Recommendations for the Use of Serious Games in Neurodegenerative Disorders: 2016 Delphi Panel

To cite this version:
Valeria Manera, Grégory Ben-Sadoun, Teun Aalbers, Hovannes Agopyan, Florence Askenazy, et al.. Recommendations for the Use of Serious Games in Neurodegenerative Disorders: 2016 Delphi Panel. Frontiers in Psychology, 2017. hal-01850326

HAL Id: hal-01850326
https://inria.hal.science/hal-01850326
Submitted on 27 Jul 2018

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L’archive ouverte pluridisciplinaire HAL, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d’enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.
Author's Proof

Carefully read the entire proof and mark all corrections in the appropriate place, using the Adobe Reader commenting tools (Adobe Help). Do not forget to reply to the queries.

We do not accept corrections in the form of edited manuscripts.

In order to ensure the timely publication of your article, please submit the corrections within 48 hours.

If you have any questions, please contact science.production.office@frontiersin.org.

Author Queries Form

<table>
<thead>
<tr>
<th>Query No.</th>
<th>Details required</th>
<th>Author's Response</th>
</tr>
</thead>
<tbody>
<tr>
<td>Q1</td>
<td>The citation and surnames of all of the authors have been highlighted. Please check all of the names carefully and indicate if any are incorrect. Please note that this may affect the indexing of your article in repositories such as PubMed.</td>
<td></td>
</tr>
<tr>
<td>Q2</td>
<td>Confirm that the email address in your correspondence section is accurate.</td>
<td></td>
</tr>
<tr>
<td>Q3</td>
<td>Please ask the following authors to register with Frontiers (at <a href="https://www.frontiersin.org/Registration/Register.aspx">https://www.frontiersin.org/Registration/Register.aspx</a>) if they would like their names on the article abstract page and PDF to be linked to a Frontiers profile. Please ensure to provide us with the profile link(s) when submitting the proof corrections. Non-registered authors will have the default profile image displayed. Teun Aalbers Hovannes Agopyan Florence Askenazy Jonathan Bredin Francois Bremond Jennifer Fairchild Pierre Foulon Stéphanie Hun Frank Knoefel Marcel Olde Rikkert Minh K. Phan Tran Antonio Politis Anne S. Rigaud Marie L. Welter</td>
<td></td>
</tr>
<tr>
<td>Q4</td>
<td>If you decide to use previously published, copyrighted figures in your article, please keep in mind that it is your responsibility, as the author, to obtain the appropriate permissions and licenses and to follow any citation instructions requested by third-party rights holders. If obtaining the reproduction rights involves the payment of a fee, these charges are to be paid by the authors.</td>
<td></td>
</tr>
<tr>
<td>Q5</td>
<td>Ensure that all the figures, tables and captions are correct.</td>
<td></td>
</tr>
<tr>
<td>Q6</td>
<td>Verify that all the equations and special characters are displayed correctly.</td>
<td></td>
</tr>
<tr>
<td>Query No.</td>
<td>Details required</td>
<td>Author's Response</td>
</tr>
<tr>
<td>----------</td>
<td>------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td>-------------------</td>
</tr>
<tr>
<td>Q7</td>
<td>Ensure, if it applies to your study, the ethics statement is included in the article.</td>
<td></td>
</tr>
<tr>
<td>Q8</td>
<td>Ensure to add all grant numbers and funding information, as after publication this is no longer possible.</td>
<td></td>
</tr>
<tr>
<td>Q9</td>
<td>Kindly confirm whether the edit made in Affiliations are correct.</td>
<td></td>
</tr>
<tr>
<td>Q10</td>
<td>Please provide the Institution name and city/country name for “Affiliation 5.”</td>
<td></td>
</tr>
<tr>
<td>Q11</td>
<td>Please provide the department details for “Affiliations 9, 18.”</td>
<td></td>
</tr>
<tr>
<td>Q12</td>
<td>Please include the following references in the reference list. Whyte et al., 2015; Ben-Sadoun, 2016.</td>
<td></td>
</tr>
<tr>
<td>Q13</td>
<td>We have changed the following references inside the text as per the reference list. Kindly confirm if this is fine. “Mader et al., 2015” as “Mader, 2015” “Tarnanas et al., 2015” as “Tarnanas et al., 2013.”</td>
<td></td>
</tr>
<tr>
<td>Q14</td>
<td>Kindly confirm whether the heading levels have been correctly identified.</td>
<td></td>
</tr>
<tr>
<td>Q15</td>
<td>Please provide publication year for the following references inside the text and also include the same references in the reference list. Ben-Sadoun et al., in preparation; Phan Tran et al., in preparation.</td>
<td></td>
</tr>
<tr>
<td>Q16</td>
<td>Please cite the following references inside the text. Grynszpan et al., 2014; Orsmond and Kuo, 2011; Riva et al., 2015.</td>
<td></td>
</tr>
</tbody>
</table>
Recommendations for the Use of Serious Games in Neurodegenerative Disorders: 2016 Delphi Panel

Valeria Manera1, Grégory Ben-Sadoun1, Teun Aalbers2, Hovannes Agopyan3, Florence Askenazy1,4,5, Michel Benoît1,4,5, David Bensamoun1,6, Jérémy Bourgeois1,4, Jonathan Bredin1, François Bremond1, Carlos Crispin-Junior1, Renaud David1,5,8, Bob De Schutter6, Eric Ettore6, Jennifer Fairchild10,11, Pierre Foulon12, Adam Gazzaley13, Auriane Gros1,8, Stéphanie Hun1, Frank Knoefel14, Marcel Olde Rikkert15, Minh K. Phan Tran1, Antonio Politis16, Anne S. Rigaudo17,18, Guillaume Sacco1,8, Sylvie Serret1,4, Susanne Thümmler1,2,4, Marie L. Welter19,20,21,22 and Philippe Robert1,5,8*

1 Cognition – Behavior – Technology Laboratory, Université Côte d’Azur, Nice, France, 2 Radboudumc Alzheimer Center, Donders Institute for Medical NeuroSciences, Radboudumc, Nijmegen, Netherlands, 3 IEM Rossetti des PEP 06, Nice, France, 4 Centre Ressource Autisme, Service Universitaire de Psychiatrie de l’Enfant et de l’Adolescent, Children’s Hospitals of Nice CHU-Lerval, Nice, France, 5 IA Association, 6 Department of Psychiatry, Hôpital Pasteur, Centre Hospitalier Universitaire de Nice, Nice, France, 7 Spatio-Temporal Activity Recognition Systems, INRIA, Sophia Antipolis, France, 8 Centre Mémoire de Ressources et de Recherche, Centre Hospitalier Universitaire de Nice, Nice, France, 9 Miami University, Oxford, OH, United States, 10 Department of Veterans Affairs, VA Palo Alto Health Care System, Livermore, CA, United States, 11 Department of Psychiatry and Behavioral Sciences, Stanford University School of Medicine, Stanford, CA, United States, 12 Genious Group, Montpellier, France, 13 Departments of Neurology and Psychiatry and Department of Physiology, University of California, San Francisco, San Francisco, CA, United States, 14 Brouyère Memory Program, Brouyère Research Institute, Carleton University, University of Ottawa, Ottawa, ON, Canada, 15 Department of Geriatrics and Radboudumc Alzheimer Center, Radboud University Medical Center, Nijmegen, Netherlands, 16 1st Dept of Psychiatry, Eginition Hospital, National and Kapodistrian University of Athens, Athens, Greece, 17 Hopital Broca, Assistance Publique-Hôpitaux de Paris, Paris, France, 18 Université Paris Descartes, Paris, France, 19 UMR-S975, Institut du Cerveau et de la Moelle épineure, Université Pierre et Marie Curie, Paris, France, 20 U975, Inserm, Paris, France, 21 UMR 7225, CNRS, Paris, France, 22 Département de Neurologie, Hôpitaux Universitaires Pitié-Salpêtrière/Charles Foix, Assistance Publique-Hôpitaux de Paris, Paris, France

The use of Serious Games (SG) in the health domain is expanding. In the field of neurodegenerative disorders (ND) such as Alzheimer’s disease, SG are currently employed both to support and improve the assessment of different functional and cognitive abilities, and to provide alternative solutions for patients’ treatment, stimulation, and rehabilitation. As the field is quite young, recommendations on the use of SG in people with ND are still rare. In 2014 we proposed some initial recommendations (Robert et al., 2014). The aim of the present work was to update them, thanks to opinions gathered by experts in the field during an expert Delphi panel. Results confirmed that SG are adapted to elderly people with mild cognitive impairment (MCI) and dementia, and can be employed for several purposes, including assessment, stimulation, and improving wellbeing, with some differences depending on the population (e.g., physical stimulation may be better suited for people with MCI). SG are more adapted for use with trained caregivers (both at home and in clinical settings), with a frequency ranging from 2 to 4 times a week. Importantly, the target of SG, their frequency of use and the context in which they are played depend on the SG typology (e.g., Exergame, cognitive game), and should be personalized with the help of a clinician.

Keywords: serious games, neurodegenerative disorders, recommendations, ICT, Delphi Technique
INTRODUCTION

The use of Information and Communication Technologies (ICT) in the health domain is progressively expanding. Recently, increasing attention is devoted to the field of neurodegenerative disorders (ND), such as Alzheimer’s disease (AD), where ICT is employed both to support and improve the assessment of different functional and cognitive abilities (Aalbers et al., 2013; Robert et al., 2013; König et al., 2015), and to provide alternative solutions for patients’ treatments, stimulation, and rehabilitation. A field which is rapidly growing is that of Serious Games (SG), which are mental and/or physical contests played with a computer in accordance with specific rules, which use entertainment to promote training, education, health, public policy, and strategic communication objectives (Zyda, 2005). Contrary to other ICT-based tools, such as computerized testing or cognitive training, SG embeds the playful and entertaining aspects typical of video-games into the ‘serious’ activity, by applying a pedagogic scenario to the game scenario (Alvarez, 2007). The features typical of SG, such as the presence of a game challenge and of long-term goals, have been proposed to make SG more adapted than classical computer-based training to sustain generalization of learnt activities to real life situations (Whyte et al., 2015). For this reason, recommendations for the design of SG targeting ND are starting to emerge (e.g., Bouchard et al., 2012; Fua et al., 2013; Mader, 2015; Ben-Sadoun, 2016). However, recommendations on the use of SG in these populations are still rare. In 2014, we proposed some initial recommendations for the use of SG in people with ND, gathered by experts in the field during a consensus group (Robert et al., 2014). Specifically, we systematically analyzed the Strengths, Weaknesses, Opportunities and Threats (SWOT) of employing SG with these patients, and reported practical guidelines on when, where, and with whom SG should be employed, and to specify which categories of patients and which abilities should be targeted. Since then, a few empirical studies were published on the use of SG in these populations, describing the feasibility of employing SG targeting improvements in social/emotional wellbeing (Beneviste et al., 2012), SG training cognitive abilities such as executive functions (Manera et al., 2015), and Serious Exergames including a combination of cognitive training and physical training (Ben-Sadoun et al., 2016). A few more SG were designed for these patients to train cognitive abilities such as memory (Kim et al., 2015) and several aspects of visual attention (Mader et al., 2012), but they have not been tested so far on patients with neurodegenerative diseases. Based on these new works and on the experience gained by different research centers involved in the use of SG in people with neurodegenerative diseases in the last years (e.g., the CoBTeK research laboratory of the University of Nice Sophia Antipolis, France; the Hopital Broca in Paris, France; the Radboud Alzheimer Center, Nijmegen, the Netherlands; the Gazzaley’s lab in San Francisco, CA, United States) the aim of the present work is to update the recommendations published in 2014, thanks to a Delphi expert panel.

Neurodegenerative Disorders

Neurodegenerative disorders progress through several stages in several years, and ultimately lead to dementia, a decline in mental ability severe enough to interfere with activities of daily living. Dementia can result from different causes, the most common being AD. It is often preceded by a pre-dementia stage, known as mild cognitive impairment (MCI), characterized by a cognitive decline greater than expected for an individual’s age, which, however, does not interfere notably with activities of daily living (Petersen et al., 1997). Depending on the etiology and the disease’s stage, dementia can be characterized by cognitive, behavioral, motor, and/or functional symptoms. The biological processes involved in ND are very heterogeneous, and include neuroinflammation, gliosis, synaptic loss, neurodegeneration, cerebral atrophy, and alterations of the blood–brain barrier permeability (Raz et al., 2016). These molecular alterations are due, among others, to alterations in the bioenergy metabolism, to hypoperfusion/hypoxia, and to dysfunctions of the cerebrovascular hemodynamic. From a therapeutic point of view, much research aims to modify the course of the disease or to reduce the impact of the clinical symptoms. Social interaction, physical and cognitive activities, and motivation can have a major impact on the disease progression. Hence, non-pharmacological approaches targeting people’s lifestyle are of particular interest.

Serious Games for People with Neurodegenerative Disorders

Boosted by the publication of a Nature letter showing that video game training can enhance cognitive control in older adults (Anguera et al., 2013), there is now a growing interest in developing SG specifically adapted to people with ND. Evidence is accumulating showing that video-games and VR-applications can successfully be employed for early detection and monitoring of physical and cognitive impairment (e.g., Tarnanas et al., 2013; Aalbers et al., 2016; Negut et al., 2016; Zygoouris et al., 2017), but also to train physical and cognitive abilities in people with AD, MCI, and related disorders. In the field of training, most of the research work so far has been conducted employing commercial video-games and cognitive games (such as Wii Fit and Wii Sport, Lumosity) designed for an entertaining purpose, and with a ‘typical’ healthy user in mind. In their review on the use of video-games in people with dementia-related disorders, McCallum and Boletsis (2013) showed that: (a) Exergames, i.e., games that promote physical condition and/or aerobic fitness can positively affect several areas of mobility in participants with mild AD and MCI, such as balance and gait (Padala et al., 2012), and voluntary motor control (Legouverneur et al., 2011); (b) cognitive games can improve cognitive functions, such as attention and memory (Stavros et al., 2010; Weybright et al., 2010) and visuospatial abilities (Yamaguchi et al., 2011); (c) physical and cognitive games can have a positive impact on social and emotional functions, for instance they can improve the mood and increase positive affect and sociability (Weybright et al., 2010; Boulay et al., 2011) and reduce depression (Fernandez-Calvo et al., 2011). As
the field is young, less evidence is available on the efficacy of SG specifically designed for the training of people with ND. However, evidence collected in three studies suggests that SG and Serious Exergames are acceptable and motivating even for people with dementia. Beneviste et al. (2012) designed a SG based on musico-therapy targeting patients with AD and mild to moderate dementia aiming at improving patients’ self-image to reduce their behavioral symptoms. Players use Wiimotes with WiiPistol to improvise or play predefined songs on a virtual keyboard. Results of a 2-month usability study conducted on seven AD patients confirmed that the SG was usable by AD patients despite their motor and cognitive impairments, and that patients were overall very satisfied with the game and expressed a desire to repeat the experience. Manera et al. (2015) found similar results with ‘Kitchen and cooking,’ a SG designed to train executive functions and praxis in people with MCI and early AD. The results of a 4-week feasibility study conducted on 21 participants (with MCI or early to moderate AD) confirmed that the game was acceptable and usable both at home and in a nursing home setting, and that patients were able to improve their game performance over the training, as confirmed by the fact that they became faster in the game activities. Finally, Ben-Sadoun et al. (2016) showed that X-Torp, a Serious Exergame designed to train physical, cognitive and social functions, was well accepted by people with dementia and MCI (N = 10) and healthy elderly controls (N = 8), and that during a 1-month trial participants experienced mainly positive emotions, improved their cardio-respiratory fitness, and were able to progress in the cognitive games scenarios. A summary of the training features of these three feasibility/pilot studies is reported in Table 1.

Data on the frequency of use employed in the three feasibility studies is converging with the recommendations reported by Robert et al. (2014). A consensus group including expert of ND (health domain) and of VG/SG design (ICT domain) and commercialization (business domain) met in a standalone meeting, and were asked to respond to questions concerning the ideal clinical population and target of SG, their frequency of use, and their context of use (with whom and where). Results (reported in Figure 1) suggested that: (a) SG were considered between ‘adapted’ and ‘very adapted’ to people with MCI, and between ‘not very adapted’ and ‘adapted’ to people with AD and related disorders; (b) SG was rated between ‘adapted’ and ‘very adapted’ for assessment, stimulation and rehabilitation of people with AD and related disorders, to train family caregivers and healthcare professionals, with the best rated target being stimulation; clinical targets rated between ‘adapted’ and ‘very adapted’ included physical, cognitive and social stimulation, as well as apathy (while agitation and improvements in activities of daily living were considered between ‘not very adapted’ and ‘adapted’); (c) SG should be employed regularly (‘everyday’ and ‘once a week’ were both rated between ‘adapted’ and ‘very adapted,’ while ‘on request’ was rated between ‘not very adapted’ and ‘adapted’); (d) SG were rated between ‘adapted’ and ‘very adapted’ to be employed at home, in day centers and nursing homes, and between ‘not very adapted’ and ‘adapted’ to be employed in the hospital; (e) SG were rated between ‘adapted’ and ‘very adapted’ to be employed with someone (being either

| TABLE 1 | Summary of the existing studies on SG tested on participants with MCI and/or dementia. |
|-----------------|-----------------|-----------------|
| Feasibility study | MinWii | Kitchen and cooking | X-Torp |
| SG for whom? | Older adults with AD and mild to severe dementia | Older adults with MCI and mild to moderate dementia | Older adults with MCI and mild to moderate dementia |
| What is the clinical target? | Increase self-esteem; reduce behavioral symptoms | Train executive functions (e.g., planning) and praxis | Train physical and cognitive activity in a positive emotional context |
| Where was it used? | Clinical setting | Clinical setting, home, nursing home | Clinical setting |
| With whom was it used? | Clinician and by groups of 3–4 participants | Clinician and alone | Clinician |
| When (how frequently) was it used? | Once a week | Once a week in a clinical setting, as much as they wanted at home/nursing home | 3 times/week |
| Training duration | 4–8 weeks | 4 weeks | 5 weeks |
| Session duration | Mean of 10-20 min | As much as wanted | Mean 35–40 min |
| Number of participants | 7 | 21 (MCI and ND) | 18 (10 ND, 8 controls) |
| Participants’ clinical baseline data | MMSE between 10 and 25 | For AD, MMSE between 15 and 24; for MCI, MMSE between 24 and 30 | For ND, MMSE between 16 and 27, CDR > 0 |
a therapist, a family caregiver or a professional caregivers), and between ‘not very adapted’ and ‘adapted’ to be employed alone (or with a robot).

In the present paper we aimed to update and refine these initial recommendations thanks to a Delphi expert panel. A number of methodological changes were performed compared to the 2014 consensus panel. First of all, the 2014 recommendations were collected in a single round, without following the classical Delphi methodology. Indeed in the standard Delphi method (Linstone and Turoff, 1975) experts are asked to answer questions in two (or more) rounds. After each round, a facilitator provides a summary of the experts’ responses, and encourages the experts to analyze, comment and (eventually) revise their earlier answers in light of the commentaries of other members of the panel. The recommendations reported in the present paper followed the classical Delphi method (see below). Second, in 2014 we asked participants to rate each question on a 4-point scale (‘not adapted at all’, ‘not very adapted’, ‘adapted’ and ‘very adapted’). Here, we selected instead a 5-point scale (‘not adapted at all’, ‘not very adapted’, ‘adapted’, ‘very adapted’ and ‘completely adapted’) to improve the symmetry of this Likert-type scale. Third, in the 2014 study several questions collapsed persons with MCI and more advanced stages of AD (‘people with AD and related disorders’). As recommendations for these two groups may be quite different, in the present study we kept them as separated categories for all the questions. Forth, we collapsed in a single question the ‘Where’ and ‘With whom’ questions (see below), in order to better explore the exact contributions of (and interactions between) these two factors. Finally, we added a number of response alternatives to several questions, in order to obtain more precise information (e.g., in the ‘When’ question, we employed 6 response alternatives instead of 3).

### METHODS

The recommendations reported in the present paper were collected and discussed during the workshop “Innovation Alzheimer 2016,” organized by the CoBTeK (Cognition – Behaviour – Technology) Research Unit of the Université Côte d’Azur, in Nice (France) on September 28th, 2016, in occasion of the 10th World Conference of Gerontechnology (ISG2016).

### Participants

The expert panel (N = 23) included researchers and health care professionals working on autism and other neurological and developmental disorders (n = 6), neurodegenerative disorders (n = 10), or both neurodevelopmental and neurodegenerative disorders (n = 2); ICT engineers (n = 2); and game developers (n = 3).

### Procedure

Following the DELPHI method, a list of questions was sent to all participants a week before the meeting via web-survey. Who, Where, When, and What questions were used as guidelines to structure the survey. Specifically, participants were asked to respond to the following questions:

(a) SG for whom? Are SG adapted (i.e., appropriate) to the following populations?

- Mild Cognitive Impairment (MCI);
Early to moderate dementia due neurodegenerative disorders such as AD (dementia)

(b) What is the clinical target (in each condition)?
- Assess (Physical, cognitive functions, IADL, ...)
- Train physical activity (Muscles, cardio-resp. fitness)
- Train cognitive functions (Attention, memory, executive functions, ...)
- Improve autonomy (IADL)
- Improve wellbeing (Increase positive emotions, self-esteem; reduce negative emotions, stress)
- Favor social exchanges (Improve sociability and favor relations)
- Teach contents

(c) Where should SG be used, and with whom (in each condition)?
- At home, alone
- In a clinical facility (e.g., hospital, long-term residence, at the doctor's office), alone
- At home, with a trained (professional or family) caregiver
- In a clinical facility, with a trained (professional or family) caregiver

(d) When (how frequently) should SG be used (in each condition)?
- Once a week
- Twice a week
- 3 times a week
- 4 times a week
- 5 times a week
- Everyday

Participants were asked to rate each item on a 1–5 scale (1 = not adapted at all; 2 = not very adapted; 3 = adapted; 4 = very adapted; 5 = completely adapted).

Data Analysis
Results were collected, and analyzed. During the workshop, a discussion session was organized with the objective to comment on the survey results, and to generate practical recommendations for the use of SG in MCI and dementia. Ratings from one participant were not considered for data analysis because more than 50% of responses were missing. Thus, reported data refer to 22 participants. For descriptive analysis purposes, we reported mean ratings. In order to compare ratings obtained for people with MCI and people with dementia in the first question, we performed separate repeated-measures ANOVAs on each response item with Group (MCI vs. dementia) as within-subject factor. For the second question, in order to account for multiple comparisons (N = 7), Bonferroni corrections were applied (α = 0.057 / 7 = 0.007). The third question (“Where should SG be used, and with whom?”) was analyzed by means of a repeated-measures ANOVA with Group (MCI vs. dementia), Where (home vs. clinical facility) and with Whom (alone vs. with a trained caregiver) as within-subject factors, in order to analyze the effect of the three factors and their interactions. Finally, the fourth question (“When should SG be used?”) was analyzed by means of a repeated-measures ANOVA with Group (MCI vs. dementia) and Frequency (1, 2, 3, 4, 5 and 7 days per week) as within-subject factors. As the methodology employed in the present study is not completely comparable to that employed in the 2014 recommendations paper, we compared the results of the two studies only at a descriptive level.

RESULTS

SG for Whom?
Results are reported in Figure 2A. SG were rated between ‘very adapted’ and ‘completely adapted’ for people with MCI, and between ‘adapted’ and ‘very adapted’ to people with dementia. Repeated-measures ANOVA confirmed that SG were rated as more adapted to people with MCI compared to people with dementia $[F(1,21) = 16.87, p = 0.001]$, suggesting that SG are considered as more adapted to people with initial cognitive decline than to people which are already loosing autonomy in activities of daily living. However, SG are considered to be adaptable also to people with dementia.

What Is the Clinical Target?
Results are reported in Figure 2B. Participants reported that SG are between ‘very adapted’ and ‘completely adapted’ for assessment, to train physical and cognitive functions, improve wellbeing, and teach contents. Improving autonomy and favoring social exchanges were considered from ‘adapted’ to ‘very adapted.’ For people with dementia, SG were rated between ‘adapted’ and ‘very adapted’ for all the specified targets. This is in line with responses to the question ‘SG for whom,’ and it suggests that all these domains do represent useful targets for SG in this population. Repeated-measures ANOVAs (Bonferroni corrected) conducted to compare people with MCI and dementia for each category suggested that SG were considered as more adapted to people with MCI compared to people with dementia to train cognitive functions $[F(1,20) = 17.44, p < 0.001]$, to improve autonomy $[F(1,20) = 10.80, p = 0.004]$ and wellbeing $[F(1,21) = 9.32, p = 0.006]$ and to teach contents $[F(1,20) = 15.42, p = 0.001]$. All the other contrasts did not reach statistical significance ($p > 0.007$).

Where Should SG Be Used, and with Whom?
Results for all these patient populations (Figure 2C) suggest that SG are mostly adapted (between ‘very adapted’ and ‘completely adapted’) to be employed with a trained caregiver, both in a home and in a clinical setting. The use of SG by patients alone was rated between ‘adapted’ and ‘very adapted’ for people with MCI, and between ‘not very adapted’ and ‘adapted’ for people with dementia. Repeated-measures ANOVA with Group (MCI vs. dementia), Where (home vs. clinical facility) and With whom (alone vs. with a trained caregiver) as within-subject factors confirmed a significant effect of With whom factor.
found interaction between Group and With whom factor was also (MCI vs. dementia) and Frequency (1, 2, 3, 4, 5 and 7 days distributions. Repeated-measures ANOVA with Group frequencies can be visually described as skewed Gaussian all conditions, and the mean ratings of the different game were rated between 'adapted' and 'completely adapted' for Figure 2D Results (A) SG for whom? Are SG adapted to: older people with MCI; and early to moderate dementia due neurodegenerative disorders (dementia); (B) What is the clinical target? Assess; train physical activity; train cognitive functions; improve autonomy; Improve wellbeing; favor social exchanges; teach contents. (C) Where should SG be used, and with whom? At home, alone; in a clinical facility, alone; at home, with a trained caregiver; in a clinical facility, with a trained caregiver. (D) When (how frequently) should SG be used? 1, 2, 3, 4, 5, and 7 days per week. [F(1, 19) = 54.82, p < 0.001], with SG use with a caregiver as more adapted compared to SG use alone. The Where factor was not statistically significant [F(1,19) = 1.30, p = 0.269], thus suggesting that SG are considered as equally adapted to be employed at home and in a clinical facility. A significant effect of Group was also found, with SG rated as more adapted to be employed with people with MCI compared to people with dementia in all the settings [F(1,19) = 22.03, p < 0.001]. Interestingly, a significant interaction between Group and With whom factor was also found [F(1,19) = 12.67, p = 0.002], suggesting that employing SG with a trained caregiver is especially important for people with dementia. No other 2-way or 3-way interaction reached statistical significance (all ps > 0.360).

**When (How Frequently) Should SG Be Used?**

Results (Figure 2D) suggest that all the game frequencies were rated between 'adapted' and 'completely adapted' for all conditions, and the mean ratings of the different game frequencies can be visually described as skewed Gaussian distributions. Repeated-measures ANOVA with Group (MCI vs. dementia) and Frequency (1, 2, 3, 4, 5 and 7 days per week) as within-subject factors revealed no significant main effect of Group [F(1,18) = 3.45, p = 0.008], and Frequency [F(5,90) = 1.72, p = 0.139], and no significant interaction between Group and Frequency [F(5,90) = 0.60, p = 0.704]. Converging with descriptive data, the ANOVA's contrast tests revealed an almost-significant quadratic contrast [F(1,18) = 4.27, p = 0.053], suggesting that categories in the middle of the curve were rated as more adapted compared to extreme values (1 and 7 days per week). For participants with MCI and dementia, the highest scores were obtained for game frequencies from 2 to 4 days a week.

**DISCUSSION**

Since the publication of our previous recommendations on the use of SG (Robert et al., 2014), a number of SG were developed and tested with older people with MCI and dementia to train physical and cognitive abilities and to improve emotional wellbeing (Beneviste et al., 2012; Manera et al., 2015; Ben-Sadoun et al., 2016). These studies showed promising results, but also a number of usability challenges. Reported difficulties included, for instance, a higher fatigability of people with MCI and dementia...
in physically stimulating SG compared to healthy older adults (Ben-Sadoun et al., 2016), and, for several participants with cognitive impairment, low motivation to play SG when not accompanied by a family or professional caregiver (Manera et al., 2015). These difficulties were reported despite these SG were specifically designed for people in these populations. This suggests that the feasibility of employing SG with people with ND does not depend only on the game design features: an important component is the training format and structure. This confirms the importance of providing recommendations not only for the design, but also on the use of SG, that should be tested in clinical trials. Starting from the recommendations published in 2014 (Robert et al., 2014), the aim of the present paper was to draft guidelines for the use of SG in people with ND, thanks to a review of recently published studies employing SG in these populations, and gathering the opinion of experts in the field in a Delphi expert panel. A summary of the main recommendations is reported in Table 2.

### SG for Whom?

Serious Games were rated as more adapted to people with MCI compared to people with dementia. The results are converging with those reported in our previous recommendations (SG were considered between ‘adapted’ and ‘very adapted’ to people with MCI, and between ‘not very adapted’ and ‘adapted’ to people with AD and related disorders; Robert et al., 2014), and suggest that SG may be more useful for people with initial cognitive decline than to people which are already loosing autonomy in activities of daily living. This can be explained, on one hand, by the recognition that the cognitive decline associated to dementia (working memory, attention, etc.) makes it more challenging to design and employ SG in this population; and on the other hand, by the recognition that early interventions targeting initial cognitive decline are supposed to be more effective compared to late interventions (Barnett et al., 2014). Anyway, SG are considered as adaptable also to people with dementia. This is confirmed by recent studies showing that SG are usable in people with dementia both when played alone (e.g., Manera et al., 2015) and with a clinician (Ben-Sadoun et al., 2016). Ongoing studies are also exploring the efficacy of ICT-based devices (e.g., avatars, contextual helps) in supporting older adults with SG use, showing initial promising results.

### What Should Be the Target?

Assessment, training and promoting wellbeing were all rated as good targets for SG in people with MCI and dementia. Similarly, in the 2014 recommendations, assessment, stimulation and rehabilitation were all rated as good targets for people with AD and related disorders. Favoring social exchanges was not considered as the best target because most of the existing SG for older adults are not social (i.e., they are designed for a single player). However, emerging SG are also targeting the social domain. Some of these SG are showing promising results (Ben-Sadoun et al., in preparation). Trainings targeting the cognitive domain and teaching contents may be more suitable to people with MCI compared to people with dementia, as they require some intact learning abilities to be optimized. However, beyond the selection of a clinical target for each patient’s category, an important aspect to take into account is that the selection of a SG should be personalized, and based on extensive clinical assessments aiming at identifying primary and secondary targets in the cognitive, motivational and emotional domains for each patient (Mishra et al., 2016). The assessment can also help to define the main follow up parameters, and the kind of feedback needed by each patient based on his/her challenges. For all conditions, SG should ultimately aim at targeting improvements in daily activities (autonomy). In other words, improvements in game activities should generalize to untrained abilities (Anguera et al., 2013), and demonstrate an impact on real life. SG design principles, such as inclusion of long-term goals embedded in a cohesive narrative instruction, and of specific generalization activities (e.g., instructional supports), may be important for encouraging transfer of knowledge from the computer to in-person settings. However, even improving autonomy in the SG activity alone could have a positive impact on motivation and quality of life: indeed the need of autonomy is one of the main drivers of the intrinsic motivation to play videogames in younger people (Deci and Ryan, 2000; Przybylski et al., 2010). This does not necessarily extend to people with ND. Is the need of autonomy the main motivational driver also for older adults with cognitive impairment, or are there other needs (e.g., social satisfaction/recognition)? To advance the work in this area, more research should be devoted to the design of SG in these target populations (De Schutter and Vandenabeele, 2015).

### Where Should SG Be Used, and with Whom?

The experts suggested that most of the SG are more effective when the patient is accompanied by a trained caregiver (similarly,
897 in the 2014 paper, SG were rated as more adapted for use with
898 a family/professional caregiver, or a healthcare professional).
899 This is consistent with the general recommendations on SG
890 usability drafted by Alvarez et al. (2012) who suggested the
891 importance of assisting the player to improve his/her game
892 understanding (how to play?) and motivation (why to play)?
893 The presence of a caregiver is considered as more important
894 for people with dementia compared to people with MCI, due
895 to their lower degree of autonomy. The presence of a trained
896 person is important for different reasons, including: to motivate
897 people to use the game; to help progressing in the game
898 scenario (in case people get stuck), reminding them about game
899 rules and commands; to make sure the SG are played safely,
900 especially for physical SG; and to embed the SG in a positive
901 social and emotional context. The fact that the presence of a
902 caregiver represents a key element in SG adoption raises potential
903 ecological and economical barriers to the use of SG outside the
904 research and clinical context. Possible solutions include involving
905 a family caregiver, organizing SG group sessions, or providing
906 remote assistance. Avatars and other game assistance solutions
907 may also be useful to promote independent SG use in people with
908 cognitive impairment (Phan Tran et al., in preparation).
909 Concerning the Where question, most of the SG are
910 considered as useful to be delivered both at home and in
911 clinical facilities. Clinical facilities have the advantage to allow
912 a complete standardization of the training, and to provide a
913 secure, controlled environment. The main problem, however, is
914 represented by the travel time and costs. In order to improve
915 study adherence, Ben-Sadoun et al. (2016) used a taxi to transport
916 patients at clinical facility, resulting in a 100% adherence to the
917 training. This strategy was also used by Maillot et al. (2012) for
918 some of elderly subjects which came to the clinical facility during
919 their Exergame training study. Although this is feasible in the
920 context of a clinical study, it would be important, in the long term,
921 to bring SG in the patient’s home for the classical care. At present
922 home-based training is still challenging due to technical issues
923 (e.g., hardware setup, availability of an internet connection for
924 data transfer), and to difficulties to monitor the training remotely,
925 raising possible security problems. As technologies improve every
926 day, well-designed feasibility studies in home-based setting are
927 urgently needed to verify if home-based stimulation is safe and
928 feasible, and for which patient populations. This is particularly
929 relevant because new generations of older adults will be more
930 and more used to employ ICT at home, thus potentially reducing
931 usability problems.

932 **How Often Should SG Be Used?**

933 Training frequencies between two and four times a week
934 were rated as the most adapted for people with MCI
935 and dementia. Evidence collected in recent studies on both
936 cognitive and cognitive-physical trainings converges with this
937 recommendation (e.g., Anguera et al., 2013; Ben-Sadoun et al.,
938 2016). However, these frequencies should be interpreted with
939 caution. The frequency of use for specific SG should vary
940 depending on a number of variables, including the presence of
941 physical activity (and its intensity), the duration of each game
942 session, the time that patient (and eventually the caregiver)
943 can devote to the activity, and the motivation to play. To
944 maximize the training efficacy, it is important to establish
945 the session frequency and duration (as well as the total
946 training duration) based on the goals that the training is
947 willing to achieve, and on the person’s features. For instance,
948 if the target for a patient is to improve the general physical
949 fitness, a short intense Exergame training is probably less
950 adapted compared to a long training with regular sessions,
951 in which physical activity is progressively increased based on
952 performance improvements. But the session duration and goals
953 need to be adapted to the person’s baseline physical fitness
954 level, keeping into account eventual physical constraints and
955 concurrent pathologies (for instance, the training designed for
956 an ex-marathon runner should be radically different from the
957 training for a smoker who never did active physical activity
958 before).

959 Another crucial aspect to take into account when designing
960 trainings is the motivation aspect. In general, the longer and more
961 intense the training, the better. However, ‘forcing’ employing
962 the SG too often may result in lowered motivation, or even
963 in addiction, as there is a thin line between motivational
964 and addictive aspects (Smith et al., 2011). Ideally, the features
965 of the training should be adapted in order to increase the
966 patient’s motivation to play, thus optimizing the training results.
967 Strategies to improve motivation include, for instance, the
968 presence of a clinician motivating the person, helping to set
969 the training pace, and modifying its frequency in a timely
970 manner based on the patient’s changes; the design of an
971 adaptive game challenge, that keeps the player in the ‘flow zone’
972 (the feeling of complete and energized focus in an activity,
973 with a high level of enjoyment and fulfillment) and improve
974 the feeling of self-efficacy; for instance, the game becomes
975 more difficult as the player progresses, but steps back to an
976 easier level when the player is tired or show a decline in
977 performance; or the presence of a well-designed game reward
978 system.

979 **LIMITATIONS**

980 The present recommendations were gathered from a relatively
981 small group of experts working in the domain of SG for health.
982 In further work, it would be interesting to verify if these results
983 hold for a wider expert population (e.g., through web-survey).
984 Furthermore, it would be interesting to collect the opinion of
985 healthcare professionals who do not work with SG and ICT
986 in their practice, to verify the barriers for SG adoption in the
987 healthcare domain. For instance, if clinicians non-expert in ICT
988 consider SG as not adapted and not useful for people with ND,
989 they will hardly suggest their use for training purposes. This
990 means that more effort should be done to share the promising
991 results of SG in these populations among the clinical community.

992 **AUTHOR CONTRIBUTIONS**

993 VM analyzed the data. All the authors contributed to draft the
994 paper.
REFERENCES


Grynszpan, O., Q16


**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Copyright © 2017 Manera, Ben-Sadoun, Aalbers, Agopyan, Askenazy, Benoit, Bensamoun, Bourgeois, Bredin, Bremond, Crispim-Junior, David, De Schutter, Ettore, Fairchild, Foulon, Gazzaley, Gros, Hun, Knoefel, Olde Rikkert, Phan Tran, Politis, Rigaud, Saxo, Serret, Thammler, Welter and Robert. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) or licensor are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.