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Alexandre Bône, Olivier Colliot, Stanley Durrleman. Auto-encoding meshes of any topology with the current-splatting and exponentiation layers. 2019. hal-02087586v1

# HAL Id: hal-02087586 https://inria.hal.science/hal-02087586v1

Preprint submitted on 2 Apr 2019 (v1), last revised 9 Oct 2019 (v2)

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# Auto-encoding meshes of any topology with the current-splatting and exponentiation layers

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### Abstract

Deep learning has met key applications in image computing, but still lacks processing paradigms for meshes, i.e. collections of elementary geometrical parts such as points, segments or triangles. Meshes are both a powerful representation for geometrical objects, and a challenge for network architectures because of their inherent irregular structure. This work contributes to adapt classical deep learning paradigms to this particular type of data in three ways. First, we introduce the current-splatting layer which embeds meshes in a metric space, allowing the downstream network to process them without any assumption on their topology: they may be composed of varied numbers of elements or connected components, contain holes, or bear high levels of geometrical noise. Second, we adapt to meshes the exponentiation layer which, from an upstream image array, generates shapes with a diffeomorphic control over their topology. Third, we take advantage of those layers to devise a variational auto-encoding architecture, which we interpret as a generative statistical model that learns adapted low-dimensional representations for mesh data sets. An explicit norm-control layer ensures the correspondence between the latent-space Euclidean metric and the shapespace log-Euclidean one. We illustrate this method on simulated and real data sets, and show the practical relevance of the learned representation for visualization, classification and mesh synthesis.

# 1. Introduction

Deep learning has met key applications in image computing, but still lacks processing paradigms for mesh data. Understood as collections of elementary geometrical parts such as lines in 2D or triangles in 3D, meshes are a compact and natural representation for geometrical data. The inherent difficulty with meshes is that they do not have regular structure: two meshes might be similar in their 3D geometry yet very different in their parametrization – e.g. composed of varying numbers of elementary triangles or connected components of such triangles, contain holes breaking their topology, or bear high levels of geometrical noise. Practical tasks (such as regression or classification) remain however ultimately the same regardless of the data type, which leads to the question: is it possible to simply adapt image deep learning paradigms to work with meshes?

A first challenge lies in building an "embedding" layer, able to represent input meshes with irregular structure into vectors of fixed dimension, that can then be processed by any classical network architecture. This question recently attracted a growing interest. In [14] for instance, the authors introduce a network able to process point clouds for object classification, part segmentation, and semantic segmentation. This paper nurtured further developments such as [8] which proposes even more specific network architectures able to exploit efficient tree representations of point clouds. Intrinsic operators for connected meshes are defined in the geometric deep learning papers [2, 10, 11], surveyed in [3]. Those works generalize convolutions to manifold meshes, basing their operations on local chart systems. This last requirement is limiting in practice. In particular, all aforementioned works conveniently assume a fixed number of points or vertices. An opposed trend in the literature advocates for transforming input 3-dimensional shapes into either binary volumetric images [18] or series of textured 2D images obtained by selecting a set of viewpoints [16]. If those approaches might be computationally intensive, the opportunity to seamlessly use well-understood deep network architectures is a particularly appealing asset, which helped them achieving top performance for object recognition. The work [15] offers a compromise by adapting the classical convolution and pooling layers to sparse volumetric representations of 3D objects, similarly to what proposed [8] with point sets. To the best of our knowledge, those image-transform methods however either ignore the information offered by the normals of the meshes, or take them indirectly into account through some arbitrary and fixed illumination. A second challenge, reciprocal to the first, is to build an output layer able to generate meshes. As underlined in [3], the synthesis of geometric data largely remains an open problem. A reason might be the lack of standard loss function to evaluate the quality of the generated meshes: measuring the similarity between two meshes with differing topologies is not trivial.

Based on the theory of currents [17], we introduce in Section 2 the current-splatting layer, which embeds meshes in a metric space without any assumption on their topology. The normals are directly and compactly taken into account in this transformation, capturing maximal information for the downstream network to process. The introduced metric space naturally offers a loss function that measures the similarity between any two meshes. In [4, 9], the authors define the so-called exponentiation layer for imaging data, which smoothly deforms a template image to generate a new one with a diffeomorphic control on its topology. We adapt this layer to meshes in Section 3, enabling the synthesis of smooth and regular objects from the upstream network. In Section 4, we take advantage of those input and output layers to devise a variational auto-encoding architecture, which we interpret as a generative statistical model for meshes. An explicit norm-control layer ensures the correspondence between the latent-space Euclidean metric and the shape-space log-Euclidean one. Experimental results on varied data sets are reported in Section 5.

### 2. Meshes seen as splatted currents

A mesh y is understood in this paper as a homogeneous collection of elementary geometrical parts such as points, segments, or triangles. A standard description is therefore to write y as a list of points, along with a list of segments or triangles that we call connectivity, point clouds being seen as degenerated meshes with no connectivity. We focus in the rest of the article on non-degenerated meshes, and more specifically on surfaces of  $\mathbb{R}^3$  in the next sub-section to introduce the current theory. All notations and concepts can however be adapted to collections of segments or points.

#### **2.1.** Continuous theory

The pragmatic description of a surface mesh as a finite collection of triangles can be understood the dicretization of an infinite set of points  $x \in \mathbb{R}^3$  with infinitesimal normal vectors  $n \in \mathbb{R}^3$  attached to them. The geometric measure theory [12] studies those objects called rectifiable sets under loose piece-wise smoothness hypothesis: the strategy is to embed them in a functional Hilbert space, where desirable basic operators such as addition, subtraction, averaging or scalar product will be naturally defined. Given a space  $\Omega$  of square-integrable vector fields  $\omega : \mathbb{R}^3 \to \mathbb{R}^3$ , we associate

to the rectifiable surface y the mapping defined by:

$$\mathcal{C}^*(y)(\omega) = \int_y \omega(x)^\top \cdot n(x) \cdot d\sigma(x) \tag{1}$$

where x denotes a parametrization of y,  $d\sigma(x)$  an infinitesimal surface element and  $(.)^{\perp}$  the transposition operator. Equation (1) is invariant under parametrization change, hence the mapping  $\mathcal{C}^*(y)$  is a linear form on  $\Omega$ . We call currents such linear forms, which are elements of  $\Omega^*$ , the dual space of test fields. Following [17], we further assume that  $\Omega$  is a reproducing kernel Hilbert space with kernel  $K_{\Omega} : \mathbb{R}^3 \times \mathbb{R}^3 \to \mathbb{R}$  and scalar product noted  $\langle .|.\rangle_{\Omega}$ . The Riesz representation theorem gives the existence of some  $\mathcal{C}(y) \in \Omega$  such that  $\mathcal{C}^*(y)(.) = \langle ., \mathcal{C}(y) \rangle_{\Omega}$ . Combining equation (1) and the reproducing property  $\omega(x) =$  $\langle \omega, K_{\Omega}(x,.) \rangle_{\Omega}$ , this representant can be identified:

$$\mathcal{C}(y)(.) = \int_{y} K_{\Omega}(x, .) \cdot n(x) \cdot d\sigma(x).$$
(2)

Since  $\langle \mathcal{C}^*(y), \mathcal{C}^*(y') \rangle_{\Omega^*} = \langle \mathcal{C}(y), \mathcal{C}(y') \rangle_{\Omega}$ , this innerproduct on currents finally writes:

$$\int_{y} \int_{y'} n'(x')^{\top} \cdot K_{\Omega}(x, x') \cdot n(x) \cdot d\sigma(x) \cdot d\sigma'(x') \quad (3)$$

which induces a distance metric between currents:

$$d_{\Omega}(\mathcal{C},\mathcal{C}')^{2} = \langle \mathcal{C},\mathcal{C} \rangle_{\Omega^{*}} + \langle \mathcal{C}',\mathcal{C}' \rangle_{\Omega^{*}} - 2 \cdot \langle \mathcal{C},\mathcal{C}' \rangle_{\Omega^{*}}.$$
 (4)

#### 2.2. Practical discrete case

In practice, we propose to choose the simple radial Gaussian kernel of radius  $\sigma_{\Omega} > 0$  for  $K_{\Omega}$ . Moreover, meshes are represented as finite collections of T elements. Under those hypothesis, equation (2) becomes:

$$\mathcal{C}(y)(x) = \sum_{k=1}^{T} \exp \frac{-\left\|x - c_k\right\|_{\ell^2}^2}{\sigma_{\Omega}^2} \cdot n_k$$
(5)

where x is a point of  $\mathbb{R}^d$ , the  $(c_k)_k$  and  $(n_k)_k$  respectively are the centers and normals of the triangles composing y. In the case of a collection of segments, the  $(n_k)_k$  are the tangent vectors. In the same manner, equation (3) becomes:

$$\left\langle \mathcal{C}(y), \mathcal{C}(y') \right\rangle_{\Omega^*} = \sum_{k=1}^T \sum_{l=1}^{T'} \exp \frac{-\left\| c_l' - c_k \right\|_{\ell^2}^2}{\sigma_{\Omega}^2} \cdot n_k^\top n_l'.$$
 (6)

Given a discrete grid  $g_{\Omega}$  of  $\mathbb{R}^d$ , we finally define the splatted current  $\underline{\mathcal{C}}(y)$  as the *d*-channel image resulting from the discretization of  $\mathcal{C}(y)$  on the grid  $g_{\Omega}$ .

Note the following properties of the current-splatting transform: (i) it does not assume any particular topology of the meshes, (ii) it is invariant under parametrization change,



Figure 1. Current-splatting mechanics. The top and bottom rows respectively present enlarged and noisy versions of the middle one. The input mesh is first transformed in a vector field, which is then discretized on a fixed grid to form a d-dimensional image. If a topologically simple object was selected for the sake of clarity, note that any other topology could be similarly treated.

(iii) it captures the proximity relationships between elements, (iv) it captures the orientation information encoded by the normals of the triangles (or tangents of the segments). All those properties are achieved at the cost of smoothing out all geometrical features of characteristic radius inferior to  $\sigma_{\Omega}$ , which can on the other hand be useful to filter out geometrical noise.

Architecture. The architecture of the current-splatting layer is presented by Figure 1. The input mesh y is first transformed in the function C(y), before being splatted into a *d*-channel image  $\underline{C}(y)$ .

Hyper-parameters ( $\sigma_{\Omega}$ ,  $g_{\Omega}$ ). The characteristic length  $\sigma_{\Omega}$  should ideally be larger than the noise to eliminate, and smaller than the signal to capture. In practice, the grid  $g_{\Omega}$  is obtained by uniformly dividing a bounding box containing all the considered meshes. A good heuristic is to choose a spacing between each node approximately equal to  $\sigma_{\Omega}/2$ .

## **3.** Meshes seen as deformations of a template

A mesh-generating layer is by essence an output layer, and is therefore strongly linked to the loss function used to train the network. In this work, we take advantage of the current framework and use the distance metric defined by equation (4), which is advantageously free of any topological assumption. However, because of the low-pass filtering behavior of the current transform, a naive output layer synthesizing a mesh by directly predicting the position of its points would be free to generate very noisy geometries.

#### **3.1.** Continuous theory

To control the regularity of the generated meshes, we impose that they are diffeomorphic to a reference mesh  $y_0$ . This constraint suggests a method: instead of predicting a mesh y directly, we want the network to generate a diffeomorphism  $\phi$ , before computing the deformed template  $y = \phi \star y_0$ . As in [1], we construct diffeomorphisms by following the streamlines of static smooth vector fields  $v \in V \subset C_0^{\infty}(\mathbb{R}^d, \mathbb{R}^d)$  during the time segment [0, 1]:

$$\Phi(v) = \phi_1 \quad \text{where} \quad \partial_t \phi_t = v \circ \phi_t, \quad \phi_0 = \mathrm{Id}_{\mathbb{R}^d}.$$
(7)

The mapping  $\Phi : V \to \mathcal{G}_V = \{\Phi(v) | v \in V\}$  is locally invertible around the identity: similarly to [20], we define on this neighbourhood of  $\mathcal{G}_V$  the "log-Euclidean" distance:

$$d_V(\phi, \phi') = \left\| \Phi^{-1}(v') - \Phi^{-1}(v) \right\|_V$$
(8)

which induces a distance on the corresponding neighbourhood of the orbit shape space  $\mathcal{G}_V \star y_0$ . We further assume that V is a reproducing kernel Hilbert space with kernel  $K_V$ . The Riesz representation theorem gives the existence of the "momenta" dual vector field  $m \in V^*$ :

$$v(.) = \int_{\mathbb{R}^d} K_V(x,.) \cdot m(x) \cdot d\nu(x) \tag{9}$$

where  $d\nu(x)$  is an infinitesimal element of  $\mathbb{R}^d$ . The inner product  $\langle v, v' \rangle_V$  on V can now be derived:

$$\int_{\mathbb{R}^d} \int_{\mathbb{R}^d} m'(x')^\top \cdot K_V(x,x') \cdot m(x) \cdot d\nu(x) \cdot d\nu'(x')$$
(10)

which defines the norm operator  $||v||_V = \langle v|v\rangle^{1/2}$ .

# 3.2. Practical discrete case

In practice, we propose to choose the simple radial Gaussian kernel of radius  $\sigma_V > 0$  for  $K_V$ . Moreover, the ambient space  $\mathbb{R}^d$  is discretized into a grid  $g_V$ . Under those hypothesis, equations (9) and (10) write, in matrix forms:

$$\underline{v} = \underline{K}_V \cdot \underline{m}$$
 and  $\langle \underline{v} | \underline{v}' \rangle_V = \underline{m}^\top \cdot \underline{K}_V \cdot \underline{m}'$  (11)

where the notations  $\underline{v}$  and  $\underline{m}$  refer to the  $g_V$ -discretized vector fields v and m respectively. The notation  $\underline{K}_V$  denotes the kernel tensor defined by, for any triplet of indices  $(i_0, j_0, k_0)$  of the grid  $g_V$ :

$$\left[\underline{K}_{V}\right]_{(i_{0},j_{0},k_{0})} = \sum_{i,j,k} \exp \frac{-\left\|g_{i,j,k} - g_{i_{0},j_{0},k_{0}}\right\|_{\ell^{2}}^{2}}{\sigma_{\Omega}^{2}} \quad (12)$$



Figure 2. Exponentiation mechanics. The input *d*-dimensional array  $\underline{m}$  is first filtered by a Gaussian convolution layer. Interpreted as a discretized velocity field, the resulting  $\underline{v}$  is interpolated on the successive positions of the moving mesh  $y_t$ , which is finally updated accordingly.

in the case d = 3, easily adaptable to lower dimensions. The time segment [0, 1] is uniformly discretized into T subsegments of length dt = 1/T. The differential equation (7) becomes, for any time index  $0 \le t \le T-1$  and point  $x_0 \in \mathbb{R}^d$ :

$$x_{t+1} = x_t + dt \cdot v(x_t) \approx x_t + dt \cdot \mathcal{I}(\underline{v}, x_t)$$
(13)

where  $\mathcal{I}(\underline{v}, x_t)$  simply denotes the bi- or tri-linear interpolation of the discretized velocity field  $\underline{v}$  at location  $x_t$ .

Architecture. The architecture of the exponentiation layer is depicted by Figure 2. It takes as input a *d*-channel image, interpreted as a *d*-dimensional momentum vector field *m* discretized over a spatial grid  $g_V$ . This upstream stimulus  $\underline{m}$  is filtered into the discrete velocity field  $\underline{v}$  by a Gaussian convolution layer with kernel width  $\sigma_V$ , according to equations (11, 12). A recurrent residual network of length *T* then implements equation (13) for the template mesh  $(y_t)_t$ : the interpolated velocity field  $\mathcal{I}(\underline{v}, y_t)$  is computed, scaled by dt, and added to the current mesh positions. The final mesh  $y_T$  forms the output of the exponentiation layer.

**Hyper-parameters** ( $\sigma_V$ ,  $g_V$ , T). The notation  $y_0$  encompasses both: (i) the positions of the points forming the mesh, which are parameters of the exponentiation layer (i.e. estimated), (ii) the mesh connectivity, which is fixed a priori. All synthesized meshes will therefore have this same topology. The characteristic length hyper-parameter  $\sigma_V$  should ideally be of the order of the smallest geometrical features to generate. In practice, the grid  $g_V$  hyper-parameter is obtained by uniformly dividing a bounding box containing the initial  $y_0$ . A good heuristic is to choose a spacing between

each node approximately equal to  $\sigma_V/2$ . The number of integration steps T forms a last hyper-parameter. We chose T = 5 in all our experiments.

#### 4. Meshes seen as low-dimensional codes

We take advantage of the current-splatting and exponentiation layers to devise an auto-encoding architecture, which aims at learning a low-dimensional representation of a data set of meshes  $(y_i)_{i=1}^n$ . Given some user-defined latentspace dimension  $q \in \mathbb{N}^*$ , any shape  $y_i$  will be represented in the network by a corresponding code  $z_i \in \mathbb{R}^q$ . Note that meshes represented by a varying number of points, segments or triangles are then homogeneously represented by simple low-dimensional vectors of the Euclidean space  $\mathbb{R}^q$ , where simple operations such as computing averages are naturally defined. We choose to work with a variational auto-encoder: the latent codes  $(z_i)_i$  are seen as probability distributions. This allows to capture the uncertainty associated with such low-dimensional representations, and offers a statistical interpretation of the resulting architecture.

#### **4.1.** Continuous theory

**Statistical model.** We note  $D_{\delta}$  a  $\delta$ -parametric mapping that associates a velocity vector field  $v \in V$  to any code vector  $z \in \mathbb{R}^d$ . We further require  $D_{\delta}$  to be isometric, i.e.  $||z||_{\ell^2} = ||v||_V$ . Given a data set of meshes  $(y_i)_i^n$ , we model the observations as random deformations of a template  $y_0$ :

$$y_i \stackrel{\text{iid}}{\sim} \mathcal{N}_{\Omega} \left( \Phi \left[ D_{\delta}(z_i) \right] \star y_0, \, \epsilon^2 \right) \text{ with } z_i \stackrel{\text{iid}}{\sim} \mathcal{N} \left( 0, \, \lambda^2 \right)$$
 (14)

where  $\epsilon > 0$  and  $\lambda > 0$ . The normal distribution  $\mathcal{N}_{\Omega}$ is defined in the space of the  $\Omega$ -currents, equipped with the distance metric  $d_{\Omega}$  defined by equation (4). Equation (14) defines a mixed-effects model with fixed effects  $\theta = (y_0, \delta, \epsilon, \lambda)$  and random effects  $(z_i)_i$ . We note respectively  $p_{\theta}(y_i|z_i)$  and  $p_{\theta}(z_i)$  the density functions of the two normal distributions involved in equation (14).

**Variational inference.** We estimate the parameters  $\theta$  with a variational Bayes approach [6], which consist in minimizing the loss  $\sum_{i=1}^{n} \mathcal{L}_{\theta,\eta}(y_i)$  given by:

$$\mathcal{L}_{\theta,\eta}(y_i) = -\int \log p_{\theta}(y_i|z_i) \cdot q_{\eta}(z_i|y_i) \cdot dz_i + \mathrm{KL} \big[ q_{\eta}(z_i|y_i) \, \big\| \, p_{\theta}(z_i) \big] \quad (15)$$

where KL(.||.) denotes the Kullback-Leibler divergence operator, and where  $z_i \rightarrow q_\eta(z_i|y_i)$  is a  $\eta$ -parametric recognition model that approximates the true posterior  $z_i \rightarrow p_\theta(z_i|y_i)$ . Minimizing the loss function defined by equation (15) actually consists in maximizing a lower bound of the model likelihood, with equivalence in the perfect approximation case. We choose the recognition distribution



Figure 3. Architecture of the mesh auto-encoder. The current-splatting layer transforms the input mesh into a d-dimensional array, which is encoded by four convolution layers (in green) followed by a fully-connected layer (in yellow). Sampled codes are then decoded by three fully connected layers followed by three deconvolution layers (in red). After filtering by Gaussian convolution, a scaling layer (in red) explicitly enforces the isometry of the decoding mapping. The exponentiation layer finally synthesize the output mesh.

 $q_{\eta}(.|y_i)$  to be an uncorrelated Gaussian  $\mathcal{N}(\mu_i, \varsigma_i)$ , whose parameters are predicted from the current transform  $\mathcal{C}(y_i)$ by the parametric mapping  $E_{\eta} : \mathcal{C}(y_i) \to \mu_i, \varsigma_i$ . The Kullback-Leibler term in equation (15) can be seen as a regularizing loss  $R_{\theta,\eta}(y_i)$ , easily derivable for the chosen recognition model:

$$R_{\theta,\eta}(y_i) = \frac{1}{2} \sum_{k=1}^{q} \left[ \frac{\mu_{i,k}^2 + \varsigma_{i,k}^2}{\lambda^2} - \log \frac{\varsigma_{i,k}^2}{\lambda^2} \right] + \text{cst.} \quad (16)$$

The remaining term, called attachment, is approximated by drawing L samples  $z_{i,l} \stackrel{\text{iid}}{\sim} q_{\eta}(.|y_i)$ :

$$A_{\theta,\eta}(y_i) = \frac{1}{2} \sum_{l=1}^{L} \left[ \frac{\epsilon_{i,l}^2}{\epsilon^2} + |\Omega| \cdot \log \epsilon^2 \right] + \text{cst} \qquad (17)$$

with 
$$\epsilon_{i,l}^2 = d_\Omega \left( \mathcal{C}(y_i), \ \mathcal{C}(\Phi \left[ D_\delta(z_{i,l}) \right] \star y_0) \right)^2$$
 (18)

and where  $|\Omega|$  is the normalization parameter for  $\mathcal{N}_{\Omega}$ . These losses are given modulo an additive constant with respect to  $\theta$  and  $\eta$  which are jointly estimated. The high-dimensional parameters  $y_0$ ,  $\delta$  and  $\eta$  are optimized by mini-batch gradient descent. After each mini-batch, the remaining scalar parameters  $\lambda$ ,  $\epsilon$  are updated according to the closed-form solutions:

$$\lambda^2 \leftarrow \sum_{i=1}^n \sum_{k=1}^q \frac{\mu_{i,k}^2 + \varsigma_{i,k}^2}{n \cdot q}, \quad \epsilon^2 \leftarrow \sum_{i=1}^n \sum_{l=1}^L \frac{\epsilon_{i,l}^2}{n \cdot L \cdot |\Omega|}.$$
(19)

**Remark.** Assuming  $D_{\delta}$  isometric is sufficient to achieve equality between the log-Euclidean metric defined in Section 3 and the natural  $\ell^2$  metric of  $\mathbb{R}^q$ . In other words, the Euclidean distance between the latent-space representations  $(z_i)_i$  can be seen as a convenient proxy to visualize and measure the relative similarity between the corresponding data points  $(y_i)_i$ . In addition, the estimated template  $y_0$  can be seen as a Fréchet average of those data points [1, 13].

#### 4.2. Practical discrete case

As suggested in [7], in practice the encoding  $E_{\eta}$  and decoding  $D_{\delta}$  mappings are neural networks, noted  $\underline{E}_{\eta}$  and  $\underline{D}_{\delta}$ . The "discrete" encoder  $\underline{E}_{\eta}$  takes  $g_{\Omega}$ -splatted currents  $\underline{C}$  as inputs. In those discrete settings, the normalizer  $|\Omega|$ equals the number of nodes of the grid  $g_{\Omega}$  [5]. The decoding counterpart  $\underline{D}_{\delta}$  outputs  $g_V$ -discretized momentum vector fields  $\underline{m}$ . A Gaussian convolution layer then computes the associated discrete velocity field, which is finally explicitly scaled into  $\underline{v}$ , enforcing the isometric assumption.

Architecture. The proposed architecture is illustrated by Figure 3. The current-splatting layer first transforms the input meshes into d-channel square or cube images of length  $2^r$  along each axis. Four convolution layers with kernel size and stride of 2 then reduce the spatial dimension, when the number of channels successively increases from d to 4, 8, 16 and 32. A fully-connected layer of output size 2q completes the encoder architecture. Its output is interpreted as mean and variance parameters of the probabilistic code distribution: during training, a single realization z is sampled with the so-called reparametrization trick to ensure the backpropagation of gradients [7]. The decoder involves four deconvolution layers symmetric to their encoding counterparts, preceded by three fully connected layers. All decoding layers are chosen without bias. The filtering and scaling layers finally generate the velocity field v, which is then exponentiated. All convolution and linear layers are equipped with tanh activation functions, at the exception of the last layer of the encoder.

**Hyper-parameters** ( $\sigma_{\Omega}, \sigma_V, g, T, q$ ). In our auto-encoding context, a good heuristic is to choose  $\sigma_{\Omega}$  of the order of the geometrical noise to eliminate (or slightly larger), and  $\sigma_V$  of the order of the geometrical signal to synthesize (or slightly smaller), while preserving  $\sigma_{\Omega} \leq \sigma_V$ . For the sake of simplicity, we choose the two grids  $g_{\Omega}$  and  $g_V$  equal (which

can always be achieved by union). We note this grid g, which we further assume composed of  $|g| = 2^{rd}$  nodes (with  $r \ge 4$ ). The number of time-steps is fixed to T = 5 in our experiments, when the latent-space dimension q is task-dependent.

# 5. Experiments

# 5.1. Simulated rectangles

We simulate two-dimensional rectangle meshes by independently scaling the two axes of a baseline unit square. A train data set of  $n = 21^2 = 441$  rectangles is created with scaling factors uniformly distributed between 50% and 150%. A test data set composed of  $n = 32^2 = 1024$  elements is also generated with factors ranging from 22.5% to 177.5%. The splatting and deformation kernel widths  $\sigma_{\Omega}, \sigma_V$  are respectively fixed to a fifth and a half of the baseline square width. Finally, the latent-space dimension is q = 2 and we train the networks with ten thousands epochs.

We learn two models: the original mesh auto-encoder presented in this article, and an unconstrained variation where the isometry between the latent codes and the velocity fields is not enforced (see Figure 3). For identifiability reasons, the parameter  $\lambda$  is not estimated in the latter case, and is fixed to 1. Table 1 gives the mean  $\ell^2$  distances between the original and the reconstructed rectangles, both for the train and test rectangles. The fit is almost perfect on training data points, and satisfying for test ones. The isometric architecture outperforms the unconstrained one. Figure 4 plots some examples of such reconstructions for the test data set (outer part of the figure), as well as the all latent codes (inner part). The fit is visually perfect for the test rectangles which belong to the training distribution, i.e. of width and length comprised between 0.5 and 1.5. The

	<b>Train</b> ( <i>n</i> =441)	Test ( <i>n</i> =1024)
Isometric	$0.0022\pm0.00042$	$0.014 \pm 0.018$
Unconstrained	$0.0024 \pm 0.00093$	$0.020 \pm 0.024$

Table 1. Averages and associated standard deviations of the mean point-to-point  $\ell^2$  distance between the original and reconstructed rectangles in several configurations. Values are percentages of the average rectangle width.



Figure 4. *[Inner]*. Latent spaces learned by the proposed mesh auto-encoder (left), and a modified architecture without norm scaling (right). For both, the black grid marks the encoded train rectangles, when the lighter grey grid marks the encoded test rectangles. *[Outer]*. Examples of 16 test rectangles (black lines), superimposed with the reconstructions obtained either with the isometric (red lines) or unconstrained (blue lines) decoders. A color code allows to identify the corresponding latent representations.

fit quality slightly deteriorates otherwise. The latent-space learned with the isometric decoder is more regular than its unconstrained counterpart: the training samples are more evenly spaced along two orthogonal components, which is more in line with the way data has been generated. In other words, the learned representation better preserves the true distances between meshes.

#### 5.2. Emotive faces

The Birmingham University 3D dynamic facial expression database [19] provides short video sequences from 101 subjects (58 females, 43 males) mimicking emotions, such as surprise. Focusing on this case, we uniformly collect 8 frames for each subject (from frame 0 to 35 included, which corresponds to 1.4 seconds of video). Each frame comes with 73 segments delimiting facial features, on which we base the following analysis. For each subject, we consider every other frame as train (respectively test), which defines two data sets composed n = 404 meshes each. In a preprocessing step, meshes are aligned together with the Procrustes method, and projected on a 2-dimensional plane. We choose  $\sigma_{\Omega} = 15\%$  and  $\sigma_V = 30\%$  in percentage of the average distance between the eyes. The auto-encoder is learned with a latent space of dimension q = 5, and ten thousands epochs.

Evaluated with the mean point-to-point  $\ell^2$  distance, the average residuals amount to  $9.2\% \pm 1.0$  and  $9.4\% \pm 1.2$  on the train and test data sets respectively (values in percentage of the average distance between eyes). Figure 5 gives two representations of the learned latent-space, along with the reconstruction of two sequences. Reminding that every other face in each sequence was considered as a train or test data point, we see that meshes are tightly reconstructed in all cases, showing a good generalization of the auto-encoder. Those sequences correspond to smooth and progressive trajectories in the latent space, as it can be seen for 10 randomly selected sequences. A principal component analysis (PCA) was learned on train codes and used to visualize the 5-dimensional latent space. Those trajectories are globally following the first axis, which nicely corresponds to the dynamics of a surprised face: the mouth enlarges and the eyebrows move up. The second axis seems to encode more static information, such as the distance between eyes and eyebrows, or more subtly the total width of the faces. This



Figure 5. [Outer]. Two particular sequences of faces mimicking being surprised (times goes from left to right). In black are plotted the original meshes, and in blue or red color the reconstructions. The first, third, fifth and seventh frames are train data points. [Center left]. Principal component projection of the latent-space codes. Ten randomly-chosen sequences are represented with colored lines. Square markers (respectively circle) indicate train data points (respectively test). All remaining codes are plotted in the background. [Center right]. Principal component projection of the latent-space codes. The pink color (respectively blue) indicates female subjects (respectively male). Are superimposed in bold black the decoded meshes corresponding to the code at the center of the grid cell.

axis visually seems to correlate with the gender, as it can be noticed from the background pink or blue points.

#### 5.3. Hippocampus sub-cortical structures

The Alzheimer's disease neuroimaging initiative gathers longitudinal medical measurements about normal controls (CN), subject with mild cognitive impairments (MCI) or patients diagnosed with Alzheimer's disease (AD). We select a balanced set of n = 225 T1-weighted magnetic resonance images providing from as many subjects. After standard alignment preprocessing, the right hippocampus cortical sub-structures are segmented into triangular meshes of varied topology. We choose  $\sigma_{\Omega} = 5 mm$  and  $\sigma_V = 10 mm$ . For reference, the right hippocampus of an healthy subject typically measures around 50 mm in length. We learn the autoencoder for ten thousands epochs in three different configurations  $q \in \{5, 10, 20\}$ .

In the absence of point-to-point correspondence, we measure the fitting residuals with the current distance (see equations 4 and 6): they amount to  $47.0 \text{ mm}^2(\pm 9.6)$ , 53.5 mm<sup>2</sup>( $\pm$ 10.3) and 43.4 mm<sup>2</sup>( $\pm$ 10.0) for configurations q = 5, 10 and 20 respectively, which is satisfyingly small. For reference, the rightmost image of Figure 6 plots a reconstruction with a current residual of 60.1 mm<sup>2</sup>. This figure provides as well an illustration of the exponentiation mechanism, which deforms the estimated template  $y_0$  in a natural way as close as possible to the target. Note the satisfying refinement of the initial prototype template into a realistic real hippocampus mesh. We finally learn linear discriminant classifiers in each latent space. Table 2 gives the results obtained with a stratified 10-fold approach, for two tasks of increasing difficulty: (i) discriminate CN from AD, (ii) jointly discriminate CN, MCI and AD. Good performance is obtained for the first task, especially since only the geometry of a single hippocampus is considered (and not a full T1 image). The second task proves harder, but classification scores remain well above the chance threshold of 33.3%. Figure 7 gives some intuition about those scores: in the proposed PCA projection of the learned latent space (q = 10), the three classes are nicely organized from left to right into CN, MCI and AD subjects. We show that this axis also correlates with the volume of the hippocampus, which is in line with current medical knowledge about Alzheimer's disease.

	<i>q</i> = 5	q = 10	q = 20
2-class	$86.0 \pm 8.4 ~\%$	$92.0 \pm 7.6~\%$	$92.8 \pm 6.5~\%$
3-class	$64.9 \pm 4.8 \ \%$	$62.2 \pm 9.4~\%$	$68.1 \pm 8.5~\%$

Table 2. Average linear discriminant analysis classification scores, obtained with a stratified 10-fold method. The 2-class task consist in discriminating CN subjects from AD ones (chance level 50%), when the 3-class task adds the MCI subjects (chance level 33%).



Figure 6. The leftmost image plots the initial template mesh  $y_0$ . The remaining of the figure displays the exponentiation mechanism that warps the estimated template into some target, displayed in red. The current residual distance amounts to  $60.1 mm^2$ .



Figure 7. PCA projection of the learned latent space in the configuration q = 10. The codes are represented with blue points if they correspond to CN subjects, green points to MCI and red to AD. Two particular latent points are decoded and displayed. The third central code correspond to the template  $y_0$  (see Figure 6).

## 6. Conclusion

We introduced the current-splatting layer which allows neural networks to process meshes without any assumption on their topology. Conversely, we adapted to meshes the exponentiation layer in order to synthesize shapes with a diffeomorphic control on their topology. Taking advantage of those input and output layers, we proposed an auto-encoding architecture that learns a generative statistical model from a distribution of meshes. A norm-control layer explicitly enforces the correspondence between the Euclidean latent-space metric and the shape-space log-Euclidean one. An experiment with a simulated data set showed that this layer fosters the learning of latent spaces more representative of the data distribution. Experiments with real data sets demonstrated the ability of our autoencoder to handle varied types of geometrical data, and to learn relevant low-dimensional representations. The proposed method requires the manual choice of two important characteristic length hyper-parameters. As a perspective however, both the current-splatting and the exponentiation layers could easily be generalized to handle multiple characteristic scales, at the cost of linearly increasing number of channels and therefore of computational pressure.

Acknowledgments. This work has been partly funded by grants ERC 678304, H2020 EU project 666992, ANR-10-IAIHU-06.

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