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# Machine Learning for Next-Generation Intelligent Transportation Systems: A Survey

Tingting Yuan, Wilson da Rocha Neto, Christian Esteve Rothenberg, Katia Obraczka, Chadi Barakat, and Thierry Turetletti

**Abstract**—Intelligent Transportation Systems, or ITS for short, includes a variety of services and applications such as road traffic management, traveler information systems, public transit system management, and autonomous vehicles, to name a few. It is expected that ITS will be an integral part of urban planning and future cities as it will contribute to improved road and traffic safety, transportation and transit efficiency, as well as to increased energy efficiency and reduced environmental pollution. On the other hand, ITS poses a variety of challenges due to its scalability and diverse quality-of-service needs, as well as the massive amounts of data it will generate. In this survey, we explore the use of Machine Learning (ML), which has recently gained significant traction, to enable ITS. We provide a comprehensive survey of the current state-of-the-art of how ML technology has been applied to a broad range of ITS applications and services, such as cooperative driving and road hazard warning, and identify future directions for how ITS can use and benefit from ML technology.

**Index Terms**—Intelligent Transportation System (ITS), Machine Learning (ML), Cooperative Driving, Autonomous Vehicles

## I. INTRODUCTION

Intelligent Transportation Systems, or ITS for short, typically refers to the application of information, communication, and sensing technology to transportation and transit systems [1]. ITS is likely to be an integral component of tomorrow's smart cities [2] and will include a variety of services and applications such as road traffic management, traveler information systems, public transit system management, and autonomous vehicles, to name a few. It is expected that ITS services will contribute significantly to improved road and traffic safety, transportation and transit efficiency, as well as to increased energy efficiency and reduced environmental pollution. While ITS applications have been enabled by unprecedented advances in sensing, computing, and wireless communication technology, they will pose a variety of challenges due to their scalability and diverse quality-of-service needs, as well as the massive amounts of data they will generate.

Recently, Machine Learning (ML) techniques have gained significant traction enabled by a variety of technologies, notably cloud and edge computing. ML has been used by a diverse set of applications, that similarly to ITS services, impose a wide range of requirements. In particular, ML

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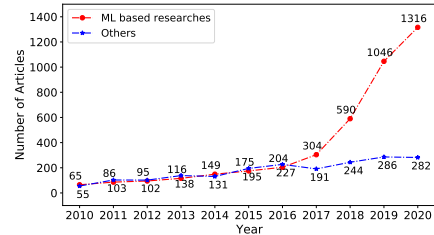


Fig. 1: Number of publications on ITS from 2010 to 2020.

approaches such as deep learning and reinforcement learning have been useful tools to explore patterns and underlying structures in big data sets for prediction and accurate decision making [3-5]. Statistics on published papers in the recent ten years confirm this trend as can be seen in Fig. 1, which shows an obvious increasing trend of research works proposing the use of ML to enable and optimize ITS tasks.

The question of how to explore and adapt ML to address ITS applications' distinctive characteristics and requirements remains challenging and offers promising research directions. Some literature surveys have explored the broad field of ITS, we provide a comparison of the recent surveys on the topic in Table I. Notable works include [6-13], which focus on specific aspects of ITS, such as vehicle detection [6-8], VANET safety [9], VANET performance optimization [10], and autonomous vehicle control [13]. However, none of these surveys covers the usage of ML in ITS and its role in enabling tasks such as perception, prediction and management.

The main goals of this paper are: (1) to provide a comprehensive survey of the current state-of-the-art of how ML technology has been applied to a broad range of ITS applications and services, such as co-operative driving and road hazard warning, and (2) to identify future directions for how ITS can use and benefit from ML technology. To this end, the contributions of our survey can be summarized as follows:

- First, we present an overview of ITS based on an ITS application-centric framework we propose.
- Second, we explain how ML can be used by ITS applications.
- Third, based on the application-centric ITS framework, we provide a detailed review of the current state-of-the-art on the application of ML to ITS.
- Finally, we discuss future trends and research directions on how ML can be applied to benefit ITS applications.

The structure of the paper, which is illustrated in Fig. 2,

TABLE I: Comparison with other recent surveys on ITS

Ref.	Year	Scope	Comments
[6]	2019	Survey on vision-based vehicle re-identification.	Only for vehicle re-identification, one of perception tasks.
[7]	2019	Survey on 3D object detection for autonomous driving applications.	For 2D and 3D object detection, one of perception tasks.
[8]	2019	Survey on object detection.	More general survey of perception tasks, not focused on ITS.
[11]	2019	Survey on AI-driven vehicular systems.	Focused on vehicular applications, but lacks coverage of some recent research on the topic such as 4D and 5D detection.
[12]	2020	Survey on visual perception in industry intelligence.	Only for visual perception in industrial scenarios.
[13]	2020	Survey on deep learning for autonomous vehicle control.	Focused on autonomous vehicle control, one of the management tasks.
Ours		Survey on ML in ITS including perception, prediction, management.	Overview of ML for main tasks in ITS.

is as follows. In Section II, we present an overview of ITS and in Section III, review some of the most prominent ML and discuss how they can be employed by ITS applications. Sections IV, V, and VI describe current studies that apply machine learning to various ITS tasks, which mostly focus on researches published in the last two decades. In Section VII, we highlight several open research issues and discuss some future trends. Section VIII concludes the survey with a brief summary.

## II. ITS OVERVIEW

ITS is a relatively recent- but fast-evolving area and has been attracting considerable attention from the research and practitioner communities. This section provides an overview of ITS, including: (1) defining how ITS is currently understood, (2) listing some of more prominent applications and services of ITS, (3) proposing an application-centric framework for ITS that will serve as the basis for the survey, and (4) identifying basic *tasks* that are used as building blocks by ITS applications.

### A. Working Definition of ITS

Even though ITS is a relatively recent term, its definition has been evolving ever since it was first proposed in the 90's [14]. Stakeholders tend to have different but not disassociated views of what ITS means. The U.S. Department of Transportation (DOT), for example, defines ITS as a mean to achieve safety and mobility in surface transportation through the application of information and communication technologies [15]. In this case, surface transportation refers to transportation by roads, rail, or water and excludes air transportation. The European Union (EU) uses a similar definition but limits surface transportation to transportation by roads [16]. This focus on surface transportation can be explained by the distinct characteristics

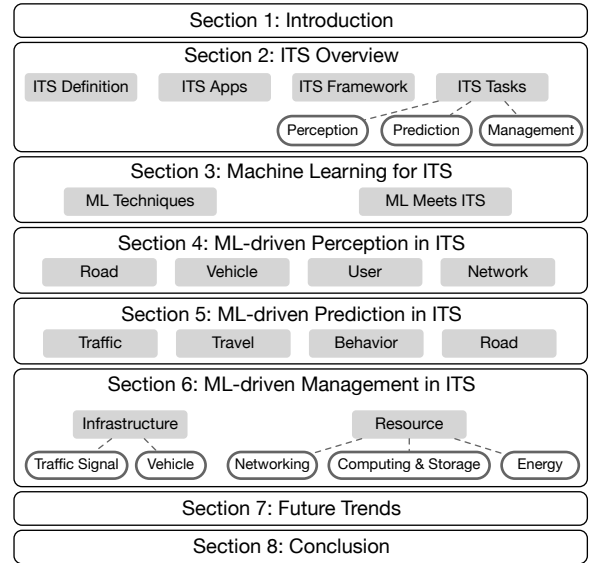


Fig. 2: Overview of the survey organization.

of aerial, marine, and terrestrial transportation in terms of several aspects, including usage and security [17].

Other definitions approach ITS from different points of view, e.g., focusing on the benefits that ITS can provide to ITS users (including drivers, passengers, and pedestrians) through the use of services aiming at traffic efficiency, security [17] (e.g., speed- and road condition monitoring, weather forecasting), etc. Such services usually rely on the interaction between vehicles and road infrastructure, which in turn motivates the idea of *Cooperative ITS*.

Cooperative ITS (C-ITS), leverages Vehicle-to-Everything (V2X) communication [18] and is consistent with the current effort towards "smart and connected vehicles" as illustrated by standardization activities worldwide [2]. The vision of intelligent, interconnected transportation systems is aligned with the U.S. Department of Transportation (USDOT) *Connected Vehicle Pilot Program* [19] which views ITS as a "mean to deploy applications utilizing data captured from multiple sources across all the elements of surface transportation system".

Based on these current ITS definitions, in this survey, we define ITS as the means to interact with road transportation systems and deliver improved security, efficiency, and comfort to users through the deployment of applications that employ information, communication, and sensing technology. To further elaborate on this definition, we discuss notable ITS applications next.

### B. ITS Applications

Different ITS stakeholders propose different classifications for ITS applications. For example, the CAR-2-CAR Communication Consortium (C2C-CC) groups ITS services in (1) Awareness driving (e.g., speed and position); (2) Sensing driving (e.g., pedestrian detection); and (3) Cooperative driving (e.g., turning intention) and movement coordination between vehicles [21]. The ISO 14813-1, in turn, groups ITS services in 11 domains, ranging from traffic management operation

TABLE II: Examples of ETSI's Basic Set of Applications (BSA) [20]

Application Class	Application	Objective	Use Case Examples
Active Road Safety	Driver assistance - co-operative awareness	Signal the presence of vehicles (e.g., slow and emergency ones) and inform surrounding vehicles about actions or maneuvers.	<ul style="list-style-type: none"> <li>• Slow vehicle indication</li> <li>• Emergency vehicle warning</li> </ul>
	Driver assistance - road hazard warning	Warn surrounding vehicles about possible hazards and safety concerns (e.g., hard breaking, wrong way driving).	<ul style="list-style-type: none"> <li>• Stationary vehicle warning</li> <li>• Traffic condition warning</li> </ul>
	Cooperative collision avoidance or mitigation.	Avoid collisions and mitigate their impacts.	<ul style="list-style-type: none"> <li>• Across traffic turn collision risk warning</li> <li>• Pre-crash sensing</li> </ul>
Cooperative Traffic Efficiency	Speed management	Warn vehicles about speed discipline.	<ul style="list-style-type: none"> <li>• Regulatory/Contextual speed limit notification</li> </ul>
	Co-operative navigation	Allow information exchange aiming traffic navigation coordination and efficiency (e.g., intersection management and adaptive cruise control).	<ul style="list-style-type: none"> <li>• Traffic information and recommended itinerary</li> <li>• Enhanced route guidance and navigation</li> </ul>
Cooperative Local Services	Location based services	Provide information for local commercial or non-commercial services (e.g., food and parking) and multimedia access.	<ul style="list-style-type: none"> <li>• Media downloading</li> <li>• Automatic access control and parking management</li> </ul>
Global Internet Services	Communities services	Enable interaction, monitoring and management of financial and insurance services provided by the wider Internet.	<ul style="list-style-type: none"> <li>• Fleet management</li> <li>• Insurance and financial services</li> </ul>
	ITS station life cycle management	Manage services and the functioning of the ITS infrastructure).	<ul style="list-style-type: none"> <li>• Vehicle Software/data provisioning and update</li> <li>• Vehicle and RSU data calibration</li> </ul>

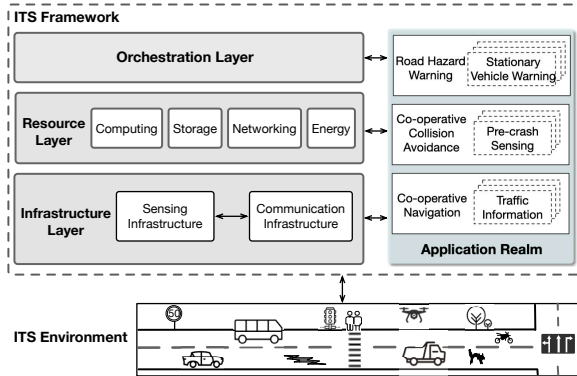


Fig. 3: Proposed application-driven ITS framework.

to weather and environmental conditions monitoring [17]. The USDOT Connected Vehicle Pilot Program (CV Program) lists different applications categories, some of which (e.g., V2V/V2I safety and V2I mobility applications), have started to be implemented in US cities. Examples of CV Program's applications include forward collision warning, intelligent traffic light and pedestrian crosswalk [22]. The European Telecommunications Standards Institute (ETSI) proposed the Basic Set of Applications (BSA), which is illustrated in Table II. A comprehensive description of ETSI applications is presented in [23]. Because ETSI's BSA is well known and widely adopted, we use it in this survey to guide our exploration of ITS applications including our application-driven ITS framework, which is described in detail below.

### C. Application-driven ITS Framework

Our application-driven ITS framework is inspired by ETSI's BSA. As illustrated in Fig. 3, the proposed framework is structured in three layers, namely: infrastructure-, resource- and orchestration, along with an application realm.

1) *ITS Environment*: ITS applications and services interact with the ITS physical environment which comprises: transportation infrastructure, environmental conditions, and users. Transportation infrastructure includes vehicles, traffic lights, traffic signs, roads, toll booths, road elements (e.g., speed bumps), and other road infrastructure. Example of environmental conditions are weather, lighting, geography, and road conditions. Finally, ITS application users include drivers, passengers, pedestrians, and operation and management personnel. The interaction between transportation infrastructure, environmental elements, and users contributes to the complexity, heterogeneity, and dynamicity of the ITS environment.

2) *Infrastructure Layer*: The infrastructure layer is responsible for collecting data from the ITS environment and delivering it to the other ITS framework players. Therefore, the infrastructure layer comprises both a (i) *sensing infrastructure* which includes all data collection devices (e.g., sensors); and (ii) *communication infrastructure* consisting of networking equipment responsible for enabling data access and exchange.

As roads, vehicles, pedestrians, and passengers carry an increasing number and variety of sensors (e.g., Internet of Vehicles (IoV) [24, 25], On-Board Units (OBUs) [26]), the sensing infrastructure must be able to acquire and communicate sensed information at unprecedented scale and heterogeneity. While sensors such as cameras, light radars (LiDARs) and ultrasonic sensors offer visual data to ITS applications, kinetic sensors (e.g., accelerometers), magnetic sensors (e.g., compasses), and position tracking systems (e.g., global positioning system) provide scalar information. Road-side units (RSUs), access points (APs), routers, switches, and transceivers enable communication amongst ITS users and components (e.g., Vehicle-to-Infrastructure (V2I), Vehicle-to-Vehicle (V2V)) using standards like 4G/5G and IEEE 802.11p [27].

Besides, Unmanned aerial vehicles (UAVs) [28] are a new type of vehicles in ITS, which cement the ITS participants with high mobility. Recently, the enthusiasm for utilizing UAVs

into a proliferation of fields has exploded, thanks to advanced technologies and their reduced cost. UAVs can play various roles in ITS [29], such as aerial deliveries, aerial traffic signals, and aerial cameras.

3) *Resource Layer*: ITS applications and data collected by the infrastructure layer can use a plethora of services provided by the resource layer. Such services include *computing, networking, storage, and energy*. Storage resources are used to store historical data and computational results locally or in clouds. Computing resources provide data processing capabilities, including fog computing and cloud computing services deployed in dedicated hardware or virtual environments (e.g., virtual machines or containers). Networking resources are used to deliver data and include physical- and virtual networking functions performed by communication infrastructure elements [30]. Finally, energy resources provide power to the ITS infrastructure, ensuring its continuous availability.

4) *Orchestration Layer*: Since ITS applications have different resource requirements and resource access priorities, services provided by the resource layer need to be delivered to applications according to their needs. As such, resource allocation is one of the main roles of the orchestration layer, which creates abstract representations, or models, for the resource- and infrastructure layers in order to schedule their resources and services and address the different needs of ITS applications. Orchestrators then provide an interface between ITS applications and the resource- and infrastructure layers handling requests from different applications, scheduling the appropriate resources and/or obtaining requested information to ensure applications receive the quality-of-service they need. By providing this "bridge", the orchestration layer also facilitates application development and deployment. Embodiments of the orchestration layer include Software Defined Networking (SDN) [31] controllers and applications as well as network service orchestrators [32].

5) *ITS Application Realm*: As previously discussed, there is a wide variety of ITS applications ranging from driver assistance to traffic efficiency and media downloading. ITS applications need access to distinct resources, infrastructure, and data. To capture the different needs of ITS applications, we classify them into three different groups, namely: local, global, and hybrid applications.

Local applications rely solely on data collected locally. Cooperative collision avoidance is a typical example of local application as it tries to identify possible crashes collecting and exchanging information from the vehicle and its immediate surroundings.

Global applications, on the other hand, require information that transcends a specific locality. Road hazard warning services, for example, collect different kinds of traffic events and use the information obtained from the orchestration layer to enforce desired policies. For instance, in the case of stationary vehicle warning, the road hazard warning application receives stationary vehicles event information (e.g., where and when it happened) and decides which geographical areas should receive information about interested the event.

Finally, hybrid applications can use both local as well as global information. Cooperative navigation services, for ex-

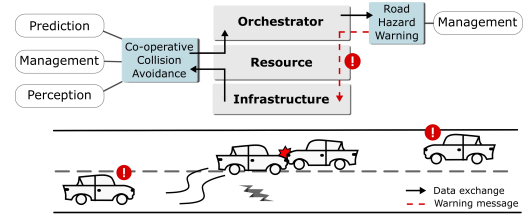


Fig. 4: Depiction of how ITS applications exploit tasks for collision avoidance (adapted from Alam et al. [23]).

ample, can access optimized traffic information data provided by a server connected to the orchestration layer and use the local perception of traffic and hazards to define the better route for a vehicle. Note that, as illustrated in Fig. 3, ITS applications which are represented by the application realm in the proposed ITS framework can interact with all other layers of the framework.

#### D. ITS Application Tasks

The wide scope of use-cases and the constant interactions with the dynamic ITS environment raise challenges to application deployment. For example, ITS applications need to abstract valuable information and take actions based on massive amounts of data. Because of this, ITS applications are required to have competencies or perform specific tasks to achieve their main objectives, i.e., providing efficiency, security and comfort to the ITS environment and its users.

To better understand and deploy ITS applications, some studies try to divide ITS applications into tasks. For example, works in [33-35], which focus on driver assistance and cooperative driving applications for semi-autonomous and autonomous vehicles, give some examples of ITS tasks decomposition. In this paper, we expand the concept of ITS tasks in order to support the wide range of ITS applications. To this end, we categorize ITS tasks into (i) *perception tasks*, (ii) *prediction tasks*, and (iii) *management tasks*. In the following subsections, we define and discuss the challenges for each task. We also showcase how ITS applications can exploit such tasks using Fig. 4 as an example of the interaction between two applications, namely cooperative collision avoidance and road hazard warning and the ITS framework.

1) *Perception Tasks*: Perception tasks are those that try to detect, identify and recognize patterns of data to represent and understand the presented information. These tasks are leveraged in today's transportation systems due to the widespread use of sensors, shifting the challenge from how to gather to how to interpret data. With perception, ITS applications can receive information extracted from the environment. For example, as depicted in Fig. 4, a co-operative collision avoidance application interacts with the sensing infrastructure of a vehicle, collecting data and using perception to raise awareness of the surrounding environment. On the other hand, the perception of road signs task identifies signs on the side of a road, providing a decision parameter to speed management applications.

Nevertheless, the broad spectrum of data and variety in the sensing infrastructure technologies [25] represent data

fusion [36] and big data problems, which impact the ITS perception. For example, the variety of vehicles with different mobility patterns and physical features can impact how they are identified by a co-operative collision avoidance application. On the other hand, camera images for a road sign detection task deal with signs in different physical conditions, angles, and brightness, which can change the perception of the sign [37].

Even with these concerns, the perception tasks are expected to be robust and stable, since their outputs are used in the applications' decision-making process. As new sensor technologies [25] arrive in transportation systems, perception tasks have to deal with new features. Standardization between manufacturers [2] and the definition of a standard protocol [24] are possible solutions to overcome challenges leveraged by heterogeneity. However, solely adopting a global automated data collection scheme is not enough. What ITS perception really needs is real-time and situational assessment, which can be achieved by the improvement of machine cognition [38].

2) *Prediction Tasks*: Prediction tasks, as their name suggests, try to predict future states given historical and real-time data. Due to dynamic ITS environment, these tasks are used by proactive applications, which attempt to prepare for future states by prediction. For example, the co-operative collision avoidance application illustrated in Fig. 4 needs to predict where a vehicle will be in a future point in time, prematurely identify an accident and apply actions to mitigate or lessen impacts (e.g., pre-crash warning use-case).

However, the heterogeneous and dynamic ITS environment hinders the accuracy of prediction tasks. Besides, uncertainty, ambiguity, and quality of the information are also crucial in state prediction. For instance, in a traffic flow prediction task, a plethora of factors are relevant, including time-related (e.g., day of the week, day's schedule and holiday impact), vehicle proportion (e.g., number of cars in relation to bicycles), accidents, weather and even sociocultural ones (e.g., the behavior of drivers in a specific country). Because of this, extracting the correct features to give a precise prediction is a challenge, which restricts the scope of prediction solutions.

3) *Management Tasks*: Management tasks are responsible for dictating the behavior in ITS. Management tasks are needed to provide a systematic and reliable solution for a given problem. For example, in Fig. 4, a co-operative collision avoidance application, after perceiving a vehicle ahead and predicting a crash, has to use management tasks to control the vehicle's trajectory and motion to avoid the accident. If a vehicle fails to avoid the collision, the orchestration layer can gather information about the crash and provide it to a global road hazard warning application. The latter application will elect geographical locations and manage message dissemination policies to warn nearby vehicles about the crash (e.g., stationary vehicle warning use-case), leveraging the use of management tasks.

As soon as the scope covered by an ITS application keeps growing, the increased number of data necessary to deploy a management solution can compromise the scalability of the solution. Therefore, optimization in management is essential. Even for local applications, stringent time requirements

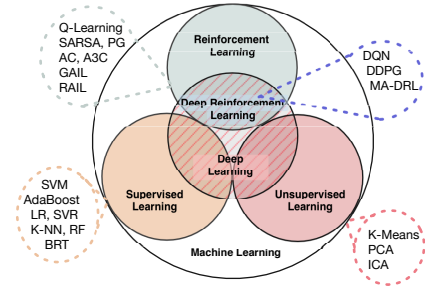


Fig. 5: A taxonomy of mainstream ML approaches.

TABLE III: Comparison of SL, UL and RL

Techniques	Data format	Objective	Feedback
<b>SL</b>	labeled training data	predict	direct
<b>UL</b>	no-labeled	explore	no feedback
<b>RL</b>	zero-shot, but interact	take action	reward

demand optimal use of computational resources. Moreover, the heterogeneity of nodes and applications leverage concerns to network management, since essential nodes and sensitive applications must be prioritized to lessen the data transfer latency. The availability of data is yet another concern since a management system has to decide how the needed data can be retrieved.

### III. MACHINE LEARNING FOR ITS

In this section, we discuss the potential of using ML in ITS, focusing on how ML can integrate and enhance perception, prediction, and management tasks. We provide a background on the mainstream ML approaches, introducing nomenclature and concepts typically found in the surveyed literature. An ML-experienced reader may jump the ML background and go directly to Section III-B, in which how ML works in ITS is discussed.

#### A. Machine Learning

ML is an area of computer science, which emphasizes the intelligence of machines in performing human-like tasks. In this subsection, we focus on mainstream ML approaches, including Supervised Learning (SL) [39], Unsupervised Learning (UL) [40], Reinforcement Learning (RL) [41], and Deep Learning (DL) [42]. In order to have a better understanding of state-of-the-art ML approaches, we provide a brief review following the taxonomy presented in Fig. 5.

1) *Supervised Learning*: SL models relationships and dependencies between predicted output and the input features. It does so by inferring a classification or regression from a labeled training dataset. A training dataset is composed by examples used for learning. Labeled data is a group of samples that have been tagged with target variables. Based on the function learned from the training data, SL can predict the output values for new data.

According to its role, most of SL algorithms can be split into two major categories:

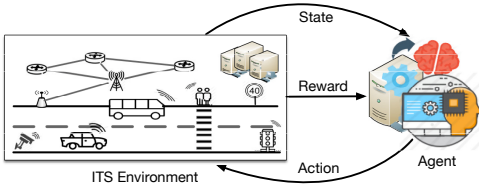


Fig. 6: The way of RL works in ITS.

- *Classification algorithms* learn to predict a category as the output for a new observation, on the basis of labeled training data. For example, Support Vector Machine (SVM) [43], and Adaptive Boosting (AdaBoost) [44] are representative classification algorithms.
- *Regression algorithms* work for the regression problem whose output variable is a real or continuous value, such as “salary” or “weight”. Many different approaches have been proposed for the regression problem. The simplest one is the Linear Regression (LR) [45], which tries to fit data with the best hyper-plane going through the points of training data. Another famous example is Support Vector Regression (SVR) [46].

Note that some algorithms are applied on both classification problems and regression problems, such as k-Nearest Neighbors (k-NN) [47], Random Forest (RF) [48] and Boosted Regression Trees (BRT) [49].

2) *Unsupervised Learning*: UL is a data-driven knowledge discovery approach that can infer a function describing the structure from datasets consisting of input data without labeled responses. Unsupervised algorithms can be split into two different categories:

- *Clustering algorithms*, such as K-means clustering [50], discover the inherent groupings in the data.
- *Dimension reduction algorithms*, such as Principal Component Analysis (PCA) [51] and Independent Component Analysis (ICA) [52], find the best representation of the data with fewer dimensions.

3) *Reinforcement Learning*: RL aims to learn how to take a sequence of actions in an environment in order to maximize cumulative rewards. RL can be a zero-shot learning, which means it can begin to learn with no data. Fig. 6 depicts the working mechanism of RL combined with the ITS environment. The ITS environment includes all the ITS layers and the surrounding environment (for instance, the road condition). The agent in RL is the component that makes decisions on which action ought to take. To achieve it, the agent needs the ability to interact with the environment to obtain data (state, action, and reward). Then, with the obtained data, the agent can train and update itself to provide better decisions. RL algorithms can be split into three different kinds:

- *Value-based algorithms* are based upon temporal difference learning to obtain value function, which estimates how good to take specific actions on given states. Q-Learning [53], SARSA [54], and Deep Q-Network (DQN) [55] are three typical value-based RL.
- *Policy-based algorithms* directly learn optimal policy or try to obtain an approximate optimal policy based on

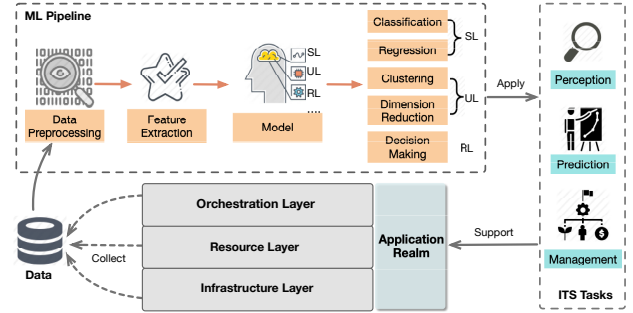


Fig. 7: ML pipeline and interaction between ML and ITS.

the observation, such as Policy Gradients (PG) [56] and Deterministic Policy Gradient (DPG) [57].

- *Imitation algorithms* [58] (also called *Apprenticeship Learning - AL*) try to make decisions using demonstrations, which usually obtain a good performance when the reward function is difficult to specify or sparse and when it is challenging to optimize actions directly. These algorithms can deal with unexplored states (i.e. new states not in the training data) so that they offer more reliable frameworks for many tasks such as self-driving cars. Generative Adversarial Imitation Learning (GAIL) and Reward Augmented Imitation Learning (RAIL), are mainstream AL methods.

*Hybrid algorithms* combine value-based algorithms with policy-based algorithms. Their goal is to represent the policy function by policy-based algorithms, where updates of policy functions depend on value-based algorithms. For example, Actor Critic (AC) [59], Asynchronous Actor-Critic Agents (A3C) [60] and Deep Deterministic Policy Gradients (DDPG) [61] are typical hybrid algorithms.

4) *Deep Learning and Neural Networks*: DL is famous in various fields, its success mostly relies on artificial neural networks (ANNs). ANNs have become a trendy method for data representation. An ANN consists of a set of interconnected nodes designed to imitate the functioning of the human brain. Each node has a weighted connection to several other nodes in neighboring layers. Individual nodes take the input received from connected nodes and use the weights together with a simple function to compute output values. ANNs, especially Deep Neural Networks (DNNs), became attractive inductive approaches owing to their high flexibility, non-linearity, and data-driven model building.

The main kinds of neural networks are Fully-connected Neural Networks (FNNs), Convolutional Neural Networks (CNNs) and Recurrent Neural Networks (RNNs), as shown in Table IV. CNNs achieve dominant performance on visual tasks, such as exploiting fundamental spatial properties of images and videos. RNNs can successfully characterize the temporal correlations of data, thus exhibit superior capability for time series tasks. The long short-term memory (LSTM) methods, whose units are RNNs, are capable of learning order dependence in sequence prediction problems. Graph Neural Networks (GNNs) [62, 63] are a kind of graph structure, which models a set of nodes (entity) and edges (relationship). FNNs, CNNs, and RNNs are based on Euclidean data. However,

TABLE IV: Neural Networks Comparison

Type	Entities	Relations	Scenario
FNN	Units	All-to-all	-
CNN	Grid elements	Local	Spatial correlation
RNN	Time steps	Sequential	Time correlation
GNN	Nodes	Edges	Node, edge correlations

GNNs use non-Euclidean data structures for deep learning.

The neural networks have a lot of extensions, such as Deep Belief Networks (DBN) [64], Error-feedback Recurrent Convolutional Neural Networks (eRCNNs), Fully Convolutional Neural Networks (FCNs), and Spatio-Temporal Graph Convolutional Neural Networks (ST-GCNNs). For example, DBNs can be described as a stack of Restricted Boltzmann Machines (RBMs) [65], which has a two-layer network model, consisting of visible units and hidden units.

As shown in Fig. 5, there is a new item, namely Deep Reinforcement Learning (DRL), to describe the algorithms that combine RL with DL. For example, DQN, DDPG, and multi-agent DRL (MA-DRL) are DRL algorithms.

### B. ML Meets ITS

Data is one of the main commodities extracted from today's ITS. Given the different scopes of ITS applications (global, local, and hybrid applications), data can be obtained from all the ITS layers. This data-heavy characteristic of ITS paves the way for the inherent ability of ML to discover knowledge from data. Regression, classification, prediction, clustering, and even decision-making, are features provisioned by ML capable of enhancing ITS and being foundations for the ITS application's building blocks, i.e., tasks. In this section, we discuss 1) how ML is integrated inside ITS, backed by an ML pipeline; and 2) how ML is harnessed by ITS tasks.

#### 1) ML Pipeline:

In this part, we discuss the ML pipeline depicted in Fig. 7. The main objective of such a pipeline is to model desired ITS elements or behavior, which can be harnessed by ITS tasks. For example, modeling vehicle's mobility is useful for prediction tasks, whereas models to classify transportation infrastructure from images can be applied in perception. The ML pipeline consists of several steps, namely data preprocessing, feature extraction, and modeling.

- *Data preprocessing*: The raw data usually needs preprocessing; for example, data cleaning and data normalization.
- *Feature extraction*: Feature extraction from data is a critical step. There are two ways for feature extraction, namely, hand-crafted features and deep learning features. Hand-crafted features are selected with the knowledge of human experts, which are relevant for a given task. However, even the most experienced human cannot identify all the underlying features not explicitly related to the captured data [66]. Therefore, the extracted features can only reflect limited aspects of the problem, which yield lower accuracy. Examples of hand-craft extractors are Gabor filter, local binary pattern (LBP), local ternary

pattern (LTP), and histogram of oriented gradients (HOG) for image feature extraction. Thanks to deep learning, which has superiority in learning of deep features, the feature extraction can be automatic without any manual intervention.

- *Modeling*: Regarding model training, ML has reached celebrity status. In particular, the advent of ML enables great strides towards better visual understanding [42]. The trained ML models can be used for regression, classification, clustering, and making decisions, which can be applied to ITS tasks.

#### 2) ML for ITS Tasks:

In this part, we introduce how ML works for each ITS task. Firstly, traditional approaches for perception were usually based on traditional sensors, such as magnetic sensors, inductive loop detectors, GPS, REID, and so on. With the widespread deployment of vision-based devices in ITS infrastructures, an unprecedented quantity of images and video data is generated, which leverages vision understanding as the crux of the perception task. Traditional techniques cannot offer the needed speed and accuracy in vision-based perception, whereas ML approaches can be used to improve these metrics. Such improvement was primarily done with hand-crafted features, which are derived from the information in the image. However, considering the growing diversity of objects and little difference between similar objects in some perceptive problems, the process of deriving hand-crafted features may not be discriminative enough. Thanks to DL, perception accuracy has been greatly improved with the extraction of deep features.

Secondly, researchers have investigated a number of parametric and non-parametric methods for the prediction problem. When the model structure is fixed and parameters are learned from data, this way of modeling is called a parametric method; examples include Grey system models, time series, and Kalman Filters. However, this method needs a good model structure in advance, based on the qualitative judgment of experts. It is highly subjective, and limited in the sense that results come under a high cost in terms of time and money. Likewise, non-parametric methods determine both parameters and their model structure from data through training. ML-based algorithms, a typical class of non-parametric methods, are driven by big data analytics, allowing ML to discover the patterns within the data automatically. For example, fuzzy logic, k-NN, and SVM are variations of this class of methods. Especially, with the development of parallel processing technology, neural networks are one of the best models for prediction [67], since it can approximate almost all functions without prior knowledge of its functional form, and it is suitable for both linearity and non-linearity problems. By practice and experimentation, ML-based prediction methods can obtain accuracy with a fast learning speed [68].

Finally, classical management approaches try to find a sequence of actions that transfer the environment or objects from an initial state to a desired state with some objective. In this kind of management mechanism, the problems are assumed to be fully observable (the state of environment is precisely known), finite (state space and action space is



limited), deterministic (the rule of state transfer is known in advanced), and static (only the entity for which we control changes the state) [69]. However, the environment of ITS is more complicated, being unable to meet all the assumptions of classical algorithms. ML approaches, such as RL, which offer methods dealing with infinite state and action space with uncertain effects, are more suitable for ITS management tasks.

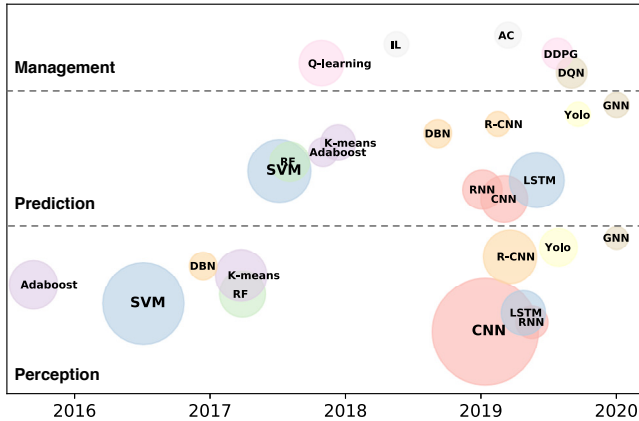


Fig. 8: The evolution of ML technologies in ITS over years.

Fig. 8 shows the evolution over the recent ten years of the trending application of different mainstream ML technologies in ITS, and this is for the main tasks of perception, prediction, and management. The data used to produce this figure is extracted from related published papers between 2010 and 2020. In this figure, the radius of a circle is proportional to the number of papers on each task and proposing the use of the associated ML technology, the center of the circle is the weighted average publication year, where the weight of a year is the ratio of the number of published papers in this year to the number of published papers over all years considered. The first observation here, which is in line with the result in Fig. 1, is that the number of circles tends to increase with years, thus highlighting the trending application of ML in ITS. Furthermore, DNN-driven technologies are becoming more and more popular. Secondly, we can also observe that some technologies are more popular for some tasks than others. For example for perception, CNN seems to be the most attractive in the recent three years, followed by R-CNNs and *You only look once* (Yolo) [70], which got increased attention since 2019. For the prediction task, the LSTM technology has been mostly used the recent 3 years, followed by RNN and CNN. Older years than 2019 has seen a surge in the popularity of the SVM technology for the prediction task, before this popularity being decreased in the following years. For the management task, Q-learning has been first mostly used, before leaving its place to more advanced techniques such as DQN and DDPG starting from 2019.

In a brief conclusion, the application of ML technologies has considerably inspired the ITS revolution and the intelligent upgrade of its main tasks, with a long list of research works being proposed to advance the state of the art on the topic. In the following sections, we introduce the main ML-based works on perception, prediction and management of ITS and

show their role in each of the specific problems.

#### IV. ML-DRIVEN PERCEPTION IN ITS

Given the suitability of ML approaches to deal with image processing, ML-driven perception is introduced mainly from the view of vision-based perception. Giving that perception tasks can be applied on different ITS topics and scopes, we elected the ITS topic focused by each related work focused alongside the ML approaches utilized and the role performed by ML. Considering the topics surveyed, four major categories for tasks were profiled: road, vehicles, users, and networking. These categories and all the information related to them are grouped within Table V.

##### A. Perception of Road

Traffic flow and behavior are affected by different road transportation elements. Roads, freeways, and bridges are full of signaling infrastructure responsible for dictating traffic flow (e.g., road surface markings and traffic signals) and enforcing the desired traffic behavior (e.g., road signs). Road condition (e.g., road integrity and wetness level) and surrounding scene (e.g., obstacles, trees, and guardrails) are also relevant, given their impact on driving behavior [132, 133]. Because of this, the road has useful information that can be utilized by applications. For instance, in co-operative driving, the vehicle needs to be aware of other vehicles and road conditions to define a driving policy [133]. Therefore, the role of road perception is to make the information present in the road available for ITS applications.

1) *Perception of Road Signs*: Road signs are installed at the side or above roads to give instructions with different shapes, colors, and text. Given the high number of road signs, it is too expensive to install and maintain a sensing infrastructure in each one of them. Thus, the perception of road signs is mostly realized by vision-driven system embedded in each vehicle.

As a typical pattern recognition task, the accuracy of the road signs perception mainly depends on the feature extractor and the classifier [134]. In the beginning, ML approaches, like SVM [71, 72] and RF [73], were used as classifiers with hand-crafted features. These ML approaches are still insufficient to deal with the not typical (or regular or conforming) images. DNN offers methods for automatic learning of deep features, which are stored in massive data. Especially, CNN [74-76] showed its outstanding capabilities of feature-learning in the road signs perception.

Although the CNN-based methods demonstrated their efficiency for this kind of application, they still have some drawbacks. CNN-based approaches usually deal with images in RGB space, which have a negative effect on the representation learning of CNN, in particular, due to non-uniform color distribution and information coupling of RGB space. For instance, DP-KELM [77], which is a kernel extreme learning machine (ELM) classifier with deep perceptual (DP) features, is a learning method from the perceptual LAB color space instead of the RGB space. On the other hand, when the sign recognition task uses a video instead of a single image, DNN-based methods may obtain good results but they

TABLE V: Researches on ML-based Perception for ITS

Category	Research	Topic	ML Approach	Role of ML
Road	[71], [72], [73] [74], [75], [76]	Traffic sign and marking recognition. Road signs recognition with RGB space of single image.	SVM, RF CNN	Classification method with the hand-crafted features. Classification and recognition method.
	[77], [78]	Road signs recognition with LAB color space and in moving vehicles.	ELM,SVM	Classification and recognition method.
	[79], [80], [81], [82]	Road detection and road scene understanding.	CNN	Distinguish different image patches.
	[83]	Road lane detection.	CNN	Estimation the position of lane.
	[84], [85] [86], [87] [88], [89]	Obstacle detection. Detecting parking occupancy. Road surface state and road crack recognition.	CNN, SVM CNN SVM, CNN	Solving the regression problem. Feature extractor and classifier of parking. Classification method of surface state and estimate the position of cracks.
Vehicles	[90], [91], [92], [93], [94], [95] [96], [97], [98], [95], [99] [100], [101]	Vehicle detection using appearance features. Vehicle classification. Vehicle identification with license plate recognition.	SVM, Adaboost, R-CNN SVM, RF SVM, CNN	Classification method of vehicles. Classification algorithm. Character recognition of license plates.
	[102], [103], [104], [105] [106], [107], [108], [109], [110], [110]	Vehicle re-identification. Brake-lights and vehicle steering, lane changing, orientation, and abnormal driving behaviors detection.	CNN, SNN CNN, RF, SVM	Feature extractor and classifier. Classify method for driving behaviors.
Users	[66], [111], [112], [113], [114], [115], [116], [117], [118] [119], [120]	Recognize driving styles of drivers. Pedestrian detection using handcrafted features.	K-means, SVM, k-NN, RF, RNN, DL SVM, AdaBoost	Classification driving styles into groups. Tell pedestrians apart from the background of images.
	[121], [122], [123]	Pedestrian detection using deep features.	UL and CNN, F-DNN, R-CNN	Feature learning and classification of pedestrians.
Network	[124], [125], [126] [127], [128], [129], [130], [131]	Cluster or rank network messages or nodes. Network safety hazard detection.	K-means, SL LSTM, DRL, RF, DBN, FasterRCNN	Classification the network messages and nodes. Feature extractor and classifier.

require high computing resources, such as GPUs. To cope with that, incremental SVM and multi-class SVM were used in [78] alongside a scale-based voting method that combines the classification results of multi-images on the same signs in a moving vehicle.

2) *Perception of Surrounding Scene and Road Conditions:* The road and surrounding scene detection is an essential task for some ITS applications, such as a driving assistance application. Regarding the perception of the road scene in ITS, image segmentation is an important method. For example, classifying single image patches with CNN is an approach in which the pixels of an image are classified into the road and non-road parts [79, 80]. More precise approaches, such as SegNet [81] and DeconvNet [82] use efficient encoder-decoder CNN based models for image segmentation, which have the ability to model appearance, shape and can understand the spatial relationship between different classes (such as roads and sidewalks). Some researches focused on specific object recognition in ITS, such as lane detection [83] and obstacle detection [84, 85].

The recognition of vehicle parking is focused on detecting parking occupancy [86, 87] along the road or in a parking lot. The occupation detection of parking offers visibility into parking space vacancies, which is used to assist the selection of a parking location. CNN, for example, offers advantages for occupancy detection by image processing [86]. Ling et al. [87], in turn, used not only ML-driven local agents but also remote ones by leveraging Amazon Web Services (AWS) to solve the vehicle parking problem.

Furthermore, road surface conditions have a significant impact on transport safety and driving comfort. For this area, the road surface state classification (including dry, wet, snow, ice, and water) [89] and road crack detection [88] were discussed.

## B. Perception of Vehicles

Vehicle perception covers various aspects, such as vehicle detection, vehicle classification, vehicle identification, and driving behavior.

1) *Vehicle Detection:* Vehicle detection can find out vehicles in the surrounding environment without the need to distinguish vehicles. In particular, vision-based vehicle detection involves filtering vehicles from an image's background. Appearance-based methods, for example, detect vehicles directly from images. In such methods, a variety of appearance features can be used as cues for vehicle detection, from the more straightforward image features like edges and symmetry features to general and robust features like HOG features, Gabor features and Haar-like features [135].

Before deep learning, ANNs were thought to be out of favor for vehicle detection, since they require several parameters to tune, and the training results tend to converge to a local optimum [94]. Thus, researches were focused on classifiers whose training converges to a global optimum, such as SVM and AdaBoost. SVM was used as a classifier in vehicles detection with different features, such as HOG [91] and Haar-like features [93]. Compared to SVM classifiers, AdaBoost offers advantages in automatically finding relevant features for classification in a vast feature pool, and it was proved to have impressive performance in vehicle detection [92]. However, the training process of AdaBoost is quite time-consuming, so to tackle this weakness, an improved AdaBoost algorithm [94] was proposed for vehicle detection with Haar-like features. However, in recent years, deep models have proven to be more accurate for classification and detection across almost all object types. Especially, CNN can minimize the work for designing features, model objects and the need to rely on additional sensors [90]. For example, faster R-CNN [90, 95] was adopted in vehicle detection.

2) *Vehicle Classification*: Vehicle classification aims to categorize vehicles into different groups according to their appearance based on vehicle detection. Compared to typical image classification, vehicle classification, especially fine-grained vehicle classification, is more challenging. The reason is that many vehicle models are similar and difficult to distinguish. However, each kind of vehicles presents some unique features, such as logos, wheels, and headlights, which makes slight differences in appearance among different but similar vehicle models. Thus, exploiting these vehicles' features can improve the classification accuracy.

Traditional vision-oriented classification uses a shallow classification model, such as SVM [96] and RF [97], to classify an image based on the features extracted from the whole images. Recently, CNN [95, 98] was widely applied to vehicle classification and made a huge breakthrough in learning the feature representation from raw images automatically. Even though CNN has achieved great success in vehicle classification, each pixel of an image is treated without distinction, which limits the capability of capturing and highlighting the nuances in the critical features for classification. For fine-grained classification, CNNVA [99] integrates multi-glimpse and visual attention mechanism into CNN, and it uses DRL to find the critical areas of an image to assist vehicle classification.

3) *Vehicle Identification*: Vehicle identification aims to identify specific vehicles. In contrast to vehicle classification, it can distinguish individuals and describe the objects in details. Vehicle re-identification (V-reID) [6] is an essential branch of vehicle identification, whose role is to identify if a particular vehicle is the same one as observed on a previous occasion.

V-reID can also be considered as a vehicle tracking problem with multi-cameras. Both hand-crafted features [103] and deep features [104] were exploited in existing vision-based researches of V-reID. Most of these researches focused on utilizing the license number plate recognition [100, 101] to identify or re-identify vehicles. Liu et al. [103, 104] considered both hand-crafted features (color and texture features) and high-level semantic information extracted by CNN for V-reID. Besides, they exploited Siamese Neural Network (SNN) for the verification of license number plates of vehicles, which consists of twin networks that accept distinct inputs but are joined by an energy function at the top. Liu et al. [105] also utilized the spatio-temporal cues of vehicles in order to improve the V-reID accuracy for vehicles that are spatially and temporally close to each other. DRDL [102] exploited a two-branch deep CNN to map vehicle images into an Euclidean space where the L2 distance can be directly used to measure the similarity of two arbitrary vehicles.

4) *Driving Behavior*: Driving behavior recognition is the task responsible for recognizing the actions that a vehicle makes, such as braking, steering, accelerating, and lane changing. Related to vision-enabled tasks, CNN can be used to recognize a vehicle braking through its brake-lights [109] and SVM can determine the vehicle orientation [110]. On the other hand, kinetics data, such as speed and acceleration, can be paired with SVM to identify abnormal lane changing [108] or with RF for identifying vehicle steering pattern [107].

Besides that, SVM can also be used for some abnormal driving behaviors detection [106], which includes weaving, swerving, side slipping, fast U-turn, turning with a wide radius and sudden braking.

### C. Perception of Users

One of the main participants of ITS are the users, given their interaction as drivers and pedestrians in the ITS environment. In this subsection, we highlight the user-oriented perception tasks, grouped under driving style and pedestrian detection.

1) *Recognition of Driving Style*: Driving style [136] can be defined as the way the driver controls the vehicle in the context of the driving scene and external conditions, such as time, weather, and mood. Given that the driver's fault is one of the most common causes of traffic accidents [137], driving style plays an essential role in ITS, especially for driving safety and advanced driving assistance systems. The data used to perform driving style evaluation can be collected from different sources. The most common sources are smartphones [138], the On Board Diagnostic system (OBD) [139] and embedded systems equipped with vision and kinetic sensors [140]. Features are usually extracted from the collected data based on experiments, expertness or heuristics [66]. Given the variety of features, numerous researches are motivated to study ML for driving style recognition.

RF is one of the most used algorithms in this task, proving itself as a good alternative to profile driving style from smartphone data [112] and embedded systems [118]. In a similar application, RF was used to identify the same driving style across multiple vehicles [113] and identify specific drivers using data from a single accelerometer sensor [141]. Besides that, K-means clustering is another widespread technique that can be used to group information in datasets accordingly to driver style. For instance, K-means was applied to classify driver aggressiveness [114], alongside SVM to differentiate drivers [117] and alongside RNN to model lane-changing behavior [115]. Another classical technique for recognition is  $k$ -NN, which was used by Vaitkus et al. [116] to classify driving style into aggressive or normal with 3-axis accelerometer signal statistical features. In a search to automate features extraction and take advantage of hidden features as well, CNN was used to classify driving styles with smartphone [66] data and DL was exploited to model driving risk from OBD and GPS information [111].

2) *Detection of Pedestrians*: Avoiding collisions with pedestrians is one of the critical aims of safe driving. The main challenges of the pedestrian detection task are due to the cluttered background and significant occlusions. As many other vision-based tasks, a breakthrough has been achieved in the field of pedestrian detection thanks to ML (especially DL) [142].

First, hand-crafted features, such as Haar-like features [120] and HOG [119], are used for this task. Recently, deep learning features have been found to be effective in pedestrian detection. Sermanet et al. [123] used unsupervised feature learning for a two-layer CNN based on convolutional sparse coding. On the other hand, Du et al. proposed a Fused-DNN

(F-DNN) [121] to improve the robustness and computational performance of pedestrian detection, while Li et al. [122] proposed a scale-aware fast R-CNN model, which has a good performance in detecting pedestrians with different spatial scales. Besides that, some approaches focus on occlusion handling to improve the accuracy of pedestrian detection. For example, DBN [143] was employed to learn the visibility masks for different body parts, and FasterRCNN was proposed in [131] to detect occluded pedestrians.

#### D. Perception of Networking Conditions in ITS

Some ITS applications are deployed in an open access data-sharing environment where huge amounts of messages of different types are exchanged. Although congestion and delay in the network cannot be avoided in such an environment, their impact can be dampened, especially for critical applications like road hazard warning. This can be done with the classification and prioritization of messages or applications, where critical ones have more access to network resources. For this task, the K-means algorithm can be used to cluster messages by classes with different access to resources [125]. Another approach would be to use SL to rank the messages according to their features, like spatial and temporal features [124], or to rank nodes to decide the next-hop of such messages [126].

In open-access ITS networking environment, malicious nodes can insert or modify the exchanged information for their own advantage. Moreover, attackers can use the interfaces that enable V2X communication as a means to gain access to private information or even the control of a transportation system. This behavior raises security and privacy concerns in vehicular networks [144], leveraging the detection of safety hazards as essential in ITS. ML has been exploited to improve the accuracy and speed of such detection. Some examples include LSTM for Controller Area Network (CAN) bus anomaly detection [130], DRL for malicious network traffic detection [128], RF for jamming detection [129], and DBN for intrusion detection in the in-vehicle networks [127].

### V. ML-DRIVEN PREDICTION IN ITS

ML approaches have achieved state-of-art performance on prediction problems in ITS, mainly providing tasks that can be categorized in prediction of traffic flow, travel time, behavior of vehicles, behavior of users, and road occupancy. The ITS topics related to prediction tasks are grouped in Table VI, which also presents the ML approaches and the role performed by ML in each topic.

#### A. Prediction of Traffic

Forecasting traffic flows is typically a time-series problem. Therefore, traditional methods try to capture temporal dependencies in time series data using classical time-series models, such as the autoregressive moving average [182]. Due to the stochastic and nonlinear nature of traffic flows, traditional methods have a minimal effect. To improve the performance, some ML approaches, such as  $k$ -NN [145] and SVR [146], were used to address the traffic prediction problem. In the last

couple of decades, deep learning has drawn a lot of academic and industrial interest in this problem, which is driven by the expressive DNNs. RNN and LSTM [147, 148] were also exploited to depict temporal dependencies. To improve the accuracy of prediction, not only the temporal dependencies but the spatial dependencies should be considered. Generally, CNN [183] is more suitable for finding spatial dependencies from image-like data. However, elementary ANNs, such as RNNs, LSTMs, and CNNs, fail to obtain spatial and temporal dependencies simultaneously. To deal with this challenge, some studies tried to combine the characteristics of RNN or LSTM with CNN [149, 152, 153, 155]. Besides that, abundant researches exploited new architectures of neural networks, such GNN [151], Stack Autoencoders (SAE) [150] and Spatio-Temporal GNN (STGCN) [154]. Furthermore, in addition to spatial and temporal data, external features, such as the weather [155-157], were considered in traffic flow prediction.

#### B. Prediction of Travel Time

Travel time prediction is of great importance for traffic control, path planning, vehicle dispatching (e.g. buses and trains), and so on. However, it is a complex and challenging problem, which is affected by diverse factors, including spatial correlations, temporal dependencies, and external conditions (e.g. weather and traffic lights). In regard to its implementation, there are two main approaches: segment-based estimation and path-based estimation. Firstly, the segment-based estimation method splits a path into several road segments (or links). The prediction of travel time is based on the estimation of the travel time for each segment. Some approaches were proposed to estimate the travel time of road segments, such as SVR [159], LSTM [158], Restricted Boltzmann Machine (RBM) and SVM [160], and gradient BRT [161]. Although these methods can estimate travel time of each segment accurately, they fail to capture the traffic conditions of the entire path, such as road turns, intersections and traffic lights. Thus, merely summing up the travel time of each road segment in the path results in low accuracy of prediction. Secondly, the path-based estimation method is to estimate the travel time of the entire path [184] directly. ML approaches, such as DBN [164], RNN [162] and Deep Extreme Learning Machines (DELm) [163], showed their strength in solving this problem. However, it is challenging to find a good data set which covers all possible paths. These problems may reduce confidence in the estimation of travel time with incomplete data sets.

To address these issues of segment-based and path-based methods, some approaches have been proposed. For example, DeepTTE [165] integrated the segment-based and path-based approaches, in which a geo-based convolutional layer is used to transform the raw GPS sequence to a series of feature maps, and LSTM is used to learn the temporal dependencies of feature maps.

#### C. Behavior Prediction of Vehicles and Users

Behavior prediction is a fundamental task for many ITS applications, such as in the exchange of intentions performed in co-operative driving. ML offers potential for automatically

TABLE VI: Researches on ML-based Prediction for ITS

Category	Research	Topic	ML Approach	Role of ML
Traffic	[145], [146], [147], [148]	Traffic flow prediction depicting temporal dependencies.	$k$ -NN, SVR, LSTM	Learning traffic patterns with a time series of traffic data.
	[149], [150], [151], [152], [153], [154]	Traffic flow prediction depicting temporal and spatial dependencies.	CNN & RNN, GNN, SAE, STGCN	Learning traffic patterns with temporal and spatial data.
	[155], [156], [157]	Traffic flow prediction with investigating of correlation between weather and traffic.	DBN	Learning traffic patterns considering the weather feature.
Travel Time	[158], [159]	Predicting the travel time of road segments.	SVR, LSTM	Learning travel time patterns with temporal feature.
	[160], [161]	Predicting the travel time of road segments.	RBM & SVM, BRT	Learning travel time patterns with temporal-spatial traffic flow feature.
	[162], [163], [164]	Travel time prediction of paths for cars, bus and train.	DBN, RNN, DELM	Extracting features and learning travel time pattern.
	[165]	Travel time prediction combine segment-based and path-based approach.	LSTM & CNN	Temporal dependencies learning and feature transform.
Behavior	[166], [167]	Predicting lane change.	SVM	Classifying the driver's intention.
	[168]	Predicting vehicle steering angle.	CNN	Finding the pattern from vision data.
	[169], [170], [171]	Vehicle trajectory prediction.	RNN, LSTM, CNN	Inferring future movement of vehicle.
Road	[172], [173], [174], [175]	Predicting pedestrian actions.	CNN, RNN, LSTM	Extracting of features and anticipating actions and trajectory of pedestrian.
	[176], [177]	Road occupancy prediction for urban region.	CNN	Modeling long-term motion.
	[178], [179], [180]	Parking occupancy prediction.	SVM & FNN, BRT, LSTM	Learning parking occupancy patterns with temporal data.
	[181]	Parking occupancy prediction in spatio-temporal networks.	GCNN, LSTM	Learning parking occupancy patterns with temporal-spatial features.

predicting the behavior and inferring the action intent of vehicles and users. Vehicle behavior corresponds to actions of vehicles include braking, steering, lane change and even moving trajectory. User behavior, in turn, includes motion trajectory and actions of pedestrians (e.g., running, crossing the street, interacting with objects) and the vehicles' actions induced by drivers considering non-self-driving vehicles.

To offer better performance to ITS automation, the prediction of vehicle behavior is an important issue to tackle. Due to the complex and dynamic ITS environment, this problem is not as simple as regular moving object tracking. For example, the vehicle motion is affected by various latent factors including road conditions, traffic rules, and driver's driving style. Traditional approaches use sophisticated models to predict vehicles behavior with these factors, such as dynamic Bayesian network [185] and Gaussian mixture models [186]. Although these methods claim to have good prediction accuracy, the complexity of training and manual intervention on factor selection are their drawbacks. ML approaches offer an opportunity to such issues. For example, SVM [166, 167] showed good performance in predicting lane changes. On other hand, the CNN-based approach proposed in [168] was more accurate in predicting car steering angle. Besides, the trajectory of vehicles can be considered as time sequence data. Thus, RNNs [171] and LSTMs [170] were used to improve vehicle trajectory prediction. Considering some real-time systems have strict time constraints, CNNs [169] were proposed to estimate the vehicle trajectory instead of RNNs and LSTMs.

Prediction of actions of pedestrians is a prerequisite for safe driving, such as for collision avoidance applications. Traditional model-based methods use hand-crafted factors, such as the walking speed of pedestrians. Furthermore, it is challenging to combine all factors (for example, road conditions, walking styles of pedestrians) into one model, which limits the task performance in complex and crowded scenes, such as in an urban environment. Subsequently, the ML

approaches show their strength on this problem, especially in vision-based prediction of human actions [187]. Similar to the prediction of vehicles, CNNs can be used for image analysis of pedestrians, whereas RNNs or LSTMs are convenient to predict the action and trajectory of pedestrians [172-175].

#### D. Prediction of Road Occupancy

In addition to traffic flows, travel time and behaviors of ITS users, the prediction of road occupancy and parking space are also in the scope of prediction tasks in ITS.

Road occupancy prediction is a fundamental task for various ITS applications and systems, like collision avoidance applications. The road occupancy task needs to predict the situation of a set of traffic participants (such as vehicles, pedestrians and so on) in a segment or a region. Traditional approaches can predict the occupancy of a fixed road segment with single-lane [188] and even road segment with multi-lanes [189]. However, the occupancy prediction for a region like an urban environment is a complex problem. To tackle it, Hoermann et al. [176, 177] proposed a CNN-based approach with an occupancy grid map.

In addition to the road occupancy, the prediction of parking occupancy is also an essential task. With a reliable parking occupancy prediction, proper recommendations and navigation of parking location can be made in advance. To support this strand, a wide range of ML-approaches, such as SVM, FNN [180], gradient BRT [178], and LSTM [179] have been used. Besides, multiple metrics can be considered in occupancy prediction, such as car parking, traffic speed, pedestrian, parking meter transactions, nearby facilities, and weather conditions. Yang et al. [181] leveraged GCNN to extract the spatial relationships of traffic flows and utilized LSTM to capture their temporal features.

## VI. ML-DRIVEN MANAGEMENT IN ITS

The task of management is to plan the actions and distribute resources, supporting ITS applications to achieve its objectives and fair usage of resources (e.g., for communication and computation). In this section, ML-driven ITS management is introduced from two aspects: ITS infrastructure management and ITS resource management. The related work is shown in Table VII.

### A. Infrastructure Management

Among the different parts of the ITS environment, the infrastructure is the main vector of interaction between applications and the ITS environment. Because of this, the objectives of ITS applications are achieved through the management of the ITS infrastructure, mainly categorized in (1) management of traffic signals and (2) management of vehicles.

1) *Traffic Signal Management*: Traffic signal management is a way to alleviate traffic congestion, especially important in urban areas. In the current ITS deployment stage [2], advanced traffic signal management (such as the vehicle actuated signal control) is mostly implemented based on information from vehicle-actuated detectors, such as loop detectors. These approaches have a limitation in coping with the fluctuation of traffic demand, especially within short periods. Adaptive traffic signal management, which can adjust the traffic signal according to the real-time traffic demand, is a more practical approach to alleviate traffic congestion. Among all the ML, RL is considered as one of the most promising approaches for adaptive traffic signal management. This is mainly due to the convenience of formulating signal management as a sequential decision-making problem.

Early works of RL used Q-learning for traffic light management (green, yellow and red), considering the number of waiting vehicles or the queue length [190, 191], and the statistics of traffic flow [192, 193]. However, these parameters are unable to depict the real traffic situation accurately. With the popularization of modern sensors, more information on traffic is extracted and transmitted via the vehicular network, such as the traffic speed and vehicle waiting time. Nevertheless, more information increases the dimension of states, exponentially growing the complexity of traditional RL. To deal with this complexity, DNNs have been employed in RL, forming DRL. DQN [194-196] has been proposed with information of position and speed. Besides, instead of hand-crafted features, these studies used CNN to extract machine-crafted features from raw real-time traffic data. Given the growing scale of ITS, some researches investigate promising approaches [196] in a partially observable environment.

Nowadays, modern speed limit signs can be dynamically adjusted according to various factors, such as traffic volume and weather. Variable speed limit management is a flexible way to improve road condition, increase driving safety, and reducing travel time. Some proposals used Q-Learning to estimate the optimal speed limits so as to reduce the travel time [198] and decrease traffic congestion [197, 199]. Besides, in large-scale networks, Multi-Agent DQN (MA-DQN) under V2I was used for speed limit control [200].

2) *Vehicle Management*: The management of vehicles is one of the most critical tasks in modern ITS, especially for autonomous driving. It consists of two primary components: vehicle path (or trajectory) planning and motion control (such as steering angle and vehicle speed control). The scenario of vehicle management includes diverse types of events like parking, lane changing, merging, platooning, and so on.

For path planning, most existing approaches attack this problem by designing a reference path that a vehicle could approximately follow. For example, SVM was used in [202] to provide a safe and feasible path, which has a maximum clearance from obstacles. However, a good path-planning approach needs to consider more complex objectives, including the path length, smoothness, distance to obstacles, lane-keeping, maximum curvature, and so on. Abbeel et al. [201] utilized AL for trajectories planning (called the designed trajectory) considering a lot of metrics based on a demonstration set of realistic parking path trajectories. Because of dynamic constraints (for example, the limited steering angle of an autonomous vehicle) and unforeseen modifications in the environment, some deviations exist between the designed trajectory and the actual trajectory. Liu et al. [203] proposed a DNN-based method to find the best parking path trajectory by connecting the candidate parking path trajectories and steering actions. Besides, DDPG was proposed to plan vehicle trajectory and decide an optimal driving maneuver [204].

Regarding motion control, most previous approaches try to make a good decision on vehicle motion, where perception and vehicle control are two individual tasks. Inspired by the vision-based perception, motion control can be viewed as an end-to-end task, where CNN can be used to regress steering angles directly from raw pixels recorded by front view cameras [205]. As an extension, speed control can also be used alongside steering angle as a feature [206]. Besides, some works focused on how to imitate human behavior on vehicle motion control. Xu et al. [210] imitated human operations on gas and brake pedals using Partly Connected Multilayered Perceptron (PCMLP). DMN [209], a Six-layer Decision-Making Network (SDMN), was proposed to learn human decision-making behaviors for autonomous vehicles. GAIL is an excellent method to predict and simulate human driving behavior, which was used in [208]. In nature, human driving scenes are composed of several vehicles, which are inherently multi-agent for imitating multiple human drivers. Reliable human driver models must be capable of catching the interaction between different agents. However, GAIL cannot scale to imitating the behavior of multiple vehicles because of the problem of covariate-shift caused by multi-agent setting. Covariate-shift refers to the change in the distribution of the training data and the production data. To solve this problem, the multi-agent RAIL method was proposed in [207] to imitate human driving behavior with emergent properties caused by multi-agent interactions.

### B. Resource Management

ITS leverages ML in infrastructure management to offer services primarily for road safety and efficiency. However, resource-intensive use-cases (e.g., on-demand multimedia

TABLE VII: Researches on ML-based Management for ITS

Category	Research	Topic	ML Approach	Role of ML
Signal	[190], [191], [192], [193]	Traffic light management considering the waiting queue length and statistics of traffic flow.	Q-learning	Making decisions on traffic light phases.
	[194], [195]	Traffic light management considering position and speed.	DQN & CNN	Making decisions on traffic light, and traffic information extracting.
	[196]	Traffic light management in partial detection.	DQN	Making decisions on traffic light.
	[197], [198], [199]	Variable speed limit control.	Q-learning	Making decisions on limited speed.
	[200]	Variable speed limit control in large-scale networks.	MA-DQN	Making decisions on limited speed under V2I.
Vehicle	[201], [202]	Planning vehicle path or trajectory.	SVM, AL	Finding a driving path (or trajectory), and relation between speed and final state and control actions.
	[203], [204]	Planning vehicle trajectory with control motions.	DNN, DDPG	Offering optimal intelligent driving maneuver for trajectory.
	[205], [206]	End-to-end vehicle steering and speed control.	CNN	Regressing steering angles and speed directly from raw pixels recorded by front-view cameras.
	[207], [208], [209], [210]	Imitate human driving behavior for autonomous vehicle control.	GAIL, RAIL, DMN, SDMN	Driving behavior learning.
Networking	[211], [212]	Network resource management in core SDN to maximize the QoE and network utility.	DDPG	Routing paths and bandwidth management.
	[213], [214], [215], [216]	Network resource management in edge and mobile network, including V2V and V2I.	Q-Learning, MA-DRL, DQN, DDQN, A3C	Path finding and resource allocation algorithm.
Computing Storage	[217]	Resource provisioning in vehicular clouds.	DRL, PG	Decision making of resource provisioning.
	[218], [219]	Offloading of edge computing for the moving vehicles.	A3C	Optimization offloading decision.
	[220], [221]	Management of the edge caching in base stations.	Q-learning, EL	Caching resource provisioning policy learning.
	[222], [223], [224], [225], [226]	Optimize networking, caching and computing resources in the mobility-aware edge.	DQN	Determining an optimal policy in resources management.
Energy	[227], [228], [229]	Optimize RSU's battery usage.	Q-learning, DQN	Energy-efficient adaptive management algorithm.
	[230], [231]	Vehicle energy management.	DQN	Adaptive vehicle energy usage algorithm.

video and live traffic reports) require efficient resource allocation. In support of these use-cases, efficient and intelligent management of local and shared resources is required. In general, the shared resources are located remotely (cloud computing), leveraging the use of RSUs as gateways. However, in ITS, cloud resources are extended to include RSUs and on-board units (OBUs), which form a vehicular cloud [217].

Resource management needs to take both the resource availability and the utility of allocation policies into consideration. The previous mainstream approaches of ITS resource management were formulated as optimization problems with objectives and constraints, i.e., the search for optimal solutions. However, this approach is not sufficient in high mobility networks, such as ITS, given the brevity of optimization results validity. Therefore, ITS needs a more dynamic and efficient resource provisioning mechanism considering high mobility environments. On the other hand, it is challenging to formulate a satisfactory objective function that simultaneously accounts for the vastly different goals of the heterogeneous vehicular links. To address these issues, ML were applied to resource management. Next, ML-based resource management is introduced considering each resource category - networking, computing, storage, and energy.

1) *Networking Resource Management*: The communication network in ITS is split into core networking, and the edge and mobile networking. Firstly, the core networking consists of a set of forwarding equipment with high bandwidth provided by wired links. Secondly, the edge and mobile networks consist of a set of edge nodes (e.g., RSUs) and mobile devices (e.g., vehicles and smartphones). VANET [232], for example, is a typical scenario in which edge networks and mobile networks

are deployed. The networking resources in VANETs include transmission power, sub-bands, connections between mobile devices and edge nodes, and connections between the mobile devices.

Concerning core networking, dynamic resource management with ML has been studied. Through proactive learning and interaction, the RL framework can manage and allocate resources automatically. Using RL, controllers can observe the changes in demand and resources; thus, they can act as agents of RL. Different objectives have been researched, such as maximal Quality of Experience (QoE) in multimedia traffic [211] and maximal network utility [212] using DDPG.

How to allocate the resources of edge and mobile networks has been studied from different context information, such as communication type (V2I, V2V, unicast and broadcast), connection-dependency (connection-dependent or connection-independent), packet payload size and transmission costs. In [213], Q-learning was used to learn the best routing policy for the last two-hop communications, and edge nodes work as agents, where ML-techniques were deployed. Multi-Agent DRL (MA-DRL) was used in [214] to manage sub-band and power allocation for V2V and V2I communications. In [215], DQN was used to optimize data transmission management with the goal of minimizing transmission costs. In [216], Dueling Deep Q-Network (DDQN) was proposed to find the most trusted routing path in VANET.

2) *Computing and Storage Resource Management*: By our investigation, most of the researches focus on cloud and edge resource management. Besides the centralized cloud, which usually consists of data centers, vehicular clouds are also prominent in ITS. Edge computing, in turn, is an alternative

to cloud computing, moving the computation and storage to the edge of the network. The current ITS edge computing environment usually contains a number of edge nodes, including computing nodes (located with multiple base stations), cloudlet edge computing servers (deployed with wireless access points located at RSUs), and ad hoc vehicular nodes [218].

The mainstream objectives of dynamic computing and caching resource management are threefold: (1) maximize Quality of Service (QoS) and/or QoE [220], (2) minimize overhead and (3) minimize the cost [221] of dynamic resource provisioning. For resource management in vehicular clouds, RL was confirmed to be powerful with these objectives [217]. For edge computing, A3C [218], [219] was used to provide offloading policy. Regarding edge caching management, Q-Learning [221] and Extreme Learning (EL) [220] were used to improve the performance of caching in base stations. Furthermore, various studies jointly considered networking, computing, and caching resource in ITS using DQN [222-226].

3) *Energy Management*: The current trend to reduce greenhouse gas emissions, due to climate change and air quality issues [233], leverages the importance of Electric Vehicles (EV) in the transportation sector. However, managing the energy efficiency in EVs is a problem with a large number of pertinent factors [234] (e.g., battery charge level and estimated trip time).

Energy management must consider energy optimization based on the current route [235] to determine charge/discharge policies. Such optimization can be done with regression algorithms [236] and RL [237]. On the other hand, management applications also have to consider energy-efficient resource management. Given that some RSUs in ITS are powered by battery, ML, such as Q-learning [229] and DQN [227, 228], can be used to extend the battery lifetime. Moreover, taking into account the limited power of vehicles, the energy management of hybrid electric vehicles is an important issue that involves a trade-off between gasoline and electricity. DQN, for example, was used in vehicular energy management for both electrical [231] and hybrid vehicles [230].

## VII. FUTURE TRENDS

ML are impacting a multitude of ITS applications. However, we believe that existing studies do not represent the full potential of ML-driven ITS due to both limitations of existing ML approaches and the needs of evolving ITS. In this section, we discuss some future trends of ITS that deserve further investigation.

### A. Higher Dimension of Perception and Prediction

Most previous works of perception and prediction focused on 2D. However, in several ITS scenarios like co-operative navigation, two-dimension (2D) models are not enough to describe 3D real-world objects. Existing works on three-dimension (3D) perception mainly rely on LiDAR [238, 239] and monocular cameras [240, 241]. LiDAR has the following drawbacks: high cost, relatively short perception range, and sparse information. On the other hand, monocular images

do not offer depth information. The shortages of LiDAR and monocular perception lead to low accuracy in 3D object perception. Currently, modern camera devices in ITS can generate stereo images that could be used to provide 3D object perception [242]. Besides that, considering the hybrid ITS context where different sources of data are available, how to combine these data to improve the accuracy of 3D perception represents an exciting and critical research area.

Furthermore, tasks with higher dimension, such as four-dimension (4D) perception, are still challenging and critical in ITS, especially for autonomous driving. The definition of 4D and 5D may have different definition. For example, work in [243] try to do 4D (3D+temporal) tracking, 5D (4D+interactive) interactive event recognition and 5D intention prediction.

### B. Fully Cooperative ITS

One of the ITS aims is to automate the interactions among the infrastructure and vehicles to accomplish cooperative work. Cooperative ITS [244] covers a wide range of applications, relying on the perception, prediction, and management discussed in this work. Among all the cooperative applications, cooperative driving is probably the most interesting and challenging one. The idea of cooperative vehicles, jointly with the wireless communication advancements in ITS, highlights the value of interconnected devices and data sharing in vehicular networks [245].

The existing studies are still insufficient to deploy real cooperation due to the shortages of techniques. For example, despite being in current standardization process by ETSI, collective data exchange services, like the Collective Perception Services (CPS), still, leverage the discussion concerning which message exchange methodology or algorithm should be implemented to improve the service performance [246]. Also, the dependability requirement between vehicles in C-ITS raises security concerns, creating a leading field of research that attempts to balance the reliability gains from applied security techniques with the loss of scalability caused by those [247]. However, traditional ML techniques are centralized, which requires big data as the basis of learning. Fully cooperative ITS with ML meets some trouble in data sharing and at the same time offers privacy protection. Federated learning [248], an ML technique, finds a way out of this dilemma, that trains a model in decentralized edge devices with local data and without exchanging. Therefore, designing a cooperative ITS that accounts for flexibility, efficiency, security [249], trust [250] and scalability is a promising trend.

### C. Social Transportation

Despite cooperative ITS, social transportation will undoubtedly also be a key element of future transportation systems. Humans cooperate and interact with each other every day through virtual environments known as social networks enabling huge data exchange. In the transportation context, social networks are generally accessed through mobile personal devices which, in conjunction with data entered by the users, provide spatial, temporal and emotional information about



users and their environment [251]. From this information, useful models for ITS applications can be retrieved, such as models for user emotional behavior, mobility pattern, and traffic-related events (e.g., accident, street blocked, scheduled maintenance in traffic equipment) [252].

In social transportation, the user acts as a social sensor, perceiving the environment with a perspective different from that provided by hardware sensors. Despite being able to improve ML tasks performance, new types of data sources need to be fused with the data already in place, an endeavor that is still in early stage of development for both scientific and engineering fields [252]. Despite of this, the social approach for transportation data is being recognized as a field with potential for future researches with a growing number of related works [253].

### VIII. CONCLUSION

ITS is a field of research and development of rapidly evolving technologies folded into different types of platforms for a myriad of advanced applications. For the deployment and run-time operation of many applications to be effective, the timely acquisition, processing, and analysis of large volumes of data become an essential cornerstone. Therefore, advances in ML are considered as key enabling technologies to drive a revolution in ITS. In this survey, we have investigated how ML has been increasingly proposed to address many of the ITS challenges. To this end, our comprehensive state of the art literature survey covers many-fold perspectives grouped into ITS ML-driven supporting tasks, namely perception, prediction, and management. We also outline some trends that are likely to contribute to the continuous shaping of the future of ITS. We expect this survey to provide basic knowledge for beginners and to encourage new research and insights to the vibrant field of ITS.

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