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## Acoustic Evaluation of Simplifying Hypotheses Used in Articulatory Synthesis

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### Abstract

Articulatory synthesis allows the link between the temporal evolution of the Vocal Tract (VT) shape and the acoustic cues of speech sounds to be investigated unlike other approaches of speech synthesis. A number of simplifying assumptions have to be made to enable the speech signal to be generated in a reasonable time. They mainly consist of approximating the propagation of the sound in the vocal tract as a plane wave, approximating the 3D VT shape from the mid-sagittal shape, and simplifying the vocal tract topology by removing small cavities. This work is dedicated to the evaluation of these assumptions. For this purpose 3D MRI VT shapes for sustained vowels and fricatives were acquired together with the speech sound recorded via an optical microphone. Vocal tract resonances were evaluated from the 3D acoustic simulation computed with the K-wave Matlab package from the complete 3D VT shape and compared to those of real speech, those provided by simplified 3D VT shapes (near epiglottis), and those provided by either the electric simulation from the mid-sagittal shape, or the 2D K-wave package from a mid-sagittal VT shape. We will exploit these results to improve the simplified acoustic simulations used in articulatory synthesis.

Keywords: Speech, Acoustics, Simulations, 3D MRI

## 1 INTRODUCTION

Speech synthesis has reached a high level of naturalness through concatenative approaches and more recently deep learning approaches. Both are based on the use of a large corpus of pre-recorded speech.

The speech corpus has to cover all phenomena that are to be treated. Hence, the more phonetic contexts, speech styles, expressions, speaker postures, etc the corpus covers, the more natural the synthesized speech. All this contributes to increasing the size of the corpus.

On the other hand the weakness of those techniques is tightly connected to their dependence on the corpus. This means that changing the speaker characteristics, adding new expressions, or taking into account speech production disorders is almost impossible. These techniques do not contribute to the understanding of speech production and are unable to link an acoustic cue, for example the evolution of frequencies in the vicinity of a consonant, to their articulatory origin.

Unlike these approaches, which only model the result of speech production, i.e. the acoustic speech signal, articulatory synthesis [1]–[3] explicitly models the link between the vocal tract, vocal folds and aero-acoustic phenomena. This is achieved by solving the equations of aerodynamics and acoustics in the vocal tract and by using its geometry as an input. It should be noted that to facilitate resolution, a plane wave is assumed to propagate from the glottis to the lips. This simplification is widely used from a practical point of view, although its precise scope is partly unknown.

The geometry of the vocal tract results from the position of the speech articulators, and thus from the activity of corresponding muscles. A first solution to model these phenomena is to use biomechanical modeling to compute the shape of all the deformable speech articulators [4], [5]. This involves modeling the behaviour of muscles and the properties of muscle tissues in a realistic way before solving the mechanical equations. Despite

constant progress, this approach is often limited to predicting the position of the jaw and the tongue shape and it is still unimaginable to use a biomechanical approach to calculate the whole shape of the vocal tract.

For these reasons we prefer to use an articulatory model [6], [7] to compute the vocal tract geometry. Of course, the articulatory model must provide a geometric description close to reality in order to guarantee a good quality of synthesis. Similarly to the biomechanical approach, one of the challenges consists of collecting and processing data to construct the model. As the delineation of the articulators in the MRI images is a task that requires a certain amount of interpretation of the geometry of the vocal tract and has a very long processing time, it is often preferable to construct a two-dimensional model in the mid-sagittal plane and then calculate the transverse area at each point of the vocal tract from the glottis to the lips [8].

To know the impact of the articulatory model and its use in articulatory synthesis, we therefore studied three questions. The first is the choice of a 2D or 3D model by comparing the synthesis obtained from a 3D volume, from a 2D sagittal slice completed by the approximation of the 3rd dimension, or finally from a 2D plane wave with an electric analogy. The second question is whether it is possible to simplify the mid-sagittal slice at the level of epiglottis and uvula. These approximations are often made because they avoid including additional cavities in the calculations. The last question is related to the speaker's position in the MRI machine. This question is important because the model and acoustic calculations are related to the position the subject adopted during the recording. This position can change between two sessions and it can also change because another MRI machine may have been used.

## 2 MATERIALS AND METHODS

### 2.1 Data acquisition

For the purpose of this study, we used MRI data part of a study approved by an ethics committee and the subject gave written informed consent (ClinicalTrials.gov identifier: NCT02887053). The subject used for the data acquisition is a healthy male French native speaker at the age of 32, without any reported speaking or hearing problems.

The MRI data was acquired on a Siemens Prisma 3T scanner (Siemens, Erlangen, Germany) with gradient of  $80mT/m$  amplitude and  $200mT/m/ms$  slew rate. We used the 3-dimensional cartesian vibe sequence ( $TR = 3.75 ms$ ,  $TE = 1.43$ ,  $FOV = 199 \times 220 mm$ ,  $flip\ angle = 9\ degrees$ ) for the acquisition. The pixel bandwidth is  $445Hz/pixel$  with an image resolution of  $256 \times 174$ . Scan slice thickness is  $1.2 mm$  and the number of slices is 120. The pixel spacing is 0.8597 and the acceleration factor is 3 *iPAT*. The acquisition time was 7.4s which allows the subject to maintain phonation easily.

The subject's vocal tract was imaged while he lay supine in the MRI scanner. The recording time for the subject, including calibration and pauses between phonemes, was 2 hours.

Audio was recorded at a sampling frequency of 16 kHz inside the MRI scanner using FOMRI III (Optoacoustics, Or Yehuda, Israel) fiber optic microphone. The subject starts producing the phoneme just before the MRI recording starts and sustains phonation until the end of the acquisition. The subject wears ear plugs for protection from the scanner noise, but is still able to communicate orally with the experimenters via an in-scanner intercom system.

Since the sound is recorded at the same session of the MRI acquisition, there is additional noise in the audio signal. In order to de-noise it, we used the de-noising algorithm proposed in [9]. The main idea of the algorithm is based on three steps.

1. Computation of the covariance matrix of the noisy signal.
2. Applying Maximum Likelihood in order to estimate the model parameters from the covariance matrix and a set of pre-computed models.
3. Applying the computed model to the noisy signal in order to separate the noise from the sound.

We apply this algorithm to our data using the FASST toolbox [10].

## 2.2 Segmentation

For the purposes of our experiments, we used the ITK-SNAP software [11] to segment the volume of the vocal tract. ITK-SNAP provides a great variety of tools for segmenting images, both automatically and manually.

As far as automatic segmentation is concerned, ITK-SNAP implements two active contour segmentation algorithms, region competition and geodesic active contours.

ITK-SNAP also provides the option to use some image processing filters like thresholding the image. At the next step, the user should specify the initial surface or "seeds" that will eventually expand to cover the whole segmentation structure. The user can set multiple "seeds" corresponding to the same structure, and as the seeds evolve during the segmentation process, they will eventually unify and form one region. The fourth and last step is to specify the parameters of the equation which control how the "seeds" are stretching. Different selections of parameters will result in changes at the evolution speed or at the behaviour of the contour when it approaches the boundaries. The automatic segmentation algorithms can be applied both to 2D and 3D images.

For manual segmentation, ITK-SNAP offers two types of tools. The first one is some polygon-based tools where the user draws dots and the dots are connected with a line to form the segmentation region. The second one is using a variety of shapes of brushes to paint the segmentation region. The most interesting among them is the adaptive brush that adjusts itself to follow the image boundaries. The brush tool can be used for both to 2D and 3D image segmentation.

## 2.3 Acoustic simulation

For the acoustic simulations, we employ the k-wave Matlab toolbox [12]. This toolbox has a wide range of applications like photoacoustic tomography, ultrasound wave propagation, and acoustic propagation [13].

Several numerical methods have been developed to solve the partial differential equations of acoustics, like finite differences, finite elements, and boundary element methods [14]. These methods offer significant advantages as they can calculate acoustic characteristics accurately and implement frequency dependent losses at boundaries. However, in many cases these methods are significantly slow. This happens due to the fact that they require a small time step to achieve a good accuracy and a lot of grid points per wave length. In the method used by k-wave these problems are solved by interpolating a Fourier series through all of the grid points in order to get the estimation of the gradient. This approach solves the problems of the previously referred methods as it a) requires fewer grid points (only two) per wave length since the base function of the Fourier series is the sinusoid and b) it can be fast since it employs Fast Fourier Transform (FFT) to calculate the amplitudes of the simulated signals. A problem that arises is that when a wave approaches the computational grid boundaries, it keeps propagating to the medium by entering from the opposite site of the computational grid. This happens because of the usage of the FFT algorithm for the computation. To tackle this issue, k-wave adds a specific type of layer to the boundaries of the computational grid by implementing an absorbing boundary condition, called Perfect Match Layer (PML), which prevents this phenomenon.

Finally, k-wave toolbox has a great number of parameters that can be customised for a simulation, most of them concerning the grid and time sparsity, the properties of the mediums, the sensors, the sources, the number of dimensions (1D/2D/3D), the number of PML, etc.

## 2.4 Electrical simulation

To perform the electrical simulation we used some of the tools provided from the Xarticul software [15]. Xarticul offers multiple tools like an easy way to delineate and process articulator contours, semi-automatic articulatory measurements and construction of articulatory models [16]. Xarticul can perform acoustic simulations from the area function by using the algorithm proposed in [17] which is based on the Transmission Line Circuit Analog (TLCA) method [18].

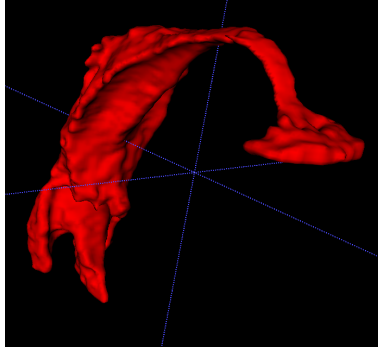


Figure 1. 3D volume of /i/ vowel

### 3 EXPERIMENTS

We present the way we prepared data for acoustic simulations for the three addressed issues and present results for the comparison between 2D and 3D only due to space constraints.

#### 3.1 Image segmentation for investigating the impact of 2D or 3D simulations

For the purposes of our experiments, we used five of the vowels from the database described in the previous section, /a, œ, i, o, u/. First, we processed the images with 3DSlicer [19] (<http://www.slicer.org>) to apply lanczos interpolation in order to make corrections to the image's axis. Then, we used the tools provided by the ITK-SNAP software to automatically segment the 3D volume of the vocal tract and afterwards, we manually corrected the result. The area of interest begins at the glottis and extends to the lips, at the point where the lips stop being simultaneously visible at the coronal plane. We used two classes and 10000 points as nearest neighbours in order to assign each point to the appropriate class for the creation of the probabilistic map, and 10 balls on average per vowel as "seeds" with various sizes based on the region of the vocal tract where they were placed. Then we applied the active contour algorithm which required between 300–500 iterations to cover the whole vocal tract. The amount of iterations is greatly based on the vowel and the initial number, size and position of the "seeds". For the manual segmentation we used the adaptive brush tool with the default parameters to acquire the vocal tract mesh (see Fig. 1). Finally we used Meshlab [20] to smooth every mesh by applying Laplacian smoothing filter with step 3. For each vowel, about 4 hours of processing was required, with the biggest amount of time spent on the manual segmentation step.

#### 3.2 Image preparation for investigating the impact of the subject's position in the MRI machine

The data that we used for the experiment is 3D MRI data of the vocal tract of five vowels of French language /a/, /œ/, /i/, /o/, /y/, in three different head positions: up, natural and down. Using tools provided by ITK-SNAP, we manually segmented the vocal tract of the mid-sagittal slice. We then used meshlab [20] to apply Laplacian smoothing filtering with a step of 3 to all the images.

In order to measure the head position, we used the measurement proposed in [21]. The main idea is to use an angle defined by two lines to define the head position. The first line is the one that connects the interior edge of the C2-C3 cervical vertebrae. The second line is the one that connects the posterior tip of the spinous process of C1 and the tuberculum sella. As shown in Fig. 2, number 1 corresponds to the first line, number 2 corresponds to the second line, while number 3 corresponds to the calculated angle. We used imageJ software [22] to manually specify the lines and make the angle computations. The average angle was  $144.8 \pm 0.6^\circ$ ,  $124.7 \pm 0.8^\circ$ ,  $101.3 \pm 1.1^\circ$  for the up, normal and natural position respectively. Since there is at least  $20^\circ$  of difference between the three positions, we were expecting to notice some difference in phonation [23].

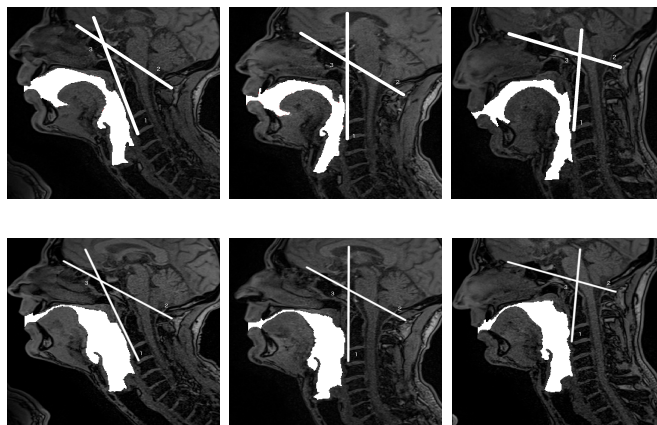


Figure 2. 2D segmentation of /o/ (top row) and /i/ (bottom row) vowels at up, normal and down position from left to right. Lines 1 and 2 are used to define the angle  $\theta$  of the head position

### 3.3 Editing the mid-sagittal geometry at the epiglottis and uvula

In order to investigate the impact of vocal tract shape simplifications we created three more segmentation versions for every mid-sagittal slice by editing the segmented images (4 images per vowel in total with the original). In the first version we removed the epiglottis, in the second we used a constant wall approximation at velum (by removing the uvula) and in the third version we combined both simplifications (Fig. 3). These three versions of every vowel along with the original were fed into the simulations.

### 3.4 Acoustic simulations

For the acoustic simulations, we used k-wave toolbox for Matlab [12]. For every vowel examined, simulations were carried out in both 2D and 3D. First, the mesh was transformed into a volumetric representation using voxels. Then we specified the parameters for the 2D and 3D simulations. Since k-wave uses FFT, the number of grid points was set so as to have low prime factors, ideally a power of 2. For the 3D, we used a grid size of  $128 \times 128 \times 128$  grid points (*sagittal*  $\times$  *coronal*  $\times$  *axial*) with  $d_x = d_y = d_z = 1mm$ . We also used a PML layer of 10 grid points at the boundaries of every side of the grid, to avoid the wave penetrating the opposite side, as explained in the previous section.

As a source we used a ball which emits a *delta* pulse of pressure, spreading equally in all directions. The source has radius of 5 grid points, amplitude 1 Pa and was placed at input of the vocal tract, which was specified manually for every vowel.

To record the simulated pressure we used a sensor placed at the end of the vocal tract. The medium properties inside the vocal tract were  $c_{in} = 350m/s, d_{in} = 1kg/m^3$  and the properties outside, i.e. in the tissues that delimit the vocal tract, were  $c_{out} = 1000m/s, d_{out} = 1000kg/m^3$ , where  $c_{in}, c_{out}$  are the speed, and  $d_{in}, d_{out}$  are the densities inside and outside the vocal tract respectively. The time step is set according to the two medium characteristics (here tissues and air) and the accepted value is  $3 \times 10^{-8}sec$  to guarantee a good stability. The amount of time steps computed was 1000001. The maximum allowed frequency of the grid was 175KHz.

For the 2D case, we run the simulations on the  $y-z$  plane using a disc instead of a ball on the mid-sagittal plane of the vocal tract. All the other parameters remained the same between the two simulations. The amount of time required for the simulation of each vowel is about 75 hours for 3D, while for 2D is approximately 3 hours and 20 minutes. Finally, we calculated the transfer function of every vocal tract and computed their peak frequencies (see Tab. 1), to compare them with the formants computed with the electrical simulation.

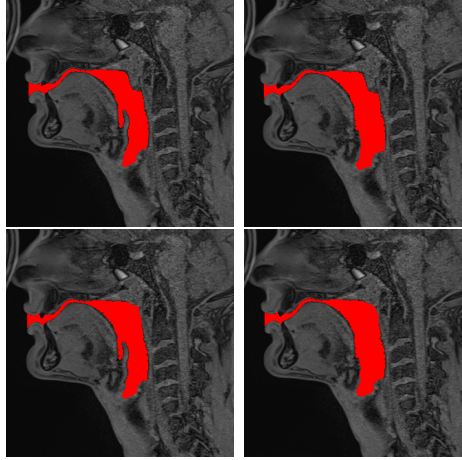


Figure 3. The four versions of /i/ phoneme (original (top left), without epiglottis top right), without uvula (bottom left), without uvula and epiglottis (bottom right)

### 3.5 Electric simulations

For the electric simulations we used the mid-sagittal planes from the 3D MRI acquisition. We used Xarticul to manually delineate the articulator contours of each vowel. Afterwards, we use 40 tubes (4) to estimate the area function of the vocal tract in order to compute its formants (Tab. 2.a). Finally, we used selective LPC to compute the formants of the original audio signal (Tab. 2.b).

Table 1. 2D / 3D formants computation from acoustic simulations in Hertz

	<i>F1</i>	<i>F2</i>	<i>F3</i>	<i>F4</i>
/a/	674 / 694	1349 / 1231	3169 / 2545	3642 / 3548
/œ/	416 / 460	1444 / 1444	2499 / 2544	3471 / 3433
/i/	337 / 304	2394 / 2207	3136 / 3237	3507 / 3473
/o/	404 / 440	900 / 787	2728 / 2605	3433 / 2973
/u/	401 / 422	741 / 850	1980 / 2007	2969 / 3064

Table 2. Comparison between electrical simulation frequencies and values extracted from the speech signal

	<i>F1</i>	<i>F2</i>	<i>F3</i>	<i>F4</i>
/i/	280	1684	2927	3395
/o/	491	905	2185	3448
/a/	510	1200	2190	3325
/u/	405	1182	2034	3422
/œ/	408	1276	2168	3360

a. 2D formants computation from electrical simulations in Hertz

	<i>F1</i>	<i>F2</i>	<i>F3</i>	<i>F4</i>
/i/	380	2306	3193	3518
/o/	430	732	2619	3052
/a/	689	1296	2604	3413
/u/	456	797	2016	3025
/œ/	443	1335	2436	3405

b. Formant determination from original acoustic signal in Hertz



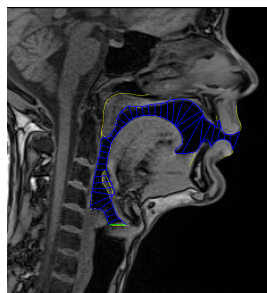


Figure 4. Decomposition of the vocal tract into acoustic tubes

#### 4 CONCLUDING REMARKS

The first remark concerns the sounds produced by the speaker in the MRI machine. As shown in Tab. ?? which gives the average values for French speakers, the measured values are a little far from the expected values. This is especially true for the first formant of close vowels /i,o,u/ which is higher in frequency. This would mean that the pharyngeal cavity is smaller due to the subject posture. The visual examination of the images shows a slightly shifted articulation in some cases, especially for /u/. The second remark is that there is a good agreement between the results of 2D/3D simulations and the formants F1 and F2 determined from the speech signal recorded. However, for F3 the 3D simulation turns out to give results closer to those of natural speech than those of the 2D simulation, probably because the 3D volume gives a geometry closer to the real one.

The third remark concerns the comparison between the 2D/3D simulations on the one hand, and the electrical simulation on the other hand. It turns out that the electric simulation is not able to reproduce the formants with as good accuracy as the acoustic simulation. Since there is a good agreement between the 2D and 3D acoustic simulation, the most probable hypothesis is that either splitting of the vocal tract into small tubes or the estimation of the area function from the mid-sagittal shape is not completely satisfactory.

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