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# High-dimensional compositional microbiota data: state-of-the-art of methods and software implementations

GDR "Statistique et Santé", 2017, Bordeaux

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5 Octobre 2017

# Human Microbiota

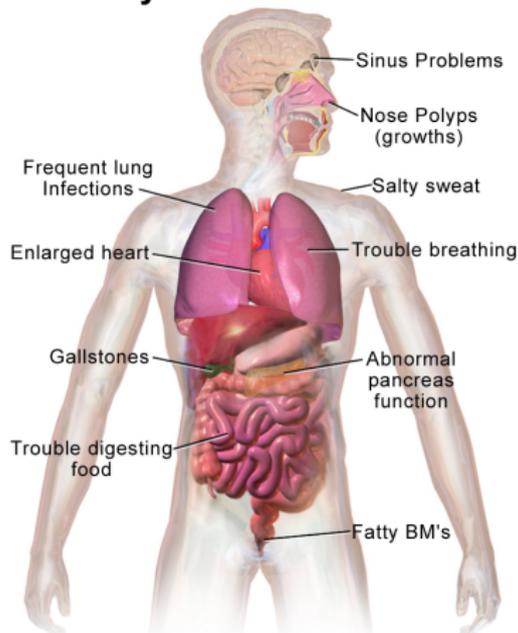
- Aggregate of **microorganisms**
- Include **bacteria**, **archaea**, protists, **fungi** and viruses
- Body = 90% bacterial and 10% human
- 10× more than human cells
- Microbiota, **the key** of our health
- Genetic code = Microbiome
- High-Throughput sequencing technologies



# Cystic Fibrosis

- **Genetic disorder** that affects mostly the **lungs**
- Main symptoms: frequent chest infections and coughing or shortness of breath
- Life expectancy: around 40 years

## Health Problems with Cystic Fibrosis



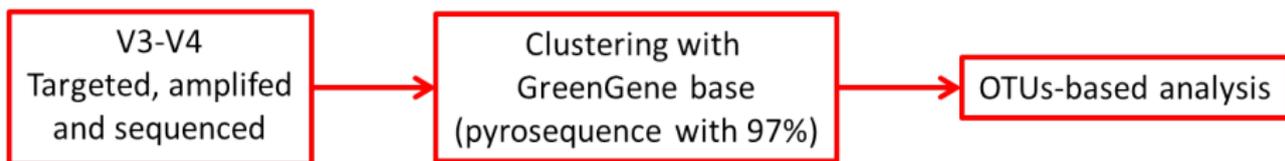
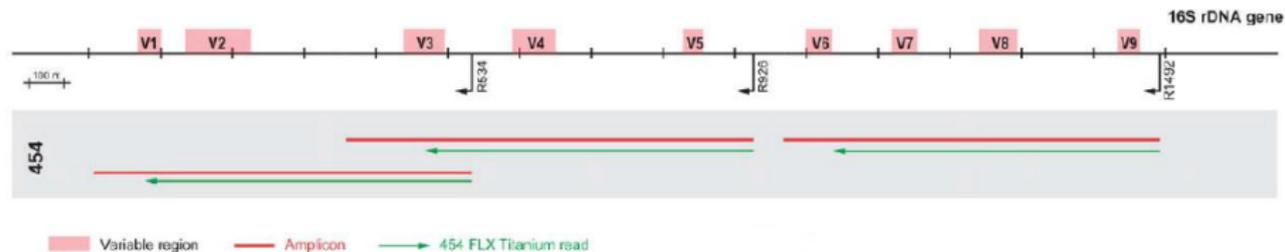
# MucoFong cohort

- 3-year multi-center (Angers, Bordeaux, Dijon, Dunkerque, Grenoble, Lille and Rouen) prospective observational study from 2007 to 2010
- Supported by the national clinical research program "PHRC"
- 300 patients with following Criteria inclusion:
  - (i) Patient with a well-documented diagnosis of CF validated with the criteria in force (Rosenstein, 1998)
  - (ii) Belonging to one of the participating centers and older than six years
  - (iii) Undergoing a mycological analysis of sputum sample as part of either the annual microbiological checkup or the clinical management of an acute pulmonary exacerbation
  - (iv) Written informed consent form endorsed.
  - (v) Pulmonary transplant recipients were excluded at baseline.

## Subsample at the inclusion:

- 37 sputum samples was sequencing using NGS
- 75 bacteria and 80 fungal
- Composition and structure of the CF airways microbiota and mycobiota

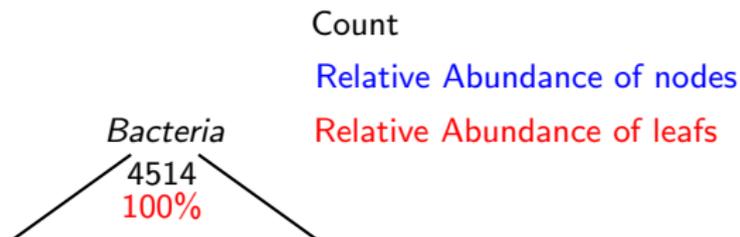
# Sequencing and phylogenetic assignation



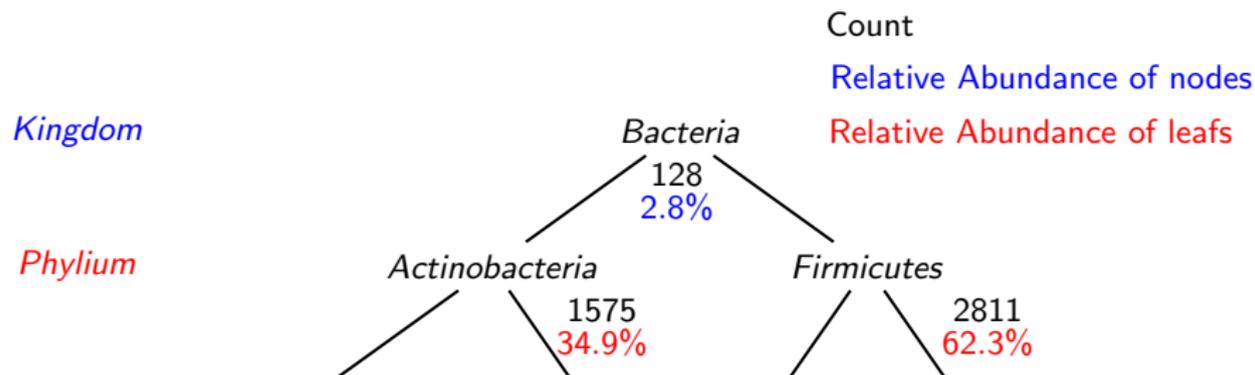
OTU: Operational Taxonomic Unit

# Microbiota composition - Phylogenetic tree

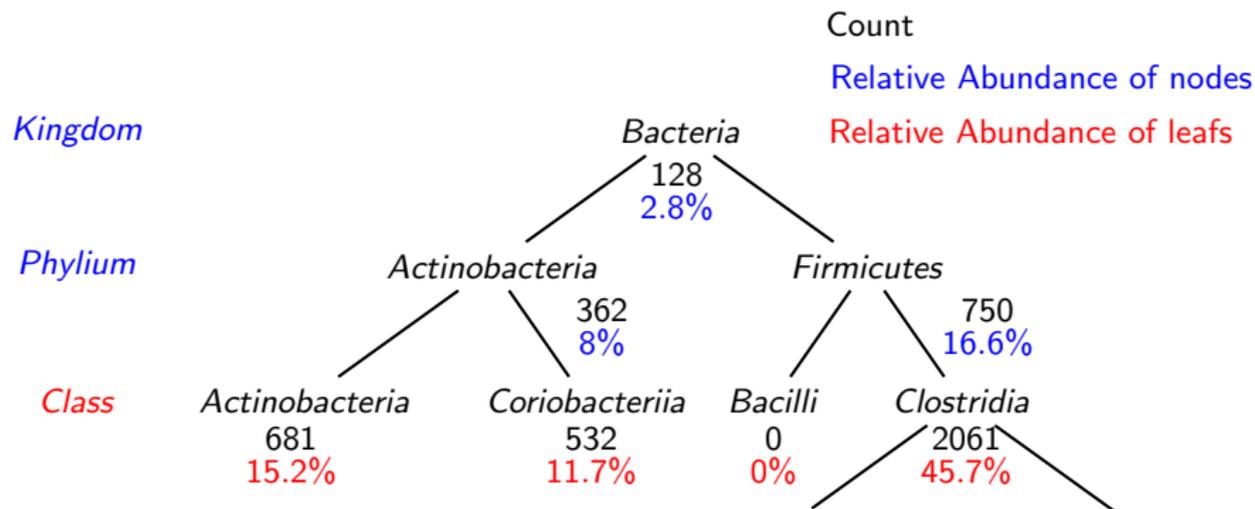
*Kingdom*



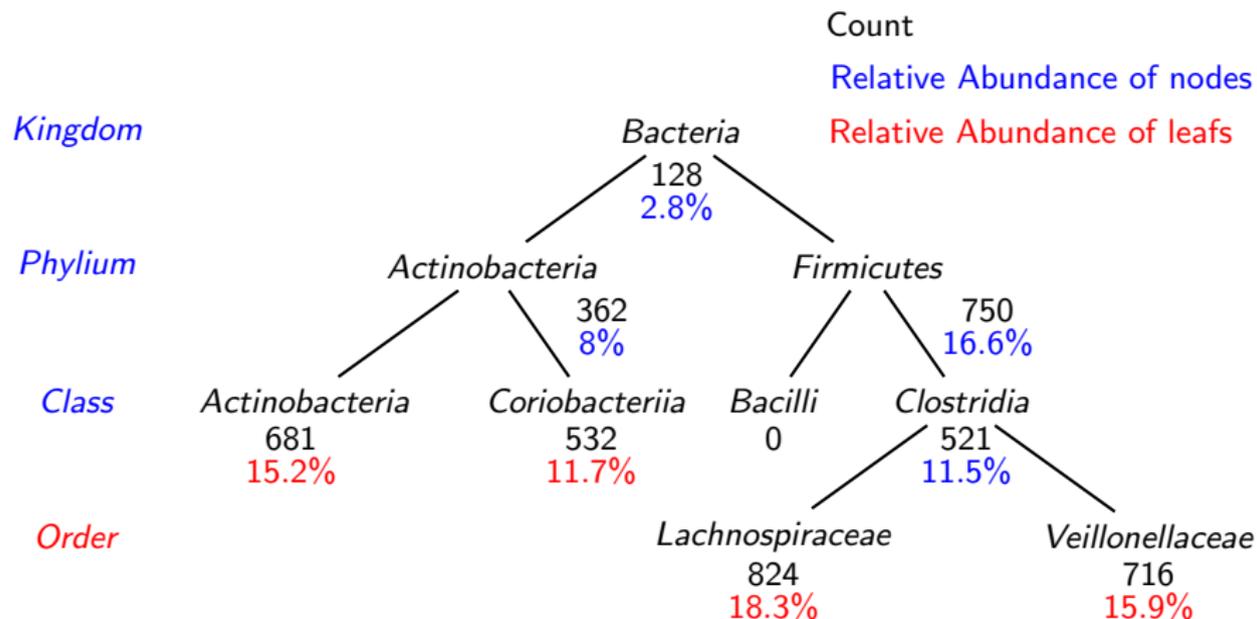
# Microbiota composition - Phylogenetic tree



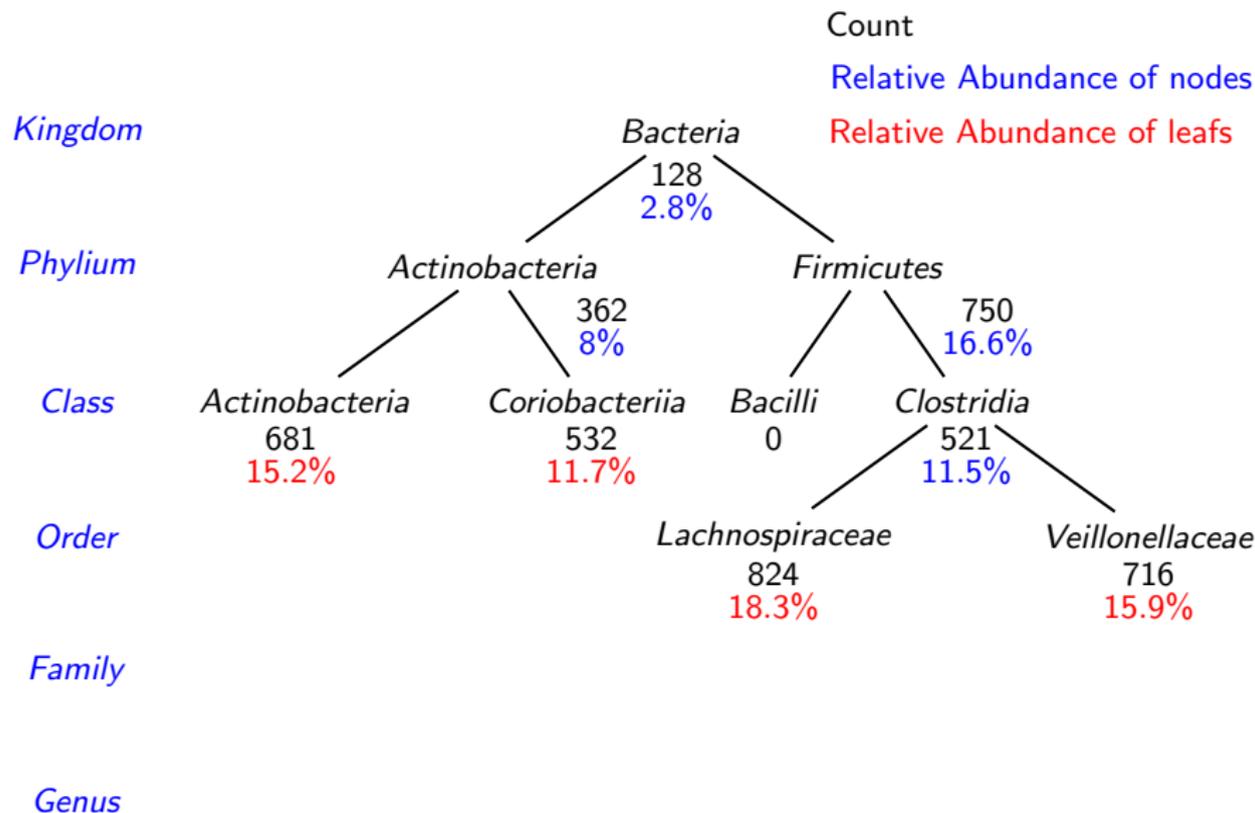
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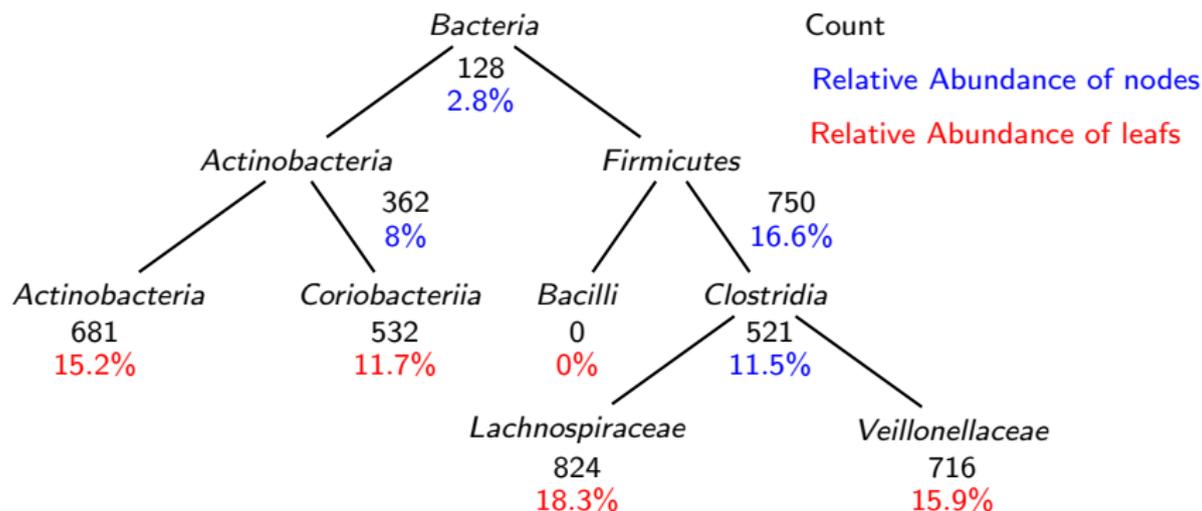


# Basic concepts

- $\mathbf{z} = (z_1, \dots, z_D)' \in \mathbb{R}_+^D \Rightarrow$  Count
- $\mathbf{x} = (x_1, \dots, x_D)' \in \mathcal{S}^D \Rightarrow$  Relative abundance

$$C(\mathbf{z}) = \left( \frac{z_1}{\sum_{i=1}^D z_i}, \dots, \frac{z_D}{\sum_{i=1}^D z_i} \right) = (x_1, \dots, x_D)'$$

- **Simplex:**  $\mathcal{S}^D = \left\{ \mathbf{x} = (x_1, \dots, x_D)' : x_j > 0, j = 1, \dots, D; \sum_{j=1}^D x_j = 1 \right\}$



# Ternary diagram

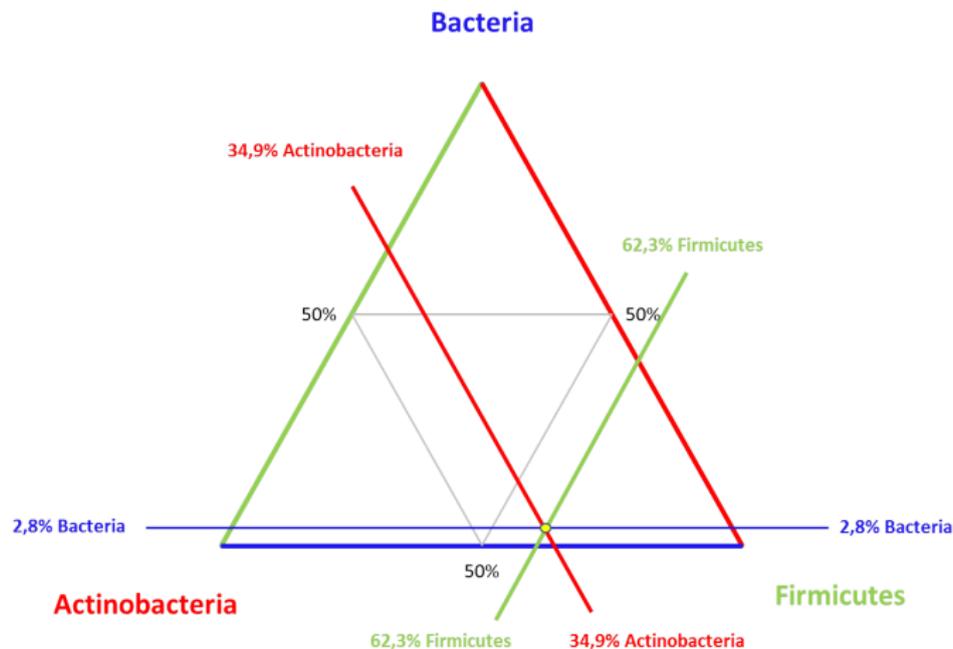


Figure 1: Ternary diagram with Bacteria, Actinobacteria and Firmicutes

# Conditions to apply statistical methods

- Permutation-invariant
- Scale invariance
- Subcompositional coherence
- Log-ratio transformation
  - Additive log-ratio (alr) - Aitchison, 1986 [1]
  - Centered log-ratio (clr) - Aitchison, 1986 [1]
  - Isometric log-ratio (ilr) - Egozcue, 2003 [4]

**Example:** Compositional of 3 OTUs

$$[2.8, 34.9, 62.3] = [34.9, 62.3, 2.8] = [62.3, 2.8, 34.9]$$

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**Example:** Compositional of 3 OTUs

	Children [Bact, Acti, Firmi]	Adult [Bact, Acti, Firmi]
Number of OTUs	[53, 76, 14]	[28, 41, 8]
Abundance relative (%)	[37, 53, 10]	[37, 53, 10]

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**Example:** Compositional of 3 OTUs

	Children [Bact, Acti, Firmi]	Adult [Bact, Acti, Firmi]
Number of OTUs	[66, 152, 28]	[56, 81, 16]
Abundance relative (%)	[37, 53, 10]	[37, 53, 10]

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**Example:** Compositional of 3 OTUs

We consider the composition of 2 patients  $P_1$  and  $P_2$  and  $d(P_1, P_2)$  the distance between both compositions.

$$\begin{aligned}d(P_1, P_2) & \text{ [Phylum level]} \\ & = d(P_1, P_2) \text{ [Class level]} \\ & = d(P_1, P_2) \text{ [Order level]} \\ & = d(P_1, P_2) \text{ [Family level]} \\ & = d(P_1, P_2) \text{ [Genus level]}\end{aligned}$$

## Objective of this study

**Clinical goal:** Analysis the association between micromycetes (bacterias or fungus) in the degradation of pulmonary function in patients with cystic fibrosis disease (Mucofong Data).

**Statistical challenge:**

Compositional Data + High-dimension

# Objective of this study

**Clinical goal:** Analysis the association between micromycetes (bacterias or fungus) in the degradation of pulmonary function in patients with cystic fibrosis disease (Mucofong Data).

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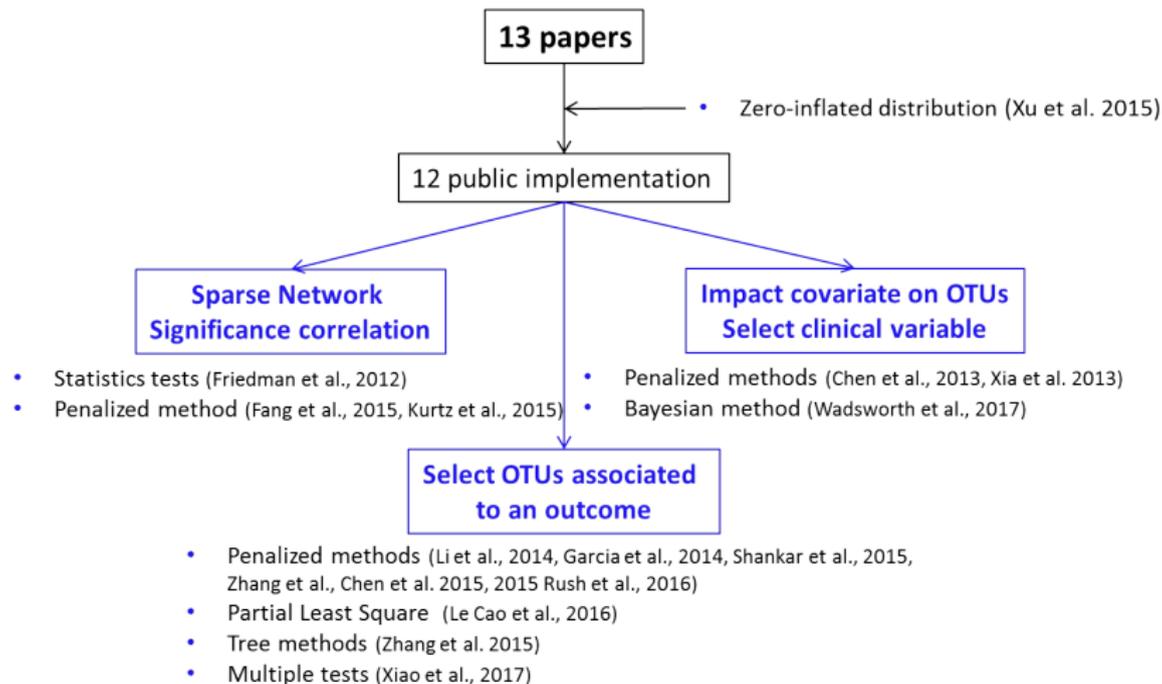
Compositional Data + High-dimension

**In this presentation:**

- Review of statistical methods for high-dimensional compositional data
- To compare existing methods adapted to our problem

## (Not exhaustive) State-of-the-art:

Key-words in PubMed (Title/Abstract): ("High-dimensional" or "variable selection" or "OTU selection") and "microbiome"



# Compared Methods

Article	Description of method
<b>Log-Contrast</b> (Lin et al., Biometrika, 2014 [9])	<ul style="list-style-type: none"> <li><math>\hat{\beta} = \operatorname{argmin}_{\beta} \ \mathbf{Y} - \mathbf{X}\beta\ _2^2 + \lambda \beta  \quad \sum_{j=1}^p \beta_j = 0</math></li> </ul>
	<b>Regression without hierarchical structure included</b>
	<ul style="list-style-type: none"> <li><math>\mathbf{X} = (\mathbf{X}^{(1)}, \dots, \mathbf{X}^{(k)}, \dots, \mathbf{X}^{(L)})</math> with <math>\mathbf{X}^{(k)} \in \mathcal{M}_{(n \times p_k)}</math></li> <li><math>\mathbf{X}^{(k)} = (\mathbf{X}^{(k,1)}, \dots, \mathbf{X}^{(k,m)}, \dots, \mathbf{X}^{(k,M_k)})</math> with <math>\mathbf{X}^{(k,m)} \in \mathcal{M}_{(n \times p_{k,m})}</math></li> </ul>
<b>SGSL</b> (Garcia et al., Bioinformatics, 2014 [6])	<ul style="list-style-type: none"> <li><math>\hat{\beta} = \operatorname{argmin}_{\beta} \frac{1}{2} \ \mathbf{Y} - \sum_{k=1}^L \mathbf{X}^{(k)} \beta^{(k)}\  + \alpha_1 \lambda \sum_{k=1}^L \sqrt{p_k} \ \beta^{(k)}\ _2 + \alpha_2 \lambda \sum_{k=1}^L \sum_{m=1}^{M_k} \sqrt{p_{k,m}} \ \beta^{(k,m)}\ _2 + (1 - \alpha_1 - \alpha_2) \lambda \ \beta\ _1</math></li> </ul>
	<b>Regression with 3 hierarchical level included</b>
	<ul style="list-style-type: none"> <li><math>\mathbf{X} = (\mathbf{X}^{(1)}, \dots, \mathbf{X}^{(k)}, \dots, \mathbf{X}^{(L)})</math> with <math>\mathbf{X}^{(k)} \in \mathcal{M}_{(n \times p_k)}</math></li> <li><math>t = 1, \dots, T + 1</math></li> </ul>
<b>Phy-Lasso</b> (Rush et al., preprint, 2016 [11])	<ul style="list-style-type: none"> <li><math>\hat{\beta} = \operatorname{argmin}_{\beta} \frac{1}{2} \ \mathbf{Y} - \sum_{k=1}^L \mathbf{X}^{(k)} \beta^{(k)}\  - \sum_{t=1}^T \sum_{k=1}^L \alpha_k^t - \lambda \ \beta\ _1</math></li> </ul>
	<b>Regression with hierarchical structure included</b>
	<ul style="list-style-type: none"> <li><math>H_{0j}</math>: the <math>j</math>th OTU is not associated with <math>\mathbf{Y}</math></li> <li>Hierarchical model</li> <li>Permutation-based FDR control algorithm</li> </ul>
<b>StructFDR</b> (Xao et al., Bioinformatics, 2017 [15])	

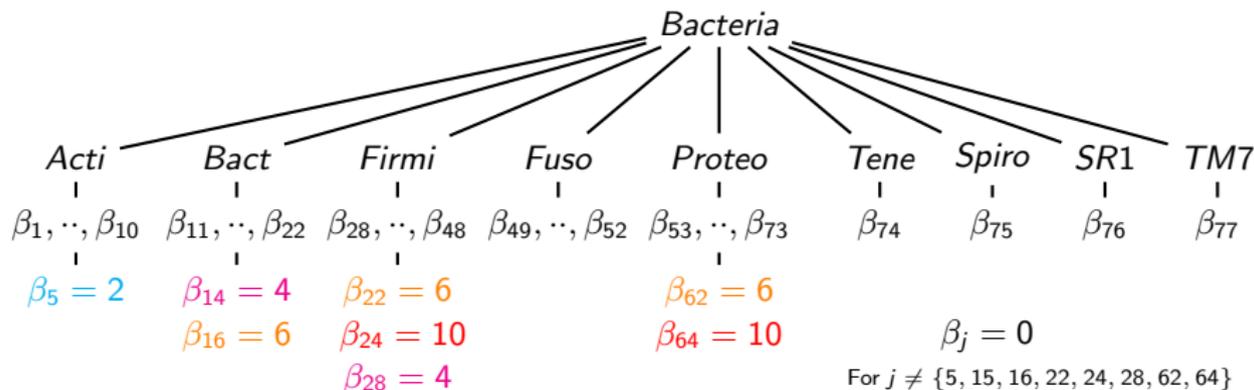
## Multiple test with hierarchical structure included

# Simulation data from MucoFong

- $FEV_1$  (Force Expired Volume) is generated from a Gaussian distribution
- 100 data sets simulated of size  $n = 33$  from:

$$FEV_i = \beta_0 + \text{clr}(\mathbf{OTU})_i \beta + \varepsilon_i \quad i = 1, \dots, n \quad (1)$$

- $\mathbf{OTU}_{(n \times p)} \sim \mathcal{DM}(\boldsymbol{\pi}, \theta)$  where  $\boldsymbol{\pi}$  and  $\theta$  estimated by real data with *dirmult()* function
- $\boldsymbol{\beta} = (\beta_1, \dots, \beta_{77})$
- $\varepsilon \sim \mathcal{N}(0, 1)$



# Tuning parameter selection and comparison criteria

- Data  $\mathbf{D}$  is randomly chunked into  $K$  disjoint blocks
- $\mathbf{D}_k$  is the learning data, used to estimate coefficients
- $\mathbf{D}_{\setminus k}$  is the test data used to evaluate the loss function  $L$

Cross-Validation : 10 fold-CV

$$CV(\lambda) = \frac{1}{n} \sum_{i=1}^K \frac{1}{n_k} \sum_{i \in \mathbf{D}_k} \left( Y_i - \mathbf{X}_i \hat{\beta}(\lambda)_{\mathbf{D}_{\setminus k}} \right)^2, \quad (2)$$

Goal: Select OTUs associated to the outcome

- 100 simulations ( $S = 100$ )
- Selection proportion (SP)

$$SP(\hat{\beta}_j) = \frac{1}{S} \sum_{s=1}^S \mathbb{I}_{\hat{\beta}_{j,s} \neq 0} \in [0, 1] \quad (3)$$

# Selection proportion of significant OTU

OTUs	Mean relative abundance (%)	True $\beta$	LogContrast	SGSL	PhyLasso	StructFDR
OTU <sub>24</sub>	0.5	10	0	0.35	0.23	0.01
OTU <sub>64</sub>	13.1	10	0.48	0.90	0.92	0.34
OTU <sub>16</sub>	4.9	6	0.04	0.90	0.83	0.01
OTU <sub>22</sub>	1.8	6	0.01	0.70	0.62	0
OTU <sub>62</sub>	3.4	6	0.01	0.77	0.77	0
OTU <sub>14</sub>	1.9	4	0.01	0.70	0.65	0
OTU <sub>28</sub>	8.4	4	0.04	0.67	0.86	0
OTU <sub>5</sub>	4.7	2	0.01	0.92	0.78	0



- Microbiota  $\Rightarrow$  Topic in progress
- Compositional data  $\Rightarrow$  Specific
- In high-dimensional  $\Rightarrow$  Recent research

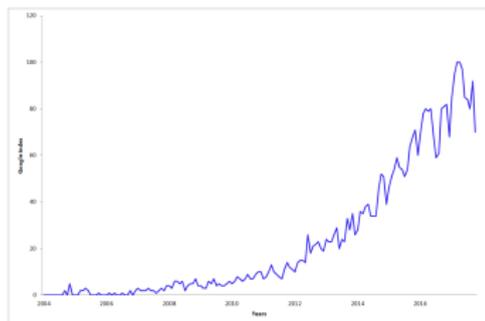


Figure 2: Trend for the key word "Microbiota" on Google Trends

### In this presentation

- Review statistical method
- Comparison methods on simulation based on real data (MucoFong cohort)  $\Rightarrow$  Phy-Lasso

### Analysis of MucoFong Data

- *Characterization of the respiratory mycobiome and microbiome in cystic fibrosis: first evidence for inter-kingdom cross-talk during acute pulmonary exacerbation, Soret et al. (in progress)*



THANK YOU FOR YOUR ATTENTION



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