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Collaborative Networks as a Core Enabler of Industry 4.0

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Abstract. The notion of Industry 4.0 is having a catalyzing effect for the integration of diverse new technologies towards a new generation of more efficient, agile, and sustainable industrial systems. From our analysis, collaboration issues are at the heart of most challenges of this movement. Therefore, an analysis of collaboration needs to be made at all dimensions of Industry 4.0 vision, complemented with a mapping of these needs to the existing results from the collaborative networks area. In addition to such mapping, some new research challenges for the collaborative networks community, as induced by Industry 4.0, are also identified.

Keywords: Industry 4.0, Collaborative Networks, Smart Manufacturing.

1 Introduction

The idea of a 4th industrial revolution, represented by terms such as Industry 4.0 and Smart Manufacturing, has attracted considerable attention namely as a result of a proposal by the German government and other initiatives from USA, Korea, and other countries [1], [2]. The initial notion primarily pointed to a merging of the physical and virtual worlds – cyber-physical system (CPS) – thus leading to a CPS-based industry. Soon the idea evolved to a symbiosis of CPS with Internet of Things and Internet of Services, justifying the view that it represents an evolution towards digitalization. This idea was then combined with the notion of "smartness" (intelligence dimension) reflected in terms such as smart factory, smart sensors, smart machines, smart products, smart environments, etc. [3]. This move therefore represents a symbiosis among the informatics, and particularly artificial intelligence, engineering, and manufacturing.

More recently, Industry 4.0 has turned into a buzzword [4] and became a catalyzer or "integration factor" for various new technologies and manufacturing concepts – following the "me too" effect. As a result, its scope has increased – a kind of "everything fits" – making this concept even more difficult to grasp, while every technology-related company also tries to give this concept its own description.

Nevertheless, this trend has brought a number of benefits, namely by creating a momentum to drive industrial transformation and upgrading, catalyzing multidisciplinary contributions, and promoting discussions and identification of new directions and possibilities, as clearly shown by the recent boom of business and academic publications related to Industry 4.0. Furthermore it has also created opportunities for attracting new political and financial support. In fact many countries have been launching local programs on Industry 4.0.

But there is also some risk associated with this new emergence. As usual, the hype creates excessive expectations, and overlooking several hard problems. Many newcomers look at it from a narrow perspective – the perspective of their own field of interest – with a potential loss of the vision and focus. Some publications and talks in conferences resemble the past discussions around the CIM (Computer Integrated Manufacturing) concept of the 1980s, just revamped with some new technologies.

From our perspective, in order to properly understand the vision of Industry 4.0 one needs to look at it from the lens of collaborative networks (CN). It might of course be argued that this is "yet another partial view". Nevertheless, since the collaborative networks area is by nature multi-disciplinary and interdisciplinary, it can enhance getting a more holistic understanding of the issues at stake here. We therefore claim that "collaboration" is at the heart of most challenges in Industry 4.0, and thus the area of Collaborative Networks shall be considered as a major enabler although certainly not the only one – for this industrial transformation. In fact, some important keywords for Industry 4.0 include "networking", "value chains", "vertical and horizontal integration", and "co-engineering / through engineering", which very well match the issues addressed for the CNs [1], [5]. A recent survey [8] also shows that "interconnection" and "collaboration" are two of the main clusters of terms found in related literature. As such, this work proposes an analysis of the relevant dimensions of Industry 4.0, while identifying their collaboration-related aspects and mapping them into the potential contributions from the CN area. Complementarily, a number of open issues are identified as research challenges with a more "collaborative" flavor of those concept, towards what we could term Collaborative Industry 4.0.

2 Trends and Concepts

2.1 Industry 4.0 Concept Overview

Industry 4.0 is mainly characterized by an increasing digitalization and interconnection of manufacturing systems, products, value chains, and business models. The interconnection between the physical and the virtual / cyber worlds – Cyber-Physical Systems and Internet of Things – is a central feature.

In the literature, this concept is often described in terms of its four main dimensions or characteristics, namely: (1) vertical integration / networking, (2) horizontal integration / networking, (3) through-engineering, and (4) acceleration of manufacturing [1], [6]. Some authors also highlight two additional aspects: (5)

digitalization of products and services, and (6) new business models and customer access or involvement [7]. Table 1 summarizes these dimensions.

Table 1 – Summary of characteristics of Industry 4.0

	Characteristic	Notion	Some relevant topics	
1	Vertical integration or networking of smart production systems	Focuses on integrating processes vertically across the entire organization, via networking of smart production systems, smart products and smart logistics. [1], [6], [8].	Extensive CPS Interoperability Decentralization Virtualization Real-time availability of data Service orientation	 Modularization Enterprise wide data analytics & Augmented reality support Needs-oriented & Individualized Optimization
2	Horizontal integration through global value chain networks	Involves networking along the whole value chain, from suppliers and business partners to customers [8], [1], "in order to achieve seamless cooperation between enterprises" [5], [9].	Collaboration Transparency Interoperability Decentralization Data sharing Business ecosystem / business community Track and tracing	 Safety & security Global optimization Global flexibility Suppliers orchestration Resilience Regulatory framework
3	Through- engineering across the entire value chain	Integrates all engineering activities considering the complete life-cycle of the product, from design / production to retirement / recycling [1], [6].	 Product life-cycle Co-engineering End-to-end integration Circular economy Connecting & integrating customers 	 Availability of data at all stages Tracking & tracing Service-enhance products Creating new product-service offerings
4	Acceleration of manufacturing	Strive to optimize the whole value chain through the so-called "exponential technologies" (i.e. exponentially growing technologies), accelerating and making industrial processes more flexible [1], [6].	 IoT, CPS Mobile computing Robotics and drones Artificial Intelligence Additive manufacturing Industrial biology 	 Neuro-technologies Nanotechnologies Sensing technologies Cloud, big data & analytics Collaborative machines
5	Digitalization of products and services	Moves to smart products, by adding sensors, computing and communication capabilities to products, providing availability of product data along its life- cycle, introducing new digital products, and associating business services to products [7].	 Self-identification History record and tracing Augmented reality Data availability 	 Service-enhanced products Assistance Self-diagnosis, self- configuration
6	New business models and customer access	Focuses on new business models that take advantage on digitalization and networking in data-rich contexts, along the value chain. Such models will deepen digital relationships with more empowered customers, and accelerate globalization but with distinct local/regional flavors [7].	 Customer experience Customer intimacy Co-design / co- creation Value chain Link to smart infrastructures 	 Product-service ecosystem Sustainability Social responsibility Glocal enterprise

This industrial transformation momentum towards industry 4.0 is driven by two major forces – the new technological possibilities, and the fast changing market demands (Fig. 1).



Fig. 1 - Driving forces

From a technological perspective, Industry 4.0 is in fact characterized by the combination of large variety of enabling technologies [10], [11]. Furthermore, the role of data – available in fast growing amounts – is becoming central not only challenging the re-design of past systems and solutions, but also motivating new services and products.

2.2 Collaborative Networks Overview

The area of CNs is nowadays represented by a large literature basis [12], [13] and a great variety of implementations, corresponding to multiple classes of collaborative networks. To support this variety of collaboration forms, a large number of models, infrastructures, mechanisms and tools have been developed, as summarized in Fig. 2.



Fig. 2 - Collaborative Networks and some of their supporting technologies

Many of these developments have been directed to manufacturing and other industrial applications, which make the area a natural contributor to Industry 4.0.

3 Collaboration Issues in Industry 4.0

Numerous collaboration issues emerge from the characteristics of Industry 4.0, as summarized in the following Tables 2 to 7. As illustrated in Fig. 3, a good number of contributions to solve these issues can be found in the research on CNs. These examples, although not a complete list, clearly show the relevance of CNs for the materialization of this industrial revolution. On the other hand, further research areas can also be identified, as presented in Section 4.



Fig. 3. Mapping Industry 4.0 into Collaborative Networks

Table 2. Collaboration	issues in vertical	integration of smart	production systems
		0	1 2

Examples of collaboration-related issues	What CN can contribute
• With the increase of intelligence and autonomy of	 Although most CN research has
enterprise systems, vertical integration more and more	focused on networks of
corresponds to networking of smart systems, which need	organizations or networks of
to collaborate in order to support agile processes. For	people, some earlier suggestions
instance, at shop floor this leads to a move from "control	to apply the same concepts to
structures" to "collaborative structures" (from CPS and	networks of machines [14] and
embedded systems to collaborative CPS).	CPS [15] are available.
 Collaboration between humans and robots is an emerging 	 Some works addressed the
field, often limited to one-to-one model, but that can be	interplay among CNs [16].
enlarged to a network level.	 From the area of multi-agent
• Future enterprises can be seen as multi-layer networks,	systems and distributed artificial
involving the interplay of smart production systems,	intelligence, models and
smart products, smart logistics, organizational units, and	protocols for collaboration
people. Support for real-time monitoring and agility	among agents have been
requires fluid interplay among these multiple layers (up	extensively discussed and applied
and downstream).	to manufacturing [17].
• Real-time availability of data and enterprise wide	• The concept of sensing, smart,
analytics and augmented reality supported data	and sustainable enterprise offers
visualization can be better supported by a collaborative	a comprehensive view of
model among the various enterprise units.	integration [18].

Table 3. Collaboration issues in horizontal integration through global value chain networks

Table 4. Collaboration issues in through-engineering across the entire value chain

Examples of collaboration-related issues	What CN can contribute
 Involvement of customers in product design (co-design) as well as close interaction among engineers of different nodes along the value chain (co-engineering) require effective collaboration between manufacturers and customers, possibly involving intermediary stakeholders. Consideration of full-life cycle of product and circular economy requires collaboration among multiple stakeholders. Service-enhanced products or association of business services to products usually requires well-coordinated networks, involving manufacturers and service providers, namely for delivering integrated service packages. This is particularly critical when there is a need for differentiation according to geographical area. 	 Co-design, co-innovation and customer communities are topics addressed in various CN works, e.g. [31], [32]. The interactions between the product life-cycle and the CNs life-cycle were studied in GloNet [23]. The role of CNs in supporting service-enhanced products / product-service systems has been a major research topic in recent years [24], [33], [34], [35], [53].

Table 5. Collaboration issues in acceleration of manufacturing

Examples of collaboration-related issues Wh	at CN can contribute
 Fast introduction of new technologies requires dynamic Com 	bining results from the multi-
involvement of new players along the value chain, and agen	t systems area [18] and from
thus agile collaborative structures. CN	[36] regarding consortia
• Some of the "exponential technologies" strongly based form	ation can provide good
on AI more and more suggest collaboration among supp	ort to agility.
machines (M2M). This trend naturally involves issues • Till	now 3D printing has been
such as sharing, interoperability, negotiation and studi	ed mostly from a
contracting, and trust management, etc. techn	nological point of view and
• Mobile technologies challenge the closed ecosystem only	recently as a new enabler of
models and require collaborative models that cope with new	collaboration models [37].
nomadic systems. • Virtu	al and augmented reality

 Technologies such as 3D printing allow for distributed 	have been studied as a tool for
and localized manufacturing, involving collaboration	training and for management of
among actors located in different geographical locations.	processes, but only recent
• The increasing role of virtual and augmented reality as a	developments of mobile and
tool to collaborate during training activities, to interact in	connectivity concepts can change
an innovative way and for simulation and management of	the way CNs are conceptualized
a certain situation can affect collaboration.	(virtual and real participants)
	[http://yf-os.eu/]

The above tables are focused on the four main characteristics of Industry 4.0. The two other characteristics mentioned in Fig. 1, although partially overlapping the cases mentioned above, also require a strong collaborative networks component, as shown in Table 6 and Table 7.

Table 6. Collaboration issues in digitalization of products and services

Examples of collaboration-related issues	What CN can contribute
 Leveraging the notion of smart product can only be done through effective collaboration among nodes of the value chain, which use the smart product to mediate their collaboration (ako stigmergy); otherwise the full potential of the concept cannot be achieved. It is through collaboration that effective history records and tracing can be kept updated and associated to the product. Data availability next to the product depends on the technological infrastructure but also on the collaboration among all stakeholders involved in the "product history". Inclusion of assistance and other value-added services typically requires contributions from various stakeholders, which implies at least some minimal levels of collaboration – being the "smartness of the product" their common goal. Smart products will inspire / motivate the creation of new services to enhance the value of products, which opens the opportunity for new players, thus creating collaboration communities associated to the product (product-related digital ecosystems. 	 Some examples of stigmergic collaboration can be found in mass collaboration in which "agents communicate with one another indirectly through traces left in the shared environment" [38]. A typical example is Wikipedia. Collaboration of multiple stakeholders in integrated business services provision has been addressed [33], [39], [40], including aspects such as value-added / integrated service composition, service discovery in collaborative environments, etc. Role of CNs in transition to product-service systems [35], [41]. Role of CNs in innovation ecosystems and open innovation

Table 7. Collaboration issues in new business models and customer access

Examples of collaboration-related issues	What CN can contribute
• This dimension further extends the "horizontal	• Numerous forms of goal-oriented
integration", seeking tight collaboration along the value	networks have been implemented
chain.	in diverse industry sectors [44].
• Collaboration with customers (co-design/co-creation of	• The involvement of customer in
products and services), not necessarily under the one-to-	co-creation networks has been
one model, but rather under a community perspective	studied in various sectors, e.g.
(increasing "customer intimacy"). Improving customer	solar energy [31], consumer goods
experience, namely in global markets, also requires close	sector [45], [21], etc.
collaboration among value chain stakeholders.	• Materialization of the <i>glocal</i>
• Addressing global markets taking into account local	enterprise concept through CNs
specificities (notion of global enterprise) requires	[40].

9

collaboration between global producers and local	• New models for collaboration in
providers and other entities close to the customer.	Non-hierarchical value chains [22].
• The move towards "servitization" more and more	• The role of CNs in product-service
requires tight collaboration between manufacturers and a	systems / servitization [35], [41].
growing variety of service providers.	• The role of CNs in sustainability
• Current concerns regarding sustainability and social	has been discussed in various
responsibility require stronger collaboration links	works, e.g. [46] [18] and even been
between industry and other societal actors.	the main theme of the 2010 PRO-
• Hybrid value chains, combining for-profit and non-for-	VE edition [47].
profit organizations.	 Notions of green virtual enterprise
	and green enterprise breeding
	environment have been introduced
	[48].

4 New Research Challenges

Last decades of research in CNs resulted in a large base of theoretical and empirical knowledge, which provides a strong support to the collaboration requirements of Industry 4.0, as summarized in Section 3. Furthermore, the catalyzing "movement" originated with the Industry 4.0 concept is raising new challenges and pointing to areas requiring further research in CNs. Some of these areas include:

- Combination and interplay of multiple dynamic networks. The aimed vertical and horizontal integration dimensions and the need to support the various stages of the product life-cycle lead to the co-existence of multiple networks, formal and informal, involving organizations, people, systems, and machines. These networks have different durations and thus different life-cycles. Understanding the nature and supporting the interactions / interdependences among these networks is crucial for the effectiveness, agility, and resilience of future manufacturing systems. Although some inputs towards this aim can be found in [16], this issue remains an important research challenge.
- Coping with and benefiting from data-rich environments. The increasing availability and use of sensors and smart devices, integrated as cyber-physical systems, combined with the hyper-connection of organizations, people, and systems, generate fast increasing amounts of data. These emerging data-rich environments challenge CNs and associated decision-making systems. Previous design assumptions, based on scarcity of data, need to be revisited, probably leading to new architectures and mechanisms. Furthermore, new collaborative business services that leverage the value of big data are likely to emerge. On the other hand, data-richness also raises issues regarding data validity and quality, data protection, access, and ownership.
- Extend the use of a CNs perspective to complex CPS. Earlier CPS/IoT efforts were focused on the base technological aspects, such as interconnectivity, safe communications, control, and coping with limited energy and computing resources. As the level of intelligence, and thus the autonomy, of devices, machines and systems increases, and the number of interconnected entities grows exponentially, it is necessary to bring in new perspectives in terms of

organizational structures (e.g. communities or ecosystems of smart entities), and moving from a "control-orientation" towards a collaboration perspective.

- Extend the idea of collaborative networks to communities of machines and H-M collaboration. Taking advantage of new interfacing technologies, e.g. natural user interfaces, augmented and virtual reality, holograms, more effective approaches for human-machine collaboration can be developed. The emerging field of "collaborative robotics" points in this direction, but instead of a one-to-one collaboration model (as in current systems) [49], a more comprehensive networked model can be envisioned. In other words, new H-M interfacing technologies allow to revisit the concept of balanced automation systems [50], [51], re-enforcing the collaboration perspective (human-enhancement and human-machine symbiosis).
- Networks involving hybrid value systems. The need to properly consider the societal dimension and systems sustainability require an increasing collaboration of manufacturing industries with other societal stakeholders. Thus a collaboration among public, NGOs, and private entities, guided by different value systems, which calls for a better understanding of the interactions and alignment of value systems in CNs. Furthermore smart cities, smart communities need to include and to consider the role of manufacturing companies for the wealth of the country.
- Further develop the sensing, smartness, and sustainability dimensions. New products, processes, enterprises, communities, and infrastructures need to be envisioned as sensing, smart and sustainable (S3), extending the concept of "S3 enterprise" [52], in order to transcend individual interests and better satisfy collective aspirations. Human capability and machine intelligence need to be integrated within production systems so to achieve maximum efficiency as well as worker satisfaction. Research efforts should tackle social sustainability challenges at all levels of manufacturing industries (from shop-floor to production systems to networks). This implies moving from an enterprise-centric perspective to a business ecosystem-oriented perspective. CNs is a key enabler for the materialization of this idea, which requires the integration and interaction of multiple entities that are heterogeneous, distributed and autonomous, but that must collaborate in order to achieve their collective goals.
- Seek inspiration in nature, towards optimized solutions. Nature is full of examples of successful collaboration processes, shown in a wide variety of forms, and which seem to be highly optimized. On the other hand, seeking optimized, agile and sustainable solutions is a core goal of Smart Manufacturing. Therefore, a study of research results from Nature-related disciplines regarding collaboration can provide good inspiration to better understand and replicate sustainable collaboration mechanisms and organizational structures.
- **Deployment of open linked data and interlinking of open ontologies** to enhance collaboration among autonomous and heterogeneous connected agents in collaborative environments. This is crucial to support both vertical and horizontal integration.

- *Better service specification mechanism*, enhancing service discovery, composition and evolution in collaborative environments, coping with mobility and evolution of manufacturing equipment.
- Further develop monitoring and supervision of agents collaboration in *coopetitive* environments. This requires further development of behavioral models, and other advanced aspects such as collective emotions, resilience mechanisms and antifragility in order to cope with disruptive events, etc.
- *New business models for new CNs:* upon the changes enabled by Industry 4.0 the structure, the actors, the interaction mechanisms, the value creation mechanisms of CNs will change. Organizations (both public and private) will be asked to revise their processes, rules of collaboration, as well as regulatory systems. In the specific case of manufacturing companies, service orientation, inclusion of sustainability issues, availability of big data, etc., are strategies that need to be accompanied by new organizational models.
- *Re-enforce interdisciplinary work*. The increasing levels of integration envisioned by Industry 4.0 clearly require the contribution from multiple disciplines. The CNs area, the result of an interdisciplinary effort in itself, can facilitate the needed dialog among all stakeholders in Industry 4.0, but also needs to be continuously re-enforced, seeking synergies among multiple knowledge areas.
- Further education and dissemination of CN concepts in industry. Performing this industrial revolution is not only a matter of technology. It requires a different mind-set, new ways of working, a new culture. For this to happen, and considering the enabling role of collaborative networks, it is necessary to invest further on education and dissemination of CN concepts in the industrial communities.

5 Conclusions

The vision behind Industry 4.0 and alternative terms such as Smart Manufacturing is having a strong catalyzing effect, reflected in the convergence of various new technologies and mobilization of efforts towards reorganization of industry. To some authors this effect is triggering a new industrial revolution.

An effective materialization of this vision strongly relies, in our opinion, on collaborative organizational structures, processes, and mechanisms. This position is confirmed through an analysis of the Industry 4.0 requirements along its six dimensions – vertical integration, horizontal integration, through-engineering, acceleration of manufacturing, digitalization, and new business models – which allowed us to identify a large number of collaboration-related issues.

From an analysis of literature on collaborative networks, a great number of research results and empirical knowledge gathered along the last two decades which constitute a rich contribution to the identified needs, positioning CNs as a major enabler of Industry 4.0.

Complementarily, this analysis shows that this revolution also raises new research challenges or re-enforces ongoing focus topics in the CN community. A preliminary

list of examples of such challenges was elaborated, although further refinement is needed.

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