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► To cite this version:

Yi Hong, Lauren J O 'Donnell, Peter Savadjiev, Fan Zhang, Demian Wassermann, et al.. Genetic load determines atrophy in hand cortico-striatal pathways in presymptomatic Huntington's disease. Human Brain Mapping, In press. hal-01787886v1

HAL Id: hal-01787886 https://inria.hal.science/hal-01787886v1

Submitted on 4 Jun 2018 (v1), last revised 27 Jun 2018 (v2)

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Genetic load determines atrophy in hand cortico-striatal pathways in presymptomatic Huntington's disease

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- 17

18 Abstract

19 Huntington's disease (HD) is an inherited neurodegenerative disorder that causes progressive breakdown 20 of striatal neurons. Standard white matter integrity measures like fractional anisotropy and mean 21 diffusivity derived from diffusion tensor imaging were analyzed in prodromal-HD subjects; however, they 22 studied either a whole brain or specific subcortical white matter structures with connections to cortical 23 motor areas. In this work, we propose a novel analysis of a longitudinal cohort of 243 prodromal-HD 24 individuals and 88 healthy controls who underwent two or more diffusion MRI scans as part of the 25 PREDICT-HD study. We separately trace specific white matter fiber tracts connecting the striatum 26 (caudate and putamen) with four cortical regions corresponding to the hand, face, trunk, and leg motor 27 areas. A multi-tensor tractography algorithm with an isotropic volume fraction compartment allows

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28 estimating diffusion of fast-moving extra-cellular water in regions containing crossing fibers and provides 29 quantification of a microstructural property related to tissue atrophy. The tissue atrophy rate is separately 30 analyzed in eight cortico-striatal pathways as a function of CAG-repeats (genetic load) by statistically 31 regressing out age effect from our cohort. The results demonstrate a statistically significant increase in 32 isotropic volume fraction (atrophy) bilaterally in hand fiber connections to the putamen with increasing 33 CAG-repeats, which connects the genetic abnormality (CAG-repeats) to an imaging-based microstructural 34 marker of tissue integrity in specific white matter pathways in HD. Isotropic volume fraction measures in 35 eight cortico-striatal pathways are also correlated significantly with total motor scores and diagnostic 36 confidence levels, providing evidence of their relevance to HD clinical presentation.

37

38 **Keywords:** Prodromal-HD, diffusion MRI, isotropic volume fraction, CAG-repeats, cortico-striatal pathways

39

40 **1. Introduction**

41 Huntington's disease (HD) is a genetic brain disorder that causes physical and mental decline, including 42 uncontrollable movements, emotional problems, and loss of thinking abilities. Huntington's disease is caused by 43 expansion of CAG (cytosine-adenine-guanine) repeats in the Huntington (HTT) gene, with a threshold of 36 or more 44 repeats (Vonsattel and DiFiglia, 1998). During the presymptomatic (prodromal-HD) phase, individuals do not 45 manifest the characteristic motor symptoms necessary for a diagnosis, but they have been shown to exhibit mild sub-46 clinical cognitive, psychiatric and motor deficits. Symptoms of Huntington's disease vary between individuals and 47 its progression may evolve over years before formal diagnosis. Studies show that brain atrophy occurs gradually and 48 typically starts years before symptom onset. Although this inherited disease currently has no cure, identification of 49 reliable markers might be useful for future Huntington's disease clinical trials to monitor disease progression and 50 evaluate therapeutic efficacy (Ross et al., 2014).

Neuroimaging provides a powerful tool for detecting, understanding, and monitoring disease progression. Early works proposed to use Magnetic Resonance Imaging (MRI) to elucidate structural abnormalities in Huntington's disease (Bohanna et al., 2008; Georgiou-Karistianis et al., 2013). In the PREDICT-HD and TRACK-HD studies, MRI measures, e.g., the whole-brain volume, caudate and putamen volumes, were examined to understand Huntington's disease progression (Paulsen et al., 2008; Tabrizi et al., 2013; Paulsen et al., 2014). Several studies 56 have shown that volume reductions in the striatum, particularly within the caudate, can be observed as early as 10 57 years before diagnosis in prodromal-HD individuals (Harris et al., 1999; Thieben et al., 2002; Paulsen et al., 2008). 58 Volume reductions in the globus pallidus (Harris et al., 1999; Thieben et al., 2002) and thalamus (Harris et al., 1999; 59 Paulsen et al., 2006) have also been observed. The literature on cortical thinning has however been controversial. 60 While some studies have found decreased cortical thickness in prodromal-HD (Rosas et al., 2005; Nopoulos et al., 61 2007; Bohanna et al., 2008), others have found increased grey matter volume (Aylward et al., 1996; Alyward et al., 62 1998; Paulsen et al., 2006). The relatively large longitudinal TRACK-HD study failed to find differences in cortical 63 volumes at baseline and 12 months (Tabrizi et al., 2009; Tabrizi et al., 2011) in prodromal-HD, but did find reduced 64 cortical thickness in occipital areas in prodromal-HD individuals who were closest to diagnosis at 24 months 65 (Tabrizi et al., 2012), suggesting that cortical thickness changes may not be tied to motor symptom onset or that they 66 occur only as secondary collateral damage measurable late in the prodromal-HD stage of the illness.

67 In addition to volumetric measures, studies of tissue microstructure have shown changes in Huntington's disease. 68 Mean diffusivity derived from diffusion weighted magnetic resonance imaging (dMRI) was found to have increased 69 in the prefrontal cortex of prodromal-HD subjects (Rosas et al., 2006; Phillips et al., 2013; Matsui et al., 2014). In 70 addition, the IMAGE-HD study (Poudel et al., 2015) showed evidence of longitudinal decline in white matter 71 integrity in symptomatic Huntington's disease using diffusion tensor imaging (DTI, a special case of dMRI which 72 assumes a Gaussian diffusion profile at each voxel) data. DTI studies have also provided evidence of white matter 73 degeneration in terms of reduced fractional anisotropy and increased radial diffusivity. It was suggested that these 74 measures may provide unique information about the time-course and diagnosis of neurodegeneration in prodromal-75 HD (Liu et al., 2016). Other studies have reported reduced fractional anisotropy in several regions including the 76 corpus callosum (Stoffers et al., 2010; Phillips et al., 2013), frontal tracts (Poudel et al., 2014; Phillips et al., 2014), 77 thalamic tracts (Stoffers et al., 2010; Phillips et al., 2014), and white matter surrounding the striatum (Stoffers et al., 78 2010). These DTI findings have been thought to reflect degeneration at the cellular level and a reduction in white 79 matter tract integrity (due to reduction in fractional anisotropy). Another recent work (Shaffer et al., 2016) used a 80 multi-tensor tractography framework to analyze changes in the motor pathways in three groups clustered using the 81 CAP score (product of CAG-repeats and age). The results showed significant differences in fractional anisotropy in 82 the three groups in the motor pathways.

83 However, as has been shown in several recent works in diseases such as schizophrenia and Parkinson's (Pasternak 84 et al., 2015; Rotenberg et al., 2015; Planetta et al., 2016), the DTI model is simplistic and may not be appropriate to 85 draw inferences about the neurobiological abnormalities in tissue. Adding an isotropic volume fraction compartment 86 (Pasternak et al., 2009) to the standard DTI model provides more specificity and allows to quantify the volume 87 fraction of fast moving extra-cellular water. The existence of the isotropic volume fraction has been validated in 88 mouse models of demyelination and tissue loss (Wang et al., 2011). Similarly, it has been used in popular models 89 like neurite orientation dispersion and density imaging (NODDI) (Zhang et al., 2012). In their work on comparing 90 different diffusion models, Panagiotaki et al. show that adding an isotropic compartment better explains the dMRI 91 signal (Panagiotaki et al., 2012).

92 In the study of schizophrenia and Parkinson's (Pasternak et al., 2015; Rotenberg et al., 2015; Planetta et al., 93 2016), the authors have shown that including this isotropic volume fraction compartment provides better 94 neurobiological clarity about the underlying tissue abnormality. For example, an increase in the isotropic volume 95 fraction component could indicate loss of neurons or tissue atrophy. In schizophrenia, a simple DTI model pointed 96 to decreased fractional anisotropy in several brain regions implying decreased white matter integrity. However, 97 incorporating the isotropic volume fraction model pointed to widespread neuro-inflammation as a possible 98 mechanism and very little change in white matter integrity (Pasternak et al., 2009; Pasternak et al., 2015). Thus, we 99 hypothesize that the inferences drawn from the DTI model about reduced white matter integrity in Huntington's 100 disease may not be entirely accurate and need to be further tested using more advanced models of diffusion as is 101 done in this work.

102 Recent studies on mouse models of Huntington's disease have reported the existence of widespread brain atrophy 103 in several brain regions (Steventon et al., 2016). Consequently, in this paper, we propose to use the isotropic volume 104 fraction (a measure of tissue atrophy) estimated in an unscented Kalman filter based multi-tensor tractography 105 framework (Malcolm et al., 2010; Baumgartner et al., 2012) to characterize white matter atrophy in prodromal-HD 106 subjects. This framework allows to trace fiber tracts through crossing fiber regions while simultaneously estimating 107 the isotropic volume fraction along the tracts. We hypothesize that we see a higher isotropic volume fraction in 108 prodromal-HD subjects compared to normal controls, indicating increased brain atrophy. Note that, most earlier 109 works have not investigated this aspect of Huntington's disease pathophysiology due to the limitations of the DTI 110 model.

111 Another novel aspect of our work is the design of a methodology to extract specific cortico-striatal tracts 112 corresponding to the hand, face, trunk, and leg motor regions, as shown in Fig. 1. Previous studies have shown that 113 the cortico-striatal pathway in the brain is key to early Huntington's disease symptomatology and that Huntington's 114 disease affects the white matter causing dysfunction due to neuronal loss in the striatal circuits and cortical neurons 115 that make up the cortico-striatal pathways (Macdonal et al., 2002; Cepeda et al., 2003; Cepeda et al., 2007; 116 Vonsattel et al., 2008). However, for the first time in this study, we trace and differentially analyze these specific 117 tracts connecting the hand, face, trunk, and leg areas in the cortex to the caudate and putamen (Haber and Calzavara 118 2009) and estimate the isotropic volume fraction in these tracts in a large longitudinal data set. This allows us to 119 evaluate the sensitivity of isotropic volume fraction to detect tissue atrophy in different motor areas and its 120 specificity to different anatomical parts of the cortico-striatal pathway.

121 To investigate if specific cortico-striatal pathways exhibit differential rates of atrophy in prodromal-HD subjects, 122 we analyze the PREDICT-HD data, which is a longitudinal dataset collected from gene expansion negative controls 123 and subjects with prodromal-HD. Specifically, we investigate the longitudinal isotropic volume fraction from three 124 perspectives: 1) its sensitivity to brain atrophy in prodromal-HD subjects in comparison to healthy controls; 2) its 125 correlation to genetic load, the CAG repeats; 3) its correlation to behavioral and clinical tests, such as total motor 126 scores and diagnostic confidence level. We performed these tests using linear mixed-effects models for the 127 population of healthy controls and prodromal-HD, respectively. The experimental results (after multiple hypothesis 128 correction) indicate that the isotropic volume fraction, specifically in the bilateral hand motor tracts could be used as 129 an imaging marker of genetic load and symptom severity in prodromal-HD.

130

131 **2. Materials and methods**

In this work, we analyzed the longitudinal diffusion MRI data set of prodromal-HD subjects acquired as part of the PREDICT-HD project (Paulsen et al., 2014). Under the guidance of an expert neuroanatomist (Dr. Makris) we manually created a modification of the Desikan-Killiany cortical atlas (Desikan et al., 2006) typically used with FreeSurfer (Fischl et al., 2004), to produce a new atlas that includes delineations of the hand, face, trunk, and leg sub-regions of the motor cortex. These new expert-defined atlas regions were spatially mapped to the T1-weighted image of each individual subject. Whole brain multi-tensor tractography was performed on the dMRI data and white matter tracts connecting the striatum (caudate and putamen) with each of the four cortical motor regions were extracted, as shown in Fig. 1. The isotropic volume fraction was estimated in each of the fiber bundles (a total of sixteen fiber bundles; four cortico-caudal and four cortico-putamen in each hemisphere) and mixed-effects models (Pinherio and Bates, 2004) were used to analyze the data as described in the subsequent section.

142 2.1. Linear mixed-effects model

143 Typically, regression models are selected to estimate the correlation between variables, for example, the correlation 144 of the isotropic volume fraction with age, CAG, or total motor scores. Mixed-effects models are an extension of 145 standard regression models and are more suitable for longitudinal data because they can account for the dependency 146 due to repeated measures or data in groups. A mixed-effects model has both fixed and random effects while a 147 standard regression model has only fixed effects. Specifically, the fixed-effects terms in a mixed-effects model 148 correspond to the conventional regression part, and the random-effects terms are associated with individual 149 experimental units drawn at random from a population. In this paper, we use a linear mixed-effects model to study a 150 population of longitudinal data, i.e., the population of healthy controls and the population of prodromal-HD subjects. 151 Assume in a population there are N subjects and the dMRI measures for subject i (i = 1, ..., N) are collected at n_i 152 time points. For such longitudinal data, the mixed-effects model estimates the relationship between a dMRI measure 153 and associated subject attributes through multilevel regression. The model can be expressed as:

155 Here, y_{ij} corresponds to the response, e.g., the isotropic volume fraction, for the *i*-th individual measured at the *j*-th 156 time point; x_{ij} is the independent variable and depends on the task, e.g., the age of the subject or the CAG repeats if 157 we regress out the age effect later; u_{ij} is the independent variable in the random effect term analogous to x_{ij} . The 158 parameters β_0 and β_1 are the average intercept and slope shared among the population, while γ_{0i} and γ_{1i} are the 159 random effects intercept and slope, the specific parameters for subject *i*. The random variable $\epsilon_{ij} \sim N(0, \sigma^2)$ is the 160 independent error, which follows a normal distribution with zero mean and a standard deviation of σ . The random 161 effects are designed to account for the dependency of the data in several variables. For example, the dependency 162 could exist in the data collected from the same subject, the same gender (Zielonka et al. 2013), the same scanner, or 163 subjects with the same CAG repeats. Hence, our linear mixed-effects model has the following formulation:

$$164 y_{ij} = \beta_0 + \beta_1 x_{ij} + \gamma_{0i}^{sub} + \gamma_{1i}^{sub} u_{ij} + \gamma_{0k}^{gen} + \gamma_{1k}^{gen} v_{ij} + \gamma_{0r}^{CAG} + \gamma_{1r}^{CAG} w_{ij} + \gamma_{0s}^{scan} + \gamma_{1s}^{scan} s_{ij} + \epsilon_{ij}, (2)$$

fixed effects random effects random effects random effects random effects subject-specific gender-specific CAG-specific scanner-specific

where *k* represents male or female, *r* is the number of CAG repeats, *s* represents the scanner site; while u_{ij} , v_{ij} , w_{ij} , and s_{ij} are random effect variables analogous to x_{ij} . Note that our data was collected at several different sites, and hence we use the scanner site as a co-variate to account for scanner related differences. This linear mixed-effects model can be used to detect the caudate and putamen volume loss over age, to measure the change in the isotropic volume fraction with age in a population, and to estimate the correlation of the isotropic volume fraction with total motor scores and diagnostic confidence levels.

171 Meanwhile, we are also interested in exploring the correlation between the isotropic volume fraction and CAG 172 repeats for Huntington's disease subjects. As is known from literature, dMRI measures such as fractional anisotropy 173 and the isotropic volume fraction change with age. To compute correlation between the isotropic volume fraction 174 and CAG repeats, we should first remove the effect of age on the estimated the isotropic volume fraction. Therefore, 175 we estimated the change in the isotropic volume fraction with age in the normal control population and regressed it 176 out from the HD group. In particular, we used the above linear mixed-effects model (Eq. 2) to estimate the normal 177 control group trend, represented by the fixed effects parameters (β_0^{normal} and β_1^{normal}). With respect to the 178 estimated normal control group trend, we compute the residuals of the isotropic volume fraction for prodromal-HD subjects δy_{ij}^{HD} as follows: 179

180
$$\delta y_{ij}^{HD} = y_{ij}^{HD} - (\beta_0^{normal} + \beta_1^{normal} x_{ij}^{HD}), \tag{3}$$

181 where x_{ij}^{HD} represents the age of the HD subject and y_{ij}^{HD} represents its measured the isotropic volume fraction. This 182 is further used to compute the correlations between the residuals and CAG repeats using the linear mixed-effects 183 model. In this task, since the age effect has been regressed out, x_{ij} in Eq. 2 corresponds to CAG repeats, 184 y_{ij} corresponds to the isotropic volume fraction residuals for prodromal-HD subjects, and the random effects involve 185 the subject-, gender-, and scanner-specific dependency.

186

187 2.2. PREDICT-HD data

We use data collected by the PREDICT-HD project (Paulsen et al., 2014), an observational study of the earliest signs of Huntington's disease. This study involved more than 600 participants, but we used the data from only those individuals who have at least two MRI scans. This yielded 331 subjects with 830 scans collected at 2 to 5 time 191 points. Specifically, 202 subjects have 2 scans, 94 subjects have 3 scans, 31 subjects have 4 scans, and 4 subjects 192 have 5 scans. Additionally, the PREDICT-HD study provides genetic load for each subject, i.e., the CAG repeats, 193 and clinical scores, e.g., total motor scores and diagnostic confidence levels after each visit. The demographic and 194 clinical information of the data used in this study is shown in **Table 1**.

195 Participants. This study included 243 individuals with prodromal-HD and 88 normal controls. All participants were 196 right handed and underwent at least two longitudinal imaging sessions, resulting in a total of 611 prodromal-HD and 197 219 healthy control imaging sessions. Additional demographic characteristics for this sample are shown in **Table 1**. 198 The groups did not differ in terms of number of imaging sessions performed per participant, overall duration of time 199 between imaging sessions, or education. PREDICT-HD exclusion criteria included (a) sufficient motor signs for a 200 motor diagnosis at study entry; (b) history of traumatic brain injury or other central nervous system injury or 201 diseases; (c) pacemakers or metallic implants; (d) prescribed antipsychotic or phenothiazine-derivative antiemetic 202 medication in the past six months; and (e) clinical evidence of unstable medical or psychiatric illness.

203

204 **Imaging Parameters.** A total of 830 T1 and T2-weighted anatomical and diffusion-weighted images were acquired 205 on 3T scanners at 15 sites, representing 3 scanner manufacturers (GE, Phillips, and Siemens). Due to varying 206 scanning site capabilities and support resources, a minimum set of criteria were specified for merging the diffusion-207 weighted imaging (DWI) data sets from different sites: 1) Only DWI data sets with more than 28 quality approved 208 gradient directions, 2) voxel volume was required to be less than 9.6 cubic mm with a maximum voxel length of 209 2.4mm, 3) All directional gradients needed to be within 2% of 1000 for their b-value, and 4) anatomical coverage 210 needed to completely include all the tracts of interest. The most frequently used MRI acquisition parameters are 211 given in Table 2. After visual inspection of all images, we excluded 13 T2-weighted MRI scans, resulting in 636 212 multimodal and 13 single modal inputs for structural MRI processing. Processed T2-weighted images were then 213 used along with DWI data in the diffusion analysis pipeline and the T1-weighted images were used for the 13 214 sessions where the T2- weighted images were not available.

215

216 2.3. Image Processing

217 Structural and diffusion-weighted MR images were processed using the BRAINSTools suite 218 (https://github.com/BRAINSia/ BRAINSTools.git) and ANTs packages (Avants et al., 2009). An extensible processing pipeline was developed in Nipype (Gorgolewski et al., 2011) that utilizes high performance computing resources to achieve time-efficient data processing and tractography analysis on large-scale multi-center diffusionweighted images.

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223 Structural MRI Processing. Structural MR Images (T2-weighted (T2-w) and/or T1-weighted (T1-w) images) were 224 utilized to fix susceptibility-induced anatomical distortions in diffusion-weighted imaging data and provide precise 225 anatomical labeling for dMRI processing and analysis. All available structural modalities, T1- and when available 226 T2-weighted images, of each dataset were processed jointly to improve the robustness of the processing using 227 complimentary information provided by multiple modalities. In the 13 cases where the T2 image was not available, 228 a T1-only data processing was performed to identify anatomical brain regions. The T1-only morphometric 229 processing was accurate enough for the subsequent tracts of interest extraction method. First, structural MR data 230 were spatially normalized to a common reference orientation defined by anterior commissure-posterior commissure 231 (AC-PC) line and inter-hemispheric fissure (Lu and Johnson, 2010; Ghavoor et al., 2017). The images were then 232 processed through an Expectation Maximization (EM) and a fuzzy k-Nearest Neighbor (KNN) tissue classification 233 that incorporates bias-field correction, image registration, and tissue classification (Kim and Johnson, 2013; 234 Ghayoor et al., 2016). Whole brain segmentation (i.e. labeling) was performed first by applying the standard 235 FreeSurfer pipeline (Fischl et al., 2004), and then by augmenting the resulting Desikan-Killany segmenation of the 236 cortex (Desikan et al., 2006) with a custom-made parcellation of the motor cortex into four functional sub-regions, 237 as described in further detail in a section below.

238 **Diffusion MRI Processing.** The dMRI processing first employed the quality-control procedures described in our 239 previous works (Matsui et al., 2014; Oguz et al., 2014) to prepare dMRI scans by removing slice-wise and interlace 240 artifacts as well as correcting for eddy-current and motion artifacts. The quality-controlled dMRI scans were further 241 processed to integrate susceptibility artifact correction using a non-linear constrained registration in the phase-242 encoding direction available from the ANTs packages (Avants et al., 2009). The constrained registration only allows 243 warping within the plane (i.e. within image slice) for the phase encoding direction, and is primarily used to improve 244 anatomical alignment of the diffusion images to the morphometric scans. To enhance the quality of our data and to 245 allow for detection of multiple fibers from the sparse set of gradient directions acquired, we used our compressed sensing algorithm (Michailovich et al., 2011; Rathi et al., 2014) to reconstruct the signal into a canonical set of 81
gradient orientations.

248 Multi-tensor tractography. Whole brain tractography was performed using our multi-fiber (multi-tensor) model to 249 trace through crossing fiber regions. The multi-tensor unscented Kalman filter tractography (UKFt) method 250 consisted of two anisotropic tensors along with an isotropic volume compartment to model fast extracellular water 251 diffusion (Malcolm et al., 2010; Baumgartner et al., 2012; Reddy and Rathi, 2016). The model estimated a convex 252 combination of weights for the anisotropic fiber compartment and the isotropic volume compartment with the 253 diffusivity of the isotropic volume set to 3×10^{-3} s/mm² as in our previous work (Pasternak et al., 2009; 254 Baumgartner et al., 2012). UKFt parameter settings were determined via empirical testing on a subset of the dataset. 255 To maximize sensitivity to the cortico-striatal connections, the fractional anisotropy and generalized anisotropy 256 thresholds for seeding/stopping tractography were set to 0.06, and 10 fiber seeds were initiated per voxel. All 257 tractography results (for all subjects) underwent a quality check using the white matter analysis (O'Donnell and 258 Westin, 2007) quality control tool, which enables rapid visual inspections of tractography results by human experts. 259 Subjects that failed this quality control check were excluded.

Extracting cortico-striatal tracts for hand, face, trunk, and leg regions. The extraction of the tracts under investigation in the present study requires a cortical atlas that defines the hand, leg, face and trunk functional subregions of the motor cortex. However, the standard Desikan-Killany atlas (Desikan et al., 2006) typically used with FreeSurfer does not distinguish between different sub-regions of the motor cortex. However, the FreeSurfer processing pipeline allows users to create custom-made cortical atlases (Fischl et al., 2004).

To that effect, under the guidance of an expert neuroanatomist (Dr. Makris), we manually delineated the hand, leg, face and trunk sub-regions of the motor cortex on the anatomical (T1) MRI images of six healthy control subjects that were not part of the present study. We then used the "mris_ca_train" FreeSurfer command to create a new atlas which augments the standard Desikan-Killany atlas with these new four regions. Then, the "mris_ca_label" and "mri_aparc2aseg" FreeSurfer commands were used to individually map this new atlas to all the subjects used in the present study.

This newly created, custom-made cortical parcellation was used in conjunction with the White Matter Query Language (WMQL) (Wassermann et al., 2016), in order to perform tract extraction. WMQL is a powerful, automated tract extraction method that uses gray matter regions of interest from the cortical parcellation as anatomical queries for constructing white matter tracts. Specifically, for each cerebral hemisphere in each subject,
we used WMQL to extract the tracts that connect each of the four motor cortex subregions (hand, face, trunk, and
leg) to one of the two subcortical nuclei (caudate, putamen), for a total of eight tracts for each hemisphere.

277

278 **3. Results**

279 Caudate/Putamen volume loss. To confirm the reported decrease in volume size of caudate and putamen (Paulsen 280 et al., 2008) in our data set, we investigated the volume size changes in both normal control and prodromal-HD 281 groups. The changes were captured by using a linear mixed-effects model as in Equation (2), where the explanatory 282 variable is the age and the response variable is the volume size). By applying this model to the longitudinal data for 283 the caudate and putamen, we estimated a global intercept β_0 and slope β_1 for each structure in each group. A p-value for each parameter was also obtained and adjusted by the use of linear step-up (LSU) procedure originally 284 285 introduced by Benjamini and Hochberg (Benjamini and Hochberg, 1995) to indicate the significance of the estimate. 286 As shown in Fig. 2, the solid lines depict the global trend of volume loss over age, and the bars depict the FDR-287 adjusted p-values of the global estimate of the slope. The adjusted p-values for the intercept estimates are all close to 288 zero, which are not shown in Fig. 2. Considering a significance level of 0.05, all estimates for global intercepts and 289 slopes are statistically significant (see **Supplementary material, Table 1** for the actual p-values). That is, there is a 290 correlation between volume size of caudate and putamen with age. Compared with the normal control group, the 291 prodromal-HD group had a reduced volume size for the caudate and the putamen and a significantly faster 292 degeneration rate as expected.

293

294 Isotropic volume fraction changes over age for different sensorimotor areas. To investigate longitudinal 295 changes in the isotropic volume fraction (related to tissue atrophy), we leverage the longitudinal data and use the 296 linear mixed-effects model to estimate group trends for both normal controls and prodromal-HD groups. Fig. 3 297 shows the isotropic volume fraction changes in fiber bundles from hand, face, trunk, and leg sensorimotor areas to 298 left and right caudate and putamen, respectively. Overall, most of the estimated trends suggest an increase in the 299 isotropic volume fraction with age, from the four cortical areas to the caudate and putamen. Based on the estimated 300 p-values (after FDR correction) for the intercept (not shown in Fig. 3) and slope, the prodromal-HD group trends are 301 all significant at the level of 0.05 (also see Supplementary material, Table 2 for the actual p-values). For the 302 normal control group, while fiber bundles associated with the caudate have no significant increase in the isotropic 303 volume fraction, some fiber bundles associated with the putamen show significant changes in the isotropic volume 304 fraction, including the left putamen to hand, face, and leg areas, as well as the right putamen to hand, trunk, and leg 305 regions (see **Fig. 3**). These results indicate that for Huntington's disease, significant isotropic volume fraction 306 changes were detected in all cortico-striatal pathways, while for healthy controls the pathways connecting the 307 putamen show more significant isotropic volume fraction changes than those connected to the caudate.

It is worth to mention that the isotropic volume faction contributes only about 10-15% (0.1-0.15) of the total signal volume fraction, as shown in Fig.3. This is small and in-line with that expected in white matter tissue. However, it is elevated in HD due to tissue atrophy. In light of the histology work of (Wang et al. 2011), it is likely that there exist small pockets of fast moving water within the tissue, which indicate tissue atrophy as is known to exist in HD.

312 Differential rate of increase in the isotropic volume fraction with age. To further investigate which region 313 shows a faster rate of increase in the isotropic volume fraction (or atrophy), we estimated group trends in different 314 regions for both normal control and prodromal-HD subjects. In particular, we compared the isotropic volume 315 fraction difference between these two groups at age 50 (years), as the fit from linear regression has the lowest 316 uncertainty at this age. Specifically, we estimated the isotropic volume fraction at age 50 for both groups and then 317 computed their difference. To ensure robustness of the results, we color coded the rates such that the blue bars 318 indicate connections for which the slope was statistically significant (after FDR correction). As shown in Fig. 4 (top 319 row), at the age of 50 years, the average isotropic volume fraction in the prodromal-HD group is larger than that for 320 the normal control group in almost all cortico-striatal pathways. The top two significant fiber connections on the list 321 are hand to the bilateral putamen, as shown on the top right of Fig.4.

In addition, the rate of increase in the isotropic volume fraction in prodromal-HD subjects is also faster than controls in almost all pathways. By discarding estimates with adjusted p-value larger than 0.05 (the gray bars in **Fig.** 4), the fiber bundles connecting hand and leg to right putamen show the fastest increase in the isotropic volume fraction (i.e., fastest rate of atrophy). The fiber bundle connecting hand to left putamen also shows similar rate of atrophy. **Thus, after accounting for the normal degeneration rate (from healthy controls), the cortico-striatal** hand motor fibers seem to degenerate faster than other fiber tracts in Huntington's disease.

328

329 **Isotropic volume fraction correlation with genetic load.** The number of CAG repeats determines whether a 330 subject will develop Huntington's disease during his or her lifetime. We aim to find the correlation between this 331 genetic load and isotropic volume fraction in the prodromal-HD group. To achieve this goal, we first regress out the 332 effects of normal aging on changes in the isotropic volume fraction, and then estimate the relationship between the 333 isotropic volume residuals and CAG repeats in prodromal-HD subjects. Although no significant correlations are 334 found in caudal connections, motor regions connected to the putamen show significant correlations, for example, 335 hand to the left and right putamen and leg to the right putamen, as shown in Fig. 5 (also see Supplementary 336 material, Table 3 for the actual p-values). In addition, face to the left putamen and trunk to the right putamen (in 337 Fig. 5) also show a significant change in the slope, indicating strong correlation between the isotropic volume 338 fraction and CAG repeats in these regions. These results indicate that the isotropic volume fraction correlates with 339 the genetic load in prodromal-HD subjects, and it further confirms that the putamen (and its motor connections) 340 could play a critical role in early assessment of degeneration in Huntington's disease.

341 Isotropic volume fraction correlates with clinical scores. To further explore the relationship of the isotropic 342 volume fraction with clinical scores, we used total motor scores and diagnostic confidence levels of prodromal-HD 343 subjects using linear mixed-effects models. These scores are used to define motor diagnosis in at-risk individuals, 344 and we study them only in prodromal-HD subjects. In addition, for each motor area we average the isotropic volume 345 fraction from the bilateral tracts of the caudate or the putamen. The experimental results are shown in Fig. 6. In all 346 regions except for trunk to the caudate for testing total motor scores correlation, the isotropic volume fraction was 347 significantly correlated with both total motor scores and diagnostic confidence level with p < 0.05 (see FDR 348 corrected p-values in Supplementary material, Table 4).

349 Exploratory tissue fractional anisotropy (FAt) and mean diffusivity analyses. We also investigated if white 350 matter integrity as measured by tract-specific fractional anisotropy (FA₁) was affected in prodromal-HD, as has been 351 reported in several works using the standard DTI model (Poudel et al., 2015; Liu et al., 2016). Using our multi-352 tensor and isotropic volume compartment model, we found no statistically significant differences in the rate of 353 change of FA_t (slope) with age between the prodromal-HD and normal control group. We note that the FA_t measure 354 used in this work is obtained from the principal diffusion tensor estimated and oriented along the fiber tracts and 355 thus is different than the standard DTI-based fractional anisotrophy measure (which does not account for crossing 356 fibers). Further, FAt also did not correlate with CAG-repeats in the prodromal-HD subjects. The change in mean

14

diffusivity (proportional to the trace of the principal diffusion tensor) with age also did not differ between the two groups, except for the fiber bundle connecting the putamen with the hand motor areas. Thus, when using a different model of diffusion, we find that only the atrophy-related measure of the isotropic volume fraction to be statistically different between the groups.

361

362 4. Discussion

In this paper, we present a unique longitudinal study of Huntington's disease in a large cohort of subjects by delineating specific fiber tracts connecting the striatum with four cortical motor regions, i.e., hand, face, trunk, and leg. Our approach utilizes the dependency in the longitudinal data by using linear mixed-effects models. We analyzed the isotropic volume fraction, a measure of tissue atrophy, in the cortico-striatal pathways and determined its correlation with age, genetic load, and clinical severity scores.

368 Previous studies on Huntington's disease often performed group comparison between Huntington's disease (or 369 prodromal-HD) subjects and normal controls based on CAP scores (Zhang et al., 2011; Shaffer et al., 2016). The 370 CAP score is computed using the number of CAG repeats and the subject's age. Here, instead of using the CAP 371 score, we demonstrate a direct correlation of the isotropic volume fraction with CAG-repeats, by removing aging 372 related effects in our longitudinal data. To the best of our knowledge, this is the first time that the genetic load in the 373 prodromal-HD group has been shown to correlate with abnormality in specific cortico-striatal white matter tracts 374 using an image-based marker. Significant correlations of the isotropic volume fraction (indicating atrophy) with 375 CAG repeats are seen in connections to the four motor areas, especially to the hand motor areas (Fig. 5). The fibers 376 connecting the cortical hand motor area demonstrate more atrophy than other motor areas. While our study connects 377 the imaging, genetic and behavioral measures (motor scores), a recent study (Collins et al., 2014) showed that hand 378 tapping performance was correlated with CAG-repeats and could be used as a longitudinal behavioral marker to 379 assess progression of Huntington's disease. In a similar spirit, we believe that the proposed isotropic volume fraction 380 estimated in the white matter tracts connecting the hand motor area could be used as an imaging marker of disease 381 severity with possible use to monitor therapeutic interventions.

Furthermore, our results confirm earlier results on the volumetric loss in both caudate and putamen (Niccolini and Politis, 2014). A faster volume loss rate is detected in the caudate in prodromal-HD, as shown in **Fig. 2**. This result is consistent with the previous studies on longitudinal change in basal ganglia volume and studies on rate of caudate 385 atrophy in patients with Huntington's disease (Aylward et al., 1997; Aylward et al., 2000). This previous study 386 suggested that change in caudate volume may be useful for assessing treatment effectiveness in both pre-387 symptomatic and symptomatic subjects. However, our analysis of white matter microstructure from dMRI measures 388 in the cortico-striatal pathways shows that the fibers connecting motor areas to the putamen are more affected in 389 prodromal-HD than those to the caudate, especially as demonstrated by the isotropic volume fraction changes over 390 age (Fig. 3) and the correlation of the isotropic volume residuals with CAG-repeats (Fig. 5). A recent study (Singhal 391 et al., 2017) also shows that caudate has a less degree of involvement in Huntington's disease and putaminal 392 hypometabolism exceeds caudate changes on 18F-FDG PET imaging. Thus, the motor fibers connected to the 393 putamen may be a better imaging marker for assessing structural changes in prodromal-HD.

394 Previous DTI studies on Huntington's disease suggested that fractional anisotropy is more sensitive than mean 395 diffusivity in detecting structural changes in prodromal-HD (Liu et al., 2016). However, in our experiments, the 396 isotropic volume fraction outperforms both fractional anisotropy and mean diffusivity by demonstrating stronger 397 correlation with age and CAG-repeats. We should note that, using a more advanced model of diffusion that 398 incorporates multiple crossing fibers and an isotropic volume compartment lends increased specificity and 399 sensitivity to the obtained results as seen in studies on other neurodegenerative disorders such as Parkinson's disease 400 (Planetta et al., 2016; Lyall et al., 2017). Our results indicate that the isotropic volume fraction could be a potential 401 imaging maker for monitoring the progression of Huntington's disease.

402

403 **Figure legend list:**

404 Fig 1. White matter tracts connecting the striatum (top: caudate and bottom: putamen) with four cortical motor

405 regions (left to right: hand, face, trunk, and leg).

406

407 Fig 2. Volume loss of the caudate and putamen over age. Lines illustrate estimates of the group trend for each

408 population, normal controls (NC) or subjects with Huntington's disease (prodromal-HD). Grey areas show the 95%

- 409 confidence intervals for the estimated group trends. Bars indicate the FDR-corrected p-values for the estimation of
- 410 slope (decay rate).

411 Fig 3. Longitudinal analysis of the isotropic volume fraction of the caudate and putamen, connected with four motor

412 regions, i.e., hand, face, trunk, and leg. Lines show the estimated group trend for each population, normal control

413 (NC) and prodromal-HD. Grey areas show the 95% confidence intervals for the estimated group trends. Bars present

414 the FDR-adjusted p-values for the estimation of slope using the linear mixed-effects model. An adjusted p-value less

415 than 0.05 indicates the estimate is significant and reliable. The title of each sub-figure details tractography

416 connections that were reliably traced from the total scans in each group.

417

Fig 4. Comparison of the isotropic volume fraction between Normal Control (NC) and prodromal-HD groups. Top: the averaged isotropic volume fraction values estimated at the age of 50 years old for different regions. Bottom: the degeneration rates (slopes of estimated group trends) for different regions. From left to right: NC group, HD group, and their differences. Gray bars were used in those regions where estimate for the slope is not significant at the level of 0.05 (i.e., no significant correlation between isotropic volume fraction and age), while blue bars indicate that the results are significant at the level of 0.05. (Cau: Caudate, Put: Putamen).

424

Fig 5. Correlations between the isotropic volume fraction residuals (after regressing out the effect of age) and CAG repeats in prodromal-HD group for white matter connections to left caudate, left putamen, right caudate, and right putamen (from top to bottom). Lines depict estimates of group trends, gray areas indicate their 95% confidence intervals, and bars indicate the corresponding p-values of the estimated intercepts (β_0) and slopes (β_1). The title of each sub-figure details tractography connections and the number of scans for which robust connections were obtained out of total scans in prodromal-HD group.

431

432 Fig 6. Correlations between the isotropic volume fraction and (a) total motor scores (TMS), (b) diagnostic

433 confidence level (DCL) in prodromal-HD group for caudate (top) and putamen (bottom). Lines show estimates of

434 group trends, gray areas indicate their 95% confidence intervals, and bars present the corresponding FDR-corrected

435 p-values of the estimated intercepts (β_0) and slopes (β_1).

436 Funding

- 437 This work was supported by U01NS083223 (PI: Westin), U01CA199459 (PI: O'Donnell Open Source Diffusion
- 438 MRI Technology for Brain Cancer Research), R01MH097979 (PI: Rathi), P41EB015902 PI: Westin (co-
- 439 investigators O'Donnell and Rathi), and Neuroimage Analysis Center.
- 440

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 Table 1 Demographic and clinical information of the PREDICT-HD sample used in the experiments. *The

 corresponding parameters were not available for some subjects. SD: standard deviation.

	Normal Control	Huntington's Disease				
	(N = 88, total scans: 219)	(N = 243, total scans: 611)				
# Male	35 (39.77%)	75 (30.86%)				
# Female	53 (60.23%)	168 (69.14%)				
Age (Mean ± SD, [Min, Max])	48.58 ± 12.61, [23.19, 87.73]	45.62 ± 12.48, [18.84, 82.72]				
Maximum Education Years	15.08 ± 2.56, [9, 20]	14.82± 2.47, [9, 20] (3 unavailable*)				
CAG Repeats	20.68 ± 3.80, [15, 35]	42.10 ± 2.70, [37, 58]				
Total Motor Scores	3.08 ± 4.15, [0, 30] (10 unavailable*)	9.11 ± 11.21, [0, 77] (8 unavailable*)				
Diagnostic Confidence Level	0.58 ± 0.66, [0, 3] (10 unavailable*)	1.32 ± 1.29, [0, 4] (8 unavailable*)				

 Table 2 Summary of the representative MRI parameters used by each manufacturer. Diffusion-weighted

 imaging (DWI) data was acquired with 32 – 237 directions; TR: repetition time; TE: echo time; TI: inversion time.

Mode	Manufacturer	TR	TE	TI	Thickness	Acquisition	Bandwidth	Flip
		(ms)	(ms)	(ms)	(mm)	Matrix	(Hz/pixel)	Angle
T1	GE	6.5	2.8	400	1	256, 256, 216	244.1	12
	Philips	7.7	3.5		1.1	220, 218, 164	241	8
	Siemens	2,300	2.87	900	1.1	256, 256, 240	238	10
T2	GE	3,000	82.5		1.4	288, 288, 160	244.1	90
	Philips	2,500	183.6		1.1	220, 218, 164	583	90
	Siemens	4,800	430		1.4	256, 250, 160	592	90
DWI	GE	17,000	87.2		2.4	128, 128	1,953.12	90
	Philips	9,679	92		2	128, 128	1,566.02	90
	Siemens	12,000	92		2	128, 128	1,565	90

















Supplementary material

Table 1 The slope, t-statistic, estimated degrees of freedom (DF), and associated FDR-corrected p-values for correlation between caudate and putamen volume loss and age (cf. Fig. 2). All correlations are significant at a significance level of 0.05.

		Normal C		Huntington's Disease				
	Slope	t-Stat	DF	p-Value	Slope	t-Stat	DF	p-Value
Left Caudate	-9.2347	-2.8497	216	4.7991e ⁻³	-63.6013	-10.075	603	1.4442e ⁻²¹
Right Caudate	-12.0076	-3.6132	214	5.0257e ⁻⁴	-63.2369	-9.9663	607	1.8083e ⁻²¹
Left Putamen	-30.8260	-6.4565	211	1.4495e ⁻⁹	-49.6062	-8.9647	603	3.8796e ⁻¹⁸
Right Putamen	-29.0864	-6.8354	215	3.3251e ⁻¹⁰	-46.8855	-9.1738	602	9.6031e ⁻¹⁹

Table 2 The slope, t-statistic, estimated degrees of freedom (DF), and associated FDR-corrected p-values for correlation between isotropic volume fraction and age (cf. Fig. 3). *In these regions, normal control or Huntington's disease groups show significant changes in free water fraction at a significance level of 0.05.

		1	Normal Co		Huntington's Disease				
		Slope (10 ⁻³)	t-Stat	DF	p-Values	Slope (10 ⁻³)	t-Stat	DF	p-Values
	Hand	0.4410	1.6148	194	0.1728	1.3038	6.4163	539	1.3002e ^{-9*}
Left	Face	0.3571	2.1778	210	0.0611	0.7133	4.8499	575	2.3160e ^{-6*}
Caudate	Trunk	0.3868	1.4445	203	0.2184	0.7259	3.2555	561	0.0013*
	Leg	-0.0953	-0.2988	157	0.7655	0.7553	3.7125	476	0.0003*
	Hand	0.5166	4.3121	202	0.0004*	0.8653	6.4029	555	1.3002e ^{-9*}
Left	Face	0.4167	2.3924	205	0.0470*	0.3687	3.5611	558	0.0005*
Putamen	Trunk	0.0893	1.0394	201	0.3691	0.6306	5.3025	558	2.9310e ^{-7*}
	Leg	0.3662	2.5095	182	0.0415*	0.5750	4.8706	524	2.3160e ^{-6*}

	Hand	0.6344	2.2620	188	0.0568	1.1323	5.4890	496	1.2921e ^{-7*}
Right	Face	0.3077	1.8964	206	0.1054	0.8103	5.6908	573	5.3852e ^{-8*}
Caudate	Trunk	0.3650	1.2484	204	0.2844	0.7693	3.7406	555	0.0003*
	Leg	0.1047	0.4490	194	0.6975	0.5340	3.1855	526	0.0015*
	Hand	0.5069	3.4185	200	0.0041*	0.9193	6.5131	546	1.3002e ^{-9*}
Right	Face	0.1388	0.6830	205	0.5662	0.7718	5.9120	578	1.8502e ^{-8*}
Putamen	Trunk	0.3968	3.0431	206	0.0106*	0.7675	5.6617	561	5.4746e ^{-8*}
	Leg	0.6707	3.7390	205	0.0019*	1.0549	6.8896	561	2.4118e ^{-10*}

Table 3 The intercept and slope with their t-statistic, estimated degrees of freedom (DF), and associated FDRcorrected p-values for correlation between isotropic volume fraction and the genetic load, CAG (cf. Fig. 5). *indicates significant correlations (FDR corrected p-value < 0.05).

			β0		β1				
		Intercept	t-Stat	DF	P-Values	Slope (10 ⁻³)	t-Stat	DF	p-Values
	Hand	0.0489	1.2676	538	0.3653	-0.8964	-0.9734	538	0.4812
Left	Face	0.0420	1.6034	575	0.2334	-0.8452	-1.3806	575	0.3704
Caudate	Trunk	-0.0275	-0.7290	561	0.6217	0.5862	0.6585	561	0.6383
	Leg	0.06341	1.5716	472	0.2334	-1.0428	-1.0868	472	0.4443
	Hand	-0.0605	-2.7329	556	0.0345*	1.7114	3.2387	556	0.0068*
Left	Face	-0.0454	-2.3334	558	0.0639	1.2007	2.5994	558	0.0307*
Putamen	Trunk	-0.0155	-0.7814	558	0.6217	0. 5938	1.2595	558	0.3704
	Leg	0.0079	0.3586	524	0.8229	0. 0575	0.1094	524	0.9738
	Hand	-0.0130	-0.3780	496	0.8229	0. 5266	0.6459	496	0.6383
Right	Face	-0.0014	-0.0503	574	0.9599	0.3392	0.5299	574	0.6816
Caudate	Trunk	-0.0387	-1.1404	555	0.4074	1.1603	1.4335	555	0.3704
	Leg	0.0512	1.6662	526	0.2334	0.9404	-1.2840	526	0.3704

	Hand	-0.0633	-3.0764	546	0.0176*	1.7583	3.5795	546	0.0030*
Right	Face	0.0017	0.0739	579	0.9599	0.0138	0.0247	579	0.9803
Putamen	Trunk	-0.0505	-2.3971	562	0.0639	1.3746	2.7283	562	0.0263*
	Leg	-0.0624	-3.4471	561	0.0097	1.5809	3.7022	561	0.0030*

Table 4 The slope, t-statistic, estimated degrees of freedom (DF), and associated FDR-corrected p-values for correlation of isotropic volume fraction and clinical scores (total motor scores and diagnostic confidence level, cf. Fig. 6). Except for the connection from trunk to the caudate (colored in red), isotropic volume fractions in other regions are significantly correlated with total motor scores /diagnostic confidence level.

		То	tal Motor	Scores	Diag	nostic Cor	nfidenc	e Level	
		Slope (10 ⁻³)	t-Stat	DF	p-Values	Slope	t-Stat	DF	p-Values
	Hand	0.8886	4.7418	461	4.4355e ⁻⁶	0.0059	3.5451	460	0.0009
Caudata	Face	0.6563	4.6976	552	4.4355e ⁻⁶	0.0041	4.0057	552	0.0002
Cuudule	Trunk	0.8182	1.9485	521	0.0519	0.0041	2.1821	521	0.0296
	Leg	0.5393	2.6763	432	0.0088	0.0038	2.6551	432	0.0094
	Hand	0.6479	5.0405	527	1.2785e ⁻⁶	0.0039	2.7872	526	0.0073
Putamen	Face	0.5353	6.1561	537	1.1525e ⁻⁸	0.0043	5.4032	539	3.9358e ⁻⁷
rutainen	Trunk	0.4044	5.1996	539	7.5747e ⁻⁷	0.0021	3.4316	537	0.0010
	Leg	0.5330	6.0492	493	1.1528e ⁻⁸	0.0042	5.8373	495	7.6851e ⁻⁸