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### , 1 Statement

10 The authors declares that there is no conflict of interest regarding the publication of this paper.

## <sup>11</sup> 2 Abstract

In this study we identified a low-dimensional representation of control mechanisms in throwing 12 motions from a variety of subjects and target distances. The control representation was identified 13 at the kinematic level in task and joint spaces respectively, and at the muscle activation level using 14 the theory of muscle synergies. Representative features of throwing motions in all of these spaces 15 were chosen to be investigated. Features were extracted using factorization and clustering tech-16 niques from the muscle data of unexperienced subjects (with different morphologies and physical 17 conditions) during a series of throwing tasks. Two synergy extraction methods were tested to assess 18 their consistency. For the task features, the degrees of freedom (DoF) and the muscles under study, 19 the results can be summarized as: 1) a control representation across subjects consisting of only 20 two synergies at the activation level and of representative features in the task and joint spaces, 21 2) a reduction of control redundancy (since the number of synergies are less than the number 22 of actions to be controlled), 3) links between the synergies triggering intensity and the throwing 23 distance, and finally 4) consistency of the extraction methods. Such results are useful to better 24 represent mechanisms hidden behind such dynamical motions, and could offer a promising control 25 representation for synthesizing motions with muscle-driven characters. 26

## 27 3 Introduction

Understanding how humans control motion is an important aspect in a variety of fields, ranging 28 from neuroscience, to robotics, and animation [1]. Several theories have been proposed which aim at 29 unveiling the efficient and powerful mechanisms behind human motion generation. In neuroscience 30 and biomechanics some of the objectives of identifying such mechanisms are to validate an existing 31 motor control theory, to diagnose and treat pathologies, or to enhance athletic performance. In 32 animation and robotics, identifying such mechanisms is the key to enhance the realism and efficiency 33 of the motions in virtual humans and robots, since it would allow the development of more realistic 34 motion controllers, reflecting a global control of motion [2]. More realistic motions imply a higher 35 degree of similarity to humans, at the visual, kinematic, and dynamic level. 36

Our motivation lies in the domains of neuroscience and animation. In the animation field, 37 characters with more detailed actuators (or muscles) are starting to be used for motion synthesis. 38 The use of muscle-based characters, entails several advantages such as smoother torque gener-39 ation [3], more realistic responses to perturbations [4, 5], and an ease to simulate pathologies 40 and fatigue [6, 7]. However, the use of muscles complicates the control problem by augmenting 41 non-linearity and redundancy, as at least two muscles are necessary to actuate each degree of free-42 dom [8]. Furthermore, computationally expensive optimization-based solutions, which are unlikely 43 to represent how humans control motions, are used to compute a high number of control signals. 44

Thus, it is necessary to define compact control schemes reducing the complexity of the control for such applications. Neuroscience provides several interesting ways to circumvent this issue, such

as the theory of muscle synergies [9, 1], that tries to reduce redundancy by identifying a simple and 47 generic control representation of given tasks. This theory is based on an interesting hypothesis of 48 how redundancy is handled by the central nervous system (CNS): it assumes the existence of links 49 between the ensemble of muscle control signals during the performance of a task, which reduces 50 redundancy. Thus, through synergies, the muscles are controlled as groups and not individually. 51 There is support for a neural organization of these synergies [10, 11] while remaining an open 52 question [12, 13]. Even if the interpretation of the low-dimensionality revealed by decomposition 53 methods is subject to debate [14, 15], these methods allow the creation of a compact and low 54 dimensional control representation based on experimental data for simple and complex motions. 55 Indeed, electromyography (EMG) signals processing strategies (Principal Components Analysis, 56 Non-negative Matrix Factorisation) are able to extract compact features from EMG signals, even 57 if these extracted synergies may be a consequence of a more complex mechanism [16, 17]. Many 58 studies have extracted synergies during a variety of simple upper body human motions (such as 59 pointing and reaching [18, 19]). However, to the authors' knowledge, only few studies have dealt 60 with complex, unconstrained (or free), and dynamic motion [20, 21] and, among them, overhead 61 throwing is interesting to analyze through this theory. 62

An overhead throwing motion consists in launching an object forward and above the shoulder 63 by using one arm. This is the type of motion with which humans can throw with speed and accuracy [22]. Unlike simple manipulation tasks such as reaching, lifting, pulling and pushing, this 65 task is more complex, requiring a higher coordination, accuracy, and skill. Thus, it is a highly 66 redundant and nonlinear task, which involves a dynamic manipulation [23]. It is highly redundant 67 because there exists an infinite number of solutions or movements that achieve the same target hit. 68 It is highly non-linear due to the fact that positions and velocities are coupled, and that in order 69 to hit the desired target, at the moment of ball release the hand velocity, position, and the object's 70 time of flight should satisfy the parabolic projectile equation. Finally, it is also dynamic because 71 of the high accelerations and momentum at certain motion phases. Thus, the aim of this work is 72 to identify a compact representation of the control mechanism behind overhead throwing motions, 73 in order to: 1) validate a control representation extraction methodology, and 2) produce a low-74 dimensional control representation which could later be exploited to synthesize throwing motions 75 in animation. Such representations could later be extracted from other types of throwing motions 76 (such as sidehand and underhand throws) and simpler arm motions such as pointing, to feed a 77 controller library that may produce variety of motions from these compact representations [24]. 78

The generic representation should contain a reduced set of control variables (less than the 79 number of joint actions in study). It should also encode important temporal and spatial control 80 trends, invariant over a variety of morphologies. Finally, it should show some of the links between 81 these control variables and task space goals or features, that has to be used as controller inputs in 82 motion synthesis tools. One of our previous work [25] has shown that the control strategy could 83 be represented as synergies during throwing motions. However, this analysis comprehended solely 84 the activation space and a unique subject. In this paper, we propose to extend this analysis by 85 extracting control strategies from a variety of subjects, and by analyzing their relationships with 86 kinematic goals. 87

For this purpose, we present an analysis to identify generic representations of control strategies 88 starting at the task space level, joint space level, until finally reaching the activation (or actuation) 89 space level, where we extract the synergies or basis control functions. First, the experimental 90 setup used to extract the motion and muscle data is presented. Next, the control variables or 91 features at the task, joint, and activation spaces are defined. This is then followed by a detailed 92 explanation of the methods used to extract the control representation, which encompass clustering 93 and matrix factorization techniques. Two methods were used to extract the muscle synergies and 94 the consistency of their results is assessed. Finally, the generic control strategies representations 95 are illustrated and explained. Results show the existence of a generic control representation at 96 the activation level for a variety of morphologies during throwing tasks, and its relationship with 97 task space goals and features. Our new model could be later used to control a larger variety of characters and a larger family of motions involving similar task space goals. 99

## <sup>100</sup> 4 Materials and Methods

#### <sup>101</sup> 4.1 Experimental Setup

#### 102 4.1.1 Subjects

Ten healthy men (age  $29.8 \pm 5.6$  years old; weight  $72.4 \pm 9.9 \ kg$ ; height  $1.77 \pm 0.07 \ m$ ) volunteered for the experiments. The subjects were all right handed and all except one (subject 3) had never suffered injuries in the right arm. Furthermore, none of the participants were professional athletes, and they all had different physical conditions (with a mean number of hours of sport activity per week of  $3.85 \pm 3.07$ ). Each subject provided a written informed consent form before participation. The experimentation was conducted in accordance with the Declaration of Helsinki (1964). The study was approved by the ethics committee of the M2S laboratory of University of Rennes 2.

#### 110 4.1.2 Task

A series of experiments were conducted where the task consisted of a right-hand overhead throw 111 to a static target placed at different distances from a fixed throwing site. The target was placed at 112 2 m, 4 m, and 7 m along a straight line from the throwing site. The target was a hole of diameter 113  $0.7\ m$  placed at 1.5 m from the ground. The ball was a standard american football ball,  $0.28\ m$ 114 long and  $0.15 \ m$  large, weighing  $0.4 \ kg$ . Before beginning the experiments subjects underwent a 115 short training where they practiced long distance throws for 5 to 10 minutes. Once the training 116 was finished the experiments began. During these experiments, the throwing order was randomized 117 (to reduce learning effects) and for each distance the subjects performed 6 throws for a total of 18 118 throws. A description of the motion and the experimental setup are featured in Figures 1 and 2. 119 The overhead throw is composed of four main stages [26]: Starting position, cocking, acceleration, 120 release and follow-through. In the starting position the thrower positions his body sideways with 121 respect to the intended target. The cocking phase consists of the motion between the starting 122 position until maximum external rotation is reached, before the ball starts to move forward. The 123 acceleration begins as the ball is moved forward and finishes when the ball is released. This phase 124 is known as the explosive phase since the velocity of the ball changes from zero to its maximum in 125 a short time period. Finally, the release and follow-through phase consists in a deceleration of the 126 throwing arm once the ball is released. 127



Figure 1: **Overhead throwing motion.** Example of an overhead throwing motion to a 4 m target (bone graphics issued from [27]). Representative posture of each phase of the motion are shown.

For each throw, the subject stood in starting position (see Figure 1). Recording began (onset) when a motion of the hand was detected (threshold at 0.05 m/s) and ended when the ball was released (offset).

#### 131 4.1.3 Data Acquisition and Processing

During the throwing task the activity of several muscles of the right arm and body kinematics were recorded. For this study we focused on studying 4 degrees of freedom (DoF), and 6 muscles. The Segmental Interaction Principle states that energy can be transferred between segments,



Figure 2: Experimental Setup. The setup consisted in a throwing site and a target that could be placed at 2, 4 and 7m from the thrower. Motion capture was done thanks to 16 cameras and EMG measurements were done through a wireless EMG system.

and in both simultaneous and sequentially coordinated movements, energy is transferred through 135 the linked segment system of the body [28]. However, studies have shown that unlike baseball 136 throwing, in football throwing (or passing) the rotations or contributions of the legs, pelvis, and 137 upper torso are limited [29]. Thus, we decided to first focus on the arm's kinematic chain (be-138 ginning at the glenohumeral joint), and on the degrees of freedom with the highest contribution 139 to throwing per segment. These degrees of freedom were the shoulder internal/external rotation, 140 and shoulder, elbow and wrist flexion/extension. The shoulder internal/external rotation and el-141 bow flexion/extension were especially selected due to the fact that they are the major upper limb 142 actions during throwing [23], mainly during the acceleration phase [30]. 143

Next, we selected a set of muscles which contained: 1) at least an agonist and antagonist muscle per each DoF under study, 2) muscles with important contributions during throwing [31, 32], and muscles which could be reached by surface EMG electrodes [33]. Thus, the recorded muscles were the deltoid posterior and anterior, the biceps, the triceps long, and the wrist extensors (extensor digitorium, extensor carpi radialis and ulnaris) and flexors (flexor digitorium, flexor carpi radialis and ulnaris), which were recorded as a group.

The muscle activity was collected using wireless surface EMG electrodes (Cometa Waveplus 150 EMG system) and well known electrode placement protocols [33, 34]. This activity was then pro-151 cessed using a standard protocol [35]: The EMGs were amplified (gain 1000), digitized (1kHz), 152 band-pass filtered (10-450 Hz, 4th order butterworth filter with no phase shift), rectified, and 153 low-pass filtered (6 Hz, 4th order butterworth filter with no phase shift [36]). Additionally, elec-154 trocardiogram (ECG) artifacts were removed using an ICA-based filtering procedure [37]. Motion 155 was captured using a Vicon system (16 cameras, 100Hz sampling rate) and reflective markers. The 156 markers were placed on bony landmarks (49 markers) as recommended by the International Society 157 of Biomechanics (ISB) [38, 39, 40, 41], around the target (6 markers), and on the ball (9 markers) 158 (Figure 3). Each of the marker trajectories was low pass filtered (10 Hz, 4th order Butterworth 159 low pass filter with no phase shift). 160

#### 161 4.2 Control Features

The throwing motions can be characterized at three different levels: in the task space, in the joint space, and in the activation space (muscular space). The following sections aim at characterizing the motion at each of these levels through the definition of a set of kinematic and muscular features.



Figure 3: Marker and EMG placement. [33, 34] recommendations were followed for the EMG placement, whereas reflective markers were placed following the ISB recommendations with small adjustements [38, 39, 40, 41].

#### 165 4.2.1 Task Space Features

Overhead throwing motions are horizontal projectile motions which are determined by three factors: 166 velocity of release, height of release, and angle of release [42]. Based on this observation a set of task 167 space features was defined and analyzed across task space conditions. These task features were: the 168 hand velocity of release and the hand release height which was normalized by the subject's height, 169 as shown in Figure 4. The angle of release was not considered due to its difficult estimation caused 170 by marker occlusion - some of the markers of the arm were lost at the time of release of the ball, 171 and the release angle computation was very sensitive to the methods used to reconstruct missing 172 trajectories of the markers. Nevertheless, studies have shown that the most important parameter 173 when determining range of throwing is the release speed [43, 44]. This is also evidenced by the 174 equations of projectile motion, which show that the range is roughly proportional to the square of 175 the release speed. 176



Figure 4: Subject at release time and corresponding task space features. The features consists in the hand release height and the hand velocity of release. Marker occlusion prevented the definition of the angle of release as a reliable feature.

The time of release  $(t_{rel})$  was computed as the instant at which maximum hand velocity was reached, since it is known that this event occurs almost in parallel to the ball release in the acceleration phase. For this purpose, a reflective marker was placed on the outer side of the
hand (third metacarpal bone) and its position was recorded. After the derivation of this marker's
trajectory, the maximum hand velocity or velocity at release (v) was computed as follows:

$$v = max \left( \sqrt{\mathbf{v}_x(t)^2 + \mathbf{v}_y(t)^2 + \mathbf{v}_z(t)^2} \right)$$
(1)

Where  $\mathbf{v}_x(t)$ ,  $\mathbf{v}_y(t)$  and  $\mathbf{v}_z(t)$  are the velocity components of the hand marker in the global coordinates frame, as defined in Figure 4 ( $\vec{x}$  front,  $\vec{z}$  up). The hand height at release (h) was determined as follows and divided by the subject's height to allow an inter-subject comparison:

$$h = \frac{\mathbf{h}_z(t_{rel})}{h_{sbj}} \tag{2}$$

Where  $\mathbf{h}_z$  is the hand marker's position component along z, and  $h_{sbj}$  is the height of the subject. These two features were computed for each subject and repetition. Then, they were grouped per throwing distance (d), which could be 2 m, 4 m or 7 m, yielding a total of six vectors. The means and standard deviations of these vectors were later calculated, resulting in one task feature vector for subject j:

$$\mathbf{f}_{T,j} = \begin{bmatrix} \overline{v}_{2m,j} & \overline{v}_{4m,j} & \overline{v}_{7m,j} & \overline{h}_{2m,j} & \overline{h}_{4m,j} & \overline{h}_{7m,j} \end{bmatrix}$$
(3)

#### **4.2.2** Joint Space Features

The joint space features consisted in the joint positions and velocities. The joints positions were estimated from motion capture, with an inverse kinematics method allowing the segment lengths and marker positions to be calibrated [45]. The joint velocities where computed by deriving the joint position trajectories. The joint space analysis focused on the following degrees of freedom of the throwing arm: shoulder internal/external rotation, and shoulder, elbow, and wrist flexion/extension  $(\mathbf{q}_1(t), \mathbf{q}_2(t), \mathbf{q}_3(t) \text{ and } \mathbf{q}_4(t) \text{ respectively}).$ 

An average trajectory was computed for each subject, each degree of freedom, and each throwing distance. These trajectories were later time normalized across subjects in order to allow the intersubject comparison in section 4.3.1. A joint space feature vector per subject j was constructed, containing the mean joint position  $\overline{Q}_d$  matrices per throwing distance:

$$\mathbf{f}_{Q,j} = \begin{bmatrix} \overline{Q}_{2m,j}(t) & \overline{Q}_{4m,j}(t) & \overline{Q}_{7m,j}(t) \end{bmatrix}$$
(4)

Where, each joint position matrix contains the average positions ( $\overline{\mathbf{q}}(t)$ ) per DoF,

$$\overline{Q}_d = \begin{bmatrix} \overline{\mathbf{q}}_1(t) & \overline{\mathbf{q}}_2(t) & \overline{\mathbf{q}}_3(t) & \overline{\mathbf{q}}_4(t) \end{bmatrix}$$
(5)

#### 202 4.2.3 Activation Space Features

The control done at the muscle level is the one that interests us the most, since it is the actuation space. This control can be described via muscle activations. However, an activation representation is redundant since there are more muscles than degrees of freedom and each muscle needs its own activation signal. A simpler and less redundant representation of these signals can be achieved via muscle synergies [46, 18].

One way to represent such synergies is via the time-invariant synergy model [25, 8]. In this model a synergy  $\mathbf{w}_i$  is defined as a  $M \times 1$  vector of coefficients, specifying the relative activation level of M-muscles. Each synergy is paired with a time-varying combination coefficient vector  $\mathbf{c}_i(t)$ (1 × T), which determines its temporal evolution. A set of N-synergies can be linearly combined to generate M-muscle activation patterns A(t):

$$A(t) = WC(t) = \begin{bmatrix} \mathbf{w}_1 & \mathbf{w}_2 & \dots & \mathbf{w}_N \end{bmatrix} \begin{bmatrix} \mathbf{c}_1(t) \\ \mathbf{c}_2(t) \\ \dots \\ \mathbf{c}_N(t) \end{bmatrix}$$
(6)

Where, A(t) is the  $M \times T$  samples matrix containing the recorded muscle activations patterns, W is the  $M \times N$  muscle synergy matrix, and C(t) is the  $N \times T$  samples combination coefficient matrix. To separate and highlight the contribution of each synergy  $\mathbf{w}_i$  and its coefficient  $\mathbf{c}_i(t)$  to the muscle activation patterns, the previous equation can also be written as:

$$A(t) = \sum_{i=1}^{N} \mathbf{w}_i \mathbf{c}_i(t) \tag{7}$$

Based on this model, the time-invariant activation space feature  $\mathbf{f}_A$  was defined as the matrix W, and the time-variant activation space feature  $\mathbf{f}_{A_t}(t)$  was defined as the matrix C(t):

$$\mathbf{f}_A = W \tag{8}$$

$$\mathbf{f}_{A_t}(t) = C(t) \tag{9}$$

219 Where,

$$C(t) = \begin{bmatrix} C_{2m}(t) & C_{4m}(t) & C_{7m}(t) \end{bmatrix}$$

Each sub-matrix  $C_d(t)$  is of dimensions  $N \times T_d$ , where  $T_d$  is the total number of samples contained in the throws to distance d.

In our case, this model was used in two methods (see section 4.3.2). Their results were compared to test their robustness and consistency. The first method consisted in extracting a synergy model (W, C(t)) per subject, and the second method consisted in extracting one synergy model representative of all subjects. Thus, in the first case a variety of W matrices were generated representing each subject's throw, and in the second case a single W matrix was generated representing all subjects and throws.

For both models the combination coefficient matrix C(t) encoded the temporal evolution of the synergies during each throw. These coefficients will be further described in terms of: 1) their shapes, 2) how their energy changes with throwing distance, and 3) their triggering order. In general, the average image of the energy  $\overline{E}_{c_i,d}$  of each combination coefficient  $\mathbf{c}_{i,d}$  contained in matrix  $C_d(t)$  was computed as follows:

$$\overline{E}_{c_{i,d}} = \frac{\sum_{s=1}^{T_d} |\mathbf{c}_{i,d}(t_s)|^2}{n} \tag{10}$$

Where n is the number of trials per throwing distance, and  $t_s$  the current time sample.

#### 234 4.3 Control Representations Extraction

Once the features to analyze were defined at each level (task, joint, and activation spaces) and for each subject j, control representations based on these features were extracted. The objective of such an extraction was to verify if a generic control representation existed for overhead throwing across subjects. Such representations are denoted by an index *All*, which generalizes the subject feature vectors (j) of the previous sections to all participants.

The identification of these representations was made through clustering algorithms for the time-invariant features and averaging and cross-correlation for the time-variant features.

Clustering is a technique that consists in the assignment of features into groups or subsets based on a similarity criteria. In the next sections we will see that the existence of a generic representation in each space will depend on the number of clusters or groups found with these techniques.

The first step before using the clustering algorithms is feature scaling. This preprocessing step is necessary due to the fact that clustering algorithms use distances to classify features. Thus, features should be standardized such that they have contributions of equal importance in the distance measurements.

Two different types of clustering algorithms were used to extract control representations from the time-invariant features: a centroid-based clustering (k-means) algorithm and a connectivitybased clustering algorithm (hierarchical clustering). These two algorithms were used in order to verify if different techniques yielded similar control representations. Furthermore, the specific interest in using hierarchical clustering was to verify if the chosen number of clusters of the k-meansalgorithm matched the natural divisions in the data.

K-means clustering is an iterative algorithm for data partitioning that assigns or classifies 255 features into one of k clusters defined by centroids. The main steps of the algorithm are the 256 following, given k: 1) select k initial cluster centroids, 2) compute the distances between each 25 feature to each cluster centroid, 3) assign the features to the cluster with the closest centroid all 258 at once (phase 1), and individually reassign points if it reduces the sum of distances (phase 2), 4) 259 obtain new centroids by averaging the features in each cluster, and 5) repeat steps 2-4 until the 260 assignments do not change or the iterations reach their maximum. The k-means++ algorithm in 261 MATLAB was used with a squared euclidean norm to compute distances. The advantage of this 262 algorithm is that it uses the heuristic in [47] to find centroid seeds for k-means clustering. This 263 induces a faster convergence to higher quality solutions, or to a lower sum-of-squares point-to-264 cluster centroid distances (within each cluster). Finally, in order to assess the k-means clustering 265 quality an indicator called cluster silhouette was computed [48, 49]. This indicator enables us to 266 distinguish clear-cut clusters from weak ones. It measures how similar the features are to features 267 in their own cluster, when compared to features in other clusters, and is computed as follows: 268

$$Sil = \frac{(b_j - g_j)}{max(b_j, g_j)} \tag{11}$$

Where,  $g_j$  is the average distance from the *jth* feature to the other features in the same cluster as *j*, and  $b_j$  is the minimum average distance from the *jth* point to points in a different cluster, minimized over clusters. The silhouette value can range from -1 to 1. By averaging the silhouette values of each feature in the cluster, an average silhouette ( $\overline{Sil}$ ) can be obtained for the entire cluster. A subjective interpretation for this value was proposed by the authors of [49] to assess the clustering quality, as shown in Table 1.

 Table 1: Assessment of k-means clustering quality [49].

 Subjective Interpretation of the average silhouette value

$\overline{Sil}$	Proposed Interpretation
0.71-1.00	A strong structure has been found
0.51 - 0.70	A reasonable structure has been found
0.25 - 0.50	The structure is weak and could be artificial; try additional methods on data set
$\leq 0.25$	No substantial structure has been found

This interpretation was used to select with which number k of clusters the data was well separated ( $\overline{Sil} \ge 0.71$ ) or if no separations could be made, in which case only a single cluster exists.

To complete this assessment, hierarchical clustering was also used to partition the feature space 278 into groups. Hierarchical clustering is an algorithm for cluster analysis that aims at grouping fea-279 tures at different levels using a cluster tree or dendrogram. In agglomerative hierarchical clustering 280 each feature starts in its own cluster, these clusters are then combined via a metric and a linkage 281 criterion. The metric defines a distance between pairs of features, and the linkage criterion defines 282 the distance between sets by computing the pairwise distances between features. An advantage of 283 this strategy is that it does not need an initial indication of the number of clusters, and therefore, 284 it reveals the natural divisions in the data. For its implementation, the hierarchical algorithm tools 285 in MATLAB were used with the euclidean distance as metric, and an unweighted average distance 286 (euclidean) for the linkage. 28

The following sections present how these methods and the synergy extractions [9] were used to extract control representations across subjects.

#### 290 4.3.1 Task and Joint Space Control Representation Extraction

First, we determined if a common representation existed across subjects in task space. Thus, the feature vectors  $\mathbf{f}_{T,j}$  in Eq(3) were first standardized, and then given as inputs to the clustering algorithms. First, the k-means algorithm was applied by varying the number of clusters and checking how well the clusters were separated thanks to the clusters' silhouette values. In this space, since each subject is characterized by a single vector, we expect a common representation to exist when k = 1. In other words when the features are so similar, that well separated clusters cannot be formed. If this was the case, then the common strategy was defined by averaging the task feature vectors across subjects:

$$\mathbf{f}_{T,All} = \begin{bmatrix} \overline{v}_{2m,All} & \overline{v}_{4m,All} & \overline{v}_{7m,All} & h_{2m,All} & h_{4m,All} & h_{7m,All} \end{bmatrix}$$
(12)

To further verify the results of k-means, hierarchical clustering was then applied. This algorithm does not need an initial estimate of the desired number of clusters, thus, it was used in order to determine if the natural cluster divisions of the data agreed with the results provided by k-means. In other words, if no natural cluster divisions were found and a common task control strategy across subjects existed. Finally, a Wicoxon rank sum test was performed on the features across subjects to detect significant changes in their values with regard to the throwing distance (confidence level below 0.05).

At the joint space level, the features  $\mathbf{f}_{Q,j}$  used to represent the motion are all time varying (average joint positions per subject). Therefore, cross-correlation was used to evaluate the similarity of the joint trajectories and velocities across subjects and throwing distances. A high correlation signified that the joint trajectories or velocities were similar among subjects. Low correlations signified very low kinematic similarities. The common joint space strategy was then defined by averaging the joint trajectories and velocities across subjects:

$$\mathbf{f}_{Q,All} = \begin{bmatrix} \overline{Q}_{2m,All}(t) & \overline{Q}_{4m,All}(t) & \overline{Q}_{7m,All}(t) \end{bmatrix}$$
(13)

#### 312 4.3.2 Activation Space Control Representation Extraction

The synergies and their combination coefficients (section 4.2.3) were extracted via a NMF (nonnegative matrix factorization) [50] algorithm. This algorithm decomposes a non-negative matrix into a non-negative linear combination of basis vectors, by solving the following optimization problem:

$$\begin{array}{ll} \underset{W,C}{\text{minimize}} & \frac{1}{2} ||A(t) - WC(t)||_{F}^{2} \\ \text{subject to} & W, C(t) \geq 0 \end{array}$$
(14)

317 When applying this algorithm, the synergy model order or number of synergies to extract should be defined. To do this we used two criteria. The first criteria consisted in choosing a 318 number of synergies N less than the number of recorded muscles M = 6 in order to obtain 319 a lower dimensional control representation. The second criteria attempted to preserve a good 320 quality in the reconstruction of the original activations. Therefore, a criterion based on the average 321 coefficient of determination  $r^2$  between the original and reconstructed muscle patterns [9, 18] was 322 used. This criterion states that the chosen number of synergies should correspond to the sharpest 323 change in the slope of the  $r^2$  curve (Figure 10)). This change in slope is interpreted as the point 324 separating "structured" from noise-dependent variability. After this point, additional synergies 325 start to capture only the small residual noise-dependent variability, therefore, this can be used to 326 define the minimum number of synergies that capture the task-related features [51, 52, 46]. We 327 highlight the fact that these criteria guarantee that the number of control variables N will be less 328 than the number of muscles or actuators, however there is no guarantee that they will be less than 329 the number of DoF. This is a possible added value of a representation through synergies. The 330 NMF algorithm used was the one developed in [53] and the update rule used was the non-negative 331 least squares one. 332

We employed two methods for identifying a representative synergy (or time invariant features) using this extraction algorithm. The first is based on k-means [54] and hierarchical clustering, and the second one is based on the identification procedure in [9]. The comparison of the results extracted from both methods was useful to test the consistency and robustness of these extraction methodologies.

The first method consisted of 3 stages: 1) extraction of individual subject synergy models, 2) 338 standardization of  $\mathbf{w}_i$  vectors, and 3) application of k-means and hierarchical clustering algorithms. 339 In the first stage matrix A(t) (6 muscles x 3600 samples) was constructed by concatenating the ac-340 tivation signals for all the trials of individual subjects. This method enabled us to take into acount 341 intra-subject variability in the synergy extraction. The concatenated signals were normalized by 342 their maximal value to obtain activations framed between 0 and 1. Next, NMF was applied on 343 this matrix to obtain one N-synergy model (W, C(t)) per subject. Once a model was obtained 344 for each subject, the synergy matrices W were standardized for their use in the clustering algo-345 rithms. Essentially, each synergy  $\mathbf{w}_i$  of each subject was a feature vector containing the relative 346 activation levels of the muscles. These vectors were treated individually and without specifying 347 their correspondence to a specific subject. They were used to create a synergy pool on which 348 k-means and hierarchical clustering were applied in order to identify common features among this 349 synergy pool. The k-means algorithm was applied first while varying the number of clusters k. We 350 expected a unique strategy to exist when k = N, in other words when the number of clusters is 351 equal to the number of synergies extracted for each subject. If this was the case, then the centroids 352 of these clusters represented the mean synergy vectors, or the representative activation control 353 representation for all subjects through method I  $(W_{I,All})$ . 354

$$\mathbf{f}_{A,All} = W_{I,All} \tag{15}$$

Finally, hierarchical clustering was applied. This algorithm was used in order to determine if the natural cluster divisions of the data corresponded to the number of k-means centroids.

The second synergy extraction method consisted in the direct identification of a common activation control strategy for all subjects, based on [9]. In this method, the NMF algorithm was applied on a matrix A(t) (6 muscles x 36000 samples), constructed by concatenating the activation signals for all trials of all subjects. Therefore, by applying NMF on this pool of EMG signals one common synergy model ( $W_{II,All}$ ) was found for all subjects.

$$\mathbf{f}_{A,All} = W_{II,All} \tag{16}$$

However, the coefficients  $(C_{II}(t))$  in this method encoded how much and when each synergy was triggered for each repetition and subject. Therefore, to identify a common time-varying control representation for all subjects and repetitions  $(\overline{C}_{II,All}(t))$ , averaging and correlation computation were used. First, the mean combination coefficients per subject, per throwing distance were computed. Next, cross-correlation was used to make comparisons across subjects at each throwing distance. Thus, a common combination coefficient was computed by making a second averaging across all subjects .

$$\mathbf{f}_{A_t,All}(t) = \overline{C}_{II,All}(t) \tag{17}$$

Where  $\overline{C}_{II,All}(t)$  contains the coefficient matrices per throwing distance,

$$\overline{C}_{II,All}(t) = \begin{bmatrix} \overline{C}_{II,2m,All}(t) & \overline{C}_{II,4m,All}(t) & \overline{C}_{II,7m,All}(t) \end{bmatrix}$$
(18)

## <sup>370</sup> 5 Results and Discussion

#### 371 5.1 Global Considerations

The motion, as defined in the task description above, had an average duration of  $1.67 \pm 0.27 \ s$  for 372 all the throws made by all the subjects. Thus, the standard deviation seemed sufficiently low to 373 compare the different throws and normalize them against time, as it has been done for some of the 374 processings of extraction. Subjects had a global performance higher than 80%, meaning that the 375 task was quite easy to perform and reproducible from one trial to one other. The following sections 376 detail the representations extracted from the experimental data in the task, joint and activation 377 spaces. For all the cross-correlation we performed, the mean value of the lag was about less than 378  $10^{-15}\%$  of the signal length, meaning that most of the signal shapes were comparable directly. 379 Therefore, we did not present the lags related to cross-correlation results in the corresponding 380 tables. 381

#### <sup>382</sup> 5.2 Task Space Control Representation

The subject task features  $\mathbf{f}_{T,j}$  were collected and a representative task space control representation  $\mathbf{f}_{T,All}$  was extracted as described in section 4.3.1. The task feature vector for each subject is featured in Figure 5. A gradual increase in hand release velocity and height can be seen across subjects as the throwing distance increases. Moreover, as evidenced by the Wilcoxon rank sum test, this increment is statistically relevant in 9/10 subjects for the hand velocity, and in 7/10 subjects for the hand height.



Figure 5: Task space features per subject and throwing distance. Both hand release velocity and release height increased with the throwing distance for all the subjects.

Next, k-means and hierarchical clustering were applied on the feature vectors in order to determine if one sole task space control representation existed. We expected a unique control representation if no strong separated groups can be found among the subject task vectors, in other words if a single cluster exists.

K-means was first applied while varying the number of clusters k. Figure 6 shows each cluster's silhouette. The average silhouette value  $\overline{Sil}$  is below 0.71 (Table 1) as k increases. At k = 5, it reaches a value above this threshold but clusters containing 1-2 subject vectors begin to be formed. Therefore, since the k-means analysis did not differentiate the subjects, a common representation of the control at the task space level can be obtained from the averaging of the task space features.



Figure 6: Task space cluster separation quality using k-means. Strongly separated clusters  $(\overline{Sil} \ge 0.71)$  containing a similar number of subjects cannot be found. A single cluster exists across subjects.

Hierarchical clustering was then applied in order to verify if the results of the k-means clustering was consistent with the natural division of the data. The hierchical clustering was only used to

consider qualitative and visual informations about data division. Figure 7 features the resulting 400 cluster tree. In this tree, no visually significant divisions are found. This is shown by the fact 401 that heights of the links at each level are not qualitatively different from the heights of the links 402 below them, indicating a high closeness across groups. Furthermore, with this procedure we can 403 see that as the number of clusters increases, groups containing very few subject vectors begin to be 404 formed. Consequently, we concluded that all subjects were presenting similar changes in the task 405 space features with regard to the task constraints (throwing distance). In other words, subjects 406 increased significantly the hand release velocity and height as the throwing distance increased. 407 The average task features  $\mathbf{f}_{T,All}$  were computed by averaging the subject task feature vectors and 408 it is shown in Figure 8. Velocity increments of about 1.3 m/s and hand-height/subject-height 409 increments of 0.05 are seen as the distance increases by 2 to 3 m. Moreover, the range is roughly 410 proportional to the square of the release speed, as can also be evidenced through the equations 411 of projectile motion. Thus, our results are consistent with other studies that indicate an increase 412 in height and speed with throwing distance, and the existence of a proportionality relationship 413 between speed and range [23]. This is a straightforward result that may be mostly induced by the 414 motion contraints (distance to throw, motion type) and the difference of strategy between subjects 415 may appear in the amount of changes from one distance to one other as it can be observed in 416 Figure 5. However, the averaging of the task space features as a unique representation of the 417 control in the task space makes sense since the trends featured in Figure 8 respects the same 418 pattern as the one seen for all the subjects. 419



Figure 7: Task space cluster separation using hierarchical clustering. In this case the clusters are not well separated.

#### 420 5.3 Joint Space Control Representation

The subject joint features  $\mathbf{f}_{Q,j}$  were then used to determine if a common joint space control rep-421 resentation  $f_{Q,All}$  existed, as described in section 4.3.1. The features showed a high repeatability 422 across subjects at each throwing distance, regardless of the inexperience and small differences in 423 style of our throwers. These kinematic similarities were quantified as correlations among subjects, 424 and are shown in Table 2. As the throw is performed, the motion is repeatable in the forward 425 direction. Thus, high correlations are seen in the joint trajectoriess, especially in shoulder  $(\mathbf{q}_2(t))$ 426 and elbow  $(\mathbf{q}_{3}(t))$  flexion/extension. Lower but still significant correlation is seen in the shoulder 427 internal/external rotation  $(\mathbf{q}_1(t))$  and wrist flexion/extension  $(\mathbf{q}_4(t))$ . The differences in inter-428 nal/external rotation  $(\mathbf{q}_1(t))$  could be due to each subject's throwing style. While the differences 429



Figure 8: Representative task space representation for all subjects  $(f_{T,All})$ . These are the mean and standard deviation values of the features shown in figure 5. The global increase of both features with regard to the throwing distance is straightforward.

Mean inter-subject cross-correlation coefficient per throwing distance			
DoF	$corr_{2m}$	$corr_{4m}$	$corr_{7m}$
$\mathbf{q}_1$	$0.6681 \pm 0.2396$	$0.7493 \pm 0.1579$	$0.6335 \pm 0.2048$
$\mathbf{q}_2$	$0.9684 \pm 0.0259$	$0.9494 \pm 0.0485$	$0.9403 \pm 0.0529$
$\mathbf{q}_3$	$0.9723 \pm 0.0207$	$0.9526 \pm 0.0316$	$0.9329 \pm 0.0394$
$\mathbf{q}_4$	$0.6025 \pm 0.2520$	$0.6077 \pm 0.2634$	$0.6210 \pm 0.1922$
$\dot{\mathbf{q}}_1$	$0.7349 \pm 0.1012$	$0.6290 \pm 0.1392$	$0.4830 \pm 0.1317$
$\dot{\mathbf{q}}_2$	$0.6878 \pm 0.1257$	$0.6374 \pm 0.1671$	$0.5588 \pm 0.1063$
$\dot{\mathbf{q}}_3$	$0.8170 \pm 0.1036$	$0.8502 \pm 0.0880$	$0.7954 \pm 0.0840$
$\dot{\mathbf{q}}_4$	$0.7217 \pm 0.1309$	$0.6540 \pm 0.2192$	$0.7277 \pm 0.1226$

Table 2: Mean inter-subject cross-correlation coefficient per throwing distance.

in wrist flexion/extension ( $\mathbf{q}_4(t)$ ) could be linked to the fact that the most distal segments have larger contributions to accuracy over speed [32, 55].

In terms of articular velocities the motion is less repeatable. Nevertheless, as seen in the previous section, different velocity control strategies in the joint space can result in a common velocity feature in the task space accross subjects. These differences may be linked to individual differences in the throwing strategy and can not be used as a common feature of the control representation in the joint space.

Finally, a representative joint space control strategy was computed by making averages across subjects and throwing distances for joint trajectory included as a feature. This control representation is featured in Figure 9 and in Table 3. Similar kinematic trends are shared across throw types. For instance, as the throw progresses, the shoulder is internally rotated and flexed, while the elbow is extended and the wrist is gradually flexed. Lastly, these similarities were also reflected in the inter-subject and inter-distance correlation, which resulted in very high correlation coefficients for all DoF, as shown in Table 3.

#### **5.4** Activation Space Control Representation

The synergy extraction method described in section 4.3.2 was applied on each of the subject's EMG dataset while varying the number of synergies. The objective was to identify a model with less synergies than the number of recorded muscles or actuators (N < M), for each subject, that would guarantee a good reconstruction of the original EMG signals. Figure 10 depicts the quality



Figure 9: **Representative joint space strategy for all subjects** ( $\mathbf{f}_{Q,All}$ ). Computed by averaging joint features across subjects for each DoF. Solide lines are the average values, dashed lines the standard deviation values. The joint space analysis focused on the following degrees of freedom of the throwing arm: shoulder internal/external rotation, and shoulder, elbow, and wrist flexion/extension ( $\mathbf{q}_1(t), \mathbf{q}_2(t), \mathbf{q}_3(t)$  and  $\mathbf{q}_4(t)$  respectively). Angles are given in degrees.

Table 3: Mean inter-	subject and inter-	-distance cross-corr	elation coefficients. The	e repre-
sentative joint space st	rategy shown in Fig	gure 9 was correlated a	cross throwing distances.	

	Mean inter-distance cross-correlation coefficients	
Γ	DoF	$corr_{2m,4m,7m}$
Γ	$\mathbf{q}_1$	$0.9171 \pm 0.0213$
	$\mathbf{q}_2$	$0.9989 \pm 0.0005$
	$\mathbf{q}_3$	$0.9991 \pm 0.0004$
	$\mathbf{q}_4$	$0.9315 \pm 0.0467$

of reconstruction  $r^2$  for each subject and synergy model. The sharpest change in slope of this curve occurred at N = 2 for 8 subjects and at N = 3 for 2 subjects. Thus, we opted for the 2-synergy model which allowed an average quality reconstruction of 0.7382 across subjects.

#### 452 5.4.1 Synergy Model (W)

Method I was applied in order to determine a common representation of the control in the activation 453 space. First, the 2-synergy models were extracted for each subject. Then, a pool containing the 454 individual synergies  $\mathbf{w}_i$  of all subjects was constructed, without specifying if the synergies belonged 455 to the same subject. Thus, the pool contained 20 synergies (2 synergies per subject). Finally, k-456 means clustering was applied on this pool while varying the number of clusters k. We expected a 457 common control representation to exist when k = N, or when the number of clusters is equal to 458 the number of synergies extracted per each subject. Figure 11 shows that indeed, the best cluster 459 separation is achieved at k = N or k = 2, where the average silhouette value for both clusters is 460 equal to 0.7181. If a higher number of clusters or separations is found, the average silhouette values 461 decrease and clusters containing very few synergies are formed. This evidences that 2 clusters are 462 sufficient to classify the synergies. 463



Figure 10: Activation reconstruction quality across synergy models per subject. The NMF algorithm was applied on each of the subject's EMG data set while varying the number of synergies (N). The resulting curve depicts the  $r^2$  values for each model.



Figure 11: Cluster separation quality using k-means. Strongly separated clusters (Sil > 0.71) are found at k = N or k = 2. A common activation space control representation exists across subjects.

To further verify if the natural divisions of the data corresponded to 2 groups of distinctive synergies, hierarchical clustering was applied. This resulted in the cluster tree in Figure 12. In this tree we can see how the 20 synergies in the pool are partitioned into 2 clusters as well. This is shown by the fact that the link separating the synergy data into two branches is inconsistent with the links below it. It indicates a higher closeness among the synergies within each group than across each group.

Interestingly, the individual synergies within each cluster in the tree matched those in the 470 clusters computed via k-means. Thus, a mean activation control representation  $W_{I,All}$  for all 471 subjects was extracted from the centroids of the 2-cluster model obtained via k-means (Figure 6 472 top). Each of these centroids or mean synergies contains the relative action levels of groups of 473 muscles. Finally, we wanted to demonstrate how well the synergy  $W_{I,All}$  represented all of the 474 subjects' individual synergies. In order to do this, the normalized dot product between the synergy 475  $W_{I,All}$  (centroid) and each of the subjects' 2-synergy models W (cluster points) was computed. 476 The results showed that a high similarity exists between these models, with a mean normalized 477 dot product of  $0.9495 \pm 0.0485$  for  $\mathbf{w}_1$ , and  $0.9170 \pm 0.0537$  for  $\mathbf{w}_2$ . 478

Method II was then applied to identify the representative synergy model directly from a pool 479 containing the EMG signals of all subjects. Thus, this pool contained 6 signals (one per muscle), 480 and each signal contained 180 concatenated activations corresponding to each of the subjects' trials 48 (10 subjects, 3 throwing distances, 6 trials per distance). As in the individual subject synergy 482 extractions, the number of synergies was chosen as the number corresponding to the sharpest 483 change in the  $r^2$  curve. This change occurred again at N = 2 synergies, where the quality of 484 reconstruction was of 0.6526. This slight decrease in quality of reconstruction with respect to the 485 individual extractions is expected since method II attempts to reconstruct a higher number of trials 486 performed by different subjects simultaneously. 487

The resulting representative synergy  $W_{II,All}$  is depicted in Figure 13 (bottom). Again, each synergy contains the relative activation levels of a group of muscles throughout the motion. The first synergy  $\mathbf{w}_1$  can be seen as the agonist synergy, and the second synergy  $\mathbf{w}_2$  can be seen



Figure 12: Synergy clusters using hierarchical clustering. Natural data divisions are found when the height of a link strongly differs to the height of the links below it. Thus, in this case the clusters are well separated.



Figure 13: Representative activation space strategy (synergies)  $W_{I,All}$  and  $W_{II,All}$  for all subjects. A common control representation in the activation space was identified for the time-invariant part of the synergies (W) through method I and method II.

as the antagonist synergy to the motion. Therefore,  $\mathbf{w}_1$  contains a high activation of muscles corresponding to shoulder flexion, internal rotation (deltoid anterior), elbow extension (triceps longs) and wrist flexion (wrist flexor group). While  $\mathbf{w}_2$  contains a high activation of muscles corresponding to elbow flexion (biceps), wrist extension (wrist extensor group), and a very low activation of the shoulder muscles (deltoid anterior and posterior).

Finally, the representative synergy vectors (W) computed with both methods are similar, as 496 shown by their normalized dot products (0.9248 for  $\mathbf{w}_1$  and 0.9524 for  $\mathbf{w}_2$ ). Consequently, a com-497 mon grouping and relative activation of muscles was found for different task space conditions and 498 subjects during a throwing motion. This emphasizes the consistency of the results obtained by 499 both methods to find a proper activation space control representation of the motion. However, in 500 order to define a common control representation for throwing in the activation space, it is also nec-501 essary to identify a representative pattern for the time-varying part of the synergies (combination 502 coefficients). The following section presents the results of this analysis. 503

Table 4: Synergy coefficients mean inter-subject cross-correlation coefficient per throwing distance.

Mean inter-subject cross-correlation coefficient per throwing distance			
Synergy Coeff	$corr_{2m}$	$corr_{4m}$	$corr_{7m}$
$\mathbf{c}_1$	$0.9129 \pm 0.0534$	$0.9390 \pm 0.0320$	$0.9264 \pm 0.0382$
$\mathbf{c}_2$	$0.8761 \pm 0.0727$	$0.8615 \pm 0.0769$	$0.8702 \pm 0.0650$

#### 504 5.4.2 Combination Coefficients (C)

Method II also resulted in a set of time-varying coefficients which encoded the triggering times 505 and intensity for each subject and their repetitions  $C_{II}(t)$ . The average coefficients computed per 506 subject and throwing distance are featured in Figure 14 and Figure 15. Repeatable trends can be 507 seen among and across subjects. For instance, the first coefficient  $c_1$  is generally bell shaped (as 508 the velocity profile in ballistic movements), while the second coefficient  $c_2$  is more irregular, it has 509 a lower amplitude, and it tends to decrease as the throw is performed. A considerable inter-subject 510 repeatability at each throwing distance is also demonstrated by high correlation coefficients, as 511 featured in Table 4. 512



Figure 14: Average combination coefficient  $c_1$  per subject and throwing distance.



Figure 15: Average combination coefficient  $c_2$  per subject and throwing distance.

The high intra and inter-subject repeatability outlines the existence of similar patterns of activation for each synergy across subjects and throwing distances. Therefore, an activation space control representation  $\overline{C}_{II,All}(t)$  was computed by performing averages across subjects at each throwing distance. The mean coefficients per distance are depicted in Figure 16. A high interdistance correlation is seen for both coefficients (Table 5). Thus, these coefficients not only preserve the main trends in each of the subjects' averages, but also emphasize the similarities in terms of shape across throwing distances.

Besides a repeatability in terms of shape, the combination coefficients exhibit discrepancies



Figure 16: Representative activation space strategy (combination coefficients)  $\overline{C}_{II,All}(t)$  for all subjects. A common control strategy in the activation space was identified for the time-variant part of the synergies through method II.

Table 5: Synergy coefficients mean inter-distance cross-correlation coefficients

Mean inter-distance cross-correlation coefficients		
Synergy Coeff.	$corr_{2m,4m,7m}$	
$\mathbf{c}_1$	$0.9895 \pm 0.0035$	
$\mathbf{c}_2$	$0.9833 \pm 0.0089$	

across subjects. Figure 17 shows the coefficients  $c_1$  and  $c_2$  from Figure 14 and Figure 15 in one 521 same plot. Globally, at the beginning of the throw  $c_2$  (antagonist synergy) is activated, then the 522 amplitude of this synergy is diminished, until  $c_1$  (agonist synergy) is activated. At this moment  $c_2$ 523 is activated again, and the most significant co-activation occurs among the synergies. The same 524 behavior is seen on the representative activation space strategy in Figure 16. This is consistent with 525 the fact that ballistic movements exhibit concurrent agonist and antagonist muscle activation [56]. 526 During these motions a first activation is needed to accelerate the limb toward the target  $(c_1)$ , 527 followed by a second activation to decelerate and stop the movement  $(c_2)$ . This sequence of bursts 528 (from antagonist to agonist, and from agonist to antagonist) are characteristic of the antagonist 529 activity in the upper extremity while throwing. Such "triad" burst sequences have been previously 530 identified in EMG analysis of throwing (at the wrist and elbow muscles) [32], and in badminton 531 smash strokes [55]. 532

Individual differences in combination coefficients triggering can be seen between subjects, especially for  $c_1$ . This indicates that even if it is possible to find a common representation of the control in the activation space for time-invariant features W, the combination coefficients C encapsulate individual strategies and differences between subjects.

Another characteristic that was analyzed was the change in energy across throw types. Figure 18 537 shows the average energy at each throwing distance per subject, as described in Equation 10. The 538 results show that the energy changes in the coefficients are linked to changes in the task space 539 features: Like the task space features, the energy in the coefficients increases with the throwing 540 distance. For  $c_1$  (agonist synergy), this increment is always gradually incrementing, and it is 541 statistically relevant for 6/10 of the subjects. This increment in the actuation signals (or synergies), 542 is consistent with the increment in torque magnitudes, observed during the synthesis of throwing 543 motions to different ranges [23]. 544

This link between the task space and the activation space is fundamental in order to specify muscle-based controllers available to synthetize motions from task space goals. Indeed, such a controller will define a control law to actuate muscle in order to achieve task space goals and the results of the current study is helpful to design these control laws [2].



Figure 17: Triggering order and co-activation per subject and throwing distance. A repeatable triggering tendency is seen across subjects: 1)  $\mathbf{c}_2$  triggering, 2)  $\mathbf{c}_1$  triggering, and 3)  $\mathbf{c}_2$  triggering. This sequence is consistent with the expected triggering in ballistic motions.



Figure 18: Combination coefficient energy per subject and throwing distance. The energy in  $c_1$  (agonist synergy) gradually increases with throwing distance.

#### 549 5.4.3 Activation Reconstruction

Finally, we show the quality of EMG reconstruction using the representative synergy model ( $W_{II,All}$ ,  $C_{II,All}(t)$ ) found through method II. We finally get an overall reconstruction quality of  $r^2 = 0.6526$ for the 180 concatenated muscle activations. This is reflected through different degrees of quality reconstruction among the subject trials. In Figure 19 and Figure 20 are shown examples of the activation reconstruction of a 7m trial for different subjects. In the first case, the triggering order and shape of the reconstructed activations follow closely the recorded ones. In the second example, the original activations contain many small oscillations, which are not well reconstructed. These oscillations may be noise artifacts and were therefore excluded from the reconstruction by the reconstruction quality criteria  $r^2$ , as it has been explained in the methods section. Moreover, considering the number trials that are being reconstructed simultaneously, such differences in reconstruction accuracy were expected.



Figure 19: Example 1: Activation reconstruction using  $W_{II,All}$  and  $C_{II,All}(t)$ .



Figure 20: Example 2: Activation reconstruction using  $W_{II,All}$  and  $C_{II,All}(t)$ .

In addition, we can see (Figure 21) the reconstruction quality per muscle with regard to the 561 number of synergies extracted on the global set (method II). We can see that the results are quite 562 consistent from one muscle to one other. Indeed, Most muscle respect the rule that the biggest 563 change of slope of  $r^2$  appears after 2 synergies. However, the Biceps exhibits a relatively low 564 reconstruction level with 2 synergies and seem to have its highest change in slope at 3 synergies. 565 This result can be explained by the relatively low level of activation of the Biceps during the task, 566 that may be less well captured by the synergy extraction than more activated muscles like the 56 Triceps Long. In a more general manner, muscles thats stabilizes the motion may be less well 568 captured by the low order synergies than the muscles producing the motion. 569

#### 570 5.5 Summary

The previous results show the existence of a common control representation (for a subset of muscles and DoF) in various throwing tasks, and subjects with no particular training on throwing motions or throwing sports. This representation was described through a set of features in the task, joint, and activation spaces. The control representation identified in the task space consisted in increasing the hand release height and velocity to reach longer distance targets. These endpoint features were achieved through a common set of joint trends, but with different velocity trends across subjects. In the activation space a lower dimensional control representation and its link with changes in

the task space features was identified. This control strategy consisted in using only 2 synergies



Figure 21: Activation reconstruction quality per muscle. Results per muscle shows discrepencies in the reproductibility of the results. Some muscles have relatively consistent patterns to reconstruct with 2 synergies (Triceps Long, Wrist Flexors Group) whereas some other may be better reconstructed thanks to higher synergy levels (Biceps).

(an agonist and an antagonist synergy), to represent the activation of 6 muscles of the right arm. 579 These synergies were triggered with the order and concurrency expected from ballistic movements, 580 and their triggering intensity was linked to the desired launch distance, the increments in velocity 581 and height of release. Therefore, at the actuation level we were able to extract a reduced control 582 representation (muscle synergies) linked to task conditions, for a highly redundant, nonlinear, and 583 dynamic motion. Such a method, by providing a compact representation, has a interest to depict 584 the individual and common control features in the way the motion is generated by each subject and 585 seems useful to better understand the control strategies used. This does not prove the existence of 586 a motor control mechanism that would be muscle synergies. However, the results are compatible 587 with the notion of muscle synergies organized by the nervous system to implement such control 588 strategies. 589

Moreover, the direct extraction of a single synergy model from an experiment involving such 590 complex motion, and a variety of human morphologies, skills, and task conditions, is also a 591 contribution. The results obtained by both methods of synergy extraction showed encouraging 592 results, since their consistency and robustness was clearly established through their comparison. 593 The accuracy of this synergy model is supported by studies [57] that evidence a higher performance 594 of matrix factorization algorithms in experimental protocols that incorporate unconstrained tasks, 595 a variety of conditions, and motor variability (synergy extraction from EMG time-series data, and 596 not averages). 597

It is worth noting that these results span a limited set of degrees of freedom and muscles, 598 and that the extracted synergies for this task can change depending on the number and choice 599 of muscles [58]. They also highlight generic but basic mechanisms needed to control an overhead 600 throwing motion to a specific distance. To analyze the accuracy, efficiency, or performance of the 601 throw, studies with additional features at key moments, and their relationship to successful target 602 hits are needed. These features could include: task space features, such as release angle, joint space 603 features, such as velocities and accelerations at release, and activation space features that include 604 more muscles and quantify subtle difference in the way in which the synergies are triggered across 605 different throws. With more features, we could expect to find more links across task, joint, and 606 activation spaces. 607

Future contributions could include repeating this analysis on professional throwers (such as football players or pitchers). We expect a higher repeatability at all levels for trained subjects. Also, future analysis could include throws to larger distances and the usage of balls of different masses and sizes.

Finally, the synergies obtained in the current studies will be applied and validated in the domain of 612 muscle-based character animation. For instance, the relationships between the 2 control variables 613 (or synergies) and well defined task space goals (desired release speed and height) will be exploited 614 to control highly redundant characters. A previous study [8, 24] has already tested synergies on 615 a subject-scaled character. It would be interesting to test the generic synergies presented in this 616 paper on a variety of morphologies. Ultimately, this application could also entail the construction 617 of a synergy database for animation. A database containing synergies and their relationships with 618 task space goals, for a richer variety of motions (reaching, writing or other arm gestures), degrees 619 of freedom, and muscles, which could also serve as a basis to synthesize motions in physics-based 620 animation. 621

## 622 6 Conclusion

It seems that motion control can be encapsulated through lower dimensional control representation 623 of each task we perform, to achieve fast, efficient, and coordinated movements. Synergies encode 624 a variety of muscle information in a reduced set of temporal and spatial signals, and are thus a 625 good candidate to represent the control in a compact way. Many studies have extracted muscle 626 synergies from EMG signals in both upper-body and lower-body motions. Our study has found 627 common control features among subjects in the task, joint and activation space, especially though 628 the extraction of muscle synergies from a set of EMG signals, for a dynamic and acyclic motion. 629 A motion which was performed by unexperienced subjects while following general guidelines that 630 allowed a free throwing motion. 631

We first described the throwing task and experiments from which the control strategy was 632 extracted. Next, we characterized the motion through a set of control features in the task, joint, and 633 activation spaces, and detailed the methods to extract them. Finally, the results showed that with 634 this set of features: 1) a common control representation exists across subjects, 2) this representation 635 significantly reduces the redundancy in the activation space through the encapsulation of the co-636 activated muscles in a low-dimensional representation (2 synergies encode the actions of 6 muscles), 63 3) links exist between the task and activation space features, which were revealed by varying the 638 throwing distance, 4) and finally both methods of synergy extraction were able to provide consistent 639 and similar results and are therefore legitimate these methods of extraction. 640

Lastly, since the identified control representation comprises the use of less control signals than actuators and DoF, it would be useful for synthesizing motions with overactuated or muscle-based characters at a reduced computational cost.

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