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# An Empirical Study of Power Characterization Approaches for Servers

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**Abstract**—Data centers are energy-hungry facilities. Emerging studies have proposed energy-aware solutions in reducing power consumption of Data center. Power consumption characterization of servers is an essential part to realize power-aware adaption strategies. Traditional methods adopt accuracy and secures direct measurements by using physical instruments such as wattmeters. Recently, devices-less solutions have drawn much attention as an economical replacement. Device-less solutions provide power consumption information a computing platform by using self resources without additional physical instruments. There are two common adopted devices-less solutions: 1) standard specifications that provide interface with integrated sensors, such as IPMI (Intelligent Platform Management Interface) and Redfish; 2) Power models based on system activity related indicators. The energy-aware scheduling decisions are made based on the power values obtained, but few works give information about the correctness of the power values while discussing the results or drawing conclusions. In this study, we try to fill up this missing part by evaluating some common used, economical manners in obtaining power values. We compare and discuss the reliability, advantages and limitations for the CPU-utilization based power models. The findings highlight the challenges in realizing accurate and reliable power models. we also evaluate the reliability of IPMI and RedFish as well, in order to give references in choosing appropriate power characterization solutions.

**Keywords**—Server power model; Inlet temperature; IPMI; Red-Fish

## I. INTRODUCTION

Cloud data centers are computer facilities formed by hundreds or even thousands of servers. With the increasing demand of cloud services, energy efficiency of servers in data center has become a significant issue. Reliable power characterization approaches are essential for supporting energy-aware solutions. High accuracy power analyses are capable of providing accurate power consumption data. However, limitations like high cost, weak support of integration with Unix systems (which are widely adopted among server platforms) make it unrealistic by using power analyzers in a data center environment. Therefore, some device-less solutions that make use of available resources without extra investment are attracting more and more attentions. The reliability of these solutions has to be evaluated thoughtfully before applying to different real situations. In this paper, we conduct the survey and investigate

experimentally two kinds of device-less solutions: 1) Power models by using some system activity indicators, such as usage information of main components and/or Performance Monitoring Counters (PMC); 2) Integrated sensor based, industrial standard specifications, such as: IPMI, Redfish.

The paper is organized as follows: In Section II, we present some previous studies about building power models for PC or server systems. In Section III, we evaluate empirically the power models based on CPU utilization. The server is stressed with an industrial test software. Principal components like CPU, memory and storage are stressed at different load levels. Then, in Section IV we evaluate the precision of Redfish and IPMI applied in a new series of IBM servers. The measures of power consumption recorded by Redfish and IPMI are compared with a high-accuracy power analyzer. Conclusions and perspectives are given in Section V.

The major contributions of this paper are:

- We evaluate power models based on CPU-utilization. Influence introduced by inlet temperature is especially discussed.
- We give some suggestions in building accuracy power models according to experiments.
- We discuss the reliability of power data provided by IPMI and Redfish based APIs.

## II. POWER MODELS: BACKGROUND AND RELATED WORK

Modeling power consumption of servers is an active area of research. The method takes advantages of indirect measurements to predict the power consumption of the elements in an IT system, from single components like processors, to the entire machine. Comparing to physical power analyzers, power models have several advantages. In general, it provides an economical way via making use of self resources. In deeper insight, power models are capable of linking the energy usage data with system activities. That makes it possible to isolate the energy consumed by single process or Virtual Machine (VM). In this case, performance bottlenecks, inefficiency of algorithm are possible to be identified and optimized in a more dedicated way. In practical, power models are easy to integrated with server system, they can be used to help with some power management strategies, such as VM Migration,

shut down technologies, etc. Therefore, it is not surprising that there has been a considerable amount of work in the area of power modeling.

#### A. CPU-Utilization based method

In early stage, power models adopt the utilization of CPU as the only input. One of the most notable study is conducted by Fan et al. [1], whose study has shown that the power consumption of servers can be accurately represented by CPU utilization by using simple linear relationship. The error is validated less than 5% for dynamic system activities. Economou et al. [2] introduced a method called Mantis to model full-system power consumption. The model is built with linear regression based on component utilization metrics: CPU Utilization, off-chip memory accesses, disk and network I/O rates. The model achieves an overall error range from 0% to 15% for two different server systems. Especially, the blade model has errors less than 5% for all cases. After that, with the evolution of manufacturing, server architecture becomes more complex, the accuracy of models based on CPU utilization has been questioned in many ways. Orgerie et al. [3] argued that the relationship between the CPU consumption and its load is not linear. The results of their experiments showed that even applying the same CPU load, they observed three different power consumption values. Hence, they concluded that it is indeed not possible to get a linear function between CPU utilization and power consumption. Zhang et al. [4] validated the linear model for 392 published results, which composed of different kind of servers. They use R-squared values to evaluate their model. R-squared is also known as coefficient of determination. The value of R-squared ranges from 0 to 1, describes the goodness of prediction, the higher the better. The authors show that, among 395 published results, 6.5% (25 kinds of servers) have the R-squared values less than 0.95, which means the CPU utilization is not always correlated significantly with server power usage.

#### B. PMC based method

Furthermore, researchers try to build power estimation models with performance monitoring counters. PMCs record and store the counts of system-related activities. The principal of models based on PMCs is the selection of several PMCs, which have good correlation with power. The models can be then illustrated by linear, non-linear regression formula, or even by neural network. PMCs based power models usually have better accuracy in comparing with single indicators based model.

Da Costa et al [5] evaluate the power consumption of a PC by using performance counters, then extend the conception to predict the power consumption of single applications. Training data is collected by running several applications and synthetic benchmarks. A small number of optimal variables combinations within 165 different counters are selected for each synthetic benchmark, which has the best regression result with the real power consumption measurements. Then, a global model for the entire PC is derived by including and analyzing the possible candidate variables. The global model has R-squared values greater than 0.94 for all the cases when applied respectively to each benchmark. Even though they did not evaluate the global model with some real applications, their results confirm the feasibility by using PMC to predict the

power consumption of the IT systems.

Bircher et al. [6] propose a method to create power models for six subsystems (CPU, memory, chipset, I/O, disk and GPU) by using performance counters within processors. They chose several performance events which are highly correlated to power consumption in subsystem including memory, chipset, IO, disk and processor. Resistors are connected in series with power source to capture the power consumption for each subsystem. Their models are validated by a wide range of workloads and achieved an average error less than 9% for each subsystem. However, they did not mention the model accuracy for the entire system.

Witkowski et al. [7] present a practical approach to estimation power consumption of applications in HPC (High Performance Computing) environment. Their models are represented as regression functions by using only a few variables related to CPU, motherboard and memory. Variables will be included in the model once the coefficient of determination increases. Some of the original variables are transformed to increase model accuracy. When validated with the same synthetic workloads during training phase, their model reports an average error between 1% and 4% comparing to real measurements. However, the average error is increased to a range of 3% -7% when tested with a real HPC application.

Some state-of-the-art power models provide platform-specific solutions [8][9], which makes the model more accurate and adaptive in current situation. However, the method used to build the model is also less general and portable, limited to specific conditions. Some other researchers suggest advanced machine learning techniques to improve accuracy of PMC-based models for general use. Some of them point that, the accuracy of model can be greatly increased by removing some irregular outliers of measurements [7][5].

Cupertino et al. [10] propose to use Artificial Neural Network (ANN), one of the computational intelligence technologies to improve the model accuracy. They compare the Mean Absolute Percentage Error (MAPE) between an ANN model and a traditional capacitive model, and show that the ANN can decrease the MAPE from 5.45% to 1.86%.

Wang et al. [11] point that for a given processor, the usage of PMCs is limited by the event counters available and the maximum number of PMCs that can be read simultaneously. Even more, power models with less PMC can be more flexible and applicable. Based on this fact, the authors have then proposed a power model with only Instruction Per Cycle (IPC) and frequency as inputs. In order to improve the accuracy, running benchmarks will be divided into different categories based on IPC values, then they build the models separately for each category. The authors also develop a tool SPAN to realize run-time power profiling and correlate power dissipation to source code functions. Their power model is validated by using two benchmarks from SPEC2008Cjvm, and achieve absolute error rate of 5.17% and 4.46% respectively. Tool "SPAN" achieve accuracy as high as 97% on average by running FT benchmark from NAS Parallel benchmark suite and synthetic workloads.

Mair et al. [12] present their power estimation model called W-Classifier. The model classifies different workloads into 5 categories by using some power-dominant PMCs: INT, FPU, FPU/cache mixed, INT/cache mixed and memory/idle. They validate W-Classifier with OpenMP multi-threaded benchmarks from NAS Parallel Benchmark suite on all 16 cores.

They find that W-Classifier has an average MAE of 6.95% for all benchmarks, while traditional multi-variable model achieves an average MAPE of 40.74%. However, authors admit that W-classifier has difficulty to estimate the power consumption of benchmarks with large range of power variation. They have then proposed to improve the model by adding more kinds of classification categories as further work.

### C. Challenges

Obtaining accurate results of consumption behavior at the whole system level or individual component level is not straightforward. The difficulties include but are not limited to the following reasons: 1) Diversity. Physical architecture of server differs very much between manufactures and becomes more complicated from generation to generation, with the emerging of new features. The availability of PMCs differs among different machines [7]. The problem of the diversity makes the power models less portable between heterogeneous servers in Data centers. 2) Evolution. Evolution of system is somehow rapid and random. Some indicators used to build the original model could no longer exist with the evolution of computing system. For example, four years after the introduce of Mantis [2] (mentioned in 2.1), John C et al [13] have noticed that, some of the original indicators used by Mantis no longer exist in current systems. 3) Hidden system behaviors. Some component incorporates optimization without exposing to any of the existing counters, which makes some device behaviors invisible to OS [13]. High precision will be difficult to achieve without being aware of these changes that affect power draw. 4) Variability. Previous studies have given quantitative evidences about the significant variability between identical designed CPU and servers [14][15][16][17]. Parameter variation, also known as PVT (Process, Voltage and Temperature) variation [18] responsible for most of the variations. Among them, process and voltage variations are caused by imperfections from fabrication process. Temperature variation comes from fluctuating environments. These variations are inevitable and influential to power consumption. One of our previous study has demonstrated the power consumption variation between identical servers leads from thermal effects [19]. These observations bound the potential accuracy achievable concerning all kinds of power modeling approaches. All the evidences listed here highlights the challenges in building reliable power models for servers, especially for the modern ones.

## III. POWER MODELS BASED ON CPU-UTILIZATION

In this section, we evaluate experimentally the effectiveness of using CPU-utilization as the indicator for building power models. Influence of inlet temperature variation has also been demonstrated by using a test use case.

### A. CPU-Utilization based models

The model is built for a Gigabyte mw50-sv0 server, equipped with one Xeon E5-2609v3 processor. Several workloads from SERT (Server Efficiency Rating Tool) [20] are chosen as the test suite. SERT is an industrial standard rating tool for evaluating energy-efficiency for server systems, developed by SPEC committee. It contains a number of micro-workloads, called *worklets* that exercise different components of the Server Under Test (SUT) at different target load levels. (see

Table I for details). In this experiment, ten worklets composed of both CPU and memory intensive types are chosen to stress the server. The test suit includes six CPU-intensive tests (Compress, CryptoAES, LU, SOR, Sort and SHA256), one CPU and memory hybrid test (SSJ), and two memory-intensive tests (Flood and Capacity3). Consumption at idle state is also measured. Details about the worklets used can be found in Table I [21].

The power consumption data is collected by Yokogawa WT330, a high-accuracy power analyzer, with maximum measurement error less than 1%. CPU utilization is collected by redirecting the information from directory `/proc/cpuinfo`. Data collection frequency is set at 1Hz. At the end of test, box plot `matplotlib` [22] is used to interpret the dispersion of power for a given CPU utilization. Box plot is widely used for displaying statistic distribution, a simplified manner in comparison to a histogram or density plot. For a normal distribution, 50% of the data is within the box. Two short lines beyond the box represent for the minimum and maximum values within 99.3% of the data. Outliers are the remaining .7% of the data. The test result is shown in Figure 1. We can see from the figure that the models using CPU-utilization as the only indicator is not reliable enough. For a fixed CPU-utilization, the server power spreads out between a considerable range, especially for the utilization at 100%. Power varies with the system loads, however, there can still have little latency when system load change quickly and a lot. That's why we observe some outliers from the figure. The power ranges are relatively lower at 10%, 50%, 70% and 90%, because of a lack of experimental data at these stress levels. We differentiate then the relationship between CPU-utilization and server power for each worklet by marking with different colors. We can see from the result in Figure 2 that server power is well proportional to CPU-utilization for each worklet. However, even for the worklets of the same type "CPU intensive", their parameters of proportion are different. This explains the large variation of power as explained before in Figure 1. Therefore, as far as we can see, classifying workloads into different groups could be an effective approach to improve accuracy for power models.

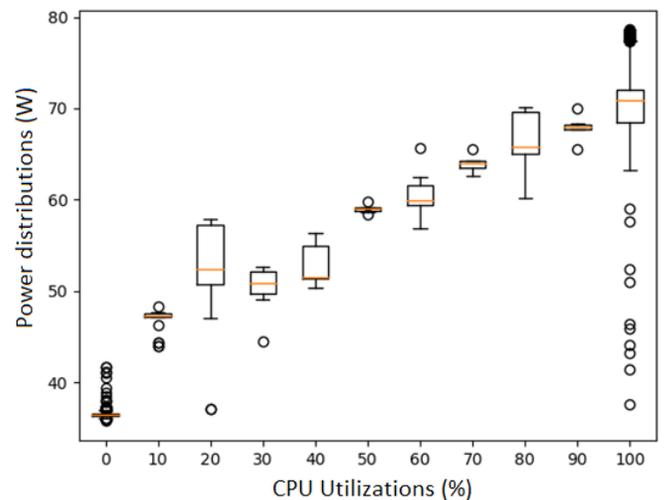


Figure 1. Distribution of power under different CPU-utilization.

TABLE I. TEST SUITE INFORMATION

Worklet	Components	Description	Load Levels
Compress	CPU	Compress and decompress data	100%, 75%, 50%, 25%
CryptoAES	CPU	Encrypts and decrypts data	100%, 75%, 50%, 25%
LU	CPU	LU factorization of dense matrix operations	100%, 75%, 50%, 25%
SOR	CPU	Jacobi Successive Over-relaxation workload	100%, 75%, 50%, 25%
Sort	CPU	Sorts randomized 64-bit integer array	100%, 75%, 50%, 25%
SHA256	CPU	SHA256 hashing transformation and encryption/decryption	100%, 75%, 50%, 25%
SSJ	CPU/Cache/Memory	simulates Online Transaction Processing (OLTP) operations	100%, 87.5%, 75%, 62.5%, 50%, 37.5%, 25%, 12.5%
Flood3	Memory	Measures memory bandwidth across four common and important array operations	Full, Half
Capacity3	Memory	Exercises Java's XML Validation	Base, Max
Idle	System	No load on SUT	None

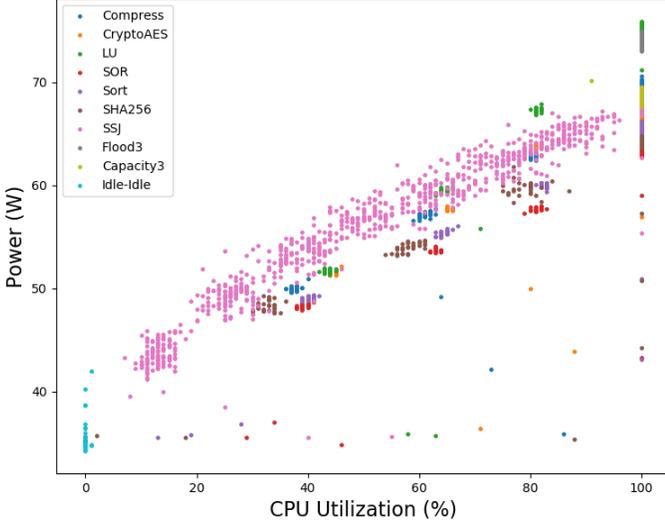


Figure 2. Relationships between CPU-utilization and server power of different worklets.

### B. Influence of ambient temperature: a test use case

Furthermore, we evaluate the influence of inlet temperature to the power consumption of server. The server is placed in a climatic cabin, where we can control the ambient temperature precisely. Inlet temperature is measured by a thermocouple of type K. Test suite SERT is executed three times to the server at 22°C, 35°C and 45°C inlet temperatures respectively. The results of the power consumption of server under different ambient temperatures can be seen in Figure 3. Server power increases with the rise of inlet temperatures. The increment of power is contributed mainly by fans and leakage current of CPU[19]. Unlike power consumption, CPU utilization remains the same under different inlet temperatures as shown by Figure 4. Therefore, as demonstrated by the experiments, there is a risk of losing accuracy without considering variation of ambient temperature in the models.

Taking the data sets of worklet SSL as a use case. Function (1) describes a baseline model proposed by [1]. Data set is collected at 22°C inlet temperature. Estimated power is simply represented by a linear function by using the power values at idle and full load. Beyond the baseline model,  $\Delta(T)$  is derived by analyzing the relationship between inlet temperature and power increment for the whole data sets.  $\Delta(T)$  can be interpreted by a quadratic equation as shown in function (2). Finally new power model is built by adding  $\Delta(T)$  to

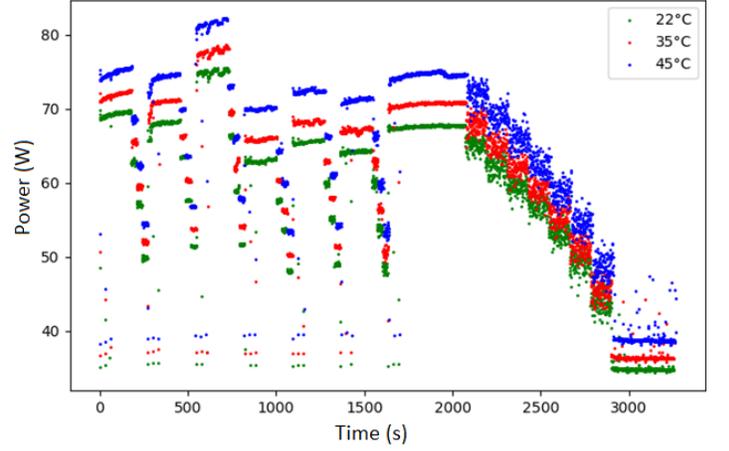


Figure 3. Server power under three different ambient temperatures.

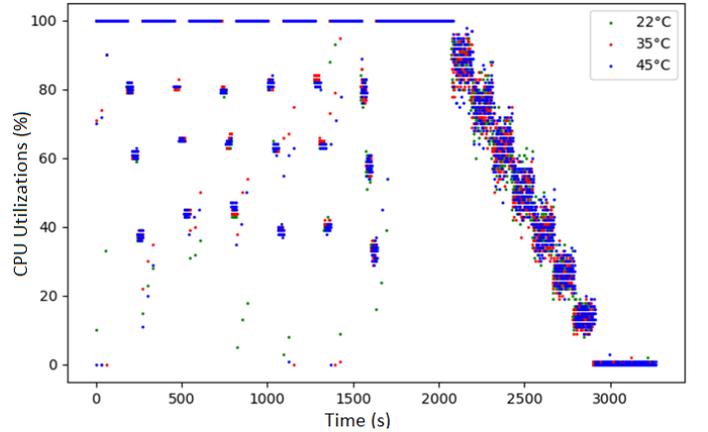


Figure 4. CPU utilization under different inlet temperatures.

the baseline model, as shown by (3).

$$P_{estimated} = P_{idle} + U_{cpu\%}(P_{100\%} - P_{idle}) \quad (1)$$

$$\Delta(T) = a_0 + a_1T + a_2T^2 \quad (2)$$

$$P_{estimated} = P_{idle} + U_{cpu\%}(P_{100\%} - P_{idle}) + \Delta(T) \quad (3)$$

Within the formulas,  $U_{cpu\%}$  represents CPU utilization in percentage and  $T$  is inlet temperature.  $P_{idle}$  and  $P_{100\%}$  are the average powers (Watt) when server running at idle ( $U_{cpu\%} = 0$ ) and full load ( $U_{cpu\%} = 100$ ). The models are trained and validated with the same data set by using cross validation from function `cross_val_score` from scikit-learn [23], cross validation (cv) generator is set to 4 to realize a 4-fold cross validation. The average MAPEs after CV for model (1) and (3) shown in Table II demonstrate the effectiveness of the updated model (3). The MAPE of baseline model (1) increases dramatically with the inlet temperature rises from 22°C to 45°C.

TABLE II. MAPE OF MODELS AT DIFFERENT INLET TEMPERATURE.

$T_{inlet} (^{\circ}C)$	22	30	45
Model without Delta ( $T^{\circ}$ )	5.6 %	9.2 %	16.8 %
Model with Delta ( $T^{\circ}$ )	4.6 %	4 %	3.2 %

#### IV. INDUSTRIAL STANDARD SPECIFICATIONS EVALUATIONS: IPMI & REDFISH

High performance servers are usually integrated with power sensors inside server system, which enable users to monitor actual information such as power consumption, inlet and exhaust temperatures. IPMI represents for Intelligent Platform Management Interface, created by Intel, Dell, HP and NEC in 1998. It is a standardized hardware management interface and has been widely implemented on more 200 server vendors nowadays [24]. IPMI is designed to realize system-management independently without passing through OS. Administrators are allowed using IPMI to manage the machine locally or remotely regardless of its state (on or off). Monitoring system status is one of the functionality of IPMI. IPMI can communicate with Baseboard Management Controller (BMC) to retrieve data of certain hardware components (temperature probe, Fans, power supplies, etc.). BMC is a specialized micro controller embedded on the motherboard by the vendors. There are several open source tools supporting IPMI protocol, such as `ipmitool`, `freeipmi`, `OpenIPMI`, etc. Then, with the massive growth in size and complex of Data centers, traditional IPMI is not sufficient to manage the modern scalable data centers anymore. Hence, In 2010, DMTF (Distributed Management Task Force) proposed Redfish to overcome the limitations of IPMI in terms of scalability, performance, simplicity and interoperability [25]. In comparison with IPMI, Redfish is a standard API adopts HTTPS protocol, which is considered more secure than UDP protocol (adopted by IPMI). In addition, Redfish use human readable technologies like JSON and OData, which makes the operations such as request and response more user friendly. However, the work for Redfish hasn't finished and is still a Work in Progress on the website of DMTF [26].

##### A. IPMI or Redfish: Which is more accurate?

The difference between IPMI and Redfish makes us curious about their actual capabilities of power characterizations. Before implementing specific tool into energy-aware projects, it is better to well aware of the accuracy and limitations of the tool. Therefore, we design and conduct an experiment to evaluate accuracy of power consumption readings from

IPMI and Redfish. Their readings are recorded and compared in real time with a high accuracy power analyzer. The experiment is conducted in a prototype modular server from Lenovo Skylake series. Both IPMI and RedFish interfaces are supported. The server equipped with two Xeon Gold 6142@2.6GHz processors, 390GB RAM and 500G SSD. Server is stressed with the same test suite SERT as mentioned in previous experiments, with a total execution time of about 2 hours. The power usage data from IPMI are retrieved by an open source API tool `freeipmi` [27]. And readings of Redfish are provided by Redfish REST API, through the integrated XClarity Controller introduced by Lenovo. High accuracy power analyzer Yokogawa WT330 is place between server power supply unit and wall plug to measure and record power consumption data as reference (with maximum measurement error less than 1%). A docker container is developed to redirect and synchronize the readings from three channels to local database by using Network Time Protocol (NTP). Sampling frequency is set to 2 Hz.

We calculate MAPEs for both IPMI and Redfish within three power ranges. The results shown in Table III show that, sensors integrated in servers seem to have different precisions across different power ranges. Otherwise, power readings retrieved from Redfish have better results comparing to IPMI for each ranges. Power consumption has denser variations during lower ranges. After several experiments, we find that, the data collected from Redfish and IPMI is not refreshed instantly. A latency of about 200 ms is observed, which means the power value recorded may come from 200ms ago. The accuracy can be questioned when power varies quickly.

The work presented in this paper give references in choosing power consumption characterization solutions. We suggest that, before applying device-less solutions in an energy-aware scheduling or distribution, check the precision of the solution and make sure that accuracy is enough for supporting the decision making.

TABLE III. MAPE OF REDFISH & IPMI BETWEEN DIFFERENT POWER RANGES.

Power Ranges (Watt)	Redfish MAPEs (%)	IPMI MAPEs (%)
From 0 to 199	4.1	5.5
From 200 to 399	4.0	4.4
From 400 to 600	1.8	2.3
From 0 to 600	2.9	3.7

#### V. CONCLUSION

In this paper, we present a deep evaluation about the power models based on CPU utilization. The influence of inlet temperature on models has been especially discussed. According to the analysis, one regression formula by using CPU utilization as the only indicator is not adequate for building reliable power models. First of all, Workloads have different behaviors by using CPU and other hardware resources in server platforms. Therefore, power is observed to have high dispersion for a fixed CPU utilization, especially at full workload (CPU utilization = 100%). At the same time, we also find that, power is well proportional to CPU utilization within the execution of one single workload. Hence, applying workload classifications could be an effective way to improve model accuracy. Moreover, inlet temperature can cause surprising

influence on model accuracy. The model reliability can be questioned without including inlet temperature data. In a use case, after including inlet temperature data, we have greatly improved the precision of model outputs while stressing server under three different ambient temperatures.

Using industrial specifications, such as IPMI and Redfish is another popular way to get power consumption data for some modern HPC servers. The experiment results show that, the precision of both IPMI and Redfish differs from different power ranges, the higher the better. We blame the loss of precision to the latency during request. Comparing to IPMI, Redfish is observed to have less latency in our experiments.

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