations seem to be in the correct anatomical regions, except the estimated location from right-ear auditory responses by MNE-Python after SSS-preprocessing (Fig. 8b; red 478 circle). After de-selecting the channels close to the right auditory cortex, the MNE-Python-estimated 479 source location was correctly in the left cortex (Fig. 8b; green circle). The regression plots fitted over 480 the maxima of the localization differences across the LCMV implementations show the improvement 481 in input SNR and also localization improvement in some cases. Fig. 9 in Supplementary material 482 shows the PSV values as a function of the input SNR for the evoked-field datasets, demonstrating 483 484 the spatial resolution of beamforming.

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486	

Insert Fig. 8 about here

487 488

489 **4. Discussion**

The localization accuracy and beamformer resolution as a function of the input SNR were 490 491 investigated and compared across the LCMV implementations in the four tested toolboxes. In the absence of background noise, the phantom data showed high localization accuracy and high spatial 492 resolution if the input SNR >~5 dB. All implementations also showed high localization accuracy for 493 data recording from a moving phantom after compensating the movement and applying tSSS. For 494 495 the simulated datasets with realistic background noise, the regression curve fitted over the maximum localization error across the LCMV implementations indicates that the reliability of localization 496 497 accuracy in these implementations depends on the SNR of input data and these implementations localize a single source reliably within the SNR range of ~3-15 dB. Small differences among the 498 499 estimated source locations across the implementations even in this SNR range are caused by differing processing steps in defining the head model, spatial filter and performing the beamformer 500 501 scan. For the human subject evoked-field MEG data, all implementations localize sources within 502 about 20 mm.

503 Our results indicate that with the default parameter settings, none of the four implementations works universally reliable for all datasets and input SNR values. In the case of low SNR (typically less than 504 505 3 dB), the lower contrast between data and noise covariance may cause the beamformer scan to provide a flat peak in the output and so the localization error goes high. Unexpectedly, we found high 506 localization error for high SNR signal and significant differences between the toolboxes. The 507 regression curves fitted over averaged maximum PSV across all toolboxes showed higher values 508 509 for low- and high-SNR simulated data. As expected, reliable localization provides higher spatial resolution across the implementations and vice-versa (Fig. 5 and 6). The lower spatial resolution 510 (higher PSV) for the signal with low SNR also agrees with previous studies (Lin et al., 2008; 511 Hillebrand and Barnes, 2003). We further discuss here the significant steps of the beamformer 512 pipelines, which affect the localization accuracy and introduce discrepancies among the 513 implementations. 514

515 4.1 Preprocessing with SSS

516 Due to the spatial-filter nature of the beamformer, it can reject external interference and therefore 517 SSS-based pre-processing may have little effect on the results. Thus, although the SNR increases 518 as a result of applying SSS, the localization accuracy does not necessarily improve, which is evident 519 in the localization of the evoked responses (Fig. 8).

However, undetected artifacts, such as a large-amplitude signal jump in a single sensor, may in SSS processing spread to neighboring channels and subsequently reduce data quality. Therefore, channels with distinct artifacts should be noted and marked as bad prior to beamforming of unprocessed data or before applying SSS operations. In addition, trials with large artifacts should be removed based on an amplitude thresholding or by other means. Furthermore, SSS processing of

extremely weak signals (SNR < ~2 dB) may not improve the SNR for producing smaller localization
errors and PSV values. Hence the data quality should be carefully inspected before and after
applying preprocessing methods such as SSS, and channels or trials with low-quality data (or lower
contrast) should be omitted from the covariance estimation.

529 **4.2. Effect of filtering and artifact-removal methods**

All four toolboxes we tested employ either a MATLAB or Python implementation of the same MNE 530 routines (Gramfort et al. 2014) for reading FIFF data files and thus have internally the exact same 531 data at the very first stage (see Suppl. Fig. 6). The data import either keeps the data in SI-units (T 532 for magnetometers and T/m for gradiometers) or rescales the data (fT and fT/mm) before further 533 processing. The actual pre-processing steps in the pipeline may contribute to differences in the 534 results. The filtering step is performed to remove frequency components of no interest, such as slow 535 drifts, from the data. By default, FieldTrip and SPM use an IIR (Butterworth) filter, and MNE-Python 536 537 uses FIR filters. The power spectra of these filters' output signals show notable differences and the 538 output data from these two filters are not identical. Significant variations can be found between MNE-539 Python-filtered and FieldTrip/SPM-filtered data. Although SPM and FieldTrip use the same filter 540 implementation, the filtering results are not identical because of numeric differences caused by different channel scaling (Suppl. Fig 6). These differences affect the estimated covariance matrices, 541 542 which are a crucial ingredient for the spatial-filter computation and finally may contribute to 543 differences in beamforming results.

544 **4.3. Effect of SNR on localization accuracy**

545 We reduced the impact of the unknown source depth and strength to a well-defined metrics in terms 546 of the SNR. We observed that the localization accuracy is poor for very low SNR values, i.e. below 3 dB. The weaker, as well as the deeper sources, project less power on to the sensor array and thus 547 show lower SNR; see Eq (9). On the other hand, the LCMV beamformer may also fail to localize 548 accurately sources that produce very high SNR values, likely because the data covariance matrix is 549 over-fitted, or the scanning grid is too sparse with respect to the point spread of the beamformer 550 output. In this case the output is too focal and a small error in forward solution, introduced for 551 example by inaccurate coregistration, may lead to missing the true focal source and obtaining nearly 552 equal power estimates at many source locations, increasing the chance of mislocalization. Usually, 553 554 such high levels of SNR do not occur in typical human MEG experiments, however, the strength of equivalent current dipoles (ECD) for interictal epileptiform discharges (IIEDs) typically ranges 555 between 50 and 500 nAm (Bagic et al., 2011a). 556

All four beamformer pipelines provided very similar results when the SNR is in the "suitable range" of about ~3–15 dB. Unsatisfactory performance is typically due to the data; either the SNR is extremely low, or there are some uncorrected artifacts in the data. The results of the phantom data showed that all toolboxes provide equally good results if there are no uncorrected large artifacts inthe data and if the SNR is not extremely small or large.

562 **4.4. Effect of the head model**

Forward modelling requires MEG-MRI co-registration, segmentation of the head MRI and leadfield 563 computation for the source space. The four beamformer implementations use different approaches, 564 or similar approaches but with different parameters, which yields slightly different forward models. 565 566 From Eqs (2–7), it is evident that beamformers are quite sensitive to the forward model. Hillebrand and Barnes (2003) showed that the spatial resolution and the localization accuracy of a beamformer 567 improve with accuracy of the forward model. Dalal and colleagues (2014) reported that co-568 registration errors contribute greatly to EEG localization inaccuracy, likely due to their ultimate impact 569 on head-model quality. Chella and colleagues (2019) presented the dependency of beamformer-570 571 based functional connectivity estimates on MEG-MRI co-registration accuracy.

The increasing inter-toolbox localization differences towards very low and very high input SNR is also subject to the differences between the head models. Fig. 4 shows the three overlapped head models prepared from the same MRI where a slight misalignment among head models can be easily seen. This misalignment also affects source space. These differences in head models and thus in forward solutions will contribute to differences in beamforming results across the toolboxes.

577 **4.5. Covariance matrix**

The data covariance matrix is a key component of the adaptive spatial filter in LCMV beamforming, 578 and any error in covariance estimation can cause an error in source estimation. We used 5% of the 579 580 mean variance of all sensors to regularize data covariance for making its inversion stable in FieldTrip, 581 SPM12 and MNE-Python. Brainstorm uses a slightly different approach and applies regularization 582 with 5% of mean variance of gradiometer and magnetometer channel sets separately and eliminate 583 cross-sensor-type entries from the covariance matrices. As SSS preprocessing reduces the rank of 584 the data, usually retaining at most 75 non-zero eigenvalues, the trace of the covariance matrix decreases strongly. At very high SNRs (> 15 dB), overfitting of the covariance matrix becomes more 585 prominent; the condition number (ratio of the largest and the smallest eigenvalues) of the covariance 586 matrix becomes very high even after the default regularization, which can deteriorate the guality of 587 source estimates unless the covariance is appropriately regularized. Therefore, the seemingly same 588 5% regularization can have very different effects before and after SSS; see Suppl. Fig. 7. Thus, the 589 590 commonly used way of specifying the regularization level might not be appropriate to produce a good and stable covariance model at high SNR, and this could be one of the explanations for the 591 592 anecdotally reported detrimental effects of SSS on beamforming results.

593 **5. Conclusion**

We conclude that with the current versions of LCMV beamformer implementations in the four open-594 source toolboxes — FieldTrip, SPM12(DAiSS), Brainstorm, and MNE-Python — the localization 595 accuracy is acceptable (within ~10 mm for a true point source) for most purposes when the input 596 SNR is 3-15 dB. Lower or higher SNR may compromise the localization accuracy and spatial 597 resolution. To extend this useable range, a properly defined scaling strategy such as pre-whitening 598 should be implemented across the toolboxes. The default regularization is often inadequate and may 599 vield suboptimal results. Therefore, a data-driven approach for regularization should be adopted to 600 601 alleviate problems with low- and high-SNR cases. Our further work will be focusing on optimizing regularization using a more data-driven approach. 602

603

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	MNE-Python	FieldTrip	SPM12 (DAiSS)	Brainstorm
Version	0.18	20190922	20190924	20190926
Data import functions	MNE (Python)	MNE (Matlab)	MNE (Matlab)	MNE (Matlab)
Internal units of MEG data	T, T/m	T, T/m	fT, fT/mm	T, T/m
Band-pass filter type	FIR	IIR	IIR	FIR
MRI segmentation	FreeSurfer	SPM8/SPM12	SPM8/SPM12	FreeSurfer/SPM8
Head model	Single-shell BEM	Single-shell corrected sphere	Single-shell corrected sphere	Overlapping spheres
Source space	Rectangular grid (5 mm)	Rectangular grid (5 mm)	Rectangular grid (5 mm)	Rectangular grid (5 mm)
MEG–MRI coregistration	Point-cloud co- registration and manual correction	3-point manual co-registration followed by ICP co-registration	Point-cloud co- registration using ICP	Point-cloud co- registration using ICP
Data covariance matrix	Sample data covariance	Sample data covariance	Sample data covariance	Sample data covariance
Noise normalization for NAI computation	Sample noise covariance	Sample noise covariance	Sample noise covariance	Sample noise covariance
Combining data from multiple sensor types	Prewhitening (full noise covariance)	No scaling or prewhitening	No scaling or prewhitening	Prewhitening (full noise covariance but cross-sensor- type terms zeroed)
Beamformer type	Scalar	Scalar	Scalar	Vector
Beamformer output	Neural activity index (NAI)	Neural activity index (NAI)	Neural activity index (NAI)	Neural activity index (NAI)

Table 1. Characteristics of the four beamforming toolboxes. The non-default settings of each toolbox are shown in bold. The toolbox version is indicated either by the version number or by the download date (*yyyymmdd*) from GitHub.



Fig. 1. The 25 simulated dipolar sources (green dots) in the source space (grey dots).



Fig. 2. Simulating MEG data (detailed workflow in Suppl. Fig. 1).

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Fig. 3. The dry phantom measured in this study. (a) Outer view, (b) cross-section, (c) positions of the employed dipole sources, (d) phantom position with respect to the MEG sensor helmet, and (e) position and rotation of the phantom during one of the moving-phantom measurements (Dipole 9 activated).



Fig. 4: Correspondence between the head models used by MNE-Python (grey), FieldTrip (lavender) and SPM12 (mint). The Brainstorm head model is not included here as it uses overlapping spheres. The outermost surface (inner skull) across the toolboxes is rendered visible.

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Fig. 5. Localization error (a) and point-spread volume (b) as a function of input SNR for raw and SSS-preprocessed simulated datasets. The markers size indicates the true dipole amplitude. The curves (black) indicate the polynomial regression of the maximal value across the four LCMV implementations.

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Fig. 6. Localization error (a) and point-spread volume (b) as a function of input SNR for phantom data recording in a stable position. The markers size indicates the true dipole amplitude. The curves (black) indicate the polynomial regression of the across the four LCMV implementations.



Fig. 7. Localization error (a) and point-spread volume (b) as a function of input SNR for data from the moving phantom. The curves (black) indicate the polynomial regression of the maximum value across the four LCMV implementations.



Fig. 8. Source estimates of human MEG data. (a) Localization difference from the reference dipole location for raw and tSSS-preprocessed data. (b) Peaks of the beamformer source estimate of tSSS-processed data. From left to right: visual stimuli presented to left (triangle) and right (square) upper and lower quadrant of the visual field (the two axial slices showing all sources); somatosensory stimuli to left (triangle) and right (square) wrist; auditory stimuli to the left (triangle) and right (square) ear. Reference dipole locations (yellow and orange circles).